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## Investigations on Nb<sub>2</sub>C monolayer as promising anode material for Li or non-Li ion batteries from first-principles calculations

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First-principles calculations are performed to study the electronic properties and metal ion storage capabilities of the two-dimensional (2D) Nb<sub>2</sub>C monolayer and its corresponding fluoride and hydroxide materials. We show that the Nb<sub>2</sub>C monolayer and the derived Nb<sub>2</sub>CF<sub>2</sub> and Nb<sub>2</sub>C(OH)<sub>2</sub> are all metallic in their most stable configurations. We systematically investigate the adsorption and surface diffusion of different metal atom species A = Li, Na, K, Be, Mg, Ca, Al. We find that the bare Nb<sub>2</sub>C monolayer has excellent performance in the case of Li or Mg: the material remains metallic after adsorption; the ion diffusion is fast with extremely low diffusion barrier; the storage capacity is high (~542 mA h g<sup>-1</sup> for Li and ~1084 mA h g<sup>-1</sup> for Mg); and the average intercalation potential is relatively low. Particularly, the diffusion barrier heights for the elements Li, Na, K, Mg and Ca are all lower than 0.1 eV. In addition, the functional groups tend to strongly degrade the performance, which should be avoided in experiment as much as possible. Our results suggest that the Nb<sub>2</sub>C monolayer is a promising anode material for Li- or non-Li-ion batteries.

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### 1 Introduction

From portable electronic devices to electric vehicles and to large-scale power grid systems, energy storage has become an essential technology for our daily life. Among all kinds of energy storage systems, secondary batteries have the important advantages of high efficiency and compactness.<sup>1-3</sup> As the most prominent example, Li-ion batteries (LIBs) have undergone rapid development since their first commercialization by Sony in 1991. Nevertheless, currently the development of LIBs has been hampered by cost and safety issues.<sup>4</sup> With the increasing usage of LIBs, the lack of lithium sources also emerges as one major challenge. Therefore, non-Li-ion batteries, in which Li is substituted by other metal species, are being actively explored. Particularly, Na-ion batteries (NIBs) have received a lot of attention because Na is much more abundant and cheaper than lithium.<sup>5,6</sup> Beyond NIBs, batteries with other alkali (such as K)<sup>7,8</sup> or multivalent metal ions (Mg,<sup>9,10</sup> Ca,<sup>11</sup> and Al<sup>12</sup>) have also been investigated. For developing all these various secondary

batteries, a critical problem is to search for suitable electrode materials to optimize the battery performance.

Since the discovery of graphene, the novel properties and wide applications of two-dimensional (2D) layered materials have attracted tremendous interest. 2D materials such as graphene, phosphorene and dichalcogenides (such as NbSe<sub>2</sub> and MoS<sub>2</sub>)<sup>13-15</sup> have been investigated as electrode materials for LIBs,<sup>16-22</sup> and the MoS<sub>2</sub>/graphene 2D composite has also been tested as anode materials for NIBs.<sup>23</sup> Recently, a new family of 2D materials, the early transition-metal carbides and carbonitrides (M<sub>n+1</sub>X<sub>n</sub>, n = 1, 2, 3; X = C or N), known as "MXenes", have attracted more and more attention in research. MXenes are typically synthesized from the MAX precursors (A represents one of the A group elements such as Al), which include more than 60 phases. One of the MXenes, Ti<sub>3</sub>C<sub>2</sub>, was first synthesized by Naguib *et al.*<sup>24-27</sup> by selectively etching the Al layers from Ti<sub>3</sub>AlC<sub>2</sub> with hydrofluoric acid (HF). To date, many other MXenes have also been successfully synthesized, such as Ti<sub>3</sub>CN, Ti<sub>2</sub>C, (V<sub>0.5</sub>Cr<sub>0.5</sub>)<sub>3</sub>C<sub>2</sub>, (Ti<sub>0.5</sub>Nb<sub>0.5</sub>)<sub>2</sub>C, Nb<sub>2</sub>C, V<sub>2</sub>C and Ta<sub>4</sub>C<sub>3</sub>, *etc.*<sup>25-29</sup>

With more MXenes being realized, their properties have been actively studied.<sup>30-34</sup> It has been shown that these materials could have great potential for energy storage applications. For instance, Zhou *et al.*<sup>35</sup> proposed that monolayer Ti<sub>3</sub>C<sub>2</sub> and its derivatives are promising anode materials for LIBs using first-principles calculations. Naguib *et al.* theoretically predicted that Ti<sub>2</sub>C, (Ti<sub>0.5</sub>Nb<sub>0.5</sub>)<sub>2</sub>C, Ti<sub>3</sub>(C<sub>0.5</sub>N<sub>0.5</sub>), Ti<sub>3</sub>CN, TiNbC, Nb<sub>2</sub>C and Ta<sub>4</sub>C<sub>3</sub> are all suitable electrode materials for LIBs.<sup>36,37</sup> Gogotsi *et al.* demonstrated that 2D Ti<sub>3</sub>C<sub>2</sub> MXene layers could be spontaneously intercalated by Na<sup>+</sup>, K<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, Mg<sup>2+</sup> and Al<sup>3+</sup> ions.<sup>38</sup> They also

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performed calculations and showed that the MXene nanosheet could be utilized as anodes for non-Li-ion batteries.<sup>39</sup>

