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**Opportunities for Nanotechnology to Enhance  
Electrochemical Treatment of Pollutants in Potable Water  
and Industrial Wastewater -A perspective**

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### **Environmental significance statement**

Electro-driven technologies can be used in many applications where chemical-intensive water technology solutions are logistically difficult to deploy or are ineffective at treating emerging classes of persistent pollutants. The manipulation of current in electrodes strongly depends on material properties, and there is increasing recognition that nano-structures (pores, sieves, crystal facets, shapes, and core-shells) hold promise to improve pollutant degradation in water. The advent of two-dimensional (sub) nano-scale single-atom catalysts is leading to extremely high reactivity rates, but managing pollutant mass transport to reactor-scale architectures requires increased focus on nano-material selections and also design of nano-structured electrodes that can operate in complex water matrices without fouling or interference by solutes ubiquitously present in drinking or waste waters.

# Opportunities for Nanotechnology to Enhance Electrochemical Treatment of Pollutants in Potable Water and Industrial Wastewater -A perspective

Sergi Garcia-Segura<sup>a</sup>, Xiaolei Qu<sup>b</sup>, Pedro J.J. Alvarez<sup>c</sup>, Brian P. Chaplin<sup>d</sup>, Wei Chen<sup>e</sup>, John C Crittenden<sup>f</sup>, Yujie Feng<sup>g</sup>, Guandao Gao<sup>b</sup>, Zhen He<sup>h</sup>, Chia-Hung Hou<sup>i</sup>, Xiao Hu<sup>j</sup>, Guibin Jiang<sup>k</sup>, Jae-Hong Kim<sup>l</sup>, Jiansheng Li<sup>m</sup>, Qilin Li<sup>c</sup>, Jie Ma<sup>n</sup>, Jinxing Ma<sup>o</sup>, Alec Brockway Nienhauser<sup>a</sup>, Junfeng Niu<sup>p</sup>, Bingcai Pan<sup>b</sup>, Xie Quan<sup>q</sup>, Filippo Ronzani<sup>r</sup>, Dino Villagran<sup>s</sup>, T. David Waite<sup>o</sup>, W. Shane Walker<sup>s</sup>, Can Wang<sup>t</sup>, Michael S. Wong<sup>c</sup>, Paul Westerhoff<sup>a,\*</sup>

<sup>a</sup>Nanosystems Engineering Research Center for Nanotechnology-Enabled Water Treatment, School of Sustainable Engineering and the Built Environment, Arizona State University, Tempe, Arizona 85287-5306, United States of America

<sup>b</sup>State Key Laboratory of Pollution Control and Resource Reuse, School of the Environment, Nanjing University, Jiangsu 210023, China

<sup>c</sup>Nanosystems Engineering Research Center for Nanotechnology-Enabled Water Treatment, Rice University, Houston, TX 77005, United States of America

<sup>d</sup>University of Illinois at Chicago, 945 West Taylor St, Chicago, IL 60608, United States of America

<sup>e</sup>Nankai University, 38 Tongyan Rd., Tianjin, 300350, China

<sup>f</sup>Georgia Institute of Technology, School of Civil and Environmental Engineering, 828 West Peachtree St., Suite 303, Atlanta, Georgia 30332-0595, United States of America

<sup>g</sup>State Key Lab of Urban Water Resources and Environment, School of Environment, Harbin Institute of Technology, No. 73 Huanghe Road, Harbin 150090, China

<sup>h</sup>Washington University in St. Louis, St. Louis, MO 63130, United States of America

<sup>i</sup>National Taiwan University, No. 1 Sec. 4. Roosevelt Rd., Taipei 10617, Taiwan

<sup>j</sup>Nanyang Technological University, Singapore

<sup>k</sup>State Key Laboratory of Environmental Chemistry and Ecotoxicology, Research Center for Eco-Environmental Sciences, Chinese Academy of Sciences, P. O. Box 2871, 18 Shuangqing Road, Haidian District, Beijing, 100085 China

<sup>l</sup>Nanosystems Engineering Research Center for Nanotechnology-Enabled Water Treatment, Department of Chemical and Environmental Engineering and, Yale University, 17 Hillhouse Avenue, New Haven, Connecticut, United States of America

<sup>m</sup>Nanjing University of Science and Technology, Xiaolingwei 200, Nanjing 210094, China

<sup>n</sup>State Key Laboratory of Heavy Oil Processing, Beijing Key Lab of Oil & Gas Pollution Control, China University of Petroleum-Beijing, Beijing 102249, China

<sup>o</sup>University of New South Wales, Sydney, NSW 2052, Australia

<sup>p</sup>Research Center for Eco-environmental Engineering, Dongguan University of Technology, Dongguan 523808, China

<sup>q</sup>School of Environmental and Biological Science and Technology, Dalian University of Technology, Dalian 116024, China

<sup>r</sup>Industrie De Nora s.p.a., De Nora Tech llc, 7590 Discovery Lane, Concord, Ohio 44077, United States of America

<sup>s</sup>Nanosystems Engineering Research Center for Nanotechnology-Enabled Water Treatment, University of Texas at El Paso, 500 W. University Ave, El Paso, TX 79968

### **Corresponding author**

\*Paul Westerhoff, Nanosystems Engineering Research Center for Nanotechnology-Enabled Water Treatment, School of Sustainable Engineering and the Built Environment, Arizona State University, Tempe, Arizona 85287-3005, United States; E-mail: [p.westerhoff@asu.edu](mailto:p.westerhoff@asu.edu); phone: 480-965-2885

### Abstract

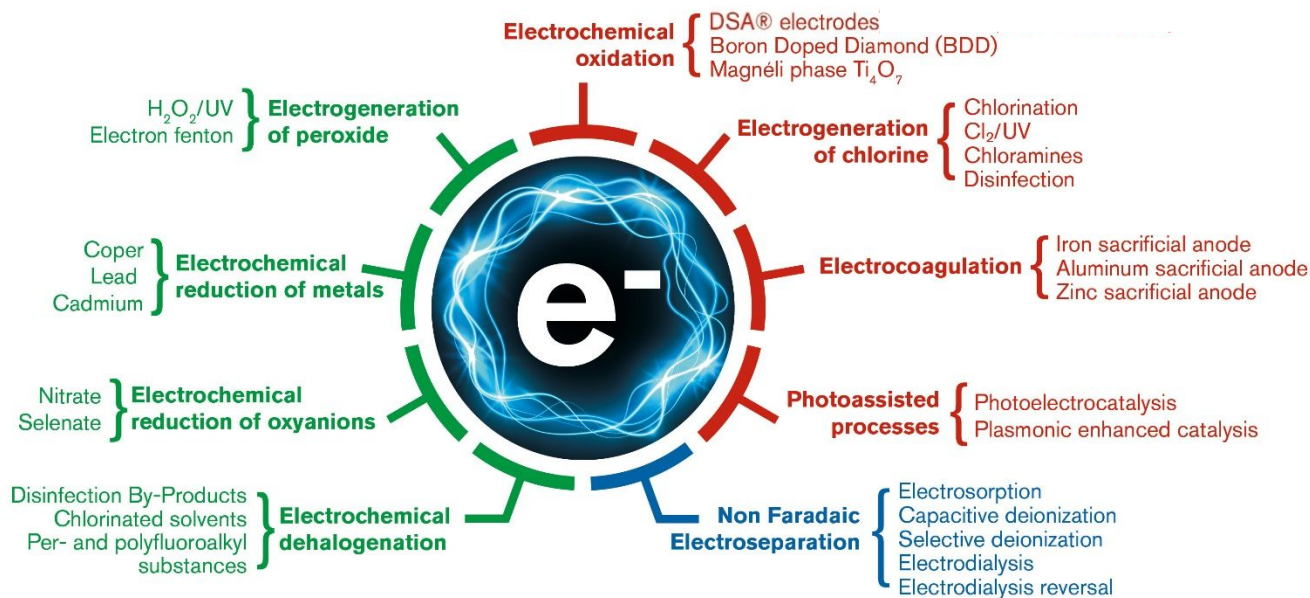
Based upon an international workshop, this perspective evaluates how nano-scale pore structures and unique properties that emerge at nano- and sub-nano- size domains could improve the energy efficiency and selectivity of electroseparation or electrocatalytic processes for treating potable or waste waters. An Eisenhower matrix prioritizes the urgency or impact of addressing potential barriers or opportunities. There has been little optimization of electrochemical reactors to increase mass transport rates of pollutants to, from, and within electrode surfaces, which become important as nano-porous structures are engineered into electrodes. A “trap-and-zap” strategy is discussed wherein nanostructures (pores, sieves, and crystal facets) are employed to allow localized concentration of target pollutants relative to background solutes (*i.e.*, localized pollutant trapping). The trapping is followed by localized production of tailored reactive oxygen species to selectively degrade the target pollutant (*i.e.*, localized zapping). Frequently overlooked in much of the electrode-material development literature, nano-scale structures touted to be highly “reactive” towards target pollutants may also be the most susceptible to material degradation (*i.e.*, aging) or fouling by mineral scales that form due to localized pH changes. A need exists to study localized pH and electric-field related aging or fouling mechanisms and strategies to limit or reverse adverse outcomes from aging or fouling. This perspective provides examples of the trends and identifies promising directions to advance nano-materials and engineering principles to exploit the growing need for near chemical-free, advanced oxidation/reduction or separation processes enabled through electrochemistry.

## 1. Introduction

Treating industrial or municipal wastewaters and potable waters continues to face significant challenges to meet regulatory mandates, ecosystem requirements, and aesthetic consumer preferences. Globally, there is growing reliance on distributed technologies in decentralized systems that enable local reuse of wastewaters or point-of-use polishing of centrally-treated potable waters.<sup>1</sup> Decentralized technologies should be designed with small system footprints, minimal or zero on-site chemical storage and dosing systems, minimal liquid or solid waste production, the potential for remote operation with minimal on-site expertise, and the ability to treat water of variable inorganic and organic composition or concentrations. Furthermore, there is demand for water treatment processes powered directly by renewable energy sources, even if that necessitates intermittent rather than continuous operation.<sup>2</sup> Several nano-enabled water treatment technologies have already been commercialized,<sup>3</sup> and numerous treatment systems have been conceptualized<sup>4</sup> to address emerging water quality challenges and satisfy decentralized system requirements. Nanoengineering material properties and architectures holds tremendous opportunity for direct use of electrical current to separate ions (electroseparation) or transform pollutants (electrocatalysis) without chemical addition.

