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# Machine learning assisted binary alloy catalyst design for the electroreduction of CO<sub>2</sub> to C<sub>2</sub> products†

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The carbon dioxide reduction reaction (CO<sub>2</sub>RR) has become one of the most important catalytic reactions due to its potential impact on global emissions. Among the many products this reaction yields, C<sub>2</sub> products are the most valuable due to their potential use as hydrocarbon fuels. For the efficient conversion of CO<sub>2</sub> into C<sub>2</sub> products, however, further work needs to be done on understanding the reaction pathway mechanisms and ideal catalytic surfaces. Herein, we gain insight into the C<sub>2</sub> pathway through a combination of Density Functional Theory (DFT) and machine learning (ML) by studying the adsorption of \*COCOH on eight different types of Cu-based binary alloy catalysts (BAC) and subsequently discover the ideal BAC surfaces through configurational space exploration. 8 different ML models were evaluated with descriptors for elemental period, group, electronegativity, and the number of unpaired d orbital electrons. The top performing models could successfully predict the adsorption energy of \*COCOH on Cu-based BACs to within 0.095 eV mean absolute error (MAE). The most accurate models found Cu/Ag and Cu/Au BACs with 2–3 atom nanoislands on the surface and high Ag/Au density subsurfaces had the most favorable reaction energy pathway which corresponds with the weakest \*COCOH adsorption energies.

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## 1. Introduction

For the past decade, climate change has been a major topic for scientific research. Global-scale environmental degradation has proven to have severe impacts on human health, wildlife and ecosystems.<sup>1,2</sup> This has prompted large amounts of research into the carbon dioxide reduction reaction (CO<sub>2</sub>RR) in an attempt to reverse the catastrophic damage our environment has incurred. One promising pathway to this goal that has recently emerged is the conversion of CO<sub>2</sub> into energy rich hydrocarbons for use as a renewable fuel.<sup>3–6</sup> This pathway is attractive because it not only offers an economic replacement for traditional fossil fuels, but also provides a potential pathway to sustainable energy sources.<sup>5–7</sup>

There are still hurdles before a sustainable energy architecture can be realistically implemented. The stable nature of CO<sub>2</sub> under ambient conditions makes the decomposition of CO<sub>2</sub>

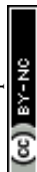
into C and O<sub>2</sub> require 395 kJ mol<sup>-1</sup> of energy which is very costly and current methods yield mixed products such as HCOOH, CO, CH<sub>3</sub>OH, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>5</sub>OH and CH<sub>4</sub> due to the multi-step nature of the CO<sub>2</sub>RR.<sup>8–11</sup> Regardless, the products consisting of two or more carbons (C<sub>2+</sub> products) deserve more attention because they can more readily be used as reactants for fuel production and polymerization.<sup>12</sup>

Both experimental and computational studies suggest C<sub>2+</sub> products start with two carbons simultaneously bonding to the catalytic surface (bidentate).<sup>14</sup> In particular, the Cu(100) facet has been shown to be highly favorable for this dimerization due to its low onset potential in non-acidic environments.<sup>14</sup> For the bidentate CO<sub>2</sub>RR pathway to win over auxiliary monodentate reactions, a close to ideal electrocatalyst will have to be used.<sup>13</sup> Although Cu(100) is known to be the best monometal for the dimerized CO hydrogenation pathway to C<sub>2+</sub> products, few studies have tried pushing this CO<sub>2</sub>RR pathway further through elemental additions and \*COCOH adsorbate studies.<sup>14</sup> Among the many families of electrocatalysts, transition metals are commonly studied because of their unique electronic structure and active electrons in metallic bonds.<sup>15,16</sup> With the addition of a second metal, the ligand effect and ensemble effect are introduced.<sup>17,18</sup> The influence of one can hardly be separated from the other since the extra atom changes the electronic

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structure and surface ensemble at the same time.<sup>19</sup> Nonetheless, studies find the ensemble effect contributes significantly more than the ligand effect in the improvement of reactivity and selectivity.<sup>17–19</sup> In the current research landscape, binary alloy catalysts (BACs) have been studied for single carbon products by generating databases of various intermediates (CO, CHO, COH) along with stoichiometric optimizations but no work has been done on elucidating the link between \*COCOH adsorption, a key intermediate in the pathway towards C<sub>2+</sub> products and high performing BACs.<sup>20,21</sup>

In this paper, we report on the design of BACs through the curation of a 1600 datapoint DFT generated dataset to train machine learning (ML) models on. With the trained model which best understands the complex intermingled influences of the bidentate adsorption pathway for the CO<sub>2</sub>RR, adsorption energy ( $E_{\text{ads}}$ ) predictions were made on 8000 random BAC structures. The reliability and generalizability of the ML models were tested on tertiary alloy catalysts (TACs) to propose new complex catalysts. The top performing BAC candidates were further studied to elucidate factors correlating  $E_{\text{ads}}$  \*COCOH to favorable reaction energy pathway surfaces.

