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Highly efficient mechanochemical depolymerisation of bio-based polyethylene furanoate and polybutylene furanoate†

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The challenge of producing new environmentally friendly and fossil-free polyesters has strongly encouraged the development of bio-based alternatives such as polyethylene furanoate (PEF) and polybutylene furanoate (PBF) as alternatives to commodity plastics such as polyethylene terephthalate (PET) for everyday applications. In this contribution, we report the mechanochemical depolymerisation of these polymers using NaOH in the presence of NaCl as an additive along with the synthesis of high-molecular weight PEF and PBF. Efficient depolymerisation, producing 2,5-furandicarboxylic acid (FDCA) and the corresponding diols in quantitative yields after aqueous acidic workup, is possible within 30 minutes milling time. Using slightly modified reaction conditions, transesterification with MeOH produces the 2,5-furandicarboxylic acid dimethyl ester (FuMe₂), which can potentially be reused for polymer synthesis. Notably, the furan ring remains stable under the mechanochemical conditions used. The applicability of these straight-forward, environmentally friendly protocols on a large scale is demonstrated through multigram scale reactions.

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Sustainability spotlight

This work demonstrates an efficient mechanochemical protocol for the full and selective depolymerisation of bio-based polymers polyethylene furanoate and polybutylene furanoate, two polyesters with an excellent low CO₂ footprint that are promising candidates for the replacement of commonly used fossil-based polyethylene terephthalate and polybutylene terephthalate. The reported reactions are carried out under solvent-free and mild conditions and proceed selectively and quantitatively within less than one hour, producing highly pure furan-based and diol monomers after workup that can be used for repolymerisation. Scalability of this approach is demonstrated through multigram scale reactions. Our work contributes to the UN sustainable development goals SDG 9 (Industry, Innovation and Infrastructure) and SDG 12 (Responsible Consumption and Production).

Introduction

Bio-based polyesters are a class of polymers derived from renewable biological sources, such as plant oils, sugars, or agricultural by-products, rather than fossil fuels. These materials are gaining attention as eco-friendly alternatives to conventional petrochemical-based polyesters such as polyethylene terephthalate (PET) due to their lower environmental impact, reduced carbon footprint, and potential for

biodegradability.^{1,2} Common bio-based polyesters include polylactic acid (PLA) and polyhydroxyalkanoates (PHA), which are used in a variety of applications, from packaging and textiles to medical devices. As promising, structurally similar substitutes of PET, furan-based polyesters such as polyethylene furanoate (PEF) and polybutylene furanoate (PBF) are emerging bio-based polymers that can be synthesised from plant-derived sugars *via* the production of 5-(hydroxymethyl)furfural and 2,5-furandicarboxylic acid (FDCA).³ PEF offers several advantages over traditional polyethylene terephthalate (PET), such as enhanced barrier properties against gases and liquids, making it an ideal candidate for food and beverage packaging. It also has an approximately 10–15 K higher glass transition and provides better ambient thermal stability and a 40 K lower melting point compared to PET, thus reducing the energy demand in existing post-processing steps in the melt.^{3b} As a fully recyclable material, PEF is seen as a promising alternative to fossil-fuel-based plastics, thus supporting the development of a circular economy.^{4,5} In the past, several approaches have been discussed

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for the depolymerisation of polyesters using chemical recycling methods,⁶ including photocatalysis,⁷ enzymatic hydrolysis,⁸ and methanolysis (Fig. 1a).⁹

Mechanochemistry offers a readily scalable, solvent-free, environmentally friendly, and energy-efficient alternative to traditional synthetic processes by reducing the use of hazardous chemicals and minimising waste. For this reason, this approach is often discussed as a promising contribution to a circular economy¹⁰ in general and specifically for polymer degradation.¹¹ Recently, mechanochemical depolymerisation of PET was demonstrated by Štrukil *via* solid state saponification of the polyester with sodium hydroxide, followed by acidic workup (Fig. 1b).^{12a} Further kinetic analysis by Sievers and co-workers showed that the mechanochemical depolymerisation follows a two-stage scenario in which first the conversion increases linearly with milling time, followed by a second stage where the reaction mixture transforms from a fine powder to a dense wax with a significant increase in reaction rate.^{12b} Mathematical modelling of this conversion by Boukouvala and co-workers later allowed the fast estimation of monomer yields.^{12c} These studies therefore represent an ideal starting point for the investigation of related bio-based polycondensates such as PEF and PBF. An interesting question in this context is whether similarly good results can be achieved under identical conditions, or whether the presence of the furan ring leads to undesirable reactivities under mechanochemical conditions.

