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Quantifying low fluence ion implants in diamond-like carbon film by secondary ion mass spectrometry by understanding matrix effects†

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Minor and trace elements in diamond-like carbon (DLC) are difficult to quantify using SIMS analysis because minor elemental and structural variations can result in major matrix effects even across individual, cm-sized samples. While this material is most commonly used for tribological coatings where minor element composition is not of critical importance, it is being increasingly used in electronic devices. However, it is a unique application that spurred this work: anhydrous, tetrahedrally-coordinated DLC (ta-C) was used as a solar wind (SW) collector material in the Genesis solar-wind sample return mission (NASA Discovery 5). So, for ~15 years, we have been working on attaining accurate and precise measurement of minor and trace elements in the Genesis DLC using SIMS to achieve our mission goals. Specifically, we have learned to deal with relevant matrix effects in our samples, ion implants into ta-C. Our unknown element for quantification is SW Mg, a low-dose (1.67×10^{12} at cm^{-2} ; $\sim 6 \mu\text{g g}^{-1}$ ^{24}Mg), low-energy (~ 24 keV average energy) implant; our standard is a high-dose ($\sim 1 \times 10^{14}$ at cm^{-2} of both ^{25}Mg , ^{26}Mg) 75 keV laboratory implant for which the absolute $^{26}\text{Mg}/^{25}\text{Mg}$ ratio had been measured to account for variable instrumental mass fractionation. Analyses were performed using O_2^+ primary ions having both a low impact energy and a current density of $\sim 2 \times 10^{14}$ ions per cm^2 . Although our unknown was solar wind, the method is applicable to many situations where minor elements in DLC need to be quantified. Recommendations are presented for modifying this data-reduction technique for other SIMS conditions.

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1. Introduction

Diamond-like carbon (DLC) is a common commercial coating most often used to mitigate friction and wear, but versions of this material are being developed for electronic applications (e.g., ref. 1 and 2). For friction and wear applications, knowing

the concentration profile of minor ions such as H can be useful;³ knowing minor element chemistry is often important in electronic applications. The method of trace-element quantification presented here has been developed for a novel, non-commercial use of DLC: its use as a solar wind (SW) collector on the Genesis spacecraft.^{4,5} This use has driven a number of investigations into the analysis of minor and trace elements in DLC that required a level of effort that would probably not have been feasible in the private sector, but the techniques are accessible to the public.

The DLC used for Genesis, an anhydrous tetrahedrally-coordinated carbon film (ta-C) developed by Sandia National Laboratories, was deposited on 4" hexagonal silicon wafers (for physical strength and support), and mounted in approximately 1 meter-diameter arrays with a variety of other films and commercial semiconductor materials. These "Collector Arrays" comprised one of the instruments aboard the spacecraft⁵ that was launched into orbit around the L1 point (outside the lunar orbit). In this orbit, these arrays faced the Sun for about two years and passively collected the ions emitted as solar wind (SW). The spacecraft then returned the collectors containing the solar wind sample to Earth for analysis. Pre-flight, DLC collectors were designated for analyses by successive oxidation or laser ablation;⁴ however, the hard landing of the Genesis

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† Electronic supplementary information (ESI) available: Online appendix contents: (A) spatial variations in ion yields and density, (B) textural changes with depth and their lack of effect on electrical conductivity, (C) reason for spatial variations in electrical conductivity, (D) matrix effects due to electrical conductivity variations, (E) effect of changing the compound correction of the SRIM model: e.g., STD_4, and (F) effect of a lower ion beam density on a diamond-like matrix: e.g., STD_4 after ref. 4. See DOI: 10.1039/d0ja00375a



were – mostly – disastrous. Initial results were not reproducible within a factor of 2 (see Fig. 1). The only way to achieve a consistently reproducible number was to put the implant used as a standard directly into the collector itself (an “internal standard” – two grey squares in Fig. 1). The reproducibility attained using internal standards implied that the non-reproducibility observed initially was due to matrix affects within the DLC. To track differences in ta-C matrix properties, we developed a parametrization using two ions from the matrix: $^{12}\text{C}^+$ and $^{12}\text{C}_2^+$ (circles in Fig. 1). Then, the reproducibility achieved using an “external” implant standard (*i.e.*, an implant into a piece of flight-spare wafer not exposed to the SW) began to approach that of the internal standards. Still, the results for SW Mg from DLC tended to be $\sim 8\%$ higher than that from silicon,¹⁰ and, until this work, it was unclear why.

The first attempts to measure SW by SIMS after the Genesis return focused on silicon collectors, primarily because of the long history of SIMS analyses of silicon in the semiconductor literature. However, (1) silicon scratches easily, is reactive, and is especially difficult to clean without removing many nanometers of the surface, and (2) there are indications of radiation-induced segregation of solar wind ions in the silicon collectors,⁸

Radiation-induced segregation in silicon is a diffusive process in which implanted ions adjust their distribution to a radiation-induced gradient of internal energy within the crystal. In the solar wind collectors the highest internal energy (*i.e.*, the most intense disruption of the crystal lattice) is radiation damage from SW H and He because H is $\sim 95\%$ of the SW and He is $\sim 3\%$ of the solar wind. The rest of the lattice disruption is caused by the combined effects from the implantation of the rest of the periodic table. These heavier ions are initially planted deeper than the SW H or He but tend to diffuse towards the surface of the SW collectors. By moving into sites within the most damaged portion of the silicon, the larger ions can lower the energy of both their own bonds and those of the disrupted lattice. Similarly, some vacancies and other defects from the radiation damage (including H and He) will move down their concentration gradient into the depths of the silicon crystal, enabling heavier ions to move down their concentration gradient as well. So, radiation-induced segregation does not indicate the gain or loss of ions; rather, it is just a means to establish a lower total energy state inside the damaged crystal lattice: *i.e.*, diffusion can simply re-distribute the impurities and their related vacancies. Still, because the SW implant is shallow, there *may* be an exchange of SW ions with contamination from the free surface of the silicon collector. Therefore, it is important that a second collector type be analyzed to confirm retention of SW species in the silicon. This need to confirm analytical results of this unique SW sample using multiple collector-types drove this research into using SIMS to accurately and precisely quantify minor ions in ta-C films.

