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## InCl<sub>3</sub>-modified SnO<sub>2</sub> as an electron transporting layer for Cd-free antimony selenide solar cells†

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Solar cells based on Sb<sub>2</sub>Se<sub>3</sub> have attracted increasing attention due to its excellent optoelectronic properties and low-cost fabrication. Up to now, high-efficiency Sb<sub>2</sub>Se<sub>3</sub> solar cells with a superstrate structure have always used CdS as an electron transport layer (ETL). However, the parasitic absorption caused by CdS reduces the light absorption of the Sb<sub>2</sub>Se<sub>3</sub> absorber layer, and combined with the toxicity of the Cd element, jointly causes its limitation in practical application. Tin oxide (SnO<sub>2</sub>) is a wide-bandgap ETL and has been widely used in solar cells due to its suitable energy level, high electron mobility, and good stability. However, Sb<sub>2</sub>Se<sub>3</sub> films deposited on metal oxides always exhibit poor quality. In this study, we demonstrate a facile strategy to improve the quality of an Sb<sub>2</sub>Se<sub>3</sub> film by modifying a SnO<sub>2</sub> layer with InCl<sub>3</sub>. We find that InCl<sub>3</sub> post-treatment can optimize the band alignment between SnO<sub>2</sub> and Sb<sub>2</sub>Se<sub>3</sub>, improve the quality of the SnO<sub>2</sub>/Sb<sub>2</sub>Se<sub>3</sub> heterojunction, and reduce deep-level defects. As a result, the PCE improves from 1.51% to 5.52%. Thus, this work offers a simple and effective way to improve the quality of Sb<sub>2</sub>Se<sub>3</sub> films deposited on SnO<sub>2</sub> ETLs and provides a new path towards fabricating Cd-free Sb<sub>2</sub>Se<sub>3</sub> solar cells.

## Introduction

Binary antimony selenide (Sb<sub>2</sub>Se<sub>3</sub>), consisting of earth-abundant and low-toxicity elements, is considered as a promising light-absorbing material due to its excellent optoelectronic properties, such as an ideal optical band gap (~1.0–1.3 eV), a high light absorption coefficient (>10<sup>5</sup> cm<sup>-1</sup> in the visible

region) and decent carrier mobility (~10 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>).<sup>1</sup> According to the Shockley–Queisser (S–Q) limit, the maximum power conversion efficiency (PCE) of an Sb<sub>2</sub>Se<sub>3</sub> single-junction solar cell can reach 33.3%.<sup>2</sup> The narrow band gap of Sb<sub>2</sub>Se<sub>3</sub> suggests that it can also be used as a bottom active layer in tandem solar cells, indicating its great potential in photovoltaic applications.<sup>3</sup>

Since 2013, Sb<sub>2</sub>Se<sub>3</sub> solar cells have experienced rapid progress, when a solution-processed sensitized type device with a power conversion efficiency (PCE) of 3.21% was fabricated, while Tang *et al.* prepared the first planar-type Sb<sub>2</sub>Se<sub>3</sub> solar cells with a PCE of 2.26% in the same year.<sup>4,5</sup> Since then, the focus has been mainly on planar Sb<sub>2</sub>Se<sub>3</sub> solar cells including superstrate and substrate configurations, so studies in terms of the deposition of the active layer, optimization of the device structure, and the passivation of defects were conducted.<sup>6–9</sup> Up to now, the PCEs of Sb<sub>2</sub>Se<sub>3</sub> solar cells based on superstrate and substrate configurations have both exceeded 10%, where Sb<sub>2</sub>Se<sub>3</sub> films were prepared by chemical bath deposition (CBD) and closed-space sublimation (CSS) methods, respectively.<sup>7,10</sup> The melting point of Sb<sub>2</sub>Se<sub>3</sub> is only about 608 °C, which leads to a high saturation vapor pressure below this temperature. This feature indicates that vapor deposition methods are promising routes to prepare high-quality Sb<sub>2</sub>Se<sub>3</sub> films.<sup>11</sup> For the superstrate structure, substrates, *i.e.* electron transport layers (ETLs), play an important role in the quality of the subsequently deposited Sb<sub>2</sub>Se<sub>3</sub> film.<sup>12,13</sup>

At present, high-efficiency Sb<sub>2</sub>Se<sub>3</sub> solar cells usually use CdS as the ETL.<sup>7,9</sup> However, the narrow band gap of CdS (2.4 eV) usually causes parasitic absorption in the wavelength range below 520 nm, which reduces the light absorption of the Sb<sub>2</sub>Se<sub>3</sub> absorber layer. This characteristic ultimately leads to a decrease in the short-circuit current density (*J*<sub>SC</sub>) of the solar cells.<sup>14</sup> On the other hand, the toxicity of Cd largely limits its practical applications.<sup>15</sup> Thus, it is of great significance to replace CdS substrates and develop efficient Cd-free Sb<sub>2</sub>Se<sub>3</sub> solar cells. In this regard, metal oxide semiconductors, including TiO<sub>2</sub>, ZnO, SnO<sub>2</sub>, *etc.* have been used as ETLs for Sb<sub>2</sub>Se<sub>3</sub> solar cells.

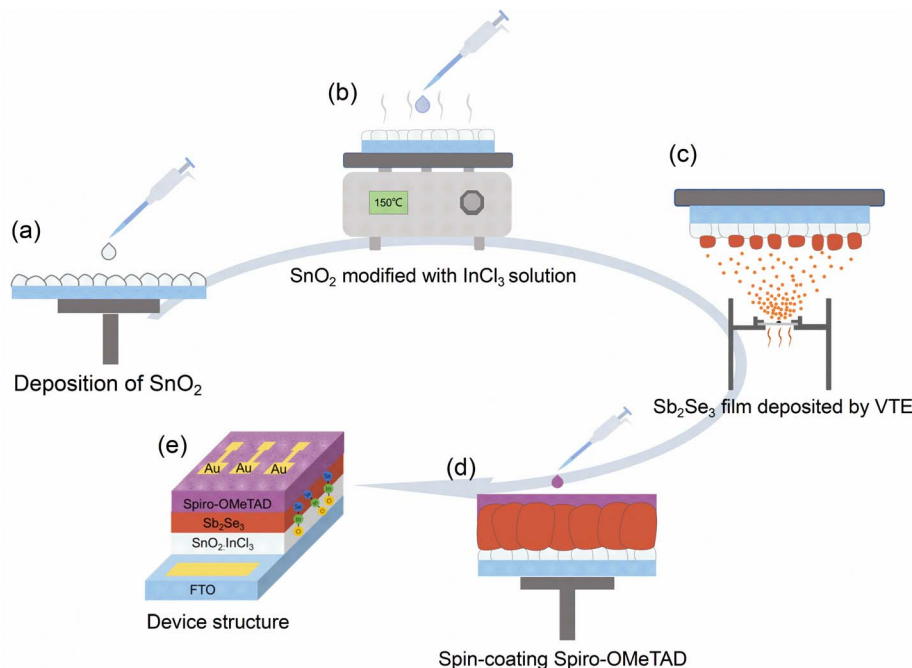
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**Scheme 1** The fabrication process of an Sb<sub>2</sub>Se<sub>3</sub> based solar cell. (a) Deposition of SnO<sub>2</sub>. (b) SnO<sub>2</sub> modified with InCl<sub>3</sub> solution. (c) Sb<sub>2</sub>Se<sub>3</sub> film deposited by VTE. (d) Spin-coating Spiro-OMeTAD. (e) Device structure.