To the best of our knowledge, the depolymerisation of PEF was so far only studied in solution, using bases¹³ or enzymes.¹⁴ In the latter context, von Langermann and co-workers have systematically studied a larger set of esterases and have characterised the product profile using ESI-TOF analysis. The development of a process for high-temperature alkaline

hydrolysis of PEF was reported in a recent paper by the Lee group.¹⁵ In this contribution we present a first study of the mechanochemical depolymerisation of bio-based PEF and its analogue PBF (Fig. 1c). We demonstrate the facile and highly selective formation of ethylene glycol, FDCA and the dimethyl ester FuMe₂, the latter two compounds being of excellent purity for repolymerisation.

Results and discussion

PEF and PBF were synthesised starting from FDCA. For this purpose, FDCA was transformed into the corresponding dimethyl ester (FuMe₂) by a Fischer type esterification utilising sulfonic acid as a catalyst, and methanol as both the reagent and solvent. In a stirring autoclave, FuMe₂ and an excess of ethylene glycol or 1,4-butanediol, respectively, were melted under a nitrogen atmosphere. Following a two-stage approach, FuMe₂ was first glycolysed in a transesterification step setting free methanol and finally polymerised *via* polycondensation, where excess diol was removed under vacuum conditions (Scheme 1).

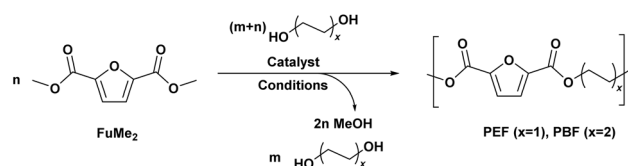
Both steps were catalysed by titanium or antimony-based Lewis acid catalysts. The exact procedures including temperature and pressure regimens were derived from the literature¹⁶ and can be found in the ESI.† Nuclear magnetic resonance (NMR) spectroscopy was carried out, verifying the molecular structure of the resulting bio-based polyesters as expected.

The materials were analysed by size exclusion chromatography (SEC), thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) revealing molecular weights and different thermal properties, which are depicted in Table 1. Melting and glass transition temperatures are in accordance with the literature.^{17,18} The molecular weights and thermal properties are comparable to those of similar technical products, such as commercial PET used in drinking bottle production, which shows weight average molecular weights between 19 and 66 kg mol⁻¹. At the same time, PET shows a glass transition around 80 °C and melting of the crystalline domains between 250 and 260 °C.^{19,20}

Following the mechanochemical approach reported before for PET,¹² in a typical depolymerisation experiment, 0.25 g of PEF, NaOH (0.24 g) and NaCl (0.175 g) were weighed into the reaction vessel, a 10 mL milling jar along with a 10 mm ball, followed by ball milling. NaCl was used in previous studies as an inert and safe additive that keeps the reaction mixture in powder form throughout the milling, facilitating the recovery of the crude reaction products, and thus ensuring high



Fig. 1 Contextualisation of this work.



Scheme 1 Melt polycondensations of FuMe₂ with ethylene glycol and 1,4-butanediol yielding PEF and PBF.



