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## PAPER

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## Introduction

MicroRNAs (miRNAs), which are  $\sim$ 22 nucleotides in length, are a special class of small non-coding RNAs that repress translation or cause degradation of their target mRNAs during posttranscriptional regulation.1 According to the literature, miRNAs are involved in multiple biological or cellular processes, such as cell development,<sup>2</sup> differentiation,<sup>3</sup> metabolism,1 and apoptosis.<sup>4</sup> In addition, emerging evidence has indicated that functional disruption of miRNA is associated with diverse complex human diseases, including cancer.5-8 Therefore, predicting disease-associated miRNAs is crucial for elucidating mechanisms of pathogenicity and discovering novel drug targets. However, validating miRNA-disease association by biomedical experiments is costly and time-consuming. Given that a large number of miRNA association datasets have become available, it is necessary to design computational methods to reveal new types of disease-related miRNAs with high accuracy.

## Prediction of microRNA-disease associations with a Kronecker kernel matrix dimension reduction model<sup>†</sup>

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Identifying the associations between human diseases and microRNAs is key to understanding pathogenicity mechanisms and important for uncovering novel prognostic markers. To date, a series of computational approaches have been developed for the prediction of disease-microRNA associations. However, these methods remain difficult to perform satisfactorily for diseases with a few known associated microRNAs. This study introduces a novel computational model, namely, the Kronecker kernel matrix dimension reduction (KMDR) model, for identifying potential microRNA-disease associations. This model combines microRNA space and disease space in a larger microRNA-disease space by using the Kronecker product or the Kronecker sum. The predictive performance of our proposed approach was evaluated and validated based on known association datasets. The experimental results show that KMDR achieves reliable prediction with an average AUC of 0.8320 for 22 complex diseases, which indeed outperforms other competitive methods. Moreover, case studies on kidney cancer, breast cancer, and esophageal cancer further demonstrate the applicability of our method in the identification of new disease-microRNA pairs. The source code of KMDR is freely available at https://github.com/ghli16/KMDR.

Based on the principle that functionally related miRNA molecules are likely to be regulated in phenotypically similar diseases, a number of computational tools have been put forward to uncover latent links between diseases and miR-NAs.9-13 For instance, Jiang et al.14 predicted disease-miRNA interactions using hypergeometric distribution on an integrated human phenome-microRNAome network. However, the efficacy of this method is limited in that it relies on predicted miRNAtarget interactions, which may be inaccurate and incomplete. Xuan et al.15 established a miRNA functional similarity network derived from known disease-miRNA relationships, disease similarity, miRNA clusters and family data. Then, they predicted potential miRNAs related to a given disease based on weighted kmost similar neighbors. Considering that the aforementioned methods only utilize local network association information for ranking the potential links, Chen et al.16 developed a global network similarity model by implementing the random walk algorithm on a constructed miRNA-miRNA functional similarity network. Shi et al.17 also modeled the disease-miRNA relationship prediction process as a random walk on a protein-protein interaction network, which calculated functional associations between disease-related genes and miRNA-targeted genes. Similarly, MIDP18 extrapolated new disease-miRNA interactions based on random walk on the miRNA functional similarity network. This model assigned different transition matrices to known and unknown miRNAs in order to use the prior information known about these miRNAs. To implement prediction for new diseases, random walk was applied to a disease-miRNA

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bilayer network, namely, MIDPE. Furthermore, researchers have recently integrated multiple similarities, including semantic similarities between diseases, functional similarities between miRNAs, and Gaussian interaction profile kernel similarities of miRNAs and diseases, to achieve better prediction performance. For example, Chen et al.<sup>19</sup> introduced a similarity search method named WBSMDA, based on the within-score and between-score of each candidate disease-miRNA pair, to predict novel diseasemiRNA interactions. Subsequently, You et al.20 presented the approach of path-based miRNA-disease association prediction (PBMDA) to mine latent links between disease and miRNAs on the same types of biological datasets. In addition, machine learning methods have proved efficient in this field. Xu et al.21 extracted four topological features from a constructed miRNA target-dysregulated network and imported these features into a support vector machine (SVM) to identify positive miRNAs associated with prostate cancer from negative ones. However, the performance of this approach is far from satisfactory because it is currently rather difficult, or even impossible, to select negative miRNA-disease association samples. To overcome this limitation, a semi-supervised model called RLSMDA, which did not need negative samples, was proposed by Chen et al.22 This method is especially useful when applied to diseases with no known associations to any miRNA. By integrating known disease-miRNA interactions and the similarities of miRNAs and diseases, Luo et al.23 proposed a novel computational model named KRLSM, which performed predictions on the entire disease-miRNA space by using Kronecker product algebraic properties. Recently, the method of RKNNMDA24 used K-Nearest Neighbors algorithm to search for k-nearest-neighbors both for each miRNA and disease from the similarity scores of miRNAs and diseases, and finally obtained the candidate associations according to SVM Ranking model. However, the performance of the above models remains unsatisfactory for sparse miRNAdisease association datasets.

