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**Complementary Colloid and Collector Nanoscale
Heterogeneity Explains Microparticle Retention Under
Unfavorable Conditions**

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Environmental Significance Statement

Nanoscale heterogeneity governs the retention of nano- and micro-particles in environmental granular media such as saturated sands and gravels that are important groundwater resources. Constraining the sizes and prevalence of nanoscale heterogeneity on surfaces is critical to predicting particle transport in contexts spanning water resource protection from pathogens to remediation of groundwater *via* targeted delivery of reactive agents. By incorporating discrete representative nanoscale heterogeneity on simulated surfaces, we constrained by comparison of simulations to experimental observations the scales and prevalence of nanoscale heterogeneity relevant to particle transport and retention in environmental granular media.

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6 **Complementary Colloid and Collector Nanoscale Heterogeneity Explains**
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8 **Microparticle Retention Under Unfavorable Conditions**
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Abstract

Nano- and micro-scale particle (colloid) retention in environmental granular media is greatly reduced when the profile of colloid-grain surface interactions as a function of their separation distance includes a repulsive barrier. Under such unfavorable attachment conditions, typical of environmental settings, simulation of colloid retention predicts zero retention unless nanoscale heterogeneity is included to locally reduce or eliminate the repulsive barrier and allow attachment to the grain (collector). Simulations previously incorporated nanoscale heterogeneity on either the collector or the colloid, whereas complementary contributions of collector and colloid nanoscale heterogeneity have not been previously examined to the knowledge of the authors. The sizes and surface coverages of nanoscale heterogeneity on colloid (carboxylate modified polystyrene latex, CML) and collector (silica) surfaces that act complementarily to explain experimentally-observed retention in impinging jet experiments was herein examined for colloid sizes ranging from 0.11 to 6.8 μm at pH ranging 6.7 to 8.0 and ionic strength (IS) ranging 6.0 to 20.0 mM. We demonstrate that complementary contributions of power law size-distributed nanoscale heterogeneity; 25 to 90 nm radii on the collector; 5 to 60 nm radii on the colloids, captured the observed retention across the entire colloid size range (including previously uncaptured retention of $> 2.0 \mu\text{m}$ CML) for all pH and IS conditions. This approach greatly reduced the required maximum size of heterodomains (nanoscale attractive zones) from 320 nm radii required when heterogeneity was incorporated solely on either the collector or colloid surface and constrains the scales of spectroscopically-observed surface heterogeneity relevant to colloid retention.

Introduction

Predicting the retention of nano- and micro-particles in environmental granular porous media underlies protection of groundwater resources from pathogens including nanoscale viruses (*e.g., rotavirus*) and microscale protozoa (*e.g., cryptosporidium parvum*)¹⁻⁴ and subsurface remediation *via* targeted delivery of engineered colloids ranging from nanoscale zero-valent iron to microscale biochar.⁵⁻¹¹ We herein refer to nano- and micro-particles as colloids for ease of reference. At the pore scale, colloid retention involves mass transport from bulk fluid to

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3 grain surfaces, and subsequent attachment.^{12,13} Retention is quantified as collector efficiency,
4 η , which is the fraction of colloids that are retained relative to those that enter the collector
5 (representative grain plus fluid shell).
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9 Attachment is moderated by colloid-collector interactions arising from physicochemical
10 characteristics of their surfaces as moderated by properties of the fluid.¹⁴ Some interactions
11 can be attractive (*e.g.*, van der Waals interactions for positive combined Hamaker constants)
12 while others can be repulsive (*e.g.*, electric double layer interactions for like-charged
13 surfaces).¹⁴⁻¹⁶ Because the various colloid-collector interactions decay at different rates as a
14 function of separation distance, repulsive interactions such as electric double layer (EDL) may
15 dominate at intermediate separation distances between a few nm and several tens of nm,
16 generating a repulsive barrier, and so-called unfavorable conditions for attachment.¹⁷⁻²³

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18 Calculated forces and torques under unfavorable conditions predict no attachment when the
19 repulsive energy barrier exceeds approximately 10kT. Energy barrier magnitudes calculated
20 from measured ζ -potentials of environmental surfaces are typically orders of magnitude larger
21 than this threshold.¹⁷ However, colloid attachment under unfavorable conditions is routinely
22 observed in environmental granular media.¹⁷
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25 At the continuum scale, for each collector passed, retention of a fraction of the colloid
26 population (as quantified by η) yields compounded loss, such that colloid concentration is
27 expected to decrease exponentially with increasing transport distance (*e.g.*, Li et al.,²⁴ Johnson
28 and Hilpert²⁵). However, this exponential decrease is observed only under favorable
29 conditions,^{24,25} whereas observed colloid distributions from source are non-exponential under
30 unfavorable conditions, even for monodisperse colloids, in both field²⁶⁻³¹ and
31 laboratory^{24,25,32,33} settings. This profound transition from exponential to non-exponential
32 colloid distribution from source accompanying the transition from favorable to unfavorable
33 conditions is the primary characteristic that underlies our investigation.
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36 Whereas dynamic flow, grain shape, and grain size distributions are additional critically
37 important attributes of environmental granular media, we examine steady-state flow and
38 granular media comprised by uniform spherical grains in order to examine the impact of
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3 favorable versus unfavorable conditions. Our experimental pore-scale system represents the
4 forward flow stagnation zone on porous media grains, which are well-known *loci* of colloid
5 delivery to grain surfaces.¹² Such pore-scale single-focal plane observations of colloid retention
6 and re-entrainment inform mechanistic simulations, which when upscaled with additional
7 assumptions regarding network-scale transport, reproduce the observed non-exponential
8 colloid distributions from source.^{34,35}

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15 To predict attachment under unfavorable conditions lacking macroscale heterogeneity,
16 nanoscale heterogeneity (local zones of nanoscale attraction) must be represented on the bulk
17 repulsive surface.^{22,23,30,36–41} Current analytical techniques (*e.g.*, X-ray photoelectron
18 spectroscopy, atomic force microscopy) can detect nanoscale heterogeneity,^{21,42–44} however,
19 the significance of detected scales and surface coverages to colloid retention is unclear. To
20 constrain scales and surface coverages of nanoscale heterogeneity relevant to colloid retention,
21 discrete representative nanoscale heterogeneity (DRNH) was developed for prediction of
22 colloid retention on overall repulsive surfaces.^{22,23,41} The basis for DRNH is that as colloids
23 move into proximity with heterodomains (nanoscale zones of opposite charge to bulk surface),
24 colloid-collector interactions will be net repulsive or net attractive depending on the fraction of
25 the zone of interaction (ZOI) occupied by heterodomain(s)³⁹ (Figure 1a). Because the ZOI
26 increases with colloid size and contracts with increased ionic strength (IS),³⁹ colloid-collector
27 interactions depend on colloid size and solution IS, thus allowing DRNH to be obtained *via*
28 comparison of colloid trajectory simulations to experiments under varied colloid size, fluid
29 velocities, IS, and pH values.^{22,23,41}

