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## Broadband near-infrared (NIR) emission realized by the crystal-field engineering of $Y_{3-x}Ca_xAl_{5-x}Si_xO_{12}:Cr^{3+}$ ( $x = 0-2.0$ ) garnet phosphors†

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The rapid development of portable spectrometers has evoked a large demand for minimized light sources; meanwhile, NIR phosphor-converted light-emitting diodes (NIR pc-LEDs) are an optimal choice due to their compactness and low cost. The phosphors used in NIR spectroscopy (NIRS) are required to have broadband emission and high quantum efficiency (QE) for a wider detection range and efficient photo-to-electricity conversion. Inspired by the structural tunability of  $Y_3Al_5O_{12}$  (YAG), we proposed to achieve broadband emission by crystal field engineering, *i.e.*, indirectly regulating the crystal field strength of  $Cr^{3+}$  via the co-substitution of  $Y^{3+}-Al^{3+}$  by  $Ca^{2+}-Si^{4+}$  in YAG. The crystal field strength experienced on  $Cr^{3+}$  decreased as the octahedron was distorted and enlarged by the co-substitution. A broadband NIR emission with a large full width at half maximum (FWHM) of 160 nm and a high internal quantum efficiency (IQE) of  $\sim 75.9\%$  was realized in  $Y_{3-x}Ca_xAl_{5-x}Si_xO_{12}:0.6\% Cr$  ( $x = 1$ ). The suitability of the investigated NIR phosphor was demonstrated by fabricating an NIR pc-LED prototype, and the detection resolution was improved by 30% compared to that of a traditional white pc-LED. These results indicate the great potential of the  $Y_2CaAl_4SiO_{12}:Cr$  phosphors for use in highly precise and sensitive NIR pc-LEDs.

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## Introduction

Owing to its unmatched merits in analysis, such as rapid, on-site and non-invasive processes, NIR spectroscopy (NIRS) has been widely applied in agriculture,<sup>1</sup> food,<sup>2,3</sup> pharmaceuticals,<sup>4,5</sup> medicine,<sup>6</sup> environmental protection sectors,<sup>7,8</sup> *etc.* The NIRS technique is sensitive to chemical compounds containing O-H, C-H, and N-H groups; thus, it is perfectly suited for quality supervision.<sup>9</sup> With the increasing public concerns of food safety and quality, nutritional balance and healthy diet, portable spectrometers capable of daily food analysis are highly required.<sup>10</sup> To meet this need, the infrared sources must be as small as possible, and

their emission bands should be broad enough to enable wide detection ranges. Although several infrared light sources are currently available on the market, some shortcomings, such as large sizes (incandescent bulbs and halogen lamps),<sup>11,12</sup> narrow emission bands (GaAs LEDs)<sup>13</sup> and high cost (semiconductor lasers),<sup>14</sup> have hindered their broad applications, typically in portable devices. Alternatively, a most promising solution is to develop NIR pc-LEDs that combine InGaN blue LEDs with broadband NIR phosphors.<sup>15,16</sup> Due to the robustness of inorganic phosphors, the NIR pc-LEDs thus promise compactness, longevity, high efficiency and low cost. To achieve this goal, there is a great need to develop highly efficient broadband NIR phosphors that match well with blue LED chips.<sup>17</sup>

$Cr^{3+}$  is usually considered to be an interesting dopant for NIR emissions, and its emission can be easily tuned by altering its local surroundings. In a strong crystal field, the sharp emission line stemming from the spin-forbidden  ${}^2E \rightarrow {}^4A_2$  transition dominates. As the strength of the crystal field decreases, the broadband emission attributed to the spin-allowed  ${}^4T_2 \rightarrow {}^4A_2$  transition appears.<sup>18</sup> Several kinds of broadband phosphors with weak crystal fields have been reported recently, such as  $SrSc_2O_4:Cr$ ,<sup>19</sup>  $Ca_2MgWO_6:Cr$ ,<sup>20</sup>  $ScBO_3:Cr$ ,<sup>21</sup> and  $Sr_8MgLa(PO_4)_7:Cr$ .<sup>22</sup> In addition, broadband emissions

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<sup>b</sup> College of Optical and Electronic Technology, China Jiliang University, Hangzhou, Zhejiang 310018, China. E-mail: calla@cju.edu.cn<sup>c</sup> Mathematics Application Joint Laboratory of Soochow University and Suzhou Jiaoshi Intelligence Technology Co. Ltd, Soochow University, Suzhou 215006, P. R. China† Electronic supplementary information (ESI) available: PDOS of  $Y_3Al_5O_{12}:Cr^{3+}$ ; decay time curves of  $Y_{3-x}Ca_xAl_{5-x}Si_xO_{12}:0.4\% Cr^{3+}$  at room temperature under 440 nm excitation; IQE as a function of excitation wavelength and temperature. See DOI: 10.1039/c9tc05775g

