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# Photocatalytic (3+2) dipolar cycloadditions of aziridines driven by visible-light $\dagger$ 

Daniele Mazzarella, (1) $\ddagger^{a}$ Tommaso Bortolato, (D) $\ddagger^{a}$ Giorgio Pelosi (D) ${ }^{b}$ and Luca Dell'Amico (1)*a


#### Abstract

Herein, we document the design and development of a novel $(3+2)$ cycloaddition reaction aided by the activity of an organic photocatalyst and visible light. The process is extremely fast, taking place in a few minutes, with virtually complete atom economy. A large variety of structurally diverse aziridines were used as masked ylides in the presence of different types of dipolarophiles ( 28 examples with up to $94 \%$ yield and >95:5 dr). Mechanistic insights obtained from photophysical, electrochemical and experimental studies highlight that the chemistry is driven by the in situ generation of the reactive ylide through two consecutive electron-transfer processes. We also report an aerobic cascade process, where an additional oxidation step grants access to a vast array of pyrrole derivatives ( 19 examples with up to $95 \%$ yield). Interestingly, the extended aromatic core exhibits a distinctive absorption and emission profile, which can be easily used to tag the effectiveness of this covalent linkage.


## Introduction

Since its discovery in the 1960s, ${ }^{1}$ the Huisgen 1,3-dipolar cycloaddition ( $1,3-\mathrm{DPC}$ ) has become one of the most studied and useful reactions in synthetic and medicinal chemistry (Fig. 1a). ${ }^{2}$ Through its unique mechanistic pathway, it is possible to access in a rapid and atom-economical manner biorelevant natural products, pharmaceuticals, materials, and bioconjugates. The $1,3-\mathrm{DPC}$ is the prototypical click reaction, recently further popularized by a widespread use under bioorthogonal settings. ${ }^{3}$ Owing to the excellent synthetic potential of the $1,3-\mathrm{DPC}$, extensive research has been made towards the identification of versatile 1,3-dipoles. ${ }^{2}$ Across these scaffolds (Fig. 1a, right), azomethine ylides are particular appealing due to (i) their structural diversity and (ii) the ability to form two new C-C bonds in a single step, while (iii) accessing synthetically relevant cores, such as pyrrolidine derivatives. ${ }^{4}$ Azomethine ylides can be generated in situ from stable precursors such as esters/malonates or acids (EWG in Fig. 1a) upon deprotonation or decarboxylation, respectively. This approach has been largely explored in organocatalysis, ${ }^{5}$ although it restricts the generality of the process to tailored substrates bearing electronwithdrawing groups (EWGs). A more general approach,

[^0]pioneered by Heine and Huisgen, ${ }^{6}$ involves the use of strained aziridines, as masked azomethine ylides (Fig. 1b, top). Interestingly, the $1,3-\mathrm{DPC}$ for small ring expansion via the generation of an ylide intermediate allows the straightforward installation of multiple C-C or C-heteroatom bonds into complex structural units, which is difficult to achieve by other means. On the other hand, this strain-release strategy involves the use of harsh reaction conditions with temperatures spanning from 140 to $220^{\circ} \mathrm{C}$. To circumvent this issue, the authors have also investigated a photochemical variant, although involving the use of highly energetic UV-light sources (Fig. 1b, bottom). Due to these limitations and the low generality of the process, the community has gradually forsaken this synthetic strategy. Over the last few decades, it has been demonstrated that photoredox catalysis ${ }^{7}$ can grant access to structurally complex scaffolds by the activation of small molecules under very mild reaction conditions. ${ }^{8}$ Thus, many historical photoreactions ${ }^{9}$ have been revisited using milder and more general conditions. In this scenario, the activation of small rings is a promising area of research. ${ }^{10}$ In fact, the visible-light-driven activation of cyclopropylamines, ${ }^{11}$ cyclopropanols, ${ }^{12}$ cyclopropanes ${ }^{13}$ and many others has led to a substantial synthetic and mechanistic progress. However, the use of aziridines as azomethine ylide precursors for cycloadditions under photoredox conditions has been long overlooked. ${ }^{14}$