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43 Upon reaching the near-surface fluid domain *via* mass transport (diffusion, settling, and fluid
44 drag), simulated colloids experience repulsion and translate across the collector surface until
45 they encounter a condition wherein the fraction of ZOI area occupied by heterodomains (AF) is
46 sufficient to reduce or eliminate the repulsive barrier and allow attachment. The likelihood of
47 meeting this condition increases with the likelihood of encountering heterodomains on the
48 collector (HETC), which increases with increased diffusion and fluid drag in the near-surface
49 pore water.⁴¹ The likelihood of encountering heterodomains also increases with increasing
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3 surface coverage (SCOVC) and size (R_{HETC}) of HETC until HETC become sufficiently large and
4 prevalent to guarantee attachment ($AF > \text{threshold}$) regardless of colloid location.
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8 In previous approaches^{22,23,41}, DRNH was assumed to follow a power-law size distribution under
9 the reasonable assumption that larger heterodomains reflect natural clustering (*via* random
10 location) of smaller heterodomains, such that spatial frequencies of heterodomains (or
11 heterodomain clusters) decrease with increasing size. DRNH comprising a power law
12 distribution of heterodomains on the collector surface (Figure 1b, closed circles), explained the
13 observed minimum η in the size range corresponding to the n- μ transition (0.2 to 2.0 μm
14 diameter) for carboxylate-modified latex colloid (CML) retention on silica⁴¹ (Figure 2, red dotted
15 lines versus triangles). However, these colloid trajectory simulations, which incorporated DRNH
16 solely on the collector, significantly under-predicted experimentally-observed η under
17 unfavorable conditions for CML of sizes exceeding 2.0 μm (Figure 2, red dotted lines versus
18 triangles). In order to match the observed η values, DRNH surface coverages for the largest
19 heterodomains (capturing the largest colloids) would necessarily violate a power law
20 distribution, wherein per area and fractional surface coverages of the largest heterodomains
21 would exceed those of smaller heterodomains (Figure 1a, open versus closed circles and SI,
22 Figure SI-1). This discrepancy, and the observation that $> 2.0 \mu\text{m}$ colloids of various
23 compositions (biological and non-biological) show near-favorable η under unfavorable
24 conditions,⁴¹ suggest that heterodomains on the colloid surface may increase retention of these
25 larger colloids.
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42 Previous simulations have accounted for nanoscale heterogeneity as nanoscale zones of
43 opposite charge to bulk surface (heterodomains) on either the collector^{22,23,39,41,45} or the
44 colloid,⁴⁶⁻⁴⁸ or both.⁴⁹ Comparison of the kinetics of attachment *via* either heterodomains on
45 colloid or collector⁴⁶ demonstrates that the attachment process is at least 50% slower *via*
46 colloid heterodomains if fractional surface coverage by heterodomains is sufficiently low that
47 colloid rotation becomes a factor in the likelihood of their projection falling within the colloid-
48 collector ZOI. To the knowledge of the authors no study has examined the complementary
49 impact of heterogeneity on both the collector and colloid surfaces for the purpose of
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3 constraining heterodomain sizes that explain experimental results. Therefore, our objective is
4 to explore the conditions under which heterodomains on the collector and the colloid (HETC
5 and HETP, respectively) produce colloid attachment through complementary contributions of
6 attractive interactions within the ZOI. The central hypothesis of this study is that
7 complementary contributions from power law-distributed HETC and HETP may produce the
8 experimentally-observed η of $> 2.0 \mu\text{m}$ colloids while preserving the match of simulated to
9 observed colloid retention for smaller colloids, and while reducing the maximum heterodomain
10 sizes necessary to explain colloid retention. We test this hypothesis *via* mechanistic colloid
11 trajectory simulations incorporating DRNH on both collector and colloid surfaces, under
12 conditions that allow implicit representation of colloid rotation.
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22 **Methods**

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24 Experiments examined retention of carboxylate-modified polystyrene latex (CML) fluorescent
25 microspheres ($\lambda_{\text{ex}} = 505$, $\lambda_{\text{em}} = 515$ nm) (Molecular Probes Inc., Eugene, OR) of six diameters
26 (0.11, 0.25, 1.1, 2.0, 4.4 and 6.8 μm) on soda-lime glass slides and coverslips (herein referred to
27 silica) (Fisher Scientific, Inc.) in and impinging jet flow cell, as previously reported in Ron et al.⁴¹
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32 *Colloidal suspensions*

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34 Colloid suspensions were prepared from stock in relevant solution with concentrations ranging
35 from 2.5E5 to 2.5E7 microspheres per mL. The microsphere suspension concentration was
36 determined *via* vacuum filtration of colloid solution (volume adjusted to ensure > 20 CML per
37 observation area) on 0.05 or 0.1 μm polycarbonate filters (Millipore) followed by averaging
38 counts of 45 random observation areas using wide-field fluorescence for colloid illumination
39 and scaling this average to the area of deposition on the filter. The relative standard deviation
40 of counts was 10% or less demonstrating uniform colloid deposition across the filter.
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49 Suspension ionic strength (IS) was adjusted using NaCl. Unfavorable solutions (6.0 mM and 20.0
50 mM IS) were buffered with 2.2 mM MOPS (3-Morpholinopropane-1-sulfonic acid) (Sigma-
51 Aldrich Corp.) with pH set to 6.7 and 8.0 using NaOH (0.5 M). The ion contribution from the
52 buffer was accounted for when calculating the solution ionic strength. Favorable solutions
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3 were achieved by increasing the solution ionic strength to 50.0 mM and decreasing the pH to
4 2.0 using HCl (1.3 M). In cases where ζ -potentials of the collector and colloid were both slightly
5 negative, the net interaction was attractive.⁵⁰
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9 CML electrophoretic mobility (EPM) was measured in suspensions using ζ -potential analyzer
10 (Mobiu ζ , Wyatt Technology Corp., Santa Barbara, CA). CML ζ -potentials were calculated from
11 EPM *via* the Smoluchowski equation⁵¹ (SI, Table SI-1). CML roughness was measured with an
12 atomic force microscope (model N9451A Agilent Technologies; Santa Clara, CA). CML RMS
13 roughness was 13.0 ± 7.0 nm ($1.1 \mu\text{m}$), 10.0 ± 7.0 nm ($2.0 \mu\text{m}$), 13.0 ± 6.0 nm ($4.4 \mu\text{m}$), and 27.0
14 ± 9.0 ($6.8 \mu\text{m}$).⁴⁰
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21 *Collector surface*

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23 Silica slides were cleaned *via* the SC-1 procedure⁵² prior to every experiment. Silica ζ -potentials
24 were adopted from representative values reported in the literature^{53,54} (SI, Table SI-2). Silica
25 RMS roughness was 1.0 ± 0.7 nm as measured with an atomic force microscope (model N9451A
26 Agilent Technologies; Santa Clara, CA).⁴⁰
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31 *Impinging jet experiments*

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33 A custom-made stainless-steel impinging jet flow cell (radially symmetric) (SI, Figure SI-2) was
34 used to observe colloid attachment and detachment, as described in previous studies.^{22,23,50}
35 The jet (cell inlet) was 0.5 mm in radius, and the impinging surface was located 1.25 mm from
36 the jet, perpendicular to the jet axis. To ensure an evenly radial distribution of the flow across
37 the cell, four outlets were evenly spaced in a circular array at a radial distance 10.0 mm from
38 the jet axis.
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46 Colloid attachment experiments were conducted by injecting colloidal suspensions in the flow
47 cell after 30 min of equilibration of the collector surface with injected colloid-free solution.
48 Attachment was quantified as collector efficiency (η = number attached/number injected).^{22,50}
49 Experiments examined the following conditions: pH 6.7 and 8.0, 6.0 mM and 20.0 mM IS, and
50 average jet velocity (v_{jet}) of $1.7\text{E-}3$ ms^{-1} (or 2.2 mday^{-1} average pore water velocity, and within
51 the ranges relevant to environmental granular media^{55,56}). The duration of the experiments
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3 ranged from 1 to 6 h depending on rate of attachment. Colloids were illuminated using wide
4 field fluorescence with a band-pass filter for excitation (478-493 nm) and a (405/488/543 nm)
5 dichroic filter for emission (Chroma Technology Corp., Bellows Falls, VT). The 1.1 μm and larger
6 CML were experimentally-observed using a 10x objective (Nikon, Japan), the 0.25 μm and
7 smaller CML were observed using a 60X objective (Plan Apo TIRF DIC H; Nikon, Japan). The
8 number of attached colloids at several time intervals (*e.g.*, 15 s) was tracked with images taken
9 via a CoolSNAP HQ CCD camera (Photometrics, Tucson, AZ) and processed using image analysis
10 software (MetaMorph, Universal Imaging Corp., Downingtown, PA). A detailed description of
11 the optical setup is provided in previous publications studies.^{22,23,50}
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20 *Colloid trajectory model*

