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Biomimetic adsorption of zwitterionic—xyloglucan block copolymers to CNF: towards tailored superabsorbing cellulose materials†

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A biomimetic, facile approach to cellulose modification is the utilisation of self-adsorbing, naturally occurring biopolymers, such as the hemicellulose xyloglucan (XG). Herein, XG-block-poly(sulfobetaine methacrylate) (XG-b-PSBMA) zwitterionic block copolymers have been prepared and assessed for their ability to adsorb to cellulose, specifically cellulose nanofibrils (CNF). The polymers were synthesised using reversible addition-fragmentation chain-transfer (RAFT) polymerisation, employing an XG macromolecular RAFT agent (XG-RAFT), polymerising a sulfobetaine methacrylate (SBMA) under aqueous conditions. The incorporation of the XG block shifted the upper critical solution temperature (UCST) values to higher temperatures (20 and 30 °C) compared with the PSBMA homopolymers (17 and 22 °C) and the transition was also broadened. The adsorption of the polymers to a CNF surface was monitored using quartz crystal microbalance with dissipation monitoring (QCM-D), showing that the XG block enhanced the adsorption of the zwitterionic polymer. The formation of CNF-composite films was achieved utilising a facile vacuum filtration methodology, and the targeted compositions were confirmed by FT-IR and TGA analyses. The films exhibited high degrees of swelling in water, which were investigated at two different temperatures, 5 and 60 °C (below and above the polymer USCT values). These results highlight the advantage of using an XG block for the biomimetic modification of cellulose to form new cellulose-composite materials such as super-absorbing films.

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Introduction

The desire to prepare sustainable, renewable materials has motivated significant research in utilising naturally occurring polymers such as cellulose. Cellulose, as well as being abundant and renewable, has incredibly interesting mechanical properties, which is also true for its nanocellulosic counterparts: bacterial nanocellulose (BNC), cellulose nanocrystals (CNC) and cellulose nanofibrils (CNF).¹ In particular, CNF is foreseen as a component in several interesting applications due to its high aspect ratio.²,³ CNF is liberated from cellulose fibres through chemical modification, followed by mechanical treatment, and dimensions reported are usually within 5–20 nm in diameter and up to several micrometres in length.¹ CNF is considered hydrophilic in character and has been used for several 3-D

structured materials such as water or oil absorbing aerogels4,5

Modification of cellulose can be necessary to impart specific properties to the cellulose surface or cellulose bulk materials. Specifically, modification of cellulose with polymers has been achieved through covalent and non-covalent, or physical attachments. Covalent grafting of cellulose with polymers is an efficient method to modify cellulose; however, it usually requires inert atmospheres and organic solvents.9-12 Physical adsorption of polymers to cellulose13 can be performed under benign aqueous conditions and has been utilising in the pulp and paper industry for decades. Most commonly electrostatic interactions are exploited, owing to the slight overall negative charge of native cellulose, which can easily be enhanced to a higher negative charge through simple chemical oxidation. In paper making, for example, cationic polyelectrolytes are used to modify the cellulose, to improve properties such as wet-strength, for example. Examples of CNF modification through physical adsorption include a recent work by Isogai and coworkers, where the anionic carboxylate groups,

and more moisture stable films by forming a stated core–shell structure of CNF coated with xyloglucan.⁶ CNF is commonly used to produce transparent films by either casting a CNF water dispersion in a Petri dish, or by vacuum filtration to give strong and stiff networks, as shown previously by Isogai *et al.*⁷ and Berglund *et al.*⁸

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imparted to the CNF during their preparation, were utilised to ionically bind to a quaternised amine at the chain end of a poly(ethylene glycol) (PEG).14 The PEG-grafted CNF were evaluated as fillers in a poly(L-lactide) (PLLA) matrix and the PEG modification improved the dispersion of the CNF in the matrix, which subsequently increased the stiffness, strength and toughness of the nanocomposites. Recently, several research groups have investigated the adsorption of nonpolyelectrolytes to cellulose, specifically hemicelluloses, which have a natural affinity for cellulose and bind through van der Waals and hydrogen bonding interactions.13

Xyloglucan (XG) is a branched polysaccharide (see Fig. S1† for the chemical structure) which has been utilised in the biomimetic modification of cellulose in its natural state, 15,16 with chemical modifications, ¹⁷ and as a component of block copolymers18,19 as well as XG-functional latex nanoparticles.20 This has resulted in an interest to study the adsorption of XG to nanocelluloses. Cathala and coworkers have performed several investigations of the adsorption behaviour of XG to CNC in concentrated and dilute regimes,15,21 and have prepared XG-CNC multi-layer films.22,23 Likewise, the adsorption of XG to CNF has also been studied by Österberg and colleagues, showing that XG binds efficiently and has a positive influence on the dispersibility of the CNF. 24-26 Our group has recently reported the synthesis of XG-decorated PMMA latex particles prepared via emulsion reversible addition-fragmentation chain-transfer (RAFT) polymerisation, for the biomimetic modification of cellulose substrates; filter paper and model cellulose surfaces.20

Zwitterionic polymers, such as poly(sulfobetaines), are an interesting class of materials, composed of positive and negative charges resulting in an overall neutral net charge.27 They exhibit the "anti-polyelectrolyte" effect, whereby in an aqueous solution increasing the ionic strength, through the addition of salts, which leads to an improvement in aqueous solubility.28 Poly(sulfobetaines) are well-known for their biocompatible properties, including anti-biofouling properties. 15,28 N,N-Dimethyl(methacryloylethyl)ammonium propanesulfonate (SBMA) is a well-studied sulfobetaine methacrylate zwitterionic monomer, which can be polymerised via aqueous RAFT polymerisation yielding the corresponding polymer, PSBMA, with controlled molecular weights and narrow dispersities.29 The upper critical solution temperature (UCST) of this polymer has been shown to vary with the polymer molecular weight, concentration and presence of salt.30 Recently Willcock et al. have studied the effect of polymer architecture on the UCST of poly(sulfobetaines) which have been copolymerised with a divinyl monomer to yield branched poly(sulfobetaines), highlighting that polymer architecture also affects the UCST.31 Several publications report the covalent grafting of cellulosic substrates with zwitterionic polymers, most commonly PSBMA, to give hemocompatibility,32-34 applications in sensors35 and membranes,36 and generally impart anti-biofouling properties.37,38 However, all of these reports use polymerisation techniques which require organic solvents, inert atmospheres and the subsequent removal of the catalyst, therefore, it is desirable to find methods that can be performed under benign aqueous conditions.

