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Concentration gradient generation methods based on microfluidic systems

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Various concentration gradient generation methods based on microfluidic systems are summarized in this paper. The review covers typical structural characteristics, gradient generation mechanisms, theoretical calculation formulas, applicable scopes, and advantages and disadvantages of these approaches in detail. According to the type of reagents involved, these methods are classified into mono-phase methods and multi-phase methods, both of which can be implemented by alternative protocols, while the latter methods particularly refer to droplet-based platforms. For mono-phase methods, the shearing effect would be presented if there are flowing streams in the gradient generation channel. Therefore, the generation speed of channels with moving liquids is relatively fast, which is suitable for dynamic gradients but accompanied by shearing as well, while channels without flowing streams would avoid shearing but are prone to static gradient generation determined by the low speed. Newly developed droplet-based generation systems could provide isolated droplets to avoid the disturbances from the outside continuous phase, however, they require precise droplet generation and control modules. Thereby the most suitable platform can be chosen according to the specific application, while the advantages of different methods could be combined to evade the defects and improve the precision of a single structure.

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1. Introduction

At the fundamental level, cells in living organisms are surrounded by microenvironments of different chemical

concentration gradients, which play an important role in biological phenomena including development, inflammation, cancer metastasis, drug delivery and wound healing.^{1,2} Research into the interplay between molecular gradients and corresponding cellular responses may improve the understanding of the underlying mechanisms of human body function.^{3–7} By precisely recreating *in vivo* concentration gradients, various

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cellular responses of migration, differentiation and resistance can be simulated by *in vitro* systems.^{8–14} Besides, the assays involving activity, efficiency or toxicity usually need molecular concentration gradients,^{15,16} such as toxicity assessment of heavy metals,¹⁷ detection of enzymatic kinetics,^{18,19} *in vitro* expression of proteins,²⁰ etc.

Alternative methods have been proposed to generate concentration gradients for *in vitro* biochemical reactions and lots of cellular response principles have been revealed.²¹ However, with the development of biochemical studies, several requirements on concentration gradients are raised which cannot be resolved technically by traditional macroscale methods.²² Firstly, concentration gradients of both small characteristic scale and high precision are requested. Since diameters of most cells range from 1 to 100 μm and lengths of intercellular signals are approximately 250 μm ,²¹ the large characteristic length of concentration gradients generated by conventional non-microfluidic systems cannot accurately achieve the control and detection at the single-cell level.²³ Traditional cultures also require relatively large volumes of media, which is not applicable for costly growth factors and other scarce reagents.²⁴ Operations in manual mode or by robotics are usually time-consuming and labor intensive.²⁵ Secondly, concentration gradients with specific and complex shapes are needed to stimulate the specific reactions. For example, the bacteria migration shows variations of chemotactic responses when chemoattractant gradients are changed and cancerous cells migrate in nonlinear chemotactic gradients but do not respond to linear gradients.^{26–28} Thirdly, both static molecular gradients of long-term stability and dynamic gradients with quick response are required. For traditional platforms, molecules diffuse in all directions without limitation, leading to the inability to keep static molecular gradients for a long time²⁹ or to accurately tune dynamic gradients without delay.³⁰ As a consequence, the observation and determination of intracellular dynamics or population behaviors that need temporally varying stimulus patterns are restricted.³¹

The above requirements which cannot be met by traditional generation platforms could be satisfied by microfluidic-based

generators with their precise fluid control. Particularly, molecular gradient generation methods based on microfluidic systems have advantages of small characteristic scale that is suitable for detections at the single-cell level,^{32–34} low reagent assumptions that greatly reduce sample costs,^{35,36} great controllability to generate long-term stable static gradients and quickly responsive dynamic gradients while maintaining their resolutions,^{37–39} great compatibility to be directly utilized to various biochemical applications as quite a lot of devices are fabricated entirely or mainly by PDMS (polydimethylsiloxane).^{24,40–42} Moreover, well-established device fabrication methods based on multilayer soft-lithography largely increase the flexibility and functionality of microfluidic structures^{40,43} as well as cut the economic and time costs.⁴⁴ Parallel operations by combining multiple junctions further improve the efficiency and throughput of microfluidic systems.^{45,46}

Due to its huge advantages, the microfluidic technology has been widely applied and alternative microfluidic-based platforms have been devised for the concentration gradient generation. The obtained gradients are predictable and reproducible, while controllable and quantifiable at the same time. Based on the used liquid phases, this paper classifies the microfluidic-based systems into the mono-phase methods and the multi-phase methods, while the latter type particularly refers to the droplet-based methods. By analyzing the typical structures and working principles as well as comparing the advantages and disadvantages of each method, the applicable scope and corresponding improvements are summarized. Moreover, the idea for future design of microfluidic systems is proposed.

2. Concentration gradient generation methods based on microfluidic systems

Concentration gradient generation methods based on microfluidic systems are classified into two patterns, mono-phase methods and droplet-based methods. The mono-phase methods are further separated into two groups, based on the presence of the shearing which is caused by flowing streams in the gradient generation channel. Meanwhile, droplet-based microfluidic systems could provide isolated chambers due to the interface and the inside reagents are protected from the outside shearing. Though vortices of the isolated dispersed phase may still cause weak shearing, depending on the internal flow field,^{47–49} droplet-based systems are generally considered as methods without shearing. Therefore, in order to meet the requirements of specific applications, it's feasible to choose the device junction according to the typical structure and working principle of each method shown in Table 1 as well as determine the initial conditions of flow rates and concentrations of the used reagents by corresponding theoretical calculations.

