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# A single phosphorylation mechanism in early metabolism – the case of phosphoenolpyruvate†

Joris Zimmermann,<sup>a</sup> Robert J. Mayer <sup>a</sup> and Joseph Moran <sup>\*abc</sup>

Phosphorylation is thought to be one of the fundamental reactions for the emergence of metabolism. Nearly all enzymatic phosphorylation reactions in the anabolic core of microbial metabolism act on carboxylates to give acyl phosphates, with a notable exception – the phosphorylation of pyruvate to phosphoenolpyruvate (PEP), which involves an enolate. We wondered whether an ancestral mechanism for the phosphorylation of pyruvate to PEP could also have involved carboxylate phosphorylation rather than the modern enzymatic form. The phosphorylation of pyruvate with P<sub>4</sub>O<sub>10</sub> as a model phosphorylating agent was found to indeed occur *via* carboxylate phosphorylation, as verified by mechanistic studies using model substrates, time course experiments, liquid and solid-state NMR spectroscopy, and DFT calculations. The *in situ* generated acyl phosphate subsequently undergoes an intramolecular phosphoryl transfer to yield PEP. A single phosphorylation mechanism acting on carboxylates appears sufficient to initiate metabolic networks that include PEP, strengthening the case that metabolism emerged from self-organized chemistry.

## Introduction

Dynamic self-organized systems exhibiting complex behavior are generally thought to arise when a small number of particular rules or mechanisms simultaneously act on a set of substrates. As the core of microbial metabolism features a small number of repeating reaction mechanisms,<sup>1</sup> it has been suggested that it may have initiated through chemical self-organization.<sup>2–9</sup> Several experimental reports support the idea that core metabolic pathways, including substantial segments of the central (r)TCA cycle and related pathways, could have initiated in self-organized chemistry, possibly as early as in prebiotic chemistry.<sup>2,10–22</sup> However, regardless of whether metabolism emerged enzymatically or nonenzymatically, there is no doubt that phosphorylation is one of the crucial mechanisms within these biological pathways. In addition to introducing phosphoryl groups into the molecular backbone of sugars, phosphorylation can activate functional groups toward reactions that would otherwise be energetically unfeasible.<sup>23,24</sup>

The most common type of phosphorylation in the core pathways of microbial anabolism (the acetyl CoA pathway, the rTCA cycle, and gluconeogenesis) is the phosphorylation of carboxylates to acyl phosphates,<sup>25–30</sup> in which nucleotide

triphosphates such as adenosine triphosphate (ATP) act as the phosphoryl group donor (Fig. 1). However, the second type of phosphorylation in the anabolic core is quite unique – the conversion of pyruvate or oxaloacetate to form phosphoenolpyruvate (PEP), the metabolite with the most energetic phosphate-bond ( $\Delta G^0$  of hydrolysis =  $-61.9 \text{ kJ mol}^{-1}$ ).<sup>31</sup> Unlike the other reactions in Fig. 1, this involves the phosphorylation of an enolate (Fig. 1, red box), rather than a carboxylate. In the enzymatic mechanism, the enolate of pyruvate is generated within the active site of the enzyme (stabilized by Mg<sup>2+</sup>), which subsequently undergoes phosphoryl transfer from a phosphohistidine intermediate to yield PEP.<sup>32–34</sup> In the following steps of anabolic pathways, PEP provides all carbon and phosphoryl groups for the sugar-phosphate intermediates of gluconeogenesis and the pentose phosphate pathway, including ribose-5-phosphate, the building block for ribonucleotide biosynthesis. Thus, enzymatic phosphorylation serves not only a bioenergetic role but also a fundamental structural role in biochemistry through PEP. This dual function suggests that phosphorylation reactions and PEP were both present in the earliest metabolic networks that supported the emergence of life.<sup>3</sup> However, if metabolism was the result of chemical self-organization, one would expect that a single phosphorylation mechanism would be sufficient to account for the emergence of the core metabolic network, including the formation of PEP.

PEP is kinetically stable toward hydrolysis at room temperature but readily hydrolyses at elevated temperatures (pH 1–7) or in the presence of metal ions.<sup>35,36</sup> Interestingly, studies on the nonenzymatic hydrolysis of PEP, the reverse reaction of phosphorylation, have concluded that the mechanism proceeds

<sup>a</sup>Institut de Science et d'Ingénierie Supramoléculaires (ISIS), CNRS UMR 7006, Université de Strasbourg, 8 Allée Gaspard Monge, 67000 Strasbourg, France

<sup>b</sup>Institut Universitaire de France (IUF), France. E-mail: moran@unistra.fr

<sup>c</sup>Department of Chemistry and Biomolecular Sciences, University of Ottawa, Ottawa, Ontario, K1N 6N5, Canada

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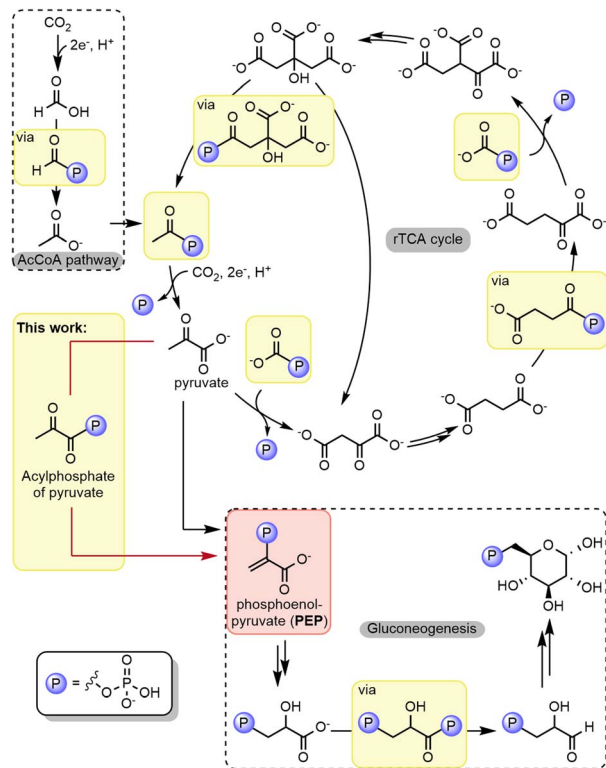