In the present work we describe the synthesis of xyloglucanblock-poly(sulfobetaine methacrylate) (XG-b-PSBMA_n) copolymers synthesised via aqueous RAFT polymerisation for the subsequent modification of CNF through biomimetic adsorption. The combination of this thermoresponsive, antibacterial polymer with CNF is foreseen to result in exciting new functional materials that could find applications such as packaging, membranes, for controlled release and wound dressings.39 Herein, the adsorption of the polymers to cellulose was investigated in detail through QCM-D experiments using CNF surfaces prepared in situ. The polymers were further utilised to prepare CNF-polymer composite films which showed effective swelling properties at both 5 and 60 °C, compared to a reference CNF film. This route allows a facile biomimetic modification of cellulose towards super-absorbing, anti-biofouling CNF-based materials.

Results and discussion

The preparation of XG-b-PSBMA block copolymers was performed utilising RAFT polymerisation. The XG block was introduced through the use of an XG-functional macromolecular RAFT chain transfer agent, denoted here as XG-RAFT, see Fig. 1. The XG-RAFT was synthesised according to previous reports,20 and used to prepare XG-b-PSBMA block copolymers, whilst 4-cyano-4(phenylcarbothioylthio) pentanoic acid (CTP) was used to prepare the reference PSBMA homopolymers.

Preparation of XG-b-PSBMA block copolymers

The RAFT polymerisation of the SBMA monomer was conducted in 0.5 M NaCl aqueous solution employing XG-RAFT and the radical initiator 4,4'-azobis(4-cyanovalenic acid) (ACVA), see Scheme 1, with similar reaction conditions to literature procedures. 29,31 Two targeted degrees of polymerisation (DP_n) were chosen; 266 and 710 (corresponding to 75 and 200 kg mol⁻¹), to observe differences in behaviour between shorter and longer polymer chains. Reference PSBMA_n homopolymers were prepared utilising 4-cyano-4(phenylcarbonothioylthio)pentanoic acid (CTP) as the RAFT agent and the same polymerisation conditions as those used to prepare the XG block copolymers.

Due to the broad dispersity of the XG-RAFT, the resulting block copolymers also had similar dispersities, see Table 1. However, the kinetic evaluation of the block copolymers showed similar results to reference polymerisations, with the polymerisations following first order kinetics as expected for a controlled radical polymerisation, see Fig. S2.† The polymers were purified by dialysis at elevated temperatures (45-50 °C) with a dialysis membrane with a molecular weight cut off of 50 kg mol⁻¹. This was to ensure that any unreacted XG-RAFT was removed and an

Fig. 1 Chemical structure of the XG-RAFT agent used in this study.

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Scheme 1 Reaction conditions for the RAFT-mediated polymerisation of SBMA utilising the XG-RAFT agent.

elevated temperature was used to ensure the polymers were above their upper critical solution temperature (UCST), in an extended conformation, to allow full removal of any NaCl present.

The ¹H NMR spectra of the polymers are shown in Fig. 2A, where the PSBMA polymer peaks in PBSMA₂₆₆ and PBSMA₇₁₀ are observed as expected, and in the XG-*b*-PSBMA_n polymers the presence of the XG block is most clearly seen with singlet peaks at 5.1 and 4.9 ppm, corresponding to the hydrogens bonded to the anomeric carbon centres in the XG backbone. The FT-IR spectra of these samples; Fig. 2B, shows sharp peaks at 1730 cm⁻¹ corresponding to the carbonyl group in all the samples

containing PSBMA. In the FT-IR spectrum of XG-*b*-PSBMA₂₆₆ and XG-*b*-PSBMA₇₁₀ a larger contribution for the broad hydroxyl peak between 3600–3200 cm⁻¹ gives an indication that XG is present. Thermogravimetric analysis of the samples show a slightly different thermal stability between the PSBMA homopolymers and XG-*b*-PSBMA_n block copolymers, indicating that the presence of the XG block causes a reduction in the thermal stability of the block copolymers (Fig. S3†).

PSBMA is well-known to be thermoresponsive, exhibiting a UCST dependent on the molar mass, architecture of the polymer, polymer concentration and electrolyte concentration.30,31 Here, we observed an increase in UCST with increasing molar mass for both the XG-b-PSBMA samples and the reference PSBMA samples, Fig. 3, with polymer concentrations of 5 mg mL⁻¹. This increase in UCST with increased PSBMA molar mass is well-known, and can be attributed to the inter- and/or intramolecular interactions between the ammonium cation and sulfo-anion present in the SBMA monomer.30 Therefore, the increase in UCST values for the block copolymers containing XG was considered to be due to the presence of higher molar mass polymer chains present, as observed by increased $M_{\rm w}$ by SEC (Table 1). The two XG-block copolymers also showed a broader transition, due to the broader dispersity of polymer molar mass in these samples. The UCST measurements were also conducted at a lower concentration, i.e. 2.5 mg mL⁻¹ (Fig. S4†), where the

Table 1 Experimental results; conversion, molecular weights (M_n and M_w), dispersity indices, D, and UCST values for the polymers prepared in this study

Targeted polymer composition	Conversion ^a (%)	$M_{ m n, \ theoretical} \ m (kg \ mol^{-1})$	M_n^b (kg mol ⁻¹)	$M_{\rm w}^{}$ (kg mol ⁻¹)	D^b	$\begin{array}{c} \operatorname{UCST}^c \\ (^{\circ}\mathrm{C}) \end{array}$
PSBMA ₂₆₆	87	65.7	27.0	32.6	1.21	16.6
PSBMA ₇₁₀	83	166	50.3	64.4	1.28	22.2
XG-b-PSBMA ₂₆₆	92	68.7	44.0	94.4	2.15	20.1
XG-b-PSBMA ₇₁₀	89	177	46.4	126	2.71	29.8
XG-RAFT	_	_	11.4^d	32.5^{d}	2.85^{d}	_

^a Calculated by ¹H NMR. ^b Determined using 10 mM NaOH aqueous SEC, using conventional calibration with pullulan standards. ^c Determined by UV-Vis turbidity measurements. ^d Determined by SEC with DMSO as the eluent, using conventional calibration with pullulan standards.

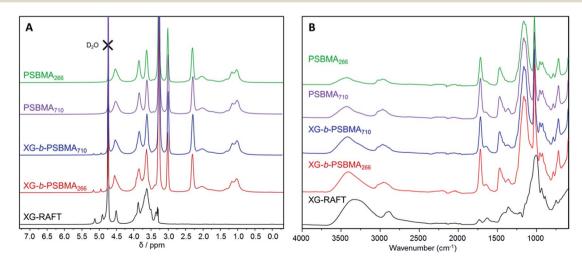


Fig. 2 Characterisation of XG-RAFT, PSBMA_n and XG-b-PSBMA_n samples; (A) ¹H NMR (0.5 M NaCl in D₂O, 400 MHz) and (B) FT-IR spectroscopy.

