



Cite this: *Green Chem.*, 2019, **21**, 6307

Methylformate from CO₂: an integrated process combining catalytic hydrogenation and reactive distillation†

Martin Scott,^a Christian G. Westhues,^a Teresa Kaiser,^b Janine C. Baums,^a Andreas Jupke,^b Giancarlo Franciò ^{*a} and Walter Leitner ^{*a,c}

An integrated two-step process for the production of methylformate (MF) from CO₂, H₂ and MeOH was developed. In the first step, the hydrogenation of CO₂ to a formate-amine adduct is carried out in a biphasic system comprising *n*-decane as the catalyst phase and MeOH as the product phase. In the second step, the resulting methanol solution containing the formate-amine adduct is subjected to reactive distillation for esterification and isolation of methylformate. The selection of the amine played an important role for devising the overall process. Whereas in the hydrogenation step basic amines work best, medium to low basic amines are preferred in the esterification step. 1,2-Dimethyl-imidazole (1,2-DMI) was identified as an effective compromise for the integration of both steps. In the hydrogenation step, a bis(diphenylphosphino)methane ligand tailored with long alkyl chains ensured effective retention of the Ru-catalyst in the non-polar phase allowing straightforward reuse of the catalyst phase. In a semi-continuous set-up, repetitive hydrogenation (8 cycles) led to a total turnover number (TTON) of 38 000 at an average turnover frequency (TOF) of 1400 h⁻¹ with a cumulative catalyst leaching of only 1.4 mol% for P and 2.0 mol% for Ru. Reactive distillation was demonstrated in a continuously operated rectification unit leading to the isolation of MF at the head of the column with a purity of 91.5%.

Received 26th August 2019,
Accepted 29th October 2019

DOI: 10.1039/c9gc03006a

rsc.li/greenchem

Introduction

The interest in the utilization of CO₂ as readily available feed-stock is experiencing a growing momentum in both academia and industry.^{1–6} Carbon dioxide can be “harvested” in high concentration at numerous point sources worldwide facilitating the implementation of carbon capture and utilization (CCU) concepts,^{7,8} including the use of CO₂ as C1-building block for chemical synthesis as an equally attractive and challenging option.^{9–13} These strategies can contribute to the goal of “defossilization” of the chemical value chain, in particular when combined with energy input from renewable resources.¹⁴

Catalytic hydrogenation of CO₂ using transition metal complexes has evolved as a promising valorization strategy providing a range of differently functionalized products.^{9,15–18} In a

“drop-in” approach, CO₂-based products can replace chemical commodities usually produced by the refinement of fossil carbon and eventually lead to a more closed carbon cycle, which is the ultimate goal of a sustainable economy. At the same time, new products or applications may arise with the availability of “green” CO₂-based intermediates.

Among the products that can be obtained by homogeneously catalyzed CO₂ hydrogenation, formic acid (FA) and its derivatives such as methyl formate (MF) have found particular interest for many years.^{19–22} While most studies focus on FA as the target product, MF provides an attractive alternative.²³ In the current fossil-based industry, MF and FA are produced by carbonylation of methanol with CO, both starting materials obtained mainly from natural gas.²⁴ Due to the principle reversibility of the reaction,²⁵ the liquid products have been suggested as easy-to-handle CO surrogates.^{26,27} MF is the key intermediate for the production of FA, but also a direct source for formyl groups in many other chemical products including formate esters and formamides in commodity and specialty chemicals.^{5,28} Furthermore, its use as fuel component has been discussed as octane booster for spark ignition engine fuels.^{29–31}

Considering MF as target for CO₂ hydrogenation, this offers attractive features for the design of integrated reaction/separation processes. In contrast to the endergonic ($\Delta G^\circ = 32.9$ kJ

^aInstitut für Technische und Makromolekulare Chemie (ITMC), RWTH Aachen University, Worringerweg 2, 52074 Aachen, Germany.

E-mail: francio@itmc.rwth-aachen.de, leitner@itmc.rwth-aachen.de

^bAVT.FVT—Chair of Fluid Process Engineering, RWTH Aachen University, Forckenbeckstraße 51, 52074 Aachen, Germany

^cMax Planck Institute for Chemical Energy Conversion, Stiftstraße 34-36, 45470 Mülheim a. d. Ruhr, Germany

†Electronic supplementary information (ESI) available. See DOI: 10.1039/c9gc03006a



mol^{-1}) hydrogenation of CO_2 to FA, the transformation of CO_2 , H_2 and MeOH into MF and water is exergonic ($\Delta G^\circ = -5.28 \text{ kJ mol}^{-1}$) under standard conditions.²⁰ Whereas the isolation of pure FA from the stabilizing reaction media is consequently one of the major challenges in process schemes for CO_2 -based FA production,^{20,32} the moderate polarity ($\epsilon = 8.9$ at 25°C)³³ and low boiling point ($T = 31.7^\circ\text{C}$ at 101.3 kPa) of MF make its isolation appear more straightforward. While the ester MF therefore offers favorable thermodynamics for the overall energy balance and potential benefits in the downstream processing, esterification imposes yet another kinetic barrier to the molecular transformation.

A very recent life cycle assessment indicates that the generation of MF from CO_2 would reduce the global warming impact by 16% as compared with the fossil-based production even when using raw materials and energy from fossil sources.³⁴ Depending on the concentration of CO_2 in the feed, it is advantageous to integrate the carbon capture reference process Rectisol® (CO_2 adsorption in cold methanol)³⁵ with the carbon utilization step (up to 46% saving of the electricity demand) realizing an Integrated Carbon Capture and Utilization process (ICCU). Mandatory conditions to achieve the integration is on the one side the use of the same solvent in both processes, *i.e.* methanol, and on the other side to develop an efficient immobilized catalyst system.³⁴

In the present paper, we address and fulfill these preconditions for the realization of an ICCU process to produce methylformate (MF) from CO_2 and H_2 . We demonstrate that combining catalytic hydrogenation of CO_2 with reactive distillation provides a strategy to effectively balance these seemingly contradicting requirements in a fully integrated two-step process for production of MF from CO_2 , H_2 and MeOH . The process design depicted in Fig. 1 comprises as first step the transition metal complex-catalyzed hydrogenation of CO_2 in a biphasic reaction system to generate high concentrations of an

[FA-amine] adduct in methanol as the product phase. This solution is subjected directly to the second step of reactive distillation inducing the esterification to methyl formate (MF), which is isolated as the distillate at the column head while excess methanol and amine are recycled back at the bottom to the reactor. Water, the by-product of the esterification, accumulates at the bottom of the distillation column and is removed from the recycling stream when reaching a critical concentration.

The process shown in Fig. 1 differs from previous approaches targeting MF by achieving the overall transformation *via* two separate reaction steps that are integrated on a systems level. This allows to optimize reaction rates and equilibria for the two reaction steps independently, leading to higher overall efficiency (*vide infra*). To implement this concept, it is mandatory that the solution employed in the reactive distillation does not contain any active catalyst or metal component as this would promote the back reaction of the first step, *i.e.* the decomposition of FA to hydrogen and carbon dioxide,^{32,36} once the overpressure is released and temperature is increased. The design of the biphasic system for the first step is therefore crucial to achieve the optimized performance.