1.1. Issues encountered analysing DLC by SIMS

Our first SIMS analyses of SW ^{24}Mg in DLC treated the collector as a homogeneous material and, in addition, used implants of minor ions (^{25}Mg , ^{26}Mg) for calibration. This method was exactly the same as that used for silicon. However, the results

1.2. Sources and examples of observed matrix effects in DLC

Although the Genesis diamond-like carbon on silicon (DoS) flight wafers look beautifully uniform when studied by eye, post-analysis inspections flagged inhomogeneity that affected SIMS analyses.^{9,10} Occasional macroscopic features that indicate variations in structure and composition have been observed in the analytical pits in the DLC. These features include diamond crystals, exsolved clusters of SiC crystallites, and embedded particulates (probably either common dust and/or silicon powder present from cleaving wafers in the lab). Raman spectroscopy gave results consistent with inferred variations in composition (*e.g.*, the presence/absence of silicon or silicon carbide domains) and bonding (*e.g.*, variable ratios and distributions of sp^3 vs. sp^2 carbon bonds) among analysed areas. SIMS analysis confirmed a non-uniform distribution of minor silicon – likely inherited from the sputtering target used for the fabrication – and the presence of occasional embedded particulates. SIMS also revealed periodic contaminant layers in some wafers. This monolayer-scale contamination, when present,

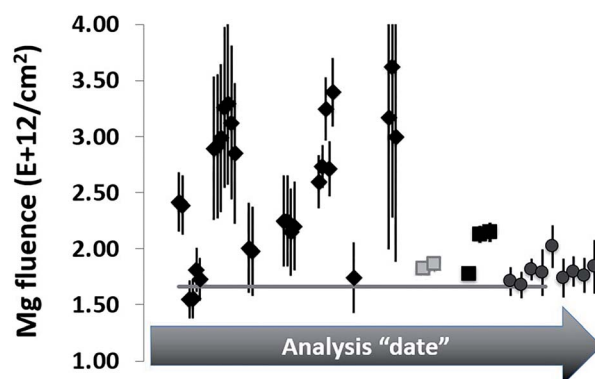


Fig. 1 Early SW ^{24}Mg analyses from DLC relative to silicon (horizontal line). Original analyses (diamonds) were scattered. Some improvement was inferred when standards and samples were alternately analysed in the centre of single hole mounts (black squares). Using internal standardization (grey squares) and parametrization (circles) to compensate for matrix effects, measurements were more reproducible. Still, results from DLC were higher on average than from silicon using standards from the same ^{25}Mg implant.

‡ Credit for first reporting radiation-induced segregation (also called radiation-induced diffusion) based on SW Mg in the silicon Genesis collectors to the Genesis science team goes to B.V. King (U. Newcastle, Australia). Because of his observations, and those of his colleagues at Argonne National Lab, there is an attempt to measure each SW element in at least two collector materials.⁷

likely decorates surfaces exposed to the vacuum chamber during annealing steps. These annealing steps are necessary to mitigate the extremely high internal stresses of the un-annealed DLC film.^{11,12} The Genesis DLC was annealed after each ~ 120 – 200 nm layer of the DLC film was deposited. The annealing process was also shown to change the texture of the DLC noticeably with depth^{12,13} although no effect on our SIMS analyses was observed (short discussion in ESI, Section B†). Finally, Monte Carlo models of implants into the DLC calculated using SRIM indicated a striking variation in density across the wafers. For example, model densities calculated for our standard ranged from ~ 2.8 g cm⁻³ to ~ 3.4 g cm⁻³. Diamond crystals were observed in the analysis crater having the highest calculated density. Density, although not usually included as a “matrix effect”, has a direct effect on both quantifying the implant and understanding ion yields for SIMS analysis of DLC.

For example, imagine two substrates that are otherwise identical but have strikingly-different densities. If these two substrates are co-implanted with the same ion implant, then, the substrate having the higher density matrix will exhibit a more compact implant profile. Because the number of implanted atoms is the same in both matrices, a compact profile also means that the implanted ions are present in higher concentrations. Therefore, *if identical SIMS conditions are used to analyse each of the substrates and if the sputtering rates happen to be identical*:

(1) Then the dense substrate should have a higher peak intensity. If that is not the case, then the ion yields for the implant in the two substrates are different.

(2) Then more of the higher density matrix is being removed per unit time and the depth profile into the lower density matrix will show implanted ions at greater depths.

Thus, in DLC, which is inhomogeneous in density, sequential depth profiles through a uniform implant should be expected to have different intensities and shapes, even if all else is constant.

A corollary for analysts is that double-checking for uniformity of analyses during collection of data is nearly impossible. The intensities and shapes of the raw implant depth profiles will not be consistent and, therefore, differences do not reflect the reliability of analyses. Even summing the total counts (normalized to beam current or matrix species) collected during each depth profile are of little use, because the sum of the normalized counts per second of the implanted ion relative to with their integral over the depth profile will differ for each analysis (further discussion in the ESI, Section A†).

The effects of the matrix variability on SIMS analysis is significant. For example, sputtering rates for multiple analyses on a single $\sim 2.5 \times 3$ cm sample were observed to be non-linear with beam current, even when changes in beam current were small; e.g., those standard analyses in ref. 9 and 10 having average beam currents of 21–24 nA had *per nA* sputtering rates that ranged from 0.00098 to 0.00127 nm per (s per nA), almost a $\sim 30\%$ variation. Moreover, for analyses from that SIMS session, after correcting data for instantaneous count rate and dead time, peak counting rates for the implanted ^{25}Mg of the standard varied between 9.5×10^3 cps and 3.7×10^4 cps. Fig. 2