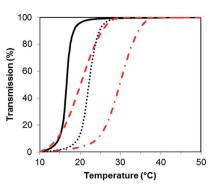


Fig. 3 Turbidity curves for samples; PSBMA $_{266}$ (solid black line), PSBMA $_{710}$ (dotted black line), XG-b-PSBMA $_{266}$ (dashed red line) and XG-b-PSBMA $_{710}$ (dot-dash red line), for the cooling step (1 °C min $^{-1}$) at a concentration of 5 mg mL $^{-1}$ in MilliQ water.

UCST showed a clear shift to a lower temperature, as expected, and the UCST was not detectable for the lowest molecular weight sample; PSBMA₂₆₆.

2.2 In situ QCM-D adsorption

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To evaluate the XG-b-PSBMA $_n$ zwitterionic polymers adsorption to cellulose nanofibrils (CNF), quartz crystal microbalance with dissipation monitoring (QCM-D) was utilised. QCM-D allows the observation of a build-up of polymer layers $in\ situ$ as an increase in mass adsorbed is detected as a decrease in frequency. The change in dissipation provides information about the viscoelastic properties of the adsorbed layer. The block copolymers were studied for their adsorption to a CNF surface in the QCM-D (Fig. 4), as the ultimate aim is to use them for CNF modification. The CNF surfaces were prepared $in\ situ$ during the QCM-D experiments as previously reported. 40,41

The synthesised polymers were introduced to the QCM-D flow chamber after the CNF surface had been treated to a rinsing step. Each QCM-D experiment was performed in duplicate. The change in frequency (Δf) and change in dissipation (ΔD) for each of the experiments are shown in Fig. 4. When introducing the XG-b-PSBMA_n polymers to the CNF layer, adsorption was observed in both cases. After a rinsing step the frequency did not increase for either sample, indicating that the XG- $PSBMA_n$ copolymers adsorbed to the CNF irreversibly. More specifically, the XG-b-PSBMA₂₆₆ (Fig. 4A) showed a larger decrease in frequency with adsorption compared with XG-b-PSBMA₇₁₀ (Fig. 4B), indicating a larger mass adsorbed for the shorter block copolymer. This result was not expected as it was hypothesised that the longer block copolymer; XG-b-PSBMA₇₁₀, would adsorb a higher mass to the CNF surface than the shorter block copolymer; XG-b-PSBMA266, as has been shown in our previous work on block copolymers42,43 and latex nanoparticles.^{20,44} It may be that this polymer, which is water soluble and does not form micelles in aqueous solution, shields the adsorbing block rather than exposes it, as is the case with previously studied amphiphilic block copolymers, 42 which then would cause the unexpected low adsorption. Furthermore, in these QCM-D experiments the initial mass of CNF adsorbed to

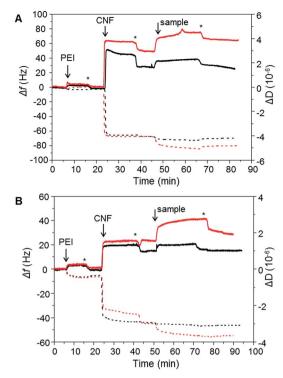


Fig. 4 In situ QCM-D experiment showing adsorption of PEI, CNF and the polymer samples. (A) PSBMA $_{266}$ (black lines) and XG-b-PSBMA $_{266}$ (red lines), (B) PSBMA $_{710}$ (black lines) and XG-b-PSBMA $_{710}$ (red lines). Change in frequency, Δf , (dashed lines) and change in dissipation, ΔD , (solid lines). Rinsing steps with MilliQ water are denoted with an asterisk (*).

the surface was different between the two samples. To understand how the mass of CNF adsorbed impacted the adsorbed masses of polymer, the mass adsorbed after each step was calculated using the Sauerbrey model, 45 see Table 2. The ratio of CNF: polymer adsorbed to the surface was calculated from the mass of adsorbed CNF and the mass of adsorbed polymer. These calculations showed that the block-copolymers (XG-b-PSBMA₂₆₆ and XG-b-PSMBA₇₁₀) gave CNF: polymer mass ratios of 1:0.18 and 1:0.23 respectively, whilst the homopolymers $(PSBMA_{266} \text{ and } PSBMA_{710})$ gave values of 1: 0.03 and 1: 0.05, respectively, showing a clear advantage of using the XG block as a biomimetic anchoring block to the CNF with a zwitterionic polymer as the functionalising component. Furthermore, the dissipation increased with adsorption of both XG-b-PSBMA_n copolymers, indicating that the adsorbed layer was softer and more hydrated than the CNF surface before adsorption had occurred.

The PSBMA₂₆₆ homopolymer exhibited a low amount of adsorption to the CNF layer, observed as a small decrease in frequency, however, with rinsing the frequency increased to a similar value as before the adsorption (Fig. 4A). This indicated that whilst the homopolymer did adsorb to the CNF, it was a weak and reversible binding which caused the homopolymer to be washed away with the rinsing step. The dissipation value also decreased to the same value as observed before the polymer was introduced to the CNF surface, corroborating that the

Table 2 Change in frequency (Δf) plateau values after the adsorption of CNF and polymers and the corresponding masses adsorbed from *in situ* QCM-D experiments, for PSBMA_n and XG-b-PSBMA_n samples

Sample	$\Delta f_{ m plat}$ CNF (Hz)	$\Delta f_{ m plat}$ poly (Hz)	Mass adsorbed CNF ^a (mg m ⁻²)	Mass adsorbed polymer ^a (mg m ⁻²)	Mass ratio CNF : poly
PSBMA ₂₆₆	-67.9	-70.2	12.0	0.4	1:0.03
PSBMA ₇₁₀	-44.2	-46.5	7.8	0.4	1:0.05
XG-b-PSBMA ₂₆₆	-67.9	-80.4	12.0	2.2	1:0.18
XG-b-PSBMA ₇₁₀	-45.0	-54.9	8.0	1.8	1:0.23

^a Mass adsorbed calculated using the Sauerbrey model.

PSBMA₂₆₆ was indeed rinsed away from the surface due to a weak and reversible binding. The PSBMA₇₁₀ homopolymer showed no adsorption to the CNF surface by QCM-D as no decrease in frequency was observed, see Fig. 4B, although the dissipation did increase slightly which suggests that a small amount was adsorbed. As the PSBMA polymer is easily hydrated, a small amount of adsorbed polymer can be enough to increase the absorbed water and therefore increase the dissipation. As expected, the QCM-D experiments show that the XG block is necessary for an irreversible binding to the cellulose, which is not observed for the zwitterionic homopolymer.