Considering that known miRNA-disease pairs are rare in current datasets, we address the problem of association prediction on sparse known miRNA-disease interaction networks. In this study, we propose a Kronecker kernel matrix dimension reduction model, which combines the cosine similarity matrices of miRNAs and diseases into one miRNA-disease similarity matrix by using Kronecker product or Kronecker sum to identify latent relationships between diseases and miRNAs. We tested the predictive performance of this method on HMDD datasets. The experiments show that, in terms of AUC, reliable results were achieved for 22 diseases associated with at least 60 miRNAs. Additionally, we have carried out the case studies on kidney cancer, breast cancer, and esophageal cancer to further make evaluation. Among these three important cancers, more than 90 percent of the top 50 miRNA candidates were verified by the published biological literature and by three public databases.

### Materials and methods

#### Data preparation

The known disease–miRNA interactions were obtained from the HMDD database (January 2014 Version).<sup>25</sup> After filtering out

duplicate records, 5424 distinct, experimentally confirmed interactions were obtained, containing 378 diseases and 495 miRNAs. In addition, three other public databases (*i.e.*, dbDEMC,<sup>26</sup> miRCancer,<sup>27</sup> and PhenomiR2.0 (ref. 28)) were used to confirm prediction results with case studies.

#### **Problem formalization**

We address the issue of identifying novel associations in a miRNA-disease bipartite network. Formally,  $X_m = \{m_1, m_2, ..., m_{n_m}\}$  and  $X_d = \{d_1, d_2, ..., d_{n_d}\}$  denote the sets of all miRNAs and all diseases in the network, respectively. The edge set of the network represents the known miRNA-disease pairs. We can store this network in a  $n_d \times n_m$  adjacency matrix A, where  $[A]_{ij}$  is equal to 1 if disease  $d_i$  interacts with miRNA  $m_j$ , and is 0 otherwise. Therefore, the *i*-th row of A is a binary vector that represents the correlation between disease  $d_i$  and each miRNA, whereas the *j*-th column of A stands for the association between miRNA  $m_j$  and each disease. We need to calculate relevance likelihood of each non-interacting miRNAdisease pair and then infer novel associations among these pairs.

#### Calculation of cosine similarities for diseases and miRNAs

Cosine similarities for diseases were computed assuming that diseases showing similar patterns of interaction and noninteraction with the miRNAs of a disease–miRNA association network tend to interact in a similar way with new miR-NAs; a similar assumption was made for miRNAs. Binary vector  $IP(d_i)$  represents the interaction pattern of disease  $d_i$ , which encodes the presence or absence of interaction with each miRNA (*i.e.*, the *i*-th row of the adjacency matrix *A*). Therefore, the cosine similarity between disease  $d_i$  and  $d_j$  can be computed as follows:

$$S_d(d_i, d_j) = \frac{\operatorname{IP}(d_i) \cdot \operatorname{IP}(d_j)}{\|\operatorname{IP}(d_i)\| \|\operatorname{IP}(d_j)\|}$$
(1)

After calculating the cosine value for each disease–disease pair, the disease similarity matrix  $S_d$  was established.

Similarly, the miRNA cosine similarity matrix  $S_m$  can be calculated as follows:

$$S_m(m_i, m_j) = \frac{\operatorname{IP}(m_i) \cdot \operatorname{IP}(m_j)}{\|\operatorname{IP}(m_i)\| \|\operatorname{IP}(m_j)\|}$$
(2)

In this equation,  $IP(m_i)$  is the interaction pattern of miRNA  $m_i$ , which encodes the presence or absence of interaction with each disease (*i.e.*, the *i*-th column of the adjacency matrix *A*).

There are other methods to calculate a similarity matrix from interaction profiles. For instance, Chen *et al.*<sup>29,30</sup> proposed using the Gaussian interaction profile (GIP) kernel. We have conducted brief experiments with GIP kernel, which indicate that cosine similarity method consistently outperform the method based on GIP kernel in terms of AUC for 22 selected diseases. The detailed results are presented in ESI Fig. S1.<sup>†</sup>

We constructed kernel matrices based on cosine similarity matrices  $S_d$  or  $S_m$ . These similarity matrices are symmetric, but they may not always be positive semi-definite. To satisfy the positive semi-definite property, we applied a simple transformation by adding a small multiple of the identity matrix to their diagonals. We denote the resulting kernel matrices for diseases and miRNAs by  $K_d$  and  $K_m$ , respectively. These two base kernels,  $K_d$  and  $K_m$ , are independent of each other, therefore, combining the kernels into a whole kernel that directly correlates with disease–miRNA pairs is a better alternative. We can construct such whole kernels *via* the Kronecker product kernel or Kronecker sum kernel, namely,  $K = K_d \otimes K_m$ or  $K = K_d \oplus K_m$ .