In recent experiments, two new kinds of MXenes ( $V_2C$ ,  $Nb_2C$ ) have been successfully synthesized for the first time by treating their corresponding MAX phases ( $V_2AlC$ ,  $Nb_2AlC$ ) in aqueous HF.<sup>40</sup> Preliminary experimental studies demonstrated that for  $V_2C$ -based electrodes, reversible capacities of  $260\text{ mA h g}^{-1}$  at 1C and  $125\text{ mA h g}^{-1}$  at 10C could be achieved; while for  $Nb_2C$ -based electrodes,  $170\text{ mA h g}^{-1}$  at 1C and  $110\text{ mA h g}^{-1}$  at 10C were obtained. The measured Li specific capacities are not high enough as expected. For  $V_2C$ , later on, it was clarified that the high capacity of bare  $V_2C$  might be decreased largely by the functional groups which remain after synthesis.<sup>41</sup> Whether a similar scenario also occurs in the case of  $Nb_2C$  is currently not fully understood. In addition, it is worth noting that from low to high charge-discharge rates the capacity of  $Nb_2C$ -based electrodes decreases much slower than the  $V_2C$ -based electrodes, implying a much faster ion diffusion for  $Nb_2C$ . Furthermore, since Nb belongs to the 4d transition metals, the electronic correlation effects could strongly affect the material's chemical and physical properties. All these interesting observations call for a detailed theoretical study to fully investigate the properties of  $Nb_2C$ -based 2D materials.

Motivated by the reasons stated above, in this paper, we systematically investigate the electronic and metal ion storage properties of the  $Nb_2C$  monolayer and its fluorinated and hydroxylated derivatives as anode materials based on first-principles calculations. We determine the structural and electronic properties of the materials before and after adsorption. The adsorption and diffusion of different metal species A (A = Li, Na, K, Be, Mg, Ca, Al) are studied. We find that the bare  $Nb_2C$  monolayer has very good performance as an anode material. Especially, its surface diffusion barrier heights for various ions are all below 0.1 eV which is among the lowest of all the existing 2D materials discovered to date, indicating a very fast ion diffusion. We also show that the bare  $Nb_2C$  monolayer is metallic before and after adsorption, with a very high specific Mg capacity ( $\sim 1084\text{ mA h g}^{-1}$ ) as well as a high Li capacity ( $\sim 542\text{ mA h g}^{-1}$ ). The functional groups greatly reduce the Li or non-Li storage capacities, which should be avoided in experiment as much as possible.

## 2 Computational details

Our calculations are based on density functional theory (DFT) using the plane-wave pseudopotentials<sup>42</sup> with the Perdew–Burke–Ernzerhof (PBE)<sup>43,44</sup> form of exchange–correlation functionals as implemented in the Vienna Ab initio Simulation Package (VASP).<sup>45</sup> A cutoff energy of 600 eV is employed for the plane wave expansion. Considering the strong correlation effects in niobium element, electronic structure calculations and structural relaxations are performed by using a GGA plus Hubbard U (GGA+U)<sup>46,47</sup> method. The  $U$  value of the Nb atom is set to be 6.5 eV.<sup>48</sup> The Brillouin zone is sampled with  $6 \times 6 \times 1$  Monkhorst–Pack  $k$ -point mesh<sup>49</sup> for the structural optimization, and with  $12 \times 12 \times 1$  mesh for the electronic structure calculations. The

convergence criteria for the total energy and ionic forces were set to be  $10^{-4}$  eV and  $0.001\text{ eV \AA}^{-1}$ , respectively.

Possible spin polarization is carefully tested and no spin-polarization effect is observed in our calculation. In addition, concerning the spin–orbital coupling (SOC) effects, we have compared the electronic structures of  $Nb_2C$  monolayer with and without SOC in our calculations. Results show that the SOC effect has little effect on the band structure. Therefore, in the following calculations we do not include the SOC effect.

The atomic model of the free-standing  $Nb_2C$  sheet constructed based on the  $Nb_2AlC$  structure is used as the initial configuration for geometry optimization. Each bare  $Nb_2C$  monolayer consists of a triple-layer stacked in the sequence of Nb(1)–C–Nb(2), which can be viewed as two Nb-atomic layers being cleaved with one C-atomic layer, forming edge-shared  $Nb_2C$  octahedra [Fig. 1(a)]. For the study of metal ion adsorption and diffusion on  $Nb_2C$  and  $Nb_2CX_2$  (X = F, OH) monolayers, a  $4 \times 4$  supercell containing 32 Nb and 16 C atoms is employed in