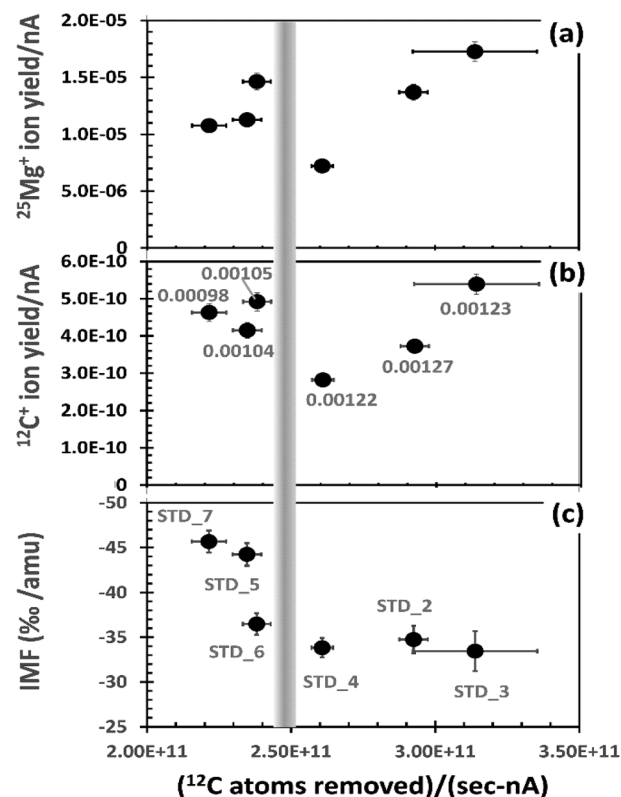


Fig. 2 Observed parameters vs. the number of matrix atoms removed in the analysed area (per s per nA). Vertical bar estimates separation of depth profiles inferred to have experienced significant O-etching (RHS) vs. those without (LHS). (a) Ion yield of $^{25}\text{Mg}^+$ per implanted ^{25}Mg per nA. (b) Ion yield of $^{12}\text{C}^+$ per C atom sputtered per nA. Labels are the measured sputtering rate (nm s⁻¹ per nA) for the analysis. (c) IMF ($^{25}\text{Mg}^+$ fractionation per amu). Labels are the profile designations. For ion yields, 1σ error is about the marker diameter; for ^{12}C atoms removed, error bar is the estimated effect of beam current drift based on $^{12}\text{C}^+$ drift.

plots results from the standard showing significant matrix effects on ion yields and instrumental mass fractionation (IMF)

To mitigate the influence of density variations, Fig. 2 uses the average number of matrix carbon atoms (in the analysed area) sputtered per second per nA for the x-axis. The number of matrix carbon atoms sputtered is calculated for each analysis by first using SRIM to model the density, assuming an all carbon matrix. Then, the beam current is assumed to be constant (*i.e.*, the average of the initial and final readings) – a necessary assumption because beam-current measurements for each duty cycle were not recorded by the depth-profiling routine.

The $^{25}\text{Mg}^+$ and $^{12}\text{C}^+$ ion yields per nA (y-axis in Fig. 2(a) and (b), respectively) are also given in relation to the atoms sputtered. In Fig. 2(a), $^{25}\text{Mg}^+$ ion yield per nA is calculated as follows. The integral $\int(^{25}\text{Mg}^+ dx)$ for each depth profile is corrected for the measured IMF (Fig. 2(c)) and then divided by the total number of ^{25}Mg implanted per analysed area (for our standard, $\sim 1.97\text{E}+10$ atoms per $150 \times 150 \mu\text{m}^2$; *i.e.*, the analysed area for Cameca's 60% DTOS function for a $250 \times 250 \mu\text{m}^2$ raster). The quotient is then divided by the average beam current. In



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2.1. The SIMS data reduction

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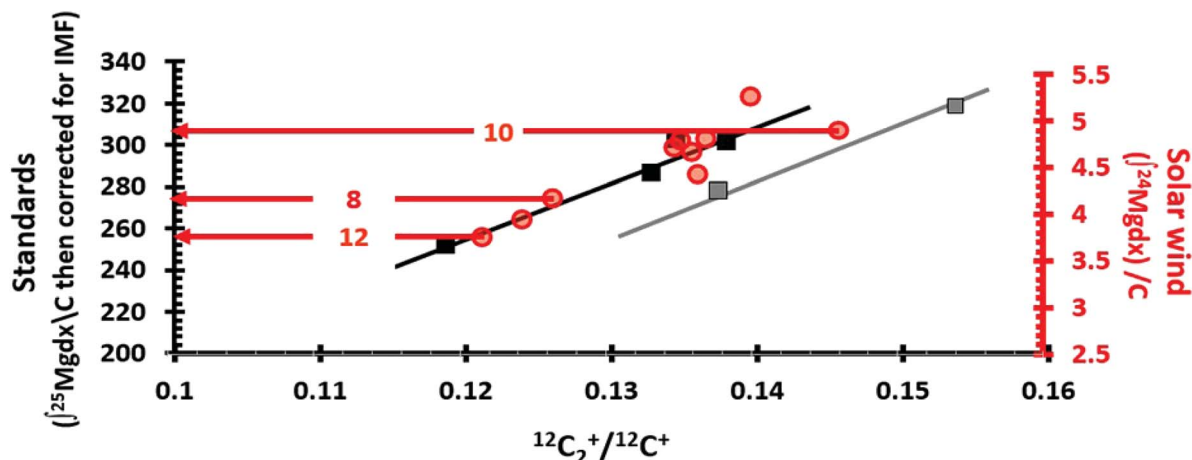


Fig. 4 Matching SW analyses (circles) with matrix appropriate standards (squares) using the matrix parameter $^{12}\text{C}_2^+/^{12}\text{C}^+$. LHS axis = integral of ^{25}Mg depth profiles from the standard after correction for IMF (squares); RHS axis = integral of ^{24}Mg SW depth profiles (red circles); horizontal axis is $(^{12}\text{C}_2^+/^{12}\text{C}^+)$ final for SW; $^{12}\text{C}_2^+/^{12}\text{C}^+$ + average for standards. Lines ($x = ^{12}\text{C}_2^+/^{12}\text{C}^+$, $y =$ integral of IMF corrected $^{25}\text{Mg}/\text{C}$) are trends of depth profiles from standards in two matrix types: black for silicon-controlled sputtering; grey for etching-controlled sputtering. The equations are: $y = 2716.697x - 70.2135$ and $y = 2716.697x - 97.0570$ for black and grey trends, respectively. The black "silicon" trend is a fit to 4 points with $R^2 = 0.95$. The grey "reactive etching" or "diamond" line has two points which, when the linear fit is forced to the slope of the black line, gives an $R^2 = 0.99$. The "Direct Reading" method for matrix-matching is illustrated for SW_10, SW_8 and SW_12 by red arrows (circle to LHS). For the "Analytical" approach for matrix-matching SW_10, SW_8 and SW_12, the $^{12}\text{C}_2^+/^{12}\text{C}^+$ parameters for SW_8 and SW_12 would be x in the equation of the "black" trend, while SW_10 would use the equation for the "grey" trend. This is equivalent to drawing a vertical line from the SW marker to the nearest trendline and then reading the LHS-axis. See Table 1 for the results.