2.1 Mono-phase methods with shearing

Mono-phase methods with shearing include tree-shape networks and Y-shape junctions. Concentration gradients by



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Table 1 Comparisons of gradient generation methods

| Methods | Typical structures | Advantages | Disadvantages |
|--------------------|---|--|--|
| Tree-shape |  | Easy design, easy calculation | Big footprint, easy blocking and leakage, have shearing |
| Altered tree-shape |  | Easy design, reduced stages | Big footprint, easy blocking and leakage, have shearing |
| Y-Shape |  | Simple structure, no blocking or leakage | Dependence on velocity, hard to calculate, have shearing |
| Membrane |  | Simple structure, no shearing | Low generation speed |
| Pressure balance |  | Generation speed improved, no shearing | Complex structure, high requirement for pressure control |
| Droplet generation |  | Flexible control, isolated chamber, low shearing | High requirement for stable droplet generation |



Table 1 (Contd.)

| Methods | Typical structures | Advantages | Disadvantages |
|---------------------|---|--|--|
| Droplet coalescence |  | Flexible control, isolated chamber, low shearing | High requirement for stable droplet coalescence |
| Droplet dilution |  | Flexible control, isolated chamber, low shearing | Complex structure, high requirement for stable droplet control |

tree-shape networks are obtained after a series of splitting, combining and mixing, which mainly rely on the hydrodynamic resistance ratios of bypass channels. Whereas Y-shape junctions directly take advantage of the molecular diffusion between concentrated reagents and dilute liquids. Typically, two or three inlets are designed, from which liquids with different initial concentrations are flowed into the device. During the flowing process along internal channels, the injected reagents exchange solute molecules to generate more molecular concentrations. Hence, gradient profiles are developed with gradually decreasing step differences between any two adjacent streams. In addition, as fresh reagents are renewed and waste liquids are drained continuously, spatially and temporally constant concentrations can be maintained with stable inlet flow conditions.⁵⁰

2.1.1 Tree-shape networks. The tree-shape networks (shown in Table 1) are ones of the earliest designs of microfluidic gradient generation systems and ones of vast applications as well due to its simple design and widely suitable scope. For each stage, streams are split and flow into the neighboring branch channels of next stage with defined ratios at the bifurcation point (as shown in Fig. 3(a)). Thereafter any neighboring branch streams are combined to obtain new concentrations after mixing completely, while concentrations of the two outermost branch streams are remained the same with the last stage. After repeated splitting, combining and mixing at each stage, gradually increasing branches yield more concentrations. Indeed, the splitting ratio is a key parameter during the process and the theoretical concentration can further be calculated when integrating the splitting ratio with initial source concentrations.^{51,52}

The tree-shape network is a typical practice of pressure-driven systems.⁵³ For a better understanding, the tree-shape network is usually considered as an analogy to the electronic

circuit plotted in Fig. 1, where the pressure drop ΔP , volumetric flow rate Q and hydraulic resistance R correspond to the voltage drop, current and electric resistance, respectively.^{53,54} Analogous to Ohm's law, the Hagen–Poiseuille's law $\Delta P = RQ$ can be deduced. When the pressure drop and channel cross-section of each stage are equal, the hydrodynamic resistance of a channel is proportional to its own length, $R \propto L$. Therefore, the branch length ratio and the splitting ratio can be resolved by each other with $Q_1/Q_2 = R_2/R_1 = L_2/L_1$, while the mixing efficiency has to be taken into consideration for further determining the specific lengths of branch channels. As indicated by numerical simulations, a critical channel length exists corresponding to each flow rate in order to guarantee enough diffusive time for complete mixing.^{55,56} The channel length should be larger than the critical value for the designed flow rate or the flow rate should be kept within the specific range for the defined channel



Fig. 1 The equivalent electronic circuit model of the tree-shape network shown in Table 1.



length. Besides, mixing could be achieved within a relatively short distance when micro-mixers are added.^{42,57–59}

In practice, shapes of the concentration gradient profile that a tree-shape network could provide are closely associated with the inlet number. When only two inlets are included, intermediate values between two neighboring concentrations are generated whatever the splitting ratios are and thus the gradient profile is always kept monotonous. As the number of inlets increases, gradients of more complex shapes can be yielded.^{60,61} To be more precise, gradient profiles generated by a microfluidic device having n inlets could be described empirically by a polynomial of $(n - 1)$ th order.²⁸ Another way to generate complex concentration gradient profiles is by combining several simple tree-shape networks in a parallel way and a broad range of shapes like the sawtooth⁶² and N-shape⁶³ can be obtained.

Though generated by different tree-shape networks, the resulting molecular gradients are applied in several similar ways. Individual branches with liquids of varied uniform concentrations can be directly applied for the fabrication of particles or fibers,^{57,64,65} as well as the culture and detection of cells or DNA.^{66–69} Under more occasions, these branch streams are recombined into a wider chamber to form a solution with the entire molecular gradient profile.^{51,70–72} The resolutions of the molecular gradients are determined by the number of branches as more branches leading to higher resolutions.⁷¹ With the molecular diffusion between adjacent streams, step differences of concentrations gradually disappear and thus step gradient profiles develop into smooth profiles along the way.^{73–76} The flowing process in the wider chamber is similar to Y-shape junctions introduced later. However, shearing is introduced meanwhile which may cause differences to the response of the detected analytes or even damages for cases where the shear stress is not present in their original *in vivo* environments.⁷⁷ Zheng *et al.*¹⁷ added diffusion channels between individual channels and the culture chamber, thus protecting the chamber environment from shearing. An array of parallel micro-wells within the culture area was designed by Wang *et al.*⁶³ and the flow velocity in the wells was reduced significantly. Simulation results demonstrated that the shear stress was effectively decreased from a high level to nearly zero.