Herein, we documented the design and development of a general and mild methodology for the generation of azomethine ylides by means of photoredox catalysis (Fig. 1c). The visible light mediated ring-opening of aziridines, as strained 3membered N-heterocycles, occurs rapidly with a wide variety of dipolarophiles, including olefins, aldehydes, azodicarboxylates, and Schiff bases, yielding relevant structural targets with high
a) general mechanism for $(3+2)$ cycloadditions and selected 1,3 dipoles

b) access to $N$-heterocyles by strain-release strategy

masked azomethine ylide

selected $N$-containing 1,3-dipoles


Fig. 1 (a) General mechanism for $(3+2)$ cycloadditions and an array of 1,3-dipoles containing a nitrogen atom. (b) Use of aziridines to access azomethine ylides. (c) This work: visible-light photocatalytic reactions of aziridines. PG: protecting group.
diastereoselectivity. In the presence of alkynes, a photocatalytic cascade process takes place leading to densely functionalized pyrroles in high yield, in a single synthetic operation. Finally, we applied this method to the conjugation of biologically relevant molecules including dehydroabietylamine, glucose and phenylglycine derivatives. ${ }^{15}$

## Results and discussion

## Optimization and scope of the reaction

We initiated our investigation using aziridine anti-7 and maleimide 8. We initially evaluated the possibility of engaging anti7 into an EDA complex. ${ }^{16}$ However, no new band was observed upon mixing of anti-7 and 8 (see ESI $\dagger$ ). Consistently, under 400 nm irradiation the $(3+2)$ cycloaddition product 9 was obtained only in traces (Table 1, entry 1). We thus evaluated diverse organic PCs with various excited state redox potentials (entries 2-6), spanning from $E_{\text {red }}^{*}=1.28 \mathrm{~V}$ to $2.55 \mathrm{~V}(v s . \mathrm{SCE}$ in MeCN). ${ }^{7 a, 17}$ Dicyanoanthracene (DCA) outperformed the other catalysts, furnishing product 9 in $94 \%$ yield as the single antidiastereomer (entry 5). Using syn-7, a modest diastereomeric ratio (dr) was observed, slightly favouring the syn-product 9 (entry 7). Furthermore, this photocatalytic reaction is very fast (see ESI $\dagger$ for the kinetic profile of the reaction), delivering product 9 after only 10 minutes of irradiation (entry 8 ), establishing its place among other photocatalytic click reactions. ${ }^{18}$ Finally, without irradiation, no reaction was observed (entry 9). With the optimized reaction conditions in hand, we next explored the generality of the process (Fig. 2). Albeit the reaction showed fast kinetics, we decided to keep the reaction time at 1.5 hours in order to have more general conditions regardless of the nature of the acceptor.

Variations of the steric and electronic properties of the aziridine, involving both the aryl rings and the $N$-protecting group, were well tolerated affording the corresponding cycloaddition products 9, 11-17 in high yields from $61 \%$ to $85 \%$ and
a diastereomeric ratio depending on the stereoisomer of the parent aziridine (vide infra). The process is amenable to a wide variety of dipolarophiles. Differently substituted maleimides and maleic anhydride (18-23) delivered the corresponding

Table 1 Optimization of the reaction conditions ${ }^{a}$

${ }^{a}$ Reactions performed on a 0.2 mmol scale, using 1.2 equiv. of 8 in 4 mL of MeCN (see ESI). ${ }^{b}$ The dr was inferred by ${ }^{1} \mathrm{H}$-NMR analysis of the reaction crude. ${ }^{c}$ Yield after isolation. ${ }^{d}$ syn- 7 was used. ${ }^{e}$ Reaction time: 10 minutes.


Fig. 2 Survey of the aziridines and dipolarophiles that can participate in the process. Reaction performed on a 0.2 mmol scale, using 1.2 equiv. of dipolarophile in 4 mL of MeCN . Yields refer to isolated products. ${ }^{a}$ The syn aziridine was used. ${ }^{\text {b }}$ Yield measured by ${ }^{1} \mathrm{H}$ NMR. Boc: tert-butyloxycarbonyl. Moc: methoxycarbonyl. Ts: tosyl.
products in high yield (53-81\%) and virtually complete diastereoselectivity. Interestingly, also non-cyclic precursors, including maleate, acrylate, acrylonitrile, sulphone and nitrostyrene derivatives, were found to be competent dipolarophiles, resulting in the formation of products 24-29 in high yield and dr. A strained cyclobutene derivative also afforded the corresponding bicyclic product 30 in $57 \%$ yield as a single diastereomer. Subsequently, we turned our attention to other nonclassical dipolarophiles such as aldehydes, imines and other
nitrogen-containing molecules. In all the cases, the reactions furnished the desired cycloaddition products with moderate to high diastereoselectivity (up to $>20: 1 \mathrm{dr}$ ) and $40-79 \%$ yield. When employing non-cyclic precursors, the reaction displayed lower diastereocontrol arising from the possible exo and endo attacks of the azomethine ylide. ${ }^{19}$

The only limitation was found for aliphatic aldehydes. Octanal furnished the cycloaddition product in $10 \%$ yield.