## 2. Methods

### 2.1. DFT calculations

All adsorption energy DFT calculations were performed using Quantum Espresso (QE) with the Perdew–Burke–Ernzerhof (PBE) type ultrasoft pseudopotential (USPP).<sup>22,23</sup> The Cu(100) surface consisting of 4 layers of (3 × 4) Cu atoms (48 atoms in total) and 10 Å of vacuum, was calculated with a kinetic energy for wavefunction cutoff of 57 Ry, charge density cutoff of 326 Ry and Gamma-centered 2 × 2 × 1 *k*-points mesh. The *k*-points mesh was chosen based on a convergence test which determined a Gamma-centered 2 × 2 × 1 mesh to be the most computationally efficient and accurate parameter for high throughput  $E_{\text{ads}}$  calculations (Fig. S1, ESI†). The convergence criteria was set to under 10<sup>−6</sup> Ry. The Cu(100) surface was chosen for its activity, favored C<sub>2</sub> pathway for the CO<sub>2</sub>RR and loose packing order of the surface. During geometry optimization, only the adsorbate (\*COCOH) and top 2 layers were allowed to be freely relaxed, while the bottom 2 layers were fixed. The intention of fixing the lower layers was to simulate the bulk *versus* surface morphology of a BAC where the surface atoms were afforded greater degrees of freedom to relax compared to the bulk layer.<sup>24</sup> The exact adsorption energy of \*COCOH can be defined as:

$$E_{\text{ads}, *COCOH} = E_{*COCOH/Cu \text{ alloy}} - E_{Cu \text{ alloy}} - E_{*COCOH} \quad (1)$$

where  $E_{*COCOH/Cu \text{ alloy}}$  is the total energy of an adsorbed \*COCOH molecule on the Cu alloy surface;  $E_{Cu \text{ alloy}}$  is the energy of Cu alloy slab;  $E_{*COCOH}$  is the energy of the isolated adsorbate \*COCOH molecule.<sup>25</sup> Since the \*COCOH is highly unstable in vacuum, the energy of  $E_{*COCOH}$  is not obtainable

and was substituted as the vacuum energy of substituent molecules shown in eqn (2):<sup>26,27</sup>

$$E_{\text{ads}, *COCOH} = E_{*COCOH/Cu \text{ alloy}} - E_{Cu \text{ alloy}} - [2 * E_{CO/vacuum} - (1/2) * E_{H2/vacuum}] \quad (2)$$

where  $E_{CO/vacuum}$  is the total energy of CO molecule in vacuum and  $E_{H2/vacuum}$  is the energy of a H<sub>2</sub> molecule in vacuum to ultimately calculate \*COCOH adsorption energies.<sup>28–31</sup> When comparing the  $E_{\text{ads}}$  of \*COCOH on pure Cu(100) calculated by other computational groups against this works method, the importance of adsorbate orientation becomes evident. There was a ~0.8 eV difference in  $E_{\text{ads}}$  between monodentate and bidentate adsorption geometries (Fig. S2, ESI†).<sup>32</sup> Literature reference for \*COCOH adsorption is limited but the references available calculated the  $E_{\text{ads}}$  of \*COCOH on Cu(100) through the typical slab model method with a monodentate \*COCOH orientation (eqn (3)). This method was not used in order to specifically study the bidentate CO dimerization route towards \*COCOH products.<sup>32</sup>

$$E_{\text{ads}, *COCOH} = E_{*COCOH/Cu \text{ alloy}} - E_{Cu \text{ alloy}} - E_{*COCOH/vacuum} \quad (3)$$

Additional charge density difference and Bader charge analysis calculations were performed on specific Os datapoints which exhibited special geometric configurations. These calculations were performed using the Vienna *Ab initio* Simulation Package (VASP) with a 4 × 4 × 1 *k*-points mesh and same pseudopotentials as the Quantum Espresso calculations. Bader charge analysis calculations were performed using the Henkelman group's publicly available scripts.

The models of Cu-based alloys were constructed by randomly changing the 13 Cu atoms nearest to the adsorbate into another metal element at 4 positions in the top layer and 9 positions in the second top layer with a 20% possibility (visualization shown in Fig. S3, ESI†). One of eight noble metals (Ru, Rh, Pd, Ag, Os, Ir, Pt, Au) was selected to replace the Cu atoms at these 13 positions to generate the 1600 datapoint dataset. The Cu alloys investigated in the study were not given adsorbate migration or synthesis feasibility considerations. Datapoints were only removed if the adsorbate was unstable on the adsorption site.

To test the generalizability of the ML models, 3 tertiary alloy structures underwent the same \*COCOH  $E_{\text{ads}}$  calculations as the BAC database. Since the atomic substitutions in the lattice were minimal compared to the overall system size, lattice parameter re-calculations were not performed and assumed to be the same as the BACs.

To draw a stronger correlation between \*COCOH adsorption energies and BAC surfaces, reaction energy calculations were performed following the dimerized \*CO hydrogenation route towards \*COCOH. A weak, moderate, and strong  $E_{\text{ads}}$  BAC was randomly selected for free energy calculations done through VASP. The same *k*-points and potentials used in the charge density difference and Bader charge simulations were used for the reaction energy calculations.



