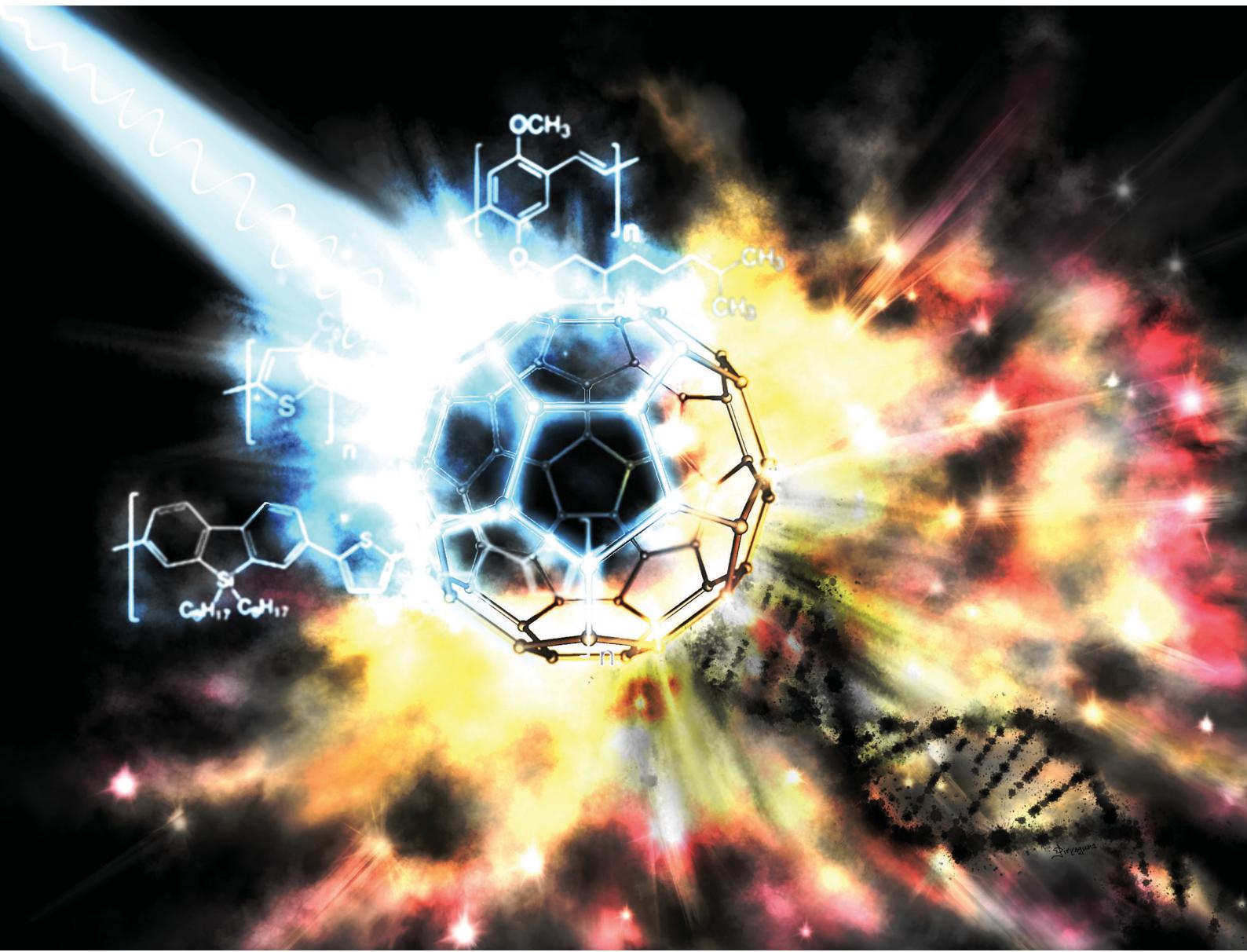


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**PAPER**

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High photothermal conversion efficiency for semiconducting polymer/fullerene nanoparticles and its correlation with photoluminescence quenching



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## High photothermal conversion efficiency for semiconducting polymer/fullerene nanoparticles and its correlation with photoluminescence quenching<sup>†</sup>

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Semiconducting polymer nanoparticles (SPNs) have potential applications in a variety of fields due to their remarkable capacity to convert light into other forms of energy. This conversion can occur through photoluminescence (PL), photovoltaic (PV), and/or photothermal (PT) effects, and therefore SPNs can be employed in organic solar cells (OSCs) or nano agents for photothermal therapy (PTT), for instance. Here we explore those properties by studying the correlation between PT efficiency ( $\eta$ ) enhancement and SPN PL quenching with increasing fullerene (PC<sub>71</sub>BM) doping. This correlation was observed for nanoparticles of three different conjugated polymers so that the highest PT efficiency was found for SPNs of MDMO-PPV/PC<sub>71</sub>BM ( $\eta \approx 74.8\%$ ). Using a combination of a kinetic model with quantum chemistry calculations, it is suggested that those phenomena derive from the fast non-radiative (NR) decay of charge transfer (CT) excitons at the polymer/fullerene interface. Our analysis revealed key physical properties that mostly influence the PT effect and enabled us to determine NR rates of the systems under study. The improvement of  $\eta$  with doping essentially occurs because the NR deactivation of CT states is faster than the NR recombination of the Frenkel excitons in the donor. Since we observed that the CT exciton NR rate tends to follow an energy gap law, NR recombination improvement may originate from the stronger vibrational overlap between CT state and ground state. Finally, our findings are in agreement with the open circuit voltage average loss observed in bulk heterojunction OSC using the same polymers as donors. The synergistic experimental characterization of PT and PL effects together with the proper theoretical modeling can thus provide valuable information on the factors that enhance a particular kind of energy conversion in SPNs. This methodology can be a valuable tool to prospect new materials aiming at theranostic applications and/or clean energy generation.

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## 1 Introduction

The interaction of light with matter can result in several interesting phenomena like photoluminescence, photovoltaic, photoacoustic and photothermal effects. Those phenomena are interconnected and might involve competing physical processes so that the good characterization of one of them can provide valuable information about the others and *vice-versa*.

At this point it is important to remember some general concepts behind the possible effects that originate from light absorption:

(i) Photoluminescence (PL) is the emission of light due to the radiative recombination of the excited states.

(ii) On the other hand, the photovoltaic (PV) effect is the free charge generation upon light absorption and constitutes the basic phenomena behind the fabrication of solar cells for energy conversion. In this field, as an alternative to the conventional solar cells, organic solar cells (OSCs) have been developing rapidly over the past few years.<sup>1</sup> OSCs are essentially based on blends of an electron donor (D), a conjugated polymer (CP) or oligomer, and an electron acceptor (A), usually a fullerene.

(iii) The photo-thermal (PT) effect is the process that converts the energy of the absorbed photon into heat. Photothermal energy conversion has been studied for applications comprising biomedical therapies,<sup>2–4</sup> mechanical actuators,<sup>5,6</sup>

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optical data storage,<sup>7</sup> chemical catalysis<sup>8</sup> and so on.<sup>9,10</sup> In biomedicine, photo-thermal therapy (PTT), a specific type of hyperthermia therapy, has emerged as a potential therapeutic approach as an adjuvant treatment of cancer due to many of its advantages such as high specificity, low toxicity to normal tissues and minimal invasiveness.<sup>11–14</sup>

(iv) Finally, the photoacoustic (PA) effect is the conversion of the photon energy into sound waves.<sup>15</sup> This effect can be used for PA imaging which can provide deeper tissue penetration since it detects phonons instead of photons after light excitation.<sup>16</sup> Since the PA response is intrinsically linked to the photothermal conversion, the selection of materials for PTT is naturally compatible with the selection of materials for PA imaging applications. This makes the systems with large PA and PTT responses potential candidates for the development of theranostics agents that combine therapy and diagnosis for a low cost but more precise medicine.<sup>17</sup> Several materials have shown photo-thermal therapeutic efficacy *in vitro* and in pre-clinical animal experiments, such as hybrid materials,<sup>18,19</sup> organic molecules,<sup>20,21</sup> noble metal materials,<sup>22,23</sup> and again CPs.<sup>24–33</sup>

As mentioned above, CPs can be employed in OSC or as PTT agents as well. The CP are characterized by long conjugated bond structures and repeating chemical units with delocalized  $\pi$ -electron systems. Those features enable different arrangements of their backbone structure to properly control their optoelectronic properties like radiative and non-radiative decay pathways.<sup>34–37</sup> In addition, CPs are organic and biologically inert which make them suitable candidates for biological and biomedical applications, such as in bio sensing,<sup>38,39</sup> bio imaging,<sup>40,41</sup> drug delivery and release,<sup>42,43</sup> and photodynamic therapy.<sup>44–50</sup> Besides, they can be easily prepared as hydrophobic nanoparticles with photo stability, biocompatibility or biodegradability.<sup>51–54</sup> Usually for PTT applications in medicine, the CPs are employed in the form of semiconducting polymer nanoparticles (SPNs).<sup>55</sup>

In OSCs, the PV effect is enhanced when the CPs are blended with fullerene. The reason is because light absorption in organic semiconductors does not generate free charges but bound electron-hole pairs, called Frenkel-type excitons. The photogenerated exciton can then dissociate into free charges (producing the PV effect) or recombine to the ground state *via* radiative (producing the PL effect) or non-radiative (producing the PT effect) pathways. In the absence of the acceptor, the excitons generated in the CP tends to recombine due to the high exciton binding energy ( $E_b$ ) in those materials.<sup>56</sup> However, when the acceptor is present, the excitons can diffuse to the D/A interface where a fast electron transfer from the D to the A might take place. The electron transfer produces then a strong quench of the polymer's PL and creates an intermediary charge transfer (CT) exciton that can either recombine to the ground state or dissociate into free charges. The formation of CT excitons tends to favor the dissociation (and consequently the PV effect) because the binding energy of this excited state is lower than  $E_b$ . Currently, the state-of art OSCs are fabricated using non-fullerene acceptors and have reached efficiencies up

to 18%.<sup>57</sup> Yet there is still some debate about the mechanisms behind non-radiative (NR) recombination at the D/A heterojunction that would produce intrinsic limitations to the performance of those devices.<sup>58</sup> The NR recombination increases the open-circuit voltage losses which decreases the photo-conversion efficiency.<sup>58</sup>

Despite the few results reported in the literature, it was observed that CP-based SPNs also showed an enhancement of the PT effect with the fullerene-doping.<sup>59</sup> For instance, Lyu *et al.* made use of the enhanced NR recombination in those nanoparticles to apply them as *in vivo* photo-thermal therapy agents.<sup>59</sup> The reasons behind the magnification of the photothermal effect induced by the fullerene are still poorly understood but it is reasonable to suppose that it is linked to non-radiative deactivation of CT excitons. This open question motivated us to investigate the heat generation of SPNs doped with fullerene after illuminating them with a green light (532 nm) laser. We employed a multiple method approach that combined the synthesis of the nanoparticles, experiments for morphological, thermal, and optical characterization, and theoretical modelling. The experimental work involved the comparison between PL measurements with photo-thermal analysis. The PT measurements were performed using an adapted differential scanning calorimetry (DSC) setup. We then developed a theoretical model to quantify the efficiency of heat generation during the experiment with the laser. The PL measurements were also explained using a kinetic model and quantum chemical calculations to obtain the characteristic rates that governs the charge transfer (or recombination) dynamics at the D/A heterojunction. The results of the optical and thermal measurements were analyzed by considering the rates for charge transfer, charge separation or recombination to compose a physical picture that relates the PT effect with intrinsic properties at the D/A heterojunction (like exciton binding energy, and driving forces for charge transfer, for instance).