## Mechanistic investigations

After having assessed the generality of this novel reaction, we delved into understanding its underlying mechanism. ${ }^{20}$ Our investigation began by exploring the interactions of the reaction components with the PC (Fig. 3a). While maleimide 8 did not significantly quench the excited state of the PC, the addition of aziridine anti-7 led to a significant reduction of the PC emission. This observation prompted us to gather electrochemical evidence regarding the feasibility of the single electron transfer (SET) step between the photoexcited PC ( $E_{\mathrm{Ox}} 1.99 \mathrm{~V} v s$. SCE in MeCN ) and aziridine anti-7 (Fig. 3b). Upon subjecting a solution of the aziridine anti-7 to cyclic voltammetry during a reductive scan to -2 V , followed by an oxidative scan to 2 V , we observed a single irreversible anodic peak at approximately $1.54 \mathrm{~V}(v s . \mathrm{Ag} /$ AgCl . This peak was ascribed to the oxidation of the aziridine. The obtained electrochemical data support the energetic feasibility of the SET from anti-7 to the excited state of the PC. Furthermore, the non-reversible behaviour of the aziridine during the CV analysis suggests that, upon SET, the resulting radical cation rapidly transforms into another species. This notion was further reinforced by conducting the cyclic voltammetry analysis in the reverse order (i.e., an initial oxidative scan
followed by a reductive scan), which revealed a new cathodic peak around -0.8 V . These results collectively indicate that the aziridine radical cation promptly undergoes a ring-opening process upon single electron oxidation, followed by a single electron reduction to form the corresponding azomethine ylide. ${ }^{21}$ This SET-ring opening-SET sequence occurs at a remarkably fast rate, as evidenced by the rapid kinetics observed for the model reaction (see ESI $\dagger$ for further details). Additionally, when conducting the reaction in the presence of 2 equivalents of TEMPO (Fig. 3c), product 9 was obtained in almost quantitative yield, corroborating the fast reaction kinetics. This result gives insight into the polar nature of the cycloaddition process, ruling out the hypothesis of any radical addition of $\mathbf{4 1}{ }^{++}$to the olefin. Subsequently, a set of experiments where both syn and anti aziridine 7 were reacted with maleate 37 and fumarate 38 derivatives were performed (Fig. 3d). The reaction displayed a remarkable stereospecificity, preserving the relationship between the substituents on the double bond of the acceptor in the corresponding product. The reaction outcome aligns well with the rapid formation of the ylide, as discussed earlier, since an addition involving a radical ion would result in a non-stereospecific stepwise process. Based on
a) Stern-Volmer quenching studies
b) cyclic voltammetry




NO radical trapping observed
$92 \%$ yield by ${ }^{1} \mathrm{H}-\mathrm{NMR}$
d) stereospecificity experiments

e) proposed mechanism




Fig. 3 Mechanistic studies for the photocatalytic reaction of aziridines. (a) Stern-Volmer quenching studies for aziridine 7 and maleimide 8. (b) Electrochemical analyses of aziridine 7. (c) TEMPO trapping experiments for the model reaction involving compounds 7 and 8 . (d) Stereospecificity experiments between syn-7, anti-7 maleate 37 and fumarate 38. (e) Proposed mechanism for the photocatalytic reaction of aziridines with olefins.
all the gathered data, we propose the following mechanistic scenario (Fig. 3e). Upon light absorption, the PC captures one electron from aziridine $\mathbf{1}$, generating the radical anion of the PC and aziridine radical cation $\mathbf{1}^{++}$. The latter undergoes a rapid ring-opening process, giving rise to radical cation $\mathbf{4 1}^{\boldsymbol{+}}$, which promptly engages in a SET process to regenerate the PC and form azomethine ylide 41. This reactive intermediate engages the chosen olefin in a cycloaddition step, yielding the desired 5membered cyclic product. Overall, these mechanistic studies highlight how this process makes use of photocatalysis to convert aziridines very rapidly into azomethine ylides, which react in a $(3+2)$ cycloaddition.