## 2.2. Machine learning architecture and feature engineering

The target value predicted by the ML models was adsorption energy of \*COCOH in eV. In order to accurately predict this label using a set of descriptors suitable for random datapoint generation, the selection of descriptors had to be purely chemical.<sup>33–35</sup> The reason DFT calculated descriptors could not be used is because the configurational space exploration required the random generation of datapoints with minimal computational cost. Thus, the group, period, electronegativity and unpaired d orbital electrons ( $N_{ied}$ ) of the 13 nearest neighbor elements were selected. The addition of the novel d orbital electron descriptor improved MAE performance by 0.02–0.04 eV MAE and was shown to have the strongest correlation to  $E_{ads}$  of the 4 descriptors used. This was likely due to the deeper comprehension the ML models could derive on the ligand and ensemble effects in metal systems.<sup>36–38</sup>

Based on previous ML publications for catalyst design, the following 8 popular regression models were evaluated for performance: linear regression (LR), kernel ridge regression (KRR), Gaussian kernel-based support vector machine (SVM), gradient boosted regression (GBR), decision tree (DT), random forest (RF), extra tree regression (E\_tree), and neural network (NN).<sup>33,39,40</sup> The training, validation and test sets were split 80%/10%/10% using sklearn's train\_test\_split package. The performance and accuracy of the models in predicting the adsorption energies of the testing sets were evaluated using the mean absolute error (MAE) and root-mean-square error (RMSE) in eV between the predicted energies and true energies (Fig. S4, ESI†). Initial model exploration was conducted using scikit-learn and all machine learning prediction and configurational space exploration was done using TensorFlow 2.0 Keras API.<sup>41,42</sup> The best-performing models were a multilayer perceptron NN, extra tree, and random forest regressor which obtained accuracies on the scale of  $\sim 0.095 \pm 0.04$  eV MAE. For all reported test set MAE values, the reported value was the average of 5 dataset shuffle and retrains. Feature importance analysis was performed based on the average correlation between a given descriptor and  $E_{ads}$  for each of the 13 atom locations.

The NN had 3 hidden layers with a total of 8204 trainable parameters. The models optimal MAE values were obtained with an Adam optimizer (learning rate = 0.01), dynamic learning rate callback, and mean-squared-error (MSE) loss function to minimize outlier inaccuracy. The model was trained for 3000 epochs and the lowest validation MAE model weights were then returned for future optimization. Each layer used a relu activation function aside for the final layer which utilized a linear activation function. The size of the NN was chosen through a parametric sweep of different NN architectures ranging from 1–4 hidden layers with 8–64 nodes each. The top performing models underwent hyperparameter optimization for later use in the optimization studies.

The Extra tree regressor used for fitting the dataset had no limit to the tree depth, a minimum of 2 samples to split a node, 100 trees, and was optimized for mean squared error. The

random forest model used the same hyperparameters as the extra tree regressor.

For each model, the descriptor representation of each datapoint starts as a 2D array where each of the 13 rows represented one of the 13 nearest neighbor atoms and each of the 4 columns represented the corresponding atoms elemental descriptors. The order of the columns was group, period, electronegativity then  $N_{ied}$ . The  $13 \times 4$  2D array would lastly be flattened into a 52 element vector for model training and prediction. This architecture allowed for rapid generation of random datapoints and extrapolation to newer material systems not apart of the original training set such as 3 element tertiary alloys.

To benchmark the descriptor based NN models against top performing graph based models, the Atomistic Line Graph Neural Network (ALIGNN) developed by K. Choudhary was trained on the dataset.<sup>43</sup> Default hyperparameters were utilized and all geometric structures were converted into VASP readable POSCARs for training.

## 2.3. Configurational space exploration for the discovery of optimal adsorption surfaces

Borrowing from the Monte Carlo idea of random sampling, this study obtained adsorption energy predictions of catalyst surfaces without extra DFT calculations through the use of a NN. 1000 random BAC's for each of the 8 element combinations were generated with the same method as the initial 1600 datapoint dataset. The 8000 newly generated surface predicted  $E_{ads}$  values are compiled in Fig. S5 (ESI†). This approach allowed the ML model to identify the ideal elements for BAC CO<sub>2</sub>RR bidentate pathway.<sup>16,19</sup> The adsorption energy distribution for the predictions dataset resembled a normal distribution far more than the DFT calculated dataset due to the difference in sample size (<200 vs. 1000). Additionally, each binary alloy possessed a large peak at  $-2.8$  eV which is a statistical artifact originating from the randomly generated structures of pure Cu possessing 0 atom substitutions.

Because the models were capable of performing  $E_{ads}$  predictions from descriptor based input structures without any DFT data required, the models generalizability and transferability were evaluated through an extrapolation study to 3 element tertiary alloys. The same random sampling method was used but for each randomly generated structure, 2 sequential random element substitutions would be performed instead of 1. 3 TACs predicted to have weak, moderate and strong  $E_{ads}$  were compared against DFT calculated  $E_{ads}$  values to gain a quantitative measurement of the NNs generalizability.