The method was applied to SPNs synthesized using poly(3-hexylthiophene-2,5-diyl) (P3HT), poly[2-methoxy-5-(3',7'-dimethoxyloxy)-1,4-phenylenevinylene] (MDMO-PPV) and Poly[2,7-(9,9-dioctyl-dibenzosilole)-*alt*-4,7-bis(thiophen-2-yl)benzo-2,1,3-thiadiazole] (PSiF-DBT) as donors and the fullerene [6,6]-Phenyl C<sub>71</sub> butyric acid methyl ester (PC<sub>71</sub>BM) as the acceptor. The use of different polymers enabled us to identify the key properties that governs the PT effect in nanoparticles of polymer/fullerene heterojunctions. In addition, since the processes that lead to heat generation compete with those to produce free charges, the characterization developed here is also useful to gain insights on the PV effect in those systems. By averaging the open circuit voltage of many OSCs reported in the literature (that employed the donors considered here), we showed that the average voltage loss observed in those devices is in general accordance with the predictions derived from our analysis.

Finally, we demonstrated that our approach can be a powerful method to investigate the photothermal effect and non-radiative recombination in D/A blends. It can also be very useful for the search of new materials aiming at PTT and solar energy conversion.



## 2. Experimental

### 2.1 Materials

In this work we used three different conjugated polymers as donors: (i) P3HT with molecular weight between 54 and 75 kDa,<sup>60</sup> (ii) MDMO-PPV with molecular weight around 120 kDa,<sup>61</sup> and the copolymer (iii) PSiF-DBT (molecular weight between 54 and 80 kDa).<sup>62</sup> Those polymers were mixed with the fullerene [6,6]-Phenyl C<sub>71</sub> butyric acid methyl ester (PC<sub>71</sub>BM, molecular weight approximately 1.031 kDa)<sup>63</sup> as acceptor to form donor/acceptor blends. All the materials were purchased from Sigma-Aldrich and their chemical structure are illustrated in Fig. 1(a)–(d). The energy level of the frontier orbitals (HOMO, highest occupied molecular orbital, and LUMO, lowest unoccupied molecular orbital) of the materials considered here are shown in Fig. 1(e).

### 2.2 Synthesis of semiconductor polymers nanoparticles

The SPNs were synthesized by nanoprecipitation.<sup>64,65</sup> In the sequence we detail the steps to obtain those nanoparticles. (i) First, 1 mg of each conjugated polymer (either P3HT, MDMO-PPV or PSiF-DBT) were dissolved in 4 ml of tetrahydrofuran (THF) and subjected to a constant magnetic stirring until it reaches a complete dissolution of the solutes. This process took between 2 and 3 hours depending on the polymer. The same procedure was repeated to dissolve 1 mg of PC<sub>71</sub>BM in 4 ml of THF. The resulting solutions have thus a concentration of 0.25 mg ml<sup>-1</sup>. (ii) In the sequence, four additional solutions in THF were prepared for each polymer with different levels of fullerene doping: one pure sample containing only the polymer (0%),

and another three solutions with fullerene amounts of 40, 70 and 100 w/w%, respectively. In order to keep the concentration of polymer constant at 0.25 mg ml<sup>-1</sup> in all samples, PC<sub>71</sub>BM solutions were added to the polymer solutions produced in the step (i) in volumes to generate the concentrations of 0.175 mg ml<sup>-1</sup> (40% doping), 0.1 mg ml<sup>-1</sup> (70% doping) and 0.25 mg ml<sup>-1</sup> (100% doping). An additional solution in THF containing only fullerene (0.25 mg ml<sup>-1</sup>) was also prepared. (iii) These solutions were rapidly injected into 0.8 ml of deionized water that was submitted to bath sonication at 25 kHz for 5 minutes. Due to the hydrophobicity of the polymers and the fullerene lateral groups they quickly condense in nanoparticles to minimize the contact surface with water.<sup>64</sup> (iv) The solutions containing the formed nanoparticles were then placed under magnetic stirring for three hours until the complete evaporation of THF. The final aqueous solutions concentrations of the SPNs were then 0.071, 0.10, 0.12, and 0.14 mg ml<sup>-1</sup> for the 0%, 40%, 70% and 100% fullerene doping, respectively. Those solutions are next quoted using the polymer's acronym followed by a number referring to the concentration of polymer doping. For instance, PPV-0, PPV-40, PPV-70 and PPV-100 are the samples prepared using MDMO-PPV with 0%, 40%, 70% and 100% of fullerene doping, respectively. Finally, these aqueous solutions were filtered through a polyethersulfone (PES) syringe filter of 0.22 µm to remove large aggregates of SPNs.

### 2.3 Morphological and photophysical characterization

The morphology of the nanoparticles was characterized using transmission electron microscopy (TEM). The TEM images were obtained using a JEOL JEM 1200 transmission electron microscope at acceleration voltage of 120 kV. The SPNs aqueous solutions were dripped over copper grids and then stored until complete drying of the sample (for a period of time usually longer than 24 hours).

The photophysical properties of the SPNs in aqueous solution were investigated by UV-Vis and photoluminescence measurements. The UV-Vis spectra in deionized water were obtained using the Thermo Scientific™ Evolution 201 UV-Vis spectrophotometer. The photoluminescence spectra were recorded at room temperature by employing a Fluotolog-3 Fluorescence Spectrofluorometer (Horiba Jobin Yvon). The samples were excited at wavelength of 532 nm. This wavelength is very close to the peak of the absorption spectra of the polymers studied here.

### 2.4 Thermal analysis of the SPNs

The measurement of the specific heat (heat capacity per unit mass) of the SPNs is essential to determine SPN temperature variations induced by laser irradiation. Heat capacity ( $c_p$ ) is the amount of energy (heat) that must be added to a substance to increase its temperature by 1 °C at constant pressure.<sup>66</sup> The relation between isobaric specific heat capacity of a material and the heat absorbed during a linear temperature change is:<sup>67,68</sup>

$$c_p = \frac{1}{m} \cdot \left( \frac{dH}{dT} \right), \quad (1)$$

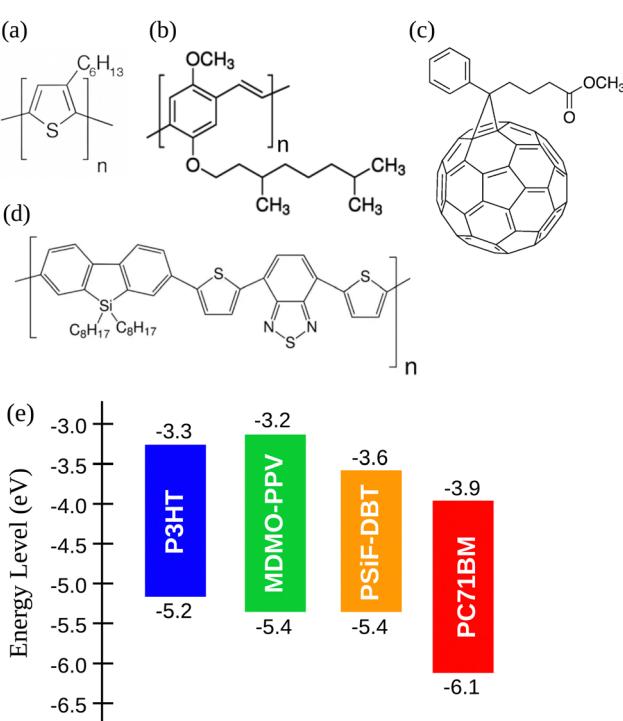


Fig. 1 Chemical structures of (a) P3HT, (b) MDMO-PPV, (c) PC<sub>71</sub>BM and (d) PSiF-DBT. (e) Energy level diagram of the considered polymers and fullerene.<sup>60–63</sup>



where  $m$  is the mass of the sample,  $H$  is enthalpy, and  $T$  is the temperature. Under practical conditions, the measurement of enthalpy variations is difficult due to instrument limitations. However, differential scanning calorimetry (DSC) technique can be applied as an indirect approach to find  $c_p$ . The specific heat is determined by performing three DSC scans of the baseline, standard material, and the sample. The baseline is the curve measured using empty sample containers. The "Stepwise" method (ISO 11357-4:2014)<sup>69</sup> is based on the comparison between the areas below the baseline, standard material, and sample DSC curves measured under the same conditions. Thermal changes in the sample will thus appear as peaks in the DSC baseline, in either (endothermic or exothermic) directions. The amplitude of those peaks depends on the amount of energy supplied or released by the sample relative to the standard material. The area of the endothermic or exothermic peaks ( $A$ ) is proportional to the enthalpy change ( $dH/dT$ ). In this work deionized water was used as standard material due to its well known specific heat capacity in a broad temperature range.

A usual DSC heating program generates an enthalpy curve as function of the heating temperature. Based on the areas under DSC curves measured for baseline, standard and sample, the specific heat can be obtained as:<sup>69,70</sup>

$$c_p = c_p^S \left( \frac{m^S (A - A^b)}{m(A^S - A^b)} \right), \quad (2)$$

where  $A$  is the area under the DSC curve plotted in the temperature range considered for  $c_p$  determination. The subscript  $b$  stands for baseline, whereas the subscript  $S$  refers to the standard. The terms of eqn (1) with no subscript are related to the SPNS. To ensure a better precision in the determination of  $c_p$ , the temperature steps must be as short as possible, usually around 1 or 2 °C. The sequence of steps was intercalated by isotherms that are long enough to reach thermal equilibrium.<sup>69</sup>

In this work, stepwise method for determination of the specific heat capacity was applied using a Heat Flux Differential Scanning Calorimeter DSC-3 STARe System by Mettler Toledo. Nitrogen was applied as a purge gas to minimize oxidation of the sample during measurements. Before the experiments, the thermal response and temperature were calibrated with the heat of the fusion and the melting point of pure indium. The SPNs dispersed in an aqueous solution were placed in aluminum pans at a fixed volume of 70 µL. The pans were sealed with an aluminum lid containing a small central orifice. It is important to mention that the sample pans and the reference pan were made of the same material and had approximate the same mass. Baseline, standard and samples measurements were taken sequentially following an operation program that starts by applying an isotherm for three minutes. In the sequence a succession of heating ramps of 1 °C were applied with a heating rate of 1 °C min<sup>-1</sup>, merged by isotherms of two minutes. Measurements were performed in the temperature range between 26 °C and 38 °C.

