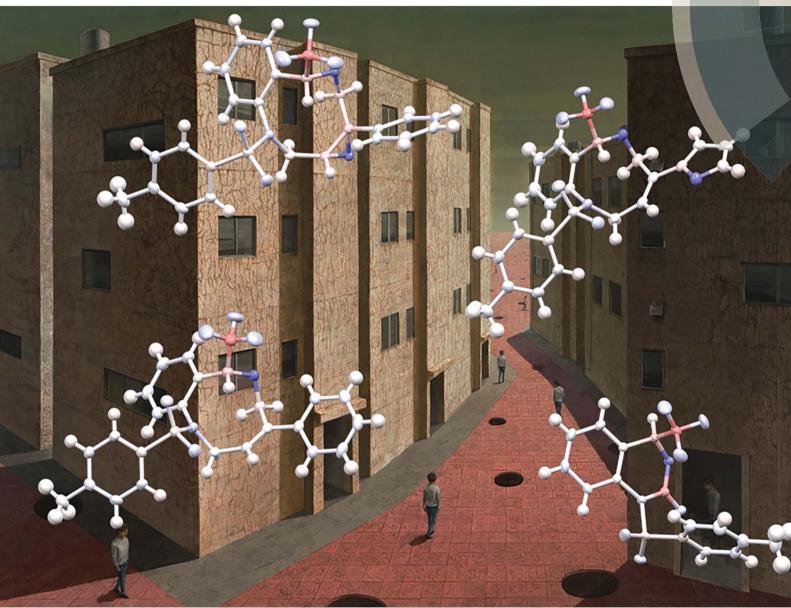
# Chemical Science

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#### **EDGE ARTICLE** Norio Shibata *et al.*

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# EDGE ARTICLE



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Access to benzo-fused nine-membered heterocyclic alkenes with a trifluoromethyl carbinol moiety *via* a double decarboxylative formal ring-expansion process under palladium catalysis<sup>†</sup>

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Direct access to pharmaceutically attractive benzo-fused nine-membered heterocyclic alkenes **3** with a trifluoromethyl carbinol moiety was achieved *via* a palladium-catalyzed double-decarboxylative formal ring-expansion process from six-membered trifluoromethyl benzo[*d*][1,3]oxazinones **1** to nine-membered trifluoromethyl benzo[*c*][1,5]oxazonines **3** in the presence of vinylethylene carbonates **2**. Generation of a Pd- $\pi$ -allyl zwitterionic intermediate was proposed in the catalytic cycle. The trifluoromethyl group in the benzoxazinanones **1** plays an important role throughout the transformation. Diastereoselective chemical transformations of products **3** were also demonstrated.

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# Introduction

Fluoro-functionalized heterocycles with diverse ring sizes and ring systems have been well studied in pharmaceuticals and agrochemicals.<sup>1</sup> Thus, a remarkable number of publications have been dedicated to the development of efficient synthetic methods to construct fluoro-functionalized heterocycles.<sup>1,2</sup> In particular, heterocyclic molecules with a trifluoromethyl carbinol moiety, *i.e.*, CF<sub>3</sub>C(OR<sup>1</sup>)R<sup>2</sup>R<sup>3</sup>, have gathered much attention<sup>3-6</sup> on account of their promising biological properties. Efavirenz<sup>4</sup> (anti-HIV), trifluoromethylated artemisinins<sup>5</sup> (antimalarial), and fluralaner<sup>6</sup> (insecticide and acaricide) are representative examples (Fig. 1).

In this context, our group has been engaged in the development of novel synthetic methodologies for fluorinecontaining heterocycles for decades.<sup>7</sup> Including our reports,<sup>7</sup> the present synthetic strategies for fluorinated heterocyclic molecules are mostly limited to the construction of five- and sixmembered ring systems,<sup>1,2,7</sup> while the synthesis of medium- to large-sized fluoro-functionalized heterocycles such as derivatives of benzo-oxazepine<sup>8</sup> and macrosphelide A<sup>9</sup> (Fig. 1) is extremely rare, despite the pharmaceutical importance of medium-sized heterocyclic compounds (non-fluorinated)<sup>10</sup> and biologically active natural products.<sup>11</sup> Very recently, Liu and co-workers reported an elegant method for the construction of fluoroalkyl-functionalized medium-/large-sized carbocyclic alkenes *via* an intramolecular radical trifluoromethylation-cyclization process.<sup>12</sup> Recently, Zhao and co-workers successfully reported the palladium-catalyzed [5 + 4] and [6 + 4] cyclo-addition reactions of azadienes with vinylethylene carbonates and vinyl oxetanes respectively in good yields and selectivities.<sup>13</sup> We disclose herein the first synthesis of benzo-fused nine-membered heterocyclic alkenes **3** with a trifluoromethyl carbinol moiety and vinylethylene carbonates **2**<sup>14</sup> (Scheme 1).