# 3. Results and discussion

## 3.1. Bidentate adsorption

As shown in eqn (2), to obtain the adsorption energies of \*COCOH on the Cu-based BAC, the energy of isolated adsorbate \*COCOH ( $E_{*COCOH}$ ), energy of gaseous H<sub>2</sub> ( $E_{H_2/vacuum}$ ) and energy of gaseous CO ( $E_{CO/vacuum}$ ) were calculated. The resulting



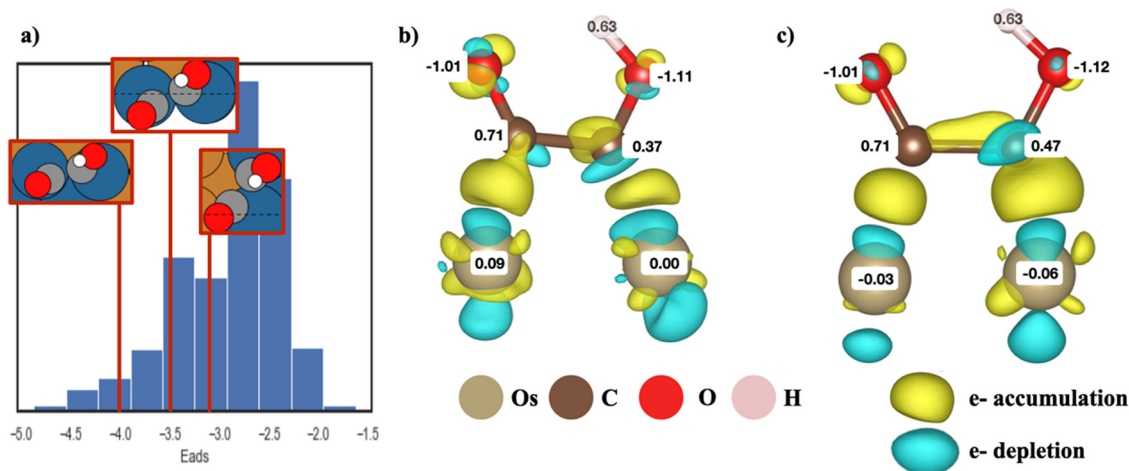


Fig. 1 (a) Top down view of unique \*COCO H adsorption conformations on Cu/Os BACs relative to the adsorption energies, (b) charge density difference and Bader charge values of a non-planar \*COCO H adsorbate, (c) charge density difference and Bader charge values of a typical planar \*COCO H adsorbate.

$E_{\text{ads}}$ , \*COCO H was within the range of  $-1.62$  eV to  $-4.87$  eV. A unique geometry arising from energy minimization occurred for the Cu/Ir, Cu/Os, Cu/Rh, Cu/Ru binary alloys (Fig. 1a). The \*COCO H molecule has a 30–45 degree rotation between the CO bonds along the C–C axis. The exact angle between the dimers varied from datapoint to datapoint however there were 2 clear trends that emerged. Firstly, this geometric rotation only occurred when two non Cu atoms were adjacent to each other, directly below the \*COCO H adsorbate and surrounded by mostly Cu. This is likely because the bidentate adsorption sites were ideal for C but not O and thus the bonds were rotated to obtain electron orbital stabilization from neighboring Cu atoms. Notably, the adsorption site atoms were the elements with the largest numbers of unpaired d orbital electrons, suggesting this non-planar geometry may be linked to the ensemble effect.

Bader charge analysis and electron density differences revealed a unique electron depletion occurring on the C attached to OH compared to typical \*COCO H geometries (Fig. 1b and c). Additionally, the substrate atoms directly below the adsorbate possessed more positive Bader charges as opposed to typical geometries which accumulated electron charge. The largest difference in Bader charges was observed on the C atom attached to OH which was 0.1 electrons less than typical geometry conformations. This electron accumulation was present for the majority of non-planar \*COCO H adsorbates regardless of  $E_{\text{ads}}$  (Fig. S6, ESI<sup>†</sup>). The Adsorption energy of these sites varied significantly across the entire datasets  $E_{\text{ads}}$  range and the configurational space exploration considered these specific substrates as some of the more stable BACs possible for Ru, Rh, Ir, Os. When comparing the Bader charge difference of 3 non-planar \*COCO H adsorbates to a planar \*COCO H adsorbate, the non Cu atoms directly below the \*COCO H molecule are observed to have the most significant positive charge difference (Fig. S7, ESI<sup>†</sup>). This charge is likely taken away from the C atom attached to OH, contributing to the non-planar conformation.

The distribution of  $E_{\text{ads}}$  for the different elements varied greatly and showed a strong correlation to the  $N_{\text{ied}}$  of the element Cu was combined with (Fig. 2).

To link the adsorption energy of \*COCO H to catalytic performance, reaction energy calculations conducted on 3 BAC systems composed of Cu/Ag, Cu/Rh, Cu/Os BAC with weak, moderate, and strong ML predicted  $E_{\text{ads}}$  values were studied. The strong correlation between unpaired d orbital electrons of the alloyed element and adsorption energy is also inversely present for reaction energy (Fig. 3a). Although the stronger adsorption energy BACs (such as Cu/Os) observe much less intermediate migration potentially leading to higher surface coverage and simultaneous adsorbate conversion, the potential limiting step (PLS) between dimerized CO adsorbates and dimerized CO/COH adsorbates is the largest of the 3 types of systems (1.06 eV) (Fig. 3a and b). The second largest PLS was observed in the moderate  $E_{\text{ads}}$  structure between the dimerized CO/COH to \*COCO H step (0.98 eV). For the strong and moderate \*COCO H  $E_{\text{ads}}$  structures, free energy calculations indicate dimerized CO is the most stable adsorbate intermediate whereas the weak  $E_{\text{ads}}$  structure becomes more stable as the  $C_{2+}$  reaction progressed towards \*COCO H. This suggests the weak  $E_{\text{ads}}$  structures are optimal for dimerized CO conversion to \*COCO H (Fig. 3a). This type of ideal reaction surface is likely related to the large migration both CO adsorbates undergo for hydrogenation and ultimately bidentate bonding. The hydrogenation of CO for the weak  $E_{\text{ads}}$  structure migrates toward a hollow site before ultimately forming bidentate \*COCO H without requiring significant energy or re-arrangement.