Results

The choice of methanol as recipient solvent for the formate adducts allows to perform the esterification step directly without the need of solvent replacement. On the other hand, the use of methanol significantly restricts the choice of the catalyst phase to solvents with very low polarity in order to establish a biphasic system. Long chain alkanes are suitable candidates and *n*-decane was selected as prototype for the catalyst phase in this study. To achieve preferential partitioning of

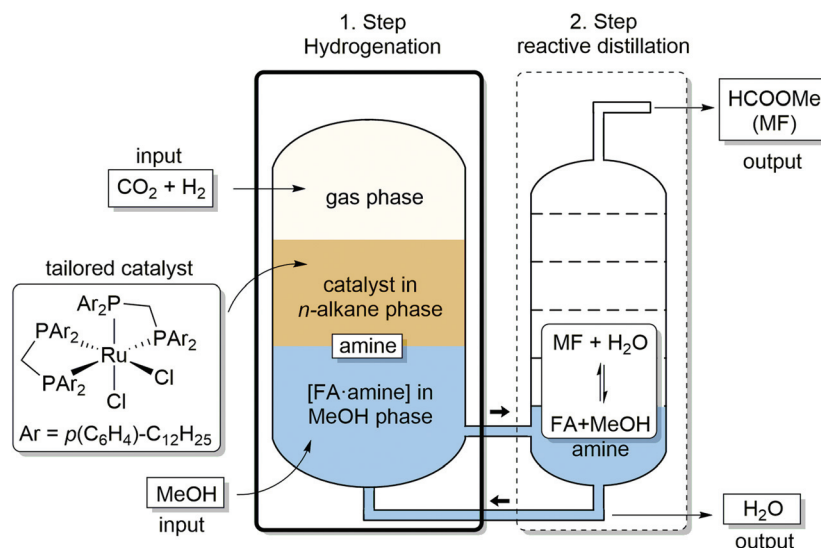


Fig. 1 Integrated process for catalytic hydrogenation and reactive distillation for production of methyl formate (MF) from CO_2 , H_2 and MeOH .



the catalyst in the *n*-decane phase, the solubility properties of the catalyst must be adjusted accordingly. The complex *cis*-[Ru(dppm)₂Cl₂]³⁷ (dppm = Ph₂P-CH₂-PPh₂) is a powerful catalyst for CO₂ hydrogenation to FA^{38,39} and thus was selected as lead structure for tagging with long alkyl chains at the aryl groups. The ligand synthesis starts from commercially available bromo aryl compounds bearing an octyl or a dodecyl chain in *para*-position. Metalation was achieved for the octyl derivative with Mg to the corresponding Grignard and of the dodecyl derivative with BuLi to the lithium reagent. Coupling of these organometallic compounds with bis(dichlorophosphino)methane yielded the new alkyl-tagged dppm derivatives **L1** and **L2**, respectively, in good yields of 80–90% (Scheme 1). Complexation with *cis*-[Ru(dmsO)₄Cl₂] resulted in the catalyst precursors *cis*-di(bis(bis(4-octylphenyl)phosphanyl)methane)rutheniumdichloride (**1**) and *cis*-di(bis(bis(4-dodecylphenyl)phosphanyl)methane)rutheniumdichloride (**2**) with good selectivities (*trans* complexes ≤ 7%) in high yields (80–99%) (Scheme 1).

As expected, complexes **1** and **2** were found to be highly soluble in *n*-alkanes. For catalytic CO₂ hydrogenation, the addition of amine bases to the product phase is necessary. Visual inspection of corresponding biphasic alkane/MeOH-amine mixtures confirmed that the complexes were retained with high preference in the *n*-decane phase (Fig. 2). Initially, methyl diethanolamine (MDEA), successfully used in biphasic organic/water systems for stabilizing FA,^{38,39} was chosen to validate the approach. Catalytic hydrogenation of CO₂ using complex **1** was carried out under a standard set of reaction conditions and the stability of the catalyst system was tested by repetitive batch recycling of the alkane phase (Table 1). The reaction progress was monitored *via* the pressure drop and experiments were stopped as soon as the gas uptake was negligible (≤ 0.1 bar per min). A ratio of formic acid to amine $\chi_{\text{FA/MDEA}}$ of 1 : 1 defines the maximum conversion under these conditions and the time to reach this value as estimated from the pressure/time curves was used to derive average turnover frequencies (TOF) as lower limits for the catalyst activity.

The first cycle was carried out with 10 mmol MDEA and 1.5 mL MeOH yielding a highly viscous product phase. Thus, more diluted solutions were employed in subsequent cycles. Rapid hydrogenation with a mean reaction time of 17 ± 4 min

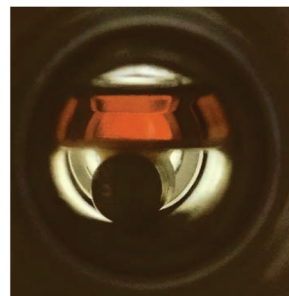
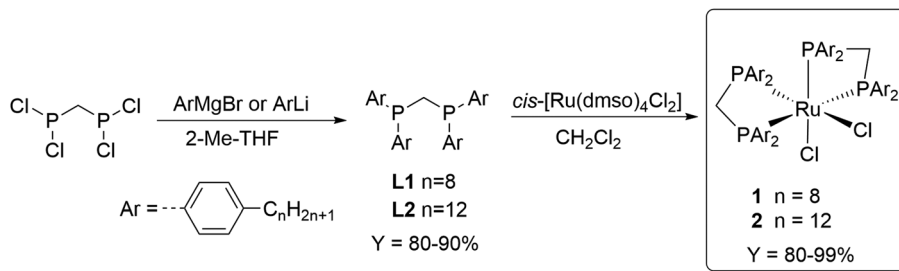


Fig. 2 Observed catalyst partitioning for **2** in a typical experiment prior to addition of reaction gases. (Top: orange *n*-decane phase containing the catalyst; bottom: colorless MeOH phase with 1,2-dimethyl-imidazole (1,2-DMI).

was observed in 13 consecutive experiments re-using the same catalyst phase, corresponding to an average TOF of *ca.* 4000 h^{−1}. In run 14, catalyst deactivation started to become noticeable as indicated by longer reaction times required to reach constant pressure. A possible reason for the deactivation may originate from traces of oxygen that cannot be fully excluded by the procedure during the recycling on the small scale. ICP-MS analysis of the metal content in the product phase confirmed excellent catalyst retention leading to low losses of phosphorus (0.10–0.44%) and ruthenium (0.04–0.34%) per cycle resulting in a total leaching of P = 2.99% and Ru = 1.87%. Small amounts of *n*-decane were refilled to the recycled catalyst phase occasionally (Table 1, cycle 6 and 11) to compensate for losses by evaporation or cross-solubility (solubility of *n*-decane in MeOH = 81 g L^{−1} at 20 °C).⁴⁰ The molecular ratio of *n*-decane/MeOH in the product phase measured *via* ¹H NMR never exceeded the value of 1 : 100, probably indicating an even reduced cross-solubility due to the formation of the polar product. In total, the catalyst phase was (re)used for 15 cycles and an average $\chi_{\text{FA/MDEA}}$ ratio of 1.04 was found in the isolated product phase corresponding to a concentration of $c_{[\text{FA-MDEA}]} \approx 2.1 \text{ mol L}^{-1}$ in methanol and a total productivity in terms of mole FA formed per mole of Ru (turnover number, TON) of ~19 000.

After successful demonstration of the hydrogenation step in combination with catalyst separation and recycling, the reactive distillation of the product solution of [FA-MDEA] in MeOH



Scheme 1 Synthesis of the alkyl-tagged complexes *cis*-di(bis(bis(4-octylphenyl)phosphanyl)methane)rutheniumdichloride (**1**) and *cis*-di(bis(bis(4-dodecylphenyl)phosphanyl)methane)rutheniumdichloride (**2**).