Combined with doping and interface modification strategies, the PCEs of devices based on TiO<sub>2</sub> and ZnO ETLs have reached 7.62% and 5.93%, respectively.<sup>16,17</sup> While for the wide-bandgap tin oxide (SnO<sub>2</sub>) semiconductor, its suitable energy level, high electron mobility and good stability determine its great potential as an electron transport layer for solar cells.<sup>18,19</sup> Leng *et al.* demonstrated that hot electron extraction at the SnO<sub>2</sub>/Sb<sub>2</sub>Se<sub>3</sub> interface was much faster than that at the CdS/Sb<sub>2</sub>Se<sub>3</sub> interface, which can successfully suppress the trapping process.<sup>20</sup> However, the Sb<sub>2</sub>Se<sub>3</sub> film deposited on SnO<sub>2</sub> is not as good as that on CdS, which gives rise to a poor heterojunction interface between SnO<sub>2</sub> and Sb<sub>2</sub>Se<sub>3</sub> layers.<sup>21</sup> Interface modification is an effective way to improve the quality of the heterojunction.<sup>22–26</sup> For instance, Wang *et al.* treated TiO<sub>2</sub> ETLs with CdCl<sub>2</sub>, increasing the nucleation sites on the TiO<sub>2</sub> thin film, which improved the quality of the heterojunction.<sup>27</sup> In addition, Wang *et al.* passivated TiO<sub>2</sub> ETLs with TiCl<sub>4</sub>, which could adjust the energy band arrangement of the interface and the crystal orientation of the Sb<sub>2</sub>Se<sub>3</sub> film, thereby reducing interface defects and improving device performance.<sup>28</sup>

Inspired by the above studies, we employed SnO<sub>2</sub> as the ETL in Sb<sub>2</sub>Se<sub>3</sub> solar cells. Here, we propose a new interface strategy of using InCl<sub>3</sub> solution to treat SnO<sub>2</sub>, followed by a post-annealing treatment, to prepare InCl<sub>3</sub> modified SnO<sub>2</sub>, as illustrated in Scheme 1. Subsequently, Sb<sub>2</sub>Se<sub>3</sub> films were deposited through a thermal evaporation deposition. Notably, the InCl<sub>3</sub>-modified SnO<sub>2</sub> not only significantly improved the crystallinity of Sb<sub>2</sub>Se<sub>3</sub> films and increased the grain size, but also optimized the band alignment between SnO<sub>2</sub> and Sb<sub>2</sub>Se<sub>3</sub>. Furthermore, the deep-level defects in Sb<sub>2</sub>Se<sub>3</sub> were reduced, and thus prolonged the carrier lifetime of the absorber layer. As a result, the

efficiency of the solar cell improves to 5.52%, which is the highest PCE among completely Cd-free Sb<sub>2</sub>Se<sub>3</sub> solar cells prepared by vacuum thermal evaporation.

## Experimental

### Preparation of SnO<sub>2</sub> and InCl<sub>3</sub> modified SnO<sub>2</sub> layers

Before the deposition of SnO<sub>2</sub> and the modification of SnO<sub>2</sub> with InCl<sub>3</sub>, FTO-coated glasses should be cleaned with deionized (DI) water, isopropanol, acetone, and ethanol for 40 minutes sequentially. A UV ozone cleaner (HF-Kejing, PCE-22-LD) was employed to clean the organic residues on the surface of FTO-coated glasses. Then, the SnO<sub>2</sub> film was prepared by spin coating SnO<sub>2</sub> precursor solution at 2000 rpm for 40 s and, subsequently, a post annealing treatment at 200 °C for 30 min. In this process, the SnO<sub>2</sub> precursor solution was prepared by mixing a commercial SnO<sub>2</sub> aqueous colloidal dispersion (15 wt%, Alfa Aesar) with deionized water (1:1 v/v). The InCl<sub>3</sub> modified SnO<sub>2</sub> layer was prepared by spin-coating InCl<sub>3</sub> solution at 3000 rpm for 30 s and baking in a N<sub>2</sub> atmosphere at 200 °C for 30 min. In this process, the InCl<sub>3</sub> solution was obtained by dissolving 20 mg of InCl<sub>3</sub>·4H<sub>2</sub>O powder into 1 mL absolute alcohol and then stirring until the powder was dissolved completely.

### Fabrication of Sb<sub>2</sub>Se<sub>3</sub> solar cells

The Sb<sub>2</sub>Se<sub>3</sub> films were deposited on FTO/SnO<sub>2</sub> substrates with or without InCl<sub>3</sub> treatment by vacuum thermal evaporation under a vacuum pressure of 5.0 × 10<sup>-4</sup> Pa. The substrate temperature was controlled at 300 °C. High-purity Sb<sub>2</sub>Se<sub>3</sub> powder (99.99%, Zhongnuoxincai) was used as the evaporation source and the

evaporation rate was 40–120 nm s<sup>-1</sup>. Then, the as-deposited Sb<sub>2</sub>Se<sub>3</sub> thin film was annealed at 300 °C for 30 min. After the post-annealing treatment, Spiro-OMeTAD was spin-coated on the surface of Sb<sub>2</sub>Se<sub>3</sub> films at 3000 rpm for 30 s and baked at 100 °C for 10 min. Finally, a Au electrode was deposited using a thermal evaporator under a pressure of 5.0 × 10<sup>-4</sup> Pa. The active area of the device was defined as 0.105 cm<sup>2</sup>.

### Chemical and morphological characterization

X-Ray diffraction (XRD) patterns were recorded using a Bruker Advance D8 diffractometer with Cu K $\alpha$  radiation ( $\lambda = 1.5406 \text{ \AA}$ ). The surface and cross-sectional images of SnO<sub>2</sub>, Sb<sub>2</sub>Se<sub>3</sub> thin films, and the devices were recorded using a field emission scanning electron microscope (SEM, Hitachi SU8220) equipped with an energy dispersive spectroscopy (EDS) module (Bruker). X-Ray photoelectron spectroscopy (XPS, Thermal Scientific K-Alpha+ an instrument equipped with a monochromatic Al K $\alpha$  X-ray source) were used to characterize the chemical composition of SnO<sub>2</sub> and Sb<sub>2</sub>Se<sub>3</sub>. The synchrotron radiation photoemission spectroscopy (SRPES) spectra of Sn 3d and O 1s were measured at the BL11U beamline in the National Synchrotron Radiation Laboratory (NSRL) in Hefei, China. Atomic force microscope (AFM) measurement (Bruker Dimension Edge) was performed to characterize the roughness of SnO<sub>2</sub> and Sb<sub>2</sub>Se<sub>3</sub> films. Ultra-violet-visible (UV-vis) spectroscopy (SOLID3700) was used to measure the light absorption of SnO<sub>2</sub> and Sb<sub>2</sub>Se<sub>3</sub> thin films. Ultraviolet photo-electron spectroscopy (UPS) were measured conducted to detect the Fermi level and valence band of SnO<sub>2</sub> and Sb<sub>2</sub>Se<sub>3</sub> using a PHI5000 VersaProbe III (Scanning ESCA Microprobe) SCA (Spherical Analyzer) and a He(I) discharge lamp (21.2 eV). Raman spectra were recorded using a Renishaw Raman spectrometer with 532 nm laser excitation. Secondary ion mass spectrometry (SIMS) was conducted to analyse the distribution of In and Cl elements at the interfaces and their diffusion into the Sb<sub>2</sub>Se<sub>3</sub> film.