Fig. 1 Phosphorylation reactions in core metabolism. Examples of acyl phosphates (highlighted in yellow boxes) involved in different chemical transformations and PEP (highlighted in the red box).

through an acyl phosphate intermediate (Fig. 2).<sup>35–37</sup> Initially, the enol phosphate (**PEP**) was suggested to undergo intramolecular nucleophilic attack by the carboxy group, forming a cyclic pentacoordinate phosphorus intermediate (**cPEP·H<sub>2</sub>O**) in equilibrium with the cyclic tetravalent intermediate (**cPEP**) and the acyl phosphate (**AcP-Pyr**). Finally, irreversible hydrolysis of the acyclic product leads to the formation of pyruvic acid (**Pyr**) and inorganic phosphate. It therefore seems plausible that, under the right conditions, phosphorylation of pyruvate to **PEP** might occur in the reverse way, offering a unified mechanism for all the phosphorylations in core anabolism.

We hypothesized that **PEP** formation *via* an enolate is the result of biological evolution and that an ancient pathway, whether enzymatic or nonenzymatic, yielded **PEP** through the direct phosphorylation of the carboxylate of pyruvate followed

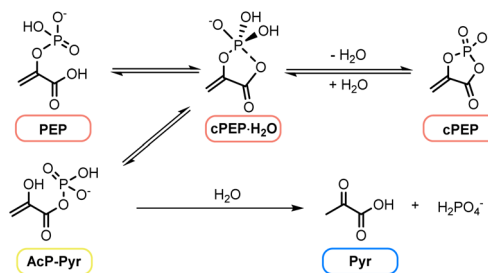


Fig. 2 Nonenzymatic hydrolysis of **PEP**.<sup>37</sup>

by intramolecular transfer of the phosphoryl group (Fig. 1, yellow box). Although syntheses of **PEP** have been reported in various contexts,<sup>38–40</sup> the only direct nonenzymatic phosphorylation of pyruvate to **PEP** was reported by Kiessling using  $\text{POCl}_3$  in quinoline as a solvent, which, even after further improvements, yielded a maximum of 9% **PEP**.<sup>41,42</sup> However, the mechanism of this reaction is unknown and has never been studied. Considering the energetically highly favorable hydrolysis of **PEP**, phosphorylation of pyruvate to **PEP** *via* initial carboxylate phosphorylation would be unlikely to happen in bulk water. Indeed, biological phosphorylation and many potentially prebiotic phosphorylation reactions occur in environments where water is largely excluded.<sup>43–45</sup> We, therefore, elected to study the mechanism of the Kiessling-type reaction as a model for the potential ancestral enzymatic or nonenzymatic phosphorylation of pyruvate to **PEP**. Our goal is not to identify prebiotically plausible conditions for such a reaction but simply to determine whether a single phosphorylation mechanism might account for the phosphorylation reactions in core metabolism, strengthening the case for a self-organized metabolic origin.

## Results and discussion

### Nonenzymatic phosphorylation of pyruvate to **PEP**, reaction progress, and intermediates

Considering that the free energy of hydrolysis of **PEP** is  $61.9 \text{ kJ mol}^{-1}$ ,<sup>31</sup> the reverse reaction requires a strong phosphate donor to overcome this energy barrier. Although  $\text{POCl}_3$  was reported to phosphorylate pyruvate,<sup>41</sup> we wondered whether simpler oxygen-based phosphorylating agents would be able to achieve the phosphorylation reaction of pyruvate to **PEP**. After some screening, we found that when **Pyr** (0.06 mmol) was mixed with one equiv. of  $\text{P}_4\text{O}_{10}$  in paste conditions at  $60^\circ\text{C}$  for 90 minutes, the characteristic  $^1\text{H}$  NMR signals of **PEP** were observed after solubilization of the paste in  $\text{H}_2\text{O}$ , but only in trace quantities (Table S1, entry 1 and p. S11; also confirmed by mass spectroscopy, see ESI Section VI.A.3 and p. S74†). The pH after solubilization proved to be highly acidic due to the formation of  $\text{H}_3\text{PO}_4$  (roughly pH 1). In line with the previous reports of Kiessling and analogous to the formation of the phosphohistidine intermediate found in the biological pathway,<sup>32–34</sup> the addition of nitrogenous bases improved the yield of **PEP** (Table S2 and p. S13†).<sup>46</sup> The highest **PEP** yield (7%) was obtained with the following conditions:  $\text{P}_4\text{O}_{10}$  (1 equiv.), pyridine (2 equiv.), paste conditions,  $60^\circ\text{C}$ , and a reaction time of 1–2 h (Table S3 and p. S23†).  $\text{P}_4\text{O}_{10}$  is known to decompose to various activated phosphate species,<sup>47</sup> and to react with pyridine at  $70^\circ\text{C}$  to form bipyridinium phosphates.<sup>46,48</sup> Therefore, a series of further phosphorylating agents were evaluated as potential reactive intermediates (Table S4 and p. S24†). Among them, bipyridinium phosphate  $\text{P}_2\text{O}_5\text{L}_2$  ( $\text{L} = \text{pyridine}$ ) was found to form **PEP** in 3% yield after 90 min at  $60^\circ\text{C}$  (Table S4,† entry 9). Polyphosphoric acid gave only traces of **PEP** (Table S4,† entry 8), and all other polyphosphates were unreactive. The phosphorylation might, therefore, involve a combination of  $\text{P}_4\text{O}_{10}$  and *N*-phosphorylated adducts as active species.