After adsorption of each polymer sample to CNF surfaces prepared $in\ situ$, the surfaces were dried and analysed by atomic force microscopy (AFM), see Fig. S5.† The surfaces with XG-b-PSBMA $_n$ adsorbed showed a slight difference in surface topography, where the CNF are more difficult to individually distinguish after block copolymer adsorption, compared to the PSBMA $_n$ homopolymer surfaces, indicating the presence of the block copolymers on the CNF surface.

2.3 CNF and composite film formation

To produce composite films of CNF and the homo- and block-copolymers, a facile vacuum filtration technique was applied. The XG-*b*-PSBMA₇₁₀ sample was chosen for preparing CNF-composite films, as this polymer adsorbed the highest mass ratio in the QCM-D experiments, *vide supra*, and exhibited an UCST above room temperature (29.8 °C). The corresponding homopolymer, PSBMA₇₁₀, was also utilised to prepare a CNF-composite film in order to assess any differences in film properties due to the XG-adsorbing block. The CNF was added to polymer solutions (1.25 mg mL⁻¹) and mixed at 40 °C to ensure adsorption above the UCST of the polymers, with a targeted

Table 3 Physical properties of CNF-ref, CNF/XG-b-PSBMA $_{710}$ and CNF/PSBMA $_{710}$ composite films

Sample name	Thickness (μm)	Density ^a (kg m ⁻³)	Porosity ^b (%)
CNF-ref	76	1487 ± 20	1
CNF/XG-b-PSBMA ₇₁₀	67	1477 ± 3	2
CNF/PSBMA ₇₁₀	62	1406 ± 30	6

 $[^]a$ Density calculated from at least three data points. b Porosity calculated from equation, porosity = 1 - (density_{film}/density_{cellulose}), where the density for cellulose is assumed to be 1500 kg m $^{-3}$.

solids content ratio of CNF: polymer of 75:25 wt%. The suspensions were filtered under vacuum and subsequently dried in a fume cupboard, resulting in three different composite films; CNF-reference (CNF-ref), *i.e.* no polymer present, CNF/PSBMA₇₁₀ and CNF/XG-*b*-PSBMA₇₁₀. The CNF-ref and CNF-composite films all formed translucent films after drying, with thicknesses between 62–76 μ m, see Table 3.

The calculated density was highest for the CNF-ref and the CNF/XG-b-PSBMA₇₁₀ films; 1487 \pm 20 and 1477 \pm 3 kg m⁻³ respectively, and decreased for the CNF/PSBMA710 composite film to 1406 \pm 30 kg m⁻³. Accordingly, the calculated porosity of the films was lowest for the CNF-ref and CNF/XG-b-PSBMA710 (1-2%), whilst the CNF/PSBMA₇₁₀ composite film had the highest porosity of 6%. This suggests a poorer compatibility of the PSBMA₇₁₀ homopolymer with the CNF compared with the XG-b-PSBMA710 block copolymer. The CNF-ref and composite films were analysed by FT-IR and TGA analyses to confirm the presence of both CNF and polymer in the samples, see Fig. 5. The FT-IR spectra of the composite films (Fig. 5A) show carbonyl peaks (1730 cm⁻¹) corresponding to the PSBMA polymer: as expected, the peak is higher in intensity for the CNF/XG-b-PSBMA710 composite film. This suggested that in the case of the homopolymer, some polymer was lost during film formation as the PSBMA710 does not adsorb as well to the CNF as the XG-*b*-PSBMA₇₁₀. This is corroborated by the QCM-D adsorption experiment results (Fig. 4) which highlighted that the XG-b-PSBMA₇₁₀ copolymer showed irreversible binding to CNF whereas the PSBMA710 homopolymer did not.

The lower thickness of the CNF/PSBMA710 film may also indicate that some material was lost during film formation, whereas when using the XG-b-PSBMA₇₁₀ the XG anchoring block minimised loss of material. After film formation the filtrate was collected and freeze-dried in order to analyse any residual polymer by ¹H NMR analysis. PSBMA₇₁₀ was clearly observed in the filtrate from the CNF/PSBMA710 film formation, whilst a very low amount of residual polymer could be observed from the filtrate of the CNF/XG-b-PSBMA710 film formation. This further indicated that the XG block was necessary to improve polymer retention in the CNF/polymer composite films, and also agrees with the assumption that the CNF/PSBMA₇₁₀ film was thinner than the CNF/XG-b-PSBMA₇₁₀ film due to loss of polymer during film formation. TGA analyses (Fig. 5B) confirmed the presence of polymer due to the difference in thermal degradation patterns observed, which is clearly shown through the first derivative curves (Fig. 5B(ii)). For the CNF/PSBMA₇₁₀ and the XG-b-PSBMA₇₁₀ composite films, the first derivatives show a third peak

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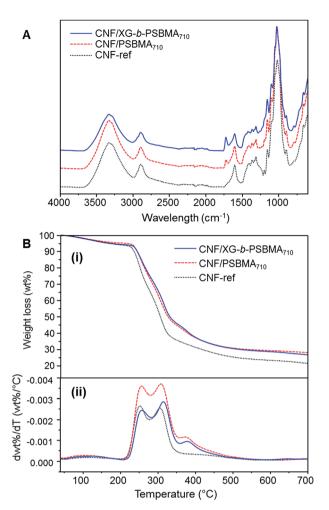


Fig. 5 Characterisation of CNF composite films; CNF-ref, CNF/PSBMA $_{710}$ and CNF/XG-b-PSBMA $_{710}$. (A) FT-IR spectra, (B) (i) thermogravimetric weight loss curves and (ii) thermogravimetric derivative curves.

or inflection point at 375 °C, which is not present for the CNF-ref sample, indicating that this peak is due to the presence of the added polymers in these CNF composite films.

The composite films were imaged by SEM in order to make structural comparisons, see Fig. 6. The dried films were prepared so that the morphology through the cross-section of a cryo-fracture could be imaged. It was apparent that the dried films varied in morphology in the cross-section; the CNF-ref shows a more commonly reported CNF film cross-section structure, 8,43 while the two CNF/polymer composites appear slightly more compact in structure. This seems counterintuitive as the calculated density was lower for the CNF/PSBMA $_{710}$ composite film compared with the CNF-ref. Furthermore, the CNF/PSBMA $_{710}$ film exhibited a more ordered layered structure to some extent, possibly due to phase separation.