can be achieved by decreasing the crystal field strength of a strong crystal field material by a substitution strategy. A notable example is garnet, which has a structure form of  $A_3B_2C_3O_{12}$ . Single- or double-substitution of cations in the garnet structure would cause structural distortion and decrease the crystal symmetry, which changes the crystal field strength and finally regulates the luminescence of  $Cr^{3+}$ .<sup>23,24</sup> Accordingly, many efforts have been made to search for NIR phosphors in the garnet system. For example,  $Ca_2LuZr_2Al_3O_{12}:Cr$  exhibits a broad emission band with FWHM of 117 nm (from 650 to 870 nm) and has an internal quantum efficiency (IQE) of 69.1%.<sup>25</sup>  $X_3Sc_2Ga_3O_{12}:Cr$  ( $X = Lu, Y, Gd, La$ ) shows a broadband NIR emission in the range of 600–1000 nm and FWHM of 73–145 nm.<sup>26</sup>  $Y_3Al_{5-x}Ga_xO_{12}:Cr$  has a broadband emission covering the spectral range of 620–800 nm.<sup>24</sup> These investigations demonstrate the feasibility of the substitution strategy to realize broadband NIR emissions of  $Cr^{3+}$  in garnets. On the other hand, in this work, direct regulation is performed only in the dodecahedron and/or octahedron sites (*i.e.*, the B sites in  $A_3B_2C_3O_{12}$  that  $Cr^{3+}$  prefers to occupy);<sup>27</sup> the resulting structural distortion thus has a very limited effect on the broadening of the emission band. In the present work, we propose to induce distortion of the octahedron by changing the size of the neighboring tetrahedron and dodecahedron in the garnet structure, aiming to regulate the  $Cr^{3+}$  emission in a different way. By co-substituting  $Ca^{2+}-Si^{4+}$  for  $Y^{3+}-Al^{3+}$  (*i.e.*, the tetrahedron site) in  $Y_3Al_5O_{12}$  (YAG), broadband  $Y_{3-x}Ca_xAl_{5-x}Si_xO_{12}:yCr^{3+}$  NIR phosphors can be anticipated. In addition, decreasing the ionic size in the B site is beneficial for maintaining the cubic structure with minimum lattice stress.<sup>28</sup> This would increase the structural rigidity and hence decrease the thermal quenching of the phosphor, enabling the phosphor to be used in highly reliable pc-LEDs.<sup>29</sup> We found that  $Y_2CaAl_4SiO_{12}:Cr$  ( $x = 1, y = 0.6\%$ ) shows a broadband NIR emission (FWHM  $\sim 160$  nm) and a high IQE of 75.9%. In this paper, the composition, crystal structure, photoluminescence properties, and thermal quenching properties of the co-substituted garnets will be investigated and discussed. Finally, NIR pc-LEDs were fabricated by combining the  $Y_2CaAl_4SiO_{12}:0.6\% Cr^{3+}$  phosphor with a blue or red LED chip; these were then compared to commercial infrared LEDs.

## Experimental

### Synthetic

$Y_{3-x}Ca_xAl_{5-x}Si_xO_{12}:yCr^{3+}$  ( $x = 0$  to 3,  $y = 0.4\%$ ) samples were synthesized *via* conventional high-temperature solid-state reactions using high-purity chemical reagents of  $Y_2O_3$  (5N, Zhong Nuo Advanced Material Technology),  $CaCO_3$  (3N, Shanghai Rui Yu photoelectric material),  $SiO_2$  (AR, Xi Long Scientific),  $Al_2O_3$  (4N,  $\alpha$  type, Taimicron) and  $Cr_2O_3$  (4N, Xi Long Scientific) as starting materials. A batch of about 3 g of the powders was weighed, mixed and then ground in an agate mortar for 20 min using ethanol. Then, the mixtures were uniaxially pressed into pellets with diameters of 10 mm under 20 MPa. The pellets were then sintered in an electric tube furnace at temperatures

of 1270 °C to 1550 °C for 4 h in 90%  $N_2$  + 10%  $H_2$  atmosphere. Similarly, samples of  $Y_2CaSiAl_{4-y}O_{12}:yCr^{3+}$  ( $x = 1, y = 0\%$  to 1.0%) were prepared at 1550 °C for 4 h in the same atmosphere.

### Characterizations

X-ray diffraction patterns of the phosphor samples were measured on a Bruker AXS Avance diffractometer (Cu  $K\alpha_1$   $\lambda = 1.5406$  Å; tube voltage = 40 kV; tube current = 40 mA). For the phase purity investigation, the samples were examined in the  $2\theta$  range of 10 to 80° with a scanning rate of 10° per min. For the Rietveld refinement analysis, the samples were scanned in the range of 5 to 120° at intervals of 0.02 with a count time of 5 s per step. The Rietveld refinement was performed using the FullProf program.<sup>30</sup> All the density functional theory (DFT) calculations of the geometry optimization and electronic properties were performed using the Vienna Ab initio Simulation Package<sup>31,32</sup> (VASP) under the Projected Augmented Wave<sup>33</sup> (PAW) method. For all the geometry optimizations and scf (DOS) calculations, 400 eV was chosen as the cutoff energy of the plane-wave basis to ensure precision of the calculations. A  $1 \times 1 \times 1$  Monkhorst–Pack grid<sup>34</sup> was used to carry out the surface calculations on all the models. The convergence threshold for structural optimization was set at 0.05 eV Å<sup>-1</sup> in force. The convergence criterion for energy was  $10^{-3}$  eV. A  $2 \times 1 \times 1$  supercell of YAG was built for the calculations. Photoluminescence (PL), photoluminescence excitation (PLE), QE and decay time curves were recorded by a fluorescence spectrophotometer (Edinburgh Instruments, FLS980) equipped with a 450 W Xe lamp, Xe- $\mu$ s-flash lamp, BaSO<sub>4</sub>-based and integrating sphere; visible and NIR PMT detectors (Hamamatsu, R928P and R5509) were both applied. The diffuse reflectance spectra in the range of 200 to 1600 nm were measured at room temperature using a spectrophotometer (Shimadzu Ltd, Japan, UV-3600 PLUS). Temperature-dependent PL spectra were obtained *via* a temperature controlling apparatus (Lake Shore Cryotronics, Model 336 with ARS-4HW Compressor) which was loaded into the FLS980 and operated from 10 to 350 K. The thermal quenching was measured *via* home-built equipment which was composed of a sample cooling/heating stage, temperature controller, 460 nm blue light and a spectrometer (Ocean Optics, USB 2000+).

### NIR LED preparation

NIR pc-LEDs were fabricated by combining  $Y_2CaSiAl_4O_{12}:0.6\% Cr^{3+}$  with LED chips. For comparison, the commercial yellow YAG:Ce and red  $CaAlSiN_3:Eu$  phosphors were coupled with a blue LED to create white light. The phosphors were thoroughly mixed with epoxy resin, and the mixture was mounted on the LED chip, followed by curing at 200 °C. The optical properties of the pc-LEDs were measured using a spectrometer (Ocean Optics, USB2000+) under a forward bias current of 150 mA at room temperature.