### Optical and electrical characterization studies of the devices

Current density–voltage ( $J$ – $V$ ) curves of the Sb<sub>2</sub>Se<sub>3</sub> solar cells were recorded using a standard xenon lamp solar simulator illumination with a Keithley 2400 digital Source-Meter under AM 1.5G illuminations (100 mW cm<sup>-2</sup>) in ambient air at room temperature. A halogen lamp single source illumination system combined with a monochromator is used to measure the incident photon-to-current conversion efficiency (model SPIEQ200). Electrochemical impedance spectroscopy (EIS) were obtained using a Zahner Mess System PP211 electrochemical workstation at a bias potential of -0.5 V in the dark. Transient absorption spectroscopy (TAS) of the Sb<sub>2</sub>Se<sub>3</sub> thin films were measured using a commercial Helios setup from Ultrafast Systems. Additionally, the pump and probe laser pulses were generated by frequency doubling the fundamental output (Coherent Vitesse, 80 MHz, Ti-sapphire laser) and through white light generated with a sapphire plate, respectively. The decay kinetics are fitted using the biexponential model  $y = \sum A_i \exp(-x/\tau_i)$ , and carrier lifetime ( $\tau$ ) was obtained using equation  $\tau = \sum A_i \tau_i^2 / \sum A_i \tau_i$  ( $i = 2$ ). Deep level transient spectrum (DLTS) measurement was performed

via a Phystech FT-1230 HERA DLTS system equipped with a 10 mW red laser (laser pulse with 635 nm wavelength & pulse voltage: 0.5 V). A modified Boonton 7200 capacitance meter (1–75 kHz) was used to examine dynamic capacitance. The DLTS temperature scan range was from 120 to 420 K with 2 K heating intervals. The pulse mode was set as electrical (pulse voltage) and optical (laser excitation) double pulses, and they were exerted and removed simultaneously. In detail, the reverse bias, pulse voltage, pulse width (electric and optical), and period width were -0.4 V, 0.2–0.6 V, 10 ms, and 100 ms, respectively.

## Results and discussion

### Chemical and morphological characterization studies

In this work, SnO<sub>2</sub> films were prepared by spin-coating a diluted commercial SnO<sub>2</sub> aqueous colloidal dispersion, as illustrated in Scheme 1. The specific details of the preparation are presented in the Experimental section. After thermal annealing at 150 °C for 30 min, we observed that the deposited SnO<sub>2</sub> film on FTO-coated glasses is composed of nanoparticles (Fig. 1a) and exhibited uniform and compact morphology. After treating SnO<sub>2</sub> with InCl<sub>3</sub>, the film becomes denser and flatter (Fig. 1b), corresponding to a decrease in root-mean-square roughness ( $R_q$ ) from 19.1 nm of SnO<sub>2</sub> to 17.4 nm of InCl<sub>3</sub> modified SnO<sub>2</sub> (Fig. S1a and b†). The decrease in  $R_q$  is mainly due to the diffusion of the In element into the SnO<sub>2</sub> film during the annealing process, which slightly changes its surface morphology. Here, for convenience of discussion, the SnO<sub>2</sub> film treated with InCl<sub>3</sub> is denoted as SnO<sub>2</sub>:InCl<sub>3</sub>.

To study the surface components and chemical states of SnO<sub>2</sub>:InCl<sub>3</sub> and SnO<sub>2</sub>, we conducted X-ray photoelectron spectroscopy (XPS) characterization. The high-resolution XPS spectra of In 3d, Cl 2p, Sn 3d and O 1s core-level regions are displayed in Fig. 1c–f. All XPS results are corrected using the reference of C 1s (284.8 eV). Notably, in the SnO<sub>2</sub>:InCl<sub>3</sub> film, two peaks with binding energies of 445.2 eV and 452.8 eV are detected (Fig. 1c), which correspond to In 3d<sub>5/2</sub> and In 3d<sub>3/2</sub>, respectively. Meanwhile, we detected a signal belonging to Cl 2p (Fig. 1d). The presence of In and Cl elements is due to the InCl<sub>3</sub> post-treatment. Furthermore, the peaks at 486.4 eV and 494.8 eV can be assigned to Sn 3d<sub>5/2</sub> and Sn 3d<sub>3/2</sub> of the SnO<sub>2</sub> film (Fig. 1e), while the two peaks in the SnO<sub>2</sub>:InCl<sub>3</sub> film shift 0.6 eV towards high binding energy. This phenomenon is mainly due to the doping of the In element into SnO<sub>2</sub> as an electron acceptor, which leads to the electron transfer from Sn to In, thereby increasing the binding energy of Sn. Likewise, as shown in Fig. 1f, the O 1s peak of the SnO<sub>2</sub>:InCl<sub>3</sub> film can be divided into three peaks at 530.8 eV, 532.1 eV and 532.6 eV, corresponding to O–Sn (O<sub>Sn</sub>), O–In (O<sub>In</sub>) and adsorbed oxygen (O<sub>H</sub>), respectively. Compared with pristine SnO<sub>2</sub>, the O<sub>Sn</sub> and O<sub>H</sub> peaks in the SnO<sub>2</sub>:InCl<sub>3</sub> film also exhibit higher binding energy due to the formation of an In–O bond. Combined with the detected In and Cl elements, we demonstrate the formation of an InO<sub>x</sub>Cl<sub>3–2x</sub> phase.<sup>29</sup> Furthermore, we applied synchrotron radiation photoemission spectroscopy (SRPES) to measure the Sn Cl 3d and O 1s at different photon energies (Fig. 2Sa and b†). The elemental composition at different depths was detected by

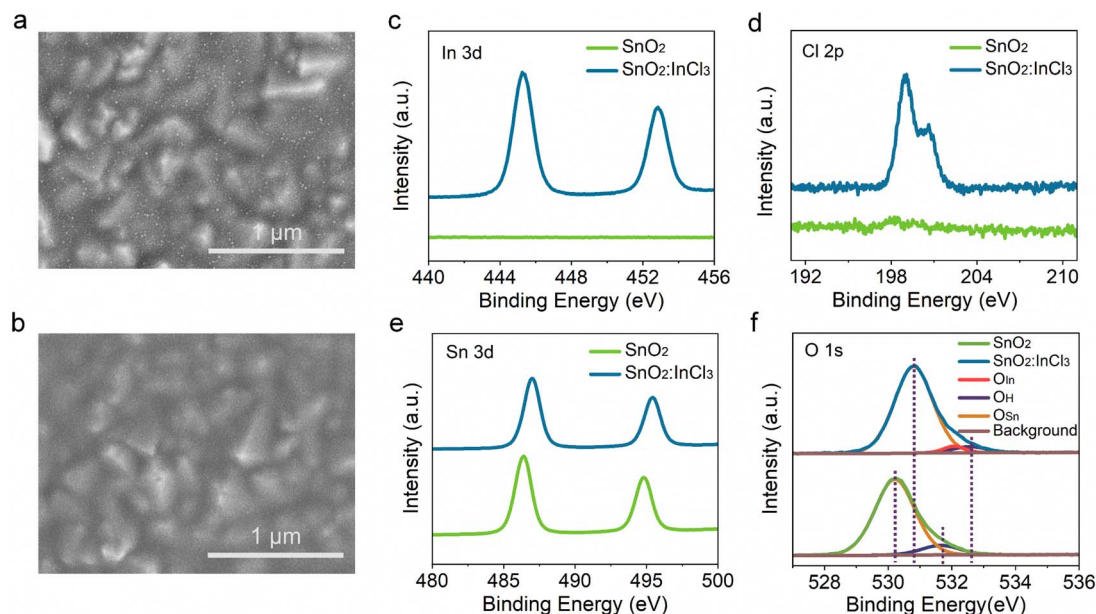


Fig. 1 Surface SEM images of (a) SnO<sub>2</sub> and (b) SnO<sub>2</sub>:InCl<sub>3</sub> films. (c) High-resolution XPS spectra of (c) In 3d, (d) Cl 2p, (e) Sn 3d, and (f) O 1s elements in SnO<sub>2</sub> and SnO<sub>2</sub>:InCl<sub>3</sub> films.