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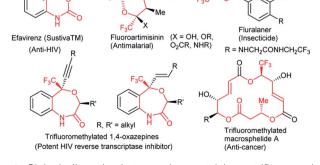
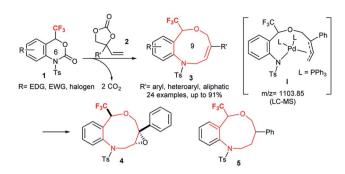


Fig. 1 Biologically active heterocycles containing a trifluoromethyl carbinol moiety.

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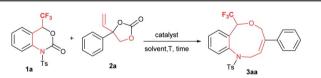
<sup>†</sup> Electronic supplementary information (ESI) available: CCDC 1575063, 1575065, 1575062, 1589030. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c7sc05447e



Scheme 1 Direct access to benzo-fused nine-membered heterocyclic alkenes 3 with a trifluoromethyl carbinol moiety from sixmembered oxazinones 1 and vinylethylene carbonates 2 *via* palladium-catalyzed double decarboxylative cycloaddition and the further diastereoselective chemical transformations of 3.

The resulting trifluoromethylated heterocycles 3 have a benzo[c][1,5]oxazonine skeleton, and are not only medicinally attractive fluorine-containing heterocycles,1 but also expanded variants of well-known [1,4]oxazepine pharmaceuticals.15 Synthesis of the titled nine-membered compounds 3 were achieved from previously unknown trifluoromethylated benzoxazinanones 1 (six-membered ring) via a formal ringexpansion pathway under palladium catalysis. The reaction proceeded via the double decarboxylation (DDC)<sup>16</sup> of 1 and vinylethylene carbonates 2 followed by a [5 + 4] cycloaddition reaction. The formation of Pd-complex I as an intermediate was proposed by LC-MS spectrometric analysis. This method provides an expedient access to trifluoromethylated benzo[c] [1,5]oxazonines 3 with diverse functional groups in the aromatic moiety, including electron-donating, electron-deficient, and halogenic groups. Moreover, the alkene moiety in products 3 was further functionalized by conventional chemical

Table 1 Optimization conditions<sup>a</sup>



Entry	Pd catalyst (with or without ligand)	Solvent	$T [^{\circ}C]$	<i>t</i> [h]	Yield <sup>c</sup> [%]
$1^b$	10 mol% Pd <sub>2</sub> (dba) <sub>3</sub> ·CHCl <sub>3</sub>	DCM	rt	24	_
$2^b$	5 mol% $Pd_2(dba)_3 \cdot CHCl_3$	THF	40	24	_
3	5 mol% Pd <sub>2</sub> (dba) <sub>3</sub> ·CHCl <sub>3</sub> /10 mol% PCy <sub>3</sub>	THF	40	24	_
4	5 mol% Pd₂(dba)₃ · CHCl₃/10 mol% d <i>t</i> bpmb	THF	40	24	_
5	$5 \text{ mol}\% \text{ Pd}(\text{PPh}_3)_4$	THF	50	7	75(70)
6	$5 \text{ mol}\% \text{ Pd}(\text{PPh}_3)_4$	Toluene	50	36	68(66)
7	$5 \text{ mol}\% \text{ Pd}(\text{PPh}_3)_4$	DCE	50	12	83(79)
8	$5 \text{ mol}\% \text{ Pd}(\text{PPh}_3)_4$	DCE	rt	40	79(70)
9	$5 \text{ mol}\% \text{ Pd}(\text{PPh}_3)_4$	DCE	80	12	91(89)
10	5 mol% Pd(PPh <sub>3</sub> ) <sub>4</sub>	DCE	Reflux	12	40(34)