## 2.5 Photo-thermal heat flow measurements

After the assessment of  $c_p$ , we performed photothermal measurements to quantify the heat generated when the SPNS are

under laser illumination. A continuous wave laser (powered by a power supply) with wavelength of 532 nm was then adapted to the DSC equipment, in a configuration like a Photo-calorimetry (DSC)<sup>71,72</sup> (Fig. S1, ESI† shows a picture of the apparatus employed in those measurements). The average intensity of the laser reaching the sample (measured by a potentiometer) was 30 mW. In this home-built configuration of the DSC setup, measurements were performed with the furnace temperature fixed at 27 °C (isothermal program). We followed a sequential protocol to perform those measurements: initially the DSC was started with the laser off for three minutes to stabilize heat flow between the sample and the reference. Then, the sample and reference pans were illuminated by the laser beam during 5 cycles with increasing durations of 1, 2, 3, 4 and 5 minutes, respectively. This procedure minimizes the influence of the aluminum pan on the measurements of the samples' enthalpy. The illumination cycles correspond then to a total irradiation time of 15 minutes. Each period of illumination was followed by intervals of 2 minutes with the laser off. In those intervals the system is forced by the DSC equipment to thermalize back to the isothermal temperature of 27 °C. During the photo-thermal measurements the DSC furnace was kept sealed by a thermal insulating cover with a transparent quartz plate in such a way that the laser beam could reach the sample. 70 µL of aqueous nanoparticles were used in those measurements.

Since we were following an isotherm program, any endothermic or exothermic heat change induced by the incident light will produce a variation of enthalpy  $dH/dT$  between the sample and the reference. The amount of heat ( $Q(t)$ ) released by the sample in the interval between the initial time  $t_i$  (when laser is turned on) until the final time  $t_f$  (when it is turned off) can be obtained by:<sup>73</sup>

$$H = \int_{t_i}^{t_f} \frac{dH}{dt} dt. \quad (3)$$

Once the specific heat capacity  $c_p$  is known, temperature variation  $\Delta T$  (°C), can be calculated using the heat balance equation:

$$\Delta T = \frac{H}{mc_p}, \quad (4)$$

where  $m$  the sample mass, and  $\Delta T = T_f - T_i$ .  $T_i$  is the isotherm temperature of the furnace.<sup>73</sup>

Using the value of  $H$  obtained from eqn (3) and considering the power of the laser radiation reaching the sample ( $P_{\text{laser}}$ ), one can estimate the photo-thermal conversion efficiency (PCE) from:<sup>74</sup>

$$\eta = \frac{H}{P_{\text{laser}} \Delta t (1 - 10^{-\text{ABS}}) (1 - e^{-\alpha \Delta t})} - \frac{H_{\text{sol}}}{P_{\text{laser}} \Delta t (1 - 10^{-\text{ABS}})}, \quad (5)$$

where  $\Delta t$  is the total time interval of laser illumination, ABS is the absorbance of the solution at 532 nm (peak of the laser emission),  $H_{\text{sol}}$  is portion of the total heat  $H$  generated by the solvent (water), and  $\alpha$  is a time constant related to the coefficient of heat transfer



and the area of the sample (a more detailed description of the physics behind eqn (5) is developed in Section S4 of the ESI<sup>†</sup>).

Since the samples tend to have different properties of light absorption depending on the kind of polymer or fullerene doping, the concentration of the solutions used for the photo-thermal measurements were adjusted to have approximately  $ABS = 1$  at the wavelength around 532 nm. Those concentrations are reported in Section 2.2.

### 3. Theoretical methods

#### 3.1 Kinetic model, photoluminescence quenching, and population of charge transfer states

We applied a kinetic approach proposed in ref. 56 to correlate the photoluminescence (PL) measurements of the SPNs with the corresponding photo-thermal measurement obtained by DSC. This approach enabled us to identify the main physical properties of the D/A blends that influence the heat generation induced by light absorption.

In Fig. 2, we illustrate the charge dynamics considered in ref. 56 with the rates assumed to describe the efficiency of PL quenching *via* polymer excitation. After the donor excitation, the model in ref. 56 consider all the possible processes to deactivate the singlet exciton (Fig. 2(a)) at the D/A interface: (i) it can simply recombine with a rate  $k_{SR,D}$  or (ii) the electron can be transferred to the acceptor (with a rate  $k_{ET}$ ) forming the charge transfer (CT) state. Once the CT state is created, (iii) it can be deactivated by the separation of the pair (with a rate  $k_{ES}$ ), (iv) can recreate the singlet exciton in the polymer from a back transfer of the electron (with a rate  $k_{EB}$ ) or, finally, (v) recombine directly from the CT state to reestablish the ground state (with the rate  $k_{ER}$ ). The photoluminescence (PL) quenching efficiency ( $Q_D$ ) can be measured by comparing the PL of pristine donor (PL[D]) with the PL of donor/acceptor blend (PL[D/A]) in the form:<sup>75</sup>

$$Q_D = 1 - \frac{\text{PL[D/A]}}{\text{PL[D]}}. \quad (6)$$

From computational simulations of  $Q_D$ , the exciton dynamics at the D/A interface can be understood more profoundly.<sup>76–78</sup>

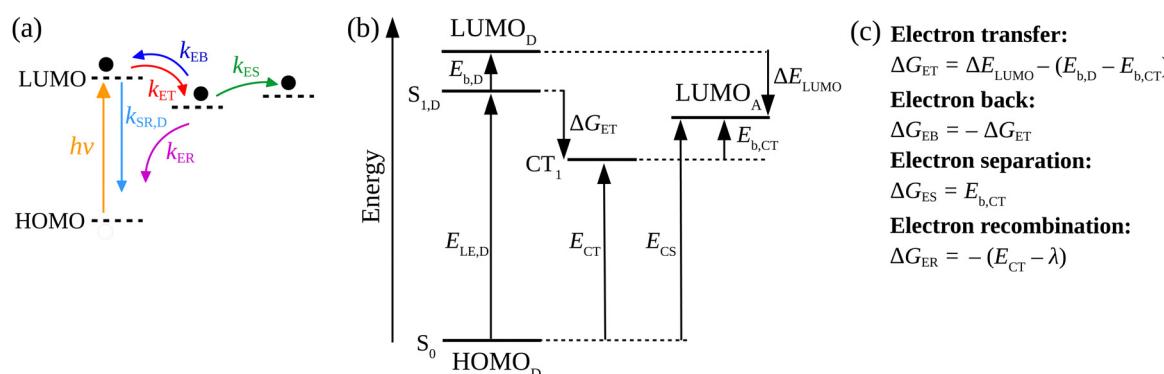


Fig. 2 (a) Electron dynamics diagram between D/A materials at the interface. Filled circles represent the electron and empty circles, the hole. (b) Schematic of the state energy and (c) driving forces for electron dynamics.

In this work, we will follow the procedure detailed in ref. 56 to simulate  $Q_D$  for the three blends considered here. The model uses the molecular energies as input parameters.

Each process in Fig. 2(a) is characterized by a frequency rate ( $k$ ) that can be quantified using the Marcus formalism:<sup>79,80</sup>

$$k = \frac{4\pi^2}{h} \frac{\beta^2}{\sqrt{4\pi\lambda k_B T}} \exp\left[\frac{-(\lambda + \Delta G)^2}{4k_B T \lambda}\right], \quad (7)$$

where  $k_B$ ,  $T$ ,  $\lambda$ ,  $\beta$  and  $\Delta G$  are the Boltzmann constant, temperature, reorganization energy, electronic coupling (transfer integral), and Gibbs free energy variation (driving force) of the charge transfer reaction. It will be considered that  $\lambda$  and  $\beta$  in eqn (7) are equal to 0.4 eV and 50 meV, respectively. These are typical values for organic systems in solid state.<sup>81,82</sup> The driving forces for the rates are derived following the scheme illustrated in Fig. 2(b) and (c), where the characteristic energies for the HOMO and LUMO of the materials are taken from Fig. 1(e). The exciton binding energy ( $E_b$ ) of the polymers (another important parameter to derive  $\Delta G$ ) is calculated from density functional theory (DFT) and time dependent DFT following a procedure that will be described below. We will also consider disorder effects in the calculation of  $k$ ,  $\beta$  and  $\Delta G$  applying the procedure reported in ref. 56. From this reference, the equation for  $Q_D$  under the steady-state approximation is

$$Q_D = 1 - \frac{k_{SR,D}(k_{EB} + k_{ER} + k_{ES})}{(k_{SR,D} + k_{ET})(k_{EB} + k_{ER} + k_{ES}) - k_{EB}k_{ET}}. \quad (8)$$

In eqn (8),  $k_{SR,D}$  is the inverse of singlet exciton recombination lifetime ( $1/\tau$ ) of donor polymer and can be obtained from experimental data. Here we will use a typical value of  $\tau$  for donor polymers of 250 ps.<sup>83</sup> Using the exciton binding energy of the CT state ( $E_{b,CT}$ , see Fig. 2(c)) as fitting parameter, we use eqn (8) to reproduce the experimental PL quenching obtained from the photoluminescence measurements. We will focus our theoretical analysis on the samples with the highest fullerene doping. After the fitting, all characteristic rates illustrated in Fig. 2(a) are completely determined. We can then use those



rates to calculate the population of CT excitons derived from the steady state solution of the kinetics' model in ref. 56:

$$[\text{CT}] = \frac{k_{\text{ET}}}{(k_{\text{SR,D}} + k_{\text{ET}})(k_{\text{EB}} + k_{\text{ER}} + k_{\text{ES}}) - k_{\text{EB}}k_{\text{ET}}}. \quad (9)$$

Eqn (9) gives the concentration of CT excitons generated by charge transfer at the heterojunction relative to the population of excitons created in the donor after light absorption. The total rate of direct CT recombination at the D/A interface (the process indicated by a purple arrow in Fig. 2(a)) is  $R_{\text{CT}} = k_{\text{ER}}[\text{CT}]$ . We will use this parameter to investigate the influence of the CT concentration and recombination on the photothermal efficiency of the SPNs samples.

### 3.2 Exciton binding energy

The calculation of exciton binding energy for the polymers considered in this work is fundamental to determine the driving forces for electron transfer and electron separation ( $\Delta G_{\text{ET}}$  and  $\Delta G_{\text{ES}}$ , respectively, see Fig. 2(c)). This parameter can be calculated by subtracting the fundamental energy gap from the optical gap.<sup>84</sup> The method used here to calculate  $E_b$  of polymers is described in detail in ref. 85. It involves the linear extrapolation of oligomer energies in relation to the reciprocal of the number of mers ( $1/n$ ). We performed this procedure to avoid deviations related to differences in the delocalization lengths along the polymeric chains. Oligomers with five mers ( $n = 5$ , pentamers) were considered in the calculations. The side chains of the oligomers were replaced by methyl groups to reduce the computational cost. The ground state geometry of oligomers was calculated by DFT with the long-range-corrected hybrid functional  $\omega$ B97XD<sup>86</sup> functional and 6-31G(d,p) basis set.<sup>87</sup> The molecular energies were obtained using the M06<sup>88</sup> functional and 6-31G(d,p) basis set. Results produced by this level of theory are usually in good agreement with experiment at a reasonable computational cost.<sup>85,89</sup> Finally, the solid-state exciton binding energy of polymers was obtained in a simple way  $E_b/\varepsilon$ ,<sup>90</sup> where  $\varepsilon$  is the dielectric constant of the organic film.