Table 1 Summary of polyester molecular weights and thermal properties

Properties	PEF	PBF
Number average molecular weight M_n [g mol ⁻¹] ^{a,b}	21 300	33 400
Weight average molecular weight M_w [g mol ⁻¹] ^{a,b}	36 900	68 800
Degree of polymerisation DP_w^c	202	328
Dispersity $D (M_w/M_n)^{a,b}$	1.82	2.06
Degradation temperature T_d [°C] ^d	>250	>250
Glass transition temperature T_g [°C] ^e	85	46
Melting temperature T_m [°C] ^e	218	171

^a Mean value representing the mixture of two similar polymer batches. ^b Obtained from SEC in pentafluorophenol/chloroform (v/v = 1 : 2). ^c Defined as $M_w/M(\text{repeating unit})$. ^d Obtained from TGA (maximum weight loss). ^e Obtained from DSC.

reproducibility.^{12,21,22} Work-up of the reaction mixture, containing disodium furanoate and ethylene glycol, is done by dissolving the crude reaction products in a minimum amount of water (10 mL), followed by acidification with 5 mL 1.0 M HCl solution in water that produces FDCA as a white precipitate, which can be separated by filtration followed by drying at 60 °C overnight (Scheme 2). Analysis of the metal content in the crude product shows that there is a slight increase in the Fe content, suggesting limited metal leaching from the mechanochemical apparatus (see ESI† for details). Excellent conversion of PEF into FDCA (>98%) was observed, producing highly pure FDCA under optimised conditions. The product was characterised by NMR and FTIR-ATR spectroscopic analysis. The conversion of PEF and the yield of FDCA were quantified by measuring the remaining amount of the PEF polymer before acidification and the amount of FDCA formed, respectively.

The characteristic absorption bands in the FTIR-ATR spectrum of FDCA disodium salt were obtained at 3107, 1572, 1362, and 779 cm⁻¹ (Fig. 2b), in line with the spectra of the crude reaction mixture, indicating the complete conversion of the reaction mixture into the product under optimised conditions (Fig. 2d and Table 2, entry 2).

NMR analysis of the isolated product in DMSO-*d*₆ solvent shows characteristic resonances at δ 7.27 and 13.60 ppm, which verify the formation of highly pure FDCA (Fig. 3, see ESI† for details). Of note, ethylene glycol can be recovered as a by-product of mechanochemical PEF hydrolysis from the aqueous phase used during workup (see ESI† for details). Although ethylene glycol undergoes rapid biodegradation in aerobic and anaerobic environments with approximately 100%

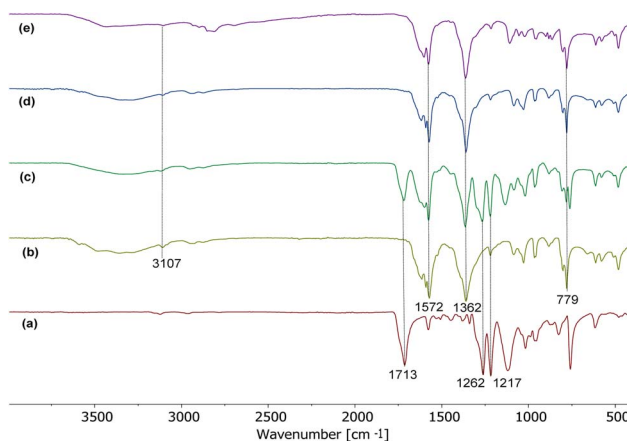


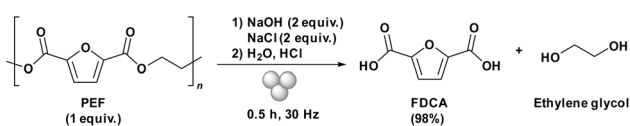
Fig. 2 ATR-IR spectra of reaction mixtures from the optimisation of PEF hydrolysis with varying amounts of NaOH, using a 10 mm stainless steel ball in 10 mL stainless steel jar on 0.25 g scale at 30 Hz frequency, (a) pure PEF, (b) disodium furanoate salt with NaCl, (c) reaction of PEF with 1 equiv. NaOH, (d) reaction of PEF with 2 equiv. NaOH, and (e) reaction of PEF with 3 equiv. NaOH.

removal within 24 h to 28 days,²³ its full recovery from depolymerisation reactions could potentially reduce the environmental footprint of this bulk chemical.