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23 A Lagrangian colloid trajectory model developed for the impinging jet system (*e.g.*, Pazmino et
24 al.²²) was used to simulate CML trajectories. The model predicts delivery to the surface and
25 attachment if the arresting torque exceeds the driving torque when the colloid is in contact
26 with the surface. Simulated colloid trajectories are generated in response to Lagrangian
27 integration of forces and torques acting on colloids; *i.e.*, fluid drag, colloid-collector surface
28 interactions (XDLVO), lift, Brownian diffusion, virtual mass, and gravity, as described in previous
29 publications.^{22,50,57,58} Colloid-collector surface interaction forces include van der Waals (vdW),
30 electric double layer (EDL), and Born interactions as described in previous publications,^{22,50,57}
31 whereas Lewis acid-base, steric forces, and roughness impacts, were more recently
32 incorporated.^{40,58} Hydration and other potential contributions to steric interactions were
33 accounted for quantitatively using the approach of VanNess et al.⁵⁸ Nanoscale surface
34 roughness has been shown to affect colloid-surface interactions.^{59,60} For this reason, a
35 modification of colloid-surface interactions was included for CML and collector surfaces,
36 following the characterization described in Rasmuson et al.⁴⁰ The silica collector surface was
37 considered smooth, since its RMS roughness was $< 1.0 \text{ nm}$.⁴⁰ For CML, the RMS roughness used
38 in simulations and slip layer effects were estimated as in Rasmuson et al.⁴⁰ (SI, Table SI-3).
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52 *Discrete Representative Nanoscale Heterogeneity (DRNH)*

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3 Simulations regarding colloid attachment under unfavorable conditions were performed by
4 incorporating DRNH on the colloid and the collector surface. On the collector surface, HETC
5 were “placed” at intervals in polar coordinate space (r and θ) from the impinging jet axis to
6 generate uniformly spaced DRNH over the collector surface, as described and demonstrated in
7 Pazmino et al.²²
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13 HETC were placed following a power-law distribution. This discrete representation of HETC sizes
14 intends to approximate the expected continuous size distribution resulting from random
15 clustering of nanoscale physical and chemical features.^{39,45,46,61} On the colloid surface, HETP
16 were “placed” at intervals in spherical coordinate space (r , ϕ , and θ) from the colloid axis to
17 generate uniformly spaced DRNH over the colloid surface. To determine the contribution
18 (constructive or destructive, Figure 3) to colloid-collector surface interactions, HETP partially or
19 fully encompassed by the ZOI were projected onto the collector surface (Supporting
20 Information). The projection of a HETP corresponds to an ellipse, unless the colloid z-axis
21 passed through the heterodomain center, in which case the projection corresponds to a circle
22 (SI, Figures SI-3 to SI-9). To reduce mathematical complexity ellipsoidal projected HETP were
23 approximated as circles of equivalent surface area, with the largest discrepancy of the
24 approximation being 1.3% (SI, Figure SI-10).
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36 The linear superposition approximation (LSA) technique was used to calculate colloid-collector
37 surface interactions. For the colloid size range analyzed in this study, the influence of the
38 curvature of the colloid and collector surfaces within the ZOI is negligible.²⁰ Net colloid-
39 collector interaction can therefore be determined by the sum of attractive and repulsive
40 contributions without regard to their specific locations within the ZOI.^{22,39}
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46 For simplicity, heterodomain ζ -potentials were assumed to be of the same magnitude and
47 opposite charge relative to the bulk surface of colloid and collector. This simplification is
48 reasonable given that once the fractional coverage of ZOI by heterodomains is sufficient to
49 eliminate the repulsive barrier to attachment, the attractive interaction, as indicated by
50 collector efficiency, is relatively insensitive to the magnitude of the attractive ζ -potential as
51 demonstrated in Pazmino et al.²²
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Results and Discussion

Limits on Surface Coverage and Size of Heterodomains

Our goal in comparing mechanistic trajectory simulations to experimentally-observed colloid retention is to constrain the sizes and surface coverages of heterodomains that govern colloid transport in granular media. Because colloid trajectory simulations under unfavorable conditions are computationally intensive even when colloid orientation (rotation) is implicit, we sought to determine the conditions under which explicit colloid rotation can be neglected without impacting the analysis. This involved determining the values of surface coverage (SCOV) and radii (R_{HETP}) of HETP (heterodomains on the colloid) for which the fraction of ZOI occupied by attractive interactions was constant regardless of colloid orientation.

By numerically rotating colloids with uniformly spaced HETP across 100 uniform increments of a full rotation around each of three orthogonal axes ($1E6$ increments total) (SI, Figure SI-11), the threshold SCOV for a given R_{HETP} was determined that guaranteed the ZOI would encompass at least one HETP regardless of colloid orientation. These threshold SCOV values increased rapidly with increased R_{HETP} for all colloid sizes (Figures 1c and SI, Figure SI-12a). The threshold SCOV that guarantees the presence of at least one HETP within the ZOI does not guarantee that AF is sufficient to eliminate the repulsive barrier.

The value of SCOV for a given R_{HETP} at which the repulsive barrier was eliminated by sufficient HETP within the ZOI was determined by summing the repulsive and attractive XDLVO contributions within the ZOI. The threshold SCOV corresponding to R_{HETP} that eliminated the repulsive barrier ranged from 0.35 to 0.55 across the range of R_{HETP} examined and increased modestly with increased R_{HETP} (Figures 1c and SI, Figure SI-12b).

The intersection of the two above-described limits defines a space within which combinations of SCOV and R_{HETP} allow meaningful transport simulations without explicit colloid rotation (Figure 1c). In the space above the dashed lines (Figure 1c), all simulations yield favorable colloid retention. In the space to the right of the solid lines (Figure 1c), explicit colloid rotation is required since the existence of at least one HETP within the ZOI is not guaranteed regardless

of colloid orientation. The SCOVP versus R_{HETP} space corresponding to a larger range of SCOVP is provided in SI, Figure SI-12.

The above analysis concerns solely heterodomains on the colloid (HETP). However, different collector surfaces yield different retention for the same colloid under equivalent conditions,²³ demonstrating that heterogeneity on the collector (HETC) is at least partially responsible for observed colloid retention. Complementary contributions by HETC and HETP to AF define the requisite R_{HETC} and R_{HETP} required to eliminate the repulsive barrier under the limiting condition where HETC exists fully within the ZOI without overlapping with the projection of HETP (Figure 3a), such that their contributions to AF are solely constructive. This limiting condition (non-overlapped pair) contrasts with overlapping projected HETC and HETP in the ZOI which generates destructive (repulsive) interaction (Figure 3b).