## 4. Results and discussion

### 4.1 Transmission electron microscopy measurements

The morphology and the SPNs size were investigated using transmission electron microscopy (TEM) for all fullerene concentrations. Since the TEM images had approximately the same

general features for all levels of doping, Fig. 3(a)–(c) shows the results for polymer-100 samples. Those were the SPNs with the highest PT response. The SPNs in Fig. 3(a)–(c) had a uniform spherical morphology with average diameters of approximately  $\sim 46 \pm 17$  nm (median 44.11 nm) for the P3HT-100 sample,  $\sim 95 \pm 21$  nm (median 98.70 nm) for the PSiF-100 and  $\sim 18 \pm 6$  nm (median 15.40 nm) for the PPV-100. Semiconductor nanoparticles with the diameter around 16 nm are very common for PPV<sup>91,92</sup> due to the low mass of this polymer when dispersed in solution.<sup>92</sup> It is well known in the literature that SPNs tends to agglomerate due to strong  $\pi$ - $\pi$  interactions.<sup>91–93</sup> This tendency restricted an accurate determination of the distribution of nanoparticles sizes in the solutions dropped over the TEM copper thin film. Hence, an extended study to measure the distribution of nanoparticles sizes was not possible. Yet Fig. S2 (ESI<sup>†</sup>) shows histograms of nanoparticles sizes obtained from a visual inspection to sections of TEM images like the ones in Fig. 3. The SPNs sizes in the histograms were manually assessed. Fig. S2 (ESI<sup>†</sup>) indicates that the distribution of SPNs sizes is broad but with a peak around the magnitude of the average diameters reported above.

The polymer/fullerene SPNs in Fig. 3(a) had a contrast in grey scale, with a dark core and a clearer external layer. In ref. 93 this contrast was attributed to variations in the density of the materials that form the SPNs'. Consequently, the PC<sub>71</sub>BM, that has a higher density (1.5 g cm<sup>-3</sup>),<sup>94</sup> appeared darker than the P3HT (1.10 g cm<sup>-3</sup>)<sup>95</sup> in the TEM images. The same contrast was also observed in MDMO-PPV/PCBM blends<sup>96</sup> where the fullerene appearing considerably darker than the MDMO-PPV (0.910 g cm<sup>-3</sup>).<sup>97</sup> Those results suggest that all our SPNs doped with fullerene are formed by a PC<sub>71</sub>BM rich central core covered by a polymeric outer shell.<sup>91,98</sup>

### 4.2 Photoluminescence measurements

The effects of fullerene doping on the photophysical properties of the SPNs were studied by comparing UV-Vis absorption and photoluminescence (PL) spectra measured in aqueous solutions. The absorption spectra of pure PC<sub>71</sub>BM nanoparticles are shown in Fig. S3 (ESI<sup>†</sup>). The fullerene nanoparticles had a broad absorption in the range between 300 nm and 700 nm, with (not well-defined peaks) at 378, 470, 564, and 685 nm. Those features are important to characterize the variations in the polymer absorption induced by the fullerene doping of the SPNs.

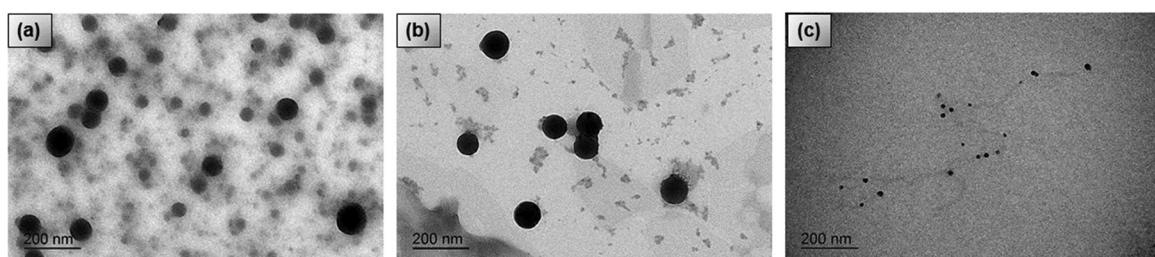


Fig. 3 TEM images of conjugated polymer/PC<sub>71</sub>BM heterojunction nanoparticles for the samples (a) P3HT-100, (b) PSiF-100, (c) PPV-100.



Fig. S3a–c (ESI†) show the UV-Vis spectra of the nanoparticles as a function of the fullerene doping. The SPN absorption rises and becomes broader with increasing  $PC_{71}BM$  concentration. This broadening is induced by the higher absorption in the range between 300 and 400 nm and between 600 and 700 nm. For P3HT(PPV)-100 samples, the fullerene characteristic peaks around 378 nm and 685 nm are clearly identified but blue shifted relative to the spectrum measured for SPNs of  $PC_{71}BM$ . In the case of the PSIF, the fullerene contribution tends to fill the gap between the two main characteristic peaks of the polymer absorption associated to the  $\pi-\pi^*$  transitions and the intramolecular charge transfer (ICT).<sup>99</sup> This fact (together with a higher absorption over the 600 nm to 800 nm range) produces a very broad absorption band for the PSIF-100 sample. Since the PSIF is D-A block copolymer,<sup>100</sup> the internal charge transfer (ICT) phenomena along the polymer chain tends to increase the light absorption in the visible range compared to the homopolymers P3HT and PPV. Among these two materials, the P3HT has a higher absorption coefficient than PPV<sup>101</sup> in the range between 400 and 600 nm.

Photoluminescence response of P3HT-0 is shown in Fig. 4(a). It has a well-defined maximum emission at 646 nm, and a less energetic shoulder at 720 nm.<sup>102</sup> The emission of the P3HT-40, P3HT-70 and P3HT-100 samples were blue-shifted and broadened compared to P3HT-0 due to the formation intermixed morphology between  $PC_{71}BM$  and P3HT.<sup>103</sup> Those effects are also related to the increase of the conformational disorder which tends to reduce the effective conjugation length.<sup>104</sup> The intensity of the polymer's emission was gradually quenched with the increase amount of fullerene (for the P3HT-100 nanoparticles the PL of the SPNs is quenched by 87% compared to the emissions of the P3HT-0 sample, see Table 1).

Photoluminescence intensity in Fig. 4(b) for PPV-0 has characteristic peaks at 585 nm, 632 nm and 695 nm, respectively.<sup>105</sup> With the fullerene doping, 585 nm peak is blue shifted by around 2 nm relative to the same band observed in the SPNs of pure PPV. Again, those features can be explained by the reduction of the effective conjugation length due to steric effects produced by the presence of the  $PC_{71}BM$  molecule. As it was observed for the P3HT, the PL of the MDMO-PPV nanoparticles is gradually quenched with the  $PC_{71}BM$  concentration. This quenching reaches 97% of the PPV-0 emission for the sample with the highest amount of fullerene (PPV-100) (see Table 1). Finally, the PPV-70 and PPV-100 samples shows a small peak around 709 nm. Since the fullerene has a weak absorption around 532 nm (the wavelength used to excite the samples), this band corresponds to emissions from the  $PC_{71}BM$ .<sup>106</sup> It was found that the charge transfer from the fullerene to the polymer is not efficient which decreases the PL quenching efficiency when the exciton is formed in the acceptor.<sup>56</sup>

Photoluminescence emissions of PSiF-DBT nanoparticles are shown in Fig. 4(c). In contrast to the absorption spectrum that has two peaks, the PL of PSiF-DBT samples is dominated by a single broad peak at approximately 686 nm, in a broader range wavelength including the small peak around 709 nm. The presence of a single emission was also observed for PSiF-DBT

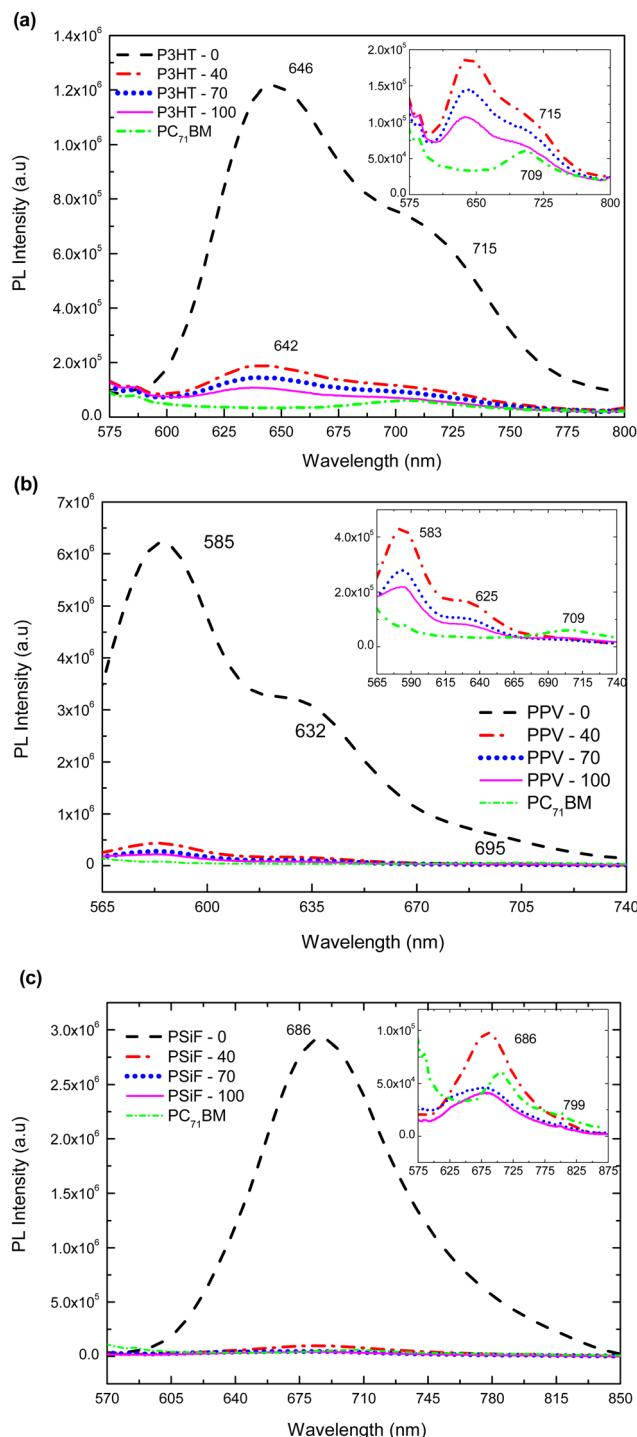


Fig. 4 Photoluminescence (PL) emission spectra of (a) P3HT, (b) MDMO-PPV and (c) PSiF-DBT for pure conjugated polymer nanoparticles, pure fullerene  $PC_{71}BM$  nanoparticles and polymer/ $PC_{71}BM$  heterojunctions nanoparticles with fullerene doping amount of 40%, 70% and 100%. The inset in PL spectra shows the emission intensity for samples of fullerene doping amount of 40%, 70%, 100% and  $PC_{71}BM$  nanoparticles.

films. This feature was attributed to an efficient energy transfer between the SiF segment to the DBT unit of the chain in the solid state.<sup>107</sup> This transfer extinguishes the emission from the SiF segment at 416 nm that is observed when the PL spectrum



**Table 1** Photoluminescence emission quenching efficiency of the polymer/PC<sub>71</sub>BM heterojunction nanoparticles for the conjugated polymers P3HT, MDMO-PPV and PSiF-DBT as function of fullerene concentration

Heterojunctions	PL quenching efficiency (%)		
	PC <sub>71</sub> BM weight percentage (%)		
Heterojunctions	40	70	100
P3HT:PC <sub>71</sub> BM	80.6	84.5	86.0
MDMO-PPV:PC <sub>71</sub> BM	94.8	96.4	97.0
PSiF-DBT:PC <sub>71</sub> BM	95.7	97.6	97.6

of PSiF-DBT is measured in solution.<sup>107</sup> As it happened for the other polymers, the emission of the PSiF-DBT nanoparticles is quenched with the PC<sub>71</sub>BM doping. This quenching is approximately 97% for the PSiF-DBT-100 sample (see Table 1). Finally, for the PSiF-70 and PSiF-100 SCNP samples there are also a weak emission band around 799 nm that probably corresponds to the PL from PC<sub>71</sub>BM.