Figure 1 portrays the emerging array of electrochemically-driven processes, including reductive or oxidative Faradaic charge-transfer pollutant transformation processes and non-Faradaic non-charge-transfer pollutant separation processes. Advanced oxidation processes (AOPs) generate powerful reactive oxygen species (ROS) that degrade organic pollutants. Common AOPs require chemical addition such as  $\text{H}_2\text{O}_2/\text{UV}$ ,<sup>5</sup>  $\text{H}_2\text{O}_2/\text{Fe(II)}$  Fenton processes,<sup>6</sup> persulfate oxidation.<sup>7</sup> Photocatalytic AOPs generate ROS by irradiating surfaces with ultraviolet or visible light, but a challenges related reactor design (e.g., uniformly irradiating fixed photocatalytic films on reactor walls, adding and recovering catalyst slurries to the water) remain challenges.<sup>8,9</sup> In contrast with chemical-based advanced oxidation

processes (AOPs) that require off-site chemical production (*e.g.*,  $\text{H}_2\text{O}_2/\text{UV}$ ), nano-enabled electrodes and Faradic processes can generate  $\text{H}_2\text{O}_2$  on-site immediately upstream of ultraviolet (UV) reactors, producing reactive oxygen species (ROS) via water oxidation directly on electrode surfaces or electrogenerating other oxidant species (*e.g.*, sulfate radical, active chlorine species, *etc.*). These electrochemical processes avoid the need for chemical production, transportation, and on-site storage/feed because they generate the ROS *in-situ*. Additionally, whereas pressure-driven membrane separation processes convert electricity via pumps into mechanical work, electro-separation systems drive separation without high pressures. While debate exists regarding energy and economic comparison between pressure-driven membrane separation processes and electrochemical-driven non-Faradic ion separation methods,<sup>10</sup> bottom-up design of nanostructured electrodes or electrochemically-enhanced membranes offer opportunities for selective ion removal.



**Figure 1.** Electrochemically-driven processes classified as **reductive Faradaic processes (green)**, **oxidative Faradaic processes (red)**, and **physical separation through non-Faradaic processes (blue)**.

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6 Commercial electrochemical technologies are already in use in the water industry (*e.g.*, on-site  
7 hypochlorite production, electrodialysis), and interest in electrochemically-driven technologies is  
8 growing in both peer reviewed literature and commercial development. More broadly, there are roughly  
9 18,000 publications using “electrochemical”, “electrocatalytic”, “electroseparation” and “water”  
10 between 1996 and 2018, which grew exponentially from 560 in 1996 to 5900 publications in 2018 when  
11 nearly 2800 of these publications also included the keyword “nano”. “Sensors” accounted for 850 of  
12 these publications in 2018 (see Figure SI1). Publications containing “nano” grew at a rate nearly 25%  
13 faster than papers without “nano”. There is no way to capture all the key messages in all the  
14 electrochemical-related papers, but recent reviews related to electrochemical processes for water  
15 treatment capture important findings<sup>11-14</sup>, albeit few are nano-focused. Reviews based on  
16 electrochemical destruction methods have identified challenges associated with 1) minimizing the  
17 formation of toxic byproducts, 2) reducing loss of efficiency caused by mass transfer limitations and  
18 undesired side reactions, 3) synthesizing nontoxic, inexpensive, high surface-area electrodes that have a  
19 long operational life (*i.e.*, electrode stability<sup>14,15</sup>), 4) improving energy demand by improved electrode  
20 spacing or energy recovery devices, and 5) recognizing that many publications focus on investigating  
21 individual contaminant transformation pathways and mechanisms rather than reactor design  
22 considerations. While a few reviews on electrochemical processes exist for certain classes of  
23 nanomaterials (*e.g.*, 2-D<sup>16</sup>) or processes (*e.g.*, electro-Fenton<sup>17</sup>), this perspective considers the broad  
24 range of nanomaterial opportunities that emerge as a commonality to nearly all electrochemical water  
25 treatment processes.

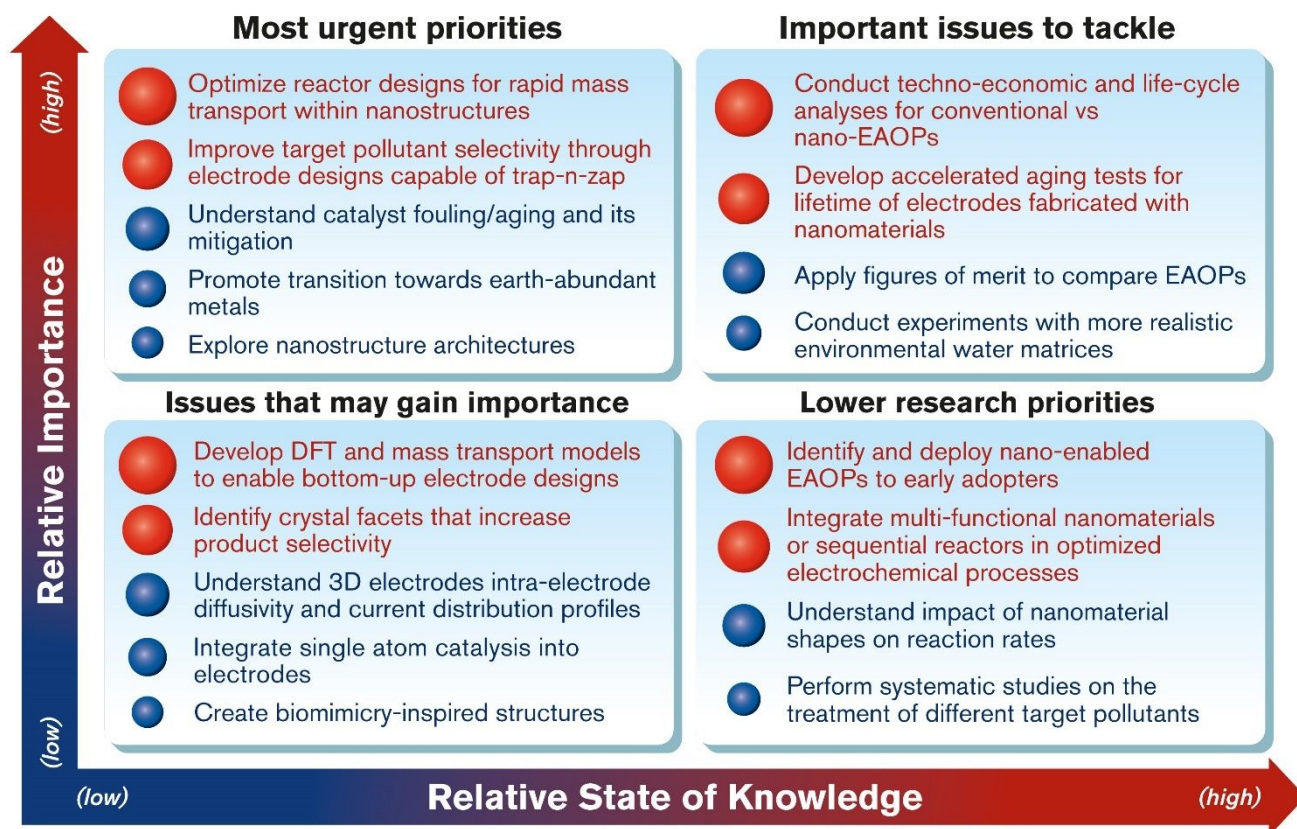
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27 Tunable nanomaterial properties offer unprecedented opportunities for electrochemical water  
28 treatment. For electrocatalysis, desirable features include (i) increasing pollutant selectivity for removal  
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3 with higher precision and efficiency, (ii) high production rate of specific redox mediators capable of  
4 degrading pollutants, and (iii) increasing the mass transfer rate. In contrast, for electroseparations, two  
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6 important desirable design functions are (i) maximizing electrode surface area to adsorb charged ions  
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8 and (ii) reducing mass transfer limitations from bulk solution to electrode surfaces to lower adsorption-  
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10 desorption cycling times. In both processes, nanoparticles, nanotubes, and hybrid nanomaterials can be  
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12 integrated into electrodes and used in different reactor architectures. Higher surface area improves  
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14 reaction rates that occur on the electrode surface (i.e., heterogeneous processes). Compared against  
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16 monolithic or only “rough” macro-scale surfaces, nanotechnology is allowing unprecedented control of  
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18 surface morphology, pore geometry and structures that exponentially increase the amount of surface area  
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20 available for electrochemical reactions.  
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26 This perspective also explores opportunities to use nano-structured electrodes, whose unique and  
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28 tunable properties (*e.g.*, surface morphology, crystalline structure, facets and porosity) combined within  
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30 novel reactor geometries form an emerging class of electrochemical water treatment technologies. Each  
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32 section is motivated by a question that the authors addressed during a workshop. First, we describe how  
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34 existing electrochemical processes have benefited from incidental use of nanotechnology. Second, we  
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36 discuss opportunities for nanomaterials in next generation electrodes to overcome barriers facing  
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38 existing anodes or cathodes: (i) enabling unique nano-scale properties, (ii) utilizing highly selective  
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40 surfaces and unique geometries, (iii) reducing reliance on noble metals or non-earth abundant elements.  
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42 Third, we introduce the concept of sub-nano structures and single atom catalysis as future trends.  
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44 Fourth, we discuss the role of nanotechnology in improving pollutant selectivity over other solutes  
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46 present in complex water matrices and how nanotechnology can enhance the competitiveness of  
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48 electrochemical technologies. We consider enhancing selective yield of oxidants and selective final  
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50 product formation (i.e. innocuous nitrogen gas from nitrate reduction). In addition, we propose emerging  
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operation strategies to increase target pollutant transformation over scavengers and competitive species using a simultaneous adsorption-destruction approach (*i.e.*, “trap-n-zap”). Fifth, we highlight the relevance of aging and fouling effects on electrodes stability, as well as discussing their effects on nano-enabled electrodes. Sixth, we explore how nanomaterials can lead to different reactor designs through improving mass transfer towards electrodes. Seventh, we describe how capital and energy costs (*e.g.*, techno-economic analyses) can be used to drive innovation in electrochemical technologies and how the concept of “cost learning curves” will continue to decrease system expenses over time. We discuss how early development considerations of nanomaterial durability, safety, toxicity, or scalability are important for electrochemical systems, as with any other new technologies. Finally, a perspective on how to prioritize research barriers and opportunities where nanotechnology offers the greatest potential