## Results and discussion

### Crystal structure of $Y_{3-x}Ca_xSi_xAl_{5-x}O_{12}$

$Y_3Al_5O_{12}$  and  $Ca_3Al_2Si_3O_{12}$  are isostructural with the cubic space group  $Ia\bar{3}d$ , and a full solid solution with the composition

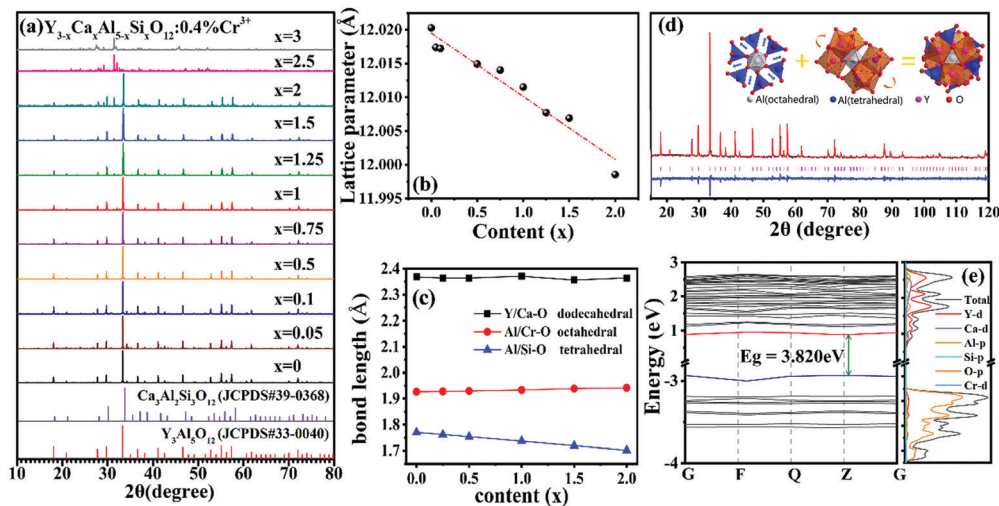


Fig. 1 (a) Powder X-ray diffraction patterns of  $Y_{3-x}Ca_xAl_{5-x}Si_3O_{12}:0.4\%Cr^{3+}$  and JCPDS reference data of  $Y_3Al_5O_{12}$  and  $Ca_3Al_2Si_3O_{12}$ ; (b) lattice constants and (c) ligand bond lengths of  $Y_{3-x}Ca_xAl_{5-x}Si_3O_{12}:0.4\%Cr^{3+}$  ( $x = 0$  to 2) after co-substitution; (d) Rietveld refinement XRD pattern of  $Y_2CaAl_4SiO_{12}:0.4\%Cr^{3+}$ . The inset is the structure model of the  $Ca^{2+}-Si^{4+}$  co-substituted YAG:Cr; (e) band structure and DOS of  $Y_2CaAl_4SiO_{12}$ .

of  $Y_{3-x}Ca_xSi_xAl_{5-x}O_{12}$  is available.<sup>35</sup> The structure of garnet consists of different but close-knit ligands, where the  $[(Al/Cr)O_6]$  octahedra are edge-shared with  $[(Y/Ca)O_8]$  dodecahedra and connected with  $[(Al/Si)O_4]$  tetrahedra by  $O^{2-}$  points.<sup>27</sup> Therefore, the different ratios of Y/Ca and Al/Si can influence the surrounding coordination environment of the octahedral sites  $Cr^{3+}$  occupies, which in turn imposes on the luminescence of  $Cr^{3+}$ .

As shown in Fig. 1a, the diffraction peaks of  $Y_{3-x}Ca_xAl_{5-x}Si_3O_{12}:0.4\%Cr^{3+}$  ( $x = 0$  to 2) are basically consistent with the standard card of  $Y_3Al_5O_{12}$  (JCPDS 88-2048) when the content of  $x$  is smaller than 2. A marginal  $YAlO_3$  phase is identified in the samples of  $x = 0.05$  and 0.1. When  $x$  is larger than 2, an unknown Ca-Si-Al-O amorphous phase is preferentially formed,<sup>36</sup> which leads to deviations from the standard  $Y_3Al_5O_{12}$  and  $Ca_3Al_2Si_3O_{12}$  (JCPDS 89-1491) diffraction patterns. The lattice constant (Fig. 1b), obtained from the X-ray diffraction data, decreases slightly from 12.027 to 11.967 Å with increasing degree of co-substitution ( $x$ ). This is indicative of the shrinkage in the overall volume of the structure after co-substitution, which would result in a strong crystal field that yields sharp emission lines of  $Cr^{3+}$ . However, in fact, this is not the case, as will be discussed later.

The calculated bond lengths of M-O ( $M = Y/Ca, Al/Cr$  and  $Al/Si$ ) in three types of polyhedron are presented in Fig. 1c. As can be seen, the tetrahedral bond length of  $Al/Si-O$  decreases with increasing  $x$  because the ionic radius of  $Si^{4+}$  (0.260 Å) is 33.3% smaller than that of  $Al^{3+}$  (0.390 Å). Although the ionic radius of  $Ca^{2+}$  (1.120 Å) is 9.9% larger than that of  $Y^{3+}$  (1.019 Å), the dodecahedral bond length of  $Y/Ca-O$  remains nearly constant. It is worth noting that the octahedron where the luminescent center  $Cr^{3+}$  is accommodated is slightly enlarged, indicating a weak crystal field imposing on the  $Cr^{3+}$  ions. Therefore, broadband emissions rather than sharp line emissions are anticipated.