Table 1 summarizes the efficiency of photoluminescence quenching for the different kinds of SPNs as a function of fullerene concentration. The quenching efficiency increases with the percentage of PC<sub>71</sub>BM weight specially from 40% to 70% but tends to saturate when this concentration further rises to 100%. The increasing quenching is due to the non-radiative deactivation of excitons in the donor derived from the efficient photo-induced charge transfer between the polymer and the PC<sub>71</sub>BM.<sup>59</sup> This effect suggests that the donor and the acceptor are indeed in close contact inside the SPNs since the simple physical mixture of a polymer and the PC<sub>71</sub>BM does not produce a high percentage of fluorescence extinction.<sup>59</sup>

The PT measurements described in the next section will demonstrate that the PL quenching induced by the fullerene doping has a negative correlation with the enhancement of non-radiative heat generation after light excitation. The reasons behind this correlation will become clear in Section 4.4.

#### 4.3 Photo-thermal measurements

The specific heat capacity of the nanoparticles obtained using the DSC measurements are displayed in Table S1 (ESI†). Here we are going to apply those results to study the photo-thermal effect of the SPNs after laser illumination.

The SPNs time-dependent heat generation  $dH/dt$  in the isothermal mode at 27 °C (measured following the experimental procedures described in Section 2.5) are shown in Fig. 5. After an initial period of 3 minutes with the laser OFF, the samples were periodically illuminated by alternating laser ON and OFF periods of 5- and 2 minutes duration, respectively, always keeping a fixed irradiation intensity of 312 mW cm<sup>-2</sup>. During the starting period of 3 minutes without illumination, the system reaches the heat flow of thermal equilibrium (0 mW). When the laser source is turned on, however, there is a sudden positive variation in  $dH/dt$  which indicates the presence of an exothermic process in the sample. The magnitude of the heat flow increases with the number of laser irradiation cycles but tends to saturate after 3 (PPV) and 4 (P3HT and PSiF) illumination periods. The pure polymer and

fullerene samples followed similar  $dH/dt$  variations but the magnitude of the heat flow raised with the PC<sub>71</sub>BM doping. The  $dH/dt$  reached a steady-state magnitude of 350.54 (506.35) mW g<sup>-1</sup> (Fig. 5(a)), 416.65 (570.62) mW g<sup>-1</sup> (Fig. 5(b)), and 407.86 (505.26) mW g<sup>-1</sup> (Fig. 5(c)) for the P3HT-0(100), PPV-0(100), and PSiF-0(100) samples, respectively. The higher variations of the heat flow were thus observed for the P3HT and PPV nanoparticles, but the highest magnitude was found for the PPV-100 sample. The enhancement of the heat flow with the fullerene doping is in line with the PL quenching induced by the higher concentration of PC<sub>71</sub>BM in the photoluminescence measurements described above.

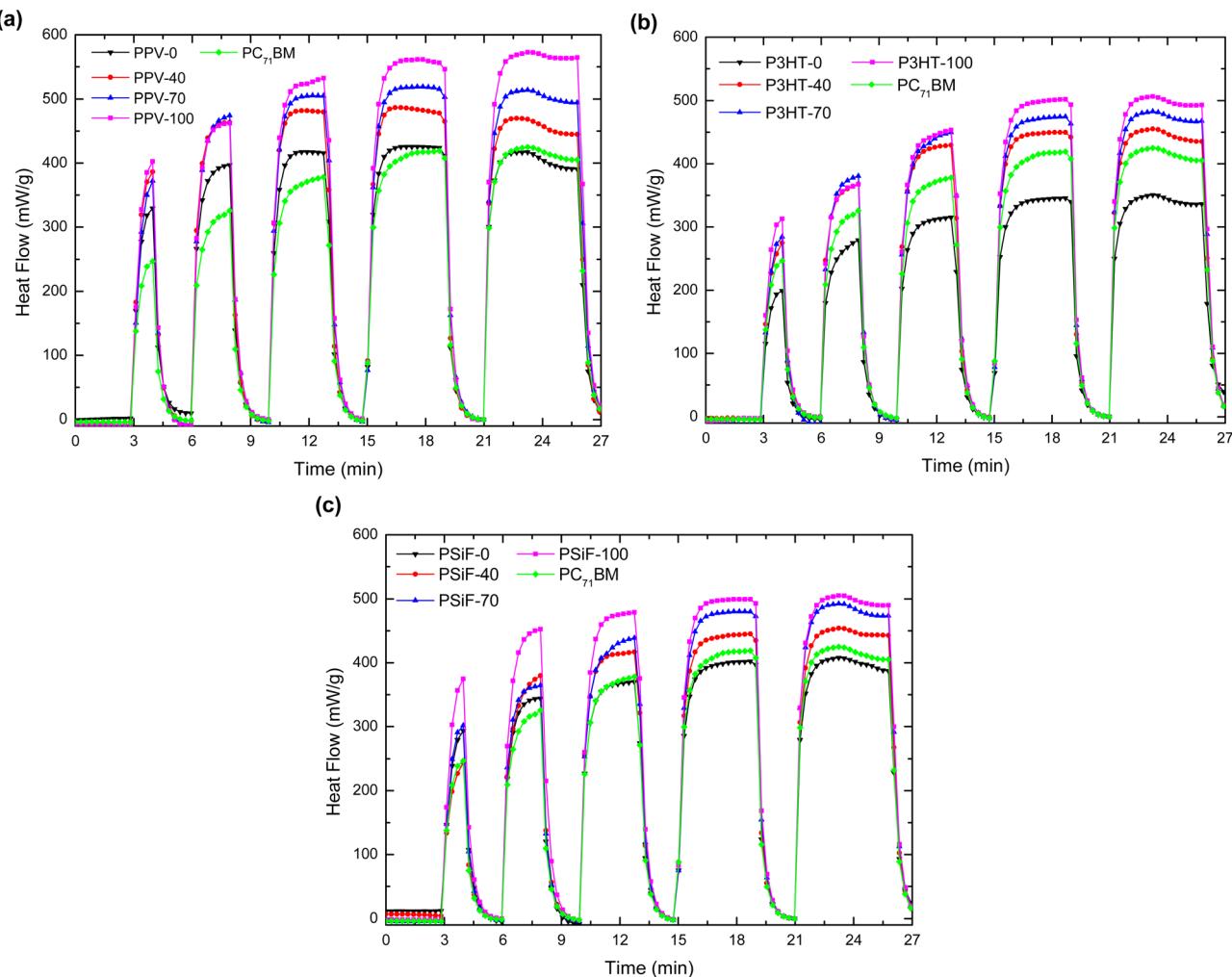
It follows from eqn (3) that the increase of the area below the  $dH/dt \times t$  curve in Fig. 5 corresponds to a higher amount of heat ( $Q$ ) produced by laser illumination. Upon removal of the photoexcitation, the heat flow drops to values close to 0 mW within the OFF-period interval of 120 seconds. Fig. 5 shows that all the samples displayed good photostability throughout the illumination cycles. Just after 4 minutes of irradiations all the samples displayed a slight decrease of the heat flow, probably associated to some photodegradation of the materials that form the SPNs.<sup>108,109</sup>

The magnitude of  $Q$  generated during the laser illumination is obtained by integrating the curves of Fig. 5. Using eqn (4), the specific heat at 27 °C in Table S2 (ESI†), and the mass of the samples in Table S3 (ESI†), one can then calculate the temperature variation ( $\Delta T$ ) produced by the light excitation after each cycle of laser irradiation. The final temperature ( $T_f$ ) is obtained by  $T_f = \Delta T + 27$  °C (see Fig. 6). After the 5th cycle, the temperature of the solution increases by 1.88-fold for P3HT-0 (final temperature  $T_f = 50.9$  °C), 2.0 fold for PPV-0 ( $T_f = 54.4$  °C), and 1.84-fold for PSiF-0 samples ( $T_f = 49.8$  °C). However, those temperature changes are significantly enhanced with the fullerene doping. After the same duration of laser irradiation, the solution temperature is elevated by 2.5-fold for P3HT-100 ( $T_f = 69.8$  °C), 3.0-fold for PPV-100 ( $T_f = 80.7$  °C), and 2.75-fold for PSiF-100 samples ( $T_f = 74.4$  °C). For comparison, when the aluminum pan was filled only with water, the temperature increased by 3 °C after the 5th cycle of laser illumination. Again, the fullerene doped PPV samples showed the highest temperature variations in agreement with the heat flow measurements of Fig. 5(b).

Table 2 shows the PCE calculated using eqn (5) for  $\Delta t = 900$  s (15 minutes). The value of  $\alpha$  in eqn (5) was found by averaging the time constants of the heat flux decay observed just after switching off the laser. This average considered all cycles and curves of Fig. 5 and gave  $\alpha = 0.054 \pm 0.013$  s<sup>-1</sup>. Fig. S4 (ESI†) exemplifies one fitting of the ensemble used to determine  $\alpha$ . In addition, the magnitude of  $Q_{sol}$  was assumed to be 2.3 J which is the heat generated when the photothermal procedures (depicted in Section 2.5) are repeated filling the aluminum sample pan only with water.

