

## PAPER

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## Double layer capacitance as a sensitive metric to monitor the formation of solid electrolyte interphases in Li-ion batteries†

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In Li-ion batteries with conventional liquid electrolytes, the formation of solid electrolyte interphases (SEIs) at carbonaceous anodes prevents continuous electrochemical decomposition of the electrolyte. Typically, SEI formation and electrolyte decomposition are examined with linear potential scans, where time and potential dependencies are intertwined. Herein, a stepwise potential variation in combination with amperometry and electrochemical impedance spectroscopy (EIS) is used to characterize the impacts of time and potential as individual degrees of freedom on the SEI formation. Based on EIS data, the double layer capacitance (DLC) is introduced as a sensitive *in situ* metric to monitor the SEI formation. This technique is used to show the similarities and differences in the SEI formation processes with typical Li-ion battery electrolytes consisting of hexafluorophosphate and carbonate solvents. A polished glassy carbon electrode is employed to provide model-like EIS data with reliable interpretation. Changes in the electrochemical interface within only few atomic layers are tracked with DLC, indicating that SEIs are formed below 1.9 V vs. Li/Li<sup>+</sup> with the employed electrolytes. Amperometry measurements show that the decomposition of the employed electrolytes starts at approximately 2.7 V vs. Li/Li<sup>+</sup>, displaying smaller electrochemical windows than those previously reported.

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## Introduction

The electrochemical window describes the potential range within which an electrolyte is electrochemically stable. Towards lower potentials, the reduction of organic molecules and ions can form solid electrolyte interphases (SEIs), which reduce the rate of further electrochemical decomposition of the electrolyte.<sup>1–3</sup> By widening the effective potential window of the electrolyte, SEIs are a decisive factor that enabled commercially successful Li-ion<sup>4,5</sup> and Na-ion<sup>6–10</sup> battery chemistries. Methods from computational chemistry can be used to estimate electrochemical windows.<sup>11–15</sup> However, these methods come with several uncertainties<sup>16–18</sup> as predicting the catalytic properties of the electrode towards electrolyte decomposition remains challenging.<sup>19–21</sup> Experimentally, electrochemical windows and SEI formation are typically examined

with linear potential scans,<sup>22–25</sup> leading to ambiguous results and unclear distinctions between time and potential-dependent processes of SEI formations and ongoing electrolyte decompositions.<sup>26,27</sup>

SEIs suppress electrolyte decomposition<sup>28–30</sup> by blocking the access of solvent molecules and anions to electrodes' surfaces.<sup>31–33</sup> By being cation-conductive, SEIs allow cations to intercalate into carbonaceous electrodes.<sup>34–39</sup> These unique selective transport properties are a key component in the success of Li-ion batteries,<sup>4,5</sup> in which electrodes operate at potentials outside the electrochemical windows of common organic solvents.<sup>40</sup> Modern cryo-electron tomography enabled to image SEIs,<sup>41–46</sup> showing that amorphous parts complicate their chemical and structural characterization. Although Li-ion batteries were commercialized over 30 years ago,<sup>4,5</sup> the properties, formation mechanisms, and structures of SEIs remain an area of active and prosperous research.<sup>47–49</sup> At the time this article was written, more than ten thousand publications were associated in Clarivate's "Web of Science" with the search term "solid electrolyte interphase". Similar to carbonaceous anodes, the SEI is a crucial component of batteries with metal anodes.<sup>50</sup>

Picturing the initial formation of the first few atomic layers of the SEI is challenging as most microscopic techniques cannot resolve such length scales. However, in this spatial

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regime, ions are adsorbed in the inner Helmholtz-layer of the double layer at the electrode.<sup>51–53</sup> The effect of the SEI on the ion distribution in the double layer is expected as being measurable by the capacitance obtained with electrochemical impedance spectroscopy (EIS).<sup>54</sup> EIS analyses of standard composite Li-ion battery anodes are limited due to their structural complexities that arise from polymer bound graphite particles,<sup>55–57</sup> and the influence of the active materials distribution on the electrochemical intercalation and SEI formation.<sup>58</sup> In detail, resistive-capacitive relaxations in porous structures and spatially inhomogeneous effects on the ion transport typically lead to ambiguous interpretation of the EIS data with equivalent circuits.<sup>59</sup> Hence, the battery community mostly uses EIS to probe simpler accessible processes, such as the charge transfer processes at the electrodes.<sup>60–64</sup>

The aim of this study is to characterize the potential and time-dependent dynamics of the SEI formation *via* the double layer capacitance. Hereto, a newly developed *in situ* and online monitoring technique is presented, which consists of a stepwise potential variation in combination with amperometry and EIS. To obtain easily interpretable and reproducible EIS data, a polished glassy carbon plate is employed as a plane model electrode. The SEI formation on the glassy carbon electrode is compared for lithium hexafluorophosphate (LiPF<sub>6</sub>)-based electrolytes with various carbonate solvents, providing a deeper understanding of the SEI formation under the influence of potential and time. The measurements start in the potential region of the open circuit potential (see ESI<sup>†</sup>), in which the electrolyte is stable and the interface between the electrode and electrolyte is pristine with a distinct interface between the electrode and the liquid phase. By decreasing the potential stepwise, the SEI forms at the interface. Regarding application, the presented approach can be used as a fast-screening method to test the ability of electrolyte compositions to form stable SEIs.