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8 **Figure 2.** Eisenhower matrix of prospective research needs. Red text and larger bullets signify higher  
9 importance.  
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15 **2. Current reliance on nanotechnology in electron-mediated chemical separation, production, or**  
16 **destruction in commercial applications**  
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19 *Has nanotechnology always been part of electrochemically driven technologies?* Whether  
20 intentional or not, the answer is yes. Commercial electrodes used in industrial processes *de facto* contain  
21 nanostructured materials. DSA<sup>®</sup> anodes that incorporate nanostructured metal oxide coatings on  
22 electrodes were pioneered by Oronzio De Nora in 1923<sup>18</sup> and revolutionized the chloro-alkali industry  
23 enabling electrochemical generation of chlorine (Figure SI2). DSA<sup>®</sup> electrodes are now widely used in  
24 water treatment applications for on-site electrochemical production of hypochlorite<sup>19-21</sup>. These  
25 electrochlorination systems use titanium-based electrodes coated with a few hundred microns of  
26 nanostructured ruthenium, niobium, indium, tin, titanium, and/or other metal doped-oxides. They are  
27 highly efficient. However, the electrode coatings electrochemically and mechanically degrade over a  
28 few years, at which time the base electrodes are removed, shipped to central facilities, and can be  
29 recoated with metal-oxides are returned for use. To further enhance coating stability and reduce catalyst  
30 leaching or erosion in other applications, the industry is beginning to use conformal and non-line-of-  
31 sight coating technologies. This is especially important to create robust and uniform coatings on porous  
32 substrates, such as membranes. Developed by materials scientists, two manufacturing methods stand  
33 out: liquid solution combustion (LSC)<sup>22</sup> and liquid-phase atomic layer deposition (L-ALD).<sup>23</sup> Based on  
34 different solution deposition chemistry, these readily-scalable methods could yield robust oxide or  
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3 mixed oxide conforming coatings on the inner pore walls of ceramic membranes with micron-scale and  
4 even nano-scale pores. The coatings are robust, and their thickness uniformity can be controlled at sub-  
5 nanometer precision. Furthermore, atomic level lattice doping is achievable for intrinsic band-gap tuning  
6 of the semiconductor oxide coatings.<sup>24</sup> In addition, nanoporous carbon electrodes are being used  
7 successfully in commercial-scale in electro dialysis reversal (EDR) and capacitive deionization (CDI)  
8 systems.  
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11 Most electrodes, while nanostructured, rely on traditional trial-and-error synthesis rather than  
12 bottom-up designs. Historically, density functional theory (DFT) has been utilized to explain  
13 experimental results by understanding energetics within materials or between ions and surfaces. Now,  
14 DFT is emerging as a material discovery tool to *a priori* select elements and design specific crystal  
15 facets into electrodes. Applying machine learning to material discovery involves creating feedback loops  
16 between experimental synthesis and characterization with DFT or other molecular simulations<sup>25,26</sup> and  
17 holds tremendous promise to improve efficiency and selectivity of electrodes. However, we currently  
18 lack the ability to scale electron mobility from the atomistic equilibrium conditions predicted by DFT to  
19 dynamic processes within nanostructures (*e.g.*, tubes or other geometries). The next wave of innovation  
20 will likely occur through filling this fundamental knowledge gap to enable bottom-up designs, which  
21 could eventually diminish capital costs of electrodes (*i.e.*, efficient use of non-earth-abundant (aka,  
22 endangered) elements<sup>27</sup>) and reduce electrical operational costs by enabling more efficient use of  
23 delivered electrons towards desirable target reactions rather than competing side-reactions.  
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### 47 **3. Moving up the periodic table**

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49 *Do electrodes always need to utilize noble metals to be cost-efficient?* Most electrocatalysts  
50 reported in academic publications focus on expensive noble metals (*e.g.*, Pd, Pt, Ir, *etc.*). Figure SI3  
51 identifies endangered elements due to their excessive use or limited resources on the planet.<sup>28</sup> Earth-  
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3 abundant alternatives to precious/critical metal electrocatalysts likely exist for many water treatment  
4 applications but have not been a main research focus<sup>27</sup>. The reasons may be numerous but could be  
5 related to the probability that earth-abundant catalysts may be less reactive or degrade easily when  
6 compared to precious elements used in catalysis – and thus *a priori* less attractive to pursue. But reaction  
7 kinetics of pollutants within electrochemical systems may not always control net costs of a water  
8 treatment system. Techno-economic assessments (TEAs) of electrochemical treatment systems show  
9 that electrode materials are a major cost driver, and as such significant reductions in system costs can be  
10 achieved either by improving efficiency of costly electrodes or by using lower cost electrodes even if  
11 they have slower reaction rates. We suggest that taking a systems level view of major environmental  
12 and/or cost-drivers rather than focusing only on electrode performance for pollutant removal will reveal  
13 that alternatives to using noble metal-based electrodes are needed.<sup>29,30</sup> Even if electrodes fabricated  
14 using earth abundant elements are only 10% as efficient as noble metals, if they are 1000x less  
15 expensive, then they emerge as better choices within the overall electrochemical system.  
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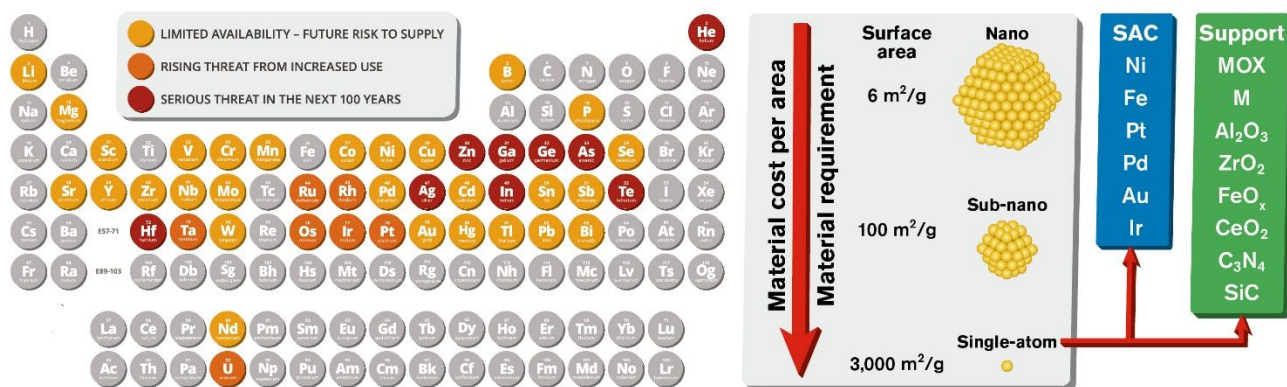
33 Moving up the periodic table (*i.e.*, elements with lower atomic numbers) usually indicates the  
34 elements are more earth-abundant. Specifically, moving up the periodic table will drive innovation to  
35 find homogeneous and heterogeneous catalysis that substitute first-row earth abundant transition metals  
36 for precious metals. A complimentary philosophy could suggest that by going down to the nano-scale,  
37 the reactivity per atom increases, and hence perceived inefficiencies of non-noble metal catalysts can be  
38 overcome when viewed at a system-level of a water treatment unit. Few life cycle assessments exist for  
39 electrodes with endangered elements. In the related field of hydrogen catalysis, similar concerns were  
40 recently examined for hydrogenation (H<sub>2</sub>) catalysts for heterogeneous pollutant destruction,<sup>31</sup> where Pd  
41 was determined to be a major driver of adverse environmental impacts.<sup>32</sup> However, reducing Pd to 2.5%  
42 by using nano-Pd allows treating nitrate in ion exchange brines while considerably diminishing the  
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3 environmental footprint of conventional nitrate treatment systems.<sup>32</sup> By nano-engineering non-  
4 traditional earth abundant materials (*e.g.*, control of crystal facets, nanoparticles shape, *etc.*), we  
5 hypothesize that opportunities may exist to replace endangered and expensive materials.<sup>33</sup> Carbon based  
6 electrodes offer one potential pathway for non noble metal electrode designs. Carbon is one of the most  
7 earth-abundant elements, and its electrocatalytic properties can be drastically modified with very low  
8 doping of other elements such as boron (*e.g.* ~0.5-3.0 wt% (~1 to 3 x10<sup>20</sup> atoms per cm<sup>3</sup>) or more  
9 abundant dopants such as nitrogen.<sup>34,35</sup> Doping affects the sp<sup>3</sup>/sp<sup>2</sup> ratio and surface termination of the  
10 diamond structure, and boron-doped diamond (BDD) electrodes are often considered a gold-standard in  
11 terms of their performance and stability.<sup>35,36</sup> However, BDD electrodes are among the most costly  
12 because chemical vapor deposition (CVD) is needed for their synthesis. Sensing applications have been  
13 proposed using nano-BDD films or rods that leverage increased surface areas and material  
14 durability,<sup>37,38</sup> showing how nano-BDD is just one example of the potential opportunities to use earth-  
15 abundant electrode materials in electrochemical water treatment processes.  
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#### 35 **4. Sub-nano and single-atom catalysis**

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37 *What are the benefits of going smaller than nano-scale?* Figure 3 illustrates that an important  
38 design space with enhanced reactivity may exist below a few nanometers. Depositing metals on the  
39 electrode surface as sub-nanometer clusters or single atoms presents an untapped opportunity for new  
40 electrode development. Single-atom catalyst (SAC) morphology is the theoretical limit in the endeavor  
41 to maximize atomic efficiency of metals (*e.g.*, catalytic activity, specific surface area, *etc.*) and minimize  
42 the use of noble metals and other non-earth abundant elements.<sup>39</sup> Metal atoms in the SAC form behave  
43 differently from their nanostructured counterpart because the valency changes, often exhibiting  
44 behaviors in between homogeneous and heterogeneous catalysts. Within the past decade, SAC has been  
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rapidly advancing from gas phase catalysis to electrocatalysis in driving oxygen evolution reaction (OER), hydrogen evolution reaction (HER), nitrogen conversion to ammonia, CO<sub>2</sub> conversion to methanol, as well as oxygen reduction reaction (ORR) and hydrocarbon oxidation for fuel cells.<sup>40–45</sup> While not widely studied for pollutant remediation in water, SACs may be relevant for water treatment reactions (*e.g.*, oxyanion reduction, hydrodehalogenation, or oxidant generation) at high selectivity and efficiency. SACs allow significant reduction in use of non-earth abundant elements due to their low mass requirements and implicitly high surface area. SAC might bring catalysis to an era where material cost or availability would no longer be a concern. However, directly translating findings of recent SAC research to redox reactions in water is challenging because the mechanism of SAC appears to vary depending on the types of metal(s), their surrounding environment (*i.e.*, substrate, aqueous matrix at the boundary layer), and target reactions. For example, SACs using Pt/Ti have been studied for electrochemical H<sub>2</sub>O<sub>2</sub> production.<sup>45</sup>



**Figure 3.** a) The periodic table of endangered elements (Source from ACS Green Chemistry Institute by Andy Brunning shared under a Creative Commons BY-NC-ND 4.0 International license). b) Definition of sub-nano and single-atom catalysis (SAC) and the implication of using these novel structures for electrocatalytic applications in terms of increased available surface area.