Under the above limiting condition of monogamous non-overlapped HETC and HETP (solely constructive), Equation 1 determines the requisite R_{HETC} and R_{HETP} as shown by the quarter circle lines (Figure 4), which have greater size for larger colloids due to their larger ZOI under a given IS, as well as greater size for a given colloid size under lower IS (Figure 4a versus b) due to ZOI expansion with decreased IS.

$$AF = \frac{(R_{\text{HETC}}^2 + R_{\text{HETP}}^2)}{R_{\text{ZOI}}^2} \quad (1)$$

The areas interior to the quarter circles (Figure 4) correspond to R_{HETC} and R_{HETP} that may eliminate the repulsive barrier only if multiple HETC or HETP exist partially or fully within the ZOI. For each colloid size there is a threshold R_{HETP} above which HETP are too few in number to guarantee that one HETP will lie within the ZOI regardless of colloid orientation. The dashed portions of the quarter circle lines (Figure 4) indicate this condition. For HETC in the absence of HETP, and vice versa requisite heterodomain radii under the limiting condition are approximately: 21, 31, 66, 88, 131 and 154 nm for 0.11, 0.25, 1.1, 2.0, 4.4, and 6.8 μm colloids, respectively, under the 6 mM IS and pH 8.0 condition, and are decreased with increased IS (Figure 4 x- and y- axes, respectively). While the above exploration of solely constructive interactions illustrates requisite complementary heterodomain sizes, trajectory simulations

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3 accounted for all possible contributions (both constructive and destructive), as described
4 below.
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6 7 *Colloid Retention (η) from Trajectory Simulations* 8

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10 To explore our hypothesis that complementary contributions from heterodomains on collector
11 (HETC) and colloid (HETP) surfaces explain experimentally-observed η values for $> 2.0 \mu\text{m}$ CML,
12 colloid trajectories were simulated in an impinging jet system (*e.g.*, Pazmino et al.²²). The
13 simulations concerned unfavorable attachment conditions with incorporation of DRNH on both
14 the collector and colloid surfaces under the surface coverage and size limits described above.
15 The values of SCOVC, R_{HETC} , SCOVP and R_{HETP} were varied within the limits described above, and
16 while imposing a power law inverse relationship between their size and spatial frequency
17 (Figure 5a and b), to determine whether complimentary contributions from heterodomains
18 HETC and HETP could produce experimentally-observed η values for all colloid sizes across
19 varied pH and IS conditions.
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29 The mechanistic trajectory simulations demonstrated that incorporating HETC and HETP
30 allowed capture of experimentally-observed η values across the range of colloid sizes across
31 the range of solution IS and pH (Figure 2, red solid lines versus triangles). Simulated values of η
32 for $< 2.0 \mu\text{m}$ CML equaled observed values to within a factor of three, as was attained when
33 simulations incorporated HETC only. A factor of three was considered sufficient match to
34 experiments given previously demonstrated factor-of-three discrepancies in predictions even
35 under favorable conditions.⁵⁶ Simulated values of η for $> 2.0 \mu\text{m}$ CML matched experimentally-
36 observed near-favorable values (Figure 2, red solid lines versus triangles) using R_{HETC} ranging up
37 to 90 nm (Figure 5a and b), whereas this was not possible in the absence of HETP even when
38 R_{HETC} ranged up to 360 nm⁴¹ (Figure 2, red dotted lines versus triangles). Furthermore,
39 incorporating HETP preserved the observed minimum η for n- μ transition colloids (0.2 to 2.0
40 μm diameter), and its exaggeration with increased pH and decreased IS (Figure 2), as previously
41 reported.⁴¹ Below we describe how DRNH on both the collector and colloid surfaces was
42 distributed according to a power law and how these sources of heterogeneity acted
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Collector and Colloid Heterodomain Properties

Hydrodynamic and colloid-collector interaction impacts of nanoscale roughness are incorporated into our simulations according to Rasmuson et al.⁴⁰ The hydrodynamic impact yields a non-zero tangential velocity at the contact surface (tops of the asperities), wherein the slip layer terminates within the interior of the collector. Colloid-collector surface interactions scale with asperity sizes and the number of asperities within the ZOI. For asperity size yielding multiple points of contact, contact radii correspondingly increased, as demonstrated via direct observation of the impact of concavities when scales of roughness exceed colloid size.^{62,63} This paper focuses on nanoscale heterogeneity in the form of surface charge because roughness alone cannot explain attachment⁴⁰ and observed sensitivity to IS indicates the predominance of charge heterogeneity in attachment. Thus, the impact of heterodomain size and surface coverage was determined independently while accounting for the impacts of roughness.

HETC were represented by three radii (R_{HETC}): 90, 45 and 25 nm with spatial frequency ratios of 1, 8, and 64, respectively (Figure 5a and b), and with all three sizes present on the simulated collector surface (SI, Figure SI-13). Because ZOI increases with increasing colloid size, a given R_{HETC} contributes significantly to repulsive barrier elimination for a limited range of colloid sizes. For pH values of both 6.7 and 8.0, as well as IS values of 6.0 and 20.0 mM, $R_{\text{HETC}} \geq 25$ nm captured 0.11 μm CML, $R_{\text{HETC}} \geq 45$ nm captured 0.11 and 0.25 μm CML, and $R_{\text{HETC}} \geq 90$ nm captured 0.11 to 2.0 μm CML. For R_{HETC} ranging 25 to 90 nm, capture of > 2.0 μm CML required complementary contributions from HETP.

HETP of a given size were uniformly distributed across the colloid surface, with R_{HETP} increasing with increasing colloid size (Figure 5c and d), under the assumption that smaller colloids do not accommodate larger HETP (SI, Figure SI-14), which is corroborated by random placement analysis described below. HETP were power law size distributed among the six colloid sizes, with HETP radii (R_{HETP}) being 5.0, 10.0, 15.0, 30.0, 47.7 and 57.5 nm for the 0.11, 0.25, 1.1, 2.0, 4.4, and 6.8 μm colloids, respectively (Figures 4 and 5c). SCOV expressed as #heterodomains/ μm^2 yielded a power law relationship (Figure 5c), whereas SCOV expressed as fractional coverage exposed a step distribution (Figure 5d), wherein fractional coverage of