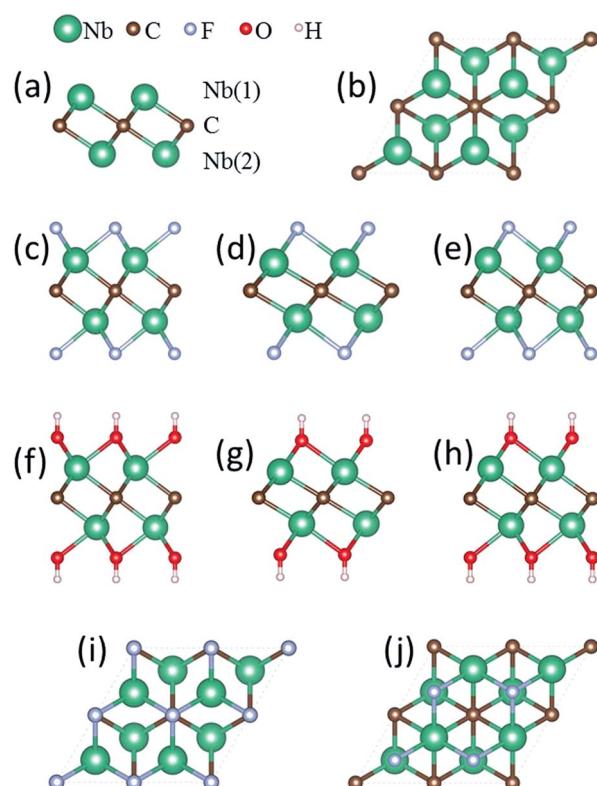


Fig. 1 Optimized lattice structure of bare  $Nb_2C$  monolayer and its fluorinated and hydroxylated derivatives. (a) Side view and (b) top view of the bare  $Nb_2C$  monolayer consisting of a triple atomic layer with Nb(1)–C–Nb(2) stacking sequence. (c–h) Side views of the lattice structures of (c) I- $Nb_2CF_2$ , (d) II- $Nb_2CF_2$ , (e) III- $Nb_2CF_2$ , (f) I- $Nb_2C(OH)_2$ , (g) II- $Nb_2C(OH)_2$  and (h) III- $Nb_2C(OH)_2$ . (i and j) Top views of I- $Nb_2CF_2$  and II- $Nb_2CF_2$ , in which the F groups are on top of the C atoms or above the hollow sites formed by three neighboring C atoms. III- $Nb_2CF_2$  is a combination of I- $Nb_2CF_2$  and II- $Nb_2CF_2$ , in which the F groups are located above the C atoms on one side and at the hollow sites on the other side. The geometric configurations of OH groups for I- $Nb_2C(OH)_2$ , II- $Nb_2C(OH)_2$  and III- $Nb_2C(OH)_2$  resemble those of the corresponding fluorides shown in the figure.

our calculations. A  $2 \times 2$  supercell is utilized for the study of structural and electronic properties, average open circuit voltage, and storage capacity.

### 3 Results and discussion

#### 3.1 Structural and electronic properties of $\text{Nb}_2\text{C}$ and $\text{Nb}_2\text{CX}_2$ ( $\text{X} = \text{F}, \text{OH}$ ) monolayers

We first address the optimized crystal structures and the electronic properties of monolayer  $\text{Nb}_2\text{C}$  as well as its fluorinated and hydroxylated derivatives [denoted as  $\text{Nb}_2\text{CF}_2$  and  $\text{Nb}_2\text{C}(\text{OH})_2$ , respectively]. For a  $2 \times 2$  supercell of the bare  $\text{Nb}_2\text{C}$  monolayer, the optimized lattice parameters are listed in Table 1. The vertical distance between Nb(1)-layer and Nb(2)-layer, *i.e.*, the thickness of the monolayer, is 2.468 Å. The Nb(1)-C bond length is 2.198 Å, the same as that of the Nb(2)-C bond.

The  $\text{Nb}_2\text{CF}_2$  and  $\text{Nb}_2\text{C}(\text{OH})_2$  sheets are constructed by saturating the surface under-coordinated Nb atoms with F and OH, respectively. Three possible configurations are considered: type I: the F or OH groups are above the vertical site of each C atom on both sides of the  $\text{Nb}_2\text{C}$  monolayer [see Fig. 1(c) and (f)]; type II: the F or OH groups are located above each hollow site formed by three neighboring C atoms on both sides of the  $\text{Nb}_2\text{C}$  monolayer [see Fig. 1(d) and (g)]; type III: a mixture of type I and II, in which the F or OH groups are located above the vertical sites of three C atoms on one side while above the hollow sites of C atoms on the other side, forming different layouts on the two sides of the  $\text{Nb}_2\text{C}$  monolayer [see Fig. 1(e) and (h)]. The optimized lattice parameters for each type of configuration are shown in Table 1.

The relative structural stability of the three types of configurations for  $\text{Nb}_2\text{CF}_2$  and  $\text{Nb}_2\text{C}(\text{OH})_2$  can be determined by comparing their total energies. The results show that the type I configuration is energetically the most favorable for both  $\text{Nb}_2\text{CF}_2$  and  $\text{Nb}_2\text{C}(\text{OH})_2$ . Specifically, the total energy of I- $\text{Nb}_2\text{CF}_2$  (denoting the type I structure) is lower than that of II- $\text{Nb}_2\text{CF}_2$  and III- $\text{Nb}_2\text{CF}_2$  by about 0.17 and 0.55 eV per unit cell, respectively. Also, the energy of I- $\text{Nb}_2\text{C}(\text{OH})_2$  is lower than that of II- $\text{Nb}_2\text{C}(\text{OH})_2$  and III- $\text{Nb}_2\text{C}(\text{OH})_2$  by about 0.24 and 0.51 eV per unit cell, respectively. This indicates that both F and OH groups prefer to stay at the top sites of C atoms, rather than staying at the hollow sites. It is also worth noting that for both

derivatives, their type I structures have the largest lattice parameter and the thinnest layer thickness.

In order to be utilized as battery electrode materials, it is desirable for the material to be metallic. Fig. 2 shows the total density of states (DOS) of  $\text{Nb}_2\text{C}$ ,  $\text{Nb}_2\text{CF}_2$  and  $\text{Nb}_2\text{C}(\text{OH})_2$  monolayers. For bare  $\text{Nb}_2\text{C}$ , the resulting DOS in Fig. 2(a) indicates that it is metallic, which is in agreement with previous work.<sup>50</sup> We find that when  $\text{Nb}_2\text{C}$  is passivated by F or OH, the generated  $\text{Nb}_2\text{CF}_2$  or  $\text{Nb}_2\text{C}(\text{OH})_2$  monolayers still maintain metallic properties regardless of the specific adsorption configurations [see Fig. 2(b)–(g)]. This hence indicates that the