<sup>*a*</sup> Experiments were performed with **1a** (0.1 mmol), **2a** (0.15 mmol), 5 mol% Pd(PPh<sub>3</sub>)<sub>4</sub> (0.05 mmol) in 1.0 mL solvent. <sup>*b*</sup> **2a** (0.12 mmol) was used. <sup>*c*</sup> Yields are <sup>19</sup>F NMR yields with internal standard PhCF<sub>3</sub> and yields (isolated) are also given in parentheses. dtbpmb = 1,2-bis(di-*tert*-butylphosphinomethyl)benzene. DCE = 1,2-dichloroethane.

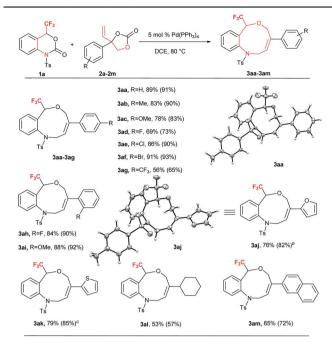
transformations such as epoxidation to **4** and reduction to **5** (Scheme 1) with high diastereoselectivities which make this novel trifluoromethylated nine-membered skeleton more attractive as a template for drug discovery research. The presence of a trifluoromethyl group on **1** plays a pivotal role for their successful transformation to **3** based on comparative studies using non-CF<sub>3</sub>-varients of **1**.

### **Results and discussion**

We started a preliminary investigation with the reaction of trifluoromethyl (CF<sub>3</sub>) benzoxazinanone 1a and phenyl vinylethylene carbonate 2a in the presence of suitable palladium precursors and/or phosphine ligands (Table 1). We first attempted our reaction of 1a using similar Pd<sub>2</sub>(dba)<sub>3</sub>·CHCl<sub>3</sub> conditions in the presence or absence of phosphine ligands, but the results were disappointing (entries 1-4). Moving on to  $Pd(PPh_3)_4$  as a palladium precursor at 50 °C in THF furnished exclusively a nine-membered ring in good yield of 70% (entry 5). Motivated by this result, further optimization was carried out in different solvents. In toluene, a slight decrease in yield was observed, at 66% (entry 6), while in dichloroethane yield improved to 79% (entry 7). Lowering the temperature to room temperature (rt) furnished good yield (70%), but 40 hours were required to complete the reaction (entry 8). An excellent yield of 91% (89%) was observed by increasing the temperature to 80 °C (entry 9). Increasing the temperature further decreased yield dramatically (entry 10, see ESI for more details<sup>†</sup>).

Based on the optimized reaction conditions, the flexibility of the DDC reaction was scrutinized by using a broad array of vinylethylene carbonates (VECs) **2a–m** with **1a**. The results are summarized in Table 2. Both electron-withdrawing and electron-donating groups on the phenyl ring of **2** furnished

#### Table 2 Scope of vinylethylene carbonates (VECs) 2<sup>a</sup>

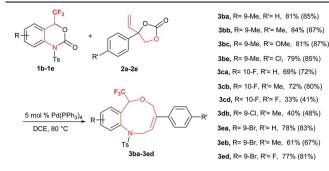


<sup>*a*</sup> Experiments were performed with **1a** (0.1 mmol), **2a–m** (0.15 mmol), Pd(PPh<sub>3</sub>)<sub>4</sub> (0.05 mmol) in 1.0 mL dry DCE with stirring at 80 °C for 12–16 h. Yields are isolated yields and <sup>19</sup>F NMR yields with internal standard PhCF<sub>3</sub> also shown in parentheses. **3aa:** CCDC 1575063; **3aj:** CCDC 1575065. <sup>*b*</sup> 0.20 mmol of **2j** was used. <sup>*c*</sup> 0.20 mmol of **2k** was used.