### 3.2. Machine learning prediction of $E_{\text{ads}}$

The multilayer perceptron NN showed great accuracy when tasked with predicting the adsorption energy of \*COCO H across a wide range of values (0.095 eV MAE) (Fig. 4a and b). The ML models also gained the majority of its insight from the number of unpaired d orbital electrons (Os, Ir, Ru, Rh) (Fig. 4c).





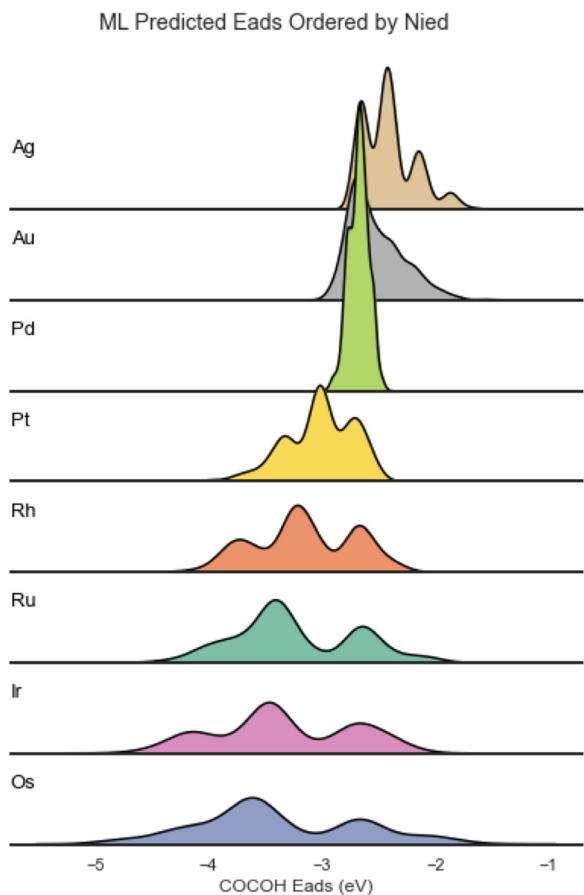


Fig. 2 Adsorption energy distribution of the ML predicted BACs ordered from lowest  $N_{ied}$  count (top) to highest  $N_{ied}$  count (bottom).

The strong influence of the unpaired d orbital electron count is best demonstrated by performing a descriptor correlation to  $E_{ads}$ . By taking the average correlation between each descriptor for each of the 13 atoms, it is revealed that  $N_{ied}$  had the largest correlation followed by period, group and electronegativity (Fig. 4d).

Due to the outstanding accuracy of the NN and its ability to accurately predict outliers, this model can be considered an alternative option to predict BAC adsorption energies that is magnitudes cheaper and faster from a computational perspective than DFT. This model can also be applied with minimal preparation on other systems, serving as a more universal and explorative tool in early stage catalyst design than simulations or experimentation for BAC materials.<sup>33</sup>

When compared against the ALIGNN model, the descriptor based NN performed comparably. The ALIGNN model obtained an MAE of 0.06 eV MAE. This improvement likely came from the model consideration of adsorbate bond angles and interatomic distance descriptors embedded in the graph neural networks edges. The  $\sim 0.04$  eV difference suggests the descriptor based NN successfully captured the majority of catalytic dynamics which can be derived from the material systems chemical properties. Although the ALIGNN model yielded a more accurate prediction, this model was ultimately not used because of graph neural networks incapability to perform this works exhaustive compositional space exploration. Since graph neural networks consider atomic distances, the inputs require information gained from DFT minimized structures which makes random datapoint generation exponentially more costly than the descriptor based array method detailed in Section 2.3.

Since the descriptor based NN was shown to capture the majority of the chemical factors influencing  $E_{ads}^{*COCO H}$  with

