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Synthesis of sterically congested double helicene by alkyne cycloisomerization†

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Alkyne cycloisomerization of 2,7,10,15-tetra(*ortho*-alkynylphenyl)-benzo[*g,p*]chrysene containing bulky 4-alkoxy-2,6-dimethylphenyl groups at the alkyne terminals selectively proceeded at the sterically crowded bay-region. The obtained double helicene adopts a distorted structure with a high racemization barrier due to the intramolecular steric repulsion.

Helicene represents a non-planar polycyclic aromatic hydrocarbon composed of *ortho*-fused benzene rings, which exhibits a distinctive chiral structure resulting from intramolecular steric repulsion between helically arranged fused benzene rings.^{1–16} This chirality endows helicene with potential applications in chiroptical materials, asymmetric catalysis, supramolecular chemistry, and liquid crystals. To further improve these applications, a rational strategy should be established for finely tuning the structures of parent helicene cores. One representative strategy is the incorporation of substituents at the sterically hindered inner rim, thereby inducing structural distortion and increasing the racemization barrier.^{17–26} However, the introduction of such steric repulsion is synthetically challenging, heavily depending on photodehydrocyclization, radical cyclization, and Diels–Alder reactions. Even in these cases, the introduced substituents at the inner rim remain relatively small (*i.e.*, methyl and methoxy groups). Furthermore, the introduction of bulky substituents at the inner rims of multiple helicenes, which represent active targets in modern organic synthesis,^{27–36} remains unexplored.

Alkyne cycloisomerization^{37–44} is a rational strategy to expand the fused benzene rings in polycyclic aromatic

hydrocarbons, which has also been applied to the synthesis of helicenes.^{45,46} Nonetheless, this methodology necessitates the careful design of precursors to avert the formation of undesired regioisomers and undesirable rearrangements.⁴⁷ On the other hand, Ikai, Yashima, and co-workers have recently demonstrated that a bulky 4-alkoxy-2,6-dimethylphenyl group at the alkyne terminal circumvents such rearrangements, enabling the precise synthesis of ladder polymers.⁴⁸ Herein, we describe the applicability of this approach to the synthesis of sterically congested double helicene **1** (Fig. 1). The core structure of **1**: benzo[*m*]naphtho[1,2-*c*]diphenanthro[1,2-*f*:1',2'-*s*]picene, which represents a π -extended analogue of double [5]helicene **2**,²⁹ has not been previously reported.

The synthesis of compound **1** is shown in Scheme 1. The Suzuki–Miyaura cross-coupling reaction of 2,7,10,15-tetrabromodibenzo[*g,p*]chrysene **3**⁴⁹ with *ortho*-alkynylphenylboronic acid afforded the precursor **4** in 35% yield. Treatment of **4** with

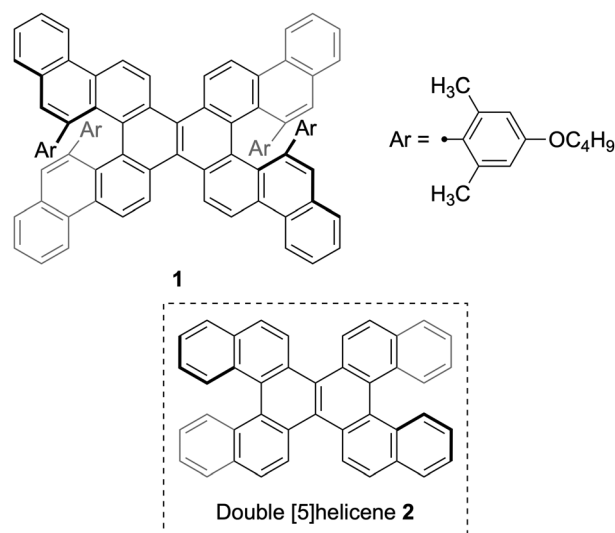


Fig. 1 Structures of sterically congested double helicene **1** and double [5]helicene **2**.