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3 the largest HETP was a factor of 2 to 3 greater than fractional coverage of smaller HETP. This
4 outcome is discussed further below.
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7 The space within the boundaries set by the limiting condition (Figure 4) wherein multiple HETP
8 or HETC may occupy the ZOI depending on SCOVP and SCOVC (Figure 1c), with constructive and
9 destructive contributions (Figure 3), is further impacted by mass transport limitations which
10 reduce the likelihood of encountering heterodomains. Mechanistic trajectory simulations
11 accounted for these combined impacts, yielding values of R_{HETC} and R_{HETP} that explained
12 experimentally-observed retention (Figure 4, closed circles). For $> 2.0 \mu\text{m}$ colloid diameters,
13 R_{HETC} and R_{HETP} predominantly fell within the quarter circle corresponding to the limiting
14 condition (one non-overlapped pair) (Figure 4), whereas for $\leq 2.0 \mu\text{m}$ colloid diameters, R_{HETC}
15 and R_{HETP} fell outside the quarter circle corresponding to this limiting condition (Figure 4).
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24 Whether optimized R_{HETC} and R_{HETP} fell within or outside their quarter circle was governed by
25 the combined and interrelated impacts of: i) transport limitations on encountering
26 heterodomains; ii) multiple heterodomains within the ZOI; and iii) quantitative match to
27 experimental η by reducing/increasing heterodomain prevalence according to the power-law
28 size distribution. For $> 2.0 \mu\text{m}$ colloid diameters, complementarity was required to capture
29 experimentally-observed η , and so HETP dominated the attractive contributions to AF (Figure
30 1d, blue bars). For $\leq 2.0 \mu\text{m}$ colloid diameters, HETC was allowed to dominate attractive
31 contributions to AF (Figure 1d, green bars), similarly to the condition where HETP were
32 absent,⁴¹ although it is likely that other R_{HETC} and R_{HETP} combinations can also explain the
33 observed η for $\leq 2.0 \mu\text{m}$ CML. Representative final attachment configurations from
34 mechanistic trajectory simulations involved complementary contributions from multiple HETP
35 and one HETC, as shown in (Figure 6).
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47 Under complimentary contributions from HETC and HETP, the size distribution of HETC followed
48 a power law regardless of whether SCOVC was quantified as the number of heterodomains per
49 area or fractional surface coverage by heterodomains (Figure 5a and b). In contrast to HETC,
50 HETP approximated a power law size distribution (Figure 5c and d). When expressed as
51 fractional surface coverage (Figure 5d), SCOVP was a factor of 2 to 3 greater for $R_{\text{HETP}} > 40 \text{ nm}$
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3 (CML > 2.0 μm) relative to $R_{\text{HETP}} < 40 \text{ nm}$ (CML $\leq 2.0 \mu\text{m}$). In other words, explaining observed η
4 for > 2.0 μm CML required increases in both R_{HETP} and SCOVP relative to smaller CML sizes. This
5 is potentially explained by heterodomain clustering wherein random sequential non-
6 overlapping placement of 10 nm heterodomains generated larger clusters on larger surface
7 areas for a given surface coverage (SI, Figure SI-15). Natural clustering occurs for random
8 location of moieties on surfaces (*e.g.*, defects, substitutions, and other heterogeneities) such
9 that clusters are defined by neighboring moieties whose separation is less than a specified
10 distance, and wherein smaller clusters greatly outnumber larger clusters (*e.g.*, power-law size
11 distribution).^{64–67} However, the increased SCOVP accompanying R_{HETP} for > 2.0 μm CML violates
12 the expected power law decrease in frequency of HETP with increased R_{HETP} (SI, Figure SI-15).
13 Whether this apparent result that larger colloids carry larger and more numerous HETP reflects
14 a contrivance of our simulations or an actual attribute of microparticles remains to be
15 determined in future work. Notably, Ron et al.⁴¹ observed that > 2.0 μm colloids of various
16 compositions (*i.e.*, biological and non-biological) had near-favorable η under unfavorable
17 conditions, suggesting that they carry attributes distinct from smaller colloids that facilitate
18 their near-unity attachment efficiencies.
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33 Whereas SCOVC, R_{HETC} , SCOVP and R_{HETP} (Figure 5) were optimized using experimental data
34 under 6.0 mM IS and pH 8.0 conditions, simulated η values emerged to be in good agreement
35 with experimentally-observed η for the entire range of colloid size (0.11 to 6.8 μm) and under
36 higher IS (20.0 mM) and lower pH (6.7). The influence of IS on experimentally-observed η
37 values was captured in simulations (Figure 2) without changing SCOVC, R_{HETC} , SCOVP, or R_{HETP} ;
38 *i.e.*, that influence was accounted for by the impact of IS on the measured ζ -potentials (SI,
39 Table SI-1), the calculated thickness of the electric double layer, and the calculated radius of the
40 ZOI.
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49 To capture the influence of pH, surface coverage by heterodomains on the collector (SCOVC)
50 was decreased by an order of magnitude as pH increased from 6.7 to 8.0 (Figure 5a and b),
51 consistent with the observed decreased ζ -potential with increased pH for silicate minerals and
52 CML,²³ resulting from deprotonation of oxide moieties (silica) and carboxylic groups (CML). The
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3 observed decrease in CML retention was well fit using an assumed decrease in frequency of
4 protonated (positive) domains (heterodomains).²³ Likewise, surface coverage by
5 heterodomains on the colloid (SCOVP) decreased by 4 to 20% in response to the pH increase
6 from 6.7 to 8.0 (Figure 5c and d). That SCOVP was less sensitive to pH than was SCOVC reflects
7 the different contributions to AF as a function of colloid size, wherein SCOVP dominated AF for
8 $> 2.0 \mu\text{m}$ colloids, and SCOVC dominated AF for $\leq 2.0 \mu\text{m}$ colloids (Figure 1d). The high SCOVP
9 relative to SCOVC (two orders of magnitude for fractional surface coverage) that allowed
10 implicit simulation of colloid rotation, made η more sensitive to changes in SCOVP relative to
11 SCOVC, therefore requiring lesser sensitivity to pH in order to explain the experimental results.
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20 SCOVP used herein cannot be compared to those operating in Shave et al.⁴⁶ for which the
21 kinetics of attachment were demonstrated to be significant to due colloid rotation. This is
22 because while Shave et al.,⁴⁶ reported mass of polymer per area of colloid and collector
23 surfaces, they did not report heterodomain cluster sizes or surface coverages. However, their
24 results suggest that if SCOVP were sufficiently low for colloid rotation to impact attachment in
25 our experiments, our simulations would then need to increase SCOVC to allow SCOVP to
26 decrease while allowing a simulated match to experimentally-observed η . The balance
27 between SCOVC and SCOVP may be resolved with direct spectroscopic and spectrometric
28 observations to further elucidate heterodomain cluster sizes and surface coverages.
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38 The potential impact of assuming uniform placement of heterodomains deserves consideration.
39 At the scale of the ZOI, heterodomain location and shape within the ZOI are inconsequential
40 because the ZOI is nearly planar, such that separation distance and colloid-collector interaction
41 change negligibly with location.²² Therefore, non-uniform versus uniform placement of
42 heterodomains within the ZOI is inconsequential. At the scale of the colloid, non-uniform
43 distribution of heterodomains (*e.g.*, Janus colloid as an extreme example) would impact the
44 surface coverages necessary to neglect explicit colloid rotation. Hence, our simulations that
45 neglect colloid rotation may not represent colloids with highly non-uniform distributions of
46 heterodomains. At the scale of the collector, non-uniform distribution of heterodomains may
47 impact the value of η ; hence, our simulations may not represent highly non-uniform
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3 distribution of heterodomains on the collector. While non-uniform heterodomain coverage on
4 colloids or collectors can be addressed with increased numerical intensity, our goal is to
5 demonstrate impacts of complementary colloid and collector contributions assuming uniform
6 distributions.
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11 The influence of HETC and HETP ζ -potentials, which we assumed to be of equal magnitude and
12 of opposite charge relative to their respective bulk surfaces, is weak with respect to retention
13 (η), since at typical groundwater velocities retention is relatively insensitive to the depth of the
14 primary minimum typically defined HETC and HETP ζ -potentials.^{22,40} In contrast, the influence
15 of HETC and HETP ζ -potentials is relatively strong with respect to detachment in response to
16 fluid velocity and solution chemistry perturbations, since mobilization requires that the
17 mobilizing torque exceed the resisting torque defined in part by the depth of the primary
18 minimum.^{22,40} Further refinement of heterodomain sizes and ζ -potentials will result from
19 future comparison to detachment experiments, and by comparison to spectroscopic and other
20 direct observations regarding heterogeneity in surface properties.
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30 **Significance**