2.1.2 Altered tree-shape networks. To improve the stability and accuracy of the molecular gradients, the number of splitting stages of the tree-shape network needs to be increased. Since each next stage add a new branch in the conventional tree-shape networks, the number of branch streams, or molecular concentrations, bear a linear relationship with the number of stages. However, the tree-shape network becomes impractical at large order due to the big footprint and the high input driving pressure, leading to a greater possibility of blocking or leakage. Alternations were put forward to circumvent these problems.^{78–81} For example, Yusuf *et al.*⁸² divided the horizontal channel into two parts at the third stage and added a branch at either part, as shown in Fig. 2(b) and (d). The total length of the network was reduced from 55 mm to 39 mm. These simplified networks were shown to provide enhanced agreement with designed molecular gradients over conventional designs and an effective upper limit of six times on the operating flow rate.⁸³



Fig. 2 Comparisons of a tree-shape network and the simplified design. (a) A tree-shape network and its overall geometrical sizes. (b) A simplified design of the tree-shape network in (a) and the reduced overall sizes (reproduced from ref. 82 with permission from Elsevier). (c) and (d) Partial enlargements of the splitting network in (a) and (b), respectively. Note that the simplified network in (b) obtains six concentrations in three stages as compared to four stages in (a).

Campbell *et al.*²⁹ proposed another structure shown in Fig. 3(b) and the difference was the wide combining chamber, where streams were split into three parts rather than two for conventional designs shown in Fig. 3(a) (except the streams from the channels at two edges). This splitting-and-combining pattern led to more gradients after mixing. As every two neighboring channels added a new branch at the next stage, the number of the stages in the modified network increased only

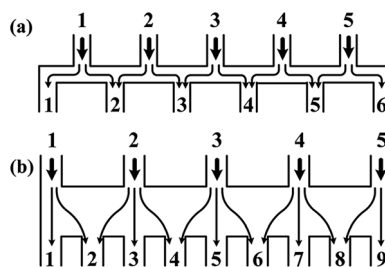


Fig. 3 Comparisons of the schematic diagrams of a tree-shape network and another altered design (reproduced from ref. 29 with permission from the Royal Society of Chemistry). Splitting and recombination processes of the streams in (a) a tree-shape network, and (b) an altered tree-shape network, respectively, with arrows indicating the flow direction of branch streams. The confined space of horizontal microchannel in (a) determines that a stream can only be split to the left and right sides, while the wide chamber in (b) allows a stream to be separated into branches.



logarithmically with the quantity of concentrations at the outlet. Compared with conventional designs, the same number of concentrations is gained with fewer stages by different splitting protocols, while basic principles of the altered ones are the same and calculation equations are valid at least for every part of the networks.

Limited by the flow space, above mentioned one-layer networks can only split and combine streams from neighboring channels while multi-layer networks allow channels to pass over one another, resulting in more flexible patterns to control the streams. Neils *et al.*⁸⁴ proposed a system in four flow levels and obtained 16 combinations of two dye solutions, which could not be obtained easily in single-layer networks. Lee *et al.*⁷ presented a hybrid of two different tree-shape junctions which were designed in separate layers. This network is capable of combining multiple concentrations of two different variance profiles determined by the channel network in each layer. Multi-layer networks significantly reduce the number of the dilution stages which minimizes errors accumulated from previous serial dilution steps as well as reduces the footprint occupied by the device and the required resources correspondingly. Nevertheless, the advantages of the three-dimensional network are achieved with the compromise of design complexity and fabrication cost.

2.1.3 Y-Shape junctions. For conventional and altered tree-shape networks, relatively long mixing channels or relatively complicated micro-mixers need to be included into the platform so as to ensure the complete mixing of combined streams in the branches, occupying considerable footprints and consuming large volumes of samples.⁸⁵ The Y-shape junction does not involve these issues but also causes shearing, as shown in Table 1. Solute molecules diffuse between reagents and diluents after meeting and the concentration gradient across the channel gradually evolve along the flow.^{86–91} As is highly

dependent on the flow rate and the diffusion coefficient of molecules, the gradient cannot be directly determined by equations. Therefore, numerical simulations are usually required during the study of Y-shape junctions and simplifications are necessary when available. It was revealed by both numerical simulations^{92,93} and experiments^{94,95} that for microchannels with high aspect ratio, nearly no variations are presented along the height of the channel and thus the concentration gradient profile can be simplified to two-dimensional of the stream-wise and span-wise directions. The convection–diffusion equation was further simplified to one-dimensional analytical solution by neglecting the stream-wise diffusion as the span-wise molecular diffusion dominates at high Peclet number.⁶² The analytical solution shows good agreement with the numerical simulations of either three- or two-dimension at the concentration gradient in the span-wise direction.⁸⁶

According to the Fick's law, molecular transport from diffusion is related to the initial source concentrations and diffusive time, while the latter one is determined by the flow rate and flow distance within microchannels.^{85,96} To be more specific, the concentration difference between two outermost sides decreases and the shape of the gradient profile flattens with longer diffusive time, that is, smaller flow rate or longer flow distance with the other parameter fixed.^{87,92,97} Firstly, with the same flow distance, molecules transport a relatively short transverse distance with larger flow rate, resulting in concentration gradients of steeper slope.⁹⁸ And molecules from one reagent would not reach the other side of the channel until the flow rate decreases to a critical value.^{95,99,100} Secondly, the molecular gradients measured at different downstream distances are varied when the flow conditions are maintained stable. As illustrated in Fig. 4(b), the slope of the gradient is steep at the intersection point of two streams while the profile