The Rietveld refinement of the XRD pattern of  $Y_2CaAl_4SiO_{12}:0.4\%Cr^{3+}$  is displayed in Fig. 1d. The refined residual factors, atom coordinates, and unit cell parameters are summarized in

Tables S1 and S2 (ESI<sup>†</sup>). The refinement converges well with the low residual factors of  $R_p = 5.48\%$ ,  $R_{wp} = 7.16\%$ , and  $R_{exp} = 5.15\%$ . The decrease of the overall unit cell does not contradict the expansion of the octahedron because the substitution of  $Ca^{2+}-Si^{4+}$  pairs for  $Y^{3+}-Al^{3+}$  pairs in  $Y_3Al_5O_{12}$  will cause structural distortion, as illustrated in the inset of Fig. 1d. The larger  $Al^{3+}$  sites are occupied by the smaller  $Si^{4+}$  ions, leading to shrinkage of the tetrahedra and shortening of the Si/Al-O bonds. The oxygen atoms belonging to the common apex of the octahedra then move towards the outside. Additionally, the incorporation of larger  $Ca^{2+}$  ions into the smaller  $Y^{3+}$  sites will not only enlarge the dodecahedra but also change the geometrical configuration.<sup>37</sup>

As shown in Table S3 (ESI<sup>†</sup>), both the distortion index and the effective coordination number of the dodecahedra change with increasing  $Ca^{2+}-Si^{4+}$  co-substitution. These changes in the dodecahedra will further distort the octahedra that are connected *via* the common edge.<sup>37,38</sup> Therefore, the double effects of elongation and distortion result in deformation and enlargement of the octahedra.

The density of states (DOS) of  $Y_{3-x}Ca_xAl_{5-x}Si_3O_{12}:0.4\%Cr^{3+}$  ( $x = 0, 1$ ) was investigated by first-principles calculations, as presented in Fig. 1e. The calculated results show a direct bandgap of 3.820 eV for  $Y_2CaAl_4SiO_{12}$  ( $x = 1$ ), which is much smaller than that of 4.605 eV for  $Y_3Al_5O_{12}$  ( $x = 0$ ) (see Fig. S3, ESI<sup>†</sup>). This shows that the top of the valence band mainly consists of the O 2p orbital for both  $x = 0$  and  $x = 1$ . However, the bottom of the conduction band is mainly contributed by the 4d orbital of Y for  $Y_3Al_5O_{12}$  ( $x = 0$ ), whereas it is a hybrid electronic state of Y 4d and Ca 3d for  $Y_2CaAl_4SiO_{12}$  ( $x = 1$ ). The 3d level of Cr ions forms an impurity level in the bandgap of the host. The bandgap is correlated to the strength of the bond between the valence electron and its atom. As the ionicity of the compound increases, these electrons will move closer to their mother atom and their bonds will become tighter, implying that more energy or a larger bandgap is required to break the bond.

Compared with  $\text{Y}_3\text{Al}_5\text{O}_{12}$ ,  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}$  shows weak ionic character because it has a smaller bandgap. This indicates that the covalence character of  $\text{Y}_{3-x}\text{Ca}_x\text{Al}_{5-x}\text{Si}_x\text{O}_{12}:0.4\% \text{Cr}^{3+}$  becomes stronger with increasing  $\text{Ca}^{2+}-\text{Si}^{4+}$  co-substitution; the ensuing nephelauxetic effect will contribute to the energy level splitting to some extent.<sup>28</sup>

### Optical properties at room temperature

The photoluminescence spectra (PL) of  $\text{Y}_{3-x}\text{Ca}_x\text{Al}_{5-x}\text{Si}_x\text{O}_{12}:0.4\% \text{Cr}^{3+}$  are given in Fig. 2a. As can be seen, all samples with  $x < 2.5$  display a broad emission band in the NIR range (600 to 1100 nm) under 440 nm excitation; meanwhile, the samples with  $x > 2.5$  have almost no luminescence, possibly due to the existence of impurities. The PL intensity reaches its maximum at  $x = 1$ . In addition, spectral broadening is clearly observed with increasing  $\text{Ca}^{2+}-\text{Si}^{4+}$  co-substitution. The variation of the bandwidth (FWHM) is plotted as a function of  $x$  in Fig. 2b. As can be seen, the changes in FWHM can be divided into three stages. In the first stage ( $x = 0$  to 0.25), the FWHM value basically remains unchanged. In the second stage ( $x = 0.25$  to 0.5), the value of FWHM soars due to the appearance of the broadband emission corresponding to the spin-allowed  ${}^4\text{T}_2 \rightarrow {}^4\text{A}_2$  transition. The R line of the  ${}^2\text{E}$  level is still visible at this high solid solubility (Fig. 2a). In the last stage ( $x = 0.5$  to 2), the FWHM value increases steadily. Again,  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:0.4\% \text{Cr}^{3+}$  ( $x = 1$ ) has the largest FWHM of 160 nm. The same case is seen when the  $\text{Y}_{3-x}\text{Ca}_x\text{Al}_{5-x}\text{Si}_x\text{O}_{12}:0.4\% \text{Cr}^{3+}$  samples are excited under 617 nm (Fig. S4, ESI†).

Some studies have emerged regarding broadband infrared phosphors.<sup>39–42</sup> The mechanism of spectral broadening needs to be studied in more detail. To quantitatively describe the effects of the substituted  $\text{Ca}^{2+}-\text{Si}^{4+}$  ions on the crystal field strength, spectroscopic parameters such as crystal field splitting ( $10\text{Dq}$ ), the Racah parameters  $B$  and  $C$ , and  $\beta$ -covalency ( $B/B_0$ ) were calculated from the energy maxima of the  ${}^4\text{A}_2 \rightarrow {}^4\text{T}_2$

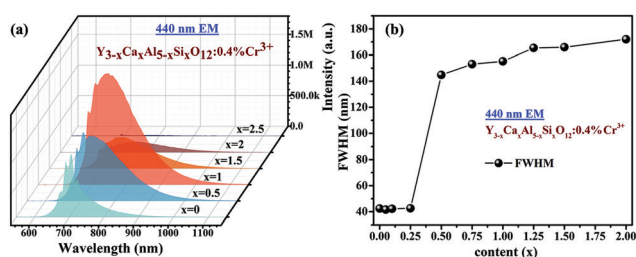


Fig. 2 (a) Emission spectra and (b) FWHM values of  $\text{Y}_{3-x}\text{Ca}_x\text{Al}_{5-x}\text{Si}_x\text{O}_{12}:0.4\% \text{Cr}^{3+}$  measured at room temperature under 440 nm excitation.