To gain further insight into the mass balance and mechanism, a series of identical reactions were quenched at different time points and examined by  $^1\text{H}$  and  $^{31}\text{P}$  NMR. The three main compounds observed after quenching are shown in Fig. 3A, with a  $^1\text{H}$  NMR spectrum of the reaction quenched after 3 min depicted in Fig. 3B. The qualitative evolution of the reaction (Table S5 and p. S24<sup>†</sup>) is shown in Fig. 3C. Immediately after setting up the reaction at 60 °C and quenching, a phosphorylated compound **P1-pyr-py** is detected prior to significant **PEP** formation (3 : 1 ratio of **P1-pyr-py**/**PEP** at  $t = 1$  min). Based on the evolution of their relative concentration, **P1-pyr-py** is likely the key intermediate in the formation of **PEP**, as it can afford **PEP** after elimination of  $\text{HPy}^+$ .

To determine the structure of **P1-pyr-py**, its formation was maximized by scaling up and quenching after 2–5 min. A combination of NMR experiments using through-bond (HMBC HSQC, HMQC) and through-space (NOESY, HOESY) correlation techniques, as well as mass spectrometry, allowed **P1-pyr-py** to be assigned as a phosphorylated covalent adduct of pyruvate and pyridine (ESI, Section VI. A.2 and p. S69<sup>†</sup>).<sup>49</sup> A higher yield of the adduct was observed when 1-methylimidazole (**Im**) was used as base instead of pyridine (**Py**), allowing easier characterization (ESI, Section VI. A.1 and p. S61<sup>†</sup>). Here, **P1** refers to

the general structure of the phosphorylated covalent adducts formed from a ketoacid and a heterocyclic base (Fig. 3A), whereas **P1-pyr-py** and **P1-pyr-im** refer to the specific adducts formed from pyruvate and pyridine (**Py**) or 1-methylimidazole (**Im**), respectively. In solution, **P1-pyr-py** is stable below pH 5, while **P1-pyr-im** is also stable at basic pH (Table S6 and p. S27<sup>†</sup>).

Furthermore, the side-product **P3-pyr** was also identified, which might be formed through the addition of an enol at an activated carboxylic group. To determine the structure of **P3-pyr**, a similar NMR analysis was performed after suspending the paste in  $\text{CD}_3\text{CN}$ , as **P3-pyr** was observed to be highly soluble under these conditions. The structure of **P3-pyr** was assigned as a partially enolized dimer of pyruvate (Fig. 3A, ESI, Section VI.A.4 and p. S75<sup>†</sup>). In water, **P3-pyr** proved to be stable under acidic conditions but hydrolyzed at elevated temperatures (Table S6 and p. S27<sup>†</sup>).

Finally, by  $^1\text{H}$  NMR, pyruvic acid, its hydrate, and acetic acid were also detected (ESI, Section VI.A.5 and p. S79<sup>†</sup>). Several hydrolysis products of  $\text{P}_4\text{O}_{10}$  were identified by  $^{31}\text{P}$  NMR, along with two signals near  $-5.3$  and  $-4.5$  ppm, which were assigned to **P1-pyr-py** and **PEP**, respectively (Table S5 and p. S26<sup>†</sup>).

### Paste composition

To differentiate which products are formed directly within the paste *versus* those that are formed only upon hydrolysis during quenching of the paste with water, solid-state NMR was used to characterize the paste (for details, see ESI, Section VI.B and p. S126<sup>†</sup>). Magic Angle Spinning NMR (MAS-NMR) allowed the characterization of the paste (phosphorylation conditions with pyridine, 10 min, 60 °C). One-dimensional  $^1\text{H}$  MAS NMR showed the characteristic  $^1\text{H}$  NMR signals of **PEP** and **P3-pyr** (ESI, Section VI.B.1, p. S127 and S128<sup>†</sup>), whereas 2D NMR ( $^{31}\text{P}$ - $^1\text{H}$ ) showed some polyphosphates and pyridinium phosphate (Fig. 4A). Next, the paste (phosphorylation conditions with 1-methylimidazole, 10 min, 60 °C) was analyzed by HR-MAS-NMR spectroscopy. One-dimensional  $^1\text{H}$  HR-MAS NMR spectra also showed the characteristic  $^1\text{H}$  NMR signals of **PEP** and **P3-pyr** (ESI, Section VI.B.2 and p. S130<sup>†</sup>).

The 2D NMR ( $^1\text{H}$ ,  $^1\text{H}$  RFDR) analysis<sup>50</sup> confirmed the presence of **PEP** and **P3-pyr** in the paste (Fig. 4B). Additionally, the