Table 1 Catalytic hydrogenation of CO₂ in the biphasic system *n*-decane/MeOH using complex **1** as catalyst and MDEA as base^a

| $\text{CO}_2 + \text{H}_2 \xrightarrow[\text{catalyst } \mathbf{1}, \text{ } n\text{-decane/MeOH}]{\text{MDEA}} [\text{HCOOH} \cdot \text{MDEA}]$ | | | | |
|---|-------------------------------------|-------------|--------------------------|--------------------------|
| Cycle | $\chi_{\text{FA/MDEA}}^b$ [mol/mol] | t^c [min] | P leaching ^d | Ru leaching ^d |
| 1 ^e | 0.91 | 13 | 0.25% | 0.34% |
| 2 | 1.07 | 18 | 0.29% | 0.28% |
| 3 | 1.03 | 16 | 0.44% | 0.29% |
| 3 | 1.00 | 16 | 0.23% | 0.18% |
| 5 | 1.03 | 21 | 0.22% | 0.13% |
| 6 ^f | 1.02 | 15 | 0.29% | 0.17% |
| 7 | 1.06 | 17 | 0.19% | 0.06% |
| 8 | 1.04 | 22 | 0.12% | 0.05% |
| 9 | 1.00 | 20 | 0.10% | 0.05% |
| 10 | 1.10 | 21 | 0.18% | 0.11% |
| 11 ^f | 1.04 | 18 | 0.14% | 0.16% |
| 12 | 1.09 | 18 | 0.08% | 0.06% |
| 13 | 1.05 | 18 | 0.18% | 0.04% |
| 14 | 1.04 | 26 | 0.18% | 0.04% |
| 15 | 1.09 | 41 | 0.10% | 0.04% |
| | 1.04^g | | 2.99%^h | 1.87%^h |

^a Reaction conditions: 10 mL window autoclave, $V_{n\text{-decane}} = 2.5$ mL, $n_1 = 5$ μmol , $n_{\text{MDEA}} = 6.4$ mmol and $V_{\text{MeOH/MDEA}} = 2.5$ mL per cycle (stock solution of MDEA in MeOH was used), amine/cat (mol/mol) ≈ 1250 per cycle, $p_{\text{CO}_2} = 10$ bar, $p_{\text{H}_2} = 80$ bar, $T = 60$ °C. ^b Ratio determined by ¹H NMR, no other side products found. ^c Estimated by pressure time profiles (digital manometer ± 0.1 bar). ^d Determined by ICP-MS in aqueous matrix. ^e 10 mmol MDEA and 1.5 mL MeOH. ^f Addition of 300 μL *n*-decane. ^g Average of all 15 cycles. ^h Total leaching over 15 runs.

to form MF was investigated. Only small amounts of MF were observed in the distillate by ¹H NMR analysis ($n_{\text{MF}}/n_{\text{MeOH}} = 1:38$) when the combined product solutions of the recycling experiment (27 g, cf. Table 1) were distilled using a 20 cm Vigreux column.⁴¹ To probe the reactive distillation in more detail, a model mixture was composed and subjected to rectification using a 45 cm long packed column and an oil bath temperature ranging from 45 °C to 75 °C over 8 hours (for detailed procedure see ESI†). In this case, a small fraction (ca. 2%) could be collected as distillate containing significantly higher amount of MF ($n_{\text{MF}}/n_{\text{MeOH}} = 1:9$, Fig. S9†). This result confirms the principle feasibility of the esterification *via* reactive distillation, but indicates also a slow conversion rate of [FA·MDEA] to MF and the necessity to have a higher number of separation trays to increase the production and the MF purity at the top of the column.^{42–44} Moreover, the residual mixture turned yellow during the distillation period of 8 h, indicating a possible degradation of the amine, a known drawback of MDEA-based scrubbers.^{45,46}

The results from the catalytic and distillation experiments lead to the conclusion that while MDEA provides an excellent stabilizer for the hydrogenation step, this amine is not suitable for the MF formation step. In order to identify a potential amine to compromise effectively between the two steps, we screened the esterification of FA with MeOH in the presence of several amines (for complete list, data, and conditions see

Table S4 and Fig. S5 and S6†). Hereby, a mixture of MeOH, FA and the selected amine was heated at 60 °C in a sealed vessel and the progress of the reaction was monitored by taking small samples at regular time intervals for ¹H NMR analysis (Fig. 3).

The screening showed that the esterification rate of methanol with [FA·amine] adducts increases with lower basicity of the amine. Stronger bases such as NEt₃ ($pK_a = 10.76$ at 25 °C)⁴⁶ and NPr₃ ($pK_a = 10.59$ at 25 °C)⁴⁷ led to very low esterification rates, while the formation of MF with the less basic MDEA ($pK_a = 8.57$ at 25 °C)^{48,49} was approximately twice as fast as with NPr₃ and four times faster than with NEt₃ (for NPr₃ see ESI, Fig. S5†). In addition to a basicity below a pK_a of 8.5, further design criteria for the base can be formulated. The amine should not form an azeotrope with FA, should have a miscibility gap with water, and should be thermally stable and chemically robust under the rectification conditions. According to these features, 1,2-dimethyl-imidazole (1,2-DMI) with a $pK_a = 8.21$ at 25 °C⁵⁰ was selected as promising amine candidate. Indeed, 1,2-DMI outperformed all other tested amines yielding $\sim 15\%$ MF within 5 h and 35% MF within 24 h in the screening experiments (Fig. 3).

As 1,2-DMI was identified as most promising candidate for the second reactive step, it was investigated for its performance as stabilizer in the hydrogenation of CO₂ in the biphasic system *n*-decane/MeOH. In a first set of experiments, conditions were chosen in analogy to the study using MDEA as amine (Table 2, $c_{1,2\text{-DMI}} = 2.3$ mol L⁻¹ in MeOH). While the first reaction was completed within 70 minutes as indicated from the pressure monitoring, longer reaction times in the range of 115 ± 23 min were needed for the following four re-

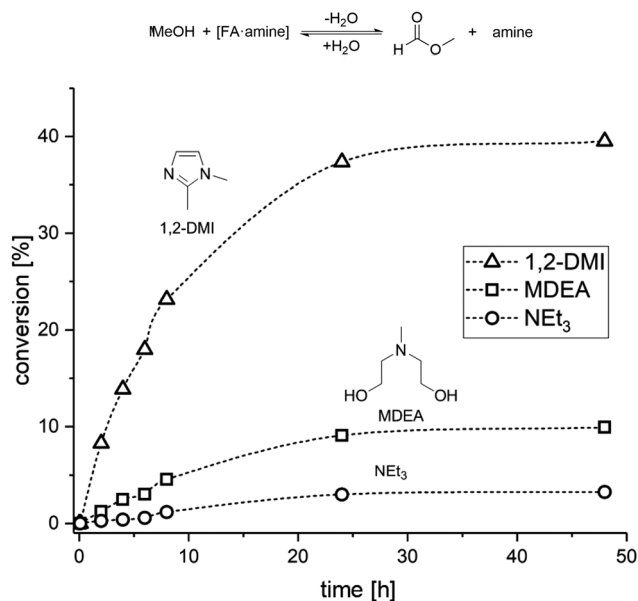
**Fig. 3** Time/conversion profiles for the esterification of FA to MF with selected amines in a closed vessel at 60 °C ($V_{\text{MeOH}} = 2$ mL; $n_{\text{FA}} = 6.5$ mmol; $n_{\text{amine}} = 6.5$ mmol).

Table 2 Catalytic hydrogenation of CO₂ in the biphasic system *n*-decane/MeOH using complex 2 as catalyst and 1,2-DMI as base^a

| $\text{CO}_2 + \text{H}_2 \xrightarrow[\text{catalyst 2, } n\text{-decane/MeOH}]{\text{1,2-DMI}} [\text{HCOOH} \cdot \text{1,2-DMI}]$ | | | | | |
|---|-------|--|-------------|-------------------------|--------------------------|
| Concentration of stock solution | cycle | $\chi_{\text{FA/1,2-DMI}}^b$ [mol/mol] | t^c [min] | P leaching ^d | Ru leaching ^d |
| $c_{\text{1,2-DMI}} = 2.3 \text{ mol L}^{-1}$ | 1 | 0.65 | 71 | 0.81% | 0.16% |
| | 2 | 0.56 | 92 | 2.95% | 0.12% |
| | 3 | 0.51 | 110 | 0.99% | 0.33% |
| | 4 | 0.67 | 138 | 0.31% | 0.04% |
| | 5 | 0.49 | 100 | 0.44% | 0.07% |
| | | 0.58^e TON = 4761^f | | 5.50% ^e | 0.41% ^e |
| $c_{\text{1,2-DMI}} = 5.9 \text{ mol L}^{-1}$ | 1 | 0.34 | 227 | 0.77% | 0.28% |
| | 2 | 0.34 | 186 | 4.41% | 0.16% |
| | 3 | 0.32 | 219 | 1.50% | 0.08% |
| | 4 | 0.34 | 247 | 2.24% | 0.06% |
| | 5 | 0.36 | 247 | 1.35% | 0.06% |
| | | 0.35^e TON = 6869^f | | 10.27% ^e | 0.64% ^e |