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33 Our simulations incorporating DRNH on collector and colloid surfaces constrain the size range
34 of nanoscale heterogeneity relevant to colloid attachment in granular media. Heterodomain
35 sizes between 5 and 90 nm explain attachment of colloid sizes ranging between 0.11 and 6.8
36 μm . These size ranges serve as a guide for detection of nanoscale heterogeneity using surface
37 analytical techniques such as X-ray photoelectron spectroscopy, atomic force microscopy,
38 among others. By incorporating nanoscale heterogeneity on the colloid, we were able to better
39 capture experimental observations while reducing the scale of nanoscale heterogeneity on the
40 collector needed to simulate experimentally-observed retention. The simulations suggest that
41 $> 2.0 \mu\text{m}$ microparticles carry surface heterogeneity that facilitates their retention relative to
42 smaller colloids. We expect our approach to be applicable to nanoparticles with Debye lengths
43 not exceeding 10% of colloid size, *e.g.*, colloid sizes exceeding multiple tens of nm in diameter.
44 Constraining nanoscale heterogeneity was performed using Fortran and MATLAB software that
45 can also be utilized in design of heterogeneous collector and colloid surfaces for tuning desired
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3 transport properties in biological and non-biological contexts, as well as for visualization in
4 classroom and research contexts. This software is available at
5 <https://wpjohnsongroup.utah.edu>.
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9 **Conflicts of interest**

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11 Authors declare no conflict of interest.
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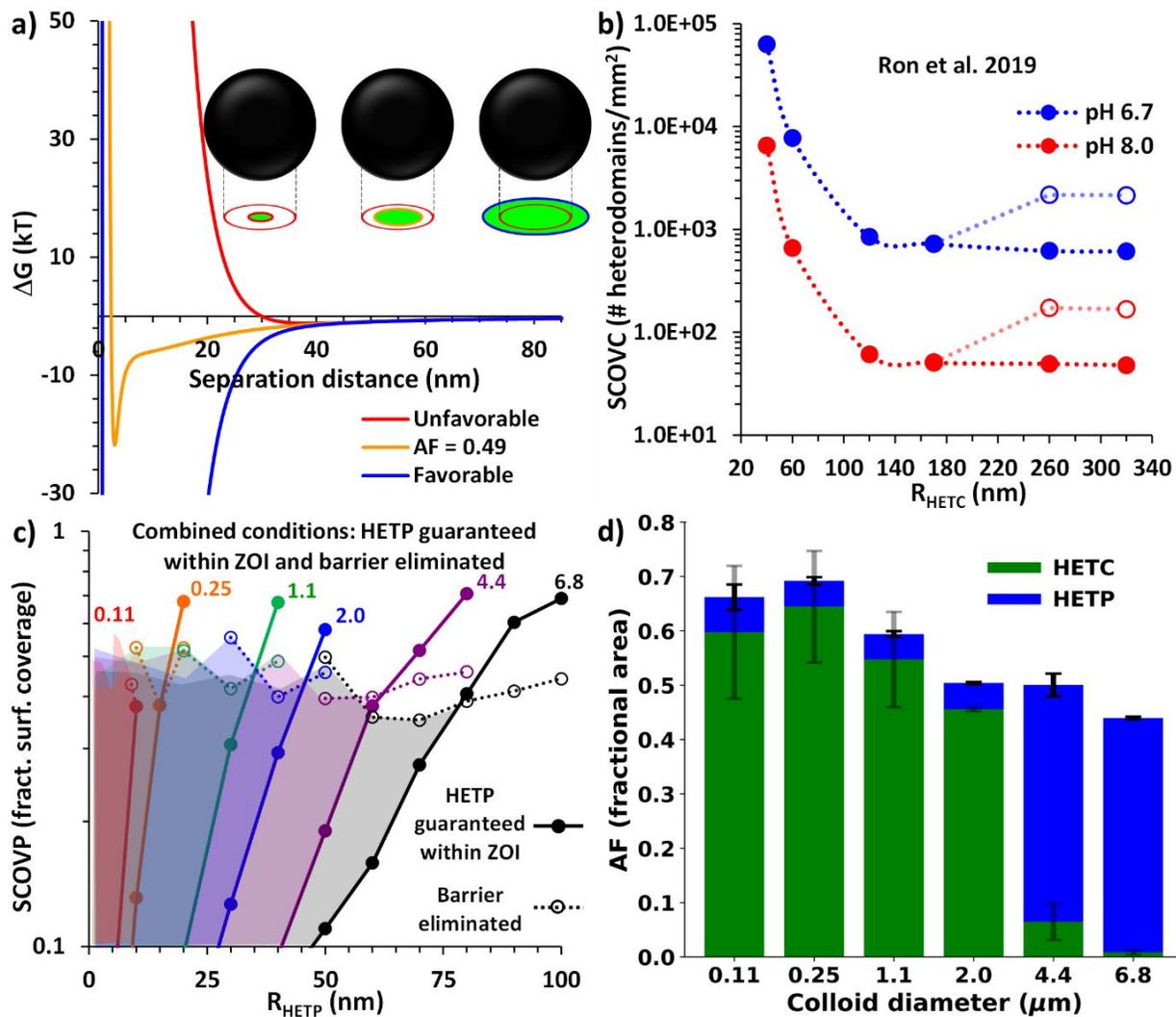


Figure 1. **a)** Colloid-collector XDLVO energy profiles (ΔG) for 1.1 μm CML. Projected red circumference represents the colloid-collector ZOI, and colored circles represent heterodomains of sizes small (red), medium (gold), and large (blue) relative to the ZOI, with the same colors for the corresponding energy profiles. **b)** Closed circles correspond to distribution of surface coverage (SCOVC) versus radius (R_{HETC}) of heterodomains on the collector as used in Ron et al.⁴¹ Open circles correspond to SCOVC required to explain experimentally-observed η for $> 2.0 \mu\text{m}$ colloids. **c)** Surface coverage (SCOVP) as a function of radius (R_{HETP}) of heterodomains on the colloid (HETP) that: i) guarantees that at least one HETP will be completely encompassed by the ZOI regardless of colloid orientation (rotation) (solid lines); and ii) eliminates the repulsive barrier solely by HETP (HETC absent) (dotted lines). Shaded areas represent SCOVP and R_{HETP} space within which transport simulations were performed without