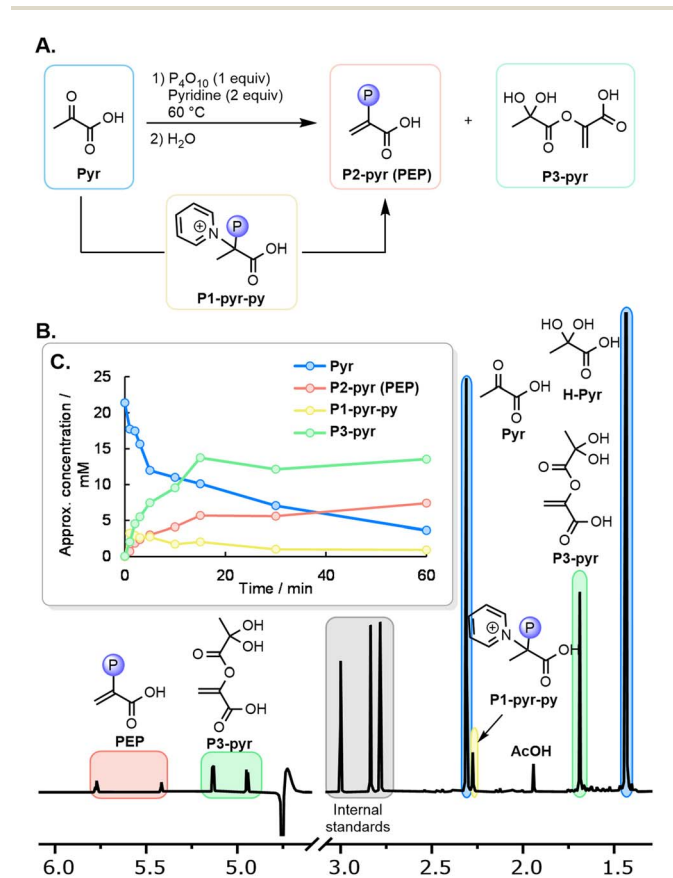


Fig. 3 (A) Intermediates and side products in the phosphorylation of pyruvate to **PEP** with  $\text{P}_4\text{O}_{10}$ . (B) Typical  $^1\text{H}$  NMR spectrum ( $\text{H}_2\text{O}/\text{D}_2\text{O}$  9 : 1 mixture, 400 MHz) of the quenched reaction mixture after 3 min at 60 °C. (C) Qualitative evolution of the main species in the reaction (for details, see Table S5 and p. S24<sup>†</sup>).

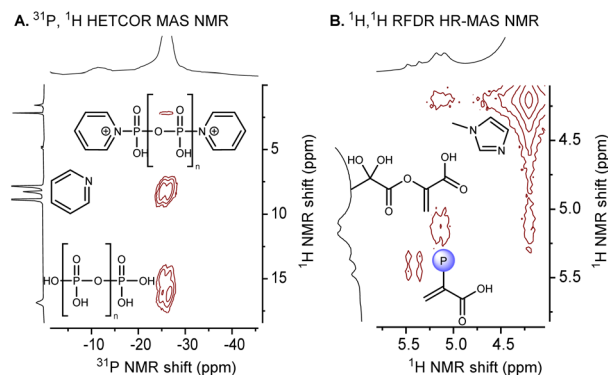


Fig. 4 (A) Characterization of the paste by  $^{31}\text{P}$ ,  $^1\text{H}$  HETCOR MAS NMR. (B) Characterization of the paste by  $^1\text{H}$ ,  $^1\text{H}$  RFDR HR-MAS NMR.



paste was dissolved in various anhydrous solvents to verify the presence of **PEP** (Table S13 and p. S131†). In general, the paste was poorly soluble in organic solvents (acetone- $d_3$ ,  $CD_3CN$ ,  $CDCl_3$ ) and partially soluble in DMSO- $d_6$ . Among the different solvents tested, **PEP** could be detected in  $CD_3CN$  and DMSO- $d_6$  along with **P3-pyr**, whereas **P1-pyr-py** was not detected (Table S13,† entries 2 and 4). Thus, **PEP** and **P3-pyr** are formed under the paste conditions and not only after hydrolysis.

### Reaction with further substrates

To obtain insights into the mechanistic requirements of the reaction, experiments with additional substrates were performed (Fig. 5, see also Tables S8, S9 and p. S30–S44†). First, reactions of pyruvate derivatives were studied under the established paste conditions after 90 min at 60 °C. The expected phosphoenol products were obtained when one or two  $-CH_3$  groups were introduced at the  $\alpha$ -position, yielding 9% of **P2-1Me** and 3% of **P2-2Me** (21% without base), respectively. Similarly, phenylpyruvic acid was phosphorylated to **P2-Ph** in 15% yield. Next,  $\alpha$ -ketoacids bearing an additional carboxy group were tested. For  $\alpha$ -ketoglutarate, **P2-glu** was only obtained in 0.6% yield; the main phosphorylated product was assigned as the cyclic species **P2'-glu** (Fig. 6A, 3%). Concerning oxaloacetic acid, the phosphoenol product was obtained in 3% yield (**P2-ox**, Fig. 5) under base-free conditions in order to avoid decarboxylation of the substrate to pyruvate. Under standard conditions, **PEP** is also obtained from oxaloacetic acid (Table S9, entry 4 and p. S44†).

To gain insight into whether the carboxylate or enol components of pyruvate are initially phosphorylated, we first submitted two representative model substrates to the reaction conditions. Acetic acid, which features a free carboxylic acid, was phosphorylated to acetyl phosphate **AcP** (1% yield). In contrast, acetylacetone, which exists to a large extent in its enol

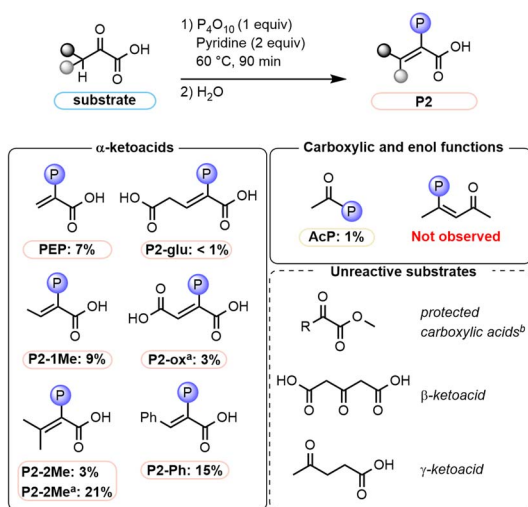


Fig. 5 Substrate scope (Table S9†). <sup>a</sup>The reaction was run without pyridine. <sup>b</sup>The methyl or ethyl esters of pyruvate, phenylpyruvate, oxaloacetate, and  $\alpha$ -ketoglutarate were tested (for details, see Table S8, entries 11–14 and p. S31†).