^a Reaction conditions: 10 mL window autoclave, $V_{n\text{-decane}} = 2.5 \text{ mL}$, $n_{[\text{Ru}]} = 3.5 \mu\text{mol}$, $n_{\text{DMI}} = 5.7$ (entries 1–5) or 14.8 (entries 7–11) mmol and $V_{\text{MeOH/DMI}} \approx 2.5 \text{ mL}$ per cycle (stock solution of DMI in MeOH was used), amine/cat (mol/mol) ≈ 1650 (entries 1–5) or 4200 (entries 7–11), $p_{\text{CO}_2} = 30 \text{ bar}$, $p_{\text{H}_2} = 60 \text{ bar}$, pressurized at r.t. till full saturation was reached, $T = 60^\circ\text{C}$. ^b Ratio determined by proton NMR, if not otherwise noted the amount of MF is $\leq 5\%$ related to the amount of employed amine. ^c Determined by pressure time profiles, obtained with a digital manometer. ^d Determined by ICP-MS in an organic matrix (dioxane). ^e Average of the first 5 cycles. ^f Total of all cycles (the minor amount of formed MF is not included).

cycling experiments. In general, the reactions were roughly seven times slower (average TOF over five cycles *ca.* 600 h^{-1} , Table 2) than in the presence of MDEA. Due to the lower basicity, the limiting ratio of FA to amine was also reduced to $\chi_{\text{FA/1,2-DMI}} = 0.58 \text{ mol/mol}$. Consequently, the TON under these conditions is also about 40% lower than in the MDEA system under identical conditions. While ICP-MS analysis of the product mixtures indicated a Ru-leaching in the same low range as with MDEA (0.07% to 0.33%), the P-leaching appeared to be higher, but also showed a broad scattering from batch to batch between 0.31% and 2.95%, making the results less reliable.

In a second set of experiments the loading of 1,2-DMI was substantially increased to $c_{\text{1,2-DMI}} = 5.9 \text{ mol L}^{-1}$ (Table 2). Constant pressures were reached within $216 \pm 31 \text{ min}$ at a final FA to amine ratio $\chi_{\text{FA/1,2-DMI}} = 0.35 \text{ mol/mol}$ corresponding to a final concentration of $c_{\text{FA}} = 2.1 \text{ mol L}^{-1}$, similar to that achieved in the presence of MDEA. The total TON of *ca.* 6900 achieved over five cycles was even slightly higher than that reached within the same number of cycles with MDEA (*cf.* Table 1). Although the reaction rates and the final FA to amine ratio are significantly lower as with MDEA, the productivity of the 1,2-DMI-based catalytic system to generate formic acid is well in the range providing a promising compromise with the much more efficient subsequent esterification.

It is worth noting that during the hydrogenation reactions reported in Table 2 small amounts of MF ($\leq 5\%$) were formed in agreement with the favorable kinetic of the esterification of FA to MF in the presence of 1,2-DMI. Thus, we investigated whether the amount of MF under hydrogenation conditions can be increased upon prolonging the reaction time.

Accordingly, after the five experiments summarized in Table 2 at a $c_{\text{1,2-DMI}} = 2.3 \text{ mol L}^{-1}$, an additional 6th cycle was carried out over 35 h reaction time. The resulting pressure-time curve shows two distinct slopes (Fig. 4). A steep decrease over the first three hours is in accordance with rapid CO₂ hydrogenation. Once equilibrium to the formate adduct is reached, a much more shallow curve is observed during the following 24 h. In this second stage, the CO₂ hydrogenation merely occurs to restore the amount of formate adduct transformed to

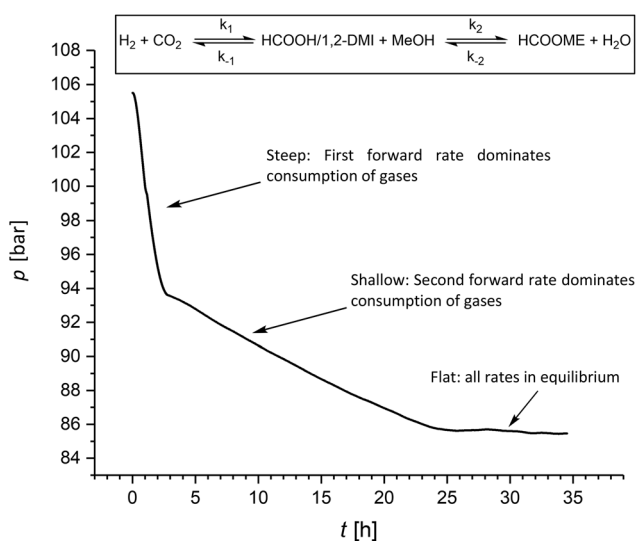


Fig. 4 Pressure/time profile for the hydrogenation of CO₂ under prolonged reaction time (conditions see Table 2; additional 6th cycle using an amine concentration of $c_{\text{1,2-DMI}} = 2.3 \text{ mol L}^{-1}$).



MF and, thus, correlates with the much slower esterification rate. In the last ten hours of the experiment almost no pressure variation was observed indicating that the final equilibrium over both steps was reached. This experiment yielded FA at a ratio of $\chi_{\text{FA}/1,2\text{-DMI}} = 0.37$ somehow lower than in the experiment before (Table 2, cycle 5) and MF at a ratio of $\chi_{\text{MF}/1,2\text{-DMI}} = 0.33$, significantly higher than in all previous experiments where a ratio of up to of $\chi_{\text{MF}/1,2\text{-DMI}} = 0.05$ was observed. Very similar results were obtained in an additional set of experiments with an extended reaction time of seven days (Table S5†). These findings demonstrate that substantial amounts of MF can be generated directly under hydrogenation conditions. However, full conversion of FA to MF cannot be reached due to equilibrium constraints in the closed vessel, leading to complex reaction mixtures. Combining the hydrogenation reaction with the reactive distillation allows to operate both steps at optimal rates and conversion levels.

With the optimized combination of catalyst and base for the first step in hand, the robustness and potential for scale-up of the system was investigated in a semi-continuously operated set-up (Fig. 5).³⁹

The set-up comprises a 900 mL high pressure reservoir containing a CO₂-saturated solution of 1,2-DMI in MeOH. This is placed on a magnetic stirring plate which is located on a

balance to monitor the delivery of feed. The reservoir is connected to a 100 mL hydrogenation autoclave which is heated and cooled by a thermostat and equipped with a mechanical stirrer, a window and a sampling valve at the bottom. The reactive gases are delivered *via* a mass flow controller for H₂ and a mass flow meter (MFM) controlled dosing valve for CO₂. The graphical user interface to control the set-up was programmed using the LabView® (17.0) software. The procedure (for details see ESI† section 2.5) started by transferring a weighted amount of the feed solution of 1,2-DMI in MeOH pre-heated at 65 °C and pre-saturated with CO₂ (35 bar) into the hydrogenation autoclave containing a *n*-decane solution of the catalyst. The reactor temperature was adjusted to 65 °C and the mixture pressurized with H₂ up to 135 bar. The total pressure was kept constant by delivering H₂ *via* the MFC during the reaction. The resulting time-resolved H₂ volume profile was used to monitor the reaction progress (see ESI Fig. S3 and S4†). Once the H₂ flow became negligible (H₂ flow $\leq 0.12 \text{ mL}_n \text{ min}^{-1}$), the hydrogenation autoclave was cooled down to $\sim 15^\circ\text{C}$ leading to a clear phase separation within five minutes. The product phase was carefully withdrawn through the sampling valve at the bottom and the procedure started anew. The results of a series of 4 cycles are summarized in Table 3.