achieved its best match to the data. At this point, the SRIM model for each standard analysis, which was only used for the surface correction, was complete. However, the SRIM model for each SW analysis had to be further refined because the background was also a variable. So, the tail of the SRIM model was subtracted from the actual counts near the end of the analysis, and that became the first estimate of the background. Then, the SRIM model was re-fit to the newly background corrected SW data, and both density and intensity were varied until the minimum deviation was reached.

The fit of the SW data to the SRIM model was quantified using the following equation:

$$\psi^2 = \sum_a^b \left(\frac{(\text{SIMS} - \text{SRIM})^2}{\text{SRIM}} \right) \quad (2)$$

where ψ^2 is used for chi-squared (χ^2) because X is used elsewhere as a variable, SIMS is the background-corrected measured data, SRIM is the model data, and the sum is over a range of crater depths thought to be below the ion-mixed contamination ($x = a$), but not into the "constant background" ($x = b$) consisting of true background plus the SW tail. How these points ($x = a$, $x = b$) were chosen is defined in Section 2.3. Note that – because both the SW data and the SRIM calculation contain some statistical error – occasionally a false minimum was reached for the ψ^2 . In that case, different choices of density and background would give a lower minimum.

3. Results

Fig. 4 overlays separate plots for the $^{24}\text{Mg}^*/\text{C}$ integrals of the standard analyses (squares) and the $^{24}\text{Mg}/\text{C}$ integrals for the SW

depth profiles (circles) vs. the parameter $^{12}\text{C}_2^+/^{12}\text{C}^+$ as outlined previously in Section 2.4. The match of the SW overlay to the standard plot was done visually, making sure that the $^{12}\text{C}_2^+/^{12}\text{C}^+$ coordinates matched and that the main trend of the SW data overlaid the solid black line, the "silicon" trend for the standards. The second trend, plotted through STD_3 and STD_4 with a slope parallel to the solid black line, is present because these two analyses appeared to be DLC having an anomalously-high level of diamond (sp^3 bonds). In the analysis pit for STD_3, diamond crystals (up to 600 nm in diameter) were observed. STD_4 had anomalously low matrix ion yields (Fig. 3), a low number of matrix removed per (s per nA) (Fig. 2(b)) despite having the lowest model density, and showed no sign of enhancement of Mg ions by silicon (Fig. 2(a)). In addition, STD_4 had a high $^{12}\text{C}_2^+/^{12}\text{C}^+$ which is indicative of low electrical conductivity (as discussed in Section 4.1 and in the ESI, Section D†).

Table 1 gives two methods for matching the SW data with matrix-appropriate standards based on Fig. 4. Our preferred method is "Direct Reading". Given the Fig. 4, direct reading entailed simply drawing an arrow from the center of the SW value to the corresponding standard value, whether or not it fell directly on one of the trend lines (e.g., the example horizontal red arrows Fig. 4). Because the SW value is not forced to fit a calculated trend, direct reading implicitly assumes that there may be matrix effects not accounted for by C_2^+/C^+ ratio, but that their effect is small enough that the parametrization still gives the matrix-appropriate standard.

The second method, "Analytical", takes the C_2^+/C^+ ratio for each SW analysis and calculates the standard value using the equation of the closest trend; i.e., it assumes that the C_2^+/C^+



Table 1 SW and corresponding standard integrals, and final fluence from eqn (1) using two methods (standard fluence = 8.76×10^{13})

	Analytical			Direct Reading		
	SRIM-corrected SW integral	STD integral	^{24}Mg fluence	STD integral	^{24}Mg fluence	Difference
SW_2	4.700	296	1.39×10^{12}	299	1.38×10^{12}	1.10%
SW_3	4.419	274	1.42×10^{12}	286	1.35×10^{12}	4.37%
SW_5	4.818	302	1.40×10^{12}	304	1.39×10^{12}	0.79%
SW_6	4.667	299	1.37×10^{12}	297	1.38×10^{12}	−0.65%
SW_7	4.795	297	1.41×10^{12}	304	1.38×10^{12}	2.30%
SW_8	4.164	273	1.33×10^{12}	276	1.32×10^{12}	0.83%
SW_9	5.266	310	1.49×10^{12}	325	1.42×10^{12}	4.64%
SW_10	4.893	300	1.43×10^{12}	308	1.39×10^{12}	2.75%
SW_11	3.945	267	1.29×10^{12}	265	1.30×10^{12}	−0.89%
SW_12 ^a	3.753	260	1.26×10^{12}	257	1.28×10^{12}	−1.20%

^a Particulate precludes iterative SRIM fit.

ratios for the matrix matches are a perfect predictor of the minor ion yield. The difference for the calculated fluences in the two sets of results is <5%. However, fluences calculated using the analytical approach are more scattered than for direct reading (1σ of 4.9% vs. 1σ of 3.2%).

Fig. 5 shows the distribution of the calculated fluences from the “Direct Reading” as a function of the C_2^+/C^+ ratio for each SW analysis and compares them to the preliminary SW results from silicon.¹⁹ The ^{24}Mg fluences measured in DLC are about 6% higher than the ^{24}Mg fluences in silicon at the higher C_2^+/C^+ ratios; however, they are consistent with those from silicon at the lower C_2^+/C^+ ratios. It is possible that this spread of ^{24}Mg fluences is simply due to counting statistics, but the spread may also indicate that other issues exist for quantification of DLC characterized by a matrix that yields a lower C_2^+/C^+ (Discussion in Section 4.4).