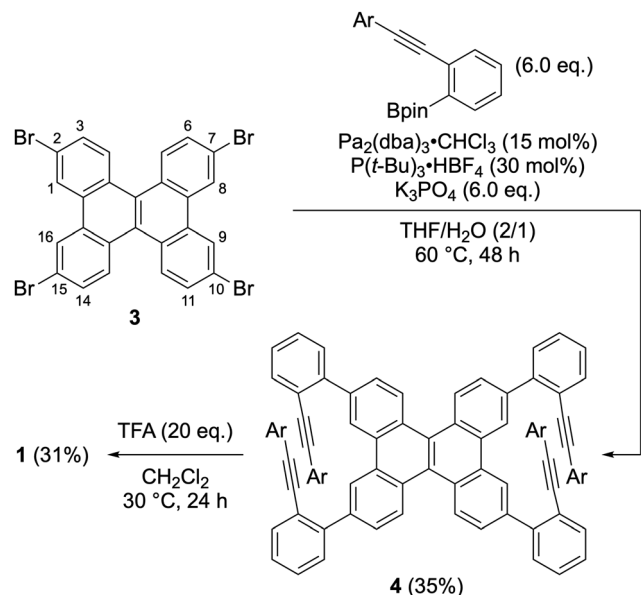
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Scheme 1 Synthesis of sterically congested double helicene **1**.

trifluoroacetic acid (TFA) furnished the desired double helicene **1** in 31% yield. The ^1H NMR analysis of the obtained crude mixture after the cycloisomerization indicates compound **1** is the major product along with trace amounts of side products (Fig. S5, ESI †). Considering that the formation of **1** requires four-fold cycloisomerization, each step proceeds with approximately 75% efficiency. This result indicates that the cycloisomerization reaction selectively proceeded at the 1,8,9,16-positions (bay regions) of the dibenzo[*g,p*]chrysene unit without undesirable rearrangement. The observed regioselectivity in cycloisomerization can be explained by the distribution of the highest occupied molecular orbital (HOMO) of **4**. The MO coefficient of **4**, in which the alkynyl groups are replaced with hydrogens to simplify the calculations, is substantially large at the bay region, while the HOMO has a node at 3,6,11,14-positions (Fig. S13, ESI †). These results suggest that the bay region in **4** is more nucleophilic than 3,6,11,14-positions. A similar trend was noted in phenanthrene derivatives.⁵⁰

The molecular structure of compound **1** was confirmed *via* single-crystal X-ray diffraction analysis (Fig. 2). The space group is $P\bar{1}$, wherein the asymmetric unit comprises one molecule, leading to a pair of enantiomers within the unit cell. In the crystal packing, molecules with the same configuration form one-dimensional slipped-stacking array. The vertical distances (d), defined as the centroid of rings A (or E) to the average plane of rings E (or A), range from 3.04 to 3.28 Å. These distances exceed those observed in double [5]helicene **2** (1.80–2.35 Å).²⁹ Moreover, the angles (θ) between rings A and E in compound **1** range from 58 to 66°, which are also larger than those in compound **2** (53–59°). These structural characteristics indicate that the 4-alkoxy-2,6-dimethylphenyl groups of compound **1** increase structural distortion within the double [5]helicene core due to steric congestion.

The cyclic voltammogram and differential pulse voltammogram of **1** were measured in CH_2Cl_2 using 0.1 M Bu_4NPF_6 as the

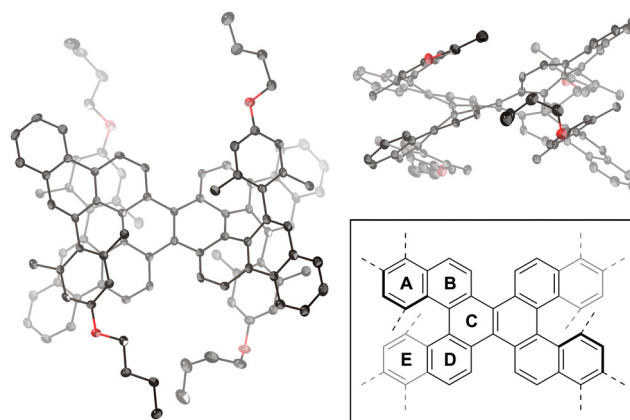


Fig. 2 X-ray crystal structure of **1** with thermal ellipsoids at 50% probability; all hydrogen atoms are omitted for clarity. Inset: definition of rings A–E.

supporting electrolyte and Ag/AgNO_3 as the reference electrode (Fig. S10, ESI †). The ferrocene/ferrocenium couple (Fc/Fc^+) was used as an external reference. While the reduction wave was not observed under the current conditions, compound **1** exhibited one oxidation wave at 0.56 V.