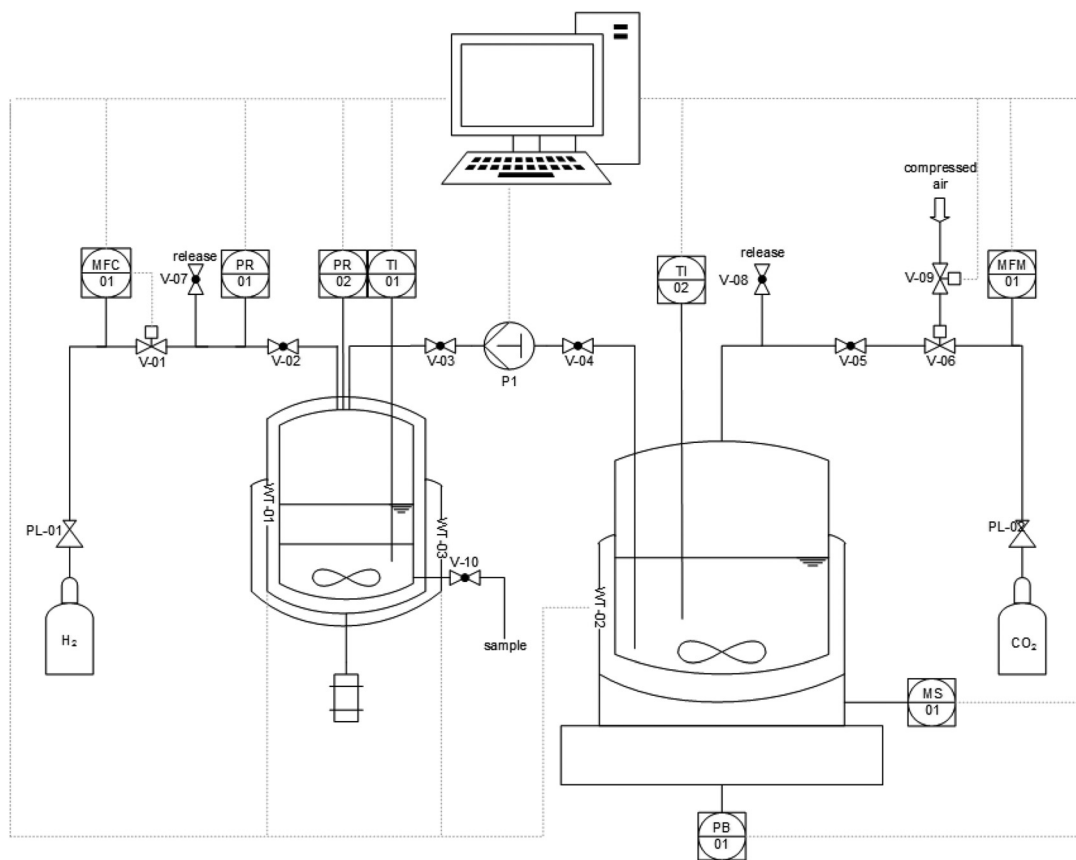


Fig. 5 Schematic depiction of the semi-continuous operated set-up with a 100 mL autoclave for hydrogenation (left) and a 900 mL autoclave as reservoir for CO₂ pre-saturated solutions of 1,2-DMI in methanol (right).



Table 3 Semi-continuous catalytic hydrogenation of CO₂ to formate using 1,2-DMI as base in *n*-decane/MeOH^a

| Cycle | H ₂ Flow _{ini.} [mL _n min ⁻¹] | V _{sub.} [mL] | H ₂ ^b [mmol] | t ^c [min] | c _{FA} [mol L ⁻¹] | FA/1,2-DMI [mol/mol] | P leaching ^e [%] | Ru leaching ^e [%] |
|-------|--|------------------------|------------------------------------|----------------------|--|----------------------|-----------------------------|------------------------------|
| 1 | 11.6 | 50.5 | 70.3 | 165 | 1.39 | 0.33 | 1.41 | 1.06 |
| 2 | 9.4 | 46.2 | 64.3 | 193 | 1.27 | 0.30 | 0.74 | 0.83 |
| 3 | 6.5 | 45.6 | 63.5 | 202 | 1.31 | 0.31 | 0.45 | 0.50 |
| 4 | 4.1 | 37.8 | 52.7 | 312 | 1.39 | 0.33 | 0.22 | 0.50 |
| | | | | | 1.34 ^d | 0.32 ^d | 2.82 ^f | 2.89 ^f |

^a Reaction conditions: CO₂ = 35 bar at rt (50 bar at 65 °C); H₂ up to a total pressure of 135 bar at 65 °C; T = 65 °C; 2 = 8.74 μmol; *n*-decane = 25 mL; c_{1,2-DMI} = 4.2 mol L⁻¹. ^b Hydrogen consumption as calculated integrating the overall H₂ flow. ^c Time till negligible H₂ flow was observed. ^d Average over all runs. ^e Determined by ICP-MS in organic matrix (dioxane). ^f Sum of all runs.

An average final FA concentration of 1.34 mol L⁻¹ was achieved at a ratio FA:1,2-DMI of $\chi_{FA/1,2-DMI} = 0.32$ mol/mol, similar to what has been achieved in the small-scale experiments. A total of 180 mL of feed solution was processed corresponding to a production of 11 g FA. The prolonged reaction time in the fourth run indicates some catalyst deactivation, but a total TON of >27 500 was already achieved at this point with an average TOF of 2000 h⁻¹. The total leaching over the four runs amounted to 2.8% and 2.9% for P and Ru, respectively, underpinning the effective catalyst retention in this system.

In the envisaged integrated two-step process for MF production based on CO₂ hydrogenation and subsequent esterification, the recycled methanol will contain not only 1,2-DMI but also an estimated residual water content of 6.7 mol%.⁵¹ In order to validate the compatibility of the catalyst system, a further set of hydrogenation experiments was carried out using a methanol solution of 1,2-DMI with a water content of 6.7 mol% relative to methanol (Table 4; for detailed procedure see ESI†). In the first six cycles the hydrogenation was complete within 200 min while longer times were needed for the subsequent runs (Fig. S4†). In a total of 8 cycles, 262 mL of feed solution were processed resulting in an average formic acid concentration of c_{FA} = 1.32 mol L⁻¹ at a $\chi_{FA/1,2-DMI} = 0.32$ mol/mol. The productivity of 16 g FA corresponds to a TTON of 38 000 generated at an average TOF of 1400 h⁻¹. Cumulative catalyst leaching (1.4 mol% for P and 2.0 mol% for Ru) was in the same range or even slightly lower than in the

experiments without added water. This may be attributed to an increased polarity of the product phase as reflected also in a more rapid phase separation. Overall, the presence of water at concentrations in this range in the recycle stream has no negative effect on the catalyst performance and may even be beneficial for the separation of the product phase.

After successful demonstration of the *n*-decane/MeOH/1,2-DMI system for the FA production and catalyst separation, the esterification step from the MeOH solution was examined in a continuously operated reactive distillation. The feed for the reactive distillation was composed to represent a typical product phase obtained in hydrogenation experiments (MeOH as solvent, 1,2-DMI = 0.67 mol L⁻¹, FA = 0.235 mol L⁻¹, $\chi_{FA/1,2-DMI} = 0.35$ mol/mol). The physico-chemical data for the components are listed in Table S6.† The rectification setup consists of a reboiler containing a total volume of 675 mL liquid phase, a DN50 bubble-cap tray column with 10 trays, and a condenser with an integrated reflux divider (Fig. 6). Every second tray of the column is equipped with a sampling point. The model mixture can be fed continuously into the bottom of the column where a manually operated electrical heating device is installed. The temperature is detected at the bottom as well as at the head of the column and the condenser is cooled with water at T = 7 °C. The pressure is monitored *via* a digital manometer and was kept at ambient pressure throughout the distillation process.