4. Discussion

SIMS analysis of diamond-like carbon is not yet a preferred method for the characterization of diamond-like carbon films

because secondary ion yields often vary with position on the film, making the data difficult to quantify (e.g., Fig. 2). However, technique development on the quantitative analysis of solar wind in DLC for NASA’s GENESIS solar wind sample return mission has shown that reproducible quantification of SIMS analyses of diamond-like carbon is not only possible^{10,15} but, in some situations, can provide incidental structural and chemical information.¹⁰ Below we discuss the reason this data reduction technique works, as well as its limitations.

4.1. Relevance of the C_2^+/C^+ parametrization

Previously, in Section 1.2, *sources and examples of observed matrix effects in DLC*, the use of the $^{12}\text{C}_2^+/\text{C}^+$ ratio for understanding matrix properties was justified empirically in Fig. 3. Specifically, Fig. 3 presented a strong linear correlation for the intensity of the $^{12}\text{C}_2^+$ dimers with those of the $^{12}\text{C}^+$ ions. Then, since the slope of the correlation of $^{12}\text{C}_2^+$ with $^{12}\text{C}^+$ was 0.13 (i.e., anything but ~ 1 , which might have indicated primary beam fluctuations, etc.), the $^{12}\text{C}_2^+/\text{C}^+$ ratio was deemed useful for matching analyses with matrix appropriate standards. Indeed, for this data set, the $^{12}\text{C}_2^+/\text{C}^+$ ratio appears to work remarkably well for that purpose (see Fig. 4 and Table 1). But, in Fig. 3 and in earlier studies^{10,11} there was no concrete evidence for why it worked. This lack of knowledge was an issue. Understanding why the parameter is effective is needed so that other researchers can: (1) evaluate whether or not this parameter is applicable to their data sets, and (2) understand how to develop another useful parametrizations if necessary.

The explanation for why the $^{12}\text{C}_2^+/\text{C}^+$ ratio worked as a useful parameter for matching analyses with matrix appropriate standards in this study is as follows. Elemental and molecular species are both formed during sputtering, irrespective of whether the SIMS is configured for positive or negative secondary ions. Moreover, the range of energies of ions ejected from the matrix is different for elemental *versus* molecular species. An example of the energy spectra for $^{12}\text{C}_2^+$ and $^{12}\text{C}^+$ that were recently (May 2020) measured under conditions similar to our original SIMS conditions is given in Fig. 6(b). The C_2^+ dimer has a narrow energy range compared

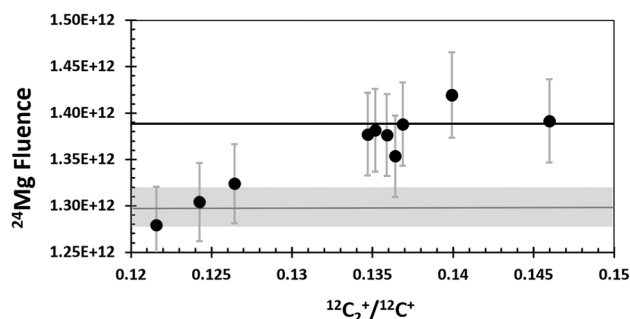


Fig. 5 SW ^{24}Mg from DLC (circles are “direct reading” of Table 1) and silicon¹⁹ (grey bar gives range of Si). Error bars are + 3.2%. The analysis with the lowest C_2^+/C^+ is SW_12. SW_11 and SW_8, the next lowest, have isotopic ratios that show multiple interferences, likely H-related.¹¹ SW_11 and SW_8 also plot between STD_7 and STD_5 on Fig. 4, the two standards that show increased ion yields due to minor Si in Fig. 2. The solid black line is the average from dlc without SW_8, 11 and 12, giving the maximum likely deviation of ^{24}Mg fluences from DLC vs. Si.

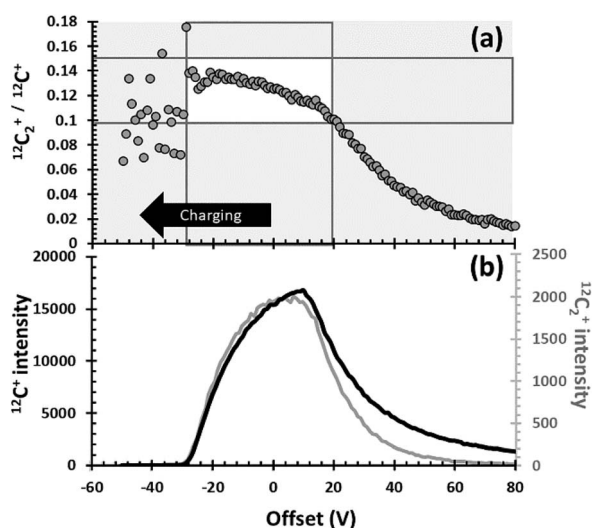


way. Although the voltage applied to the wafer fragment being analysed, V , is always a constant (here, 5000 V), the voltage that the matrix experiences while sputtering each profile varies as the conductivity of the matrix varies. Specifically,

where I_{beam} is the primary beam current; ΔC_{matrix} is the deviation of the conductivity the current matrix from the matrix at the position where the energy spectra were set to 0 V; $\Delta V_{\text{matrix}} = V_{\text{matrix}} - V_0$, which is the offset (V) in Fig. 6 if the reference voltage, V_0 , is the voltage of the matrix where the energy spectra were set to 0 V. Note that if V_0 is set at a spot which is perfectly conductive, then the offset is a shift from the applied +5000 V sample voltage. **In short:** if the electrical conductivity of the matrix varies with position on the DLC, then V_{matrix} will vary, even if the beam current is constant and, from location to location on the DLC, the energy spectra will be offset from the 0 V of Fig. 6 by the ΔV_{matrix} .