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3 A significant knowledge gap exists in the area of sub-nano to single-atom electrocatalysis,  
4 related to the SAC itself as well as methods to integrate SAC into supportive substrate architectures. On  
5 one hand, these opportunities are exciting, but on the other hand, elemental selections and material  
6 designs should be approached strategically. Enabling machine learning algorithms between elemental  
7 selection and material designs *in silico* and through material synthesis and testing may emerge as a  
8 potential strategy to reduce the design space.<sup>46,47</sup> There are several synthetic challenges, specifically  
9 avoiding aggregation of the single atom catalysts and dispersion across the supports. Preliminary  
10 synthetic methodologies exist in literature but are not well established. Full-scale applications of SAC in  
11 the water industry have not been reported, and very few reactor designs are envisioned wherein inherent  
12 mass transport limitations of SAC could be overcome. It is still early to evaluate techno-economic  
13 implications at such low technology readiness level, but breakeven costs evaluation between material  
14 cost of macroelectrodes and the manufacturing costs of SAC-based electrodes will have to be conducted.  
15 Hence, the critical need in the shorter-term is to improve our mechanistic understanding of SAC to  
16 assess the upper range potential to improve performance, and then in the mid- to longer-term to envision  
17 and model potential SAC-enabled electrocatalytic reactors that can provide a framework for performing  
18 TEAs. Simultaneously there is a need to compare LCAs for different SAC synthesis techniques and  
19 manufacturing processes. Hence, the central opportunities today are to improve our mechanistic  
20 understanding of SAC and to begin envisioning and modeling potential electrocatalytic reactors enabled  
21 by SACs. As breakthroughs in SACs occur, there will be a need to consider a system-level view.  
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## 49 **5. Improving pollutant selectivity through nanomaterial selection and process design**

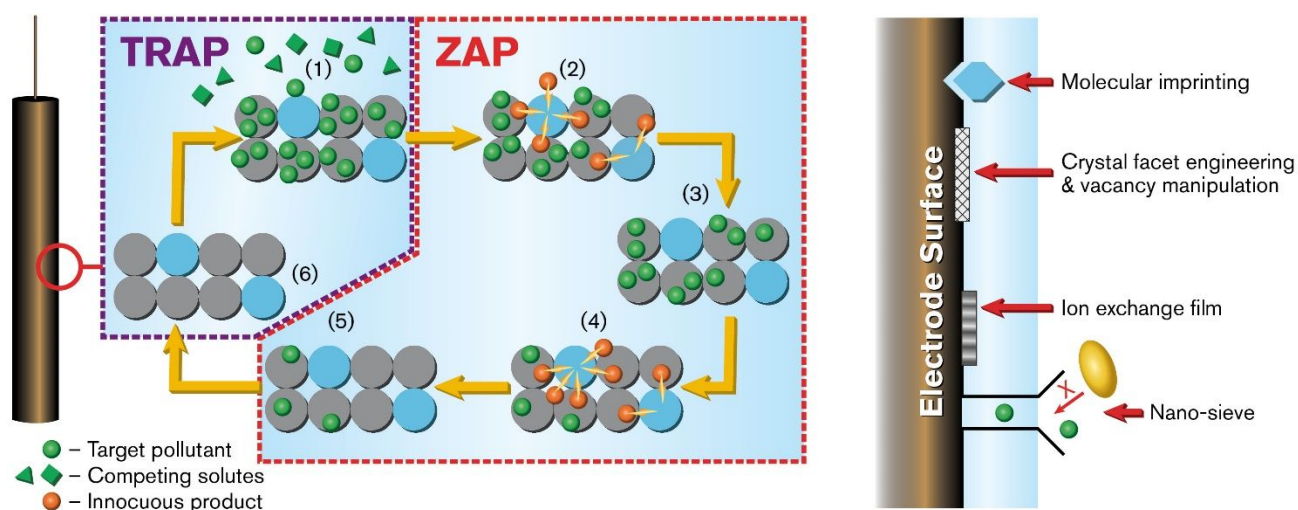
50 *How can nanotechnology design principles improve degradation of target pollutants that occur*  
51 *in complex mixtures, often at orders of magnitude lower concentrations than background solutes?* Nano-  
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3 engineering does not “reinvent” electrocatalytic principles of charge transfer processes. However,  
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5 controlling the flow of electrons can improve the selective removal of target pollutants over other (more  
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7 abundant) solutes or shift formation towards more favorable by-products that are less toxic or easier to  
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9 remove in downstream processes (*e.g.*, low solubility and innocuous  $\text{N}_2$  versus  $\text{NH}_4^+$ ). Materials science  
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11 efforts have focused on bandgap engineering to control catalytic activity of semiconductor  
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13 electrocatalysts, but the frontier of nano-engineering is on controlling nano-shapes and nano-  
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15 morphologies. Transitioning from traditional bulk or monolithic electrodes, nanomaterials increase the  
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17 possible design envelop of electrochemical water treatment technologies by playing along two novel  
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19 pathways: (i) tunable recognition for selective removal of target pollutants in the water matrix and (ii)  
20  
21 tunable electrogeneration of specific reactive radical species.  
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27 Controlling reaction pathways is one of the greatest challenges of any oxidative or reductive  
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29 water treatment process, including faradaic electrocatalytic processes. The fate of the organics in water  
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31 needs to be identified. Due to complex water chemistry, different degradation pathways with different  
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33 degradation intermediates may exist even for the same organic pollutant.<sup>17,48</sup> Therefore, a  
34  
35 comprehensive mechanistic understanding of compound transformation pathways is critical for all  
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37 chemical and catalytic degradation processes during water treatment. Natural waters and industrial  
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39 wastewaters contain complex mixtures of inorganic and organic solutes. These background solutes often  
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41 compete with the target pollutant and decrease Faradaic efficiencies of electrocatalytic processes (*e.g.*,  
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43 oxidation of natural organic matter by electrogenerated ROS). Nano-engineering design strategies can  
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45 enhance selective treatment. For example, Figure 4 illustrates a concept termed “trap-n-zap”. The  
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47 concept first involves modifying electrodes to selectively “trap” pollutants on the electrode surface,  
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49 which increases their localized surface concentrations. Second, heterogeneous catalysis achieves the  
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51 “zapping” step of the selectively adsorbed pollutant on the catalyst surface via direct charge transfer or  
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indirect oxidation/reduction of redox mediators. This approach is likely superior to production of oxidants (e.g., hydroxyl radicals) on the catalyst surface which would diffuse back into solution and non-selectively oxidize pollutants that occur at low concentrations relative to background solutes. Generally, electrochemical advanced oxidation processes (EAOPs) enable ROS to react with pollutants in the boundary layer (and on the surface), therefore the trapping approach should employ materials that are stable under oxidative processes. However, when implementing the trap-n-zap concept for electrode surface reactions, caution is also needed to avoid creating sites that lead to irreversible sorption of by-products (e.g., adsorbed  $\text{NO}_2$ ), because the result would be “poisoning” the electrocatalytic sites (*i.e.*, fouling or aging).

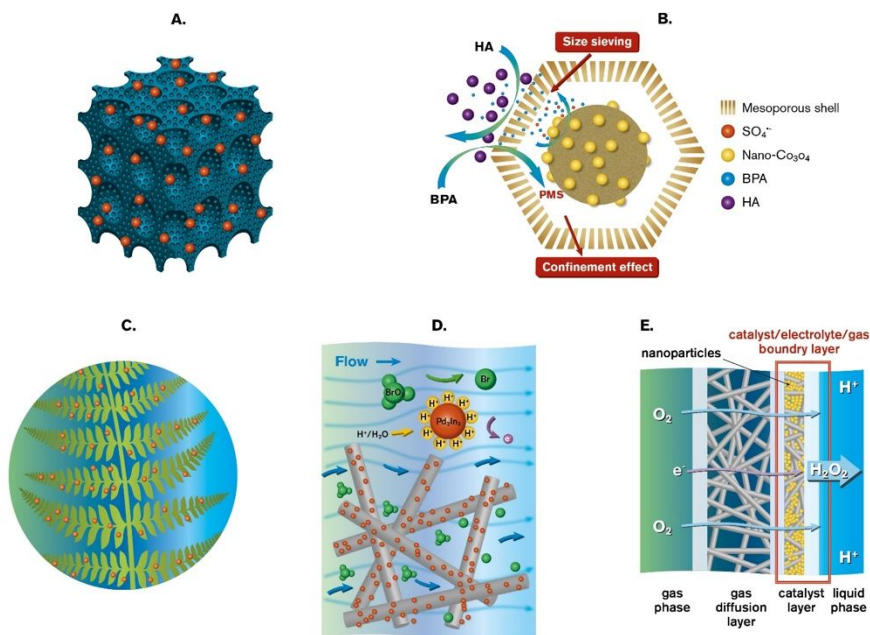


**Figure 4.** a) Tap-n-zap schematic for selective pollutant transformation. b) Selective target pollutant recognition strategies at the interfacial nano-scale. In the left image step 1 illustrates how the target pollutants are selectively attracted to an electrode via a non-faradaic process, molecular imprinting, or molecular sieving. This “trap” stage selectively increases the target pollutant concentration close to the electrode surface, which overcomes mass transfer limitations and decreases the extent of competitive/scavenging reactions. In steps 2–6, trapped target pollutants undergo direct or indirect electrochemically induced transformations (*i.e.*, direct charge transfer, radical mediated redox reactions),