For the mechanochemical depolymerisation reaction, the reaction time has been optimised from 10 min to 1.5 h, where the FDCA disodium salt was obtained in excellent yields (Table 2, entries 2–4). Most importantly, even after prolonged reaction times the furan ring in the FDCA disodium salt did not show further reactivity or decomposition. Upon changing the amount of NaOH with respect to optimised conditions from one equivalent to three equivalents (Table 2, entries 5–7), only approximately 50% of the PEF was converted into FDCA disodium salt using one equivalent, whereas two equivalents of NaOH are required for full conversion (Fig. 2c–e). Further optimisation was done using different bases. When KOH (2 equiv.) was used instead of NaOH, sticky reaction mixtures (Fig. 4c) were obtained rather than powder formation (Fig. 4a), thus resulting in a slightly decreased yield of 89% (Table 2, entry 8). The hydrolysis was also carried out using sodium carbonate and cesium carbonate with water. In these cases, only trace amounts of the product were obtained (Table 2, entries 9 and 10).

Next, the role of liquid assisted grinding (LAG) in the hydrolysis reaction was studied (Table 2, entries 11–14). It was observed that the presence of 25 μ L of a LAG additive does not significantly affect the reaction yield but has an impact on the texture of the reaction mixture (Fig. 4). Methanol and ethylene glycol, both have no impact on the texture of the reaction mixture. However, when water was used as an additive the crude reaction mixture became very hard and sticky, while the use of DMSO as an additive led to a texture that varied between powdery and sticky (Fig. 4). As a powdered form of the reaction mixture was found to be optimal for further analysis and processing, LAG additives were excluded from further studies.

Further optimisation was done by varying the milling frequency. Using a frequency of 25 Hz with a 10 mL stainless



Scheme 2 Mechanochemical depolymerisation of PEF (1 equiv. is based on the repeating monomer unit). Further experimental details are depicted in Table 1 and in the ESI.†



Table 2 Summary of PEF depolymerisation data

Entry	Reaction conditions ^a	PEF conversion into product [%]	Yield FDCA [%]
1	10 min	34	<10
2	0.5 h	>98	>98
3	1 h	>98	>98
4	1.5 h	>98	>98
5	NaOH (1 equiv.)	50	48
6	NaOH (3 equiv.)	95	90
7	Without NaOH	0	0
8	KOH (2 equiv.)	>95	92
9	Na ₂ CO ₃ (2 equiv.) + H ₂ O (74 μL)	<10	<5
10	Cs ₂ CO ₃ (2 equiv.) + H ₂ O (74 μL)	<5	0
11	MeOH (25 μL), LAG	96	94
12	H ₂ O (25 μL), LAG	>97	96
13	DMSO (25 μL), LAG	98	95
14	Ethylene glycol (25 μL), LAG	>90	90
15	10 Hz	0	0
16	20 Hz	0	0
17	25 Hz	<40	20
18	26 Hz	57	38
19	28 Hz	68	46
20	15 mm SS ball, 25 Hz ^b	96	81
21	10 mm ZrO ₂ ball	91	86
22	10 mL ZrO ₂ jar	90	85
23	10 mm ZrO ₂ ball, 10 mL ZrO ₂ jar	>90	84
24	Without NaCl	>92	91

^a Standard reaction conditions: 0.25 g PEF, 2 equiv. NaOH, 2 equiv. NaCl, milling frequency 30 Hz, 10 mL jar and 10 mm ball (both stainless steel if not mentioned otherwise). 25 μL solvent was used as a LAG. NaCl has been used as an inert surface for all the experiments (unless if mentioned, entry 24). Pure FDCA was obtained.

^b Unoptimised workup procedure for these conditions.



Fig. 3 ¹H NMR spectrum of FDCA, isolated after aqueous workup of the mechanochemical reaction (400 MHz, DMSO-*d*₆, 297 K).

steel jar and 10 mm stainless steel ball, only 40% conversion of PEF into FDCA was obtained with a very low yield of 20% due to dilution, while no product formation occurred at 10 Hz and 20 Hz (Table 2, entries 15–17). Only 57% and 68% PEF was converted into product at frequencies of 26 Hz and 28 Hz