## Cascade process for the photocatalytic synthesis of pyrroles

Next, we investigated the reaction with alkynes as dipolarophiles (Fig. 4). As expected, when conducting the reaction with dimethyl acetylenedicarboxylate, we observed the formation of
dihydropyrrole 43, which was isolated as a single diastereomer. Careful analysis of the reaction crude, however, revealed traces of the corresponding pyrrole 44 . We thus reasoned that compound $\mathbf{4 4}$ could have arisen from an oxidative pathway from 43 (vide infra), due to the possible presence of adventitious oxygen in the reaction mixture. ${ }^{22}$ Indeed, when extending the reaction time to 16 hours under an aerobic atmosphere, pyrrole 44 was formed as the only product in very good yields (83\%). Next, we tested the generality of this light-driven process for differently substituted aziridines. Variations at the aromatic rings $(\mathbf{4 5}, 46)$ as well as at the $N$-protecting group $(\mathbf{4 7}-\mathbf{5 1})$ were well tolerated, furnishing the products in $56-95 \%$ yield. Also, structurally diverse alkynes bearing esters and both aromatic and aliphatic ketones were found to be synthetically useful precursors (52-59), leading to the corresponding pyrroles with yields spanning from $56 \%$ to $87 \%$. Notably, an aziridine obtained from naturally abundant dehydroabietylamine was also


proposed reaction mechanism


Fig. 4 Survey of the aziridines and alkynes that can participate in the process. Reaction performed on a 0.2 mmol scale, using 1.2 equiv. of dipolarophile in 4 mL of MeCN . Yields refer to isolated products. ${ }^{a}$ Reaction performed with syn-aziridine.
a) diphenyl pyrroles as fluorescent probes


47



b) application to biorelevant molecules


61
from dehydroabietylamine


61
from dehydroabietylamine







Fig. 5 Diphenyl pyrroles as fluorescent probes. (a) Absorption and emission of compounds 47 and 60. (b) Synthesis and photophysical characterization of compounds 63 and 65.
successfully converted into the corresponding pyrrole 60 in good yield. Remarkably, these products exhibit distinctive absorption and emission profiles resulting from the formation of the 2,5 -diarylpyrrole core, making them potentially valuable molecular probes for bioconjugation reactions (Fig. 5a). ${ }^{15,23}$ Intrigued by these findings, we decided to delve deeper into this area by combining an aziridine derived from dehydroabietyl amine (Fig. 5b, compound 61) with alkynes bearing bio-relevant fragments such as an amino acid and a sugar ( 62 and 64). In both cases, the resulting products 63 and 65 displayed similar absorption and emission profiles compared to the model compound 47, further confirming that the photophysical properties are indeed attributed to the pyrrole core.

## Conclusions

In summary, we have developed a versatile synthetic strategy that harnesses the power of visible light to trigger the formation of reactive azomethine ylides through two consecutive SET events. The developed method is highly versatile, leading access to pyrrolidines and dihydropyrroles with high chemo-, regioand diastereoselectivity ( 28 examples with up to $94 \%$ yield and $>95: 5 \mathrm{dr}$ ). Noteworthy, when the reaction is performed in the
presence of air, a variety of densely functionalized pyrroles were accessed (19 examples with up to $95 \%$ yield), in a peculiar lightdriven cascade process. Due to the robustness and operational simplicity of this new $(3+2)$ cycloaddition platform, we foresee its potential application under biological settings.

## Data availability

Experimental procedures and spectral data can be found in the ESI. $\dagger$

## Author contributions

L. D. conceived and directed the project. D. M. and L. D have written the manuscript with contributions from all authors. D. M and T. B. have performed all the experiments. G. P. has performed the X-ray analyses. All authors have given approval to the final version of the manuscript.

## Conflicts of interest

The authors declare no conflict of interest.

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[^0]:    ${ }^{a}$ Department of Chemical Sciences, University of Padova, Via Francesco Marzolo 1, 35131, Padova, Italy. E-mail: luca.dellamico@unipd.it
    ${ }^{b}$ Department of Chemistry, Life Sciences and Environmental Sustainability, University of Parma, Parco Area delle Scienze 17, 43124, Parma, Italy
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    $\ddagger$ These authors contributed equally.