Normally, when the bandpass of ~ 40 eV is set up for the SIMS conditions required, the energy window is centred at the point of highest conductivity. However, at the time of this study, it was thought that the DLC was uniformly conductive in $250 \mu\text{m}^2$ areas, so a spot was chosen at random. It is unlikely that an area having the highest conductivity was used to set the 0 V position of the energy window. Therefore, in this study, an area with a positive charge less than the area used for setting 0 V would slide the energy spectra to the left and give a smaller $^{12}\text{C}_2^+/^{12}\text{C}^+$ ratio; *e.g.*, the range of voltage offsets from 5000 V from -30 V to $+20$ V in Fig. 6a probably all represent positive charging from the O_2^+ beam.

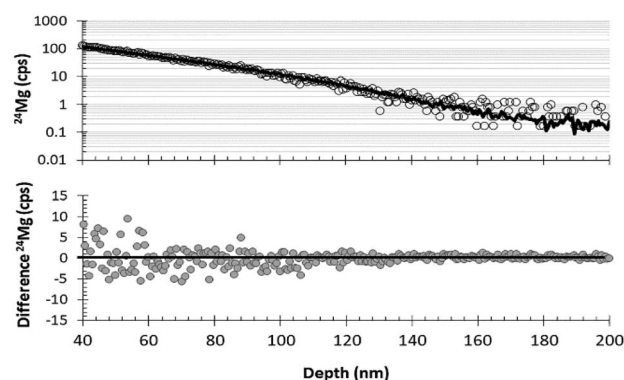
The white box in Fig. 6a gives $^{12}\text{C}_2^+/^{12}\text{C}^+$ ratios observed in this study as a function of a shifting ΔV_{matrix} . Note the roughly linear trend of $^{12}\text{C}_2^+/^{12}\text{C}^+$ ratios diagonally through the box; this variation explains the trend illustrated by Fig. 3. In Fig. 3, STD_4 was the analysis with the lowest intensity counts; it also had a $^{12}\text{C}_2^+/^{12}\text{C}^+$ ratio of 0.154 (the upper LH corner of the white box in 6a). Thus, it had a strong positive charge – so strong, in fact, that it was on the edge of signal loss. Diamond is a semiconductor, but the band gap is 5.5 eV, which is $5\times$ that of silicon. That is, undoped, diamond is essentially electrically insulating. So, the extreme voltage offset (charging) during the STD_4 analysis is consistent with large areas of sp^3 bonds whose electrical resistance is not mitigated by smaller areas of sp^2 bonds, and that consistency supports the speculation that the matrix of STD_4 was an area consisting of nanodiamond. STD_7 also had low intensities of $^{12}\text{C}_2^+$ and $^{12}\text{C}^+$; however, its $^{12}\text{C}_2^+/^{12}\text{C}^+$ ratio was the lowest measured (0.119), which suggests that the analysis was in the most conductive DLC matrix measured in the standard. The low absolute $^{12}\text{C}_2^+$ and $^{12}\text{C}^+$ ion yields in Fig. 3 confirm that the matrix of STD_7 was significantly more conductive than the DLC matrix on which the energy window was centred. Note that the $^{25}\text{Mg}^+$ ion yield per C sputtered per nA was high (Fig. 2a). So, silicon (as silicon or silicon carbide) was probably present to boost the local oxidation state. This observation is consistent with the low $^{12}\text{C}_2^+/^{12}\text{C}^+$ ratio because both silicon and silicon carbide are more electrically conductive than diamond. Note that some of the change



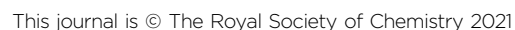
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Still, Table 1 does make it clear that the C_2^+/C^+ parametrization is not perfect, as demonstrated by the occasional differences in the data given for “Analytical” vs. the “Direct Reading” techniques (Table 1). At this point, it is not clear why there are discrepancies between the two techniques. However, note that the multiple annealing steps may result in changes in the texture of the earliest deposited DLC layers.¹² Such a change was detected in a Genesis sample by comparing Raman spectra taken from the surface (edge of the crater) and the floor of a single analysis crater and then calculating the change in the Raman D and G peaks.¹³ It is possible that variations in the DLC matrix with depth caused by adjustments in texture or bonding due to the numerous anneals occasionally cause matrix-related variations in secondary ion yields or conductivity. Other factors (*e.g.*, an embedded particulate or other defect in the film) could also potentially change the C_2^+/C^+ value.

DLC is, in general, very retentive of implanted ions. For example, Vainonen *et al.*²⁰ showed that H does not diffuse measurably in DLC films at temperatures under 700 °C, even when moderately radiation-damaged by He implantation. H is, in general, a fast diffuser relative to other elements. Moreover, ~97% of the SW is SW H and SW He while the remaining 3% (the “minor ions”) consists of the rest of the periodic table; therefore, the other elements are present at concentrations orders of magnitude lower. The question is whether or not the implant profile of the minor ions can be modelled by SRIM into a C matrix, or if the damage from the concurrently implanted SW H and He allows them to move. To this end, Fig. 7 and 8 compare the raw, measured ²⁴Mg data from SW_3 to a SRIM model, indicating an excellent fit. Other examples of SRIM fits to SW in DLC are available in the ESI† of ref. 10, and thus far, the profiles of “minor ions” in DLC appear to be accurately modelled using SRIM. SRIM modelling of implants into DLC for the purpose of the surface correction can be cumbersome and is not always necessary. An alternative is to draw a line from the break-in-slope of the SIMS data as illustrated by the dashed line in Fig. 8 (note: the break in slope is weak due to significant contamination up to the peak but, as a best guess, it is typical, with the line starting at 0 and intersecting the raw data ~10–



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4.3. Relevance of results to DLC structure

Dynamic SIMS analysis is not anyone's first choice for determining matrix properties, especially structure. By definition, during dynamic SIMS the ion beam pulverizes and mixes the matrix within the zone of sputtering before emitting the secondary ions. This process creates analytical artefacts (*e.g.*, spreading of the depth profile from ion mixing) and the molecular secondary ions that form may or may not represent compounds present in the original matrix.