The rectification column was operated for a total time of 7 h with a reflux ratio (split ratio)

Table 4 Semi-continuous catalytic hydrogenation of CO₂ to formate using 1,2-DMI as base in *n*-decane/MeOH-water^a

| Entry | Cycle | H ₂ Flow _{ini.} [mL _n min ⁻¹] | V _{sub.} [mL] | H ₂ ^b [mmol] | t ^c [min] | c _{FA} [mol L ⁻¹] | FA/1,2-DMI [mol/mol] | P leaching ^e [%] | Ru leaching ^e [%] |
|-------|-------|--|------------------------|------------------------------------|----------------------|--|----------------------|-----------------------------|------------------------------|
| 1 | 1 | 11.5 | 42.5 | 59.2 | 193 | 1.38 | 0.33 | 0.72 | 1.14 |
| 2 | 2 | 11.9 | 40.6 | 56.5 | 191 | 1.42 | 0.34 | 0.25 | 0.38 |
| 3 | 3 | 18.8 | 47.8 | 66.5 | 165 | 1.25 | 0.30 | 0.15 | 0.17 |
| 4 | 4 | 12.3 | 36.0 | 50.1 | 188 | 1.30 | 0.31 | 0.13 | 0.17 |
| 5 | 5 | 6.6 | 25.4 | 35.4 | 211 | 1.31 | 0.32 | 0.07 | 0.04 |
| 6 | 6 | 6.1 | 25.4 | 35.5 | 210 | 1.15 | 0.28 | 0.03 | 0.04 |
| 7 | 7 | 4.3 | 32.5 | 32.7 | 295 | 1.26 | 0.30 | 0.04 | 0.04 |
| 8 | 8 | 0.3 | 12.1 | 16.9 | 426 | 1.45 | 0.35 | 0.04 | 0.04 |
| 9 | | | | | | 1.32 ^d | 0.32 ^d | 1.43 ^f | 2.02 ^f |

^a Reaction conditions: CO₂ = 35 bar at rt (50 bar at 65 °C); H₂ up to a total pressure of 135 bar at 65 °C; T = 65 °C; 2 = 8.74 μmol; *n*-decane = 25 mL; 1,2-DMI_{MeOH} = 4.2 M; H₂O = 6.7 mol% with respect to MeOH. ^b Hydrogen consumption as calculated integrating the overall H₂ flow. ^c Time till negligible H₂ flow was observed. ^d Average over all runs. ^e Determined by ICP-MS in an organic matrix (dioxane). ^f Sum of all runs.



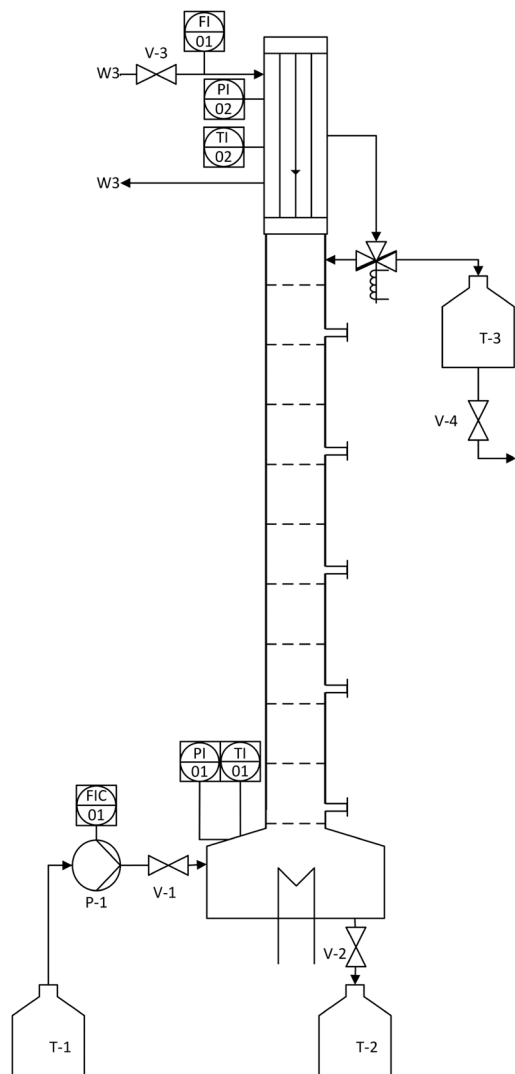


Fig. 6 Schematic depiction of the rectification setup used for the reactive distillation of the MeOH/FA/1,2-DMI mixture.

of $\frac{\text{liquid returned to the column}}{\text{liquid removed from the distillate}} = \frac{4}{1}$. After a heating phase of 115 minutes, continuous delivery of the feed solution directly into the bottom of the distillation column was started with a flowrate of $1\text{--}2\text{ mL min}^{-1}$ to assure a constant filling level of the reboiler. The temperature at the bottom of the rectification varied over the experimental time between $68.0\text{ }^{\circ}\text{C}$ – $79.3\text{ }^{\circ}\text{C}$. First samples were taken after 140 min and after that, samples were taken along the column length every 60 min and analyzed by $^1\text{H-NMR}$ spectroscopy. The highest MF purity of the distillate was detected after 740 min and the measured composition at each sampled tray is plotted in Fig. 7. Although steady state conditions were not yet reached within this period, the results are significant and show a clear trend. The high boilers 1,2-DMI and FA completely remained in the reboiler. Although a color change of the liquid in the reboiler was notable, no degradation of 1,2-DMI was observed in the distillation residue and no side products were detected by $^1\text{H-NMR}$. Along the column, the percentage of MeOH diminished with the percentage of MF continuously increasing representing the progress of the esterification (Fig. 7). As expected, the highest MF purity was found in the distillate with 91.5 mol%. Water, which could not be detected with the used analytical method, is expected to accumulate at the bottom of the column. This stands in agreement with the calculation of the ternary system MF-MeOH- H_2O with a Non-Random-Two-Liquid (NRTL) model, which predicts no azeotropes for the ternary composition. Due to the conversion of FA to MF, the ratio of FA to 1,2-DMI at the bottom of the column changed over time from the initial value of $\chi_{\text{FA}/1,2\text{-DMI}} = 0.35\text{ mol/mol}$ to $\chi_{\text{FA}/1,2\text{-DMI}} = 0.14\text{ mol/mol}$ at the end of this experiment accounting for a total FA conversion of $X_{\text{FA}} = 58.8\%$. Under the assumption that FA decomposition to CO is negligible at these low temperatures, this conversion corresponds to a total production of $0.767\text{ kg MF per } 1.00\text{ kg FA}$ entering the column under continuous operation.

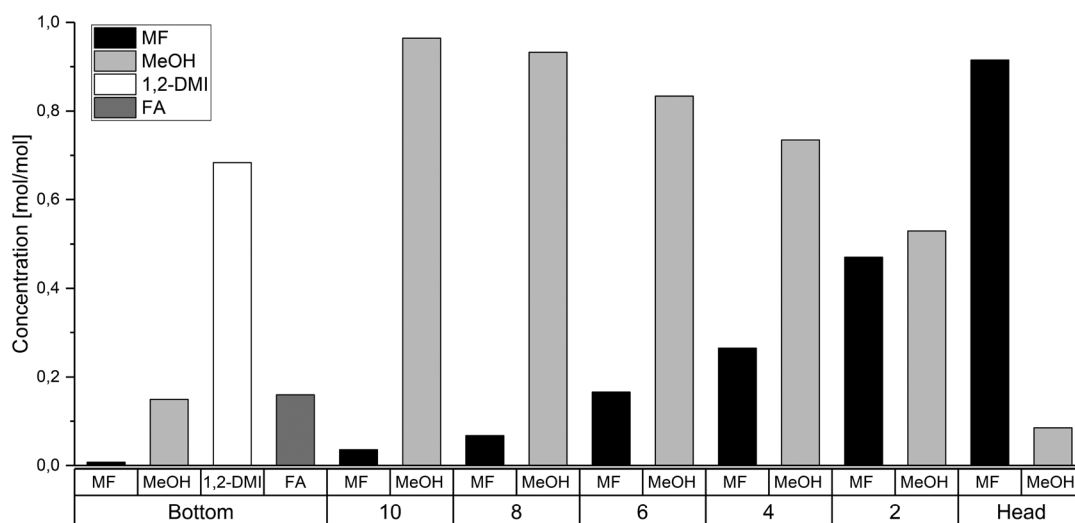


Fig. 7 Molar ratios of MF, MeOH, 1,2-DMI and FA in the reboiler, at the trays and in the column head of the reactive distillation column after 740 min.