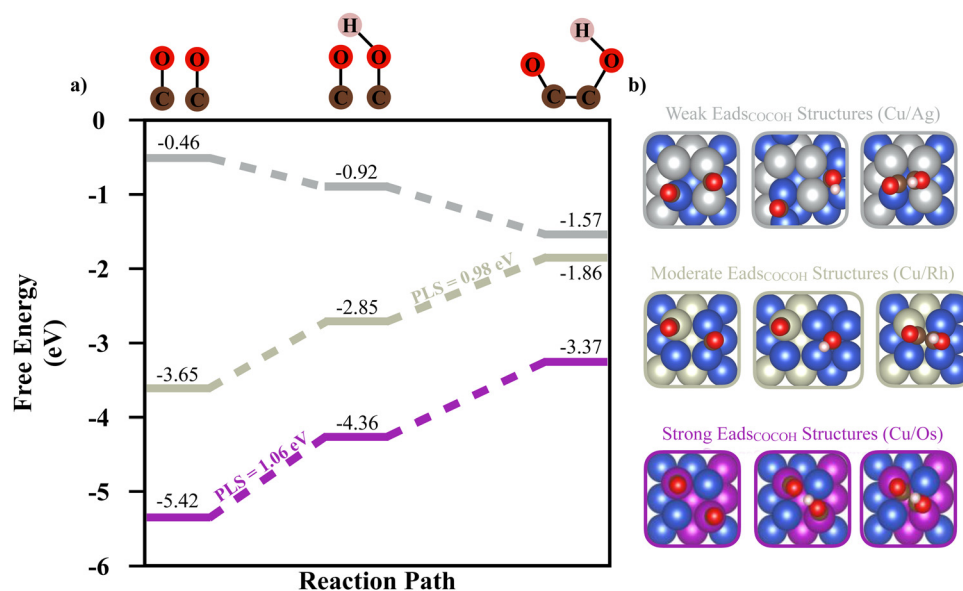


Fig. 3 (a) Free energy along the dimerized CO hydrogenation reaction pathway towards  $*COCO H$  with the potential limiting step indicated. The grey pathway corresponds to a randomly sampled weak  $*COCO H$   $E_{ads}$  BAC (Cu/Ag), the metallic grey pathway is the moderate  $*COCO H$   $E_{ads}$  BAC (Cu/Rh) and the purple pathway is the strong  $*COCO H$   $E_{ads}$  BAC (Cu/Os). (b) The adsorbate migration associated with each step of the reaction pathway shown in (a).



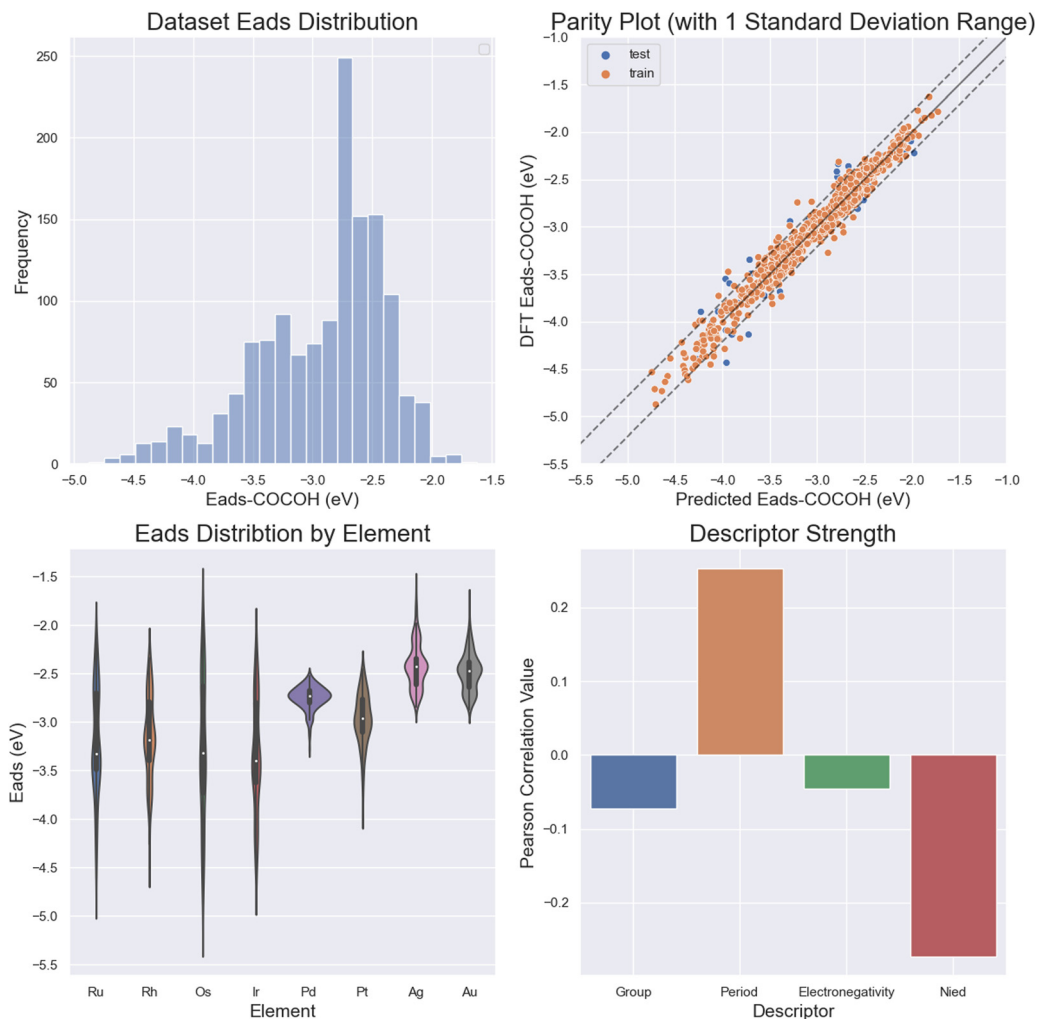


Fig. 4 (a) Adsorption energy distribution of the entire \*COCOHO dataset, (b) parity plot with dotted lines outlining 1 standard deviation error, (c) adsorption energy distribution by element Cu is alloyed with, (d) Pearson correlation value of each descriptor averaged across all 13 nearest atoms to the adsorbate.