The enantiomers of **1** were separated using high-performance liquid chromatography (HPLC) equipped with the DAICEL CHIRALPAK IA-3 eluted with 2-propanol/hexane (1/9). The first and second fractions have been assigned to be (*P,P*)- and (*M,M*)-enantiomers, respectively, by time-dependent density functional theory (TD-DFT) calculations (*vide infra*). Heating the enantiomer (*M,M*)-**1** at 180 °C in 1,2,4-trichlorobenzene for 25 h did not cause racemization (Fig. S9, ESI †). The Eyring equation estimates that the racemization barrier of **1** is higher than 40 kcal mol $^{-1}$, which is larger than the DFT-simulated racemization barrier of double [5]helicene **2** (32 kcal mol $^{-1}$).²⁹ The high racemization barrier of **1** is attributable to the steric congestion by 4-alkoxy-2,6-dimethylphenyl groups.

The UV/vis absorption and emission spectra of sterically congested double helicene **1** and its precursor **4** are depicted in Fig. 3a. Compound **1** exhibits an intense absorption band at 384 nm with a broad shoulder peak extending to approximately 490 nm. Its fluorescence is observed at 506 nm with a quantum yield of 5.2% and a lifetime of 7.4 ns. These parameters yield radiative and nonradiative decay constants of $7.0 \times 10^6 \text{ s}^{-1}$ and $1.3 \times 10^8 \text{ s}^{-1}$, respectively. The observed weak absorption tail and small radiative decay constant suggest that the S_0 – S_1 transition of **1** is forbidden. Moreover, the absorption spectrum of **1** is red-shifted compared to its precursor **4** and double [5]helicene **2**²⁹ by approximately 3400 and 1800 cm $^{-1}$, respectively. These results indicate the effective π -extension in the benzo[*m*]naphtho[1,2-*c'*]diphenanthro[1,2-*f'*,2'-*s'*]picene core of **1**.

The circular dichroism (CD) and circularly polarized luminescence (CPL) of the enantiomers of **1** are shown in Fig. 3b. The CD spectra consist of intense peaks at 388 nm, whose $\Delta\epsilon$ exceeds 200 cm $^{-1} \text{ M}^{-1}$. The first Cotton peaks appear in 430–470 nm, which are positive and negative for the first and second fractions given by HPLC resolution, respectively. The dissymmetry factors



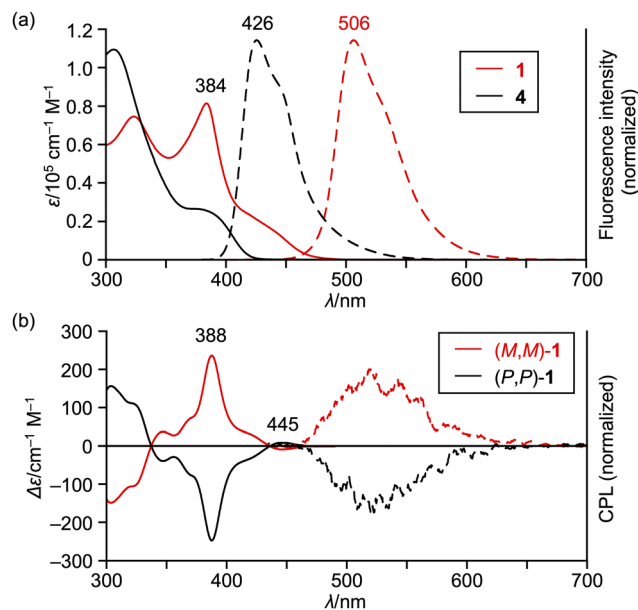


Fig. 3 (a) UV/vis absorption (solid lines) and emission (dashed lines) spectra of **1** and **4** in CH_2Cl_2 . (b) CD (solid lines) and CPL (dashed lines) spectra of (M,M) -**1** and (P,P) -**1** in CH_2Cl_2 . λ = wavelength; ϵ = extinction coefficient.

$|g_{\text{CD}}|$ are $3.1\text{--}3.3 \times 10^{-3}$ at 388 nm and $6.6\text{--}7.1 \times 10^{-4}$ at 445 nm. The CPL spectra of the enantiomers of **1** range from 460 to 650 nm in a reciprocal mirror image. The dissymmetry factors $|g_{\text{CPL}}|$ at 506 nm are $6\text{--}8 \times 10^{-4}$, which is comparable to those of previously reported double helicenes.²⁷