## Methods

### Experimental setup

A type 2 glassy carbon electrode (Alfa Aesar) was used for all measurements in combination with various standard carbonate-based battery electrolytes (Merck, battery grade) containing 1 M LiPF<sub>6</sub>. In detail, the following electrolyte compositions were employed: (i) a 1:1 mixture of ethylene carbonate and dimethyl carbonate (EC-DMC), (ii) a 1:1 mixture of ethylene carbonate and diethyl carbonate (EC-DEC), (iii) dimethyl carbonate (DMC), (iv) a 1:1 mixture of propylene carbonate and diethyl carbonate (PC-DEC), and (v) propylene carbonate (PC). A blueprint of the three-electrode electrochemical cell used for the electrochemical characterization and more detailed experimental information are given in the ESI<sup>†</sup>. The cell was filled with 4 ml of the examined electrolyte, while a surface area of approximately 1 cm<sup>2</sup> of the glassy carbon plate was exposed to the electrolyte by sealing with a perfluoroelastomeric compound (FFKM) O-ring with an inner diameter of 1.1 cm and a thickness of 0.15 cm (Westring GmbH, Germany).

Before each measurement, the surface of the glassy carbon plate was prepared on an automated polishing machine

(Struers, Tegramin) with 2000 and 4000 grid sandpaper. Hereto, ultrapure water was used as lubricant. After preparing the surface of the glassy carbon plate, it was thoroughly rinsed with pure ethanol and dried on a heating plate. After importing the glassy carbon specimen and the cell into an argon-filled glove box, the glassy carbon plate was again treated on a heating plate at 220 °C for 10 minutes to remove residual adsorbed water or ethanol. The electrochemical cell was then assembled in an argon-filled glove box and filled with the electrolytes. The counter and reference electrodes for the cell were made from titanium wires with lithium metal melted onto their tips.

### Electrochemical measurement protocol

Fig. 1A shows a flowchart that resembles the step protocol used in this study, in which amperometry and EIS are used. First, the electrode open circuit potential (OCP) was measured and six impedance measurements at this potential were conducted in series. The values of the OCPs of the different electrolytes ranged between 3.0 and 3.3 V vs. Li/Li<sup>+</sup>, as shown in the ESI<sup>†</sup>. A potential of 3.4 V vs. Li/Li<sup>+</sup> was chosen as the start potential for the stepwise potential iteration, as it is close to the measured OCP data. In each potential step, six consecutive repetitions of 10 s of amperometry in combination with impedance spectra (a compromise between the time to reach quasi-steady states and the entire measurement duration) were conducted. Such a potential step takes a total time of approximately 1560 s. After finishing these measurements, the next potential step was initialized by reducing the electrode potential by 0.1 V. The measurement procedure was finished when a potential of −0.4 V vs. Li/Li<sup>+</sup> was reached. A Zennium XC potentiostat (Zahner, Germany) controlled with Python script was used for all presented measurements. All impedance measurements presented in this study were conducted with an amplitude of 10 mV and six points per decade in a logarithmically distributed frequency range between 100 kHz and 0.1 Hz. All measurements were performed in a temperature-controlled laboratory at 20 °C.

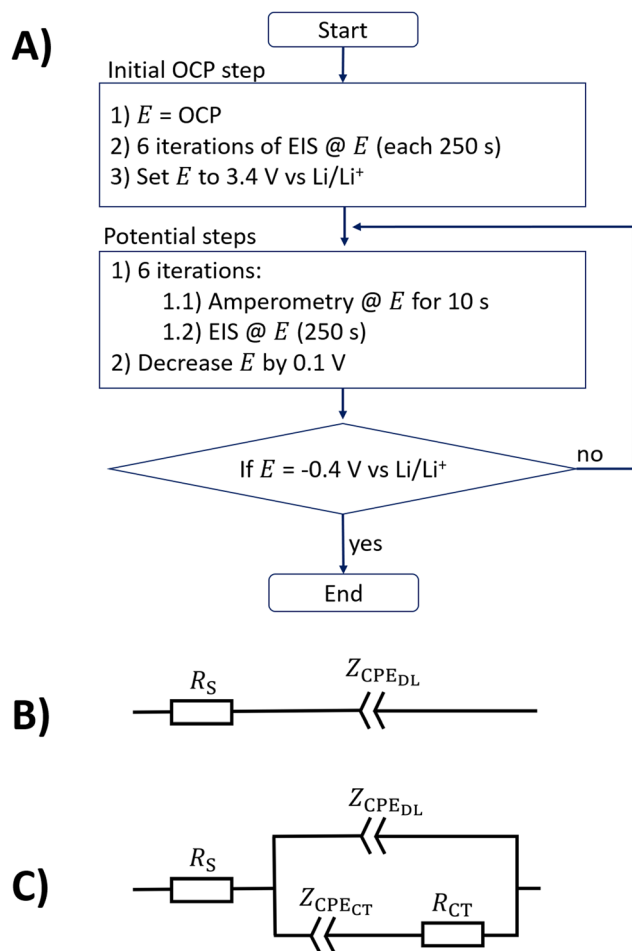
### Impedance evaluation

The potential perturbation during EIS periodically rearranges the charge distributions in the double layer. The impedance of the double layer is typically parameterized by a constant phase element (CPE).<sup>65–68</sup> The impedance  $Z_{\text{CPE}}$  of a CPE equals:<sup>69,70</sup>

$$Z_{\text{CPE}} = \frac{\xi}{(i2\pi f)^n}, \quad (1)$$

where  $\xi$  denotes the pre-factor of the CPE,  $i$  is the complex number,  $f$  is the frequency, and  $n$  is the exponent of the CPE. The CPE-type characteristic of the ion rearrangement in the double layer can be physically interpreted by a transmission line that consists of a ladder network of resistances and capacitances,<sup>71</sup> which accounts for the infinitesimal combination of partly resistive and capacitive properties of the ion transport in the double layer.<sup>72,73</sup> Hence, the commonly used terminology of a “double layer capacitance” is inaccurate as it neglects the intrinsic resistive properties of the double layer





**Fig. 1** (A) Flow chart of the electrochemical step protocol used in this study. (B) Equivalent circuit model of a blocking electrode, consisting of the electrolyte resistance and a constant phase element (CPE) for double layer (DL) capacitance. (C) Equivalent circuit model including the double layer (DL) and a charge transfer (CT) process with transport limitations that are parameterized by a CPE.

dynamics.<sup>54</sup> In Fig. 1B, the equivalent circuit model (ECM) of a chemically inert electrode (often denoted as “blocking electrode”) is shown, to which only the serial electrolyte resistance  $R_s$  and the double layer with the CPE-based impedance  $Z_{DL_{CPE}}$  contribute.