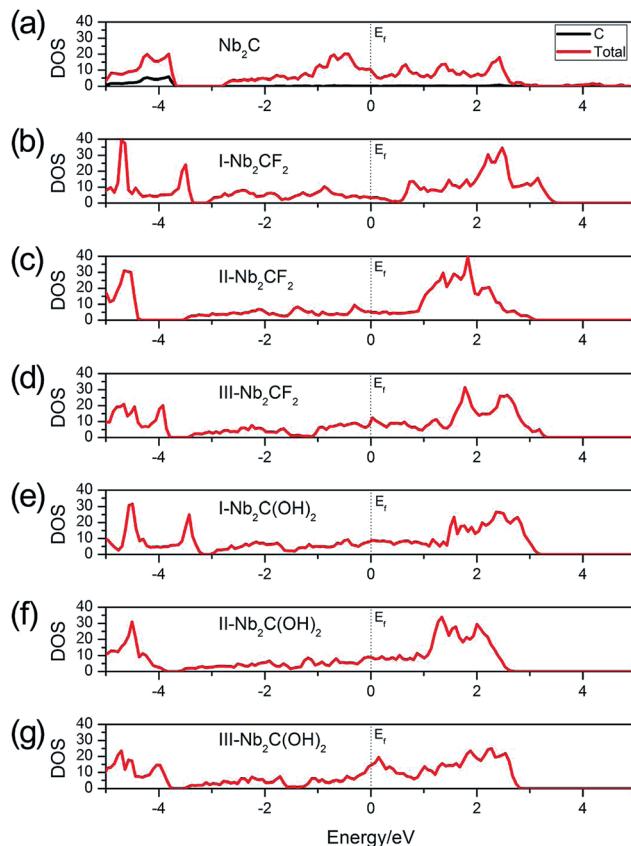


Fig. 2 Total DOS of (a)  $\text{Nb}_2\text{C}$ , (b) I- $\text{Nb}_2\text{CF}_2$ , (c) II- $\text{Nb}_2\text{CF}_2$ , (d) III- $\text{Nb}_2\text{CF}_2$ , (e) I- $\text{Nb}_2\text{C}(\text{OH})_2$ , (f) II- $\text{Nb}_2\text{C}(\text{OH})_2$  and (g) III- $\text{Nb}_2\text{C}(\text{OH})_2$ . The Fermi levels are set to zero.

Table 1 The optimized structural parameters for the bare  $\text{Nb}_2\text{C}$  monolayer and its fluorinated and hydroxylated derivatives. The lengths are in Å

Parameter	Bond length		Thickness
	<i>a, b</i>	Nb(1)-C/Nb(2)-C	
$\text{Nb}_2\text{C}$	6.302	2.198/2.198	2.468
I- $\text{Nb}_2\text{CF}_2$	6.526	2.188/2.188	4.984
II- $\text{Nb}_2\text{CF}_2$	6.100	2.227/2.227	5.592
III- $\text{Nb}_2\text{CF}_2$	6.200	2.250/2.164	5.492
I- $\text{Nb}_2\text{C}(\text{OH})_2$	6.500	2.197/2.197	6.979
II- $\text{Nb}_2\text{C}(\text{OH})_2$	6.176	2.237/2.237	7.435
III- $\text{Nb}_2\text{C}(\text{OH})_2$	6.280	2.255/2.174	7.326

bare  $\text{Nb}_2\text{C}$  monolayer and its fluorinated and hydroxylated derivatives all satisfy the requirement of being metallic for electrode materials. Fig. 2(a) also shows that the C atoms have almost no contribution to states near the Fermi level, namely, the low-energy carriers are originated from Nb atoms. We note that neglecting the correlation effect on Nb atoms would lead to insulating phases for the two derivatives,<sup>40</sup> which indicates the importance of electronic correlation effects in the current system. Due to the above analysis, we will mainly focus on the most stable structures, *i.e.* the type I structures for the two derivatives  $\text{Nb}_2\text{CF}_2$  and  $\text{Nb}_2\text{C(OH)}_2$  in the following investigations.

### 3.2 Metal atom adsorption on $\text{Nb}_2\text{C}$ and on $\text{Nb}_2\text{CX}_2$ ( $\text{X} = \text{F}, \text{OH}$ ) monolayers

Since the  $\text{Nb}_2\text{C}$  monolayer and its fluorinated or hydroxylated derivatives are all metallic, in the following, we explore the possibility of these materials to be utilized as electrode materials for Li- and non-Li-ion batteries by investigating their adsorption of the metal species A ( $\text{A} = \text{Li}, \text{Na}, \text{K}, \text{Be}, \text{Mg}, \text{Ca}, \text{Al}$ ). To this end, the first step is to analyze the adsorption energy and to determine the most favorable adsorption site. Herein, a  $4 \times 4$  supercell is used to study the adsorption of one A atom on the surface, corresponding to the chemical stoichiometries of  $\text{Nb}_{32}\text{C}_{16}\text{A}$ ,  $\text{Nb}_{32}\text{C}_{16}\text{F}_{32}\text{A}$  and  $\text{Nb}_{32}\text{C}_{16}(\text{OH})_{32}\text{A}$ , respectively, for the three materials. Three different adsorption sites with high symmetry are considered in our calculations: (i) top site of C atom (which is above the F atom for I- $\text{Nb}_2\text{CF}_2$ , and above the OH group for I- $\text{Nb}_2\text{C(OH)}_2$ ); (ii) top site of Nb(1) atom; (iii) top site of Nb(2) atom.

Here, the adsorption energy ( $E_{\text{ad}}$ ) of an A atom on the monolayer is defined as:

$$E_{\text{ad}} = E_{\text{Nb}_{32}\text{C}_{16}\text{X}_{32}\text{A}(\text{X}=\square, \text{F}, \text{OH})} - E_{\text{Nb}_{32}\text{C}_{16}\text{X}_{32}(\text{X}=\square, \text{F}, \text{OH})} - E_{\text{A}}, \quad (1)$$

where  $E_{\text{A}}$  is the energy per atom in the bulk metal of A,  $E_{\text{Nb}_{32}\text{C}_{16}\text{X}_{32}\text{A}(\text{X}=\square, \text{F}, \text{OH})}$  and  $E_{\text{Nb}_{32}\text{C}_{16}\text{X}_{32}(\text{X}=\square, \text{F}, \text{OH})}$  are the total energies of the  $\text{Nb}_{32}\text{C}_{16}\text{X}_{32}$  ( $\text{X} = \square, \text{F}, \text{OH}$ ) monolayer with and without A adsorption. The symbol “ $\square$ ” here means the bare monolayer without functional groups.