high data efficiency (Fig. S8, ESI<sup>†</sup>), 3 additional TACs were simulated and compared against the NNs  $E_{\text{ads}}$  prediction (Fig. 5a). The 3 sample points were chosen randomly from 3 ranges of adsorption energies (weak, moderate, strong  $E_{\text{ads}}$ ) to quantify the model's ability to extrapolate to more complex systems across the entire range of \*COCOHO adsorption energies. The absolute error (in eV) for the predicted adsorption energies compared to DFT values was 0.18, 0.15 and 0.22 eV for the weak, moderate and strong adsorption energy TACs. The weak TAC possessed 2 Pd substitutions and 2 Pt substitutions whereas the moderate and strong TACs possessed 2 Rh and 2 Pt substitutions (Fig. 5b). For each structure, the model showed a consistent small bias towards over-estimating \*COCOHO stability but can still be considered highly transferable due to the low error associated with each prediction. The model's transferability is further highlighted by the strong  $E_{\text{ads}}$  TACs geometric configuration. The majority of the training dataset had the \*COCOHO adsorbate resting on dual bridge sites but with the strong  $E_{\text{ads}}$  TAC, the adsorbate rotated towards dual top site

adsorptions. Although this rotation resulted in the highest absolute error, the difference between this error and the model's test set MAE is  $\sim 0.1$  eV which is acceptably small considering the molecular rotation of the adsorbate underwent.

### 3.3. Optimal machine learning predicted surfaces

Au and Ag possessed the weakest adsorption surfaces on average and were the only alloys to have an average adsorption above  $-2.55$  eV in addition to having some of the smallest ranges of adsorption energies (Table 1). For the bidentate pathway, these elements are likely the best candidates according to the reaction pathway shown in Fig. 3b. According to L. Ou, the co-adsorption of CO\* and H\* on Cu(100) is  $-4.12$  eV and the  $E_{\text{ads}}$  of \*COCOHO on Cu(100) is  $-3.85$  eV.<sup>32</sup> To favor the formation of \*COCOHO over co-adsorption, it is likely necessary to have a BAC with weaker CO  $E_{\text{ads}}$  so that the endergonic step of hydrogenating dimerized CO does not slow reaction kinetics. For the 5 BACs with lower  $N_{\text{ied}}$  counts, the mean \*COCOHO adsorption energies ranged from ( $-2.67$  eV) – ( $-3.15$  eV) with



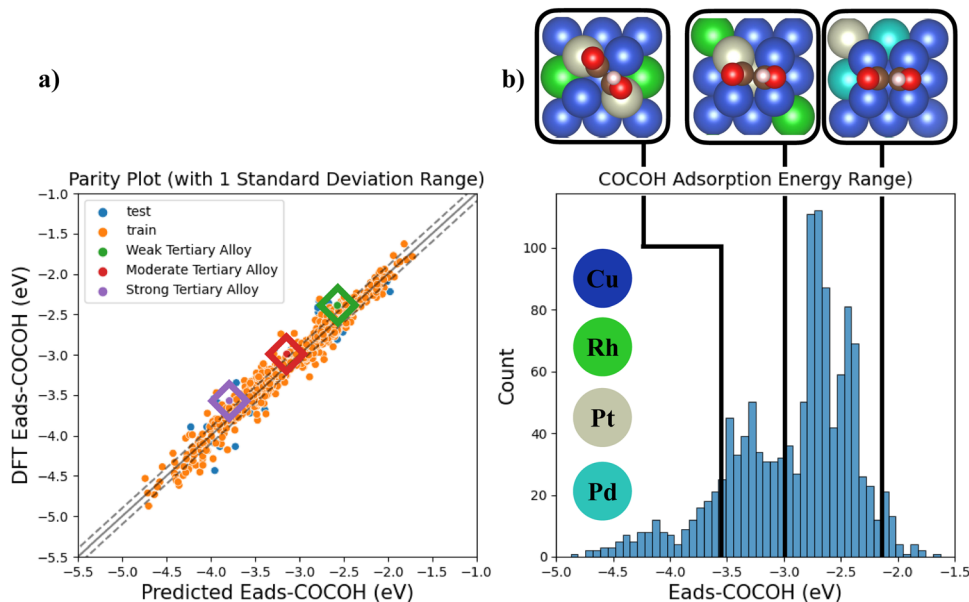


Fig. 5 (a) Parity Plot of all BAC test points and the 3 tertiary alloy systems (b) DFT calculated  $^*COCOHO E_{ads}$  distribution with the 3 tertiary alloy systems visualized at their respective DFT calculate  $E_{ads}$  values.

Table 1 ML prediction statistics and relation to unpaired d orbital electrons

Element	Mean (eV)	Range (eV)	Most stable datapoint (eV)	Number of unpaired d orbital electrons
Ru	-3.23	2.55	-4.50	3
Rh	-3.15	1.99	-4.27	2
Ag	-2.40	1.09	-2.72	0
Au	-2.52	1.45	-2.98	0
Ir	-3.33	2.79	-4.84	3
Os	-3.38	3.52	-4.98	4
Pd	-2.67	0.54	-2.97	0
Pt	-3.01	1.55	-4.02	1

similar ranges and possessed a correlation to the amount of unpaired d orbital electrons the alloyed element possessed, making them strong BAC candidates for optimal  $^*COCOHO$

formation. For the 3 elements which possessed the most stable  $E_{ads}$  structures and highest  $N_{ied}$  counts (Os, Ir, Ru), the substitution locations which resulted in the most negative adsorption energy predictions were almost identical (Fig. 6a). The maximized surfaces all possessed 2–3 atom nano-islands on the surface beneath  $^*COCOHO$  with a large amount of subsurface alloyed elements. This suggests that the adsorption of  $^*COCOHO$  onto a highly stable BACs is likely influenced by high energy valence electron interactions of the subsurface atoms donating to the top site surface atoms in order to produce favorable bidentate adsorption configurations for  $^*COCOHO$  molecules. For the other moderate-high  $N_{ied}$  count BACs, the most stable  $E_{ads}$  configurations were similar. The lowest  $N_{ied}$  count BACs (Cu/Au and Cu/Ag) had inverse influences on  $^*COCOHO$  stability. For these BACs, the most stable configurations arose with