#### Kernel matrix dimension reduction model

Based on the assumption that two similar node pairs tend to have the same connection strength, the prediction score matrix  $\hat{A}$  could be written as follows:

$$\operatorname{vec}(\hat{A}) = S \cdot \operatorname{vec}(A)$$
 (3)

where  $\operatorname{vec}(\cdot)$  is a vectorization function obtained by stacking the columns of a matrix into a vector. The entity  $[\hat{A}]_{ij}$  represents a relevance score of a disease–miRNA pair  $(d_i, m_j)$ . *S* could be considered a link similarity matrix. In this work, motivated by the report by Kuang *et al.*,<sup>31</sup> we construct a link similarity matrix *S* based on a modified kernel matrix dimension reduction method. Dimension reduction aims at projecting our training data into a feature space with a lower dimension, which has the role of pushing similar data together and bringing dissimilar data apart. The construction of matrix *S* based on kernel matrix *K* is described below.

Assume that kernel matrix *K* is an  $n \times n$  matrix. The eigen decomposition of *K* is expressed as  $K = V\Lambda V^T$ , where  $V = [v_1, v_2, ..., v_n]$ ;  $v_i$  is an eigenvector of *K*.  $\Lambda$  is a diagonal matrix whose elements are  $[\Lambda]_{ii} = \lambda_i$ , where  $\lambda_i$  is an associated eigenvalue of  $v_i$ . Therefore, according to linear algebra theory, we can obtain the eigen decomposition of *K*:

$$K = \sum_{i=1}^{n} \lambda_i v_i {v_i}^T \tag{4}$$

For further simplification, we assume that the eigenvalues of *K* are sorted in a non-increasing order (*i.e.*,  $\lambda_1 \ge \lambda_2 \ge ... \ge \lambda_n$ ). Generally, larger eigenvalues are more important than smaller ones. Therefore, we only consider the larger eigenvalues of top *p*, and construct a link similarity matrix *S* as follows:

$$S = \sum_{i=1}^{p} \lambda_i v_i v_i^T \tag{5}$$

Note that if p is not very large,  $\lambda_p$  is always greater than 0; thus, the rank of the link similarity matrix S is p, and the rank of the kernel matrix K is always not less than p. Hence, we call this method the kernel matrix dimension reduction method

(KMDR). Finally, substituting eqn (5) into eqn (3), we obtained the general formula of KMDR as follows:

$$\operatorname{vec}(A) = VA^*VT \cdot \operatorname{vec}(A) \tag{6}$$

where  $\Lambda^*$  is a diagonal matrix whose elements are  $[\Lambda^*]_{ii} = l(i \in \{1, 2, ..., n\})$ , where *l* is equal to  $\lambda_i$  if  $i \in \{1, 2, ..., p\}$ , and is 0 otherwise.

Obviously, if we use a different kernel matrix, the final prediction score matrix by KMDR will also be different. Hence, based on the Kronecker product kernel and Kronecker sum kernel, KMDR could result in two independent sub-algorithms: KMDR-KP and KMDR-KS; KP and KS are short for Kronecker product and Kronecker sum, respectively. Fig. 1 illustrates the overall flowchart of the KMDR method.

Note that there is a slight difference between this model and the method described by Kuang *et al.*<sup>31</sup> We use the larger eigenvalues of top *p* to combine the symmetric matrix  $v_i v_i^T$  ( $i \in$ {1, 2, ..., *p*}), while the method described by Kuang *et al.* uniformly uses a single constant, and therefore, may not be able to distinguish between the importance of different eigenvalues.

#### KMDR-KP

In KMDR-KP, the Kronecker product  $K_d \otimes K_m$  of the disease and miRNA kernels is

$$K((d_i,m_j),(d_k,m_l)) = K_d(d_i,d_k)K_m(m_j,m_l)$$
<sup>(7)</sup>

Hence, the size of the kernel matrix *K* is  $n_d n_m \times n_d n_m$ , which would require a large memory overhead even for a moderate number of diseases and miRNAs. To reduce computational cost, a more efficient improvement has been made on the basis of

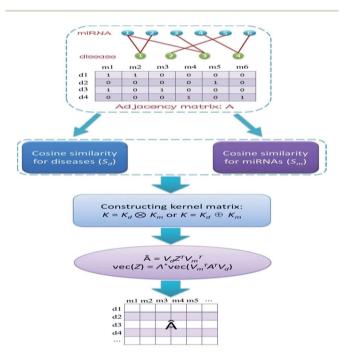


Fig. 1 Overall flowchart of KMDR for identifying latent miRNA–disease pairs.