Fig. 1C includes the charge transfer processes of the electrolyte decomposition by the resistance  $R_{CT}$ . Transport limitations of the charge transfer are parameterized by the CPE-based impedance  $Z_{DL_{CPE}}$ . The CPE with an exponent of  $n = 0.5$  equals the Warburg element, which is typically used to describe diffusion limited charge transfer processes.<sup>74,75</sup>

The capacitance dispersion  $C_{EIS}(f)$  has been used in previous works to evaluate the capacitance of impedance measurements,<sup>54,76</sup> and reflects the capacitive contributions to the impedance as a function of the frequency. It is calculated based on the imaginary part of the measured impedance  $Z''$ :

$$C_{EIS}(f) = -\frac{1}{2\pi f Z''(f)}. \quad (2)$$

A recent study<sup>76</sup> showed that electrode capacitances can be compared best at the relaxation frequency  $f_r$ , which displays the inflection point between the resistively dominated impedance at high frequencies and the capacitively dominated impedance at low frequencies.<sup>54</sup> The resistive-capacitive relaxation frequency  $f_r$  can be estimated by:

$$f_r = \frac{1}{2\pi R_s C_{-45^\circ}}, \quad (3)$$

where  $R_s$  denotes the serial resistance of the circuit and  $C_{-45^\circ}$  is the value of  $C_{EIS}(f)$  at the frequency where the phase angle equals  $-45^\circ$  during the resistive-capacitive relaxation.<sup>54</sup> The impedance spectra of the presented ECMs were modeled with a previously published in-house-written Python library.<sup>59</sup> Impedance data in the high frequency regime above  $-2^\circ$  are not shown here, as their capacitance dispersion is error-prone.<sup>54</sup>

### Cyclic voltammetry measurements

The same electrochemical cell as that used for the step protocol is examined with cyclic voltammetry (CV). Every measurement started with pristine electrolyte and freshly polished glassy carbon surfaces (same preparation procedure as discussed above) for the sake of reproducibility. The measurements were conducted with a scan rate of  $10 \text{ mV s}^{-1}$ , while two full cycles starting from  $3.4 \text{ V vs. Li/Li}^+$  to a vertex potential of  $0 \text{ V vs. Li/Li}^+$  were applied. Before starting the CV, the working electrode was equilibrated at  $3.4 \text{ V vs. Li/Li}^+$  for 30 s. Again, a Zennium XC potentiostat was used for the measurements.

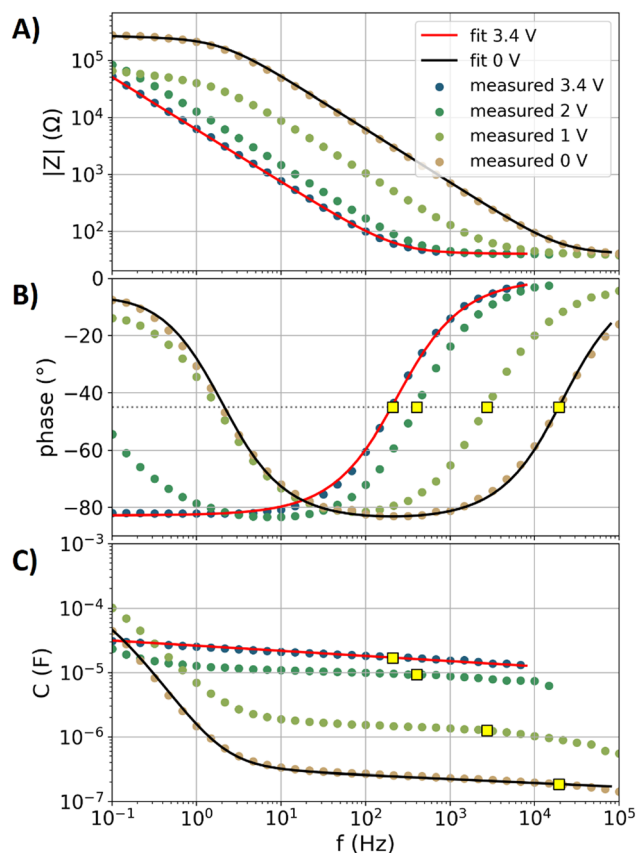
## Results and discussion

The ESI,<sup>†</sup> includes repetition measurements that show the reproducibility of the data presented in this study. Moreover, impedance data of all examined electrolytes and codes for their evaluation are shown in the ESI,<sup>†</sup> for more interested readers. The step protocol shown in Fig. 1A is applied to the five electrolyte solutions (with EC-DMC, EC-DEC, DMC, PC-DMC, and PC solvent) with the glassy carbon electrode. The protocol starts at  $3.4 \text{ V vs. Li/Li}^+$ , which ranges in the potential regimes of the open-circuit potentials measured for these solutions (see ESI<sup>†</sup>).

### Example EIS data on the EC-DEC electrolyte

Fig. 2A and B show the impedance spectra (magnitude and phase angle) of the glassy carbon electrode with the EC-DMC electrolyte at four potentials (0, 1, 2, and  $3.4 \text{ V vs. Li/Li}^+$ ). These selected data are part of the overall dataset obtained with the electrochemical protocol from Fig. 1A, representing the first of a total of six successive electrochemical impedance spectroscopy (EIS) measurements per voltage step, respectively. By using eqn (2), the capacitance dispersion is extracted from the impedance data, showing the capacitive contributions to the impedance in the dimension of the unit Faraday in Fig. 2C. In the following, the data of the EC-DMC electrolyte are exemplarily discussed, aiming to understand the impedance observed during SEI formation.