2.4 Swelling of CNF composite films

It was noted that during the filtration step the CNF composite films exhibited high swelling, especially for the polymer containing films, shown by a slow dewatering process. Therefore, the swelling of the films without further treatment (except for drying in a fume cupboard at room temperature) was systematically studied. Interestingly, we could find no previous reports on the swelling of TEMPO-oxidised CNF films. However, a recently reported study of the swelling of quaternised-CNF nanopapers, at room temperature, indicated swelling in the region of 100 g of water per gram of cellulose, for the CNF nanopaper with the lowest degree of quaternisation.46 The CNFref film exhibited maximal swelling after 120 h at 60 °C, of 2540%, corresponding to 25.4 g of water per gram of cellulose. However, due to the difference in the chemical functionality of the CNF and the processing of the film after formation, through heating at 93 °C under 70 mBar vacuum pressure, it is unsurprising that we observed different degrees of swelling. Here, we investigated the films swelling properties at two different temperatures: 5 and 60 °C (Fig. 7), corresponding to temperatures either above or below the polymers UCSTs.

All of the films exhibited greater swelling (approximately 1000% more) at 60 °C than at 5 °C, including the CNF-ref, which was initially considered to have no thermo-responsive properties. However, previous reports have shown that the swelling of wood occurred at faster rates with elevated temperatures in water,⁴⁷ and the same result has also been shown for various compressed cellulose fibre pellets.⁴⁸ Moreover, this strong swelling dependence on temperature closely obeyed the classical Arrhenius equation, indicating that the swelling of wood was an activated process similar to a classical chemical reaction.⁴⁷ Therefore, the observed difference in swelling at 5 and 60 °C with the currently described CNF films is to be expected.

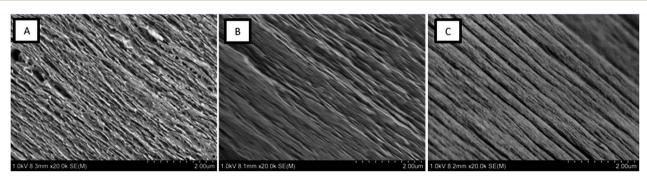


Fig. 6 Scanning electron micrographs of cryo-fracture cross section surfaces of each film; (A) CNF-ref, (B) CNF/XG-b-PSBMA710 and (C) CNF/PSBMA710.

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6000% 6000% В Degree of swelling (gwater/gdryfilm) 5000% 5000% 4000% 4000% 3000% 3000% 2000% 2000% Degree of 1000% 1000% 0% 0% 24 120 24 48 72 120

Fig. 7 Swelling test in deionised water at two different temperatures; (A) 5 °C and (B) 60 °C for 0.5, 1, 24, 96 and 120 h, for CNF-ref (blue diamonds), CNF/PSBMA₇₁₀ (green triangles) and CNF/XG-b-PSBMA₇₁₀ films (red squares).

Consequently, no significant difference due to the thermoresponsive properties, UCST, of the polymers incorporated in the composite films could be concluded, due to the temperature dependence of the CNF swelling.

Time (hours)

Nonetheless, it was clear that incorporating either PSBMA₇₁₀ or XG-*b*-PSBMA₇₁₀ in the films resulted in a significant increase of the degree of swelling at both temperatures. The maximal degree of swelling, measured in gram of absorbed water per gram of dry film, was observed at 60 °C after 120 h for all samples. The CNF/PSBMA₇₁₀ film resulted in a higher degree of swelling (3120%) which is an increase of 45% compared with the CNF-ref film. The CNF/XG-*b*-PSBMA₇₁₀ film exhibited the highest degree of swelling of 4200%, an increase of 70% compared to the CNF-ref. An additional reference film was prepared, comprising XG-RAFT and CNF, with the amount of added XG-RAFT corresponding to the amount of XG present in the XG-*b*-PSBMA/CNF composite film. It was shown that the CNF/XG-RAFT film swelled 24% more than the CNF-ref, highlighting that the XG itself also attributes to the swelling.

This increase in the swelling of the CNF/XG-b-PSBMA₇₁₀ film is a further indication that the XG resulted in an improved compatibility between the PSBMA and the CNF. The final degree of swelling, at 5 °C after 120 h, was 3020% for CNF/XG-PSBMA₇₁₀ and 2990% for CNF/PSBMA710 while the CNF-ref swelled to merely 1480%. It was hypothesised that the porosity would affect both the rate at which, and how much, the films could swell in water. However, as the porosity was similar for the CNF-ref and CNF/XG-b-PSBMA710, but the swelling varied dramatically, it was concluded that the polymer functionality had a greater influence over the swelling of the films. Additionally, temperature affected the degree of swelling for all samples. This could be rationalised by entropically favourable swelling at higher temperatures, as discussed above. Another contributing factor is that the pH of water at 5 °C is lower than the pH at 60 °C, therefore the carboxylate groups present on the CNF surface are protonated to a larger extent at lower temperature, resulting in decreased water solubility and decreased swelling.

The kinetics of swelling appeared slightly different between the samples swelled at 5 and 60 °C. At 5 °C the swelling increased more slowly at the beginning of the experiment and reach a plateau after 96 hours, whilst at 60 °C a plateau was reached after 24 hours with a slight decrease in swelling over time. This could be attributed to the slower diffusion at lower temperatures, resulting in longer times needed to reach a plateau and therefore equilibrium. Whilst the reduction in the degree of swelling after 120 h at 60 °C is within error, and may be due to a slight loss of water during measurements.

Time (hours)

Photographs of the films before and after swelling at 60 °C, Fig. 8A, highlight the large difference between the relative thicknesses. Due to the similarity of all films before swelling only the CNF-ref is shown for the illustration of swelling direction, Fig. 8A(i). The swollen films Fig. 8A(ii)–(iv) were all similar in appearance. The geometries of the samples were measured before and after swelling, which showed that all samples swelled significantly (from 72 µm to over 7 mm) in the *z*-direction, *i.e.* the thickness/height, and not in the width.

In order to elucidate the possible swelling mechanism of the CNF composite films, SEM was used to investigate the structural properties of the hydrogels before and after swelling. The hydrogel samples were swollen at 5 or 60 °C for at least 120 h and were subsequently were frozen with liquid nitrogen in the swollen state and cryo-fractured after freeze drying to give cross-sections. The porous structure of the swollen CNF-ref and CNF/XG-*b*-PSBMA₇₁₀ can be seen in Fig. 8B and C respectively, showing the large pores which are able to entrap a large amount of water inside the network. It was considered that the swelling kinetics would be dependent on any pores and channels present in the samples specifically in the *z*-direction, or thickness of the films.