1
2
3 explicit colloid rotation. Number labels designate corresponding colloid diameter in μm (6.0
4 mM IS and pH 8.0). For separate conditions see Figure SI-2a and b. **d)** Contribution of HETC
5 and HETP to the fraction of the ZOI area (AF) that eliminates the repulsive barrier and yields
6 colloid attachment in mechanistic trajectory simulations under 6.0 mM IS and pH 8.0. Error
7 bars denote maximum and minimum contributions to AF for attached CML in mechanistic
8 trajectory simulations.
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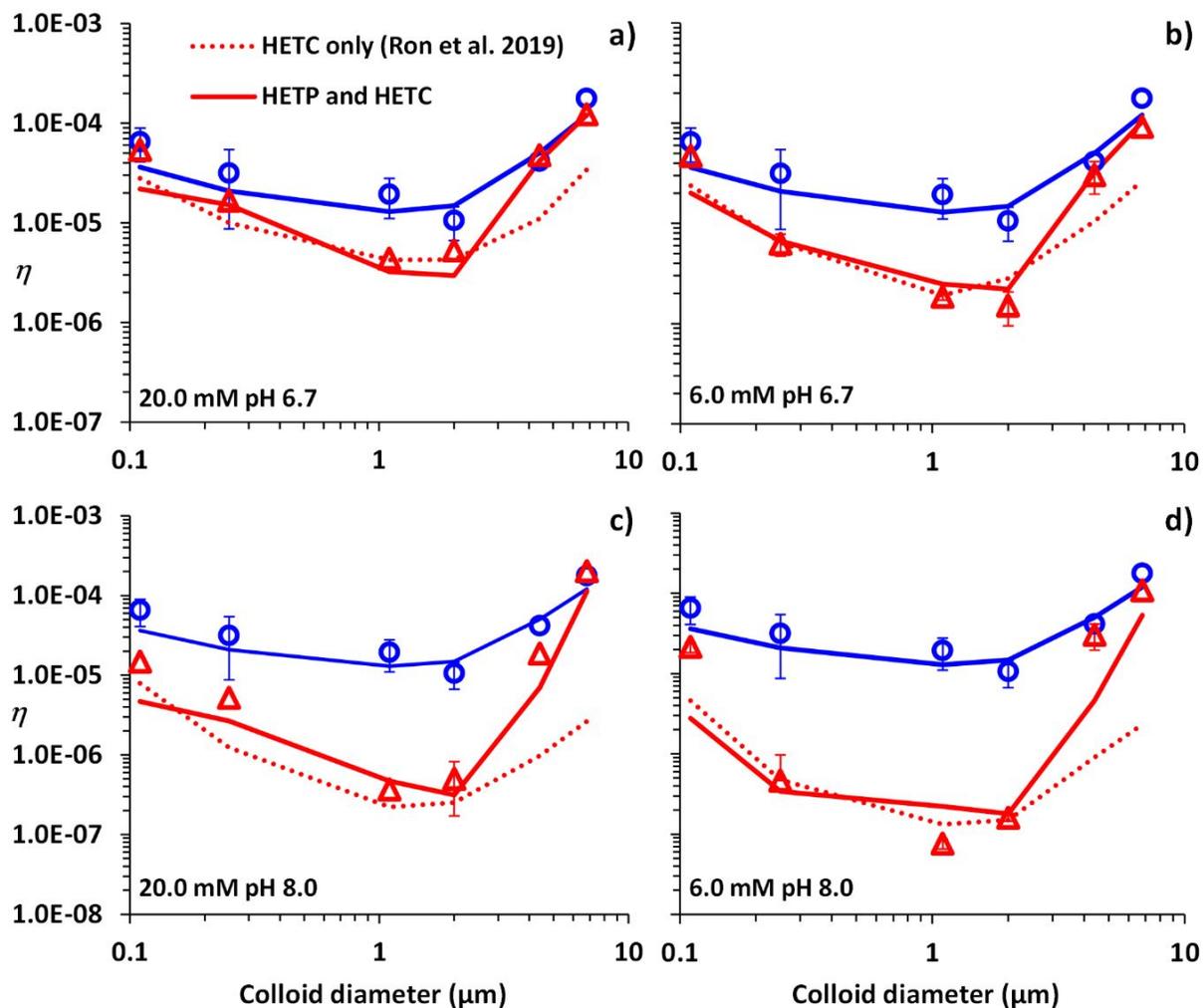


Figure 2. Experimentally-observed collector efficiencies (η) for CML-water-glass system at indicated IS and pH values in an impinging jet system with $v_{jet} = 1.7E-3 \text{ ms}^{-1}$.⁴¹ Blue circles and red triangles correspond to favorable and unfavorable experimental conditions, respectively. Error bars denote maximum and minimum values for replicate experiments. Solid lines represent simulation results under favorable conditions (blue) and unfavorable conditions (red), with DRNH either solely on the collector (HETC) (red dotted lines) as described in Ron et al.,⁴¹ or on both the collector and colloid (HETP) (red solid lines) as described herein.

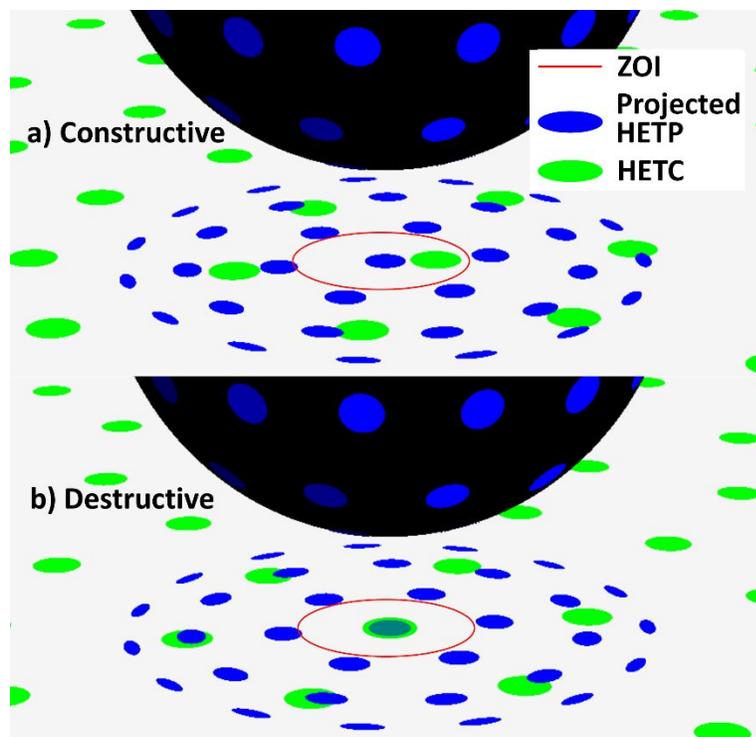


Figure 3. **a)** Representation of constructive (attractive) contribution from HETC and projected HETP to colloid-collector interactions. **b)** Representation of destructive (repulsive) contribution from HETC and projected HETP to colloid-collector interactions. In this study we only considered contributions to from HETC and HETP that were partially or fully encompassed by the zone of interaction (ZOI).

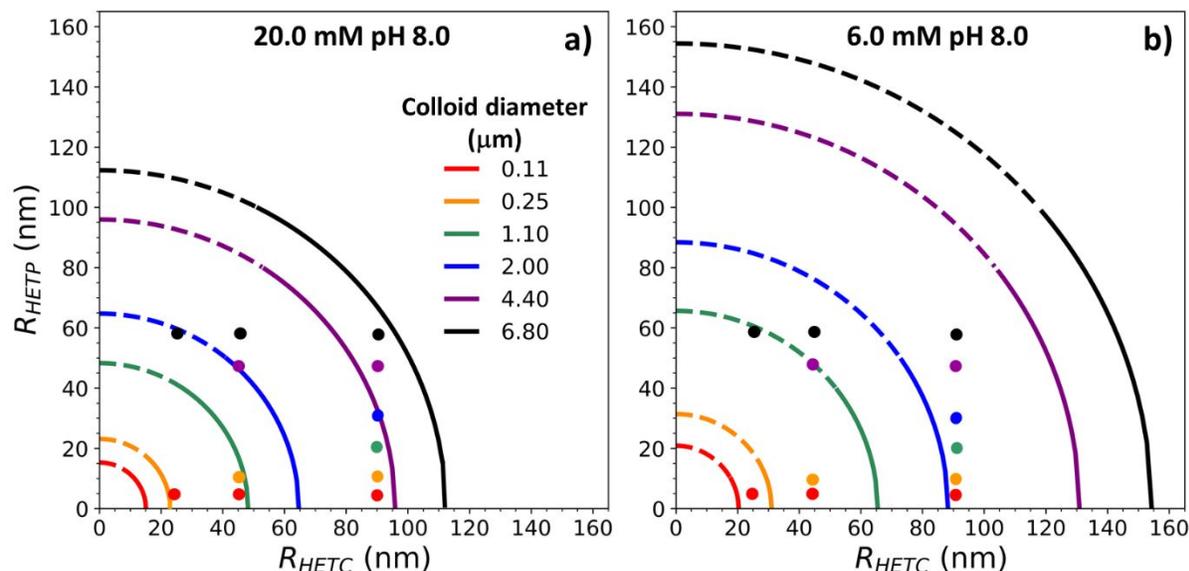


Figure 4. Quadratic relationship (quarter-circle lines) of required heterodomain radii on collector (R_{HETC}) and colloid (R_{HETP}) surfaces that together (complimentarily) eliminate the repulsive barrier (Equation 1). The relationship assumes that the projection of HETP exists fully within the ZOI without overlapping HETC, such that their contributions to AF are solely constructive. The dashed portion of the quarter circle lines indicate the threshold R_{HETP} above which HETP are too few in number to guarantee that one HETP will lie within the ZOI regardless of colloid orientation. Closed circles correspond to combinations of R_{HETC} and R_{HETP} active in attachment in colloid trajectory simulations, with color corresponding to colloid size in legend.