**Fig. 2** Impedance data of the glassy carbon electrode with the EC-DMC electrolyte at four selected potentials (0, 1, 2, and 3.4 V vs.  $\text{Li/Li}^+$ ). The graphed data represent the first iterations of the electrochemical step protocol shown in Fig. 1A. Solid lines: fits. Dots: measured data. Yellow squares: values at the relaxation frequency. (A) Impedance magnitude. (B) Impedance phase angle. The horizontal dotted gray line represents a visual guide with a value of  $-45^\circ$  to estimate the relaxation frequency. (C) Capacitance dispersion extracted from the impedance using eqn (2).

Fig. 2 also shows a fit of the equivalent circuit model of Fig. 1B (displaying a blocking electrode<sup>59,76</sup>) to the measured impedance data at 3.4 V vs.  $\text{Li/Li}^+$ . The glassy carbon electrode shows an open circuit potential of approximately 3.24 V vs.  $\text{Li/Li}^+$  in EC-DMC, representing a chemically stable state with a negligible amount of charge transfer reactions. The step protocol depicted in Fig. 1A applies a potential 3.4 V vs.  $\text{Li/Li}^+$  first, which leads to a current of less than 10 nA in the amperometry measurements. The fit of the blocking electrode equivalent circuit (Fig. 1B) precisely represents the measured response at 3.4 V vs.  $\text{Li/Li}^+$ . The parameters of the fitted equivalent circuit are  $R_s = 40 \, \Omega$ , while the constant phase element (CPE) describing the double layer with the impedance  $Z_{\text{CPE}_{\text{DL}}}$  is characterized by  $n = 0.92$  and  $\zeta = 33\,210 \, \Omega \, \text{Hz}^n$ . A detailed discussion of the impedance spectra of such a blocking electrode can be found in the literature.<sup>54</sup> In brief, a resistively dominated regime with phase angles of  $0^\circ$  at high frequencies blends towards low frequencies into a capacitive dominance with phase angles; here, with a phase angle of approximately  $-82^\circ$ . This transition of the phase angle as a function of the frequency describes a resistive-capacitive relaxation.<sup>54,76</sup>

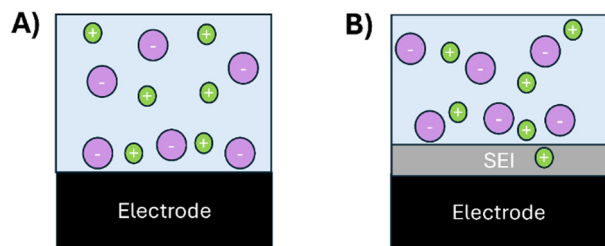
The EIS data at the potentials of 0, 1, and 2 V vs.  $\text{Li/Li}^+$  show a significant increase of the phase angles towards frequencies lower than 10 Hz, which are a result of the charge transfer reactions<sup>76</sup> that are related to the electrolyte decomposition. This electrolyte decomposition partly contributes to the formation of the SEI. Moreover, liquid decomposition products can diffuse back into the electrolyte, while gaseous products are also not incorporated into the SEI. The data at 0 V vs.  $\text{Li/Li}^+$  were fitted with the equivalent circuit model of Fig. 1C, which includes the charge transfer processes. In this equivalent circuit model, the effects of transport effects such as diffusion limitations on the charge transfer are described by a CPE with the impedance  $Z_{\text{CPE}_{\text{CT}}}$ . The parameters of the fit of the equivalent circuit model are as follows:  $R_s = 40 \, \Omega$ ,  $R_{\text{CT}} = 250 \, \Omega$ ,  $n = 0.94$  and  $\zeta = 2\,670\,540 \, \Omega \, \text{Hz}^n$  for  $Z_{\text{CPE}_{\text{DL}}}$ , and  $n = 0.5$  and  $\zeta = 2000 \, \Omega \, \text{Hz}^n$  for  $Z_{\text{CPE}_{\text{CT}}}$ . Uncertainties and ambiguities of such parameterization are described in the literature in detail.<sup>59</sup> In brief, the three-parameter based description of the charge transfer process is unreliable, as several combinations of the three parameters can represent the measured data, while the effects of spatial gradients in the formed SEI also affect the current distribution in the formed porous structure.<sup>59</sup> Owing to this uncertainty, further characterization of the SEI formation will be conducted with impedance-derived metrics rather than equivalent circuit model-based analyses.

In the recent literature,<sup>76</sup> capacitance estimations of electrodes have been discussed in detail, showing that the specific capacitance (normalized to the surface area) of electrodes with different morphologies in different electrolytes can be most reliably compared at the relaxation frequency. The relaxation frequency can be estimated<sup>54,76</sup> by the resistive-capacitive relaxation of the double layer response at a phase angle of  $-45^\circ$ . In Fig. 2B, yellow squares show the frequencies at which the measured phase angle during the relaxation is interpolated to  $-45^\circ$ . These frequencies are denoted as  $f_{-45^\circ}$ . In Fig. 2C, the yellow squares show the capacitance values at  $f_{-45^\circ}$ , which are denoted as  $C_{-45^\circ}$ . The thus-determined  $C_{-45^\circ}$  will be used as a metric to describe the capacitance of the measured impedance spectra. Below 100 Hz, charge transfer processes and the microstructure of the glassy carbon plate affect the capacitance dispersion.<sup>76</sup> The phase angles in Fig. 2B show resistive-capacitive relaxations in the regime between 100 Hz and 10 kHz. Fig. 2 shows that higher values of  $f_r$  lead to inversely proportional lower values of  $C_{-45^\circ}$ , which is in agreement with eqn (3).