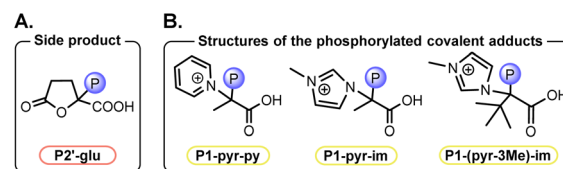


Fig. 6 Structures of intermediates and side products observed in various phosphorylation reactions. (A) Side product arising from  $\alpha$ -ketoglutarate. (B) Structures of observed **P1**-type adducts arising from the reactions of pyruvate (**Pyr**) with pyridine (**Py**), **Pyr** with 1-methylimidazole (**Im**), and trimethylpyruvic acid (**Pyr-3Me**) with **Im**.

form (Table S14, entry 4, p. S135 and S136†), did not produce any enol phosphate under the same reaction conditions. We next investigated the importance of the free carboxylic acid function of  $\alpha$ -ketoacids for the phosphorylation reaction. When the methyl or ethyl esters of various ketoacids evaluated in Fig. 5 (pyruvate, phenylpyruvate, oxaloacetate, and  $\alpha$ -ketoglutarate) were subjected to the reaction conditions, no phosphorylated products were observed, highlighting the importance of a free carboxylic acid group (Table S8, entries 11–14, p. S31†). As the methyl ester of phenylpyruvate predominantly exists in the enol form (Table S14, entry 5, p. S135 and S137†), this result further suggests that the enol is not phosphorylated directly. Moreover, the influence of the distance between the keto and carboxylic acid moieties was investigated. No products were observed with the  $\beta$ -ketoacid 1,3-acetonedicarboxylic acid or with the  $\gamma$ -ketoacid levulinic acid (Table S8, entries 6–8 and p. S31†), hinting at an intramolecular phosphoryl transfer.

To rationalize the differences in reactivity between  $\alpha$ -dimethylpyruvic acid (21% yield of **P2-2Me** in base-free conditions) and pyruvic acid (traces of **PEP** in base-free conditions), we compared the reaction mixtures of each substrate after quenching at a short reaction time (3–5 min). Unlike pyruvic acid, even in the presence of pyridine,  $\alpha$ -dimethylpyruvic acid did not produce any detectable **P1**-type adducts (Table S8,† entry 2). Instead, the enol phosphate product was immediately observed. The lack of **P1** correlates with the ketoacid's propensity to enolize, with  $\alpha$ -dimethylpyruvic acid being more acidic at the  $\alpha$ -position.<sup>51</sup> Similarly, phenylpyruvic acid produced **P2-Ph** without any detectable **P1**-type intermediate (Table S10 and p. S45†). The nucleophilic addition of the base on the ketone is, therefore, less pronounced for substrates that are readily enolizable.

We next explored the influence of the base on the formation and the stability of the **P1** adducts. When the reaction was run using **Py**, **P1-pyr-py** was formed immediately (Fig. 6B), and its transformation into **PEP** was almost complete after 1 h (*cf.* Fig. 3C, Table S5 and p. S24†). In contrast, when **Im** was used as base, **P1-pyr-im** was observed in 4% yield (Fig. 6B), but only trace amounts of **PEP** were observed over the same 1 h period (Table S7, entries 1–6 and p. S29†).

Thus, the phosphorylated adducts generated with different bases (*i.e.*, **P1-pyr-py** and **P1-pyr-im**) display different propensities to eliminate, with the formation of **PEP** from **P1-pyr-py** being more favorable than from **P1-pyr-im**. Indeed, increasing



the amount of **Im** used in the reaction (from 2 to 4 equiv.), increased the yield of **P1-pyr-im** and **PEP** to 13% and 1.5%, respectively (Table S7, entry 5 and p. S29†), whereas increasing the amount of **Py** (from 2 to 5 to 10) decreased the yields of both compounds (Table S3, entries 7–8, p. S23†). Even when a stronger base like triethylamine **TEA** was added to the system 10 min after the formation of **P1-pyr-im**, the elimination of **Im** from **P1-pyr-im** to **PEP** did not occur (Table S7,† entry 11). Overall, **Im** appears to be a poorer leaving group than **Py** for subsequent elimination to the enol phosphate and consequently forms a more stable **P1** adduct. Additionally, when the reaction was run with trimethylpyruvic acid **Pyr-3Me**, a sterically hindered nonenolizable pyruvic acid derivative, the formation of a **P1** adduct was only observed when **Im** was used as base (Fig. 6B, **P1-(pyr-3Me)-im**; Table S8,† entry 3).

Finally, the reaction of **Pyr** with  $P_4O_{10}$  was studied using triethylamine **TEA**, a stronger base. In this case, the formation of the phosphorylated intermediate **P1** was not observed even at the beginning of the reaction, but **PEP** was detected directly (Table S7,† entries 15–18). Consequently, the reaction with **TEA** might not occur through the same mechanism as with pyridine and 1-methylimidazole.

### Proposed mechanism

Based on the above observations, a simplified reaction scheme was constructed, which involves two possible pathways for the phosphorylation of  $\alpha$ -ketoacids (Fig. 7). Pathway I involves the phosphorylation of the carboxy group and the addition of the base to the ketone to generate the acyl phosphate intermediate **Int. A** (step  $I_1$ ), which features a free hydroxy group. Subsequent intramolecular phosphoryl transfer to the hydroxy group generates **P1** (step  $I_2$ ). **P2** is generated by elimination of the base, as seen in the case of pyridine (step  $I_3$ ). The formation of side product **P3-pyr** can result from the attack of the enol tautomer of pyruvate on the acyl phosphate intermediate (e.g., **Int. A**). Pathway II involves phosphorylation of the carboxy group to generate the acyl-phosphate intermediate **Int. B** (step  $II_1$ ), which features a free enol group.