The photothermal efficiencies for polymer-only nanoparticles in Table 2 are comparable with the ones reported for some low-band gap copolymers (around 68%).<sup>110</sup> The values of PCE are high (above 50% for the MDMO-PPV and PSiF-DBT





**Fig. 5** DSC thermograms of samples under 532 nm laser irradiation in an isotherm of 27 °C, of pure conjugated polymer nanoparticles, pure fullerene PC<sub>71</sub>BM nanoparticles and polymer: PC<sub>71</sub>BM heterojunctions nanoparticles with fullerene doping amount of 40%, 70% and 100% for (a) P3HT, (b) MDMO-PPV and (c) PSiF-DBT.

systems or close to it for the P3HT SPNs) even in the absence of fullerene doping. Inter-chain interactions (that are especially strong in nanoparticles) might result in a forbidden character of the lowest optical transition of some polymers.<sup>111</sup> Consequently, it is expected that most of the excitons undergo a non-radiative decay under those conditions.<sup>111</sup>

As observed for other properties reported above, the PCE increases with the fullerene doping to reach a maximum for the samples with 100% PC<sub>71</sub>BM concentration. This enhancement is higher for the SPNs of P3HT (40%) and MDMO-PPV (29%) and lower for the PSiF-DBT (22%). The efficiency of photo-thermal conversions reached by the PPV-100 nanoparticles are comparable to the ones found in highly efficient systems specifically fabricated to optimize photo-thermal conversion.<sup>112</sup>

The luminescence quenching displayed in Table 1, the variations in the solution temperature showed in Fig. 6 and the PCE enhancement of Table 2, all coincide well with each other. The amplification of those effects is consistent with the photo-induced electron transfer from the polymer to the acceptor as illustrated in Fig. 2(a) that leads to the loss of luminescence

intensity and a corresponding gain in heat generation from non-radiative recombination. In fact, the systems with lower (higher) PL are the systems with higher (lower) values of photothermal PCE. As long-lived triplet excited species produced by intersystem crossing are improbable in polymer/fullerene systems,<sup>113</sup> the fluorescence emission from the polymer excitation competes with the non-radiative and radiative recombination from the CT state created after the electron transfer. Hence one can apply the kinetic approaches originally proposed to describe the charge transfer at donor/acceptor heterojunctions of OSCs to understand the results of the photo-thermal measurements. We developed these ideas in the next section.

#### 4.4 Theoretical results

In this section we use calculations based on DFT and TDDFT methods and the kinetic model briefly described in Section 3.1 to assess key features of the donor/acceptor systems considered in the photo-thermal experiments.

Fig. 7(a) shows the optimized geometry of the P3HT, MDMO-PPV and PSiF-DBT pentamers used in our DFT and TDDFT



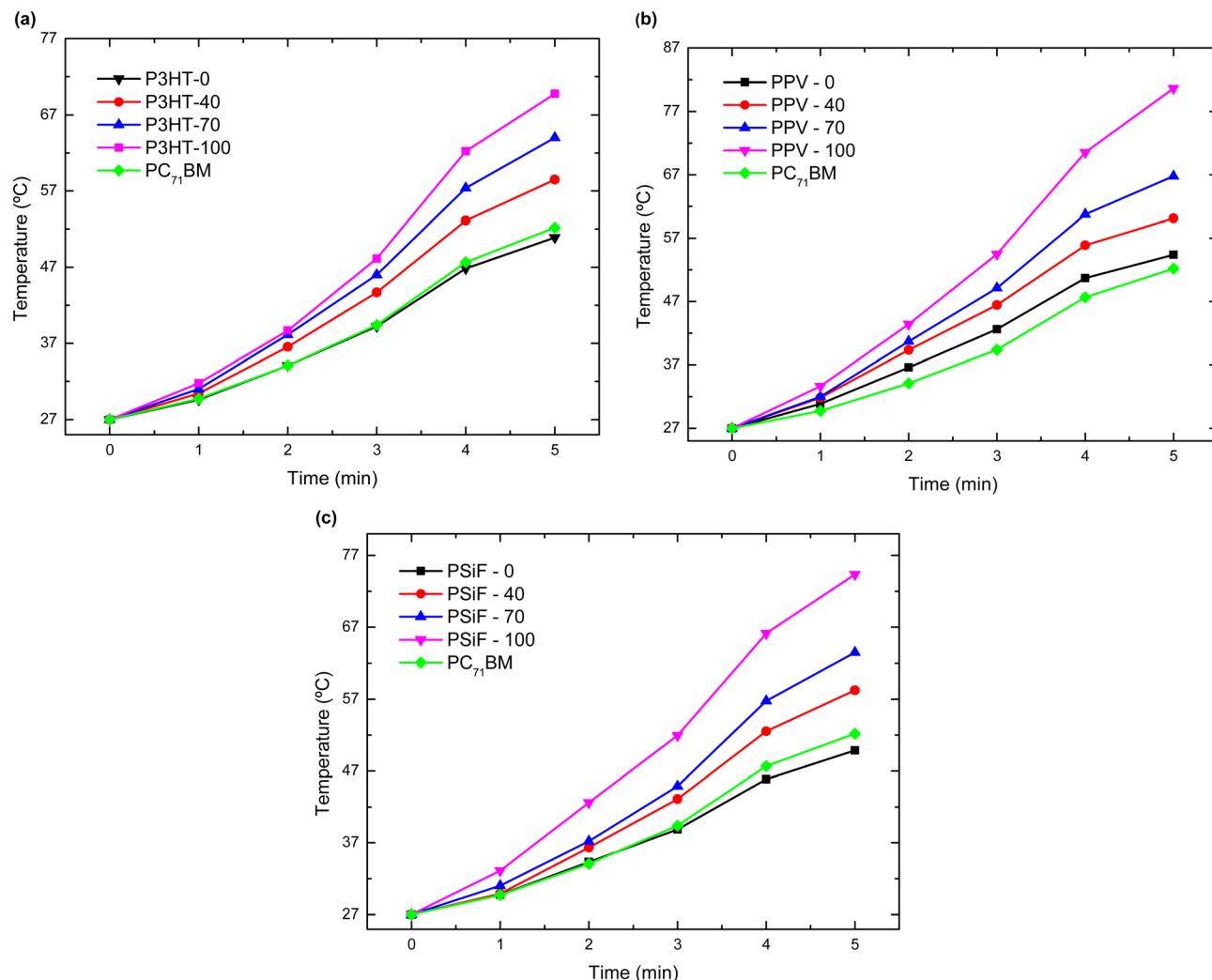


Fig. 6 Final temperature in °C at the corresponding period of time samples were irradiated in isotherm, of pure conjugated polymer nanoparticles, pure fullerene PC<sub>71</sub>BM nanoparticles and polymer: PC<sub>71</sub>BM heterojunctions nanoparticles with fullerene doping amount of 40%, 70% and 100% for (a) P3HT, (b) MDMO-PPV and (c) PSiF-DBT.

Table 2 Simulated percentage of the photo-thermal conversion efficiency (PCE) ( $\eta$ ) for SPNs samples of pure conjugated polymers and heterojunctions nanoparticles with fullerene doping amount of 40%, 70% and 100%

Heterojunctions	Photothermal conversion efficiency ( $\eta$ ) (%)				
	PC <sub>71</sub> BM weight percentage (%)	0	40	70	100
P3HT:PC <sub>71</sub> BM	47.5	59.4	65.3	66.7	
MDMO-PPV:PC <sub>71</sub> BM	57.8	64.3	74.5	74.8	
PSiF-DBT:PC <sub>71</sub> BM	54.1	62.2	62.2	69.5	

calculations. The PSiF-DBT chain is considerably longer than the chain of the other oligomers. In this context, it is important to determine the value of the exciton binding energy (a key parameter to characterize the charge transfer process) that it is not constrained by differences in lengths of the oligomer's chains. Hence, Fig. 7(b) depicts the procedure followed to

determine the (1/n) extrapolation of the exciton binding energy ( $E_b$ ) for those polymers. The value of  $E_b$  for very long chains was obtained after fitting a straight line to the values of  $E_b$  found for oligomers with 2, 3, 4 and 5 mers. The resulting values are in Table 3. As expected, the internal charge transfer along the PSiF-DBT chain significantly reduces the exciton binding energy compared to the  $E_b$  calculated for the other two homopolymers. The higher electron delocalization along the hexagonal rings of the PPV backbone tends to lower  $E_b$  relative to the P3HT for finite chains. Yet the difference in the exciton biding energy between these two polymers tends to decrease for longer chains.

Table 3 also shows the exciton biding energy of the CT state ( $E_{b,CT}$ ) that is obtained from the fitting of eqn (8) to the experimental quenching efficiencies ( $Q_D$ ) in Table 1 (for the samples with 100% fullerene doping). This table also compares the  $Q_D$  found from the PL experiments with the theoretical quenching calculated from eqn (8).

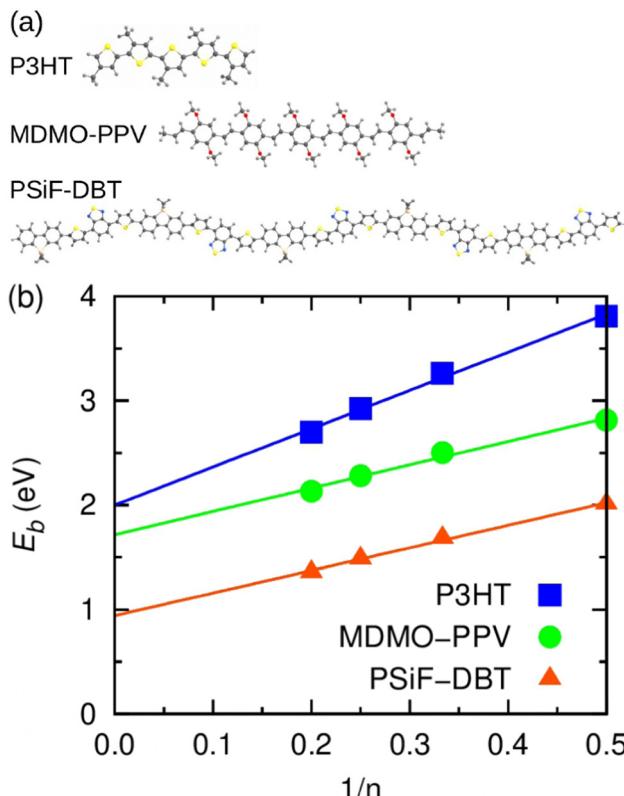


Fig. 7 (a) Ground state geometry of oligomers containing five units of repetition. (b) Exciton binding energy versus the reciprocal of the number of oligomer units. The lines are linear extrapolations to large values of  $n$ .

Table 3 Binding energies (in eV), quenching efficiency (in %), and the fraction of CT excitons that recombine at the D/A heterojunction

Blends	$E_b^a$	$E_b/\varepsilon^b$	$E_{b,CT}^c$	$Q_D - \text{Exp.}$	$Q_D - \text{Theo.}$	$R_{CT}^d$
P3HT/PC <sub>71</sub> BM	2.00	1.00	0.76	85	85	0.85
MDMO-PPV/PC <sub>71</sub> BM	1.72	0.86	0.86	97	95	0.95
PSiF-DBT/PC <sub>71</sub> BM	0.94	0.47	0.38	97.6	96	0.67

<sup>a</sup> Binding energy of the donor exciton extrapolated to large values of  $n$ .

<sup>b</sup> Solid-state exciton binding energy ( $\varepsilon$  was considered here to be equal to 2).

<sup>c</sup> Adjusted from  $E_b/\varepsilon$  to best correlate the theoretical quenching with the experimental one for the 100% samples.