### Qualitative model for decreasing capacitances by SEIs

Fig. 2C shows that the capacitance decreases towards lower electrode potentials, which is ascribed to the formation of a SEI. The SEI displays a passivation film on the electrode that reduces the rate of the electrochemical electrolyte decomposition. Passivating films on metals in aqueous electrolytes are known to decrease the capacitance,<sup>59,76,77</sup> as a result of additional capacitances of semi-conducting or insulating interfaces between the metallic electrodes and the electrolytes. Such a





**Fig. 3** Schematic sketch showing the electrochemical interface on the glassy carbon electrode. Green dots: lithium cations. Violet dots: anions. Light blue shading: electrolyte phase. Gray shading: solid electrolyte interphase (SEI). Black: glassy carbon electrode. (A) At the electrode without SEI coverage, the ions are directly adsorbed at the electrode. (B) With SEI coverage, the ion movement is constrained so that ion accumulation and adsorption directly at the electrode are hampered.

serial combination of the two capacitances  $C_1$  and  $C_2$  results in a total capacitance  $C_{\text{total}}$  of 59, 76, 77:

$$C_{\text{total}} = \left( \frac{1}{C_1} + \frac{1}{C_2} \right)^{-1} \quad (4)$$

The total capacitance is lower than that of the individual lowest capacitances, which means that the passivation films can significantly decrease the electrode capacitances. The ion adsorption in the inner Helmholtz layer of the very first atomic layers of the electrochemical interface constitutes significant contributions to the overall capacitance.<sup>78</sup> Hence, the double layer capacitance is a sensitive measure to monitor changes of electrochemical interfaces on atomic scales.

Fig. 3 displays a schematic sketch that shows how the SEI blocks the ion adsorption in the double layer, eventually causing a capacitance drop. At the SEI-free electrochemical interface (Fig. 3A), ions can be absorbed directly at the electrode. In contrast, the SEI in Fig. 3B hampers the direct adsorption of the ions at the electrode. The SEI is conductive to lithium ions.<sup>34–38</sup> However, its conductivity is lower than that of the bulk electrolyte for which the rate of the charge accumulation on the electrode is reduced. Moreover, the solubilities of Li-ions in the polymeric and inorganic phases of the SEI are expected to be smaller than that inside the bulk electrolyte. Hence, less ions can accumulate directly at the electrochemical interface with a SEI-covered electrode compared to a non-SEI covered surface. Hence, with a SEI, the distance between the electrode and the ions in the double layer increases, causing a deeper penetration of the electric field into the electrolyte that consequently decreases the capacitance.

### Metrics for the dynamics of the SEI formation

The electrochemical decomposition of electrolyte molecules can lead to two cases: (i) the products are at least partly incorporated into the SEI. (ii) The products leave the interface into the electrolyte. In the following, the first of these processes will be characterized with the double layer capacitance. Hereto, the above introduced  $C_{-45^\circ}$  will serve as a capacitive measure to monitor the potential and time-dependent dynamics of the SEI formation. The electrochemical electrolyte decomposition is

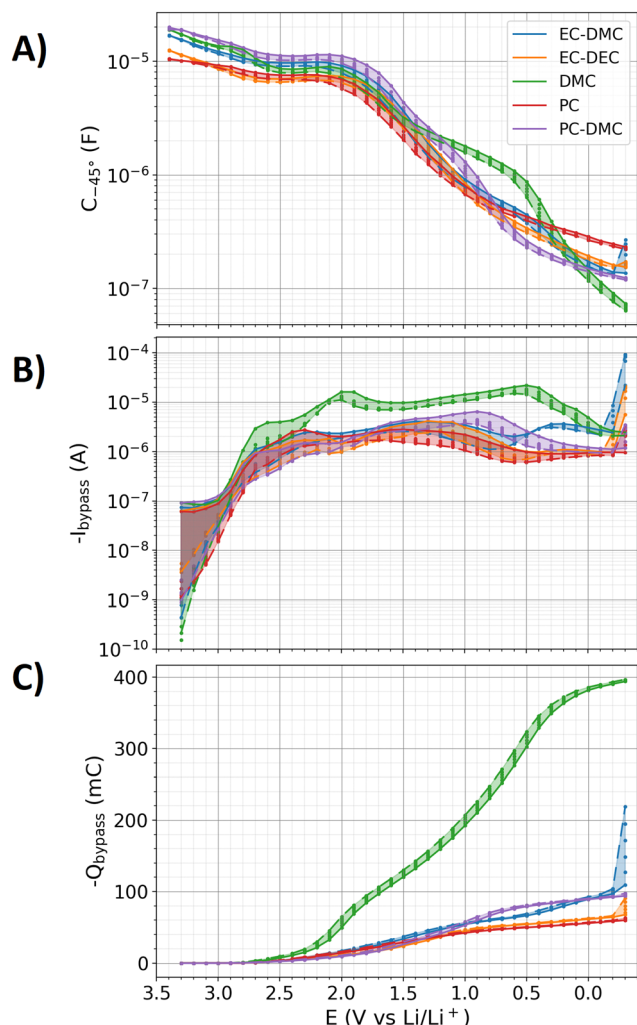
often characterized by the charge transfer resistance extracted from the impedance data.<sup>60–64</sup> However, the transport effects involved in the electrolyte decomposition cannot be unambiguously characterized with equivalent circuit models (see discussion for Fig. 2). Hence, the charge transfer resistance cannot be unambiguously determined from the measured impedance data. As an alternative and more solid measure for the characterization of the electrochemical electrolyte decomposition, the currents measured by amperometry are used as metrics to characterize the electrocatalytic electrolyte decomposition. In the following, the bypass current  $I_{\text{bypass}}$  is used as a metric to characterize the electrolyte decomposition, defined as the average value of a 10 s long amperometry measurement in the electrochemical protocol (see Fig. 1A). Another metric for the electrolyte decomposition will be the exchange charge  $Q_{\text{bypass}}$  as the integrated bypass currents over time.