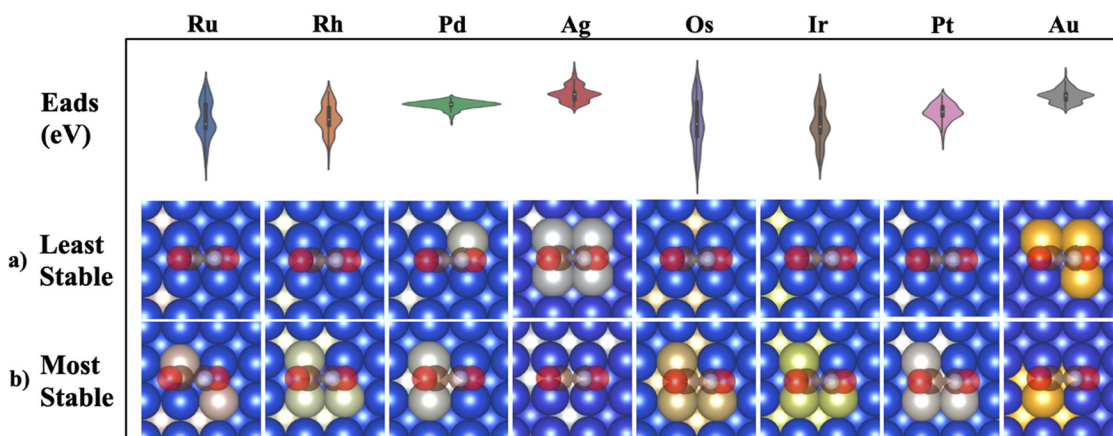


Fig. 6 (a) ML predicted surface compositions for lowest possible predicted adsorption energy. Blue atoms are Cu, other atoms are labeled above the composition (b) ML predicted surface compositions for highest possible predicted adsorption energy. Blue atoms are Cu, other atoms are labeled above the composition.



minimal surface islands and a smaller amount of subsurface substitutions (Fig. 6b). The least stable configurations out of all BACs came from large nano-islands of Ag/Au, suggesting these elements may be suitable additives if the objective is to destabilize the adsorption of \*COCOH and facilitate conversion of dimerized CO towards \*COCOH.

## 4. Conclusions

In the current work, a machine learning configurational space exploration framework was discussed for the bidentate electrocatalytic CO<sub>2</sub>RR on Cu based BACs. Using DFT, the adsorption energies of \*COCOH on 1600 randomly configured Cu-based SAA surfaces were calculated to use as a dataset for supervised ML models. 8 regression models with 80/10/10 train/val/test splits with 3 chemical descriptors and 1 quantum descriptor were evaluated. Analysis and interpretation of the top performing NN predicted structures provided 3 key findings:

1. Cu/Au and Cu/Ag alloys have the weakest adsorption energy on average for \*COCOH and the best reaction energy pathway for C<sub>2+</sub> products. Although Au/Ag are costly, it is possible to maximize catalytic efficiency of the BACs by synthesizing nano-islands of the metal on the surface.

2. Metals with high counts of unpaired d orbital electrons are the most stable surfaces for \*COCOH with moderate PLS values for the bidentate CO<sub>2</sub>RR pathway. The strongest adsorption surfaces came from Ru/Ir/Os BACs with 2-3 atom nano-islands directly beneath the adsorbate with high densities of substitutions on the subsurfaces.

3. There is a clear correlation with  $N_{\text{ied}}$  and  $E_{\text{ads}}^* \text{COCOH}$ . The relation between  $N_{\text{ied}}$  and reaction energy steps is inversely related to  $E_{\text{ads}}^* \text{COCOH}$  and the top performing BACs possessed the lowest amounts of unpaired d orbital electrons which resulted in unique surfaces where \*COCOH was more energetically favorable than dimerized CO.

This work provided the first ever ML informed look into BAC design for optimal \*COCOH adsorption energies. Having the current work as a major step towards the effective conversion of CO<sub>2</sub> into hydrocarbon fuels, additional studies are still necessary. Future efforts should be directed towards studying the top performing BACs (Cu/Au, Cu/Ag) in addition to the more complex TACs studied in this work. This future direction will provide insight into reducing the material cost of bidentate electrocatalysts along with understanding the role subsurface alloying plays in improving electrocatalytic performance.

## Author contributions

C. V. Singh, G. Chen, A. Xu, Z. Lu conceived, designed and simulated the quantum espresso dataset. Z. Garipey performed all data analytics, machine learning, DFT VASP analysis. Z. Garipey, Z. W. Chen and C. V. Singh wrote the paper. All authors discussed and revised the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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