Table 1 Crystal field splitting parameters  $10\text{Dq}$ , Racah parameters  $B$  and  $C$ , and  $\beta$ -covalency of  $\text{Y}_{3-x}\text{Ca}_x\text{Al}_{5-x}\text{Si}_x\text{O}_{12}:0.4\% \text{Cr}^{3+}$  ( $B_0$  is  $918 \text{ cm}^{-1}$  for the free  $\text{Cr}^{3+}$  ion)

	$\text{Dq} (\text{cm}^{-1})$	$B (\text{cm}^{-1})$	$C (\text{cm}^{-1})$	$\text{Dq}/B$	$B = B/B_0$
$x = 0$	1689	648	3234	2.62	0.706
$x = 0.5$	1653	669	3185	2.47	0.729
$x = 1$	1647	678	3168	2.43	0.738
$x = 1.5$	1639	690	3136	2.38	0.751

and  ${}^4\text{A}_2 \rightarrow {}^4\text{T}_1$  absorption bands (Fig. S5, ESI†), and the results are shown in Table 1.

According to crystal field theory, the crystal field splitting  $\text{Dq}$  can be evaluated from the following equation:<sup>43</sup>

$$10\text{Dq} = E({}^4\text{T}_2 - {}^4\text{A}_2) \quad (1)$$

Then, the Racah parameter  $B$  can be calculated by the following expression:<sup>44</sup>

$$\frac{\text{Dq}}{B} = \frac{15(x-8)}{x^2-10x} \quad (2)$$

where the parameter  $x$  is determined as

$$x = \frac{E({}^4\text{T}_1 - {}^4\text{A}_2) - E({}^4\text{T}_2 - {}^4\text{A}_2)}{\text{Dq}} \quad (3)$$

Finally, the Racah parameter  $C$  can be estimated by:

$$\frac{E({}^2\text{E}_g - {}^4\text{A}_{2g})}{B} = \frac{3.05C}{B} - \frac{1.8B}{\text{Dq}} + 7.9 \quad (4)$$

It is observed that the value of  $\text{Dq}/B$  gradually decreases as the  $\text{Ca}^{2+}-\text{Si}^{4+}$  co-substitution increases, indicating weakening of the crystal field. The Racah parameter  $B$  represents the repulsive force between electrons in the 3d orbital. The value for the free  $\text{Cr}^{3+}$  ion ( $918 \text{ cm}^{-1}$ ) is much higher than that of  $\text{Cr}^{3+}$  ion in crystals.<sup>25</sup> Compared with  $\text{Y}_3\text{Al}_5\text{O}_{12}$  ( $x = 0$ ), the samples of  $x > 0$  have a larger  $B$  value, which is indicative of the increase of the ionic character associated with the enlargement of the octahedron where  $\text{Cr}^{3+}$  is located. The increase of ionicity in the  $\text{Cr}^{3+}$  local environment does not contradict the enhanced covalency of the overall lattice.

To discuss the effects of the octahedra distortion on the spectral broadening, the energy levels of  $\text{Cr}^{3+}$  in octahedra with different symmetries are schematically illustrated in Fig. 3. According to the Tanabe–Sugano diagram, the relative energy position between the  ${}^4\text{T}_2$  excited state and the  ${}^4\text{A}_2$  ground state is strongly influenced by the crystal field strength ( $\text{Dq}/B$ ). In a strong crystal field, the  ${}^4\text{T}_2$  energy level is far beyond the  ${}^2\text{E}$  energy level. Thus, the  $\text{Cr}^{3+}$  emission is dominated by the spin-forbidden  ${}^2\text{E} \rightarrow {}^4\text{A}_2$  transition. A weak crystal field downshifts the  ${}^4\text{T}_1$  and  ${}^4\text{T}_2$  energy levels, and the possibility of the thermal

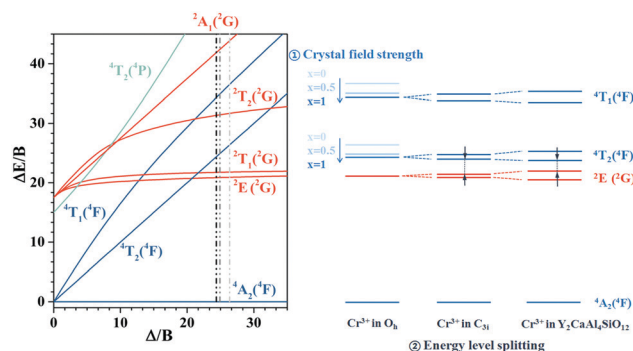


Fig. 3 The Tanabe–Sugano diagram and energy levels of  $\text{Cr}^{3+}$  in a perfect octahedral site  $\text{O}_h$  ( $\text{Y}_{3-x}\text{Ca}_x\text{Al}_{5-x}\text{Si}_x\text{O}_{12}$ ,  $x = 0, 0.5, 1$ ), in a slightly deformed octahedral site  $\text{C}_{3i}$  and in the distorted octahedral site in  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}$ .