Fig. 4 shows the three metrics  $C_{-45^\circ}$ ,  $I_{\text{bypass}}$  and  $Q_{\text{bypass}}$  of the measurements with the five electrolytes (EC-DMC, EC-DEC, DMC, PC, and PC-DMC) on the glassy carbon electrode. The electrochemical protocol in Fig. 1A uses 37 voltage steps with each of the six repetitions of amperometry and impedance measurements. For each of these 222 measurements, the three metrics are extracted and plotted in Fig. 3. By comparing the different iterations of the amperometry and EIS measurements, the time-dependent dynamics of the SEI formation can be elucidated. In the following, the data of the EC-DMC electrolyte will be discussed in detail to interpret the shown data. Afterwards, the SEI formation-dynamics of the five different electrolytes will be compared.

In Fig. 4A, the variation of the potential from 3.4 to 2.4 V vs. Li/Li<sup>+</sup> with the EC-DMC electrolyte leads to a capacitance decrease from 17 to 9.7  $\mu\text{F}$  for the first iterations, respectively. The capacitance of the sixth iteration is at 2.4 V vs. Li/Li<sup>+</sup> approximately 92% of that of the first iteration. This slight decrease of the capacitance may indicate a change of the surface; for instance, by adsorption of molecules on the surface. From 2.4 to 2 V vs. Li/Li<sup>+</sup>, a capacitance plateau is reached, which indicates no significant change of the electrochemical interface. A more distinct and continuous decrease of the capacitance can be observed from 1.9 to 0 V vs. Li/Li<sup>+</sup>, ending with a capacitance of 0.19  $\mu\text{F}$  that is approximately one hundred times smaller than that measured at 3.4 V vs. Li/Li<sup>+</sup>. This drastic reduction of the capacitance is associated with the SEI formation (see Fig. 3), which hinders the ion adsorption at the surface of the electrode.

In Fig. 4B,  $-I_{\text{bypass}}$  with the EC-DMC electrolyte remains below 0.1  $\mu\text{A}$  during the potential reduction from 3.4 and 3 V vs. Li/Li<sup>+</sup>. By approaching 2.7 V vs. Li/Li<sup>+</sup>,  $-I_{\text{bypass}}$  exceeds 1  $\mu\text{A}$ , showing significant contributions of a charge transfer process such as the electrolyte decomposition. The values of the bypass currents increase until 2 V vs. Li/Li<sup>+</sup> is reached, whereas the capacitance remains on a plateau in this potential range. Hence, the electrolyte is decomposed to substances that do not form a stable SEI. Towards lower potentials,  $-I_{\text{bypass}}$  remains above 1  $\mu\text{A}$ , while the capacitance alteration indicates that some of the decomposed electrolyte molecules lead to the SEI formation.





**Fig. 4** Metrics derived from the impedance and amperometry measurements of the step protocol (see Fig. 1A), which show the potential and time-dynamics of the SEI formation. The total time for each measurement was approximately 16 hours. Colors: different electrolytes. Points: values extracted from 222 individual impedance spectra and amperometry measurements (37 different potential stages with each 6 iterations in time) for each electrolyte, respectively. Solid lines: values of the first iteration. Dashed lines: values of the sixth iteration. Shaded colored areas: data-span between the first and sixth iteration of the measurements. (A) Capacitances extracted from the impedance spectra. (B) Bypass currents extracted from the amperometry measurements. (C) Charges derived from integrated bypass currents.

At  $-0.2$  V vs.  $\text{Li/Li}^+$ , the bypass current significantly increases, which may be caused by significant Li electroplating on the glassy carbon electrode.

The observed bypass current between  $2.7$  and  $0.8$  V vs.  $\text{Li/Li}^+$  results from the electrolyte decomposition. Towards lower potentials, Li-ion intercalation was reported<sup>79</sup> based on measured electrochemical measurements. However, the current from the electrochemical electrolyte decomposition and Li-intercalation cannot be easily distinguished, which also applies to the presented data here. Based on density-functional-theory calculations,<sup>80</sup> the Li-ion intercalation potential in graphite

was reported to start at  $0.3$  V vs.  $\text{Li/Li}^+$ . In the case of glassy carbon, no  $\text{Li}^+$  or little<sup>82</sup> Li-intercalation was reported. However, owing to the uncertainty of the actual extent of Li-intercalation, the currents below  $0.8$  V vs.  $\text{Li/Li}^+$  cannot be unambiguously assigned to the electrolyte decomposition and Li-intercalation. In Fig. 3C, a continuous growth of  $-Q_{\text{bypass}}$  towards lower potentials is observed, which doubles when descending from  $0$  to  $-0.3$  V vs.  $\text{Li/Li}^+$  due to Li electroplating.

### Effect of solvents on the SEI formation

In Fig. 4, the metrics  $C_{-45^\circ}$ ,  $-I_{\text{bypass}}$ , and  $-Q_{\text{bypass}}$  of all examined electrolytes show similar potential dependencies. The potential change from  $3.4$  to  $-0.3$  V vs.  $\text{Li/Li}^+$  causes a reduction of  $C_{-45^\circ}$  by about two orders of magnitude for all electrolytes. As discussed for the EC-DMC electrolyte in detail, the approximately 50% decrease of  $C_{-45^\circ}$  between  $3.4$  and  $2$  V vs.  $\text{Li/Li}^+$  is also observed for all other electrolytes. Below  $1.9$  V vs.  $\text{Li/Li}^+$ , a drastic decline of the capacitance is observed for all electrolytes, which is attributed to the SEI formation. The profiles of  $-I_{\text{bypass}}$  and  $-Q_{\text{bypass}}$  are comparable for all electrolytes except DMC, which stands out by significantly larger values. These higher currents indicate a larger permeability of the formed SEI to the electrolyte than that for the other electrolytes. The more profound passivation with the PC and EC-containing electrolytes may be attributable to polymerization *via* ring opening.<sup>83,84</sup>