Subsequently, intramolecular phosphoryl transfer to the enol group generates **P2** (step  $II_2$ ). This pathway might be operating in cases where the enol phosphate is formed in the absence of a base. It also seems plausible for  $\alpha$ -ketoacids whose enolization is facile, or for reactions run with bases that are

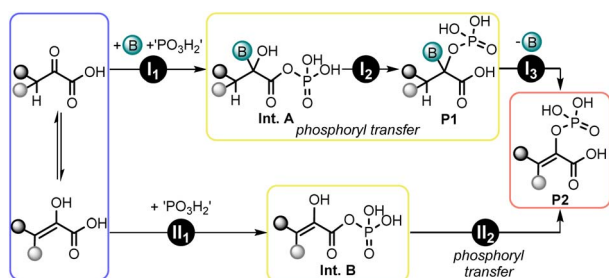


Fig. 7 Simplified reaction scheme for the phosphorylation of  $\alpha$ -ketoacids to products **P2**.

unable to add to the keto group (e.g., **TEA**). The possibility of producing enol phosphates through two different pathways can explain why higher yields are observed for readily enolizable substrates. To verify whether ketoacids with higher enol content are more rapidly phosphorylated, a competition experiment was performed between pyruvic acid and phenylpyruvic acid (Table S8, entry 5 and p. S30†), the latter being more easily enolizable.<sup>52,53</sup> Starting from a 1 : 1 mixture of the two substrates in the presence of pyridine, a product ratio of 20 : 1 was observed in favor of the enol-phosphate derived from phenylpyruvic acid when the reaction was quenched after 3–5 min. So far, the acyl phosphate intermediate (**Int. A** or **Int. B**) has been assumed to be formed *in situ*, but no direct proof for their formation could be obtained.

### Phosphoryl transfer mechanism – alternative synthesis of **PEP** in $CD_3CN$

To investigate the proposed intramolecular phosphoryl transfer mechanism, we set out to study conditions favoring the formation of the acyl phosphate of pyruvate (**AcP-Pyr**). To this end, pyruvoyl chloride (**Pyr-Cl**), featuring an activated carbonyl group, was used instead of **Pyr** with the corresponding phosphate nucleophile  $H_3PO_4$ . Importantly, in the presence of **Py**, **PEP**, **P1-pyr-py**, and **P3-pyr** were all observed after 5 min at 60 °C in neat conditions, indicating the similar reactivity of our model system with the standard conditions using **Pyr** (Table S11 and p. S48†). Next, we investigated the reactivity of **AcP-Pyr** in homogenous anhydrous conditions. Tetrabutylammonium dihydrogen phosphate (**TBAP**) was used instead of  $H_3PO_4$  to avoid water contamination, and **TEA** was preferred over **Py** to avoid precipitation (Table S12 and p. S52†).

When **TEA** (0.12 mmol) and **TBAP** (0.06 mmol) were pre-mixed in  $CD_3CN$  and **Pyr-Cl** (0.06 mmol) was added to the mixture, 3% yield of **PEP** was obtained *via* its cyclic form **cPEP** (Fig. 8, Pathway  $A_0$ , ESI, Section V.F.5 and p. S58†) along with 1% of **P3-pyr**. To identify the formation of the acyl phosphate

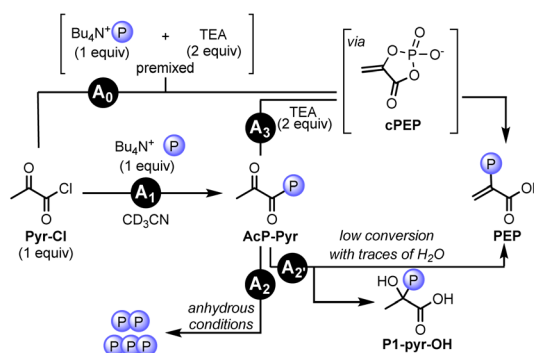


Fig. 8 Study of the phosphoryl transfer in aprotic solvent ( $CD_3CN$ ). Reaction  $A_0$ : reaction of premixed base and phosphate with **Pyr-Cl** leading to the formation of **PEP** through its cyclic form **cPEP**. Reaction  $A_1$ : the activated carboxylic acid **Pyr-Cl** is transformed to the corresponding acyl-phosphate (**AcP-Pyr**), leading to the formation of polyphosphates in anhydrous conditions ( $A_2$ ) or to the formation of **PEP** by either subsequent addition of **TEA** ( $A_3$ ) or with trace amount of  $H_2O$  (along with the formation of the side product **P1-pyr-OH** ( $A_2'$ )).



intermediate, stepwise experiments were performed. When mixing **TBAP** and **Pyr-Cl** in  $\text{CD}_3\text{CN}$ , the formation of **AcP-Pyr** was detected by  $^1\text{H}$  and  $^{31}\text{P}$  NMR along with the formation of pyrophosphate (Fig. 8, Pathway A1; Table S12, entry 5 and p. S52†). In the absence of a base, **AcP-Pyr** was converted to pyrophosphate and triphosphate in roughly 30 min without formation of **PEP** (Fig. 8, Pathway A2, Table S12, entry 5 and p. S54–S55†). When trace amounts of  $\text{H}_2\text{O}$  were present in the mixture, **AcP-Pyr** underwent intramolecular phosphoryl transfer to form the corresponding hydroxyphosphate **P1-pyr-OH** (Fig. 8, Pathway A2', ESI, Section V.F.4 and p. S57–S58†), accompanied by traces of **PEP**. When the base **TEA** (2 equiv.) was added after a reaction time of 5 min, trace amounts (<1%) of **PEP** along with multiple polyphosphate species were observed (Fig. 8, Pathway A3; Table S12, entry 6 and p. S56†). The low yield in **PEP** can be explained by the formation of the **P1-pyr-OH** side product prior to the addition of base. These experiments show that the formation of **PEP** from **AcP-Pyr** (through enolization and intramolecular phosphoryl transfer) requires the presence of a base and is in competition with the formation of polyphosphates and the side product **P1-pyr-OH** (i.e., by a nucleophilic attack of a phosphate or a water molecule on the activated carboxylic acid or on the carbonyl group of **AcP-Pyr**, respectively).