eigen decompositions, as performed in.<sup>32</sup> Let  $K_d = V_d \Lambda_d V_d^T$  and  $K_m = V_m \Lambda_m V_m^T$  be the eigen decompositions of the kernel matrices  $K_d$  and  $K_m$ . As the vectors (eigenvalues) of a Kronecker product are the Kronecker product of vectors (eigenvalues), we can rewrite the Kronecker product kernel as  $K = K_d \otimes K_m = V\Lambda V^T$ , where  $V = V_d \otimes V_m$  and  $\Lambda = \Lambda_d \otimes \Lambda_m$ . To efficiently multiply this kernel matrix with vec( $A^T$ ), we make good use of an algebraic property of the Kronecker product, that is, ( $B \otimes C$ ) vec(X) = vec( $CXB^T$ ). After the conversion, the final prediction score matrix can be written as follows:

$$\hat{A} = V_d Z^T V_m^T \tag{8}$$

where  $\operatorname{vec}(Z) = \Lambda^* \operatorname{vec}(V_m^T A^T V_d)$ , here the definition of  $\Lambda^*$  is similar to that in eqn (6).

#### KMDR-KS

In KMDR-KS, the Kronecker sum kernel is defined as  $K = K_d \oplus K_m$ . Similar to KMDR-KP, the final prediction score matrix of KMDR-KS is the same as eqn (8). However, for the Kronecker sum kernel,  $\Lambda = \Lambda_d \oplus \Lambda_m$ . Therefore, the main difference between the two sub-algorithms is that they have different eigenvalue sets  $\{\lambda_1, \lambda_2, ..., \lambda_p\}$ , that is,  $\Lambda^*$  in KMDR-KS is different from  $\Lambda^*$  in KMDR-KP.

There is a parameter p in the construction of the link similarity matrix *S*. Here, we choose  $p = [n \times q]$ , where *n* is the size of kernel matrix *K*, and  $q \in [0, 1]$  is a proportion coefficient. The symbol [·] represents the Gauss rounding function. Notably, q was set as 0.25 in all experiments, and 0.25 was also chosen as the optimal parameter q in the method

 Table 1
 Prediction results of 22 diseases for various computational models

described by Kuang *et al.*<sup>31</sup> This is equivalent to projecting the data onto the subspace spanned by the top 25% principal components.

### Results

#### Performance evaluation

To evaluate the predictive capability of a method on a sparse set of known associations, we randomly divide all known associations of each disease into ten disjointed subsections, nine of which are used as testing samples and the remaining one is used as a training sample through multiple iterations. As diseases associated with only a few miRNAs may be insufficient to assess the capacity of the prediction method, we selected 22 human diseases, which are associated with at least 60 miRNAs, as test cases. Since the cosine similarities for diseases and miRNAs are constructed on the basis of known disease-miRNA associations, we need to recalculate the cosine value for each run when the known associations change. The area under the ROC curve (AUC) was computed to assess the quality of the predicted associations. AUC = 1 indicates perfect classification, whereas AUC = 0.5 reflects random classification. Additionally, considering that there are few known disease-miRNA associations, we also adopted a precision-recall (PR) curve, and the area under the PR curve (AUPR) served as a complementary quality measure.

To demonstrate the effectiveness of the KMDR model, we compared its two sub-algorithms with six state-of-the-art models, namely, MIDP,<sup>18</sup> MIDPE,<sup>18</sup> RLSMDA,<sup>22</sup> WBSMDA,<sup>19</sup> KRLSM,<sup>23</sup> and RKNNMDA.<sup>24</sup> The parameters in MIDP, MIDPE,