Yet, using this data set, we have gleaned significant information about the properties of the DLC. The $^{12}\text{C}_2^+ / ^{12}\text{C}^+$ parametrization allowed inferences about the relative conductivity of specific columns of matrix. Similarly, sputtering rates, the apparent sputter yields, and measured IMF (Fig. 2) indicated the presence or absence of silicon within different portions of the DLC film – inferences which were later confirmed using Raman spectroscopy. Contamination was occasionally observed in the DLC at surfaces exposed to the vacuum during annealing steps, and in some depth profiles, variations in the intensity of individual matrix ions were also observed near these surfaces [*e.g.*, ESI, Section B†]. The matrix ion fluctuations in the vicinity of annealing steps may indicate that the surface carbon is either a different density or bonding-structure; however, the $^{12}\text{C}_2^+ / ^{12}\text{C}^+$ ratio, which was primarily controlled by conductivity [*e.g.*, ESI, Section D†], remained constant. SEM inspection of the sputtered craters revealed textures present at the sub-micron scale internal to the film. Perhaps most interesting of all is that the SRIM models used here – meant as estimates of the shape of the implant – gave densities for the DLC consistent with other modes of density determination (*e.g.*, ref. 2). Our SRIM models were simple approximations: silicon (and H for SW models) was omitted from the calculations. The omission of both Si and H would tend to make our SRIM model densities slightly low when either of these elements are present in significant concentrations. Spreading from ion mixing was assumed minimal and ignored. Yet, a density of 3.4 gm cm^{-3} for an area of DLC dominated by the presence of diamond crystals (density of 3.54 gm cm^{-3}) but also containing matrix cannot be far from correct.

On the other hand, STD_4, which had a matrix of extremely high $^{12}\text{C}_2^+ / ^{12}\text{C}^+$ ratio (0.153), also had very low ion yields suggestive of charging at the $\sim 30 \text{ eV}$ level (refer to Fig. 6 and ESI, Section D†). This charging hinted at a region of nanodiamonds; *i.e.*, a matrix of sp^3 bonding with a electrical minimal conductivity because of the very few regions of sp^2 bonding. But, the SRIM model density was low (2.85 gm cm^{-3}). The density may indicate a region of poor compaction: *i.e.*, if diamond nuclei formed an interconnected framework rapidly – before maximum densification – they would be unlikely to change their structure afterwards because of their high strength. This “imperfect sintering” scenario is plausible, but unlikely, because the internal stresses induced during fabrication are enormous.^{1,11,12} STD_4 also had the slowest sputtering rate per nanoampere of the matrices dominated by reactive ion etching (Fig. 2b), and that sputtering rate was close to that of STD_3, the matrix of density 3.4 gm cm^{-3} that contained euhedral

diamonds. It is doubtful that the primary ion beam was deflected: in fact, there appeared to be much less scattering of the primary beam ions from surface ^{24}Mg in the near-surface portion of the depth profile for STD_4 than for either STD_3 or STD_2 (see Section A3.2 of ESI of ref. 10 for examples†). In a discussion of this possibility in both oral and written communications, J. Ziegler (creator of SRIM) stated that the electrical properties of crystalline diamond meant that SRIM models were unreliable. So, if the matrix was effectively all sp^3 bonding (diamond) for this particular analysis, the SRIM model density may be incorrect (low) because the lattice parameters in the SRIM model are incorrect. Thus, although SRIM has given us what appear to be very reasonable densities, they are still model densities. Using our analysis conditions, the presence of diamond may result in a SRIM model density that is lower than the true density unless an experimentally-derived bonding correction is used for the SRIM input.

Additional, experimentally based bonding corrections for SRIM of DLC will be detailed later in this section; but, first, we note that ion mixing by the primary beam could also result in a SRIM model density that is too low. Specifically, if the peak and tail of the depth profile are extended by mixing of the measured ion with the matrix during sputtering, then the SRIM model needed to fit our depth profile will be stretched, thus giving a slightly lower density. However, the SIMS conditions in this study were designed to get the maximum depth-resolution from the ion implants: low impact energy and a 20 nA current rastered over a $250 \mu\text{m}^2$ area. The large raster was instituted to increase the analysed area and lower sputtering rate; however, it also lowered the number of impacting primary beam ions per unit area ($4.2 \times 10^{14} \text{ O ions per cm}^2$). A smaller flux of charge per unit area probably made it easier for the DLC to dissipate the charge over a wide range of matrix conductivity, too. The effect of these SIMS conditions can be seen in the depth of the zone of transient sputtering which was negligible for $^{12}\text{C}_2^+$ and only $\sim 4 \text{ nm}$ for $^{12}\text{C}^+$ (see ref. 9). Since the depth of the zone of transient sputtering is proportional to the depth of mixing at steady state sputtering,¹⁴ there was very little ion mixing of the matrix during this SIMS session.

The quality of the depth resolution (or the amount of ion mixing) should not be estimated using previous experience with silicon, the standard material analysed by SIMS. DLC and silicon have exceedingly different stopping powers when hit by an ion beam. The differences in the stopping power of DLC *vs.* silicon are due to three factors: the stopping power of C *vs.* Si, the higher density of DLC, and the effect of the strong sp^2 and sp^3 C bonds. This fact can be illustrated using SRIM by running simulations of the primary beam impact. First, run simulations into plain carbon and silicon matrices of the same density (2.321 gm cm^{-3}). Using O ions at 3.5 keV (*i.e.*, $1/2 \text{ O}_2^+$ at a 7 kV impact energy) and a primary beam angle of 42° , the range for the depth of the implant peak into C calculated by SRIM is 6.3 nm , but 9.6 nm for Si. Moreover, the average density of the DLC calculated in this study was 3.0 gm cm^{-3} and $6.3 \text{ nm} \times (2.321 \text{ gm cm}^{-3}) / (3.0 \text{ gm cm}^{-3}) = 4.9 \text{ nm}$, only about 20% more than the observed transient into DLC of $\sim 4 \text{ nm}$. That 20% difference in the calculated *vs.* observed transient sputtering can be at



whereas we were running about 2×10^{14} . But, if you happened to hit an anomalous area where the voltage offset (V_{matrix}) is high (*e.g.*, STD_4) with a high density (*e.g.*, STD_3) the 6.8 nm radius becomes a 4.2 nm radius, and the lower limit for dynamic SIMS becomes 3.9×10^{13} . Therefore, further lowering the impact energy (which would decrease the lateral damage radius of each primary ion) could, in theory, mean that – on rare occasions – the DLC would hit the limit between dynamic and static SIMS and that analysis would not be quantifiable. Support for this concept comes from an experiment performed at the beginning of the analysis STD_4: for first ~ 300 s, the matrix was purposely sputtered using a slightly defocused beam (*i.e.*, finite round beam instead of a spot). The result was that it was not clear that there was any sputtering during the first ~ 300 s (see the ESI of both⁹ and this work, Section F†).