population of the  ${}^2E$  level into the  ${}^4T_2$  energy level increases.<sup>45,46</sup> The energy level in the Tanabe–Sugano diagram is based on  $Cr^{3+}$  in a nearly perfect octahedral site ( $O_h$  point group); however, the symmetry of this site is not as perfect as that in  $Y_3Al_5O_{12}$ , and it has a decreased symmetry of  $C_{3i}$ . The distortion causes splitting of the  ${}^4T_1$ ,  ${}^4T_2$  and  ${}^2E$  levels into two sub-levels. Meanwhile, in  $Y_2CaAl_4SiO_{12}$ , the larger degree of distortion in the octahedron leads to a larger separation between these two sub-levels; thus, the lowest sub-level of the  ${}^4T_2$  level is close to the highest sub-level of the  ${}^2E$  level. This is more conducive for the thermal population to the  ${}^4T_2$  level and finally appears as the common emission of the  ${}^2E$  and  ${}^4T_2$  levels. This can also be proved by the decrease of the decay time of  $Y_{3-x}Ca_xAl_{5-x}Si_xO_{12}:0.4\% Cr^{3+}$  with increasing  $x$  (Fig. S6, ESI†). Although the octahedron has a large distortion, the whole crystal structure remains stable due to the similar ionic radius and the charge balance, which are thus advantageous for thermal stability. In summary, both the decrease of the crystal field strength and the increase of the energy level splitting promote the electrons to be thermally populated to the  ${}^4T_2$  level, finally resulting in a broadband emission of  $Cr^{3+}$ .

### Influence of $Cr^{3+}$ concentration

$Y_{3-x}Ca_xAl_{5-x}Si_xO_{12}:0.4\% Cr^{3+}$  ( $x = 1$ ) has the highest PL intensity, and the sample of  $Y_2CaAl_4SiO_{12}:yCr^{3+}$  was thus selected for further investigations. The XRD patterns of  $Y_2CaAl_4SiO_{12}:yCr^{3+}$  ( $y = 0.1\%$  to  $1.0\%$ ) show a pure garnet phase, and no other impurity phases are identified (Fig. S7, ESI†). This indicates that the doping of  $Cr^{3+}$  does not cause changes in the phase purity or crystal structure. As expected, the lattice constant of  $Y_2CaAl_4SiO_{12}$  increases with increasing  $Cr^{3+}$  content (Fig. S8, ESI†) because the larger  $Cr^{3+}$  ( $0.615 \text{ \AA}$ , CN = 6) replaces the smaller  $Al^{3+}$  ( $0.535 \text{ \AA}$ , CN = 6).

As shown in Fig. 4a, all samples ( $y = 0.1\%$  to  $1.0\%$ ) display a similar broadband emission centred at 744 nm, and both the maximum PL intensity and FWHM were found in the sample with  $y = 0.6\%$  (Fig. 4b). The concentration quenching occurring at  $y = 0.6\%$  can be ascribed to energy transfer between the adjacent  $Cr^{3+}$  ions by multipole–multipole interactions, exchange interactions or re-absorption.<sup>20,47</sup> In addition, the maximum emission of the broadband shifts from 738 to 768 nm when the  $Cr^{3+}$  concentration increases from  $y = 0.1\%$  to  $1.0\%$ . The red-shift can be attributed to the re-absorption of  $Cr^{3+}$ .

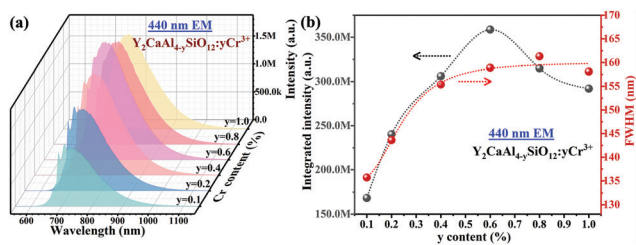


Fig. 4 (a) Emission spectra and (b) maximum PL intensity and FWHM of  $Y_2CaAl_4SiO_{12}:yCr^{3+}$  phosphor powders with different  $Cr^{3+}$  concentrations ( $y$ ) under 440 nm excitation.

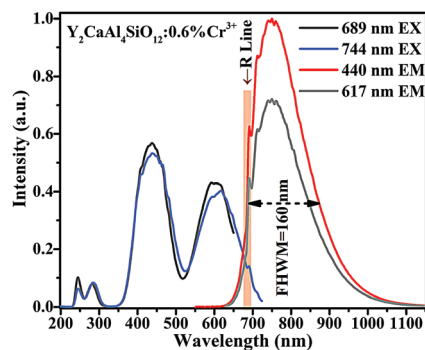


Fig. 5 Emission and excitation spectra of  $Y_2CaAl_4SiO_{12}:0.6\% Cr^{3+}$  at room temperature.

It can be seen in Fig. 5 that the excitation spectrum of  $Y_2CaAl_4SiO_{12}:0.6\% Cr^{3+}$  consists of four broad bands. The two intense bands peaking at  $\sim 440$  and  $617$  nm are assigned to the  ${}^4A_2(4F) \rightarrow {}^4T_1(4F)$  and  ${}^4A_2(4F) \rightarrow {}^4T_2(4P)$  transitions, respectively. Two other weak bands are located at  $244$  and  $280$  nm, which correspond to the  $O^{2-}-Cr^{3+}$  charge transfer (CT) and  ${}^4A_2(4F) \rightarrow {}^4T_2(4F)$  transition, respectively.<sup>22</sup> The emission spectrum assigned to the  ${}^4T_2 \rightarrow {}^4A_2$  transition is dominated by a broadband covering the spectral range of  $600$  to  $1100$  nm (centred at  $744$  nm). In addition, a narrow peak (*i.e.*, R-line) at  $689$  nm, due to the  ${}^2E \rightarrow {}^4A_2$  transition, is also observed. Because the energy gap between the  ${}^2E$  level to the  ${}^4T_2$  level *via* thermal population. Consequently, both  ${}^2E$  and  ${}^4T_2$  emissions are seen in the emission spectrum.