good to excellent yields. VECs 2b-c, which have electrondonating groups (Me and OMe) at the p-position, reacted efficiently to afford the desired products 3 in excellent yields (3ab: 83%; 3ac: 78%) whereas VEC 2g, which contains an electronwithdrawing group  $(CF_3)$  at the *p*-position, furnished moderate yield (3ag: 56%). Furthermore, halogen-substituted VECs (2d: F; 2e: Cl; 2f: Br) also underwent the DDC reaction very smoothly to furnish good to excellent yields (3ad: 69%; 3ae: 86%; 3af: 91%). Similarly, a highly electronegative atom (2h: F) and an electron-donating group (2i: OMe) at the o-position afforded excellent yields (3ah: 84% and 3ai: 88%). Noticeably, substrates bearing an electron-withdrawing group (F) and an electron-donating group (OMe) at the o-position furnished higher yields than *p*-substituted substrates. Moreover, the scope of VECs 2 was extended to heteroaryl systems (2j: 2-furyl; 2k: 2thiophenyl) and the reaction proceeded smoothly to afford the desired products 3 in good yields (3aj: 76%; 3ak: 79%). Gratifyingly, non-aromatic substituent VEC 2l and extended  $\pi$ conjugate naphthalene-derived VEC 2m also underwent the cycloaddition reaction to furnish 3al and 3am in moderate to good yield (53% and 65%, respectively), thus significantly broadening the scope of substrate 2 of this DDC system (Table 2).

Spurred by this interesting result, a range of differently substituted  $CF_3$ -benzoxazinanones **1b–e** were further examined to better understand the DDC reaction (Table 3). Substituents on **1** with electronically dissimilar properties at different

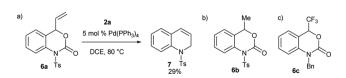
**Table 3** Scope of benzoxazinanones  $\mathbf{1}^{a}$ 



<sup>*a*</sup> Unless noted otherwise, the reaction was performed with 0.10 mmol of **1b–e** as mentioned in Table 1. Yields are isolated yields and <sup>19</sup>F NMR yields with internal standard (PhCF<sub>3</sub>) also shown in parentheses.

positions on the benzene ring were well tolerated to provide 3 in moderate to good yields. The substrate-bearing electrondonating methyl group on the benzene ring, **1b** produced  $CF_3$ tetrahydrobenzoxazonine **3ba** in 81% yield. The halogensubstituted  $CF_3$ -benzoxazinanones **1c** and **1e** (F and Br) produced DDC products **3** in moderate to good yields (**3ca**: 69% and **3ea**: 78%) (Table 3).

To ensure the effect of the CF<sub>3</sub> group at the C-4 position, next we examined the reaction of benzoxazinanones 6, which contain different substituents at the C-4 position, with 2a (Scheme 2). In recent years, palladium-catalyzed cyclization reactions using vinyl benzoxazinanone 6a with a variety of substrates have been actively investigated by several groups.17 We thus first attempted the reaction of 6a with 2a. Interestingly, substrate 6a with a vinyl at the C-4 position produced a very different result. Under our best conditions, a vinyl-substituted benzoxazinanone 6a was converted to an intramolecular cyclization product 7 in 29% yield but no desired nine-membered cyclized product was observed (Scheme 2a). We next examined the reaction using 6b with a methyl group at the C-4 position instead, but were unable to furnish the desired product and the starting material 6b remained (Scheme 2b). Similar no conversion was obtained when we carried out the reaction of 6c having protected N-benzyl group (Scheme 2c). Although the reasons for the high reactivity of 1a are not clear, it might be due to the higher electrophilicity value of 1a induced by the strong electronegativity of the  $CF_3$  group (group electronegativity of  $CF_3$  is 3.45).<sup>18</sup> To ensure the effect of the CF<sub>3</sub> group at the C-4 position of 1a, we performed a DFT calculation. The electrophilic value of 1a having CF<sub>3</sub> at the C-4 position was estimated to be 3.67 ( $\omega$ 



Scheme 2 Reaction of benzoxazinanones 6a–c which contain different substituents at the C-4 position and N-protected group, with 2a under optimized conditions gave different results.

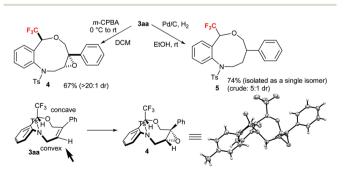
(eV)) while that of **5b** containing  $CH_3$  at the C-4 position (3.33) was lower (Table S6, Fig. S1 in ESI for details<sup>†</sup>).