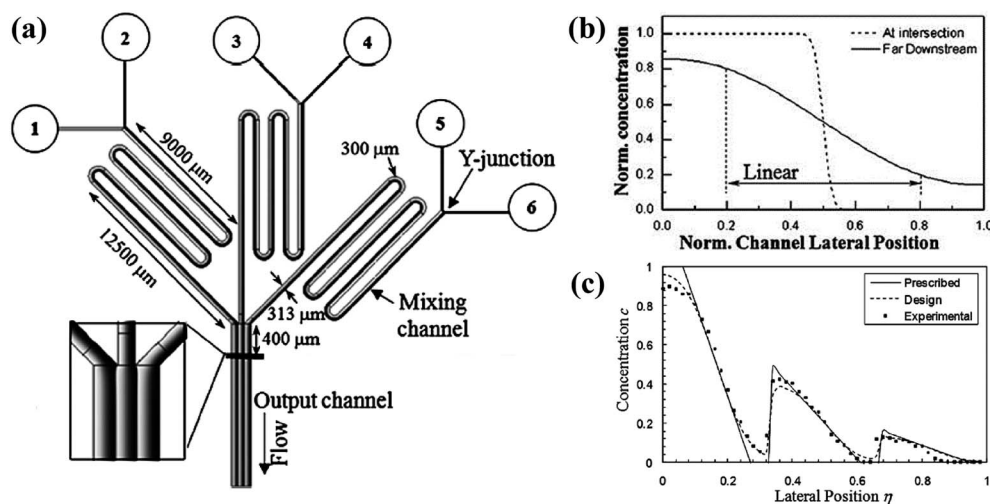


Fig. 4 The schematic diagram of a gradient generator and the obtained gradient profiles. (a) A concentration gradient generator consisted of three Y-shape junctions in parallel. The black line 400 μm downstream of the intersection point shows the position where gradients are measured. (b) Comparisons of the gradient profiles measured at two positions of a Y-shape junction. (c) A sawtooth-shape concentration gradient generated by the device shown in (a). All concentrations in (b) and (c) are normalized. (Reproduced from ref. 100 with permission from the Royal Society of Chemistry).





Fig. 5 The schematic diagram of a gradient generator and the obtained fluorescence images. (a) A Y-shape junction with three inlets. (b) Three fluorescence images obtained by the junction in (a) with different flow rates. The total flow rate is $200 \mu\text{L min}^{-1}$ and the flow rate ratios of the three inlets are (from left to right): 10 : 1 : 10, 5 : 1 : 5, 2 : 1 : 2 (left : middle : right stream). (Reproduced from ref. 89 with permission from the Royal Society of Chemistry).

becomes smooth far downstream.^{101,102} Therefore, the flow rate of the fluids and the position of the detection chamber need to be set properly.

In fact, the central part of the gradient profile between any two liquids is always linear. Complex concentration gradients can be obtained by increasing the inlet number and altering the combination of initial concentrations or integrating several simple junctions (as shown in Fig. 4(a)).⁹⁹ As shown in Fig. 4(c), the slopes and endpoint values of each part of the integrated profile are varied while the central regions between endpoints are also linear.^{97,100,103} Besides, when the inlet number is increased to three, the position of the interface between adjacent streams can be altered by adjusting the relative inlet flow rates and the width of the middle stream can thus be controlled.^{97,104–106} As shown in Fig. 5(b), the width of the middle stream is decreased from 90 to 5 μm when the flow rate ratio of the three inlets is changed from 2 : 1 : 2 to 10 : 1 : 10.⁸⁹ This method could be applied to assays of the single-cell level.^{89,91,107,108}

2.2 Mono-phase methods without shearing

Streams of gradient generation methods with shearing relatively flow fast and the concentration gradients are evolved in a relatively short span. However, shearing is introduced with the flowing fluids. Modifications are made by adding blocking structures into specific devices to reduce the imposed shear stress.^{17,35} In comparison, mono-phase systems without flow streams in the gradient chamber would be an alternative way for

these specific applications, including membrane systems and pressure balance systems.^{109–111}

2.2.1 Membrane systems. In order to separate flowing streams from the gradient generation chamber, the porous membrane can be used to ensure that only solute molecules are allowed to diffuse through. Diffusion between concentrated reagents and buffer liquids gradually evolves until stable gradients are reached. Therefore, responses of the analytes in the gradient chamber are caused solely by the chemotactic stimuli and not varied by the flowing of fluids.¹¹² The usually applied materials include PDMS,^{113,114} nitrocellulose,¹¹² hydrogel,^{8,115} polyester,¹¹⁶ and polyethylene glycol diacrylate (PEG-DA),¹¹⁷ etc. Earlier experimental data revealed that the obtained gradient was not completely constant over time as concentrations of the sources were varied after accumulations. So the sources of reagents and diluents need to be refreshed periodically in order to stably maintain or dynamically tune the initial concentrations.¹¹⁶ The usually adopted junction is multiple parallel channels with sources constantly flowed in and flushed out by channels designed in single-layer or multi-layer and the resulting concentration gradients are kept stable as long as the flow holds, as shown in Fig. 6(a).^{118,119}