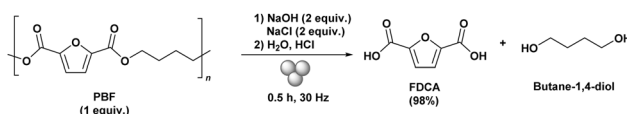


Fig. 4 Texture of the reaction mixture obtained after the reaction, (a) without LAG, MeOH or EG as a LAG additive, (b) using DMSO as a LAG additive, and (c) water as a LAG additive or KOH as a base without LAG additives, under optimised conditions (see Table 2 for details).

respectively. It can be concluded that a frequency of 30 Hz is needed when using a 10 mm ball and a small 10 mL milling jar to produce the amount of energy inside the milling jar which allows for complete conversion of PEF into the FDCA disodium salt.²⁴ In line with related studies, a depolymerisation reaction that was carried out using a 15 mm (13.1 g) stainless steel ball instead of a 10 mm (4 g) stainless steel ball at a lower frequency of 25 Hz showed complete conversion of the polymer into the product (Table 2, entry 20). This can be rationalised by an increase of the ball weight by a factor of more than three, which in combination with a reduction of the frequency by 5 Hz does not decrease the impact energy.²⁴ A comparison of different ball and jar materials shows that very good yields can be obtained when using ZrO₂ balls and milling jars, indicating that the stainless steel of milling jar and balls does not take part in the reaction (Table 2, entries 21–23). The hydrolysis reaction was performed in the absence of NaCl using the optimised conditions for 0.25 g of PEF, resulting in a slightly lower product yield of 91% (Table 2, entry 24). This highlights the role of NaCl in achieving full conversion by providing an optimal reaction surface and facilitating complete recovery of the product from the milling jar. Thus, NaCl shows significant potential as an additive for a large scale NaOH assisted mechanochemical hydrolysis reaction of PEF producing FDCA.

The described protocol was also applied for the mechanochemical hydrolysis of polybutylene furanoate (PBF) into FDCA using the optimised reaction conditions. For this, PBF, 2 equiv. of NaOH, and NaCl were ball milled for 0.5 h at a frequency of 30 Hz using a 10 mL stainless steel jar and 10 mm stainless steel ball (Scheme 3). Similarly, as for PEF, an excellent yield of 98% FDCA was obtained after acidic workup. The products were characterised by NMR and FTIR-ATR spectroscopic analysis (see ESI[†] for details).

The scalability of this reaction was demonstrated by performing larger-scale reactions of mechanochemical hydrolysis of PEF and PBF under similar reaction conditions, confirming its suitability for larger-scale applications. For this, 2.5 g of PEF



Scheme 3 Mechanochemical depolymerisation of PBF (1 equiv. is based on the repeating monomer unit).



was ball milled for 60 minutes at 30 Hz using a 25 mL stainless steel jar and two 15 mm stainless steel balls, yielding 1.95 g of FDCA, corresponding to a very good, isolated yield of 83%, with a high purity similar to that obtained in the small-scale reaction (see ESI† for details). In this reaction, complete conversion of PEF was observed but the diminished product yield might be due to dilution, which can be minimized in larger scale reactions using a minimal amount of water. A similar procedure was followed for larger scale mechanochemical hydrolysis of PBF (1.94 g), yielding 1.4 g of FDCA (90% yield). In these reactions, the byproduct recovery procedure could be optimised to enhance the overall efficiency of the reaction, ensuring maximum reutilisation (see ESI† for details). This approach contributes to the sustainability of the process and demonstrates its feasibility for large scale applications.

Further applicability of the mechanochemical depolymerisation protocol was explored for the transformation of PEF and PBF into the dimethyl ester FuMe₂, *i.e.*, the starting material for potential re-polymerisation (*vide supra*).^{16,25} In a related study of mechanochemical methanolysis of PET and polylactic acid, Borchardt, Kim and co-workers could demonstrate the transesterification of this polymer using an excess of MeOH in a planetary milling setup.⁹ For the optimisation of our methanolysis reaction, initially the reaction of 0.166 g PEF with MeOH (10 equiv.) was carried out in the presence of NaOH (2 equiv.) for 60 min at 30 Hz using a 10 mL SS jar with 10 and 15 mm SS balls, respectively, but FuMe₂ could not be detected by ¹H and ¹³C NMR analysis of the reaction mixture. Substitution of NaOH with NaOMe (2 equiv.) using the same reaction conditions, followed by quenching the reaction mixture with 5 mL 1 M HCl solution and extraction using water and chloroform solvent produced a mixture of monomethyl and dimethyl furan-2,5-dicarboxylate FuMe₂ (see ESI† for details). Further optimisation of the reaction involved reducing the amount of NaOMe to 0.5 equivalents and using 10 equivalents of MeOH as a methylating reagent, resulting in quantitative conversion of the polymer (Scheme 4).