	#miRNAs	AUC							
Disease name		KMDR-KP	KMDR-KS	MIDP	MIDPE	RLSMDA	WBSMDA	KRLSM	RKNNMDA
Breast neoplasms	202	0.8168	0.8169	0.7250	0.7511	0.5418	0.7246	0.7541	0.7089
Hepatocellular carcinoma	214	0.7415	0.7571	0.6811	0.7188	0.5868	0.7184	0.6377	0.6635
Non-small-cell lung carcinoma	95	0.8454	0.8573	0.7380	0.7753	0.5742	0.8129	0.7279	0.7152
Renal cell carcinoma	107	0.7826	0.7991	0.6924	0.7331	0.5803	0.7553	0.6870	0.6579
Squamous cell carcinoma	80	0.8504	0.8726	0.7784	0.7911	0.6375	0.8230	0.6798	0.6750
Colonic neoplasms	78	0.8414	0.8585	0.8086	0.8289	0.6140	0.7750	0.6502	0.7036
Colorectal neoplasms	147	0.8082	0.8248	0.7191	0.7499	0.6395	0.6985	0.6558	0.6426
Endometriosis	62	0.7974	0.8130	0.7746	0.7840	0.5834	0.7739	0.6825	0.6063
Esophageal neoplasms	74	0.7665	0.7836	0.7298	0.7298	0.6898	0.7141	0.7001	0.6244
Glioblastoma	96	0.7777	0.8001	0.7178	0.7394	0.5604	0.7912	0.5966	0.6769
Glioma	71	0.8501	0.8665	0.7513	0.7798	0.5025	0.8265	0.7940	0.7573
Head and neck neoplasms	64	0.8317	0.8597	0.7994	0.8122	0.4387	0.8155	0.8269	0.6323
Heart failure	120	0.7690	0.7854	0.9116	0.9267	0.8493	0.7950	0.6574	0.6725
Leukemia, myeloid, acute	64	0.7983	0.8400	0.8430	0.8443	0.6798	0.8705	0.6568	0.6761
Lung neoplasms	132	0.8836	0.9027	0.8305	0.8595	0.7434	0.8509	0.7939	0.7460
Medulloblastoma	62	0.7875	0.7900	0.7704	0.7832	0.6367	0.7585	0.6443	0.6586
Melanoma	141	0.8199	0.8296	0.7764	0.7850	0.5479	0.7758	0.7364	0.6242
Ovarian neoplasms	114	0.8889	0.8949	0.8552	0.8793	0.5993	0.8503	0.8114	0.6362
Pancreatic neoplasms	99	0.8807	0.8961	0.8209	0.8406	0.7866	0.8436	0.7923	0.6617
Prostatic neoplasms	118	0.8093	0.8353	0.7576	0.7864	0.6535	0.7747	0.7423	0.5936
Stomach neoplasms	174	0.7608	0.7767	0.7425	0.7288	0.5318	0.6807	0.6763	0.6869
Urinary bladder neoplasms	92	0.8039	0.8440	0.7261	0.7606	0.6797	0.8028	0.7810	0.6104
Average AUC		0.8142	0.8320	0.7704	0.7904	0.6208	0.7833	0.7129	0.6650

RLSMDA, KRLSM, and RKNNMDA are all chosen according to the author's recommendation.

Table 1 lists in detail the AUC values of the 22 diseases for each method of comparison. As the table shows, KMDR-KP and KMDR-KS consistently outperform the other six computational approaches for the most selected diseases. In particular, the performance of KMDR built with the Kronecker sum kernel was consistently better than that of the Kronecker product kernel. KMDR-KS has the highest average AUC score, which is 0.8320, whereas the respective AUCs of KMDR-KP, MIDP, MIDPE, RLSMDA, WBSMDA, KRLSM, and RKNNMDA were 0.8142, 0.7704, 0.7904, 0.6208, 0.7833, 0.7129, and 0.6650. The average AUCs obtained by KMDR-KS were 1.78%, 6.16%, 4.16%, 21.12%, 4.87%, 11.91%, and 16.70% higher than those of the other six methods. Meanwhile, Fig. 2 shows the comparison of the ROC curves from each method.

Fig. 3 displays the PR curves and the average AUPR scores of the above eight methods. It is obvious that the PR curves of KMDR-KP and KMDR-KS lie above those of MIDP, MIDPE,

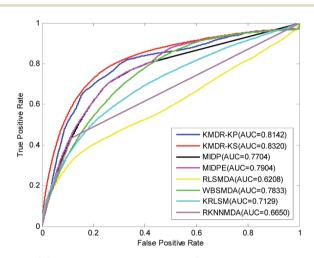
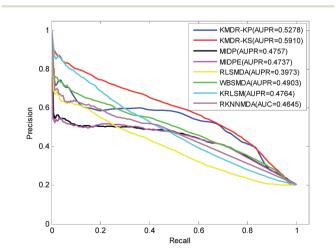


Fig. 2 ROC curves and the average AUCs of KMDR and other six previous methods.



**Fig. 3** PR curves and the average AUPR values of KMDR and other six previous methods.

RLSMDA, WBSMDA, KRLSM, and RKNNMDA. The average AUPR values achieved by KMDR-KS were 6.32%, 11.53%, 11.73%, 19.37%, 10.07%, 11.46%, and 12.65% higher than those of the other seven methods. These prediction results suggest that the KMDR model performs well with diseases that are associated with only a few known miRNAs. This might be attributed to the fact that KMDR successfully combines the spaces of diseases and miRNAs into a single disease-miRNA space by using Kronecker sum. However, for two diseases, namely, "Heart Failure" and "Leukemia, Myeloid, Acute", MIDPE and WBSMDA achieve higher AUCs than KMDR-KS; this could be because our method only adopts the topological structure of the disease-miRNA bipartite network.

#### **Case studies**

Usually, the top-ranked associations are more important for each disease. The number of correctly identified known disease–miRNA interactions under different top selections is shown in Fig. 4. For example, among the 5424 known disease– miRNA interactions, KMDR correctly detected 3258 (or 60.07%) known associations in the top 50 predictions. The result shows the effectiveness of KMDR in identifying confirmed disease– miRNA interactions.