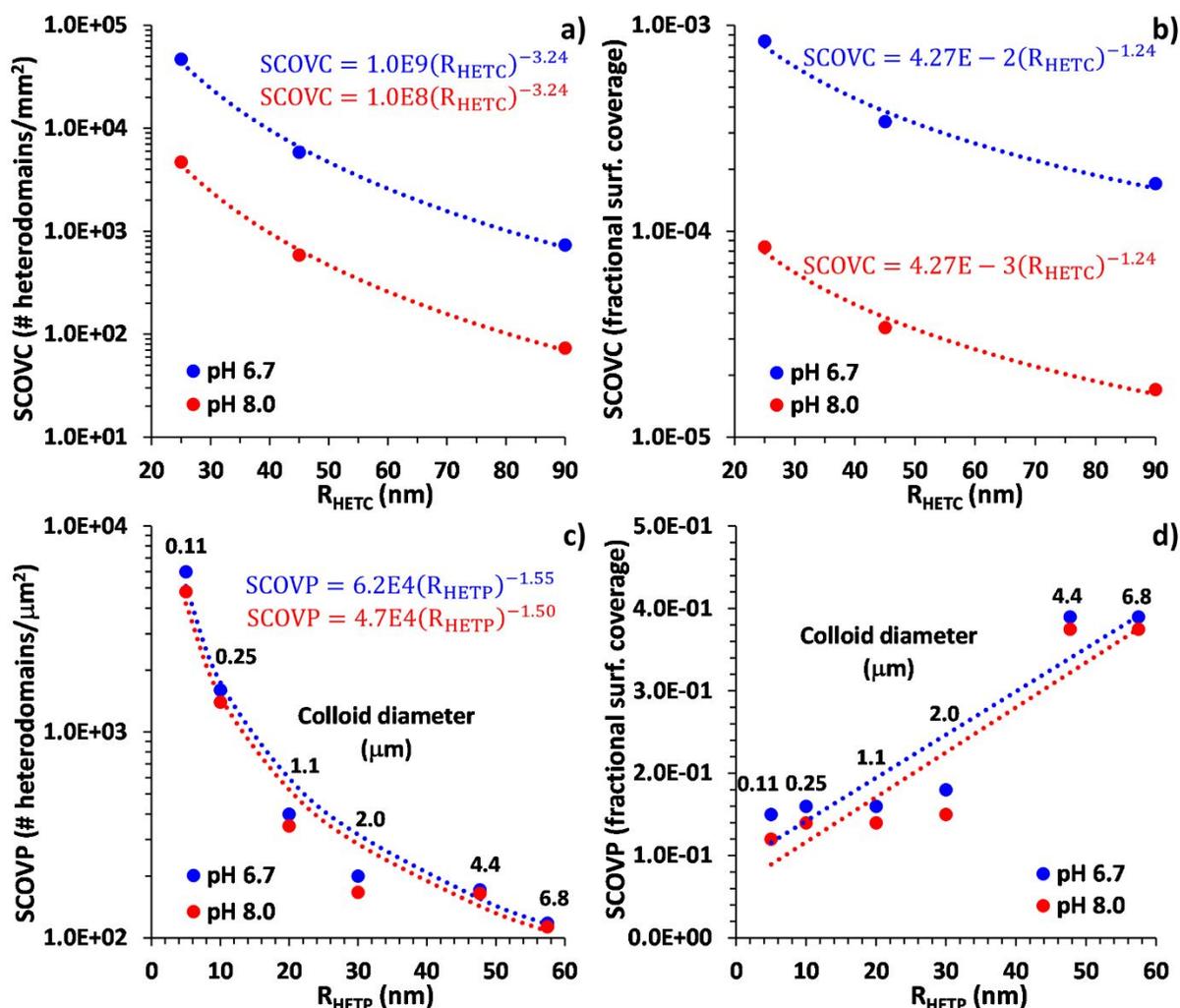


Figure 5. DRNH distributions utilized in colloid trajectory simulations, with surface coverage (SCOVC) as a function of radius (R_{HETC}) of heterodomains on the collector (top row, a and b), with surface coverage (SCOVP) as a function of radius (R_{HETP}) of heterodomains on the colloid (bottom row, c and d), each expressed as number per area (left column, a and c) and fraction of total surface area (right column, b & d). Equations correspond to fitted power law distributions (dotted lines). The distributions applied for both IS (6.0 and 20.0 mM) studied.

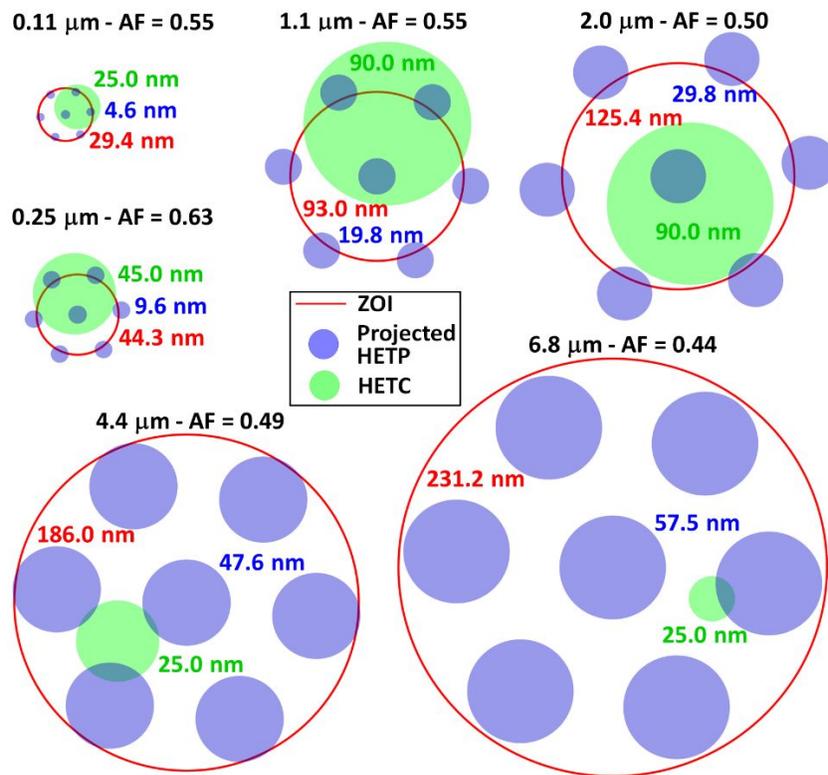


Figure 6. Representative attachment outcomes regarding constructive (no heterodomain overlap) and destructive (heterodomain overlap) contributions from HETC (green) and projected HETP (blue) within ZOI (red) as obtained from mechanistic trajectory simulations under 6.0 mM IS and pH 8.0 condition. These outcomes correspond to simulations and parameters shown in Figures 1d, 2d, 3b and 5.

Abstract Art