adjusting incident photon energies of 600, 800, 1486, and 2984 eV. With an increase in incident photon energy, that is, the detection depth deepened, the Sn 3d and O 1s peaks moved towards low binding energy, which indicates that In is mainly enriched on the surface of the SnO<sub>2</sub> film and gradually decreases with an increase in the depth.<sup>30</sup>

The transmittance spectra of FTO, FTO/SnO<sub>2</sub>, and FTO/SnO<sub>2</sub>:InCl<sub>3</sub> are shown in Fig. 2a. Notably, the deposited SnO<sub>2</sub> layer improves the transmittance of FTO glass in the wavelength range from 300 nm to 700 nm. This result is due to the decrease in surface roughness of FTO/SnO<sub>2</sub>, reducing the light scattering and improving the flat transmittance of light.<sup>31</sup> After treatment with InCl<sub>3</sub>, FTO/SnO<sub>2</sub> exhibits no significant change in transmittance compared to pristine SnO<sub>2</sub>, which is due to the wider band gap of the formed InO<sub>x</sub>Cl<sub>3-2x</sub> that avoids additional absorption. As shown in Fig. S3a and b,<sup>†</sup> the calculated

bandgaps ( $E_g$ ) of SnO<sub>2</sub> and SnO<sub>2</sub>:InCl<sub>3</sub> are both 3.94 eV according to the Tauc plot.

$$(\alpha h\nu)^n = A(h\nu - E_g)$$

where  $\alpha$  is the absorption coefficient;  $\nu$  is the frequency of the incident photon;  $A$  is a constant;  $h$  is Planck's constant; while  $n$  equals 2 here.

In order to study the influence of InCl<sub>3</sub> treatment on the electrical properties of the SnO<sub>2</sub> ETL, we tested the conductivity of SnO<sub>2</sub> and SnO<sub>2</sub>:InCl<sub>3</sub>. Fig. 2b shows the current density–voltage ( $J$ – $V$ ) curves of the devices with structures of FTO/SnO<sub>2</sub>/Au and FTO/SnO<sub>2</sub>:InCl<sub>3</sub>/Au. From the slopes of the curves, we obtained the conductivity ( $\sigma^0$ ) of the films according to equation  $I = \sigma^0 A d^{-1} V$ , where  $A$  and  $d$  represent the area and thickness of the samples, respectively. From this, a larger slope indicates a higher conductivity. After the InCl<sub>3</sub> treatment, SnO<sub>2</sub>:InCl<sub>3</sub>

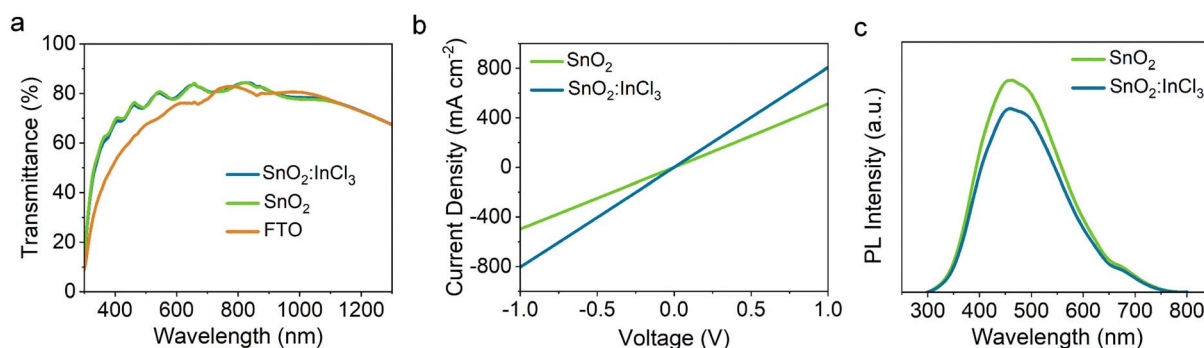


Fig. 2 (a) Transmission spectra of FTO substrates, and the FTO substrates coated with SnO<sub>2</sub> and SnO<sub>2</sub>:InCl<sub>3</sub> layers. (b) Current density–voltage curves of SnO<sub>2</sub> and SnO<sub>2</sub>:InCl<sub>3</sub> films. (c) PL spectra of SnO<sub>2</sub> and SnO<sub>2</sub>:InCl<sub>3</sub> films.

exhibits a steeper slope than pristine  $\text{SnO}_2$ , indicating that  $\text{InCl}_3$  treatment reduces the defect concentration. The corresponding  $J^{1/2}$ - $V$  curves are presented in Fig. S4† and the carrier mobilities are calculated using the Mott-Gurney formula  $J = 9/8\epsilon_0\epsilon_r\mu V^2/d^3$ , where  $J$ ,  $\epsilon_0$ ,  $\epsilon_r$ ,  $\mu$ ,  $V$ , and  $d$  represent the current density, the vacuum dielectric constant, the relative dielectric constant of the electron transport layer, the electron mobility, the voltage, and the film thickness, respectively. Accordingly, the carrier mobilities of  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$  were calculated to be  $2.96 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and  $1.88 \times 10^{-4} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , respectively. The increase in conductivity and carrier mobility can be attributed to the passivation of deep-level defects in  $\text{SnO}_2$  films, which is beneficial for improving charge transfer at the interface of  $\text{Sb}_2\text{Se}_3/\text{ETLs}$ . Subsequently, steady-state photoluminescence (PL) spectroscopy was conducted to demonstrate the changes in defects in  $\text{SnO}_2$  films before and after  $\text{InCl}_3$  treatment. As shown in Fig. 2c, the  $\text{SnO}_2$  film treated with  $\text{InCl}_3$  shows a weakened PL signal. Since the PL intensity is proportional to the defect concentration, the decreased intensity indicates that  $\text{InCl}_3$  treatment reduces the defect concentration as well as the carrier recombination in  $\text{SnO}_2$  ETLs.<sup>32</sup>

In superstrate solar cells, an ETL is not only used for transporting electrons, but also has a significant impact on the quality of the subsequent deposited absorber layer. To investigate the influence of substrates on the absorber layer of  $\text{Sb}_2\text{Se}_3$ , we deposited  $\text{Sb}_2\text{Se}_3$  films on  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$  by thermal evaporation. For convenience, the  $\text{Sb}_2\text{Se}_3$  films deposited on  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$  are denoted as  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$ , respectively. The XRD patterns of the two samples are shown in Fig. 3e. Except for the peaks from the FTO substrate, all peaks can be indexed to orthorhombic  $\text{Sb}_2\text{Se}_3$  (JCPDS no. 15-0681). In the Raman spectrum (Fig. S5†), both films exhibit three dominant peaks corresponding to the  $\text{Sb}_2\text{Se}_3$  phase at 151, 189, and 209  $\text{cm}^{-1}$ . Based on the above results, we confirm that the  $\text{Sb}_2\text{Se}_3$  films deposited by VTE have high purity.