Although bonding is a second order effect on stopping power, according to the SRIM website's background information (see Stopping of Ions in Compounds under SRIM 2008), carbon bonds have a significant stopping power relative the atomic core (if C core = 1, then C-C bonds = 1, C=C bonds (sp^2) = 2.49, and C≡C bonds (sp^3) = 3.81). The Core and Bonding (CAB) model used in SRIM deals with contribution of the bonds using a linear addition of stopping powers through their “compound correction”. Note that SRIM 2008 has a catalogue material #906 nuclear grade graphite having a compound correction of 0.8684 (or 13%). Unfortunately, a description of #906 graphite could not be found in the literature, but since the phrase “nuclear grade” simply refers to purity, this was probably a pressed graphite containing both a minor amount of sp^3 bonding (which would decrease the compound correction relative to 0.8684) as well as a small amount of void space (which would increase the compound correction relative to 0.8684). Assuming that this material is, nominally, 100% sp^2 bonded carbon (*i.e.*, sp^3 bonds and voids cancel), then the compound correction for sp^3 bonded carbon should be 0.7986 (20%). This result is the same as that calculated above using SRIM to determine the depth of the transient sputtering zone of the O from the primary beam into simple carbon, and then correcting 20%. Note that if a carbon SRIM model using a compound correction of 0.7986 is used to model STD_4, then the model density becomes 3.0 gm cm⁻³. This value is consistent with STD_2, 5, 6, and 7 which have SRIM model densities of 3.00–3.05 gm cm⁻³. Only STD_3 – which contained diamonds visible by SEM – is different, with a model density of 3.4 gm cm⁻³ [calculation in ESI Section E†].

In summary, the SRIM model densities calculated under the conditions of this study seem relatively consistent, especially if accommodations are made for matrix bonding and ion mixing by the primary beam. The accuracy of our calculated densities for this data also suggests that, unlike Mg in silicon, the implanted ions in DLC have been effectively immobile both during and after collection, and there is little mixing during sputtering by the SIMS ion beam. But, could we have improved our analytical conditions to give more accurate densities? That is, would our calculated SRIM densities have been higher if we had further lowered the impact energy for even less ion mixing and conducted an analysis session using the same raster and current? Although more research is needed, the first author thinks not, for the following reason. For the SRIM model mentioned above, the nominal depths of the O ions were 6.3 nm and 9.6 nm for C and Si, respectively, but the lateral ranges were 7.3 nm and 11.5 nm, respectively. Taking into account the 3.0 gm cm^{-3} density of the DLC and the estimated 20% additional stopping power from the concentration of sp^3 bonds, the lateral damage radius for the incoming ions into DLC was $7.3 \times 2.321/3.0/1.2 = 6.8 \text{ nm}$ vs. 11.5 nm for silicon. Since the area damaged by each incoming ion is proportional to the square of the radius (after McPhail 2006 ref. 22) and the lower limit for dynamic SIMS in silicon is $\sim 5 \times 10^{12}$, the lower limit for dynamic SIMS in DLC is $\sim 5 \times 10^{12} \times (11.5^2)/(6.8^2) = \sim 1.4 \times 10^{13}$ ions per cm^2 ,

4.4. The role of matrix effects due to SW H

The DLC used for solar wind collection in the Genesis spacecraft was anhydrous, but hydrogen was present after SW collection. Hydrogen is the primary component of the solar wind, with the Genesis bulk solar wind collectors implanted with ^1H at a fluence of $\sim 1.6 \times 10^{16}$ atoms per cm^2 as measured by Huss *et al.*¹⁵ (there is no measurable deuterium²³). In DLC the SW H implant peaks within the first 10–12 nm of the collection surface and the local concentration of H can reach as much as ~ 2 atom%, which is still in the ta-C field of the phase diagram of Robertson.² Although this study is concerned with ta-C throughout, hydrogen is an important component of many types of commercial DLC. Accordingly, we emphasize that clear “matrix effects” of SW H and other H implants into the Genesis ta-C were observed in both¹⁰ and in ref. 15. Below we discuss the contribution of H to matrix effects observed in SIMS data and how they may influence some calculated SW Mg fluences from Genesis DLC.

First, near the surface of our Genesis collectors (also corresponding to depths where SW H was concentrated), the C_2^+/C^+ ratio tended to be higher than the rest of the depth profile.⁹ The C_2^+ ion yield appeared to be nearly unaffected by the initial transient sputtering; in contrast, the C^+ ion yield showed noticeable transient sputtering effects. One interpretation of this observation is that the surface has become “more diamond like” in that the SW H ions damage high-stress areas of DLC and allow strongly bonded, strained regions to restructure (*e.g.*, an opportunity to increase the percentage of sp^3 domains and perhaps grow crystallites such as nanodiamond or perhaps silicon carbide in a Si-rich areas). This first interpretation is consistent with observations of changes in amorphous carbon films such as ta-C when radiation damage was noted in multiple studies (*e.g.*, ref. 24 and 25). An alternative interpretation is that low-energy sputtering during SW H bombardment winnowed the weaker C bonds from the DLC surface creating an increased C_2^+/C^+ ratio at the surface as observed by Nakazawa *et al.* (2010);²⁶ however, both the low current of SW ions and the low dose of SW H ions relative to atoms in the carbon matrix makes a major contribution from sputtering during the two-year exposure to the solar wind highly unlikely. A final option is



^a From Table 1 – relative to 1.38×10^{12} . ^b Corrected for IMF10.

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