A negative value of  $E_{\text{ad}}$  indicates that the corresponding atom A prefers to adsorb on the monolayer, instead of forming a metal cluster. The adsorption energies calculated for different adsorption sites are plotted in Fig. 3. One observes that for I- $\text{Nb}_2\text{CF}_2$ , only Na and K can adsorb on the monolayer (for Na, only the top site of Nb(2) works), while other adatoms tend to form metal clusters by themselves. For I- $\text{Nb}_2\text{C(OH)}_2$ , all the adsorption energies are positive indicating that none of the atoms can get adsorbed. Therefore the presence of F/OH groups on the surface would significantly degrade the storage capacity of  $\text{Nb}_2\text{C}$ . This is similar to the cases of other MXene materials.<sup>35,41</sup>

Fortunately, for the bare  $\text{Nb}_2\text{C}$  monolayer, almost all the adatoms prefer to adsorb on its surface as indicated by the negative adsorption energy values in Fig. 3(a), except for Be and Al which have relatively small  $E_{\text{ad}}$ . The result indicates that the bare  $\text{Nb}_2\text{C}$  monolayer possesses the possibility to be utilized as an electrode material for the A'-ion batteries with ( $\text{A}' = \text{Li}, \text{Na}, \text{K}, \text{Mg}, \text{Ca}$ ). Further, by comparing the adsorption energies for different sites, one can determine the most favorable adsorption site for each element. Specifically, the most favorable adsorption sites for Li, Na, K, Mg and Ca are on top of C, Nb(2), Nb(2), C and C, respectively. In the following calculations, we will focus on the adsorption of Li, Na, K, Mg and Ca atoms onto the bare  $\text{Nb}_2\text{C}$  monolayer.

We next present the optimized structures for A'-adsorbed  $\text{Nb}_2\text{C}$  monolayers ( $\text{A}' = \text{Li}, \text{Na}, \text{K}, \text{Mg}, \text{Ca}$ ). As shown in Fig. 4, for the cases of Na- and K-adsorption, the distances along the *c*-axis between Nb(2) and Na/K (or between C and Na/K) are 5.209/

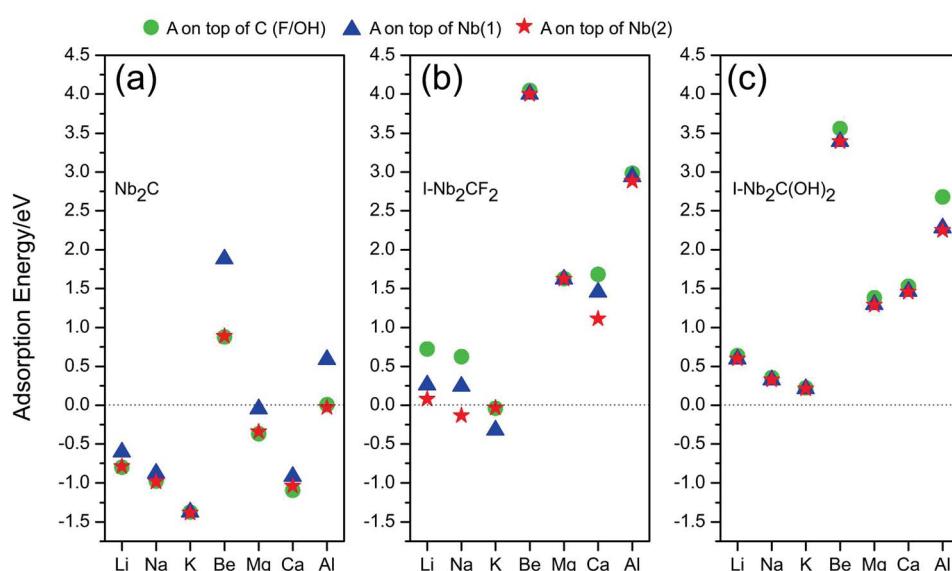


Fig. 3 Adsorption energies for atom species A ( $\text{A} = \text{Li}, \text{Na}, \text{K}, \text{Be}, \text{Mg}, \text{Ca}, \text{Al}$ ) on (a)  $\text{Nb}_2\text{C}$ , (b) I- $\text{Nb}_2\text{CF}_2$  and (c) I- $\text{Nb}_2\text{C(OH)}_2$  at different adsorption sites.

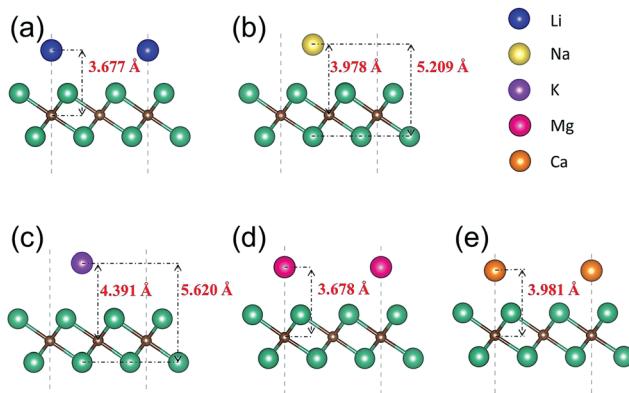


Fig. 4 Side views of the optimized structures of  $\text{Nb}_2\text{C}$  monolayers after adsorption with (a) Li, (b) Na, (c) K, (d) Mg and (e) Ca.