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3 or the so-called “zapping”. After trapping and zapping target pollutants, the by-products must diffuse  
4 away from the surface – thus regenerating the surface (step 7).  
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9 Figure 4b illustrates possible nano-engineering strategies to facilitate selective “trapping” of  
10 pollutants. The first group of approaches utilizes enhanced molecular recognition on electrode surfaces  
11 nano-engineering. Molecular imprinting is a biomimicry-based strategy that emulates the selectivity of  
12 enzymatic catalytic centers. Molecular dynamic modeling can inform crystal facet engineering by  
13 identifying metal oxides that have vacancies capable of selectively coordinating with pollutants (*e.g.*,  
14 oxyanions). Alternatively, redox-active nano-materials (such as poly(vinyl)ferrocene (PVF)) can be  
15 applied as a promising platform for selective separations and in-situ reduction due to their molecular  
16 selectivity and electronic tenability.<sup>49</sup>  
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27 The second group of approaches utilizes physical exclusion of competing species. For example,  
28 similar to how ion selective electrodes can be enhanced for selective analyte detection, nano-scale ion  
29 exchange films or porous particle coatings have shown increased selectivity in non-faradaic  
30 electrosorption processes.<sup>50,51</sup> Organic and inorganic molecular selection can be considerably improved  
31 with nano-sieves that become highly selective “nanoreactors”. Nanoreactors can reduce competition for  
32 electrogenerated ROS by decreasing scavenging and lengthening reactant lifetime within close  
33 proximity to the electrode surface. For example, Figure 5 shows a yolk-shell nanoarchitecture with a  
34 mesoporous shell and a catalytic core that provides molecular sieving to exclude competing solutes (*e.g.*,  
35 humic acids (HA)) while allowing a target pollutant (*e.g.*, bisphenol-A) to enter the nanoreactor. Once  
36 the pollutant is within the nanoreactor, nano- $\text{Co}_3\text{O}_4$  can produce persulfate radicals ( $\text{SO}_4^{\bullet-}$ ) from  
37 peroxymonosulfate (PMS) that then selectively react with BPA. These unique nanoreactors might be  
38 engineered to also avoid undesirable reactions that produce non-targeted oxidizing radicals (*e.g.*,  $\text{Cl}^{\bullet}$ ),  
39 which can react with HA to produce halogenated disinfection by-products.  
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**Figure 5.** a) Bottom-up design of 3D-porous carbon electrode supports, b) size sieving nano-reactors for selective electrocatalytic conversion of target pollutants, c) biomimetic fern-like micro-leaves of ZnO in comparison to Fern leaves physiology,<sup>52</sup> d) schematic of flow-through cell of Pd-In nano-enabled graphite fiber cloth electrodes, e) air diffusion electrode with a nano-enabled catalyst layer.

Carbon nanostructures can be exploited to nano-confine reactions. Nano-engineering the interconnected hierarchical ultramicropores (<1 nm) in monolith carbon aerogel electrodes allows selective transport and adsorption of target pollutants over competing ions. For example, aerogels can be shaped and sized to allow entry based on the more planar hydrated ion geometry of a target pollutant (*e.g.*, nitrate) while excluding competing ions (*e.g.*, sulfate) for adsorption sites.<sup>53</sup> Hollow carbon spheres and metal-organic frameworks (MOFs) have also been envisioned to trap target pollutants near reactive centers.<sup>54</sup> A risk of very efficient trapping mechanisms can be irreversible adsorption of

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3 reaction (by)products. Incorporating electric potential fields in addition to hierarchical ultramicropore  
4 geometries can reduce risks from irreversible adsorption reactions. Pollutant transport efficiencies  
5 through all these physical geometries are simultaneously influenced by electro-potential fields within  
6 electrodes. As such, biomimicry also offers opportunity to become a paradigm shift in selective pollutant  
7 transport towards electrocatalytic surfaces. Biomimetic ionophore channels are controlled by voltage  
8 gates and may selectively allow target pollutants to enter confined nanoreactors similar to selective  
9 transport in cells.

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11 The above “trapping” approaches will create high localized surface concentrations that help  
12 overcome otherwise low Fickian mass transport by increasing concentration close to the electrocatalytic  
13 surface when low pollutant concentrations exist in solution. Then, the role of electrocatalytic “zapping”  
14 will define selectivity in terms of desired radical species electrogeneration or products yielded from  
15 target pollutants.

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17 Free and surface-bound ROS are produced at the anode via not only oxidation of water (*i.e.*, HO,  
18 O<sub>2</sub><sup>-</sup>, O<sub>3</sub>, O<sub>2</sub>), but also from other solutes that yield persulfate or sulfate radicals, active chlorine or  
19 bromine species, or high valence metals. ROS act as redox mediators to oxidize organic pollutants, but  
20 each has unique standard reduction potentials. Preferential electrogeneration of specific ROS over less  
21 desirable ROS can be achieved through selection of electrode materials and control of applied  
22 current/voltage. While we know that electrode materials should have a high oxygen overpotential to  
23 behave as non-active electrocatalytic centers that stabilize •OH, further material and current control  
24 advances are required to maximize •OH in the presence of common ions in water (*e.g.*, chloride) that  
25 yield chlorine radical species and produce chlorinated by-products. Faradaic efficiencies of other  
26 electrocatalytic processes (*e.g.*, hydrogen oxidation in fuel cells) are defined by electrocatalyst  
27 crystalline structures, nanoparticle shape, and accessible/preferential crystallographic planes. Other

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3 material properties that enhance electrocatalytic treatment are the introduction of defects (*e.g.*, increased  
4 number of terrace steps, vacancies, doping) or the presence of heterogeneous catalytic centers resulting  
5 from nanocomposites and bimetallic nanoalloys.<sup>55</sup> Similar advances for electrocatalytic water treatment  
6 are achievable through tailored synthesis of nanomaterials, guided by DFT calculations. However,  
7 research should focus on both desirable and undesirable ROS in realistic water chemistries rather than  
8 the typical approach of employing model waters with only one target pollutant present.  
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12 Many pollutants undergo surface-bound reactions. Using electro-active materials to degrade  
13 nitrate on electrode surfaces is perhaps one of the more well studied processes. Specifically, effects of  
14 different crystalline planes on faradaic efficiencies and product selectivity have been reviewed.<sup>56</sup> As a  
15 prime example, selectively reducing nitrate towards innocuous N<sub>2</sub> is not a trivial matter due to the wide  
16 range of nitrogen oxidation states (from -III up to +V). However, seminal work by Feliu and Koper's  
17 groups show tunability using single crystal electrodes of defined crystallographic planes.<sup>57</sup>  
18 Crystallographic terraces of Pt (100) are responsible for driving electrocatalytic reduction towards N<sub>2</sub>  
19 evolution. Meanwhile, symmetry defects in these [(1 0 0) x (1 1 0)] and [(1 0 0) x (1 1 1)] surfaces may  
20 increase yield of other by-products such as ammonia or nitrite. Thus, nanoengineering macroscopic  
21 electrodes populated with preferential (100) facets can increase reduction kinetics due to the larger  
22 electroactive surface area of nano-enabled cathodes and also enhance the yield of the innocuous by-  
23 product (N<sub>2</sub>).  
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45 Beyond material selection or electrode geometries to tune ROS, an emerging research area  
46 involves synergistic application of electrons and photons (*i.e.*, photoelectrocatalysis). Emerging reactor  
47 and material approaches aim to maximize co-availability of ROS and pollutants on electrode surfaces.  
48 Here, the high surface area and ability to decorate surfaces with different materials is a key attribute for  
49 nanotechnology. For example, reactors that integrate solar or artificial light with photo-active electrodes  
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3 have been effective for preventing excited electrons and holes from recombining, which enhances  
4 hydroxyl radical production.<sup>58,59</sup> Hierarchical nanostructures depicted in Figure 5 are crucial to ensure  
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6 efficient light transport, homogeneous current distribution, and large surface availability to generate  
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8 ROS. Nanotubes and geometrically-shaped nanorods have proven to be effective nano-strategies for  
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10 enabled photoelectrocatalytic response. Looking further into the future, exploring biomimetic light-  
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12 harvesting nanostructures that emulate plants' physiology may enhance radical generation due to  
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14 increased photon-excitation<sup>60,61</sup> and could emerge in next-generation electrochemical systems.

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19 Nanocomposites or nanodecorations can enhance electrocatalytic performance by combining  
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21 dual roles. For example, Pt/Cu bimetallic electrocatalysts have higher nitrate reduction performance as  
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23 they combine (i) the faster nitrate electrocatalytic reduction by copper, with (ii) the high selectivity of Pt  
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25 towards nitrogen evolution. Similarly, novel nanocomposite electrodes can promote per- and  
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27 polyfluoroalkyl substances (PFAS) degradation by enabling (i) faster activation via direct charge  
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29 transfer followed by (ii) mineralization through electrogenerated ROS.