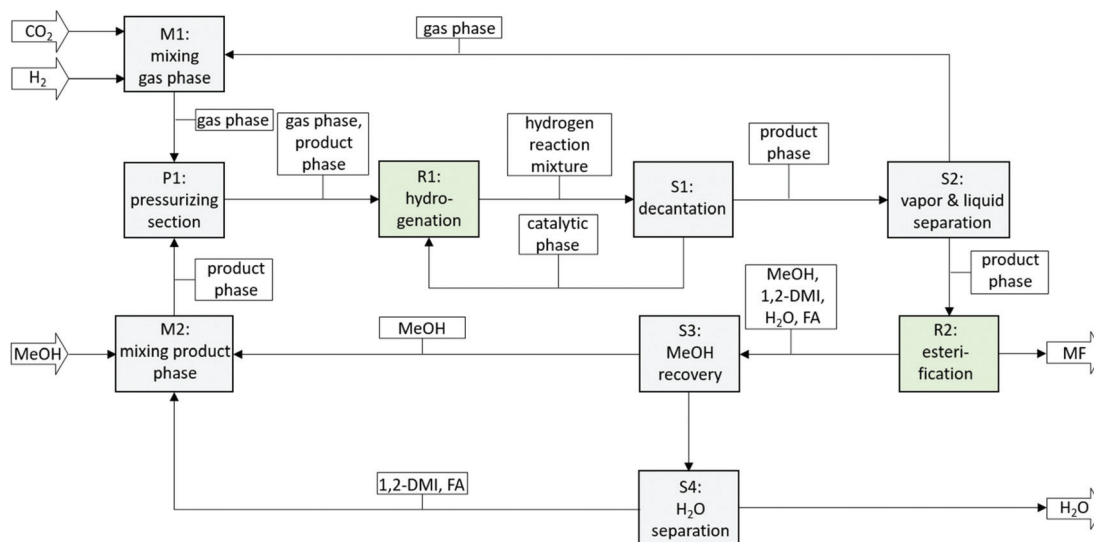


Fig. 8 Block flow diagram of a continuous process for the production of MF from CO₂. Ri = Reaction units, Si = separation units, Mi = mixing units.

Summary and conclusion

An integrated two-step process was developed for the production of methylformate (MF) from carbon dioxide, hydrogen and methanol. The first step comprises the hydrogenation of CO₂ to formic acid (FA) in the presence of an amine and has been realized using a biphasic system with methanol as the product phase and *n*-decane as the catalyst phase allowing efficient immobilization of alkyl-tagged ruthenium phosphine complexes. The second step comprises the esterification of formic acid and methanol *via* reactive distillation directly from the product mixture of the first step. The basicity of the employed amine was identified as a key design parameter. Whereas high basicity of the amine is beneficial in general for the hydrogenation step, medium to low basicity is required for high reaction rates in the esterification reaction. Commercially available 1,2-dimethyl imidazole (1,2-DMI) was identified to represent a good compromise for both steps allowing the realization of a fully integrated process. Repetitive batch experiments demonstrated the suitability of the biphasic system to generate a suitable feed for the second step (average $c_{\text{FA}} = 1.32 \text{ mol L}^{-1}$ at a $\chi_{\text{FA}/1,2\text{-DMI}} \approx 0.32 \text{ mol/mol}$, TTON in the range of 38 000, average TOF 1400 h^{-1}) with excellent catalyst retention (cumulative leaching over 8 cycles 1.4 mol% for P and 2.0 mol% for Ru). Methylformate production from the methanol solution containing the FA/1,2-DMI adduct was shown to be possible when directly subjected to a reactive distillation step, yielding MF as the distillate with good purity of 91.5% even under non-optimized rectification conditions. With the identification of a suitable reaction system comprising both the hydrogenation and reactive distillation step, a block diagram for a fully integrated continuous process can be devised (Fig. 8).

The process concept to produce methyl formate schematically shown in Fig. 1 and summarized as block diagram in Fig. 8 offers an attractive alternative to the isolation of formic

acid from CO₂ hydrogenation mixtures, which proves to be notoriously difficult.⁵² With the increasing availability of methanol⁵³ from biomass,^{54,55} recycled waste,⁵⁶ or even CO₂ and hydrogen,^{57,58} both reactive components of the methyl formate process can be produced from renewable electricity and resources. Given the broad portfolio of methylformate in direct applications or as intermediate, the CO₂-based production outlined in this paper holds therefore significant potential within the so-called “Power-to-X” concept.^{59,60}

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

The work has been carried out within the project “Carbon-2-Chem” (03EK3042C) was funded by the German Federal Ministry of Education and Research (BMBF). Additional financial support by the Cluster of Excellence 2186 “The Fuel Science Center” funded by the Deutsche Forschungsgemeinschaft (DFG) is gratefully acknowledged. Open Access funding provided by the Max Planck Society. The authors thank Julia Nießen for carrying out the ICP-MS measurements.

References

- 1 M. Peters, B. Köhler, W. Kuckshinrichs, W. Leitner, P. Markewitz and T. E. Müller, *ChemSusChem*, 2011, **4**, 1216–1240.
- 2 M. Cokoja, C. Bruckmeier, B. Rieger, W. A. Herrmann and F. E. Kuehn, *Angew. Chem., Int. Ed.*, 2011, **50**, 8510–8537.