Table 2 Bader charge analysis. The number of valence electrons taken into account in the calculation for Li, Na, K, Mg, Ca, Nb and C are 1, 1, 9, 2, 10, 13 and 4, respectively

	Average charge state		
	Nb	C	$\text{A}'$
$\text{Nb}_2\text{C}$	11.91	6.18	
$\text{Nb}_2\text{C} + \text{Li}$	12.02	6.18	0.16
$\text{Nb}_2\text{C} + \text{Na}$	11.99	6.18	0.32
$\text{Nb}_2\text{C} + \text{K}$	11.99	6.18	8.32
$\text{Nb}_2\text{C} + \text{Mg}$	12.00	6.17	1.31
$\text{Nb}_2\text{C} + \text{Ca}$	12.03	6.17	9.06

5.620 Å (or 3.978/4.391 Å), respectively. The adsorption height of K is larger than that of Na. For Li-, Mg- and Ca-adsorbed configurations, the distances along the *c*-axis between C and Li/Mg/Ca are 3.677/3.678/3.981 Å, respectively. To further investigate the charge transfer during the adsorption process, we carry out the Bader charge analysis. The results are listed in Table 2. One can see that in general the adatoms lose electrons, which are mostly transferred to the C atoms in the layer. To be specific, the Li atom transfers about 0.84e to the bare  $\text{Nb}_2\text{C}$  monolayer, and the transferred charges for Na/K/Mg/Ca are about 0.68/0.68/0.69/0.94e, respectively. This indicates that the atoms are chemically adsorbed on  $\text{Nb}_2\text{C}$  and they form chemical compounds, corresponding to the redox reaction similar to other electrode materials.

After adsorption, whether the monolayer would maintain a metallic character or become an insulator is important for its electrode performance. To answer this question, we calculate the total DOS of the  $\text{A}'$ -adsorbed  $\text{Nb}_2\text{C}$  monolayer. The results are shown in Fig. 5. We can see that, as desired for a good electrode material, the system remains metallic after adsorption with any kind of the  $\text{A}'$  atoms ( $\text{A}' = \text{Li}, \text{Na}, \text{K}, \text{Mg}, \text{Ca}$ ).

### 3.3 Ion diffusion on $\text{Nb}_2\text{C}$ monolayer

Next, we turn to study the ion diffusion of  $\text{A}'$  ( $\text{A}' = \text{Li}, \text{Na}, \text{K}, \text{Mg}, \text{Ca}$ ) on the surface of the  $\text{Nb}_2\text{C}$  monolayer. The climbing-image

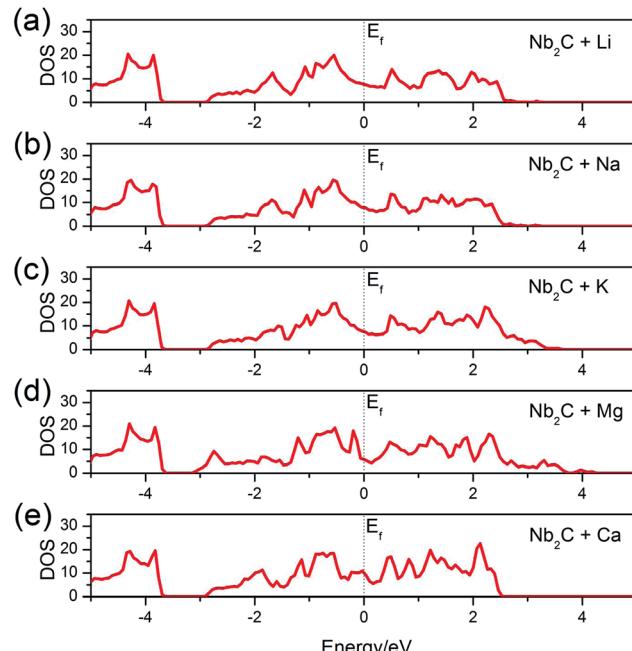


Fig. 5 Total DOS of the bare  $\text{Nb}_2\text{C}$  monolayer after (a) Li, (b) Na, (c) K, (d) Mg and (e) Ca adsorption. The dotted vertical lines denote the Fermi levels.

nudged elastic band (NEB) method<sup>51</sup> is used to seek the saddle points and the minimum energy path and to determine the diffusion barrier height. The most stable adsorption configuration (which is determined for each atom species in the previous section) is taken as the initial state. The configurations with  $\text{A}'$  adsorption at the nearest-neighboring most stable site is chosen to be the final state. Fig. 6 shows the calculated diffusion energy profiles and the corresponding migration pathways. Our results show that the diffusion barriers are 0.032 eV (for Li), 0.015 eV (for Na), 0.004 eV (for K), 0.096 eV (for Mg) and 0.039 eV (for Ca), respectively. These low energy barriers indicate fast ion diffusion. For Li, Mg and Ca [Fig. 6(a), (e) and (f)], the diffusion paths are almost the same, from the  $\text{T}_1$  site to the neighboring  $\text{T}_1'$  site passing through the  $\text{T}_2$  site [see Fig. 6(c)]. These paths clearly show two saddle points. For Na and K, their diffusion paths are from  $\text{T}_2$  to  $\text{T}_2'$ . The path for Na has two saddle points and it passes through the  $\text{T}_3$  site. Meanwhile, one observes that the path for K goes from  $\text{T}_2$  to  $\text{T}_2'$  almost directly with only one saddle point located, which might be due to its very small barrier height (0.004 eV). Overall, the diffusion barrier heights are very low, indicating that all the  $\text{A}'$  atoms could have fast diffusion on the surface of the  $\text{Nb}_2\text{C}$  monolayer, which is advantageous for applications as electrode materials.