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33 Cathodic reduction reactions can be designed into novel reactor configurations to maximize  
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35 targeted ROS yields. For example, separate cells in a reactor can first electrogenerate H<sub>2</sub>O<sub>2</sub>, which  
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37 subsequently drives AOPs (*e.g.*, electro-Fenton, H<sub>2</sub>O<sub>2</sub>/UV)<sup>17,62</sup>. The *in-situ* H<sub>2</sub>O<sub>2</sub> production eliminates  
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39 safety risks associated with transport, handling, and on-site storage of liquid H<sub>2</sub>O<sub>2</sub>. Moreover, H<sub>2</sub>O<sub>2</sub>  
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41 concentrations can be controlled by the applied electrical current/potentials, oxygen fed, and electrolysis  
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43 time electrolysis time.<sup>63</sup> Modification of cathodes with Fenton-like nanocatalysts can also provide  
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45 unique opportunities for catalytic generation of hydroxyl radicals from H<sub>2</sub>O<sub>2</sub> without the pH limitations  
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47 associated with conventional Fenton chemistry; conventional Fenton chemistry is usually optimal within  
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49 a narrow acidic pH range 3.0-4.0. As illustrated in Figure 5, nanomaterial coatings on carbonaceous  
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51 electrodes (*e.g.*, conductive fibers, carbon felt, carbon sponge) can increase H<sub>2</sub>O<sub>2</sub> yield when used in air-  
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3 diffusion cathodes. Integrating cathodic H<sub>2</sub>O<sub>2</sub> production ahead of a UV reactor enables AOPs for  
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5 decentralized pollutant oxidation, while the anode aided in direct surface oxidation of pollutants, which  
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7 reduced residual H<sub>2</sub>O<sub>2</sub> and stabilized solution pH.  
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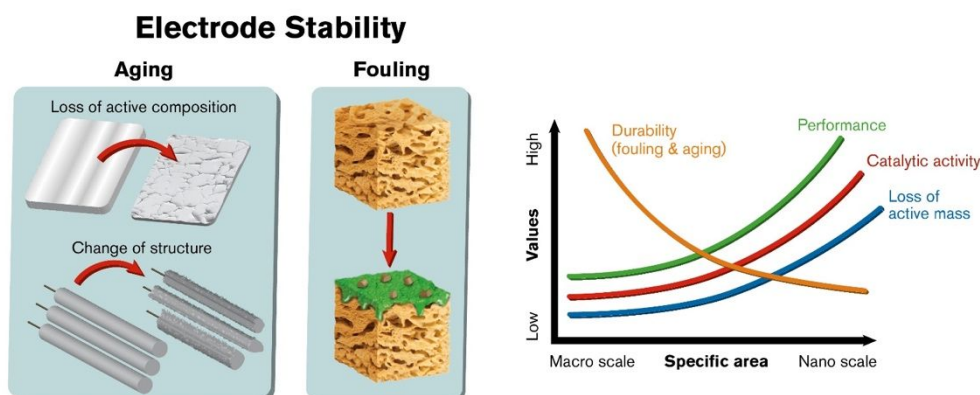
10 As described above and illustrated in Figure 5, the trap-n-zap concept can enable endless creative  
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12 designs. While these approaches hold promise to “trap” pollutants, the very same design decisions may  
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14 prevent by-products from exiting ultramicropores or other “traps”, thus resulting in irreversible fouling  
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16 of electrode reactive sites. Thus, it is essential for researchers to *a priori* understand processes that lead  
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18 to electrode aging and fouling.  
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## 21 **6. Electrode aging and fouling**

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23 *Why have so few studies addressed reversible and irreversible deterioration of electrode*  
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25 *performance over time?* The stability of electrodes is often reported in academic studies for only a  
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27 limited number of operational cycles over only a few hours. However, in actual operation,  
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29 electrochemical system performance can decrease with time (Figure 6) due to fouling and aging of  
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31 electrodes, which may be exacerbated for nano-enabled electrodes with high surface area of nano-  
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33 confinement. Catalyst performance is often judged upon three criteria (activity, selectivity, and  
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35 productivity), where the lifetime of the catalyst controls its productivity.<sup>64</sup> Aging and fouling of  
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37 catalysts reduce this lifetime through deactivating the surface, and hence lowers the catalysts’  
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39 productivity, but is often lacking in many peer reviewed studies.<sup>64,65</sup> Fouling is the accumulation of  
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41 unwanted material (*e.g.*, microorganisms, inorganic scales) on electrode surfaces that diminishes their  
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43 electrocatalytic activity. Fouling includes formation of both biological and inorganic scales.<sup>66</sup> Foulants  
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45 may isolate electrodes from solution and may also increase electrical resistivity of the system, which  
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47 increases cost and diminishes performance. Aging is the detrimental modification of electrocatalyst  
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49 surface structure and composition during operation, which decreases electrocatalytic properties. In  
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practice, it is hard to differentiate fouling from aging because they occur at the same time but have different impacts on the longevity of electrocatalytic systems. Fouling rapidly decreases performance, but it may be fully or partially restored with appropriate antifouling strategies (*e.g.*, reverse polarity) or periodic cleaning. In contrast, aging leads to permanent electrode damage, thus necessitating electrode replacement. The aging mechanisms and rates for nanomaterials is limited, and to our best knowledge, there have not been any in-depth research or review papers focusing on nanoparticle aging in electrochemical systems. While the high surface area and microporous structures of nanomaterials may enhance pollutant degradation, their high reactivity and nano-geometries may also make them more susceptible to aging and fouling challenges.



**Figure 6.** a) Structural and surface impacts of aging and fouling on electrode stability. (b) Impact of aging and fouling on electrode durability and long-term performance as a function of specific area transition from macroscale to nano-scale.

Research is needed for substrates or matrices used to support nanomaterials. Polymers (*e.g.*, polymeric binders such as (3-Aminopropyl)triethoxysilane (APTES) and polyethylene glycol (PEG) and ion exchange membranes) may slowly decompose (*i.e.*, age) upon exposure to ROS generated *in situ*.<sup>67</sup>

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3 Some of the more “durable” electrically-conductive membrane materials (*e.g.*, fluorinated membranes)  
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5 are also raising concern because of potential release of fluorinated organic by-products. With most  
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7 research having been conducted at the bench-scale, where fewer than 20 operational cycles are often  
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9 reported, there is a need to understand performance over the lifetime of supporting materials, including  
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11 reactivity loss due to release of catalytic nanomaterials. Health risks associated to leaching or wear-off  
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13 of nanostructures will have to be analyzed for each specific electrocatalytic material, although there is  
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15 low risk posed by engineered and incidental nanoparticles in drinking water.<sup>68</sup> Not only does release of  
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17 nanoparticles or degradates of nanostructured materials pose potential health risks, such losses that occur  
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19 during electrode aging compromises the electrode productivity and as requires concerted focus as  
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21 highlighted in prominent Perspective articles.<sup>64,65</sup> There is a need to develop accelerated life tests to  
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23 evaluate possible application of electrodes in water treatment. Some attention has been given to porous  
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25 ceramic membranes to be used instead of electrically-conductive polymers. However, industry currently  
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27 avoids organic membranes or linkers in many electrodes that require highly oxidative conditions and  
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29 instead prefers to use solid support matrices (*e.g.*, titania) that can be spray-coated, electrolyzed, or  
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31 coated with sacrificial pastes to deposit porous reactive coatings.  
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38 Passivating films can also be added to electrodes to improve long-term stability and overcome  
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40 aging. For example, nano-scale Magnéli phase titanium ( $\text{Ti}_4\text{O}_7$ ) efficiently produces  $\bullet\text{OH}$ <sup>69,70</sup> but is  
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42 unstable over time. Magnéli phase can be difficult to fabricate, and only recently have proprietary nano-  
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44 scale passivation layers been added to  $\text{Ti}_4\text{O}_7$  that appear to remain stable and able to treat recalcitrant  
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46 pollutants such as PFAS.  
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50 Commonly occurring ions in water can contribute to fouling and/or aging. For example, because  
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52 the pH of water tends to increase at the cathode surface, precipitation of insoluble carbonates and/or  
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54 hydroxides often occurs on the cathode surface, forming inorganic scale. Electrocatalyst poisoning is  
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3 described as the partial or total deactivation of catalytic electrode centers by a strong interaction with a  
4 chemical compound. Oxidation or reduction of iron and other common metals can occur during  
5 operation and foul electrodes. Sulfides can be more damaging to electrodes, permanently poisoning their  
6 surfaces. Tradeoffs exist between electrode anti-fouling characteristics and electrocatalytic performance.  
7 For example, Pd-Au-SiO<sub>2</sub> catalysts with greater proportions of Au reduced loss in catalytic activity due  
8 to sulfide fouling.<sup>71</sup>

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17 High surface area and charge density of nanomaterials may accelerate their susceptibility to  
18 fouling. Correlations also likely exist between fouling and aging. For example, fouling may change the  
19 local conditions (*e.g.*, pH, solution properties, and gas production) that lead to aging of nanoparticles.  
20 Structural damage and/or aggregation of nanoparticles will create deposition of inert materials as  
21 foulants (Fig. 6b). Our limited predictive capabilities have restricted the development of appropriate  
22 methods to prevent nanoparticle aging. Therefore, the first step for developing anti-fouling or anti-aging  
23 protocols starts by understanding fundamental mechanisms that govern these electrocatalyst  
24 deteriorating effects.

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35 A potential path to limit nanomaterial fouling and aging on electrodes could emerge from new  
36 material discovery or optimization of electron fluxes.

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40 Nanotechnology is often considered as a panacea for fouling control. Hydrophobic surfaces are well  
41 known to limit attachment of microorganisms, but this may only be a short-term benefit in “real” waters  
42 where deposition of soluble microbial products (SMPs) may quickly negate the hydrophobic properties.  
43 However, one study showed that on a hydrophobic surface could serve as an electrode wherein ROS  
44 electrogeneration and gas nanobubble evolution disrupted and removed *Pseudomonas aeruginosa*  
45 biofilms from the surface.<sup>72</sup> Electrode self-cleaning properties can exert a key role to control and prevent  
46 fouling.<sup>72,73</sup> Unfortunately, the high surface area and reactivity of nanoparticles or nanopores may be  
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3 more susceptible to fouling than macroscale electrode counterparts. For example, the migration,  
4 shedding, and corrosion of nanoparticles can shorten electrode lifetime.<sup>33</sup> Cation aggregation can cause  
5 obvious accumulation of gypsum scaling on electrode surfaces.<sup>74</sup> The blockage of nano-size pore  
6 channels can rapidly decline catalytic ability. Ex-situ removal of divalent cations prior to electrocatalysis  
7 may be necessary to avoid inorganic scaling on electrodes.<sup>75,76</sup> Alternatively, it may be possible to avoid  
8 scale formation through in-situ cleaning, potentially involving electrophoretic mixing induced by  
9 polarity reversal on electrodes; it is largely unknown how rapid and frequent cycling may accelerate  
10 aging and deterioration of high surface area nano-enabled electrodes. Some electrodes postulate design  
11 of self-cleaning properties on electrodes can exert a key role to control and prevent fouling.<sup>72,73</sup> Finally,  
12 in some cases it may be necessary to intermittently clean electrodes with chemicals (e.g., acetic acid),  
13 but few peer reviewed studies examine the stability of nano-structured electrodes in such cleaning  
14 agents. But, it is unclear if operational strategies such as relaxation (periodic operation) and polarity  
15 reversal employed in conventional electrodes to alleviate fouling/aging would also work in nano-  
16 structured electrodes where charge distributions may be very different.