For single-layer designs, channels providing reagents and diluents are devised at each side of the gradient chamber while chemical molecules diffuse through the intermediate membrane, as shown in Table 1. The concentrations of the sources can be dispensed in advance before injection or produced by on-line chemical reactions. For example, Chen *et al.*¹¹³ introduced two sets of chemicals into the side channels for oxygen scavenging and oxygen generation, respectively, and concentrations of oxygen in the two channels were different. The porosity of PDMS membrane ensures the gas to diffuse through. As a result, the chemical gradient of oxygen is obtained in the middle chamber. Note that both the shape of middle chamber and the thickness of membrane have an influence on the gradient profile. Only linear gradients can be generated when straight channel is set as the middle chamber while complex gradient profiles like sawtooth-shape or bell-shape can be yielded by changing the shape of the middle chamber or by combining simple chambers.¹²⁰ In fact, the endpoint values of gradient profiles are determined by concentrations of the source solutions and it is the variance ratios of profiles that are changed for different chambers.^{121,122} Meanwhile, it was confirmed by both numerical simulations and experiments that the diffusion rate slows down due to the increased resistance as the membrane thickness increases, resulting in concentration gradient profiles with reduced variance range and decreased steepness.¹¹⁷ Furthermore, flow rates of the injected fluids need to be kept above a critical value during the whole process of the gradient generation, otherwise molecular diffusion across the membrane dominates over the influx of the molecules into the side channels. Consequently, the chemical concentration decreases along the flow direction, causing the concentration gradient to vary along the channel at low flow rates.¹¹⁷

In multi-layer devices, the gradient chamber and the channels providing reagents and diluents can be designed at different layers and connected by the membrane, while the



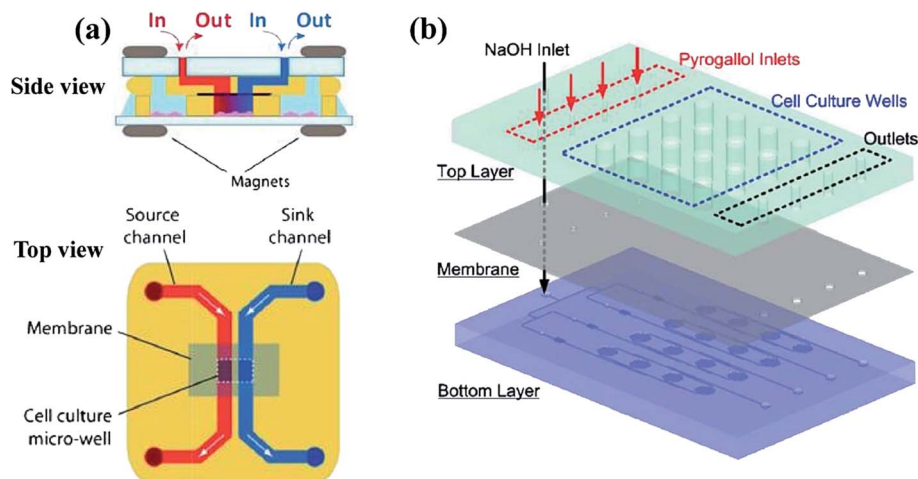


Fig. 6 Examples of multi-layer concentration gradient generators of membrane systems. (a) The two layers are reversibly sealed across the membrane by magnets, with the gradient generated in the lower micro-well (reproduced from ref. 123 with permission from the Royal Society of Chemistry). (b) The chemical gradient is generated on the bottom layer and then diffuse into the culture array on the top layer through a PDMS membrane (reproduced from ref. 125 with permission from the Royal Society of Chemistry).

basic principles and the modification methods are the same as single-layer platforms.^{123–126} By taking advantage of multi-layer systems, mono-phase generation methods with shearing introduced in Section 2.1 can also be improved. As shown in Fig. 6(b), the molecular concentration gradient is generated by flowing streams in the bottom layer. The environment of the gradient generation chamber in the top layer is thus protected from the shearing effect as separated from the flowing liquids by the membrane.^{37,125}

It was presented by Abbott¹²⁷ that there was big differences between 2-D cultures and 3-D cultures as antibodies against a cell-surface receptor completely changed the response behavior in 3-D cultures. Saadi *et al.*¹²⁸ revealed that 3-D flow-free culture environment would be more physiologically relevant for specific cells that experience the shear stress in nature, while 2-D static culture could keep the autocrine and paracrine molecules which are washed away otherwise. The culture environment should be appropriate for the specific assays and comparisons between the two culture dimensions may be needed for studies involved normal tissues.

2.2.2 Pressure balance systems. The membrane itself would prevent the flowing streams and the associated shearing, while the pressure balance system needs to match the flow rates through inlet and outlet at each convection unit until the pressure is equilibrated among all the units. Thus convection through the flowing channels is decoupled from the gradient generation chamber where pure diffusion presents.^{111,129,130} The pressure balance system also includes single-layer and multi-layer categories. For single-layer devices, channels providing flowing fluids locate around the generation chamber symmetrically. As shown in Fig. 7(a) and (b), both one-dimensional and two-dimensional molecular gradients can be yielded according to the specific designs of the convection units.¹³¹ Atencia *et al.*¹³¹ presented that dynamic chemical gradients could be obtained by periodically switching the pump and cyclically introducing