The swollen films were also assessed by compression testing to ascertain the mechanical properties of the swollen hydrogels, see Table S1 and Fig. S6.† The compressive stress reduced from 0.386 \pm 0.050 MPa for the CNF-ref, to 0.060 \pm 0.003 MPa and 0.093 \pm 0.011 MPa for the CNF/XG-b-PSBMA₇₁₀ and CNF/PSBMA₇₁₀ respectively. The compressive strain of the CNF-ref (58%) was higher than that of the CNF/XG-b-PSBMA₇₁₀ film (38%) and the CNF/PSBMA₇₁₀ film (42%). These results suggest that the incorporation of polymer reduces then number of contact points between fibrils, ultimately weakening the hydrogel, which may explain the improved swelling of

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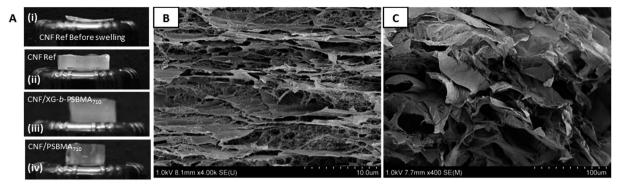


Fig. 8 (A) Photographs showing films before swelling; (i) CNF-ref, and after swelling; (ii) CNF-ref, (iii) CNF/XG-b-PSBMA₇₁₀ and (iv) CNF/PSBMA₇₁₀, for 24 h at 60 °C. Scanning electron micrographs show cross-sections of the cryo-fractured swollen films; (B) CNF-ref and (C) CNF/XG-b-PSBMA₇₁₀.

the networks containing the XG-*b*-PSBMA₇₁₀ and PSBMA₇₁₀ polymers.

3. Conclusions

A biomimetic approach towards the modification of CNF has been presented through the preparation of zwitterionic block copolymers containing a xyloglucan (XG) block. The zwitterionic polymer poly(sulfobetaine methacrylate) (PSBMA) was prepared utilising aqueous RAFT polymerisation, with an XG-functional macromolecular RAFT chain transfer agent (XG-RAFT), targeting two different degrees of polymerisation. The resulting polymers exhibited UCST behaviour which was dependent on molecular weight and the homopolymer or block copolymer architecture. Adsorption of the polymers to a CNF surface prepared through a quartz crystal microbalance with dissipation monitoring (QCM-D) in situ experiment showed that the XG block was necessary to achieve irreversible binding of the zwitterionic polymer to the CNF surface. A facile vacuum filtration methodology was utilised to prepare neat CNF, CNF/PSBMA710 and CNF/ XG-b-PSBMA₇₁₀ films, where the successful formation of the films was confirm by FT-IR and TGA analyses. The XG block enabled a higher amount of the XG-b-PSBMA710 block copolymer to be retained within the CNF-composite film than the PSBMA710 homopolymer. The density and porosities of the resultant dry films suggested an improved compatibility between the CNF and the zwitterionic polymer in the presence of the XG anchoring block. Whilst the swelling properties of the CNF-composite films seemed to be dominated by the presence of polymer, the kinetics of swelling and overall degree of swelling were strongly affected by the temperature of the swelling experiment. The composite films showed super-absorbing properties of up to 4200% (for CNF/XG-b-PSBMA₇₁₀) when placed in water at 60 °C. Whilst there was no significant thermo-response which could be attributed to the PSBMA block, for example due to the UCST, it was clear that for an improved compatibility between the CNF and zwitterionic block, the XG block was crucial. These results demonstrate a biomimetic approach for preparing cellulose-based smart materials, with potential in applications such as super-absorbent, anti-biofouling materials.

Experimental

4.1 Materials

The xyloglucan macromolecular RAFT agent (XG-RAFT), bearing dithiobenzoate moiety, was prepared as previously described,20 the synthesis of which relies on the reductive amination of the XG reducing chain end, 49 followed by coupling the RAFT chain transfer agent using EDC chemistry, and had an average molecular weight of 17.4 kg mol⁻¹. [2-(Methacryloyloxy) ethyl] dimethyl-(3-sulfopropyl)ammonium hydroxide (SBMA, 97%), 4-cyano-4(phenylcarbonothioylthio)pentanoic acid (CTP, 97%), 4,4'-azobis(4-cyanovaleric acid) (ACVA, 98%), polyethyleneimine (PEI, 50 wt% solution, $M_n = 60 \text{ kg mol}^{-1}$ by GPC, $M_{\rm w} = 750 \text{ kg mol}^{-1}$ by LS) were purchased from Aldrich and used as received. Dialysis membrane tubing (MWCO 50 kDa) was received from Spectrumlabs. The QCM crystals (AT-cut quartz crystals, 5 mHz resonance frequency, SiO2 surface with 50 nm thickness) were purchased from Q-sense AB and rinsed with MilliQ water then ethanol, dried under N2 and plasma cleaned for 2 min before use.

4.1.1 CNF preparation. The fibre source used was a neverdried softwood dissolving pulp kindly donated by Domsjö Fabriker AB, Örnsköldsvik, Sweden. For the TEMPO-oxidation: NaClO₂ (technical grade, 80%), NaClO (14% solution) and 2,2,6,6-tetramethyl-1-1-piperidinyloxyl free radial (TEMPO) (98%) were purchased from Sigma-Aldrich and used as received. Ultrapure Milli-Q (MQ) water was used for dispersing the CNF.

4.2 Characterisation

¹H NMR spectra were recorded at room temperature with a Bruker Avance 400 MHz spectrometer, using D₂O or a 0.5 M NaCl D₂O solution as the deuterated solvent.

FT-IR spectra were recorded on a Perkin-Elmer Spectrum 2000 FT-IR equipped with a MKII Golden Gate, single reflection ATR System from Specac Ltd, (London, UK). The ATR-crystal used was a MKII heated diamond 45° ATR top plate. For each spectrum 16 scans were recorded. The spectra were normalised to the region between 4000–3100 cm $^{-1}$ unless stated otherwise.

Molecular weights M_n and M_w and dispersities, D, of the polymers were determined by SEC; a HPLC-Aqueous SEC system

with a Dionex Ultimate-3000 HPLC system (Dionex, Sunnyvale, CA, USA) was used, containing three PSS suprema columns in series (300 \times 8.00 mm, 10.0 μ m particle size) with 30.0 Å, 1000 Å and 1000 Å pore sizes, together with a guard column (50.0 \times 8.00 mm, 10.0 µm particle size) with 10.0 mM NaOH as the mobile phase and a flow rate of 1.0 mL min⁻¹ at 40 °C. The columns were calibrated with using narrow linear pullulan standards, nominal molecular weight ranging from 342 kDa to 708 kDa (Polymer Standard Services, Germany). The device was fitted with a LPG-3400SD gradient pump, a WPS-3000SL autosampler and a DAD-3000 UV-Vis detector (Dionex, Sunnyvale, CA, USA) in addition to a Waters-410 refractive index (RI) detector (Waters, Milford, MA, USA). SEC measurements in DMSO were performed on a SECcurity 1260 (PSS, Mainz, Germany) equipped with refractive index (RI) detector (40 °C) and three columns (PSS GRAM; precolumn, 10 μm 100 Å and 10 μm 10 000 Å), with DMSO + 0.5 w/w% LiBr as the mobile phase at 60 °C and a flow rate of 0.5 mL min⁻¹. A conventional calibration method was employed using pullulan standards.