We stress that all these diffusion barrier heights are less than 0.1 eV, which are among the lowest of the available anode materials. For example, in terms of diffusion barrier heights, we have for  $\text{Ti}_3\text{C}_2$  (0.068 eV for Li,<sup>3,35</sup> 0.096 eV for Na, 0.103 eV for K and 0.118 eV for Ca<sup>3</sup>), silicene (0.28 eV for Li,<sup>52</sup> 0.25 eV for Na,<sup>53</sup> 0.11 eV for K<sup>54</sup>), graphene<sup>55,56</sup> (>0.3 eV for Li, ~0.10 eV for Na, K, Mg, Ca,  $\text{Al}$ <sup>57,58</sup>), phosphorene (0.13–0.76 eV for Li,<sup>59,60</sup> 0.04 eV for Na,<sup>61</sup> 0.30 eV for Mg and 0.69 eV for  $\text{Al}$ <sup>62</sup>),  $\text{Mo}_2\text{S}$  (0.21 eV for Li,<sup>21</sup>

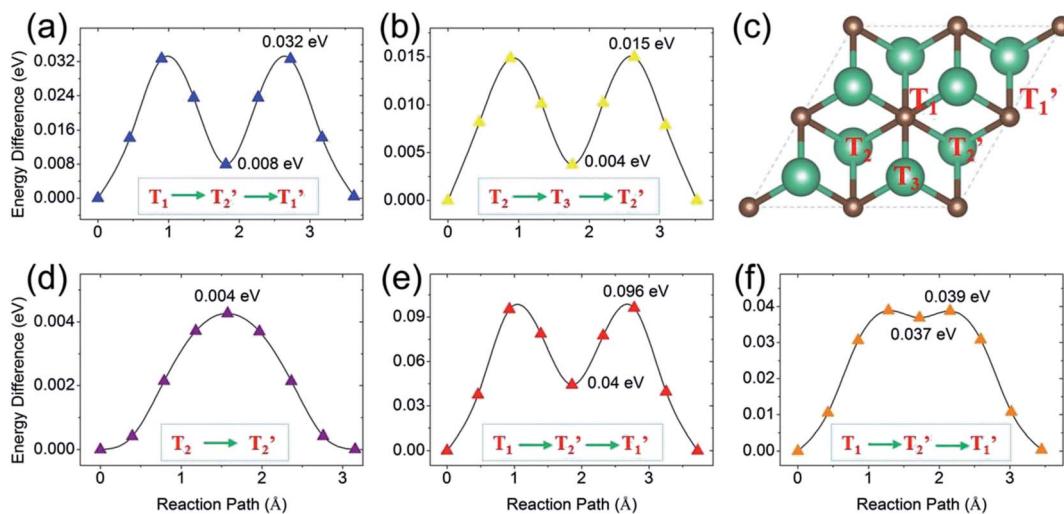


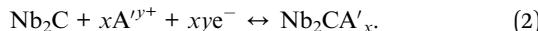
Fig. 6 The diffusion barrier profiles of (a) Li, (b) Na, (d) K, (e) Mg and (f) Ca on the  $\text{Nb}_2\text{C}$  monolayer. The migration paths for  $\text{A}'$  diffusion are shown in the inset of each panel. (c) labelling of the high symmetry points appearing in the diffusion paths.  $\text{T}_1$  and  $\text{T}_1'$  represent the two sites on top of two neighboring C atoms.  $\text{T}_2$  and  $\text{T}_2'$  represent the two sites on top of two neighboring Nb(2) atoms.  $\text{T}_3$  represents the site on top of the Nb(1) atom.

0.28 eV for Na,<sup>63</sup> 0.48 eV for Mg<sup>64</sup>),  $\text{TiO}_2$ -based polymorphs (0.3–0.65 eV for Li,<sup>65,66</sup> 0.4 eV for  $\text{Na}^{67}$ ), and the commercialized graphite (0.2–0.4 eV for Li<sup>68</sup>). All these are larger than the corresponding values of  $\text{Nb}_2\text{C}$ .

Experimentally it has been found that the reversible capacities of the  $\text{Nb}_2\text{C}$ -based electrode are 170 and 110  $\text{mA h g}^{-1}$  obtained at 1C and 10C respectively, while for  $\text{V}_2\text{C}$ -based electrode, the two values are 260 and 125  $\text{mA h g}^{-1}$  respectively.<sup>40</sup> The reversible capacity of  $\text{Nb}_2\text{C}$ -based electrodes is 65% of that of  $\text{V}_2\text{C}$ -based electrode at 1C, and this percentage is increased to 88% at 10C. According to our results above, the experimental observation might be attributed to the faster Li diffusion on  $\text{Nb}_2\text{C}$  surface than on  $\text{V}_2\text{C}$  surface (barrier height  $\sim 0.045$  eV for  $\text{V}_2\text{C}^{41}$ ).

### 3.4 Average open circuit voltage and storage capacity of $\text{Nb}_2\text{C}$ monolayer

The average open circuit voltage and the storage capacity are important characteristics for battery electrode materials. To estimate the maximum possible capacity for  $\text{A}'$  atom adsorption, we still employ the  $2 \times 2$  supercell and increase concentration of  $\text{A}'$  atoms adsorbed on both sides of the host  $\text{Nb}_2\text{C}$  monolayer. Here we assume the following half-cell reaction *vs.*  $\text{A}'/\text{A}'^+$ :<sup>69,70</sup>



The difference in total energies before and after  $\text{A}'$  intercalation is used to determine the average open circuit voltage. The volume and entropy effects are usually negligible during the reaction. The average open circuit voltage can be approximately computed from the energy difference:

$$V_{\text{ave}} = (E_{\text{Nb}_2\text{C}} + xE_{\text{A}'} - E_{\text{Nb}_2\text{CA}'_x})/x\text{ye} \quad (3)$$

where  $E_{\text{Nb}_2\text{C}}$  and  $E_{\text{Nb}_2\text{CA}'_x}$  are the total energies of  $\text{Nb}_2\text{C}$  without and with  $\text{A}'$  intercalation, and  $E_{\text{A}'}$  is the energy per atom in the bulk metal  $\text{A}'$ .