### DFT study of the proposed pathways

DFT calculations were performed to evaluate some key aspects of the reaction mechanism. First, we examined the ability of different sites within pyruvate to undergo direct phosphorylation by phosphoryl pyridine (Fig. 9A). *N*-Phosphoryl pyridine was chosen as the model phosphorylating agent based on our NMR experiments (cf. Fig. 4) and the recent studies of Cummins.<sup>46</sup> As shown in Fig. 9A, the computed barrier for the phosphorylation of the carboxylic acid moiety is approx.  $60 \text{ kJ mol}^{-1}$  lower than the barrier for the direct

phosphorylation of the enol, in line with our experimental observations. Next, we computed the thermochemistry of the overall phosphorylation sequence (Fig. S7 and p. S146†) by analyzing the intermediates identified in aqueous solution (Fig. 9B, **P1-pyr-im** and **P1-pyr-py**), along with several possible intermediates (Fig. 9B, **Int. A–C** and **P1-pyr-OH**). As expected, without an activated phosphorylating agent, direct phosphorylation of pyruvic acid to **AcP-Pyr** with phosphoric acid is endergonic by  $42.9 \text{ kJ mol}^{-1}$  (ESI, Fig. S7 and p. S146†). From **AcP-Pyr**, the intramolecular phosphoryl transfer was studied by considering three main pathways by going through nucleophilic addition of the base (**Int. A**), enolization (**Int. B**), and addition of  $\text{H}_2\text{O}$  (**Int. C**, Fig. 9B). The latter being unlikely to occur in our paste conditions due to the presence of  $\text{P}_4\text{O}_{10}$ , which also acts as a dehydrating agent. Nucleophilic addition of 1-methylimidazole (**Im**) to the **AcP-Pyr** ketone was calculated to be favorable by  $10.0 \text{ kJ mol}^{-1}$  (**Int. A1**), whereas the addition of pyridine (**Py**) or water is slightly disfavored by  $15.1$  (**Int. A2**) and  $7.5 \text{ kJ mol}^{-1}$  (**Int. C**), respectively. However, the subsequent intramolecular phosphoryl transfers from the imidazolium hemiaminal (**Int. A1**), pyridinium hemiaminal (**Int. A2**), enol (**Int. B**), or hydrate (**Int. C**) intermediates derived from **AcP-Pyr** were all calculated to be exergonic by  $16.7$ ,  $9.4$ ,  $14.6$ , and  $28.6 \text{ kJ mol}^{-1}$ , respectively. In line with the experimental observation of intermediates **P1**, the nucleophile addition is favorable for **Im**, and subsequent intramolecular phosphoryl transfers from the carboxylate to the enol or alcohol are thermochemically favorable. Interestingly, a pentacoordinate P intermediate (cf. Fig. 2, **cPEP·H<sub>2</sub>O**) was not found to be a stable minimum but rather corresponds to the transition state of phosphoryl transfer. However, the computed barrier for the concerted transfer is relatively high, with  $\approx 129$ – $146 \text{ kJ mol}^{-1}$  using explicit molecules of water as a model base for the deprotonation of the enolate and a hydronium ion as a model acid for the protonation of the carboxylate. As the employed

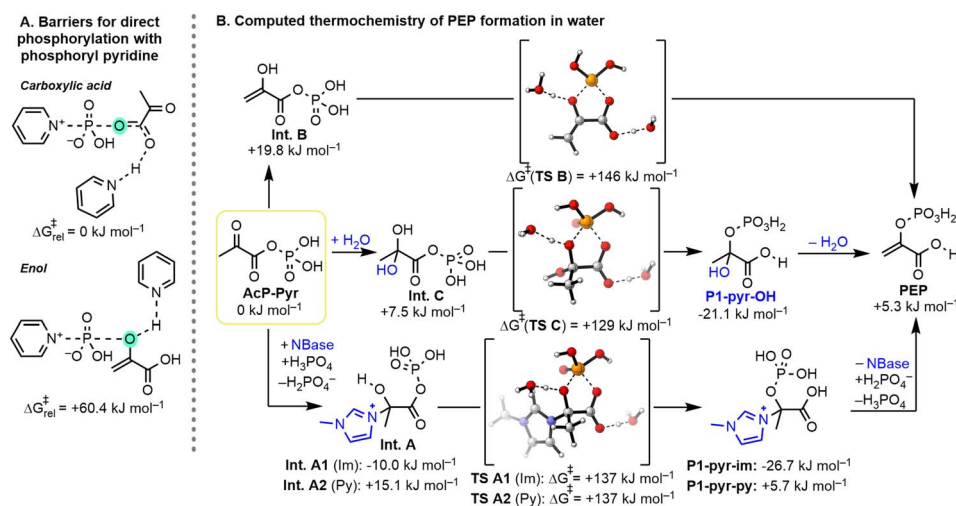


Fig. 9 (A) Computational study comparing the phosphorylation of pyruvate at its carboxylate vs. its enol. (B) Computational study showing the thermochemistry of key steps and the barriers for intramolecular phosphoryl transfer. Calculations were performed at the SMD( $\text{H}_2\text{O}$ )/M06-2X/def2-TZVPD//SMD( $\text{H}_2\text{O}$ )/M06-2X/def2-SVP level of theory.



computational method is a drastic oversimplification, the absolute numbers of this transformation should only be taken as a very crude estimate. Under the reaction conditions, any other acid or base may promote this transformation, which could result in significantly lower barriers for this reaction. Additionally, the paste conditions will further lower the effective barrier due to the significantly higher concentrations, while the computations were performed for a standard state of 298 K and a concentration of 1 mol L<sup>-1</sup> in all reactants. Nevertheless, given that the hydrolysis of **PEP** to pyruvic acid and phosphoric acid is significantly exergonic (exp.: -61.9 kJ mol<sup>-1</sup>, comput.: -48.2 kJ mol<sup>-1</sup>, see Fig. S7 and p. S146†) and irreversible at room temperature, and yet **PEP** remains relatively stable toward hydrolysis, a high barrier for **PEP** formation/hydrolysis is to be expected. The thermochemistry for the formation of **PEP** by the elimination of the tetrahedral intermediates derived from *N*-methylimidazole (**P1-pyr-im**) and pyridine (**P1-pyr-py**) varied significantly (+32.0 vs. -0.4 kJ mol<sup>-1</sup>), which is in line with the observed resistance of **P1-pyr-im** to elimination. Overall, DFT calculations confirmed several key aspects of the mechanism: (1) phosphorylation of the carboxylate is favored over that of the enol moiety of pyruvate, (2) **AcP-Pyr** has a high tendency to undergo nucleophilic addition, (3) the intramolecular phosphoryl transfer is thermochemically favored, and (4) the efficiency of **PEP** formation by elimination differs for the employed base.