With PC-based electrolytes, a fully reversible intercalation of Li-ions into graphite is not possible.<sup>4,5</sup> Historically, the commercial breakthrough of the Li-ion battery was delayed by decades due to the initial preference of PC-based electrolytes over EC.<sup>4</sup> However, the lack of intercalation reversibility with PC-based electrolytes is still debated in the literature, either naming an unstable SEI formed by PC<sup>85–87</sup> or exfoliation of graphite by PC intrusion or co-intercalation<sup>88–90</sup> as the underlying reason. The unsolved case of this phenomenon is typically referred to as the ‘EC-PC mystery’. In Fig. 3, the similar capacitance drops and exchanged charges  $-Q_{\text{bypass}}$  for EC-DMC and PC-DMC indicate that both electrolytes form similarly stable SEIs at the glassy carbon electrode. Remarkably, the PC electrolyte without DMC addition even showed the lowest  $-Q_{\text{bypass}}$  of all measured electrolytes. Hence, the presented results support the exfoliation assumption as the physicochemical reason for the ‘EC-PC mystery’ rather than the SEI stability assumption.

### Cyclic voltammetry (CV) data

CV and linear sweep voltammetry (LSV) are state-of-the-art measurement techniques to probe electrochemical windows and to characterize the electrochemical SEI formation,<sup>91,92</sup> both using a linear potential scan as a function of time. Fig. 5 shows the descending first branches of CV measurements with the different electrolytes, for which a scan rate of  $10 \text{ mV s}^{-1}$  was employed. The full CV data of two cycles and reproduction measurements are shown in the ESI.† For all examined electrolytes, the current becomes more negative as the potential decreases.

### Potential and time dependencies of the SEI formation

When probing a blocking electrode with small amplitudes, the current-response of CV and EIS is partly transferable.<sup>93</sup>



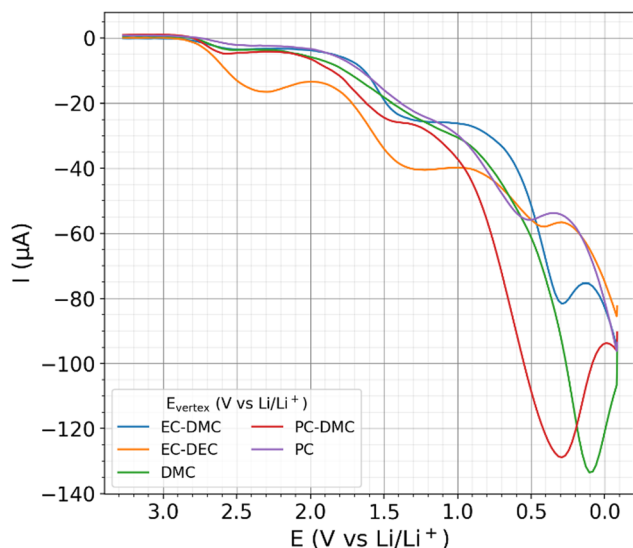


Fig. 5 The first descending branch of cyclic voltammetry (CV) from 3.4 to 0 V vs. Li/Li<sup>+</sup> on different electrolytes.

However, the irreversible formation of the SEI hinders such a transfer between both measurement techniques. During the linear potential scan of CV, capacitance, pseudocapacitances, ad/desorption processes, and the electrolyte decomposition contribute to the measured currents. Detangling these individual contributions is not possible. With the stepwise potential reduction, currents of capacitances and ad/desorption processes drop exponentially with time at each potential step. Hence, with the 10 s of amperometry measurements used in this work, electrochemical reactions that decompose the electrolyte dominate the measured currents. By using the step protocol, the driving force for the electrolyte decomposition is constant, while the evolution of a semi-steady state is measured. Thus, the influence of potential and time on the electrolyte decomposition can be considered separately as individual degrees of freedom, while the perturbations probed by EIS observe the evolution of the electrochemical interface. Table 1 shows the total charges involved in the electrolyte decompositions during the potential reduction from 3.4 to 0 V vs. Li/Li<sup>+</sup> with the step protocol (data from Fig. 4) and CV (data from Fig. 5), denoted as  $Q_{SQ}^{OV}$  and  $Q_{CV}^{OV}$ , respectively. These metrics are roughly tenfold larger for the amperometry measurement than that of the CV measurements, whereas the current maxima of both measurement methods show an opposing trend (direct comparison of the currents in

Fig. 4 and 5). These differences show the dynamics of the electrolyte decomposition and SEI formation with its dependence on the employed potential-time protocol.

The step protocol introduced in Fig. 1A is one example out of an infinite number of possible combinations of potential and time sequences to probe the SEI formation. For instance, by alternating potential change hysteresis effects and irreversibility of the SEI formation can be examined. By varying the step sizes and length, the SEI dynamics can be probed with potential and time as individual degrees of freedoms, overcoming the drawbacks of CV and LSV procedures where both parameters are tangled.

### Electrochemical windows and onset potentials

During CV with the employed scan rate of 10 mV s<sup>-1</sup>, capacitive currents on polished electrodes are typically<sup>93</sup> smaller than 1 μA cm<sup>-2</sup>. With respect to the geometric area of the glassy carbon plate of approximately 1 cm<sup>2</sup>, a current of 1 μA serves in the following as a threshold that indicates more than just capacitive contributions to the response. For instance, these contributions can be displayed by electrochemical reactions or pseudocapacitive bond cleavages by adsorption. Table 1 shows a comparison of the highest potentials at which the current threshold of 1 μA was exceeded, denoted as  $E_{SP}^{1\mu A}$  for the step protocol data and  $E_{CV}^{1\mu A}$  for the CV data. The values of  $E_{SP}^{1\mu A}$  and  $E_{CV}^{1\mu A}$  are similar, showing that both methods indicate similar potential regions of significant electrolyte decompositions.