To further confirm the ability of KMDR to discover new miRNA-disease interactions, we present case studies of several important diseases (kidney neoplasms, breast neoplasms, and esophageal neoplasms). All known interactions included in the HMDD database are taken as the training set, and the non-interacting pairs of each disease are ranked according to the prediction scores. Predictive results were validated based on experimental literature and three recently updated disease-miRNA databases, namely, dbDEMC,<sup>26</sup> miRCancer,<sup>27</sup> and PhenomiR2.0.<sup>28</sup>

As a common urologic malignancy, the incidence and death rates of kidney cancer have been rising gradually. According to the report of the American Cancer Society in 2016, there would be approximately 62 700 new cases of kidney cancer, and 14 240 deaths, in America.<sup>33</sup> Recent biological experiments have shown

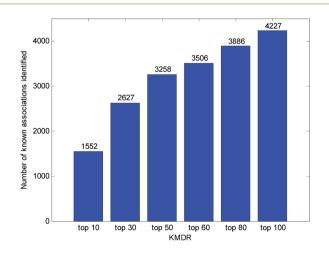


Fig. 4 Number of correctly identified disease-miRNA interactions under different top selections.

Rank	miRNAs	Evidences	Rank	miRNAs	Evidences	
1	hsa-mir-155	dbDEMC	26	hsa-mir-1	miRCancer	
2	hsa-mir-145	dbDEMC, miRCancer	27	hsa-mir-203	dbDEMC, miRCancer	
3	hsa-mir-200b	dbDEMC	28	hsa-mir-19b	dbDEMC	
4	hsa-mir-146a	dbDEMC	29	hsa-mir-375	dbDEMC	
5	hsa-mir-126	dbDEMC	30	hsa-mir-9	dbDEMC	
6	hsa-mir-200a	dbDEMC	31	hsa-mir-222	dbDEMC	
7	hsa-mir-16	dbDEMC	32	hsa-let-7b	dbDEMC	
8	hsa-mir-125b	dbDEMC	33	hsa-mir-210	dbDEMC, miRCancer	
9	hsa-mir-34a	dbDEMC	34	hsa-mir-10b	dbDEMC	
10	hsa-mir-20a	dbDEMC	35	hsa-mir-214	dbDEMC	
11	hsa-let-7a	dbDEMC	36	hsa-let-7c	dbDEMC	
12	hsa-mir-17	dbDEMC	37	hsa-mir-195	dbDEMC	
13	hsa-mir-143	dbDEMC	38	hsa-mir-29c	dbDEMC	
14	hsa-mir-221	dbDEMC	39	hsa-mir-218	dbDEMC	
15	hsa-mir-31	dbDEMC	40	hsa-mir-182	dbDEMC	
16	hsa-mir-92a	dbDEMC	41	hsa-mir-486	dbDEMC	
17	hsa-mir-29b	dbDEMC	42	hsa-mir-150	dbDEMC	
18	hsa-mir-29a	dbDEMC	43	hsa-mir-27a	dbDEMC	
19	hsa-mir-205	miRCancer	44	hsa-mir-146b	dbDEMC	
20	hsa-mir-223	dbDEMC, miRCancer	45	hsa-mir-183	dbDEMC, miRCancer	
21	hsa-mir-18a	dbDEMC	46	hsa-mir-181b	dbDEMC	
22	hsa-mir-19a	dbDEMC	47	hsa-mir-101	dbDEMC	
23	hsa-mir-199a	dbDEMC, miRCancer	48	hsa-mir-196a	dbDEMC	
24	hsa-mir-181a	dbDEMC	49	hsa-mir-24	dbDEMC	
25	hsa-mir-429	dbDEMC	50	hsa-mir-15b	dbDEMC	

that many miRNAs are related to kidney cancer. Here, we implemented KMDR-KS to identify candidate kidney neoplasmassociated miRNAs. As a result, using the dbDEMC and miR-Cancer databases, all of the top 50 miRNA candidates were identified as being associated with kidney cancer (see Table 2).

For the top 5 predicted candidates, hsa-mir-155 and hsa-mir-126 were found to be up-regulated in renal cell carcinoma,<sup>34,35</sup> while hsa-mir-145, hsa-mir-200b, and hsa-mir-146a were identified as being down-regulated.36,37 Notably, only 7 known miRNAs were associated with kidney neoplasms in our gold