Furthermore, the treatment of  $\text{SnO}_2$  ETLs significantly changed the morphology of  $\text{Sb}_2\text{Se}_3$  films, as shown in the SEM images in Fig. 3a–c. The  $\text{InCl}_3$ -modified  $\text{SnO}_2$  increases the average grain size of  $\text{Sb}_2\text{Se}_3$  from about 200 nm to 500 nm (Fig. 3f and S6†), which can reduce the recombination loss at grain boundaries (GBs). Moreover, compared with the  $\text{Sb}_2\text{Se}_3$  film on  $\text{SnO}_2$ , the surface morphology of the  $\text{Sb}_2\text{Se}_3$  film deposited on  $\text{SnO}_2:\text{InCl}_3$  is flatter, with  $R_q$  decreasing from 26.6 to 20.8 nm (Fig. 3b–d), which is favourable to improving the contact between the absorber layer and hole transport layer, thereby reducing the leakage current of the solar cell.

To probe the impact of  $\text{InCl}_3$  post-treatment on the energy level alignment between  $\text{SnO}_2$  and  $\text{Sb}_2\text{Se}_3$ , ultraviolet photoelectron spectroscopy (UPS) was used to measure the valence band maximum (VBM) and Fermi level ( $E_F$ ). As shown in Fig. 4a–d, the  $E_F$  of  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$  are measured to be  $-3.95$  and  $-4.28$  eV, respectively. By linear extrapolation of the low binding energy region, the gaps ( $E_g$ ) between the Fermi level and valence band maximum are estimated to be 3.55 and 3.38 eV for  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$ , respectively. Combined with the same optical band gap of 3.94 eV (Fig. S3†), the conduction band minimum (CBM) corresponding to  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$

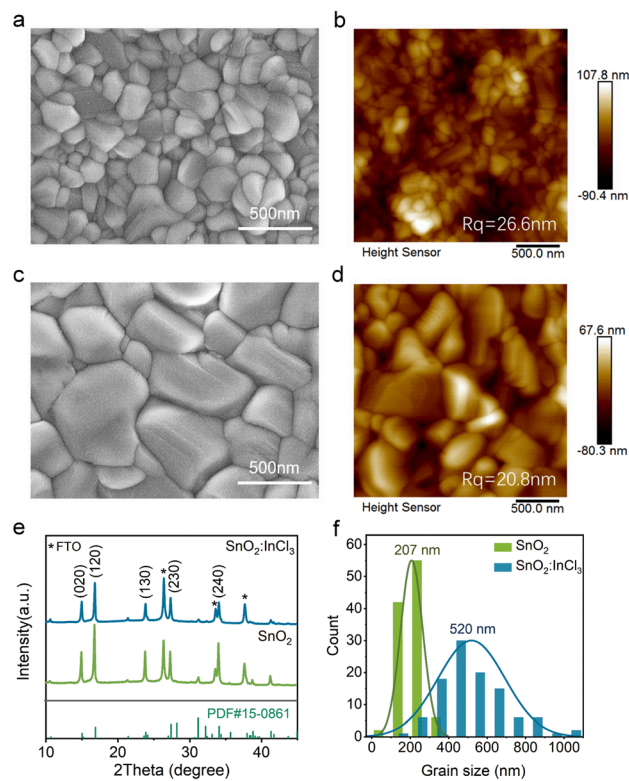


Fig. 3 Surface SEM images of  $\text{Sb}_2\text{Se}_3$  films on (a)  $\text{SnO}_2$  and (c)  $\text{SnO}_2:\text{InCl}_3$  layers. AFM images of  $\text{Sb}_2\text{Se}_3$  films on (b)  $\text{SnO}_2$  and (d)  $\text{SnO}_2:\text{InCl}_3$ . (e) XRD patterns of the  $\text{Sb}_2\text{Se}_3$  films that were deposited on  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$  films, with FTO as the substrate. (f) Statistical distribution of the grain size in  $\text{Sb}_2\text{Se}_3$  films on  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$  films.

is calculated to be  $-3.56$  eV and  $-3.72$  eV, respectively. Similarly, the  $E_g$ ,  $E_F$ ,  $E_P$  and CBM of  $\text{Sb}_2\text{Se}_3$  deposited on the  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$  substrates are measured to be 1.25 eV,  $-4.70$  eV, 0.47 eV, and  $-3.97$  eV and 1.25 eV,  $-4.54$  eV, 0.51 eV, and  $-3.85$  eV, respectively (Fig. 4b–d).<sup>22</sup> The schematic diagrams of energy level alignment between the ETL and  $\text{Sb}_2\text{Se}_3$  films are shown in Fig. 4e and f. Consequently, the conduction band offset (CBO) of  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  heterojunctions is calculated to be  $-0.41$  eV ( $-\Delta E_C$ ) and  $-0.13$  eV ( $-\Delta E_C$ ), respectively. Notably, both interfaces have “spike” like energy level alignment, while a mild spike ( $0.1 \leq \Delta E_C \leq 0.2$  eV) is believed to reduce the carrier recombination.<sup>33</sup> Therefore, the improved band alignment between  $\text{SnO}_2:\text{InCl}_3$  and  $\text{Sb}_2\text{Se}_3$  can facilitate efficient carrier transport in a solar cell and is expected to improve the device efficiency.

After interface treatment of  $\text{SnO}_2$ , the quality of subsequently deposited  $\text{Sb}_2\text{Se}_3$  films was significantly improved. In order to gain insight into the improvement mechanism of the film quality, we utilized secondary ion mass spectrometry (SIMS) to detect the vertical distribution of elements in ETLs/ $\text{Sb}_2\text{Se}_3$ . As shown in Fig. 5a, we observe severe diffusion of Se into the  $\text{SnO}_2$  layer in the absence of  $\text{InCl}_3$  treatment, which may lead to the formation of  $\text{SnO}_{2-x}\text{Se}_x$ , resulting in a poor heterojunction.<sup>16</sup> In contrast,  $\text{InCl}_3$  treatment hinders the diffusion of the Se