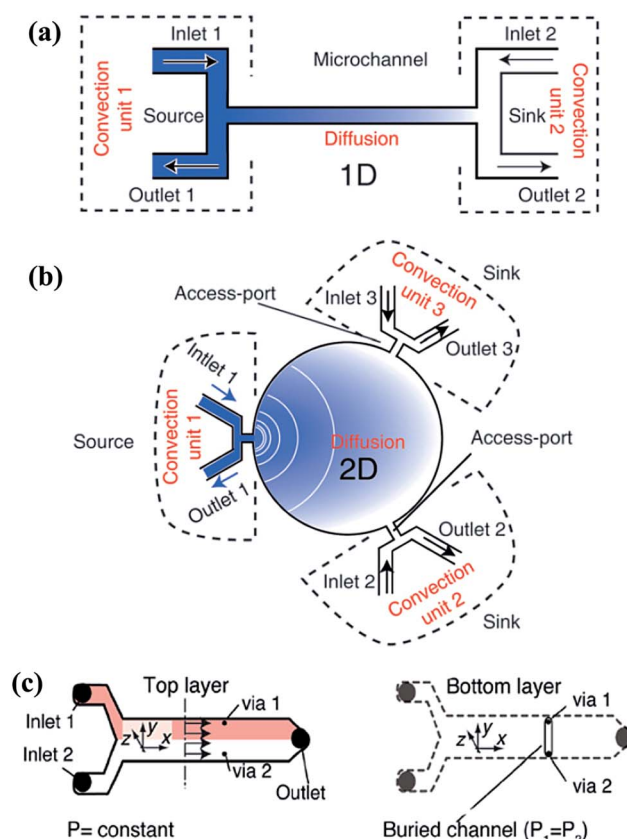


Fig. 7 Single-layer and multi-layer pressure balance systems. (a and b) Single-layer systems that can generate one-dimensional and two-dimensional gradients, respectively (reproduced from ref. 131 with permission from the Royal Society of Chemistry). (c) A two-layer system which is connected by two vias that located at the same cross section (reproduced from ref. 134 with permission from the Royal Society of Chemistry).



the reagent from each inlet. The concentration field changed smoothly without abrupt transients or convective disruptions during the process.^{131,132} In fact, stable gradients across the whole chamber can be maintained even at low velocities of the flowing liquid as long as they are above a critical value to avoid the dissipation of concentration gradients.¹³³ For multi-layer systems, flowing channels and the molecular gradient generation chamber are on individual layers which are connected by openings. Atencia *et al.*¹³⁴ proposed a two-layer platform, as illustrated in Fig. 7(c). The inlet pressure of the chamber were equal as the two inlets were located at the same cross section. Further experiments showed that none of the added fluorescent beads from flowing liquids entered the gradient chamber in the bottom layer, which confirmed the absence of convection between the two layers. It is noteworthy that the generated gradients are robust to interface drifts or flow rate oscillations as the vias can be positioned away from the interface, which enables multiple experimental replicates to be performed within the same device.¹³⁵

The pressure balance system can actually be considered as a combination of the convection system and the diffusion system. The input unit of the reagents and diluents is dominated by convection while only diffusion exists in the gradient generation chamber. Compared with each single mechanism, the hybrid system can yield gradients in a relatively fast way without shearing at the same time. This system provides a new idea to combine the advantages of various methods or structures in order to avoid the limit of a single one.

2.3 Droplet-based methods

The droplet-based microfluidic systems have been widely studied and applied in recent years and the related techniques, including droplet generation, droplet coalescence, and droplet mixing, are developed in various applications.^{47,136–138} Though characteristics of droplet-based systems exhibit multiple aspects of complexity, well-designed manipulation of streams could lead to precise handling of small volumes of samples and quantitative analyses.¹³⁹ Wrapped by the interface, droplets



Fig. 8 Droplet generation systems to obtain molecular gradients. (a) Individually and selectively operations of the associated pneumatic valves (reproduced from ref. 159 with permission from the Royal Society of Chemistry). (b) The flow injection gradient technique coupled with the flow-focusing junction (reproduced from ref. 25 with permission from American Chemical Society). (c) A tree-shape junction combined with flow-focusing junctions (reproduced from ref. 57 with permission from the Royal Society of Chemistry). (d) A fixed-volume droplet generator supplied by gravity driven systems (reproduced from ref. 169 with permission from American Institute of Physics).





Table 2 Comparisons of typical characteristics of various droplet-based platforms^a

| References | Reagents | | | |
|------------|---------------------|--------------------|--|--|
| | Method | Droplet size | Dispersed phase | Continuous phase |
| 153 | Droplet generation | 350 pL | AF488-ANG/BSA in PBS/anti-ANG Ab | Mineral oil |
| 25 | Droplet generation | Total volume 16 nL | Sample/enzyme/substrate | Tetradecane |
| 163 | Droplet generation | 5–80 nL | Glycerol in water/ink in water | Span 80 in hexadecane |
| 168 | Droplet generation | 35–80 μm | Water with food dyes | Mineral oil with span 80 |
| 170 | Droplet coalescence | 180 pL | Enzyme/substrate/buffer | Mineral oil |
| 171 | Droplet coalescence | 1.5 μL | Clean water/red ink/blue ink | Span 80 in hexadecane |
| 178 | Droplet coalescence | 1–10 nL | Fresh water/colored water | Oleic acid and mineral oil (v/v = 1 : 100) |
| 183 | Droplet coalescence | 60 nL | Enzyme/substrate | Perfluorinated oil mixed with PFO |
| 186 | Droplet dilution | 500 pL to 5 nL | Water/water with food dye | FC-40 |
| 190 | Droplet dilution | 0.3 nL | Liquids with different solute concentrations | FC-40 |
| 191 | Droplet dilution | 30 nL | Water/water with food dye | Mineral oil with span 80 |
| 193 | Droplet dilution | 450 nL | Water with food dyes | Fluorocarbon fluids |

^a The concentration range 0–1 is normalized by the maximum concentration.