Table 3 The top 50 breast neoplasm-associated miRNA candidates by KMDR-KS miRNAs Rank miRNAs Evidences Evidences Rank hsa-mir-106a dbDEMC, PhenomiR2.0 26 hsa-mir-532 PMID: 24866763 1 2 hsa-mir-142 miRCancer, PhenomiR2.0 27 hsa-mir-95 dbDEMC, PhenomiR2.0 3 hsa-mir-99a dbDEMC, miRCancer, PhenomiR2.0 28 hsa-mir-517a dbDEMC, miRCancer, PhenomiR2.0 4 hsa-mir-130a dbDEMC, miRCancer, PhenomiR2.0 29 hsa-mir-30e miRCancer, PhenomiR2.0 hsa-mir-138 dbDEMC 30 hsa-mir-372 dbDEMC. PhenomiR2.0 5 dbDEMC, miRCancer, PhenomiR2.0 hsa-mir-330 dbDEMC. PhenomiR2.0 hsa-mir-32 31 6 7 hsa-mir-150 dbDEMC, miRCancer, PhenomiR2.0 32 hsa-mir-211 dbDEMC, miRCancer, PhenomiR2.0 8 hsa-mir-378a PMID: 20889127 33 hsa-mir-381 dbDEMC, miRCancer, PhenomiR2.0 9 hsa-mir-186 dbDEMC, PhenomiR2.0 34 hsa-mir-370 dbDEMC, miRCancer, PhenomiR2.0 10 hsa-mir-185 dbDEMC, miRCancer, PhenomiR2.0 35 hsa-mir-181c dbDEMC, PhenomiR2.0 dbDEMC, PhenomiR2.0 11 hsa-mir-15b dbDEMC, PhenomiR2.0 36 hsa-mir-181d 12 hsa-mir-192 dbDEMC, PhenomiR2.0 37 hsa-mir-361 PhenomiR2.0 13 hsa-mir-542 PMID: 22051041 38 hsa-mir-2110 dbDEMC dbDEMC 14 hsa-mir-650 dbDEMC 39 hsa-mir-1303 15 hsa-mir-98 dbDEMC, miRCancer, PhenomiR2.0 40hsa-mir-744 dbDEMC 16 hsa-mir-130b dbDEMC, PhenomiR2.0 41 hsa-mir-1249 Unconfirmed 17 hsa-mir-92h dbDEMC 42 hsa-mir-376a dbDEMC dbDEMC, PhenomiR2.0 18 hsa-mir-196b 43 hsa-mir-520e dbDEMC, miRCancer, PhenomiR2.0 19 hsa-mir-216a dbDEMC, PhenomiR2.0 44 hsa-mir-134 dbDEMC, PhenomiR2.0 Unconfirmed 45 dbDEMC, miRCancer 20 hsa-mir-508 hsa-mir-144 21 hsa-mir-574 miRCancer 46 hsa-mir-190a dbDEMC 22 hsa-mir-449b dbDEMC 47 hsa-mir-421 dbDEMC, miRCancer 23 hsa-mir-526b dbDEMC, miRCancer, PhenomiR2.0 hsa-mir-212 dbDEMC, miRCancer, PhenomiR2.0 48 24 hsa-mir-99b 49 dbDEMC, PhenomiR2.0 dbDEMC. PhenomiR2.0 hsa-mir-208a 25 hsa-mir-449a dbDEMC, miRCancer, PhenomiR2.0 50 hsa-mir-362 miRCancer

Table 4 The top 50 esophageal neoplasm-associated miRNA candidates by KMDR-KS

Rank	miRNAs	Evidences	Rank	miRNAs	Evidences
1	hsa-mir-17	dbDEMC	26	hsa-mir-7	dbDEMC
2	hsa-mir-125b	dbDEMC, PhenomiR2.0	27	hsa-mir-124	dbDEMC, miRCancer
3	hsa-mir-218	dbDEMC, miRCancer	28	hsa-let-7g	dbDEMC
4	hsa-mir-200b	PMID: 24064224	29	hsa-mir-224	dbDEMC
5	hsa-mir-16	dbDEMC	30	hsa-mir-195	dbDEMC
6	hsa-mir-18a	dbDEMC	31	hsa-mir-127	dbDEMC
7	hsa-mir-221	dbDEMC, miRCancer	32	hsa-let-7f	dbDEMC
8	hsa-mir-10b	dbDEMC, miRCancer	33	hsa-mir-125a	dbDEMC
9	hsa-mir-182	dbDEMC	34	hsa-let-7i	dbDEMC
10	hsa-mir-19b	dbDEMC	35	hsa-mir-93	dbDEMC, PhenomiR2.0
11	hsa-mir-1	dbDEMC	36	hsa-mir-429	dbDEMC
12	hsa-let-7d	dbDEMC	37	hsa-mir-151a	Unconfirmed
13	hsa-mir-146b	dbDEMC, miRCancer	38	hsa-mir-107	dbDEMC
14	hsa-mir-222	dbDEMC	39	hsa-mir-135a	dbDEMC
15	hsa-mir-133b	dbDEMC	40	hsa-mir-191	dbDEMC
16	hsa-mir-181a	dbDEMC	41	hsa-mir-24	dbDEMC
17	hsa-mir-181b	dbDEMC	42	hsa-mir-18b	dbDEMC
18	hsa-let-7e	dbDEMC	43	hsa-mir-106a	dbDEMC
19	hsa-mir-142	dbDEMC	44	hsa-mir-103a	dbDEMC
20	hsa-mir-9	dbDEMC	45	hsa-mir-302b	Unconfirmed
21	hsa-mir-30c	dbDEMC	46	hsa-mir-27b	dbDEMC, PhenomiR2.0
22	hsa-mir-29b	dbDEMC	47	hsa-mir-96	dbDEMC, miRCancer
23	hsa-mir-199b	dbDEMC	48	hsa-mir-30d	dbDEMC
24	hsa-mir-29a	dbDEMC	49	hsa-mir-106b	dbDEMC
25	hsa-mir-30a	dbDEMC	50	hsa-mir-138	dbDEMC