### Comparison of different Cr-doped garnet phosphors

As discussed above, the spectral broadening of  $Cr^{3+}$ -doped phosphors is strongly correlated with their crystal field strength. Fig. 6 presents the relationships between the crystal field parameters ( $Dq/B$ ,  $B$  Racah parameter) and FWHM of some  $Cr^{3+}$ -doped garnet NIR phosphors.<sup>19,23,29,48–53</sup> The chemical formulas of these phosphors are listed in Table S3 (ESI†). It can be seen that these NIR phosphors can be divided into three groups. A vertical dotted line is taken from the intersection of the  ${}^2E$  and  ${}^4T_2$  energy levels in the Tanabe–Sugano diagram where  $Dq/B$  is  $\sim 2.3$ , which is generally considered to be the boundary between the medium and weak crystal fields. In this graph, LaSGG:Cr located on the left side of the intersection has a weak correlation between FWHM and the Racah parameter  $B$  (Zone II). Meanwhile, the Cr-doped garnet phosphors on the right side show a strong correlation between  $B$  and FWHM depending on the  $Dq/B$  value. The phosphors in Zone III have a medium or strong crystal field. As the crystal field becomes weaker, the values of  $B$  and FWHM increase generally. Compared with those phosphors with a medium crystal field, only the  $Y_2CaAl_4SiO_{12}:Cr$  phosphor is in Zone I; however, it has larger values of  $B$  and FWHM. The large Racah parameter  $B$  indicates a small spatial expansion of the d electron wave functions in the crystal (*i.e.*, a weak nephelauxetic effect). It can be inferred that there is a greater probability to obtain broadband emissions in NIR phosphors with a higher Racah parameter  $B$ .

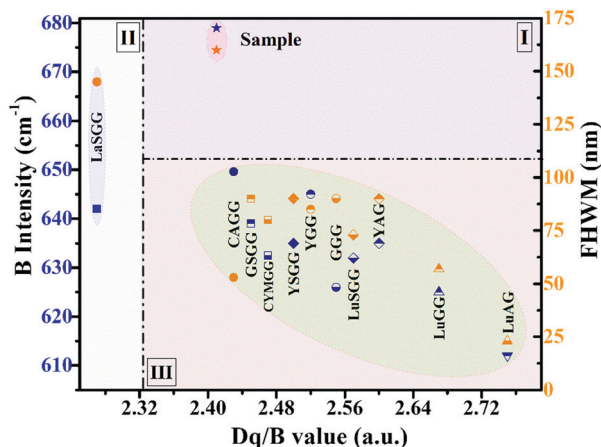


Fig. 6 Coordinate relationships between the crystal field strength  $Dq/B$ , Racah parameter  $B$  and FWHM.

Table 2 Crystal field parameters of different  $\text{Cr}^{3+}$  doped phosphors

Phosphor	IQE	Abs	EQE	$\lambda_{\text{ex}}$ (nm)	$\lambda_{\text{em}}$ (nm)	Ref.
$\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:\text{Cr}$	75.9	37.0	28.1	440	600–1100	This Work
$\text{Ca}_2\text{LuZr}_2\text{Al}_3\text{O}_{12}:\text{Cr}$	69.1	45.6	31.5	460	650–870	54
$\text{Gd}_3\text{Ga}_5\text{O}_{12}:\text{Cr}$	30	—	—	447	650–900	55
$\text{Y}_3\text{Ga}_5\text{O}_{12}:\text{Cr}$	46	—	—	442	650–900	55

For applications in near-infrared lighting sources, phosphors with a broadband emission will have a wide spread detection range, and a higher quantum efficiency indicates a better performance. As shown in Table 2, the broadband  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:0.6\% \text{Cr}^{3+}$  (FWHM = 160 nm) has a largest internal quantum efficiency (IQE) of 75.9%. The absorption and the external quantum efficiency (QE) of  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:\text{Cr}$  are only 37.0% and 28.1%, respectively. The low absorption is ascribed to the parity-forbidden d–d transition of  $\text{Cr}^{3+}$ . The absorption of phosphors can be improved by the surface treatment, tailoring of the particle size and morphology, and optimization of the synthesis process.<sup>55</sup>

### Temperature-dependent luminescence

The working temperature of LED devices can be up to 100 °C, while that of the LED junction may even exceed 150 °C;<sup>56</sup> therefore, evaluation of the thermal stability of LED phosphors is essential. As shown in Fig. 7a, the PL intensity of the phosphor declines as the temperature increases. At 200 °C, the PL intensity is about 78% of the initial intensity measured at room temperature. A similar result is seen for the temperature-dependent IQE (Fig. S9, ESI†). Moreover, the narrow peaks at ~690 and 710 nm weaken and finally disappear with increasing temperature, which is attributed to the increasing probability of thermal population from the  $^2\text{E}$  to the  $^4\text{T}_2$  state. In addition, the descent in the right wing of the spectrum causes a slight blue-shift.

Thermal quenching usually occurs by means of thermally activated crossover *via* the  $^4\text{T}_2$  excited state of  $\text{Cr}^{3+}$ , as depicted in Fig. 7b. To better understand the thermal quenching, the

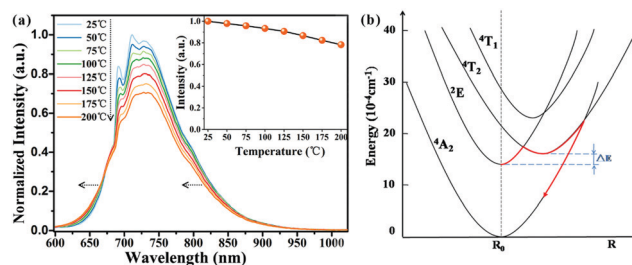


Fig. 7 (a) Temperature-dependent emission spectra of  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:\text{Cr}$  upon excitation at 440 nm and the temperature-dependent integrated intensities (inset); (b) schematic configurational coordinated diagram of  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:\text{Cr}$  at room temperature.

temperature dependence of the emission intensity can be described by a modified Arrhenius equation:<sup>25</sup>

$$I(T) = \frac{I_0}{1 + A \exp(-E_a/kT)} \quad (5)$$

where  $I_0$  is the initial PL intensity at 25 °C,  $I(T)$  is the PL intensity at a given temperature,  $A$  is a constant,  $k$  is the Boltzmann constant ( $8.617 \times 10^{-5} \text{ eV K}^{-1}$ ) and  $E_a$  is the activation energy for thermal quenching. Then, the activation energy  $E_a$  is calculated to be 0.206 eV for  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:\text{Cr}$  (Fig. S10, ESI†). The small activation energy indicates a great probability of thermal crossover from the  $^4\text{T}_2$  excited state to the  $^4\text{A}_2$  ground state, as illustrated in Fig. 7b. The quenching temperature was calculated based on the  $T_{1/2} = -\Delta E_a/k \ln(1/A)$  formula to be ~317 °C for  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:\text{Cr}$ .