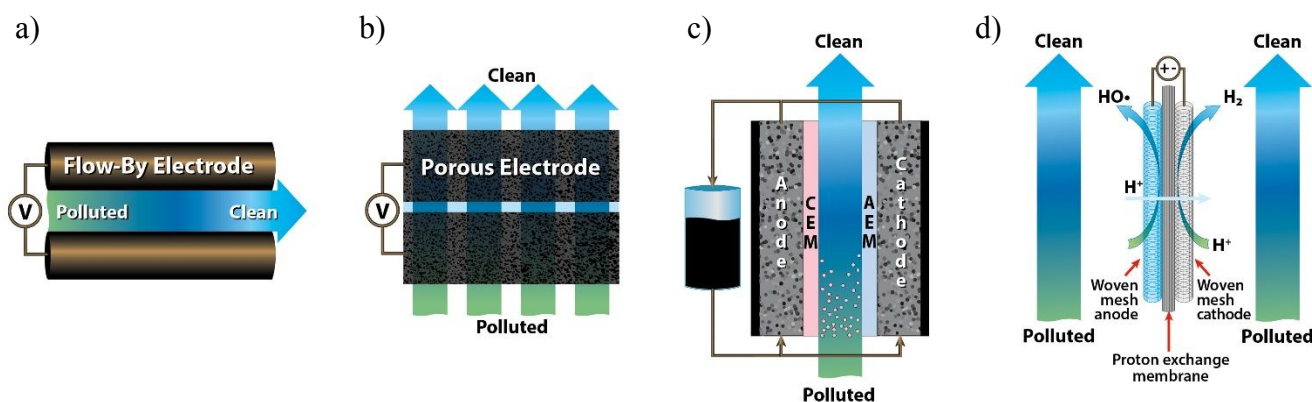
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The lack of knowledge in electrode fouling and aging will greatly hinder full-scale adoption of electrochemical technology. Inability to prevent or troubleshoot electrochemical breakdowns will render these promising systems unreliable under market and final users' perception. Major advances could be made if standard aging methods were developed, validated in inter-laboratory studies, and then used to cross-reference between different materials and systematically study how different nano-decorated electrodes foul or age over time. Similar standard aging protocols have been invaluable in advancing fundamental insights and remedial actions for other water processes (e.g., membranes) and electrochemical sensitive systems (e.g., copper and lead pipe corrosion, fuel cells).

## 7. Nano-enabled reactor designs to overcome pollutant mass transport limitations

Do we understand when going really small makes mass transport of pollutants or reactive species rate-limiting over heterogeneous reactions themselves? Electrochemical water treatment processes (Figure 1) implicitly involve heterogeneous reactions on the electrode surface, wherein pollutant removal efficiency can be mass transport limited based on reactor design and electrode. Electrochemical reactors can be classified as (i) conventional flow-by, (ii) flow-through, or (iii) flow-electrodes (see Figure 7). Flow-by electrodes are widely commercialized in electrocatalytic (*e.g.*, chlorine production) and electroseparation (*e.g.*, CDI, electrodialysis) applications, whereas flow-through and flow-electrodes are currently mostly pre-commercial.

Unfortunately, most electrochemical publications focus on novel electrode materials rather than recognizing the significant influences of mass transport on observed pollutant degradation experimental findings. Consequently, many reported empirical rate constants may actually be mass transport limited rather than surface reaction rate limited. To advance from this practice, material science and engineering researchers should include figures of merit that describe mass transport conditions within the reactors (*e.g.*, Peclet, Reynolds, Schmidt, and Sherwood numbers) and at the boundary layer (*e.g.*, Hatta number, Taylor dispersion) in addition to reporting percentage removals and reactor residence times. Without this information, mass transfer limitations may be misinterpreted as heterogeneous surface reactions. The effect of nanostructures and nano-modified electrodes on reactor design and operation will require mechanistic mass transport models for electrochemical reactor designs.



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10 **Figure 7.** Scheme of different electrochemical reactors with different hydrodynamic operation  
11 conditions a) flow-by electrode, b) flow-through electrode, c) flow-electrode, and d) proton exchange  
12 membrane enabled cell.  
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19 Comparing reactor designs for electrochemical separation by non-Faradaic processes (*i.e.*, EDR  
20 and CDI) shows that nanostructured CDI electrodes in flow-through systems outperform flow-by  
21 systems for salt adsorption rates.<sup>77</sup> This implies the relevant factors were mass transport improvements,  
22 including Taylor dispersion, and lower current density. However, we should not discount potential  
23 innovations in flow-by electrode-based systems where nano-structured electrodes enable substantial  
24 improvements in pollutant destruction. For example, solute transport to monolithic coated electrodes is  
25 often controlled by surface film diffusion. Microporous electrodes increase surface area but can impart  
26 pore diffusion mass transfer limitations. Fluid mixing is often the principal reactor design tool available  
27 to reduce this type of mass transport limitation, and rethinking electrode configuration may have greater  
28 benefits. Traditional flat-plate designs separate the anode and cathode by a flow-channel, in which  
29 hydraulic conditions are controlled. A novel cell scheme designed by Crittenden et al. uses meshes  
30 coated with tin nanomaterials as DSA<sup>®</sup> electrodes packed around a proton exchange membrane.<sup>78</sup> This  
31 alternative flow-by electrode system inverted the conventional flow-by electrode configuration, thus  
32 enhancing mass transfer and reducing electropotential resistance within the system. These porous  
33 electrodes utilize novel materials in the highly permeable 3D electrode that consists of a blue TiO<sub>2</sub> wire  
34 mesh decorated with tin and antimony oxide nanoparticles. When working with electrodes that include  
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3 elements regulated in drinking water (*e.g.*, antimony has a maximum contaminant level [MCL] of 0.006  
4 mg L<sup>-1</sup>), leaching risk must be carefully considered. Consequently, the novel flow-by 3D electrode  
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6 systems achieve the energy per order of pollutant removal (E/EO; see below) that is 5-fold lower than  
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8 conventional flow-by systems, even at the low solute concentrations found in realistic environmental  
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10 water conditions that normally limit diffusion mass transport to electrode surfaces.  
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15 With the desire to increase electrode surface area for Faradaic processes, the advent of other 3D  
16  
17 electrodes has also blossomed, although with new challenges and severe knowledge gaps. Figure 5  
18  
19 shows several 3D electrode examples. Porous Ti<sub>4</sub>O<sub>7</sub> electrodes have shown the ability to obtain  
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21 extremely fast reaction rates for many contaminants (*e.g.*, atrazine, PFAS, nitrate), which was facilitated  
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23 by the high surface area and efficient mass transport in the micro-scale flow channels.<sup>79,80</sup> However,  
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25 intra-electrode diffusivity becomes a rate limiting step for solute transport to the inner surface of  
26  
27 nanoporous 3D electrodes. Hierarchical organization of macro- to meso- and micro-pores has been  
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29 integrated into electrodes to facilitate mass transport while providing large surface areas. Many 3D  
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31 electrodes are engineered using bottom-up nanotechnology design concepts where micro- to macro-  
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33 pores are deliberately formed, and the surfaces are decorated with catalytic nanoparticles. Because  
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35 bimetallic nanoparticles (*e.g.*, Pd-In, Pt-Cu) have shown outstanding electrocatalytic properties to reduce  
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37 oxyanions such as nitrate,<sup>56,81</sup> they have been grown on microporous graphite fiber cloth electrodes. The  
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39 porous 3D electrodes essentially eliminate intraparticle diffusion and expose high surface area  
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41 nanoparticles (5–10 nm) on the surface of the cloth fibers.  
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47 Selecting the flow direction in 3D flow-through reactors emerges as a viable strategy to improve  
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49 performance. Nano-enabled Magnéli-phase titanium suboxide decorated with bimetallic nanoparticles  
50  
51 has excellent selective electrocatalytic reduction of nitrate to nitrogen gas.<sup>82,83</sup> Using anode-to-cathode  
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53 flow (instead of cathode-to-anode flow) controls undesirable side reactions (*e.g.*, reduction towards  
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3  $\text{NH}_4^+$ ,  $\text{H}_2$  evolution) and consequently has higher yield and selectivity towards desired innocuous  $\text{N}_2$ .  
4  
5 This configuration also reduces risk of re-oxidation of already reduced products (*e.g.*,  $\text{NO}_2^-$ ,  $\text{NO}$ ).  
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8 Despite the rise of interest in 3D and flow-through reactors, there is a severe lack of  
9  
10 understanding of not only pore diffusion of pollutants but also current distribution profiles inside the 3D  
11  
12 electrodes. Little research has been conducted with modeling studies of electric current profiles and the  
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14 impact of electrical resistance on the potential distribution of electrodes.<sup>84–86</sup> Uneven current distribution  
15  
16 may result in different kinetic reaction zones as well as different electric potential distribution. Different  
17  
18 regions achieve different efficiencies, and electrocatalytic “dead zones” likely exist and essentially  
19  
20 become a waste of high-cost materials (*e.g.*, Pd) and reduced energy efficiency. In this frame, the  
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22 different electric potential may result in electrode areas at the nano-scale that behave as active electrode  
23  
24 (dominated by charge transfer processes) and areas that behave as non-active electrode (production of  
25  
26 radicals). Furthermore, uncontrolled electro-potential regions could initiate undesired organic  
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28 polymerization reactions on the electrode surface areas behaving as active electrode that may rapidly  
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30 form passivating layers or even blockage of inter-diffusion channels.  
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### 35 **8. Costs and learning curves for electrocatalytic treatment**