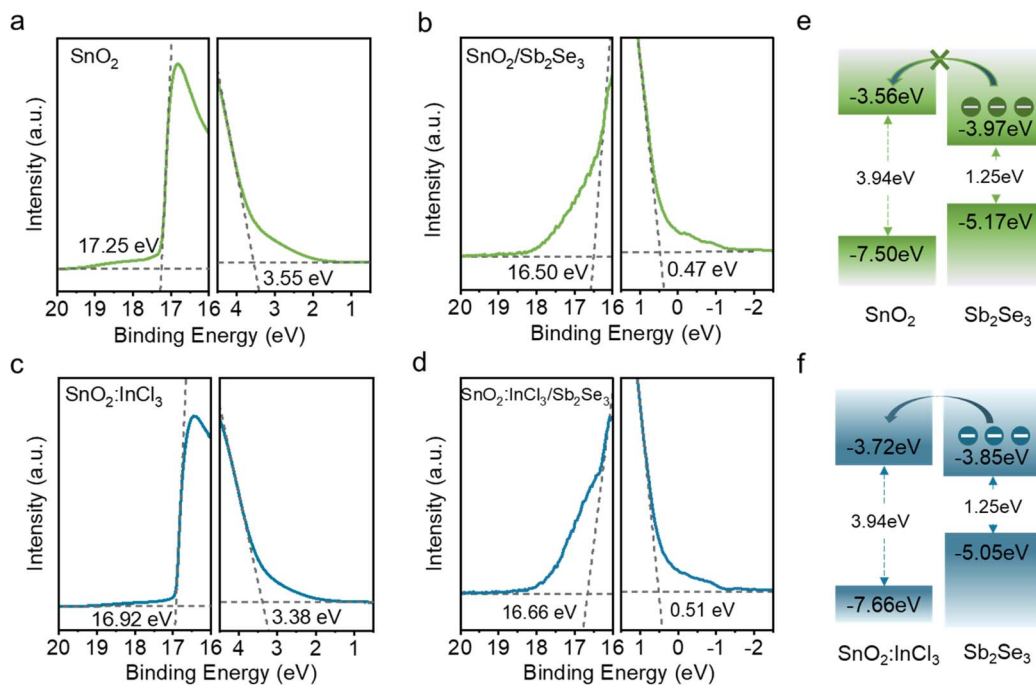


Fig. 4 The UPS spectra of (a)  $\text{SnO}_2$ , (b)  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$ , (c)  $\text{SnO}_2:\text{InCl}_3$ , and (d)  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$ . A diagram of the energy band alignments at the interfaces between  $\text{Sb}_2\text{Se}_3$  and (e)  $\text{SnO}_2$  and (f)  $\text{SnO}_2:\text{InCl}_3$ .

element into  $\text{SnO}_2$ , while allowing the Cl element to diffuse into  $\text{Sb}_2\text{Se}_3$ , which is beneficial for passivating defects in the absorption layer, as demonstrated in previous studies (Fig. 5d).<sup>34</sup> Afterwards, we analyzed the bonding information at

the interface through XPS. When 3 nm of the  $\text{Sb}_2\text{Se}_3$  film was deposited on the  $\text{SnO}_2:\text{InCl}_3$  substrate, we detected the presence of the In element in  $\text{Sb}_2\text{Se}_3$  (Fig. S7<sup>†</sup>). In specific, the In 3d peak can be divided into three kinds of peaks. The peaks at 444.5 eV

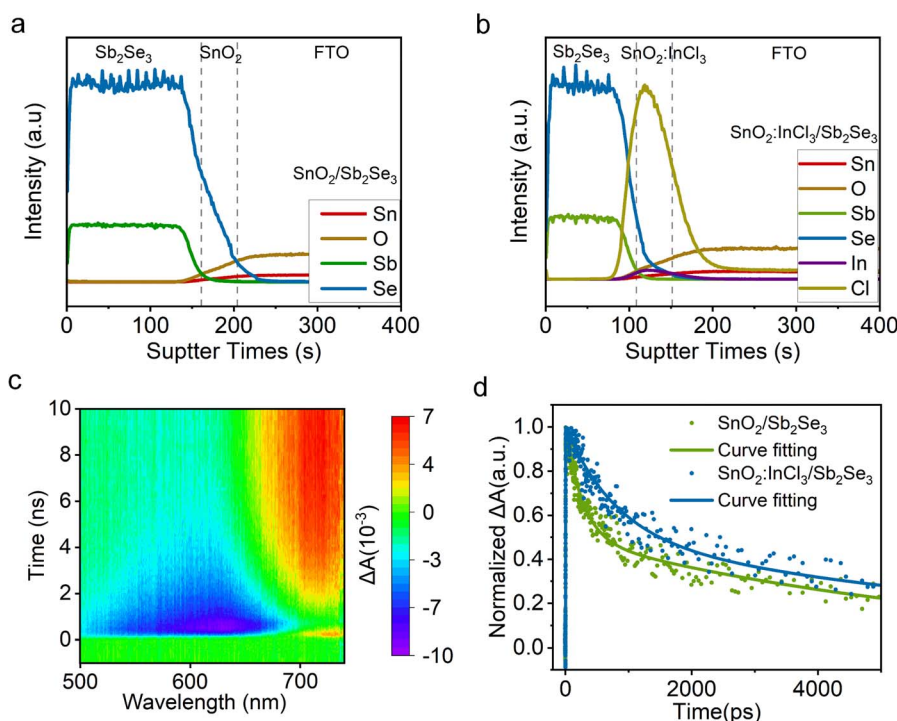


Fig. 5 The secondary ion mass spectroscopy (SIMS) spectra of (a)  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and (b)  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$ . (c) TAS mapping of  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$ . (d) Transient decay kinetics (scatter) and fit (solid lines) monitored at 690 nm for  $\text{Sb}_2\text{Se}_3$  films deposited on  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$ .

and 451.9 eV, 445.2 eV and 452.7 eV, and 446.0 eV and 453.5 eV can be assigned to  $\text{In}^{3+}$  that bonded with  $\text{Se}^{2-}$ ,  $\text{O}^{2-}$  and  $\text{Cl}^-$ , respectively. The formed In–Se and In–O bonds indicate that In serves as a bridge between  $\text{SnO}_2$  and  $\text{Sb}_2\text{Se}_3$ , which can improve the carrier extraction and transport at the interface.<sup>22</sup> Thus, transient absorption spectroscopy (TAS) was performed to compare the kinetics of carrier transport at the interface of ETLs/ $\text{Sb}_2\text{Se}_3$ . In this measurement, a 360 nm pump pulse laser is selected as the source to excite the ground-state charge carrier in the  $\text{Sb}_2\text{Se}_3$  films, and TAS spectra are obtained (Fig. 5c and S8†). Then, transition dynamics of the samples are extracted from the TAS spectrum near 690 nm wavelength and well fitted according to the double exponential decay equation, as shown in Fig. 5d. The fitted average lifetime of  $\text{FTO}/\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{FTO}/\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  is 5.960 and 7.877 ns, respectively. Compared with  $\text{FTO}/\text{SnO}_2/\text{Sb}_2\text{Se}_3$ ,  $\text{FTO}/\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  exhibits a prolonged carrier lifetime. This may be attributed to the modification of the  $\text{SnO}_2$  ETL with  $\text{InCl}_3$  improving the quality of  $\text{Sb}_2\text{Se}_3$ , thereby reducing the existing defects in the film.

To explore the deep-level defects in the films, we conducted the deep-level transient spectroscopy (DLTS) measurement. The number of defects was identified from the DLTS signal using the Fourier deconvolution algorithm, and the corresponding trap density was calculated (the characterization details are provided in Note 1 in the ESI†). The  $\text{Sb}_2\text{Se}_3$  films deposited by the vacuum thermal evaporation method in this work display p-type conductivity. Thus, the positive and negative peaks in the DLTS spectra represent hole traps (acceptor defects) and electron traps (donor defects), respectively. As shown in Fig. 6a,

both samples are detected to have two dominant deep-level acceptor defects. The detailed defect parameters including the trap energy level ( $E_T$ ), capture cross section ( $\sigma$ ), trap density ( $N_T$ ), and carrier density ( $N_S$ ) extracted from the DLTS spectra are summarized in Table 1. Notably, the activation energies of the trap defects in the two devices are similar, indicating that the origins of these defects are the same due to the same synthesis method. Accordingly, these two kinds of defects in the devices can be denoted as H1 and H2. Combined with the calculation results, the traps H1 and H2 located at about 0.55 and 0.66 eV above the VBM (Fig. 6c and d) can be assigned to the antimony vacancy ( $V_{\text{Sb}}$ ) and selenium substitutes for antimony anti-site defects ( $\text{Se}_{\text{Sb}}$ ), respectively. Both acceptor defects act as hole traps or recombination sites, which can hinder the charge transport and shorten the carrier lifetime. After the modification of  $\text{SnO}_2$  with  $\text{InCl}_3$ , the trap state densities in the absorb layer are reduced compared with the control sample. The reduced defect density demonstrates that SRH recombination in the  $\text{SnO}_2:\text{InCl}_3$  based device is effectively suppressed.