- 3 G. Centi, E. A. Quadrelli and S. Perathoner, *Energy Environ. Sci.*, 2013, **6**, 1711–1731.
- 4 M. Aresta, A. Dibenedetto and A. Angelini, *Chem. Rev.*, 2014, **114**, 1709–1742.
- 5 J. Klankermayer, S. Wesselbaum, K. Beydoun and W. Leitner, *Angew. Chem., Int. Ed.*, 2016, **55**, 7296–7343.
- 6 K. Sordakis, C. Tang, L. K. Vogt, H. Junge, P. J. Dyson, M. Beller and G. Laurenczy, *Chem. Rev.*, 2017, **118**, 372–433.
- 7 J. Kothandaraman, A. Goeppert, M. Czaun, G. A. Olah and G. K. S. Prakash, *Green Chem.*, 2016, **18**, 5831–5838.
- 8 M. Bui, C. S. Adjiman, A. Bardow, E. J. Anthony, A. Boston, S. Brown, P. S. Fennell, S. Fuss, A. Galindo, L. A. Hackett, J. P. Hallett, H. J. Herzog, G. Jackson, J. Kemper, S. Krevor, G. C. Maitland, M. Matuszewski, I. S. Metcalfe, C. Petit, G. Puxty, J. Reimer, D. M. Reiner, E. S. Rubin, S. A. Scott, N. Shah, B. Smit, J. P. M. Trusler, P. Webley, J. Wilcox and N. Mac Dowell, *Energy Environ. Sci.*, 2018, **11**, 1062–1176.
- 9 T. Sakakura, J.-C. Choi and H. Yasuda, *Chem. Rev.*, 2007, **107**, 2365–2387.
- 10 J. Klankermayer and W. Leitner, *Science*, 2015, **350**, 629–630.
- 11 C. Chauvier and T. Cantat, *ACS Catal.*, 2017, **7**, 2107–2115.
- 12 A. Tortajada, F. Julia-Hernandez, M. Boerjesson, T. Moragas and R. Martin, *Angew. Chem., Int. Ed.*, 2018, **57**, 15948–15982.
- 13 X.-F. Liu, X.-Y. Li and L.-N. He, *Eur. J. Org. Chem.*, 2019, 2437–2447.
- 14 J. Artz, T. E. Müller, K. Thenert, J. Kleinekorte, R. Meys, A. Sternberg, A. Bardow and W. Leitner, *Chem. Rev.*, 2017, **118**, 434–504.
- 15 C. Federsel, R. Jackstell and M. Beller, *Angew. Chem., Int. Ed.*, 2010, **49**, 6254–6257.
- 16 W. Wang, S. Wang, X. Ma and J. Gong, *Chem. Soc. Rev.*, 2011, **40**, 3703–3727.
- 17 W.-H. Wang, Y. Himeda, J. T. Muckerman, G. F. Manbeck and E. Fujita, *Chem. Rev.*, 2015, **115**, 12936–12973.
- 18 K. Thenert, K. Beydoun, J. Wiesenthal, W. Leitner and J. Klankermayer, *Angew. Chem., Int. Ed.*, 2016, **55**, 12266–12269.
- 19 Y. Inoue, H. Izumida, Y. Sasaki and H. Hashimoto, *Chem. Lett.*, 1976, **5**, 863–864.
- 20 P. G. Jessop, T. Ikariya and R. Noyori, *Chem. Rev.*, 1995, **95**, 259–272.
- 21 W. Leitner, *Angew. Chem., Int. Ed. Engl.*, 1995, **34**, 2207–2221.
- 22 G. Jenner, *Appl. Catal., A*, 1995, **121**, 25–43.
- 23 (a) M. Pazicky, T. Schaub, R. Paciello, A. G. Altenhoff and D. M. Fries, DE102012014159A1, 2013; (b) N. Westhues, M. Belleflamme and J. Klankermayer, *ChemCatChem*, 2019, DOI: 10.1002/cctc.201900627.
- 24 J. Hietala, A. Vuori, P. Johnsson, I. Pollari, W. Reutemann and H. Kieczka, in *Ullmann's Encyclopedia of Industrial Chemistry*, Wiley-VCH Verlag GmbH & Co. KGaA, 2016, DOI: 10.1002/14356007.a12_013.pub3.
- 25 S. R. Akuri, C. Dhoke, K. Rakesh, S. Hegde, S. A. Nair, R. Deshpande and P. Manikandan, *Catal. Lett.*, 2017, **147**, 1285–1293.
- 26 E. Karakhanov, A. Maksimov, Y. Kardasheva, E. Runova, R. Zakharov, M. Terenina, C. Kenneally and V. Arredondo, *Catal. Sci. Technol.*, 2014, **4**, 540–547.
- 27 M. S. Yalfani, G. Lolli, A. Wolf, L. Mleczko, T. E. Mueller and W. Leitner, *Green Chem.*, 2013, **15**, 1146–1149.
- 28 J. S. Lee, J. C. Kim and Y. G. Kim, *Appl. Catal.*, 1990, **57**, 1–30.
- 29 R. Kumar, A. Katoch, A. Singhal and S. Kumar, *Energy Fuels*, 2018, **32**, 12936–12948.
- 30 J. M. Ngugi, P. N. Kioni and J. K. Tanui, *J. Clean Energy Technol.*, 2018, **6**, 74–82.
- 31 B. Akih-Kumgeh and J. M. Bergthorson, *Energy Fuels*, 2010, **24**, 396–403.
- 32 T. Schaub and R. A. Paciello, *Angew. Chem., Int. Ed.*, 2011, **50**, 7278–7282.
- 33 E. Plichta, M. Salomon, S. Slane and M. Uchiyama, *J. Solution Chem.*, 1987, **16**, 225–235.
- 34 C. M. Jens, L. Müller, K. Leonhard and A. Bardow, *ACS Sustainable Chem. Eng.*, 2019, **7**, 12270–12280.
- 35 M. Gatti, E. Martelli, F. Marechal and S. Consonni, *Appl. Therm. Eng.*, 2014, **70**, 1123–1140.
- 36 R. Kuhlmann, K. U. Künemann, L. Hinderink, A. Behr and A. J. Vorholt, *ACS Sustainable Chem. Eng.*, 2019, **7**, 4924–4931.
- 37 R. Mason, D. W. Meek and G. R. Scollary, *Inorg. Chim. Acta*, 1976, **16**, L11–L12.
- 38 M. Scott, B. Blas Molinos, C. Westhues, G. Franciò and W. Leitner, *ChemSusChem*, 2017, **10**, 1085–1093.
- 39 W. Leitner, G. Franciò, M. Scott, C. Westhues, J. Langanke, M. Lansing, C. Hussong and E. Erdkamp, *Chem. Ing. Tech.*, 2018, **90**, 1504–1512.
- 40 R. W. Kiser, G. D. Johnson and M. D. Shetlar, *J. Chem. Eng. Data*, 1961, **6**, 338–341.
- 41 Although MF and methanol do not form an azeotrope, the boiling-point diagram of these compounds only offers a narrow window (see ESI Fig. S13 and S14†), making their separation challenging on a laboratory scale.
- 42 A. T. Sundberg, P. Uusi-Kyyny, M. Pakkanen and V. Alopaeus, *J. Chem. Eng. Data*, 2011, **56**, 2634–2640.
- 43 J. Polak and B. C. Y. Lu, *J. Chem. Thermodyn.*, 1972, **4**, 469–476.
- 44 N. Kozub, H. Schubert and E. Leibnitz, *J. Prakt. Chem.*, 1962, **17**, 282–292.
- 45 A. Chakma and A. Meisen, *Can. J. Chem. Eng.*, 1997, **75**, 861–871.
- 46 D. M. D'Alessandro, B. Smit and J. R. Long, *Angew. Chem., Int. Ed.*, 2010, **49**, 6058–6082.
- 47 K. Eller, E. Henkes, R. Rossbacher and H. Höke, in *Ullmann's Encyclopedia of Industrial Chemistry*, 2000, DOI: 10.1002/14356007.a02_001.
- 48 A. Tagiuri, M. Mohamedali and A. Henni, *J. Chem. Eng. Data*, 2015, **61**, 247–254.
- 49 A. Hartono, M. Saeed, I. Kim and H. F. Svendsen, *Energy Procedia*, 2014, **63**, 1122–1128.



- 50 B. Lenarcik and P. Ojczenasz, *J. Heterocycl. Chem.*, 2002, **39**, 287–290.
- 51 Complete water removal by distillation, or other means, is an extremely energy intensive process and would dramatically affect the energy efficiency of the whole process. The residual amount of water considered here derives from theoretical simulations through the Aspen software and will be reported in detail somewhere else.
- 52 C. M. Jens, M. Scott, B. Liebergesell, C. G. Westhues, P. Schaefer, G. Franciò, K. Leonhard, W. Leitner and A. Bardow, *Adv. Synth. Catal.*, 2019, **361**, 307–316.
- 53 *Methanol: The Basic Chemical and Energy Feedstock of the Future*, ed. M. Bertau, H. Offermanns, L. Plass, F. Schmidt and H.-J. Wernicke, Springer, Amsterdam, 2013, DOI: 10.1007/978-3-642-39709-7.
- 54 D. Gullu and A. Demirbas, *Energy Convers. Manage.*, 2001, **42**, 1349–1356.
- 55 N. S. Shamsul, S. K. Kamarudin, N. A. Rahman and N. T. Kofli, *Renewable Sustainable Energy Rev.*, 2014, **33**, 578–588.
- 56 <https://enerkem.com/>.
- 57 R. M. Navarro, M. A. Pena and J. L. G. Fierro, *Chem. Rev.*, 2007, **107**, 3952–3991.
- 58 <https://www.carbonrecycling.is/george-olah>.
- 59 A. Sternberg and A. Bardow, *Energy Environ. Sci.*, 2015, **8**, 389–400.
- 60 J. Klankermayer and W. Leitner, *Philos. Trans. R. Soc., A*, 2016, **374**, 20150315.