UV-visible spectroscopy was used to determine the UCST of the polymer samples in deionised water. The method was designed with a heating and cooling rate of 1 °C min⁻¹. The UCST values were calculated from the cooling measurement at 50% transmittance, using a wavelength of 450 nm. The measurements were performed with a Shimadzu UV-2550 UV-VIS Spectrophotometer (Kyoto, Japan), software UVProbe 2.0 and temperature controller: S-1700 Thermoelectric Single Cell Holder.

Thermogravimetric analysis was performed with a TA Instruments Hi-Res TGA 2950 analyser, operating in a N_2 flow of 30 mL min⁻¹, a heating rate of 10 °C min⁻¹, heating the samples from 40 to 700 °C.

Quartz crystal microbalance with dissipation monitoring (QCM-D) was used to measure the adsorption of the samples to model CNF surfaces. Each sample was diluted with MilliQ water (0.1 g L^{-1}) for the adsorption experiments, and the experiments were performed at 25 °C with a continuous flow of 0.15 mL min⁻¹. This instrument measures the change in resonance frequency of the crystal, corresponding to a change in mass attached to the surface. To convert the change in frequency to its corresponding change in adsorbed mass per area unit, the Sauerbrey model⁴⁵ was used, eqn (1), where C is a sensitivity constant, -0.177 (mg (m² Hz)⁻¹), Δf the change in resonance frequency (Hz), and n the overtone number.

$$\Delta m = C \frac{\Delta f}{n} \tag{1}$$

The dissipation can be related to the viscoelastic properties of the adsorbed layer. A thin, rigid attached film is expected to yield a low change in dissipation. A more water-rich and mobile film is expected to yield a larger change in dissipation. The dissipation factor, D, is defined in eqn (2), where $E_{\rm dissipated}$ is the energy dissipated during one oscillation period, and $E_{\rm stored}$, the energy stored in the oscillating system.

$$D = \frac{E_{\text{dissipated}}}{2\pi E_{\text{stored}}} \tag{2}$$

This Sauerbrey model assumes rigidly attached layers, and the attached amount determined contains both polymer and other compounds coupled to the surface. Earlier work has shown that this model is also valid for layers with higher dissipations and comparable to more advanced models.⁴¹

Atomic force microscopy (AFM) was used to image the QCM-D crystal surface topographies. A Multimode 8 (Bruker, USA) was used with the ScanAsyst in Air mode, using a cantilever with 70 kHz resonance frequency, spring constant 0.4 N m⁻¹, and tip radius 2 nm (ScanAsyst-Air, Bruker, USA).

Contact angle (CA) measurements were performed at 50% RH and 23 $^{\circ}$ C on a KSV instrument CAM 200 equipped with a Basler A602f camera, using 5 μ L droplets of MilliQ water. A Young–Laplace fitting model was used to process the images. Contact angle values reported were those observed after 30 s of measurement.

Conductometric titration of the TEMPO-oxidised fibres was performed to confirm the target charge density of around 600 µeq g⁻¹.50 The pulp fibres were mechanically disintegrated using a high pressure microfluidiser (M-110EH, Microfluidics Corp, US). Ultra-sonication of CNF was performed using a Vibracell VCX750 (Sonics & Materials, Inc., US) with a microtip probe (6 mm in diameter). Centrifugation of CNF was conducted with a Rotina 420 bench top centrifuge (Andreas Hettich GmbH & Co., KG, Germany). Polyelectrolyte titration of diluted CNF dispersions in MilliQ was carried out with a Stabino Particle Charge Mapping system (Particle Matrix, Microtrac Europe GmbH, Germany) using polyelectrolyte titration with poly(diallyl dimethyl ammonium chloride) (PDADMAC) solution, as reported previously.51

An Ultra-Turrax T25 (IKA Germany) was used to disintegrate CNF prior to nanocomposite formation, at 10 000 rpm for 20 min.

Compression testing was performed on the composite films swollen in water at 60 $^{\circ}$ C for 120 h using an Instron 5566. The method for compression was 10% min $^{-1}$ strain rate using a 500 N load cell, performed at 23 $^{\circ}$ C and 50% RH.

4.3 Experimental procedure

4.3.1 Polymerisation of SBMA with CTP. In a typical experiment SBMA (5.00 g, 17.8 mmol) was dissolved in 0.5 M NaCl (23.2 mL). A stock solution of CTP (0.56 M) was prepared by adding CTP (156 mg, 5.60 mmol) to 0.5 M NaCl (10.0 mL), followed by adjusting the solution pH to pH 7 with 1 M NaOH and sonicating (10–15 min) to ensure dissolution. The ACVA stock solution (1.9 M) was prepared by dissolving ACVA (58.0 mg, 19.1 mmol) in deionised water (10.0 mL). CTP (0.067 mmol, 1.20 mL of the 0.56 M stock solution) and ACVA (0.013 mmol, 0.64 mL of the 1.9 M stock solution) were added, to give a final solution volume of 25.0 mL and final monomer concentration of 0.71 M. The reaction mixture was degassed with argon in an ice bath for 30 min with magnetic stirring. The polymerisations were conducted at pH 4–5 to ensure that no

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degradation of the CTP RAFT agent occurred. After degassing, the reaction vessel was heated to 70 °C and samples were withdrawn periodically for ¹H NMR and SEC analyses. The polymer was purified by dialysis (MWCO 50 kg mol⁻¹) against deionised water at an elevated temperature (35–40 °C) and there after lyophilised to give a pale pink/white powder.

4.3.2 Polymerisation of SBMA with XG-RAFT. In a typical experiment SBMA (5.00 g, 17.8 mmol) was dissolved in 0.5 M NaCl (24.4 mL) and XG-RAFT (1.15 g, 0.067 mmol) was added. The ACVA stock solution (1.9 M) was prepared by dissolving ACVA (58.0 mg, 19.1 mmol) in deionised water (10.0 mL). ACVA (0.013 mmol, 0.64 mL of the 1.9 M stock solution) was added, to give a final solution volume of 25.0 mL and a final monomer concentration of 0.71 M. The reaction mixture was degassed with argon in an ice bath for 30 min with magnetic stirring. The polymerisations were conducted at pH 4-5 to ensure no degradation of the XG-RAFT agent. After degassing the reaction vessel was heated to 70 °C and samples were taken periodically for ¹H NMR and SEC analyses. The polymer was purified by dialysis (MWCO 50 kg mol⁻¹) against deionised water at an elevated temperature (45-50 °C) and lyophilised to give a white powder.