In order to explore the effect of  $\text{InCl}_3$ -modified  $\text{SnO}_2$  on device performance, solar cells with the structure of  $\text{FTO}/\text{SnO}_2$  (or  $\text{SnO}_2:\text{InCl}_3$ )/ $\text{Sb}_2\text{Se}_3$ /Spiro-OMeTAD/Au were fabricated. The corresponding cross sectional SEM image of the device is shown in Fig. S9.† Current density–voltage ( $J$ – $V$ ) curves of the devices based on  $\text{SnO}_2$  and  $\text{SnO}_2:\text{InCl}_3$  ETLs were measured under one solar illumination ( $100 \text{ mW cm}^{-2}$ ). The  $J$ – $V$  curves of the best devices are shown in Fig. 7a, and the detailed parameters are listed in Table 2. Notably, the  $\text{SnO}_2$ -based device delivers an open circuit voltage ( $V_{\text{OC}}$ ), a short-circuit current density ( $J_{\text{SC}}$ ), a fill factor (FF), and a power conversion efficiency (PCE) of

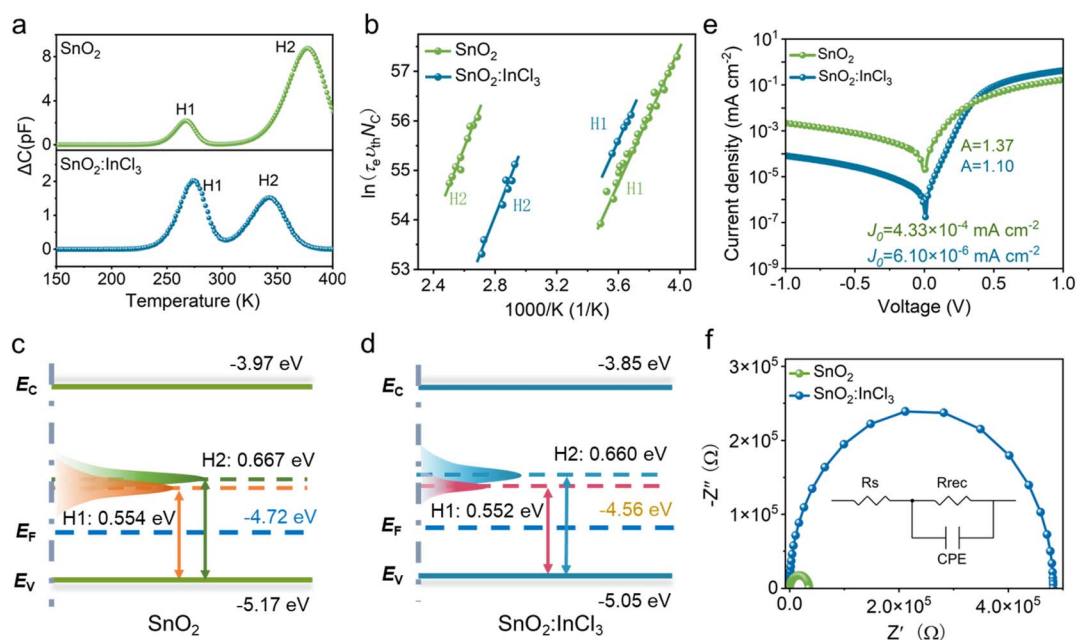


Fig. 6 Dual-pulse mode DLTS signals at variable pulse voltage (0.2–0.6 V) for (a)  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and (b)  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  devices. Schematic diagram of the energy band and defect level of (c)  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and (d)  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  devices.  $E_C$ ,  $E_V$ , and  $E_F$  stand for the CBM, Fermi level and VBM, respectively. (e) Dark  $J$ – $V$  curves of  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  devices. (f) Nyquist plots (under dark conditions at 0.50 V) of  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  devices.

**Table 1** The trap parameters, including  $E_T$ ,  $\sigma$ ,  $N_T$ , and  $N_S$ , extracted from DLTS of the  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  based devices

Devices	Trap	Defect	$E_T$ (eV)	$\sigma$ (cm <sup>2</sup> )	$N_T$ (cm <sup>-3</sup> )	$N_S$ (cm <sup>-3</sup> )
$\text{SnO}_2/\text{Sb}_2\text{Se}_3$	H1	$V_{\text{Sb}}$	$E_V + 0.554$	$1.71 \times 10^{-14}$	$5.42 \times 10^{14}$	$4.74 \times 10^{16}$
	H2	$\text{Se}_{\text{Sb}}$	$E_V + 0.667$	$4.53 \times 10^{-16}$	$6.53 \times 10^{14}$	
$\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$	H1	$V_{\text{Sb}}$	$E_V + 0.552$	$7.37 \times 10^{-15}$	$3.81 \times 10^{14}$	$4.56 \times 10^{16}$
	H2	$\text{Se}_{\text{Sb}}$	$E_V + 0.660$	$6.94 \times 10^{-15}$	$5.87 \times 10^{13}$	

0.243 V, 15.20 mA cm<sup>-2</sup>, 40.72%, and 1.51%, respectively. In comparison, the  $\text{SnO}_2:\text{InCl}_3$ -based device delivers enhanced photovoltaic parameters, corresponding to a  $V_{\text{OC}}$  of 0.367 V,  $J_{\text{SC}}$  of 26.44 mA cm<sup>-2</sup>, FF of 56.95%, and PCE of 5.52%. The box statistical distribution of photovoltaic parameters for the two types of devices is shown in Fig. 7c–f, which further demonstrates that using  $\text{InCl}_3$  to treat an  $\text{SnO}_2$  ETL can improve the  $V_{\text{OC}}$ ,  $J_{\text{SC}}$ , FF, as well as PCE of  $\text{Sb}_2\text{Se}_3$  devices. To understand the increase in  $J_{\text{SC}}$ , we recorded the external quantum efficiency (EQE) spectrum of the devices (Fig. 7b). Compared with the  $\text{SnO}_2$ -based device, the  $\text{SnO}_2:\text{InCl}_3$ -based  $\text{Sb}_2\text{Se}_3$  device exhibits a higher photo-response in the wavelength range from 350 to 1050 nm, which leads to an increase in the integrated current density from 14.41 to 25.57 mA cm<sup>-2</sup>.