<sup>d</sup> Those rates were calculated assuming that the total population of exciton generation in the donor is equal to 1.

One can see that there is a reasonable agreement between theory and experiment. This result suggests that the strong dielectric stabilization of the two charges forming a CT state is more effective to compensate the coulomb binding of a Frenkel exciton in the solid state for the MDMO-PPV/PC<sub>71</sub>BM nanoparticles. Consequently,  $E_b \approx E_{b,CT}$  for this system<sup>114</sup> so that the driving force for the CT separation is considerably increased. In contrast,  $E_{b,CT}$  is considerably lower than  $E_b$  for the PSiF-DBT/PC<sub>71</sub>BM system. This indicates that the electron-transfer and separation processes are more effective for the PSiF nanoparticles as can be deduced from the rates in Fig. 8.

Fig. 8 compares the characteristic rates of the processes involved in the charge transfer and recombination at the D/A

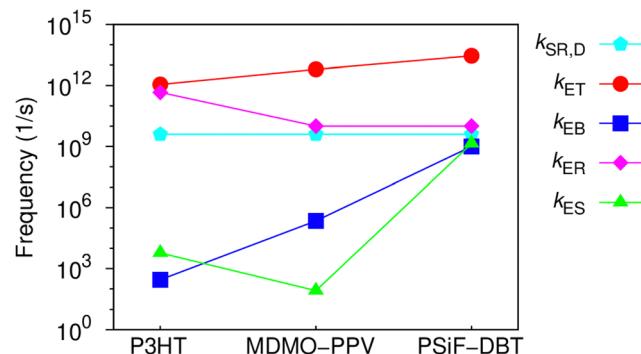


Fig. 8 Averaged rates over  $10^4$  runs of simulation for electron transfer, back, recombination and separation. A typical value of the singlet exciton recombination lifetime,  $\tau = 250$  ps, was used to obtain  $k_{SR,D} = 1/\tau$  for the polymers.

heterojunction (Fig. 2(a)) for the three systems considered in this work. Fig. S6 (ESI†) shows the diagrams representing the energy levels and magnitudes of transfer rates after donor photoexcitation. Those results confirm that the PSiF-DBT/PC<sub>71</sub>BM system have the higher rate for charge transfer ( $k_{ET}$ ) which explains the great efficiency of those nanoparticles to quench the PL induced by laser excitation. Indeed,  $k_{ET}$  tends to be determined by the magnitude of the exciton binding energy in the donor so that it increases when  $E_b$  decreases (faster values of  $k_{ET}$  are essential to enhance  $Q_D$ ). Due to higher binding energy of the CT exciton,  $k_{ES}$  is considerably slower for the MDMO-PPV system compared to the other rates reported in Fig. 8. Consequently, once the CT exciton is formed through the fast electron transfer from the MDMO-PPV to the fullerene, this state is not easily dissociated into free charges.

This feature increases the population of CT excitons and enhance the CT recombination at the D/A interface ( $R_{CT}$ ) calculated from eqn (4) (last column of Table 3).  $R_{CT}$  is then higher for the MDMO-PPV/PC<sub>71</sub>BM and lower for the PSiF-DBT/PC<sub>71</sub>BM systems. In the P3HT system,  $R_{CT}$  is relatively high due to the contribution of  $k_{ER}$ . The lower value of  $E_{CT}$  at the P3HT/PC<sub>71</sub>BM heterojunction (see Fig. 1(e)) considerably increased the recombination rate of the CT state for this system.

At this point it is interesting to note that  $R_{CT}$  can also be interpreted as the fraction of the excitons created in the donor that are deactivated by recombination of the CT exciton formed at the D/A interface. Following this interpretation, the fact that  $Q_D \sim R_{CT}$  for the P3HT and the MDMO-PPV systems (see Table 3) indicates that almost all excitons created in those polymers will form CT excitons that ends up by recombining at the D/A heterojunction. On the other hand,  $Q_D > R_{CT}$  for the PSiF-DBT nanoparticles which suggests that a lower fraction of excitons is deactivated using the CT recombination pathway in this system. This result agrees with the fact that a higher fraction of CT excitons can dissociate into free charges at the PSiF-DBT/PC<sub>71</sub>BM interface compared to the other D/A systems considered here.

#### 4.5 Discussion

The results reported in Sections 4.1, 4.2, and 4.3 indicates that the increase of the photo-thermal effect upon fullerene doping



is related to an enhancement of the non-radiative (NR) recombination produced by the decay of the CT excitons formed at the donor/acceptor heterojunction.

However, before discussing the results for nanoparticles doped with fullerene, it is important to estimate the rate of NR recombination ( $k_{SR,D-NR}$ ) for the polymer-only SPNs. The determination of this rate will help us correlate the enhancement of the photothermal conversion produced by the addition of the acceptor with the CT recombination rate found using the kinetic model. Assuming that  $k_{SR,D-NR} = \eta \cdot k_{SR,D}$ , using the values of the PCE in Table 3 for the polymer-only samples and  $k_{SR,D} = (250 \text{ ps})^{-1}$ , we find that  $k_{SR,D-NR}$  is  $1.90 \times 10^9 \text{ s}^{-1}$ ,  $2.31 \times 10^9 \text{ s}^{-1}$ , and  $2.16 \times 10^9 \text{ s}^{-1}$  for the P3HT, MDMO-PPV, and PSiF-DBT, respectively. Hence the MDMO-PPV has the fastest non-radiative recombination rate even in the absence of fullerene.

The NR rate of conjugated polymers/fullerene systems tend to follow the so-called energy-gap (EG) law.<sup>115,116</sup> The EG anticipates an exponential enhancement of the probability of non-radiative exciton decay upon decreasing the band gap. This behavior originates from the higher overlap between the excited and the ground state vibrational manifolds. The three polymers considered here have different but very close HOMO-LUMO energy gaps (1.9, 2.2, and 1.8 eV for the P3HT, MDMO-PPV, and PSiF-DBT, respectively, see Fig. 1(e)) so that it is difficult to associate the small variations in the non-radiative recombination rates calculated above with the EG law. Those differences in  $k_{SR,D-NR}$  might then derive from a complex interaction of many factors like variations in the rigidity of the molecular structure,<sup>115</sup> the degree of exciton delocalization<sup>115,117</sup> or changes in the intermolecular interactions determined by the chain packing inside the nanoparticles.<sup>117</sup> Those morphological characteristics might affect that ground-state vibrational manifold or the forbidden character of the lowest optical transition.<sup>111</sup>

Let us now turn to the results for the nanoparticles doped with fullerene. In principle, not every NR decay in those systems occurs by the deactivation of the CT exciton at the D/A heterojunction. The exciton can either recombine before reaching the heterojunction (which decreases  $Q_D$ ) or dissociate into free charges after forming the CT state (which decreases  $R_{CT}$ ). Hence, assuming that  $Q_D$  quantifies the proportion of exciton that effectively reach the heterojunction and are able to form the CT state, we propose that the non-radiative recombination rate of the CT state is weighted by the ratio between  $R_{CT}$  and  $Q_D$  in the form

$$k_{ER-NR} = (R_{CT}/Q_D) \cdot \eta \cdot k_{ER}, \quad (10)$$

so that the NR rate is  $k_{ER-NR} = \eta \cdot k_{ER}$  when almost all exciton quenching happens through the CT recombination pathway (when  $R_{CT} \sim Q_D$ ).

Using eqn (10), the data in Table 3 and the  $k_{ER}$  rates of Fig. 8,  $k_{ER-NR}$  is  $3.1 \times 10^{11} \text{ s}^{-1}$ ,  $7.4 \times 10^9 \text{ s}^{-1}$ , and  $4.8 \times 10^9 \text{ s}^{-1}$  for the P3HT, MDMO-PPV, and PSiF-DBT, respectively. Since the NR rates from eqn (10) are higher compared to the NR rates for polymer-only SPNs ( $k_{ER-NR} > k_{SR,D-NR}$ ), it is then clear why the fullerene doping enhance the photo-thermal effect.  $k_{ER-NR}$  is more than two orders of magnitude faster than  $k_{SR,D-NR}$  for the

P3HT system which is in qualitative agreement with the greater enhancement of  $\eta$  between the P3HT-0 and the P3HT-100 samples. As mentioned above, the higher value of  $k_{ER-NR}$  for the P3HT/PC<sub>71</sub>BM nanoparticles is a direct consequence of lower value of the effective gap ( $E_{cs} = \text{HOMO}_D - \text{LUMO}_A$ ) at this heterojunction.<sup>115</sup> Despite the greater increase of  $\eta$  for the P3HT system, the nanoparticles of MDMO-PPV/PC<sub>71</sub>BM are the most efficient to generate heat upon laser excitation. The main reason behind this better performance of the PPV system lies in the fact that it has a faster electron transfer rate ( $k_{ET}$ ) than the P3HT system. Consequently, the PPV/fullerene sample is more efficient to quench the excitons. In addition, the slower rate for charge separation ( $k_{ES}$ ) at the PPV/fullerene heterojunction (see Fig. 8) increases the CT population which enhances  $R_{CT}$ . This system is efficient to form CT excitons by the electron transfer from the polymer to the acceptor but is not efficient to dissociate them. Thus, most of the CT excitons ends up by being deactivated using the NR recombination pathway.

One important morphological factor that might contribute to the strong quenching observed for the PPV-100 samples is the shorter diameter of those nanoparticles compared to the SPNs of the other systems (see Fig. 3(c) above). Since the excitons diffusion length is around 10 nm, the reduced volume of those SPNs increases the probability that excitons created in the polymer will be able to reach the D/A heterojunction. On the other hand, after the formation of the CT state, the proximity between the electron (in the donor) and the hole (in the acceptor) tends to increase the Coulomb attraction between them. The smaller size effect can then further decrease the  $k_{ES}$  rate and favors the electron-hole recombination. It also tends to reduce the average mass of PPV-based nanoparticles compared the other systems. All those features contribute to explain the higher temperatures reached by the SPNs of MDMO-PPV/PC<sub>71</sub>BM after laser excitation.

A recent study involving a large data set of published and new material combinations of donor/fullerene heterojunctions for organic solar cells found that non-radiative voltage losses decrease with increasing  $E_{CT}$ .<sup>58,118</sup> This trend was in accordance with the inverted Marcus regime for non-radiative transition rates in organic donor/acceptor systems and is related to vibrational overlap between the wave functions of the CT and ground states (see discussion below).<sup>58</sup> In principle those results could be described by assuming an effective EG law of non-radiative voltage losses:<sup>118</sup>

$$k_{ET-NR} \propto \exp(-\gamma E_{CT}), \quad (11)$$

where  $\gamma$  is a constant. The physical origin behind eqn (11) was assigned to intramolecular vibrations in the conjugated organic material.<sup>84</sup> We will check the validity of the EG law by comparing the ratio of the rates obtained from the kinetic model with the rates calculated using eqn (11).