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37 *Why do researchers need to know something about economic feasibility for nanotechnology-*  
38 *based innovations to succeed in the marketplace?* Nanotechnology has the potential to enhance the  
39  
40 performance and competitiveness of electrochemical technologies through the aspects discussed in this  
41  
42 perspective. However, figures of merit are required in order to benchmark and evaluate costs. Failure to  
43  
44 utilize figures of merit hampers direct comparisons of materials and reactor designs.  
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49 Amongst the most common figures of merit is the International Union of Pure and Applied  
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51 Chemistry (IUPAC) defined electrical energy per order (E/EO), which enables comparing AOPs using  
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53 the energy requirements to reduce target pollutant concentration by one order of magnitude. E/EO (kWh  
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3  $\text{m}^{-3}$ ) is a commonly used figure of merit to compare operational efficiency of water treatment processes,  
4  
5 and to provide equivalent comparisons against electrocatalysis it is necessary to account for embedded  
6  
7 energy costs associated with chemicals (e.g.,  $\text{H}_2\text{O}_2$ , persulfate) associated with conventional AOPs.  
8  
9 E/EO implicitly accounts for pollutant removal efficiency (e.g., percentage removal) and  
10  
11 electrochemical efficiency (e.g., Faradaic efficiency), and is usually more scalable over large ranges in  
12  
13 pollutant concentrations for electrocatalysis compared against conventional AOPs.<sup>78</sup> Many EAOPs have  
14  
15 pseudo-first order reaction rates; consequently, if you supply 1, 2, and 3 times the E/EO then you will  
16  
17 get 90%, 99%, and 99.9% reduction of the parent compound for plug flow and completely mixed  
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19 reactors. This figure of merit can be translated to evaluate electrochemically-driven water treatment  
20  
21 technologies for batch and continuous-flow operation according to equations (1) and (2),  
22  
23 respectively.<sup>87,88</sup>

$$24 \quad \text{E/EO (kWh m}^{-3} \text{ order}^{-1}) = \frac{E_{\text{cell}} I t}{V_s \log(c_i/c_f)} \quad (1)$$

$$25 \quad \text{E/EO (kWh m}^{-3} \text{ order}^{-1}) = \frac{E_{\text{cell}} I}{Q_v \log(c_i/c_f)} \quad (2)$$

26  
27 where the  $E_{\text{cell}}$  is the cell potential (V),  $I$  is the current (A),  $t$  is the batch treatment time (h),  $V_s$  is the  
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29 batch volume of the solution (L),  $Q_v$  is the continuous-flow volumetric flow rate ( $\text{L h}^{-1}$ ),  $c_i$  is the initial  
30  
31 or influent pollutant concentration ( $\text{mol L}^{-1}$ ), and  $c_f$  is the final or effluent pollutant concentration ( $\text{mol}$   
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33  $\text{L}^{-1}$ ). Note that E/EO equations implicitly assume first-order kinetics according to the relationship  
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35  $\log(c_i/c_f) = 0.4343k_1t$ .

36  
37 Competitive systems should minimize E/EO, if possible, to  $5.0 \text{ kWh m}^{-3} \text{ order}^{-1}$  for drinking  
38  
39 water and  $25 \text{ kWh m}^{-3} \text{ order}^{-1}$  for industrial wastewaters.<sup>78,89</sup> Higher values are acceptable provided the  
40  
41 associated cost is justified by the need to treat certain pollutants (e.g., PFAS) or the lack of alternative  
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43 technologies. Note that with the increased use of renewable energies and the diminishing cost of  
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3 electrical energy, treatments with higher E/EO would become more competitive due to the positive  
4 attributes of electrochemically-driven processes.  
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8 Crittenden and co-workers used E/EO to compare several AOPs as a function of initial pollutant  
9 concentrations.<sup>21,78</sup> Common chemical-based AOPs (*e.g.*, UV/H<sub>2</sub>O<sub>2</sub>) exhibit 10x to >1000x higher E/EO  
10 as initial pollutant concentrations increase from 20 to 2000 mg/L. In contrast, while their EAOP 2D  
11 electrode reactor was marginally competitive on an E/EO basis at low pollutant concentrations, the  
12 EAOP depended less on initial pollutant concentrations than chemical-based AOPs and had roughly an  
13 order of magnitude lower E/EO at the highest pollutant concentration. Furthermore, whereas the 2D  
14 electrode system showed a strong dependence upon initial pollutant concentration, their 3D reactor was  
15 nearly independent of this parameter. The 3D system consisted of a composite wire mesh anode  
16 (composed of blue TiO<sub>2</sub> nanotubes covered with SnO<sub>2</sub>-Sb<sub>2</sub>O<sub>3</sub>), a proton exchange membrane, and a  
17 stainless-steel wire mesh cathode, which were compressed firmly together. By interpreting performance  
18 using an E/EO figure of merit rather than typical parameters such as fractional removal, we gained  
19 significant insight in both chemical transformation mechanisms and electron flow, consequently  
20 increasing our understanding of advancing a promising EAOP reactor design.  
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38 Estimating electrical costs is a first step in the evaluation of technology translation capabilities.  
39 However, these evaluations only shed light on *operational expenditures (OPEX)*. Most publications on  
40 electrocatalysis focus on material synthesis and performance, but rarely discuss capital expenditures  
41 (*CAPEX*) of the electrodes or device required to enable the technology. There is a clear need to integrate  
42 TEA across different electrodes and reactors to understand where innovation can lower overall *OPEX*  
43 and *CAPEX*. TEA links manufacturing cost estimation techniques with device performance to evaluate  
44 total costs of ownership, which informs a holistic understanding of technology costs. A recent  
45 preliminary TEA of an EAOP as point-of-use technology to treat atrazine in groundwaters evaluated  
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3 market competitiveness using amortized *CAPEX* and *OPEX* impacts on the device's total cost of  
4 ownership<sup>90</sup> which lead to key important conclusions. First, the cost-competitiveness is highly sensitive  
5 to process efficiency and selectivity.<sup>90</sup> Higher kinetic constants would ensure lower costs and more  
6 efficient system performance. This challenge can be overcome by nanotechnology (*e.g.*, trap-n-zap  
7 approach). Reducing E/EO using nanostructured and nano-enabled electrodes would lower operational  
8 costs. For example, Chaplin and co-workers showed E/EO of 5.1–6.7 kWh m<sup>-3</sup> order<sup>-1</sup> to degrade  
9 recalcitrant PFAS to below the detection limits. These values are the lowest reported for electrochemical  
10 oxidation and approximately an order of magnitude lower than those reported for other technologies  
11 (*i.e.*, ultrasonication, photocatalysis, vacuum ultraviolet photolysis, and microwave-hydrothermal  
12 decomposition), demonstrating the promise of electrochemical technology for water treatment  
13 applications. Second, one of the main cost drivers identified is the electrode material cost.<sup>90</sup> A 10-fold  
14 reduction in BDD electrodes has a substantial impact on the final cost of ownership of the device. This  
15 challenge can be overcome with nano-sized and nanostructured electrodes, thus reducing capital costs.  
16 TEA is a tool to quantify whether potential benefits of nanocatalysts (*e.g.*, increased selectivity,  
17 increased kinetics), which may result in smaller devices and more efficient electrical energy use,  
18 outweigh the increased capital cost when using nanocatalysts.

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40 Technology translation from early phase discovery (Technology Readiness Levels [TRLs] 1–5),  
41 through early adoption (TRL 5–8), to wide-spread usage (TRL9) is affected by cost learning curves,<sup>91</sup>  
42 which usually follow power-function declines in costs as the number of units produced increase. The  
43 per-unit cost reductions are attributed to knowledge and learning during scale-up towards mass  
44 production and other economies-of-scale. This trend is due to labor increasing their skills and familiarity  
45 with the production process, which leads to production efficiency improvements. This would impact  
46 nano-enabled electrode manufacturing costs as well as reactor assembly and operation costs. Despite  
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3 publications on cost learning curves being applied to seawater desalination, wind power, solar  
4 photovoltaics, and hydrogen generation, there are no apparent analogous publications related to  
5 nanotechnology. The progress ratio ( $pr = 2^{-\alpha}$ ; where  $\alpha$  is the learning index) is related to the more  
6 commonly used learning rate ( $lr = 1 - pr$ ), and both are usually expressed in percentages. Learning rates  
7 of 15% and 18%,<sup>92</sup> which are at the lower range of commonly reported  $lr$  values, were reported for  
8 seawater desalination and water electrolysis equipment associated with hydrogen generation,  
9 respectively. It is likely that similar ranges will be observed for decentralized electrochemical treatment  
10 technologies. Consequently, learning curves should be considered when comparing EAOPs against well-  
11 established water treatment technologies, rather than directly comparing E/EO values today for electro-  
12 oxidation processes versus commercial technologies (e.g., UV/H<sub>2</sub>O<sub>2</sub>). However, researchers should  
13 realize that focusing only on electrode material will not accelerate learning curves, and there is a need  
14 for multiple forms of engineering design, manufacturing, and process optimization (e.g., overcoming  
15 aging or fouling, enabling energy recovery from electroseparation systems, etc.).  
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## 34 9. Conclusions and research priorities

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36 Figure 2 summarizes the relative state of knowledge and importance for the topics discussed in  
37 this perspective by identifying urgent research needs. Focusing on the treatment of unrealistic synthetic  
38 waters alone can be misleading and lead to false technology evaluations that harm the entire field of  
39 electrochemical water technologies. Research must identify feasible water matrices to avoid hype  
40 associated to reiterative works that do not provide answers to TRL translational challenges. Among the  
41 most urgent priorities limiting adoption of nano-enabled electrochemical systems is the lack of  
42 quantitative knowledge and tools for understanding and designing appropriate nanostructured  
43 architectures within macroscale electrodes, and the extent to which such electrodes are more or less  
44 prone to fouling and aging under real world operational conditions. More widespread application and  
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3 reporting of operational figures of merit will likewise aid in direct comparisons among different  
4 materials and electrode configurations. Figure of merit concepts can then enable meaningful  
5 comparisons among emerging electrode designs (*e.g.*, trap-n-zap). Longer-term, quantitative DFT and  
6 other material discovery strategies will be valuable in finding low-cost and earth-abundant electrode  
7 materials and nano-scale geometries that can modulate the selectivity to produce targeted ROS capable  
8 of driving reactions of pollutants towards desirable end-products.  
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