### Applications in NIR pc-LEDs

To demonstrate the applicability of  $\text{Y}_2\text{CaAl}_4\text{SiO}_{12}:\text{Cr}$  phosphors, several types of NIR pc-LEDs ( $0.8 \times 0.8 \text{ cm}$ ) were fabricated (Fig. 8a). It can be seen that the NIR phosphor can be efficiently excited by blue or red LED chips. The NIR light power density of the pc-LED (type I) is  $2.35 \text{ mW cm}^{-2}$  (1 cm away from the light source), and the output power is 0.81 W at a drive current of 150 mA (5.4 V). In the type IV pc-LED, where the NIR phosphor was supplemented with a commercial white pc-LED (type III), one can find that the addition of a NIR phosphor (type IV)

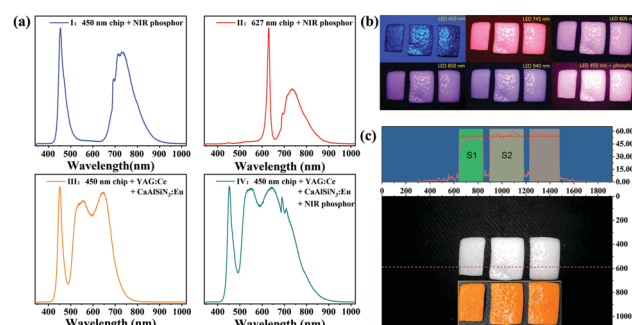


Fig. 8 (a) Emission spectra of pc-LEDs with different combinations; (b) photographs of orange peels irradiated by different light sources; (c) brightness intensity of the grayscale after Fourier transform of orange peels under pc-LED (blue chip + phosphor) illumination; the inset is a physical image of the orange peel under fluorescent light.

greatly enhances the NIR spectral region (700 to 850 nm); thus, it can be used for food detection.

Objects with different water contents show different abilities to absorb infrared light, which can be distinguished by changes in brightness. Three orange peels were used as research objects: one is a peel that was placed indoors for 12 hours (dried), and the other two were peeled immediately prior to testing (fresh). All the orange peels were illuminated by different light sources and photographed with a camera (Fig. 8b) The camera is an ordinary home surveillance camera that has a sensitization range of 400 to 1100 nm. Fig. 8c shows the gray scale of the orange peel illuminated with a pc-LED (blue chip + phosphor) and the intensity obtained by Fourier transform. As can be seen, the Fourier transform intensity of the dried orange peel on the left is lower than that of the other two fresh peels on the right.

To quantitatively evaluate the resolution of the different LED sources, a formula is proposed as below:

$$R = [(S_{2P} - S_{2LED}) - (S_{1P} - S_{1LED})] / (S_{1P} - S_{1LED}) \quad (6)$$

where  $S_{2P}$  is the intensity after Fourier transform of watery orange peel under illumination of white LED (blue chip + phosphors),  $S_{2LED}$  is the intensity after Fourier transform of watery orange peel under illumination with different LEDs,  $S_{1P}$  is the intensity after Fourier transform of water-strained orange peel under blue-chip + phosphor illumination, and  $S_{1LED}$  is the intensity after Fourier transform of water-strained orange peel under different LED illumination. The  $R$  value was calculated to be about 30%, indicating that the use of NIR pc-LEDs can improve the signal strength by at least 30%. In other words, the signal-to-noise ratio is improved by using the NIR pc-LEDs. These results demonstrate that the broadband NIR  $Y_2CaAl_4SiO_{12}:Cr$  phosphor can be potentially applied in NIR spectrometers, machine vision, and facial recognition.<sup>57</sup>

## Conclusions

Broadband NIR phosphors  $Y_{3-x}Ca_xAl_{5-x}Si_xO_{12}:yCr^{3+}$  ( $x = 0$  to 2.0,  $y = 0.1\%$  to 1%) were successfully obtained by engineering of the crystal field strength of the  $Cr^{3+}$  dopant. By direct regulation of the neighbouring dodecahedron and tetrahedron (A and C sites in the garnet), the octahedron where  $Cr^{3+}$  is accommodated was distorted by co-substituting the  $Y^{3+}-Al^{3+}$  pair by the  $Ca^{2+}-Si^{4+}$  pair in the YAG host, resulting in a weakened crystal field and thus a broadband NIR emission. The co-substituted phosphors showed a wide emission spectrum, covering the spectral range of 600 to 1100 nm, and had an emission maximum of 740 nm, a large FWHM of 160 nm and a highest IQE of 75.9% for the composition of  $x = 1$  and  $y = 0.6\%$  ( $Y_2CaAl_4SiO_{12}:0.6\% Cr$ ) upon 440 nm blue light excitation. The  $Y_2CaAl_4SiO_{12}:Cr$  phosphor exhibited a strong temperature dependence of luminescence and had a thermal quenching temperature of  $\sim 317$  °C. A broadband NIR pc-LED was prepared by combining the phosphor mixture of YAG:Ce (yellow-green), CaAlSiN<sub>3</sub>:Eu (red) and  $Y_2CaAl_4SiO_{12}:Cr$  (NIR) with a blue LED chip,

and its resolution for detecting the water content of orange peels was enhanced by 30%. These results indicate that  $Y_2CaAl_4SiO_{12}:Cr$  is a promising NIR phosphor for use in NIR spectrometers. An added value of this work is that it provides a new idea for exploring interesting NIR phosphors in Cr-activated garnets.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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