After quenching the reaction mixture with 2 mL 1 M HCl solution and dissolving in ethanol:H₂O (1 : 3) upon heating up to 80 °C, colourless crystals of FuMe₂, showing the expected ¹H NMR signature, can be obtained in a very good yield of 74% by recrystallisation from saturated solution at 5 °C (Fig. 5). The molecular structure determined by single-crystal X-ray diffraction analysis of single crystals shows the expected diester motif



Scheme 4 Mechanochemical methanolysis of PEF and PBF (1 equiv. is based on the repeating monomer unit).



Fig. 5 ¹H NMR spectrum of FuMe₂ from mechanochemical depolymerisation of PEF (300 MHz, CDCl₃, 297 K).

with two different rotational conformers of the ester group at the furan ring (see ESI† for details). The mechanochemical methanolysis of PBF (0.194 g) required a further adjustment of the reaction conditions (20 equiv. MeOH, 2.5 h reaction time) and yielded FuMe₂ after work-up in excellent purity, but a reduced yield of only 39%. Possible reasons for this significantly lower yield could be the presence of the longer alkyl group which might induce different transesterification reactivity and the less efficient separation of the by-product 1,4-butanediol formed (higher viscosity, melting point of 20 °C). The scalability of this highly useful transesterification/methanolysis reaction was again demonstrated by performing a large-scale reaction under similar reaction conditions. For this, 1.66 g of PEF (10 mmol), 108 mg NaOMe (0.2 equiv.), and 3 mL of MeOH were ball milled for 1 h at 30 Hz in a 25 mL stainless steel jar using a 15 mm stainless steel ball, yielding highly pure FuMe₂ in an unoptimised yield of 65% (1.2 g FuMe₂) after recrystallisation. This decrease of the product yield can be assigned to the solubility of the product during filtration. Further product can be collected from the filtrate after evaporating the solvent. We would like to emphasise that these results demonstrate the potential for the development of more general upcycling strategies for these bio-based polyesters.

Conclusions

In summary, we have described a mechanochemical protocol for the efficient depolymerisation of the bio-based polyesters polyethylene furanoate (PEF) and polybutylene furanoate (PBF). Our procedure comprises the use of readily available reagents NaOH, NaOMe and NaCl and a simple aqueous acidic workup procedure, allowing for the recovery of 2,5-furandicarboxylic acid (FDCA) and the corresponding diols, as well as the 2,5-furandicarboxylic acid dimethyl ester (FuMe₂) in very good to quantitative yields. The latter reaction is of special interest as the final product can potentially be re-subjected to the production of furan-based polycondensates. The applicability of the reported procedure on a large scale could be shown through



multigram scale reactions. Of note, the high-energy mechanochemical conditions do not induce reactivity at the furan heterocycle, making these procedures promising methods for the large-scale depolymerisation of furan-based polycondensates. Thus, we believe that our results will contribute to the implementation of these and related bio-based polyesters in a circular economy. Further studies will focus on depolymerisation and upcycling reactions of these and related polymers. The results of these studies will be reported in due course.

Data availability

The data supporting this article have been included as part of the ESI.† Additional data are available from the authors.

Author contributions

DJ: conceptualisation, data curation, formal analysis, investigation, project administration, visualisation, writing (original draft and editing). FC: coordination of polymer synthesis and characterisation, writing. PS: funding acquisition, supervision, writing (editing). HJD: supervision, writing (editing). BV: funding acquisition, supervision, writing. TB: conceptualisation, funding acquisition, supervision, writing (original draft and editing).

Conflicts of interest

There are no conflicts to declare.

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