Inspired in Fig. 2(b), one can assume that a better approximation to  $E_{CT}$  is  $E_{CT}^* = E_{cs} - E_{b,CT}$ . From the data in Fig. 1(e) and Table 3,  $E_{CT}^*$  is 0.54, 0.64 and 1.1 eV for the P3HT, MDMO-PPV and PSiF-DBT systems, respectively. Consequently, the values of  $k_{ET-NR}$  estimated above are in qualitative agreement

with the trend reported in ref. 58 (eqn (11)) so that the NR rate decreases with increasing  $E_{CT}^*$ . In fact, the ratio between the NR rates for the PPV-100 and P3HT-100 samples calculated applying eqn (10) is  $k_{ER-NR}^{PPV}/k_{ER-NR}^{P3HT} \approx 42$ . On the other hand, if one assumes that  $\gamma = (kT)^{-1}$  at room temperature in eqn (11), the ratio between those rates is  $\exp[-\gamma(E_{CT}^{P3HT} - E_{CT}^{PPV})] \approx 47$ . Hence, the NR rates obtained from the application of the kinetic model and eqn (10) are in reasonable accordance with the EG law expressed in eqn (11).

However, the agreement between the kinetic model and eqn (11) fails for the PSiF-DBT system. The reason behind this deviation lies in the small energy shift between the LUMO of the polymer and the LUMO of the fullerene ( $\Delta E_{LUMO}$ ). For the PSiF-DBT/PC71BM system,  $\Delta E_{LUMO} \approx 0.3$  eV which tends to decrease the energy difference between the local excited state of the donor (LE) and the CT state ( $\Delta E_{LE-CT}$ ). It was found that when  $\Delta E_{LE-CT} \leq 0.3$  eV the effective EG law starts to fail for the polymer/fullerene blends since the non-radiative rate becomes dominated by the thermal population of the LE state.<sup>118</sup>

Recently some studies have evidenced the important role played by high frequency molecular modes on the intrinsic voltage loss in donor/acceptor OSCs.<sup>119</sup> Under those circumstances the use of the simple Marcus theory is questionable since it can underestimate the NR rates. Considering a simplified two state model that involves only the CT and ground states, indeed the NR rate from the relaxed CT to a vibrationally excited ground state is proportional to the vibrational overlap between the wave functions of the two electronic configurations.<sup>58</sup> However, the influence of this overlap on  $k_{ER-NR}$  becomes less important with increasing  $E_{CT}$ . The systems studied here have  $\Delta E_{LUMO}$  higher than 0.3 eV. In addition, when the reorganization energy is subtracted from  $E_{CT}$  in eqn (7) (as it was done here), the rates calculated using the simple Marcus theory are similar to more sophisticated approaches like the Marcus–Levich–Jortner model.<sup>58</sup>

Finally, as an additional insight, our results can anticipate the non-radiative voltage loss ( $\Delta V_{nr}$ ) of the blends under consideration. This parameter is important to gauge the photovoltaic properties of the OSCs active layer.  $\Delta V_{nr}$  is related to the external electroluminescent quantum efficiency (EQE<sub>EL</sub>) by  $\Delta V_{nr} = -(1/e\gamma)\ln(\text{EQE}_{EL})$ , where EQE<sub>EL</sub> depends on the radiative and non-radiative recombination rates so that  $\text{EQE}_{EL} \propto k_{ER-R}/(k_{ER-NR} + k_{ER-R})$ . Consequently,  $\Delta V_{nr}$  increases when  $k_{ER-NR}$  increases. If one assumes that the NR recombination is dominant over the radiative recombination at the heterojunction, we anticipate a higher open voltage loss for the P3HT/fullerene blend since  $k_{ER-NR}^{P3HT} > k_{ER-NR}^{PPV} > k_{ER-NR}^{PSiF}$ . Table S5 of the ESI<sup>†</sup> surveys the values of  $V_{oc}$  and  $E_{cs}$  reported in the literature for OSCs using the blends of the polymers considered here with PC<sub>61</sub>BM (the data reported in the literature for this fullerene is more abundant than the data for blends with PC<sub>71</sub>BM). We believe that the polymer/PC<sub>61</sub>BM heterojunctions retains photophysical characteristics of the polymer/PC<sub>71</sub>BM systems. The parameters showed in Table S5 (ESI<sup>†</sup>) were measured for different research groups around the world involving 24, 22, and 4 devices fabricated using the P3HT/PC<sub>61</sub>BM, MDMO-PPV/PC<sub>61</sub>BM, and PSiF/PC<sub>61</sub>BM blends, respectively. The voltage loss obtained averaging all those results is

0.71 V (P3HT), 0.66 V (MDMO-PPV), and 0.62 V (PSiF-DBT) which corresponds to the sequence of magnitudes of  $k_{ER-NR}$  derived from our analysis.

## 5. Nanoparticle biocompatibility: preliminary study

SPNs are considered functional nanomaterials and have a wide range of applications in different fields. Their biomedical applications are being explored specially in diseases with limited therapy efficacy, such as cancers, as photo-therapeutic agents. However, promising outcomes as therapeutic or theragnostic agents, likewise any other biomaterial, SPNs biocompatibility is crucial to the intended use. Regarding biological investigation, *in vitro* cytotoxicity determination is considered a gold standard to screen new therapeutic agents, biological devices, or any other material with biomedical intended use. Neutral red uptake by murine fibroblasts (Balb/3T3 cloneA31) cultivated *in vitro* is the main test used in this context and has been validated and standardized for this purpose by several different organizations (such as the International Organization for Standardization – ISO, the National Toxicology Program (NTP)), the Interagency Center for the Evaluation of Alternative Toxicological Methods (NICEATM), the European Centre for the Validation of Alternative Methods [ECVAM].<sup>120,121</sup> Therefore, this methodology using this cell line was employed in this work to determine MDMO-PPV/PC71BM nanoparticles biocompatibility by screening a wide range of nanoparticles concentration (from nanograms to micrograms). Considering these nanoparticles prospective applicability in cancer therapy, we have also tested their cytotoxic effects on a highly metastatic murine melanoma cell line (B16-F10). Toxicity often leads to metabolic cells failure consequently driving to changes in cells morphology and attachment. In this context, we have also investigated MDMO-PPV/PC71BM nanoparticles effects on cell culture by comparing the cell density after treatment with cells that were not exposed to these nanoparticles. Four independent experiments for each cell line and methodology were run and concentrations that reduced 30% the viability were considered cytotoxic, as defined by ISO 10993-5:2009. Outlier data was evaluated by statistical tests, but no outliers were identified, demonstrating experimental reproducibility. Therefore, encouraged by our results, we performed initial biocompatibility experiments using MDMO-PPV/PC71BM with 1:1 fullerene (w/w%) (ESI<sup>†</sup> 9). As observed in the Fig. S7 (ESI<sup>†</sup>), concentrations up to 30  $\mu\text{g ml}^{-1}$  in biological protein-rich environment were not considered cytotoxic *per se* neither to fibroblasts nor to melanoma cells. Taken all the results together we can assume that this range of concentrations are good starting points to further testing, especially considering that one desirable characteristic is to avoid normal tissue damage induced by cytotoxicity. Nevertheless, there was a clear concentration-dependent tendency to decrease melanoma cells viability that was not observed to fibroblasts.

The results indicate that these SPNs might have dual activity when combining laser application to their intrinsic effects to



melanoma, and investigation of the physical processes that correlates PL quenching with PT effect in polymer/fullerene semiconducting nanoparticles in cellular environment deserves further investigation.

## 6. Concluding remarks

Semiconductive polymers nanoparticles (SPNs) with increasing fullerene ( $PC_{71}BM$ ) doping were produced and analyzed to determine photothermal efficiency and photoluminescence quenching. Our results clearly evidenced an increasing negative correlation between these two phenomena with doping. This negative correlation was observed to SPNs synthetized using different polymer types: two homopolymers (P3HT and MDMO-PPV) and one donor-acceptor copolymer (PSiF-DBT). P3HT/ $PC_{71}BM$  and PSiF-DBT/ $PC_{71}BM$  with 1:1 fullerene (w/w%) nanoparticles showed a photothermal energy conversion efficiency of  $\eta \approx 66.7\%$  and 69.5%, respectively, which are typical values for conjugated systems.<sup>25,110</sup> Meanwhile, MDMO-PPV/ $PC_{71}BM$  with 1:1 fullerene (w/w%) showed the highest photothermal energy conversion efficiency ( $\eta \approx 74.8\%$ ). The negative correlation between the PT effect and the PL quenching (upon the fullerene doping) are produced by the photoinduced electron transfer from the donor to the acceptor to generate CT states. The fluorescence emission from polymer would then compete with the non-radiative and radiative recombination of CT excitons. As a general conclusion we found that the enhancement of the PT effect upon doping happens because the rate of non-radiative recombination of singlet excitons in the donor is slower than the deactivation NR rate of the CT states. Since we observed that these NR rates tend to follow an energy gap law,<sup>119</sup> the improvement of the NR recombination through the CT pathway would be produced by a stronger vibrational overlap between the CT state and the ground state when  $E_{CT}$  decreases.

Yet other conclusions (that links the PT effect with the PL and PV effects) can be derived from our analysis.

(i) Since the driving forces for electron transfer are similar in the systems under study, it was found that the PL quenching is essentially determined by the binding energy of the singlet exciton in polymer. Since  $E_b$  is higher for the P3HT system, the SPNs of this polymer showed a lower PL quenching (85%) when compared to the quenching measured for the MDMO-PPV (95%) and PSiF-DBT (96%) systems.

(ii) Once the CT exciton is formed, its recombination or dissociation into free charges is mainly determined by the binding energy of the CT exciton ( $E_{b,CT}$ ).  $E_{b,CT}$  is stronger for the MDMO-PPV system and weakest for the PSiF-DBT system. Hence, the PSiF-DBT SPNs are more effective to convert CT excitons into free charges (which favors the PV effect) compared to the other nanoparticles. In the MDMO-PPV systems, most of the CT excitons end up by recombining. This is the basic reason behind the high value of  $\eta$  for MDMO-PPV/ $PC_{71}BM$  nanoparticles.

(iii) The P3HT/ $PC_{71}BM$  nanoparticle has the highest non-radiative recombination rate of the CT state among the systems studied. Due to the low  $E_{CT}$ , the  $k_{NR}^{P3HT}$  is almost two orders of magnitude faster than the NR recombination of the donor's

singlet. This variation explains the greatest improvement of  $\eta$  observed for the P3HT system with the fullerene doping.

(iv) The analysis developed here using PL and PT experiments together with a kinetic theory and quantum chemistry simulations gives results that are consistent with the magnitude of the PV loss observed in OSCs. This result reasserts that those effects in D/A heterojunctions are intrinsically related to the fast electron transfer between the donor and the acceptor to form CT states.

We finally conclude that the combination of optical and thermal analysis with a simple theoretical model describing the kinetic of charge dissociation at the heterojunction (together with quantum chemistry calculations) is a powerful approach to characterize the recombination process in donor/acceptor systems. This method can be useful in the quest for more efficient organic solar cells or organic nanoparticles aiming at photothermal applications like PT therapy.

## Conflicts of interest

There are no conflicts of interest to declare.

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