Dynamic concentration range

Time span

40 droplets per s

2.5 min for each cycle

317–1179 droplets per s

~5 × 10⁴ droplets per min

Within 41 s (110 droplets)

Less than 20 s (10 droplets)

Within 20 min (fully unsupervised)

35 ms (generation); 500 ms (mixing)

3–10 min

1–5 min

~1.5 min

0.4–54 nM

15.6 nM to 67.5 μM

0–1

0–1

Volume ratio 1 : 5–6 : 0

0–1

Volume ratio 1 : 1–9 : 1 (pH 2.4 to 4.2)

1.3–38.2 mM

36 nM to 100 mM

0–1

10 nM to 1 mM

~0.01–10 μM

work as isolated chambers and the internal environments are protected from the outside disturbances, which could meet the requirements of chemical engineering and biochemical reactions.^{140–143} High-throughput generation also satisfies assays of large sample numbers.¹⁴⁴ Moreover, special behaviors of the interface enable alternative control methods which can be applied for gradient generation in droplet arrays. The droplet-based methods are mainly divided into three types through droplet generation, droplet coalescence, and droplet dilution respectively. As droplets involved in most assays need to have the same volume, this paper only deals with droplets of the same sizes in one array.

2.3.1 Droplet generation systems. Broadly speaking, methods that generate droplets with varied concentrations are grouped into this category. In order to obtain monodisperse droplets with molecular concentration gradients, the concentration of the dispersed phase should be tuned over time as the generated droplets share the same chemical concentration with the dispersed phase in principle,^{145,146} while flow rates of both the continuous phase and the dispersed phase should be fixed to keep the droplet size.^{19,147–149} Usually, several inlets are included to introduce fluids with different concentrations, as shown in Table 1.^{138,150,151}

For multi-inlet junctions, altering the relative flow rates or concentrations of the loaded fluids is a straightforward method.^{152–155} The final concentrations of the droplets are varied correspondingly after mixing uniformly by serpentine channels.^{156,157} Theoretically any intermediate concentration values could be achieved by adjusting relative flow rates. However, flow rates of the pumped fluids may not be changed smoothly, leading to large polydispersity of the droplet size, and an associated dead volume may be introduced between transformations due to the response time of the pump.^{46,153} In comparison, altering the concentration of loaded reagents usually require active control.¹⁵⁸ Zeng *et al.*¹⁵⁹ developed a generation system with several separate aqueous inlets. By operations of desired pneumatic valves, droplets of various reagents were generated individually and selectively, as shown in Fig. 8(a). But this platform is not suitable for large numbers of inlets. Besides, the flow injection gradient technique (as shown in Fig. 8(b))²⁵ or Taylor–Aris dispersion¹⁶⁰ can also be coupled with droplet generation junctions. Mixtures with concentration gradients along the axial direction of the sample channel are obtained and then segmented into droplet sequences continuously. A similar droplet generation strategy was reported by Thakur *et al.*¹⁶¹ with the help of valve-controlled peristaltic pumps. Whereas the flowing manipulation is relatively complex or has moving parts and concentrations of the generated droplets cannot be readily predetermined.¹⁶²

Changing the molecular concentration on-chip without external control would be an alternative method. Aforementioned tree-shape networks can be adopted to obtain various concentrations in parallel branches (as shown in Fig. 8(c)), which are used as inlets of the dispersed phase in a series of generation junctions, such as T-shape or flow-focusing devices.^{57,65,163–165} Droplets generated by each branch have the same concentration as their corresponding source solutions

while droplets of all channels form the chemical gradient.^{166,167} As can be expected, the system would be limited by the big footprint of tree-shape networks and the concentration values are confined by the number of splitting stages. Yang *et al.*¹⁶⁸ carefully designed the channels into a centro-symmetric radial network, which may save some space. Droplets of 33 gradient concentrations were formed by flow-focusing generation units (details are listed in Table 2). Apart from this, Steijn *et al.*¹⁶⁹ proposed a novel type of fixed-volume droplet generator with the droplet size determined by the geometry of the generator, as shown in Fig. 8(d). As flow rates of the supplied fluids were proportional to the height difference of the reservoirs for gravity driven systems, the mixture to be emulsified had varied concentrations depending on the relative flow rates of the reagents. Constricted by the interdependence of the injected flow rate and the height difference of reservoirs, the concentration gradient obtained by this gravity driven system cannot be tuned easily at will.

2.3.2 Droplet coalescence systems. Droplet coalescence is mainly implemented by merging a group of droplets with different combinations of volumes and concentrations, as shown in Table 1. Similarly, the volume sums of the merged droplets need to be constant so as to obtain droplets with homogeneous sizes. Thereby the remaining issues to be addressed are how to generate droplets with individually on-demand volumes and the pattern for droplet coalescence. For commonly used passive droplet generation methods, including T-shape and flow-focusing junctions, the droplet size could not be simply predicted since it is related to both the channel dimensions and physical properties of the working fluids.¹⁴⁴ Therefore, on-demand droplet generation needs to be actively controlled on most occasions. Solenoid valves can be interconnected with the microchannel platforms to supply the exact volume of fluids to be dispersed as droplets.¹⁷⁰ Note that the minimum volume of a single droplet is determined by the response time of the valve, thus restricting the range of droplet volume combinations.¹⁷¹

To achieve successful coalescence, the groups of droplets have to be guided to contact with each other at first, which can be realized by valves actively, local junctions or their own velocity differences passively. To start with, the generation of each one of the to-be-merged group droplets can be controlled by external electrovalves so that they flow into the merging chamber at the same time, as shown in Fig. 9(a).^{171,172} Whereas the demand for the synchronization of droplets is not always met easily. Local junctions, such as pillars (in Fig. 9(b)),^{173–176} expanding channels^{177–179} or trap chambers,¹⁸⁰ can be added to solve this problem of synchronization as the first droplet is stopped or slowed temporarily, waiting to fuse with latterly arriving ones. After coming into contact, fusion of the group of droplets basically could be achieved with the squeezing of the continuous phase for pure fluids while the external control is still needed to obtain well-defined coalescence for droplets containing surfactants. Niu *et al.*¹⁸¹ also demonstrated the well-defined coalescence of droplets when surfactants were added by integrating the electrical field with the pillars. The velocity difference due to their own hydrodynamic characteristics can





Fig. 9 Droplet coalescence systems to obtain molecular gradients. (a) Simultaneously generation of individual droplets controlled by external electrovalves (reproduced from ref. 172 with permission from the Royal Society of Chemistry). (b) Pillars used to realize fusion without the demand for the synchronization, while the upper line and lower line show passive coalescence with different volume ratios, respectively (reproduced from ref. 174 with permission from Springer).