Preparation of TEMPO-oxidised cellulose nanofibrils (CNF). The fibres were purified and oxidised using TEMPO under neutral conditions (pH 6.8) as described by Saito et al.,52 with some modifications. The never-dried pulp (25.0 g dry weight) was firstly purified with NaClO2 (0.3 wt% 3.75 g) in an acetate buffer solution (0.05 M, pH 4.6, 1 L) at 60 °C for 1 h under continuous stirring. Thereafter, the pulp was washed by filtration with MilliQ water. Secondly, the purified pulp was dispersed in phosphate buffer (0.05 M, pH 6.8, 2.25 L) at 60 °C, NaClO₂ was added (28.3 g, 0.25 mol, 80% purity), followed by TEMPO (15.6 mg, 0.1 mmol). After complete dissolution of the reagents, NaClO (14 vol%, 25 mmol, 13.3 g) diluted with phosphate buffer (0.05 M, pH 6.8) to a concentration of 0.1 M, was added to the suspension and the oxidation was allowed to proceed for 150 min at 60 °C stirring. Subsequently, the fibres were filtered and washed with MilliQ water. Conductometric titration was performed to measure the total charge density of the pulp fibres, which was found to be approximately 900 µeq g^{-1} . The oxidised fibres (diluted to 20 g L⁻¹) were mechanically disintegrated in a high pressure Microfluidiser by passing twice through two large chambers (400 and 200 µm) in series and then four times through two small chambers (200 and 100 µm) in series. The resulting CNF gel was then diluted to 0.2 wt% with MilliQ water for the composite film formation.

For the CNF employed in the QCM-D experiments further treatments were implemented in order to remove aggregated fibrils. 40,53 The aqueous CNF dispersion (50 mL, 2 wt%) was sonicated for 10 min at 27% amplitude. The dispersions were centrifuged for 1 h at 4500 rpm in order to remove any aggregated fibrils. The supernatant was collected and a CNF stock dispersion (1 g $\rm L^{-1})$ was prepared by dilution with MilliQ water. The surface charge after fibrillation and preparation of the dispersion was determined by polyelectrolyte titration and assessed to be approximately 800 $\rm \mu eq~g^{-1}$.

4.3.4 Preparation of QCM-D in situ CNF surfaces. The SiO₂ coated quartz crystals were firstly rinsed with MilliQ water and ethanol and dried with N2, then cleaned by plasma treatment for 2 min. The crystals were mounted in the QCM-D flow chamber which was maintained at 25 °C using a constant flow rate of 0.15 mL min⁻¹. MilliQ water was flowed through the chamber until a stable baseline was achieved. Following this, a PEI solution (0.1 g L⁻¹, 10 mM NaCl, pH 10) was flowed through the chamber until adsorption reached saturation, i.e. until the decrease in frequency reached a plateau. A rinsing step with MilliQ water was performed to remove any unbound PEI after which the CNF solution (0.1 g L^{-1}) was introduced into the flow chamber. The adsorption was again allowed to reach saturation, followed by a rinsing step with MilliQ water to ensure that any unbound CNF was removed. The synthesised polymers were then flowed through the chamber dissolved in 10 mM NaCl solution at a concentration of 0.1 g L⁻¹. A final rinsing step was performed and the QCM-D crystal surfaces were dried with N2 and used for AFM and CA analyses.

4.3.5 Preparation of composite films. A dispersion of 297 mg CNF dry weight at a concentration of 0.2 wt% in MilliQ was disintegrated with an ultra-turrax. The CNF-dispersion was degassed during 30 min under vacuum to remove air bubbles. For the film formation, 99 mg of each polymer, PSBMA710 or XG-b-PSBMA710, were dissolved at 40 °C in deionised water (80.0 mL), to give a concentration of 1.25 mg mL $^{-1}$. To the polymer solutions, the CNF dispersion was added dropwise under magnetic stirring. The targeted total dry mass (adsorbed polymer and CNF) was 396 mg, to yield a targeted thickness of around 70-80 μm and a grammage of 100 g m⁻² per composite film. Polymer and CNF dispersions were left for 30 min with slow magnetic stirring to allow full adsorption. The CNF and polymer dispersions were vacuum filtered through a glass filter funnel (7.2 cm in diameter) using a 0.65 μm PVDF membrane; DVPP Millipore, USA, in analogy as previously reported films of CNF.7,8 After the filtration the films were dried in a Petri dish in the fume hood, prior to being placed in a conditioning room at 23 °C and 50% RH for at least 24 h prior to further characterisations. The prepared films were denoted CNF-ref, CNF/ PSBMA₇₁₀ and CNF/XG-b-PSBMA₇₁₀. Pieces for swelling tests of the films were produced by either cutting 8 mm in diameter circular shapes with a cutting tool or quadratic shapes of 1 cm² by scalpel.

4.3.6 Swelling tests of composite films. Swelling tests of the composite films were performed in controlled temperatures, either at 60 °C in an oven or at 5 °C in the refrigerator. The circular or quadratic pieces of the films were placed in preheated or pre-cooled deionised water and the swelling was measured gravimetrically at time intervals of 0.5, 1, 24, 96 and 120 hours. Prior to measuring the hydrogels, they were gently wiped with a tissue to remove any excess water.

The degree of swelling was calculated using eqn (3). Where $\max_{\text{wet film}}$ is the mass of the film after swelling in water and $\max_{\text{dry film}}$ is the mass before swelling in water, the % will be the mass increase of water.

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Degree of swelling (%) = Q_{swelling} = $\frac{\text{mass}_{\text{wet film}} - \text{mass}_{\text{dry film}}}{\text{mass}_{\text{dry film}}} \times 100$ (3)

The water phase from swelling was freeze-dried and analysed with $^1\text{H-NMR}$ in $D_2\text{O}$. It was shown that only miniscule amounts of polymer (PSBMA homopolymer or PSBMA-b-XG) was leaked out of the gels during the swelling tests along with trace amounts of CNF.

4.3.7 Compression testing of composite films. To examine the mechanical properties, compression tests were performed on composite films swollen at 60 °C in water for 120 h. The circular cut swollen pieces were measured for thickness and diameter prior to analysis for appropriate dimensions and dried gently with tissue to remove excess water. Smooth sandpaper was used to ensure that the hydrogels did not move between compression clamps.

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