## Conclusion

The phosphorylation of pyruvate to **PEP** with P<sub>4</sub>O<sub>10</sub> as a model phosphorylating agent was studied under dry-paste conditions in the presence of nitrogenous bases. After quenching the paste at the very beginning of the reaction, a phosphorylated intermediate **P1** was detected. When analyzing the reaction after longer reaction times, **PEP** was observed along with the side product **P3-pyr** (Fig. 3). Solid-state NMR spectroscopy gave insights into the composition of the paste, and **PEP** and **P3-pyr** were directly observed among polyphosphates and pyridinium phosphates (Fig. 4). The study of several analogous substrates was used to elucidate the mechanism of phosphorylation (Fig. 5). The mechanism strictly requires a free carboxylic acid function, a ketone located alpha to the carboxylic acid, and is more efficient with substrates that are easily enolizable (e.g., phenylpyruvate). Based on these observations, we proposed a mechanism for the phosphorylation of pyruvate to **PEP**. The carboxy function of pyruvate is initially phosphorylated to an acyl phosphate, which undergoes intramolecular phosphoryl transfer to yield **PEP** (Fig. 7). Evidence for this mechanism and the formation of an acyl phosphate was obtained by synthesizing **PEP** starting from pyruvoyl chloride **Pyr-Cl** in acetonitrile-d<sub>3</sub> as a model for aprotic conditions (Fig. 8). Under these conditions, the formation of **PEP** requires the presence of a base so that intramolecular phosphoryl transfer outcompetes the decomposition of the acyl phosphate intermediate to di- and triphosphates. DFT calculations further supported the mechanistic interpretations. Phosphorylation of the carboxy group was computed to be 60 kJ mol<sup>-1</sup> more favorable than

phosphorylation of the enol moiety of pyruvate (Fig. 9A). All intramolecular phosphoryl transfers were calculated to be exergonic, although subsequent eliminations of water or base to give the enol phosphate product were found to be mostly endergonic. However, the phosphoryl transfer proceeds through a high-lying transition state, which explains the stability of **PEP** to hydrolysis (Fig. 9B, Fig. 2). Thus, all phosphorylated core metabolites, including **PEP**, could potentially be accessible through a single type of phosphorylation mechanism – the phosphorylation of carboxylates. Although we do not claim that the chemistry studied here was carried out under potentially prebiotic conditions, this work nonetheless substantially strengthens arguments for an emergence of metabolism based on chemical self-organization from a small number of simple reaction mechanisms that include phosphorylation, whether it was enzymatic or nonenzymatic.

Thinking about the earliest forms of leaving groups and bioenergetics during the evolution of metabolism, De Duve proposed that primitive forms of the metabolic network did not initially rely on the phosphorylation of carboxylates but rather on the direct formation of thioesters from carboxylates, a proposal commonly referred to as the “Thioester World” hypothesis.<sup>54–56</sup> Martin and Russell suggested that acetyl phosphate, formed from phosphate and an *in situ*-generated acetyl thioester during prebiotic CO<sub>2</sub> fixation, might have served as a primordial phosphorylating agent for the rest of proto-metabolism.<sup>3</sup> This would make the transition from bioenergetics based on thioesters and acyl phosphates to those based on ATP straightforward since the nonenzymatic phosphorylation of AMP and ADP to ATP by acetyl phosphate is already described.<sup>57–60</sup> However, nonenzymatic phosphoryl transfer from acetyl phosphate to other carboxylates is not known. Alternatively, if one assumes that carboxylates could be phosphorylated under prebiotic conditions without invoking thioesters, and one furthermore considers that thiols are essentially catalysts for the anabolic reactions occurring through acyl phosphates (*i.e.*, that these reactions could have originally occurred directly from the acyl phosphate), then invoking thioesters at the earliest stage of protometabolism would not be necessary and the transition to ATP as phosphoryl donor would remain equally straightforward. Several additional lines of reasoning further support acyl phosphates predating thioesters in protometabolism. First, thiols, like phosphates, play an important bioenergetic role throughout metabolism and act as leaving groups, but unlike phosphates, they are not found in the backbone structures of the intermediates of sugar and ribonucleotide biosynthesis. Second, within the anabolic pathways of core metabolism, if one looks beyond the temporary leaving groups used (*i.e.*, acyl phosphates and thioesters), phosphorous is permanently introduced into the structural framework of metabolites much earlier than is sulfur. Phosphate enters directly from pyruvate as **PEP** during the first step of gluconeogenesis, whereas sulfur enters as sulfide during cysteine biosynthesis, several steps further downstream. Unlike **PEP**, cysteine is not essential for ribonucleotide biosynthesis. Third, phosphate is geochemically more widely available than simple organic thiols.<sup>61–64</sup> Furthermore, whereas acyl phosphates do



not contain sulfur, thiol-based leaving groups in metabolism, such as CoA, generally contain phosphoryl groups. Fourth, in water, the formation of acyl phosphates from carboxylates is arguably chemically simpler than the conversion of carboxylates to thioesters – the former requires only a single component (an activated phosphate), whereas the latter requires two (a thiol and an activating agent for the carboxylate). All these points suggest that an early stage of protometabolism was more likely an “Acyl Phosphate World” than a “Thioester World”. Consequently, nonenzymatic carboxylate phosphorylation should be a target of the highest priority for prebiotic chemistry using life as a guide.<sup>5</sup>

## Data availability

Everything is in the ESI.†

## Author contributions

JZ performed the experiments and wrote the first draft of the paper. RJM performed the DFT calculations. JM conceptualized the project and acquired funding. All authors edited the paper.

## Conflicts of interest

There are no conflicts to declare.

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