To get more insight into the effect of 4-alkoxy-2,6-dimethylphenyl groups in **1**, DFT calculations were conducted at the B3LYP/6-31G(d) level using the Gaussian 16 software package (Fig. 4). The optimized structure of **1**, whose initial geometry was generated from its crystal structure, exhibits a vertical distance d of 3.18 Å and a dihedral angle θ of 63° , which nicely accord with those of the crystal structure. Then, we conducted the structural optimization for the reference compound **1'**, in which the 4-alkoxy-2,6-dimethylphenyl groups are replaced with hydrogen atoms. The vertical distance d and dihedral angle θ of **1'** are 2.31 Å and 51° , respectively. The replacement of 4-alkoxy-2,6-dimethylphenyl groups of **1** with less bulky phenyl groups, namely compound **1''**, affords a vertical distance d of 2.83 Å and a dihedral angle θ of 43° . These parameters are sufficiently smaller than those of **1**, indicating that the 4-alkoxy-2,6-dimethylphenyl groups increase the structural distortion. The calculated total energy of (M,M) -**1**

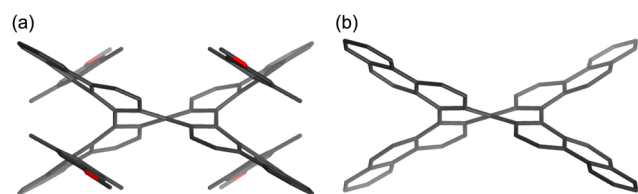


Fig. 4 Optimized structures of (a) **1** and (b) **1'**. Calculation level: B3LYP/6-31G(d).

is smaller than that of the diastereomer: *meso*-form (P,M) -**1**, by $7.7 \text{ kcal mol}^{-1}$.

We also conducted the TD-DFT calculations for **1** at the B3LYP/6-31G(d) level, which nicely reproduced the absorption and CD spectra (Fig. S14, ESI†). The calculations indicate that the S_0 - S_1 transition of **1** originates from the configuration interaction between $[\text{HOMO}-1 \rightarrow \text{LUMO}]$ and $[\text{HOMO} \rightarrow \text{LUMO}+1]$ transitions. The S_0 - S_1 transition is forbidden with an oscillator strength of 0.0023, which is consistent with the observed results. Another counterpart given by the configuration interaction is assigned to the intense absorption band at 384 nm, which is an allowed transition with an oscillator strength of 0.4497. The broad peak in 400–460 nm is assigned as the HOMO–LUMO transition, which is slightly blue-shifted compared to the S_0 - S_1 transition by approximately 190 cm^{-1} . The simulated CD spectrum of (M,M) -**1** exhibits a weak and negative first Cotton peak, which corresponds to the experimental CD signal of the second fraction given by the HPLC resolution. The calculated g value at the first Cotton peak is -2.9×10^{-3} . The angle between the transition electric dipole moment μ and transition magnetic dipole moment m is 180° due to the D_2 -symmetric structure, which is ideal for affording a high g value.⁵¹ However, $|\mu|$ value ($47 \times 10^{-20} \text{ esu cm}$) is much larger than $|m|$ value ($0.034 \times 10^{-20} \text{ erg G}^{-1}$), which should be the reason for the relatively small g value.

In conclusion, we synthesized a sterically congested double helicene **1** through alkyne cycloisomerization of 2,7,10,15-tetra(*ortho*-alkynylphenyl)benzo[*g,p*]chrysene **4**. This cycloisomerization proceeded with high selectivity at the sterically crowded bay region despite the presence of bulky 4-alkoxy-2,6-dimethylphenyl groups at the alkyne terminals. The 4-alkoxy-2,6-dimethylphenyl groups increase the distortion of the double helicene core, as evidenced by both experimental and theoretical examinations. The large steric repulsion results in the increase of racemization barrier. The core structure of **1**: benzo[*m*]naphtho[1,2-*c'*]diphenanthro[1,2-*f':1',2'-s'*]picene, represents a new π -extended double [5]helicene derivative, whose physical, photophysical, and chiroptical properties have been systematically explored. The current work expands the scope of alkyne cycloisomerization for the synthesis of distorted helicenes, thus offering important insights for the design of advanced organic materials.

The manuscript was written through the contributions of all authors. All authors have approved of the final version of the manuscript. N. F. designed and conducted the project, wrote the original draft, and finalized the manuscript. J. H. and S. M. carried out all the experiments including the synthesis and characterization. T. I. and E. Y. supervised the alkyne cycloisomerization. H. S. supported the finalization of the manuscript.

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Conflicts of interest

There are no conflicts to declare.

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