standard dataset. Hence, this case study further demonstrates that the KMDR model is effective in predicting new associations for diseases that are associated with only a few known miRNAs.

Breast cancer is the most commonly diagnosed cancer in women, especially in developed countries. The American Cancer Society had estimated that during 2016, breast cancer would result in approximately 246 600 new cases and 40 450 female deaths in America.33 Previous studies have shown that multiple miRNAs have links with the progression of breast neoplasms. By implementing KMDR-KS to predict novel miRNA candidates associated with breast neoplasms, we confirmed that 45 out of the top 50 predicted miRNAs are present in dbDEMC, miRCancer, and PhenomiR2.0 (see Table 3). Furthermore, some potential candidates were validated by searching the literature on the PubMed website. Specifically, the expression of hsa-mir-378a (ranked 8th) increases during breast cancer formation.38 Hsa-mir-542 (ranked 13th) has been identified as being significantly down-regulated in breast cancer cells.<sup>39</sup> In addition, hsa-mir-532 (ranked 26th) is markedly upregulated in breast cancer tissues relative to normal tissues.40

Esophageal cancer is the eighth most frequently diagnosed cancer worldwide, and it is considered the sixth leading cause of cancer-related death on account of its poor prognosis. Early detection and timely treatment of esophageal cancer is very helpful in improving the chance of a patient's survival. In our standard association dataset, 74 known miRNAs are related to esophageal cancer. Among the top 50 predicted candidates ranked by KMDR-KS, 47 miRNAs are corroborated by the three aforementioned databases (see Table 4). Additionally, hsa-mir-200b (ranked 4th) was supported by experimental literature as being correlated with esophageal neoplasms.<sup>41</sup>

The results of the case studies fully illustrate that KMDR-KS performs well in predicting potential disease-associated miR-NAs. Therefore, we further used KMDR-KS and KMDR-KP to rank potential candidates associated with each disease contained in HMDD (shown in ESI Tables S1 and S2†), in the hope that these prediction results will be validated by future biological experiments.

## Discussion and conclusions

Identifying potential miRNA-disease associations could help discover novel biomarkers for clinical diagnosis, treatment, and prevention. Previous computational models remain difficult to use efficiently for diseases with a few known associated miR-NAs. Therefore, a Kronecker kernel matrix dimension reduction model (KMDR) was implemented to identify hidden miRNAdisease associations. KMDR combined the spaces of miRNAs and diseases into a whole miRNA-disease space by using Kronecker product or Kronecker sum. Compared with six existing computational methods, KMDR achieved higher AUC values in most selected diseases. Moreover, case studies on kidney cancer, breast cancer, and esophageal cancer were done, and 100%, 96% and 96% of the top 50 miRNA candidates for each of these three important diseases were verified by the literature and by databases. These results have shown that KMDR can reliably identify disease-miRNA associations for clinical and experimental validation.

The reliable performance of KMDR can be contributed to several factors. To begin with, our method combines the cosine similarity matrices of miRNAs and diseases into a larger miRNA-disease similarity matrix, which directly relates disease-miRNA pairs and could effectively improve the prediction performance. Second, negative miRNA-disease association samples are not needed in KMDR. Finally, KMDR is a global prediction model, which could be used to infer hidden miRNAs for all the diseases simultaneously.

Despite the efficiency and practicability of KMDR, there still exist some inevitable limitations that need further research. To begin with, like some other models,42-44 KMDR only depends on the topological structure of the miRNA-disease network, which means it cannot predict associations for a disease that does not exist within the network. To solve this problem, extensional biological information, like miRNA functional similarity data and disease semantic similarity data, can be integrated to expand the application range of KMDR. Second, our similarity matrices for KMDR might not be optimal in some scenarios. Finally, as the currently known miRNA-disease associations are insufficient, more information about diseases and miRNAs can be used for constructing more reliable disease-similarity and miRNA-similarity matrices, which may potentially improve prediction results. For example, we will integrate disease-gene interactions and miRNA-gene interactions in our future work.

## Conflicts of interest

There are no conflicts to declare.

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