In the literature,<sup>91,92</sup> a linear fitting procedure of CV/LSV data is reported to determine the onset potential of the electrolyte decomposition and associated electrochemical window. The onset potential of a catalytic process is not defined with reference to the Butler-Volmer equation that describes catalytic electrochemical processes.<sup>21,94</sup> However, the reported linear fitting procedure in non-logarithmic plots<sup>91,92</sup> indicates that the Ohmic drop of the electrocatalytic electrolyte decomposition leads to a linear voltage-current relation that overshadows the kinetics of the electrocatalytic reaction. Moreover, a proper Tafel analysis of an electrocatalytic process<sup>21</sup> requires a quasi-stationary and stable reaction, which is not the case of the time-dependent reaction of the SEI formation. In the many stages of the SEI formation observed in Fig. 5, it remains unclear how to apply an electrocatalytic analysis and how this shall relate to the electrochemical windows and SEI formation. Hence, the literature CV data<sup>95-97</sup> on EC-DEC electrolytes report decomposition between 1 and 2 V vs. Li/Li<sup>+</sup>, although some of these CV data show significant currents at higher potentials, similar to Fig. 5.<sup>95</sup> The proposed measure of a current threshold seems more consistent and precise to determine the lower margin of the electrochemical window. With the step protocol, the current threshold is less volatile towards capacitive effects or adsorption currents as that of the CV data. Hence, the current threshold in combination with the step protocol is here suggested as a solid measure for the lower margin of the electrochemical window.

### Relevance of the result for batteries

The results presented in this study can be interpreted as a fundamental scientific examination of the *in situ* SEI formation on an experimental model electrode in terms of a polished glassy carbon plate. This electrode displays reduced complexity compared

**Table 1** The total measured charges ( $Q_{SQ}^{OV}$  and  $Q_{CV}^{OV}$ ) between 3.4 and 0 V vs. Li/Li<sup>+</sup> as well as potentials ( $E_{SP}^{1\mu A}$  and  $E_{CV}^{1\mu A}$ ) at which a current threshold of 1 μA was exceeded. The values extracted from the step protocol data of Fig. 4 and the CV data from Fig. 5

|        | $Q_{SQ}^{OV}$ (mC) | $Q_{CV}^{OV}$ (mC) | $E_{SP}^{1\mu A}$ (V vs. Li/Li <sup>+</sup> ) | $E_{CV}^{1\mu A}$ (V vs. Li/Li <sup>+</sup> ) |
|--------|--------------------|--------------------|-----------------------------------------------|-----------------------------------------------|
| EC-DMC | 92.5               | 8.31               | 2.7                                           | 2.75                                          |
| EC-DEC | 62.4               | 10.2               | 2.6                                           | 2.81                                          |
| DMC    | 386                | 10.6               | 2.8                                           | 2.71                                          |
| PC-DMC | 90.4               | 12.7               | 2.6                                           | 2.73                                          |
| PC     | 56.7               | 7.95               | 2.6                                           | 2.64                                          |



to the composite electrodes of real-world Li-ion battery anodes, which typically consist of graphite particles that are attached with a polymer binder to a copper current collector.<sup>55–57</sup> The current collector and the polymer binder interact with the SEI formation on the graphite particles.<sup>98–101</sup> The mechanical stress of intercalating and de-intercalating at the graphite particles also impacts the SEI formation,<sup>102,103</sup> while porous separators influence the transport processes at the electrodes. Due to the porosity of such electrodes and associated resistive–capacitive relaxation processes, the impedance of such electrodes becomes more challenging to interpret and analyze.<sup>59</sup>

By using the glassy carbon electrode, the impedance spectra could be directly evaluated by simple metrics. Hence, the influence of the SEI formation on the double layer capacitance could be shown. Despite the influence of Li-ion intercalation cannot be ruled out for the glassy carbon electrode, for graphite electrode this effect increases, overshadowing the formation process of the SEI. These fundamental scientific analysis on the model glassy carbon surface may be helpful to understand the SEI formation and to evaluate new electrolyte chemistries.<sup>104,105</sup> Directly applying this measurement method to real-world battery electrodes may be possible if a more detailed understanding of the double layer capacitance in porous structures is available.<sup>59</sup>

## Conclusion

In summary, the initial formation of a solid electrolyte interphase (SEI) on a glassy carbon electrode in typical Li-ion battery electrolytes (LiPF<sub>6</sub> dissolved in carbonate solvents) was characterized with a newly developed electrochemical step protocol. With such step protocols, the influence of the potential and time on the SEI formation can be considered separately as individual degrees of freedom, which is not possible with the state-of-the-art potential-scan-based techniques. In these protocols, electrochemical impedance spectroscopy is used to characterize the double layer capacitance (DLC), while amperometry monitors the current of the electrochemical electrolyte decomposition. The formation of the SEI is discussed to hinder the accumulation of ions at the electrode–electrolyte interface, thus reducing the DLC. Using the sensitive measurement of the DLC, a significant SEI formation below 1.9 V vs. Li/Li<sup>+</sup> is measured. The amperometry measurement indicates that electrolyte decomposition already starts at 2.7 V vs. Li/Li<sup>+</sup>, which means the presence of a significantly narrower electrochemical windows of battery electrolytes than those than previously reported. The presented examinations on the glassy carbon model electrode are highly reproducible and enable fast *in situ* and online characterization of the SEI formation, which hopefully will find further application for characterizing new electrolyte compositions that enable more stable SEIs.

## Data availability

The raw measurement data shown in this study are publicly available under <https://gitlab.fz-juelich.de/m.schalenbach/double-layer-sei-data>.

## Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this study.

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