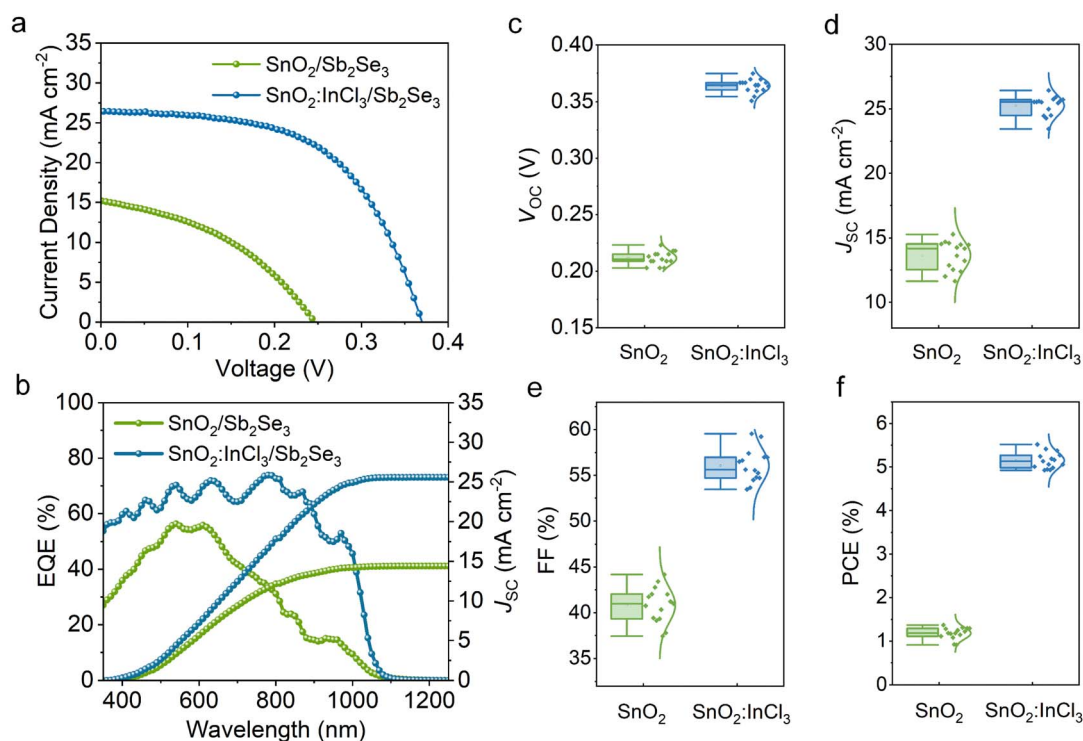
Dark  $J$ - $V$  curves were tested to investigate the reverse saturation current ( $J_0$ ) and diode ideal factor ( $n$ ) of the solar cells (Fig. 6e). For the  $\text{SnO}_2:\text{InCl}_3$ -based device, the  $J_0$  and  $n$  are estimated to be  $6.10 \times 10^{-6}$  mA cm<sup>-2</sup> and 1.37, respectively, while for the  $\text{SnO}_2$ -based device,  $J_0$  and  $n$  are  $4.33 \times 10^{-4}$  mA

**Table 2** Photovoltaic parameters of the optimal devices based on  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$ 

Devices	$V_{\text{OC}}$ (V)	$J_{\text{SC}}$ (mA cm <sup>-2</sup> )	FF (%)	PCE (%)
$\text{SnO}_2/\text{Sb}_2\text{Se}_3$	0.243	15.20	40.72	1.51
$\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$	0.367	26.44	56.95	5.52

cm<sup>-2</sup> and 1.10, respectively. Notably,  $n$  reflects the defect-assisted Shockley–Read–Hall (SRH) recombination properties of the film. Thus, the decreased  $n$  value indicates that Shockley–Read–Hall (SRH) recombination is effectively suppressed in the  $\text{SnO}_2:\text{InCl}_3$  device due to the improvement of the interfacial contact and the reduced point defects in the  $\text{Sb}_2\text{Se}_3$  film. In addition,  $J_0$  is also significantly reduced after  $\text{SnO}_2$  is treated with  $\text{InCl}_3$ . According to the following equation:

$$V_{\text{OC}} = \frac{Ak_{\text{B}}T}{q} \ln\left(\frac{J_{\text{SC}}}{J_0} + 1\right),$$



**Fig. 7** a) Current density–voltage ( $J$ - $V$ ) characteristics and (b) external quantum efficiency (EQE) spectra of the optimal  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  devices. Statistical boxplots of (c) conversion efficiency (%), (d)  $V_{\text{OC}}$  (V), (e) fill factors (%), and (f)  $J_{\text{SC}}$  (mA cm<sup>-2</sup>) for  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  and  $\text{SnO}_2:\text{InCl}_3/\text{Sb}_2\text{Se}_3$  solar cells.



where  $k_B$ ,  $T$ , and  $q$  are the Boltzmann constant, temperature, and electron charge, respectively, the smaller  $J_0$  indicates that the leakage current of the device is suppressed. Thus, the corresponding device exhibits a higher  $V_{OC}$ .

We further apply EIS to characterize the interfacial charge transfer in the device. Nyquist plots and a fitting equivalent electronic circuit of the solar cells are presented in Fig. 6f, where  $R_S$  and  $R_{REC}$  correspond to series resistance and recombination resistance of the device, respectively. The device with  $\text{InCl}_3$  treatment exhibits a larger  $R_{REC}$  of  $57.84 \text{ k}\Omega \text{ cm}^2$  and smaller  $R_S$  of  $12.83 \text{ }\Omega \text{ cm}^2$  when compared with the  $\text{SnO}_2$ -based device, which correspond to a  $R_{REC}$  of  $42.09 \text{ k}\Omega \text{ cm}^2$  and  $R_S$  of  $22.57 \text{ }\Omega \text{ cm}^2$ . The increased  $R_{REC}$  and decreased  $R_S$  indicate that the carrier recombination in the device is effectively inhibited by using  $\text{InCl}_3$  to modify the  $\text{SnO}_2$  ETL. This result is mainly attributed to the effective passivation of defects and the improved interfacial heterojunction, thus significantly improving the efficiency of the  $\text{Sb}_2\text{Se}_3$  based solar cells.

## Conclusions

In summary, we demonstrated an  $\text{InCl}_3$  modified  $\text{SnO}_2$  film and its application as an ETL in  $\text{Sb}_2\text{Se}_3$  solar cells.  $\text{InCl}_3$  post-treatment not only effectively prevents the diffusion of Se, but also guides the subsequent growth of  $\text{Sb}_2\text{Se}_3$ . The improvement of the quality of the  $\text{Sb}_2\text{Se}_3$  film and the  $\text{SnO}_2/\text{Sb}_2\text{Se}_3$  heterojunction resulted in a device efficiency of 5.52% for  $\text{Sb}_2\text{Se}_3$  solar cells based on  $\text{SnO}_2$  electron transport layers. Thus, this work offers a simple and effective method for improving the quality of  $\text{Sb}_2\text{Se}_3$  films deposited on metal oxide substrates and provides a new path towards fabricating Cd-free  $\text{Sb}_2\text{Se}_3$  solar cells.

## Author contributions

T. C., R. F. T. and L. H. conceived and designed the study. L. H. provided samples for the study. J. J. Y. performed the DLTS experiment and analysed the data. P. X. performed the TAS experiment and analysed the data. A. X. L. performed the XRD experiments. R. F. T. and Y. W. performed the XPS experiments and analysed the data. Y. J. X. performed the SRPES experiments and analysed the data. H. L. C. provided the method for preparing  $\text{SnO}_2$  films. T. C., C. F. Z., R. F. T. and H. L. interpreted the data. T. C., R. F. T. and X. S. L. revised the manuscript. All authors contributed to the general discussion.

## Conflicts of interest

The authors declare no conflict of interest.

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