Interestingly, the X-ray crystallographic analysis of starting substrate **1a** revealed that **1a** has a sterically unfavourable *cis*-configuration between  $CF_3$  and tosyl groups (Fig. 2). Although the reasons for the stabilization of **1a** in this configuration are not sure, <sup>19</sup> the steric repulsion might be the additional factor for the high reactivity of **1a** for decarboxylation reaction.

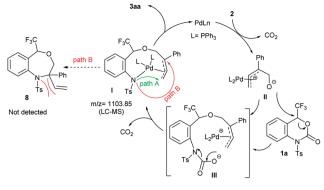
To demonstrate the synthetic applicability of CF<sub>3</sub>-substituted tetrahydrobenzoxazonines **3**, epoxidation and hydrogenation reactions were carried out as displayed in Scheme 3 based on the classical work of Still and Hoveyda.<sup>20</sup> By using the Zhao's condition<sup>13*a*</sup> we performed the epoxidation of **3aa** in the presence of *m*-CPBA at 0 °C to rt successfully transformed to epoxide **4** with 67% yield and >20 : 1 diastereoselectivity through the peripheral attack. The X-ray crystallographic structure of **4** (CCDC 1589030†) suggested that epoxidation proceeded *via* a less hindered convex approach. Hydrogenation of **3aa** with H<sub>2</sub> in the presence of Pd–C at rt furnished the desired product **5** (5 : 1 dr) in 74% yield (isolated as a single isomer) (Scheme 3).

A plausible reaction mechanism of the palladium-catalyzed DDC reaction of **1a** with **2a** to **3aa** is portrayed in Scheme 4. The catalytic cycle is first initiated by the oxidative addition of Pd(0) with **2** followed by decarboxylation, which generates the  $\pi$ -allyl-Pd(II) complex **II**. The extremely nucleophilic nature of the alkoxide oxygen of **II** attacks the most electrophilic carbon atom attached to the CF<sub>3</sub> group of **1a** which triggers the opening of benzoxazinanone ring to generate reactive species **III**. Due to its highly reactive nature, species **III** immediately transforms into Pd-complex **I** *via* decarboxylation. Recently, Kleij *et al.* disclosed the similar kind of six membered Pd-complex with the

Fig. 2 X-ray crystallographic analysis of 1a (CCDC 1575062†) revealed a sterically unfavourable *cis*-configuration between  $CF_3$  and tosyl groups.



Scheme 3 Diastereoselective derivatizations of tetrahydrobenzoxazonine 3aa.



Scheme 4 Plausible mechanism

support of DFT calculations.<sup>21</sup> In our case, the formation of Pdcomplex I was confirmed by LC-MS spectrometry (Fig. S2 in ESI for detail<sup>†</sup>) but we could not detect it by NMR (Fig. S3, in ESI for detail<sup>†</sup>).

From complex **I**, there might be two possible pathways for the formation of two different cyclized products. Attack at the terminal position of the Pd-complex (path A) would generate the [5 + 4] cycloaddition product **3aa** while internal attack (*i.e.*, path B) of Pd-complex could result in [4 + 3] cycloaddition to furnish a seven-membered heterocycle **8**. However, we did not obtain the [4 + 3] cycloaddition adduct **8**. This may be attributed to steric hindrance of **8**, *i.e.*, the NTs group as well as the tetrasubstituted tertiary carbon center on **8**.

## Conclusions

In conclusion, we have established a novel and highly efficient methodology for the synthesis of benzo-fused nine-membered heterocyclic alkenes with a trifluoromethyl carbinol moiety through a palladium-catalyzed double decarboxylative formal ring expansion process. A combination of trifluoromethylated six-membered benzoxazinanones with vinylethylene carbonates resulted in direct access to previously unknown trifluoromethylfunctionalized nine-membered heterocycles. The trifluoromethyl substituent at the C-4 position of benzoxazinanones plays an important role in this transformation. Diastereoselective transformations of the benzo-fused ninemembered heterocyclic alkene were also achieved to demonstrate the synthetic utility of the products. Investigation of the formation of other medium-sized rings as well as enantioselective variants of the reaction are presently under way in our laboratory.

# Conflicts of interest

There are no conflicts to declare.

# Acknowledgements

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