Fig. 10 Dilution processes and the obtained droplet arrays with corresponding molecular gradients. (a) A complete process of the sequential dilution. (b) Images of sequential droplets taken from the same downstream position in (a) (reproduced from ref. 186 with permission from Nature Publishing Group). (c) The diluting process between a buffer plug and droplet arrays. (d) A generated droplet array with multi-component concentration gradients (reproduced from ref. 191 with permission from the Royal Society of Chemistry).



also bring droplets with inter-distance together. It has been revealed that the continuous phase between droplet pairs can be drained because of an imbalance of oil leakage through droplet gutters, which originates from the variance of droplet interfacial tensions¹⁸² or sizes.¹⁸³ These methods are only suitable for droplets with short distances. Particularly for droplet pairs with a long inter-distance, the latter droplet may not be able to tie the gap in distance solely depending on the velocity difference, so the earlier droplet should be trapped with the control of active valves for reliable collision.^{184,185}

2.3.3 Droplet dilution systems. The basic steps of droplet dilution systems are, firstly generating droplets with the same concentration, and diluting the droplets to obtain the specific gradient after a series of liquid exchanges. In fact, the dilution process is a series of coalescence and breakup between the sample droplet and the diluent droplet. As it is difficult to dilute moving droplets with other moving droplets for several times, so either the sample droplet or the diluent droplet is trapped during the process.

One type is to trap a big sample droplet with multiple diluent droplets flowing in for sequential dilutions. Controlled by the local junction, the device presented by Niu *et al.*¹⁸⁶ (shown in Fig. 10(a)) ensured the equal volume of the generated droplet and the inflow diluent droplet each time. The concentration of the trapped sample droplet decreased after each dilution as well as the concentration of the newly formed droplet, as shown in Fig. 10(b). By altering the relative volumes of the sample and diluent droplets or the initial concentrations of them, different concentration gradient profiles can be obtained.¹⁸⁶ Indeed, more studies are focused on trapping arrays of sample droplets. A series of droplets with the same initial composition are stopped in the trap array and the long buffer plug is introduced to merge with them.¹⁸⁷ After material exchanges, droplets with tuned contents are split and trapped again, during which the concentration of the sample droplet is decreased while that of the diluting plug is increased.^{188–190} As a result, the concentration variance of the diluting plug and trapped droplets gradually reduces along the flowing direction, as shown in Fig. 10(c).^{191–193} In practice, another kind of sample can be added into the buffer plug and thus droplet arrays of multi-component concentration gradients are obtained instead. As shown in Fig. 10(d), the droplet array is obtained by diluting droplets containing blue dye with a yellow dye plug. Along the flowing direction, concentrations of the blue dye within the resulting droplets increase while concentrations of the yellow dye reduce.¹⁹¹ Based on earlier works, Bhattacharjee *et al.*¹⁹⁴ recently presented an electro-coalescence based scheme for fluids with surfactants, which could also realize on-demand dilution by alternatively switching the active control field. It is noteworthy that the size of the trap chamber should be designed properly as volumes of the resulting droplets are predetermined by the chamber capacity.^{195,196}

Comparisons of typical characteristics of droplet-based platforms are shown in Table 2. Generally, the time span for droplet coalescence systems and droplet dilution systems are longer, which is caused by the time for droplet mixing. Take the system in Fig. 10(a) as an example, the generation time for

a droplet is 35 ms while the mixing time is 500 ms when the total flow rate is 2 $\mu\text{L min}^{-1}$. The increase of the flow rate is expected to promote the mixing speed as the mixing process depends on the shearing of the oil flow.¹⁸⁶ Furthermore, the dynamic range of concentrations for droplet dilution systems is larger than the other two patterns, which can reach up to 5 orders of magnitude.¹⁹¹

3. Conclusions

Compared with traditional macroscale systems, concentration gradient generation methods based on microfluidic systems show various advantages and lots of applications are widely developed. In this paper, these microfluidic methods are classified by the presence or absence of shearing, as well as the typical structure, the leading mechanism, the theoretical calculation, and the working scope of each method are summarized. At first, tree-shape networks are proposed with their simple designs and robust outcomes. However, their footprints are usually large and the shearing is present. Modifications aimed at some disadvantages are put forward while other limits still exist. Local blocking junctions or chambers are added to decrease the shear stress but the footprints are still large. Porous membranes could avoid the shearing but the structures are relatively complex. The Y-shape junction is introduced to reduce the size of devices but the shearing still holds while the pressure balance system could obtain the chemical gradient relatively fast and the shearing is avoided from the gradient chamber at the premise of the balanced pressure among all the units. Lately, droplet-based microfluidic systems are applied to yield the gradient within droplet arrays. With the isolated chambers wrapped by the interface, reactions within the droplets are protected from the outside disturbances and they are particularly suitable for quantitative assays. According to the requirements of the shearing, the structure, and the generation speed of specific applications, different systems are chosen and modifications are made to combine the advantages of alternative methods. It is also required to devise simple structures to generate on-demand chemical gradients that need experimental protocols of simple steps and theoretical predicts of easy calculations for users even of little specific background knowledge.

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