We first take the Li case as an example to demonstrate the calculation of the average open circuit voltage and the storage capacity. In this case, the adsorption sites of the first Li layer are at the top sites of C atoms, because they correspond to the most stable sites for Li adsorption onto the bare  $\text{Nb}_2\text{C}$  monolayer. From symmetry, the Li layer could form on both sides of the  $\text{Nb}_2\text{C}$  monolayer. After the first-layer adsorption, the second layer Li atoms are adsorbed on the top sites of Nb(2) atoms (also on both sides). To evaluate the interaction between the host material and the Li layers, we calculate the layer-resolved average adsorption energy ( $E_{\text{ave}}^n$ ) of Li atoms in the  $n$ -th layer, which is defined as

$$E_{\text{ave}}^n = (E_{\text{Nb}_8\text{C}_4\text{Li}_{n \times 8}} - E_{\text{Nb}_8\text{C}_4\text{Li}_{(n-1) \times 8}} - 8E_{\text{Li}})/8, \quad (4)$$

where  $E_{\text{Li}}$  is the energy per atom in the bulk metal Li,  $E_{\text{Nb}_8\text{C}_4\text{Li}_{n \times 8}}$  and  $E_{\text{Nb}_8\text{C}_4\text{Li}_{(n-1) \times 8}}$  are the total energies of the monolayer with  $n$  and  $(n-1)$  Li layers, respectively. The number '8' here corresponds to the totally eight adsorbed Li atoms in each Li layer (for one supercell on both sides). The calculated average adsorption energies for the first and the second layer are -0.50 and -0.02 eV, respectively. When still more Li layers are adsorbed, the layer-resolved adsorption energy will turn to be positive. It implies that then the Li metal cluster will begin to form, which prevents the further adsorption of the third Li layer. Hence the adsorption of Li atoms reach saturation after the formation of the second layer. Therefore, the  $2 \times 2$  supercell could accommodate up to 16 Li atoms, and the corresponding chemical stoichiometry is  $\text{Nb}_2\text{CLi}_4$ . The evaluated average open circuit voltage decreases from 0.65 to 0.25 V with the increase of the adsorbed Li atoms. Compared with that of  $\text{Ti}_3\text{C}_2\text{Li}_2$  (0.62 V<sup>35</sup>), the average open circuit voltage values of  $\text{Nb}_2\text{CLi}_4$  are much

lower. The corresponding theoretical specific capacity of  $\text{Nb}_2\text{C}$  as electrodes for LIBs is  $542 \text{ mA h g}^{-1}$ , which is much larger than that of graphite ( $372 \text{ mA h g}^{-1}$  for  $\text{LiC}_6$ ). We note that although the Li storage capacity of  $\text{Nb}_2\text{C}$  is lower than that of  $\text{V}_2\text{C}$ ,<sup>41</sup> they in fact both accommodate 16 Li atoms in one  $2 \times 2$  supercell. The difference is due to the fact that the element Nb is heavier than V. This is in agreement with the corresponding experimental findings.<sup>40</sup> We also mention that the experimentally measured charge/discharge curves correspond to a dynamical process hence cannot be accurately determined by the equilibrium DFT approach employed here. Nevertheless, the obtained average open circuit voltages (corresponding to the endpoints of that curve) do provide a good estimate for the different materials.

For the rest of A' atoms, namely Na, K, Mg and Ca atoms, we perform the calculations following the procedures described above. For Na and K, their chemical valences are both +1. We find that one supercell could accommodate up to 8 Na atoms and 4 K atoms, respectively. The corresponding chemical stoichiometries are  $\text{Nb}_2\text{CNa}_2$  and  $\text{Nb}_2\text{CK}_1$ . The calculated average open circuit voltages and their corresponding theoretical specific capacities are 0.27 V and  $271 \text{ mA h g}^{-1}$  for  $\text{Nb}_2\text{CNa}_2$ , and 0.29 V and  $136 \text{ mA h g}^{-1}$  for  $\text{Nb}_2\text{CK}_1$ . As for Mg and Ca, their chemical valences are both +2. We find the bare  $\text{Nb}_2\text{C}$  supercell could accommodate up to 16 (two layers) Mg atoms but only 4 Ca atoms, respectively, with corresponding chemical stoichiometries  $\text{Nb}_2\text{CMg}_4$  and  $\text{Nb}_2\text{CCa}_1$ . The calculated average open circuit voltages and their corresponding theoretical specific capacities are 0.18 V and  $1084 \text{ mA h g}^{-1}$  for  $\text{Nb}_2\text{CMg}_4$ , and 0.06 V and  $271 \text{ mA h g}^{-1}$  for  $\text{Nb}_2\text{CCa}_1$ . We note that although  $\text{Nb}_2\text{C}$  shows a fairly good capacity for Ca, when further considering the solid electrolyte interface (SEI) formation, due to the very low average open circuit voltage (0.06 V) for  $\text{Nb}_2\text{CCa}_1$ , the bare  $\text{Nb}_2\text{C}$  might not be a suitable anode material for Ca-ion batteries.

Typically the experimental samples would be a mixture of monolayer, few-layer, and even bulk materials. From experiment, it is observed that the interlayer distance is around  $4 \text{ \AA}$ , which limits the amount of ions intercalated between the layers (and also decreases the diffusion efficiency). Therefore, to enhance the performance, appropriate methods need to be developed to decrease the thickness and to expose more surface area of the material.

From the above discussion, concerning the average open circuit voltage and the specific capacity, the bare  $\text{Nb}_2\text{C}$  monolayer is most suitable for anode materials of Li-ion and Mg-ion batteries.

## 4 Conclusions

In summary, we have studied the electronic properties and Li or non-Li storage capabilities of monolayer  $\text{Nb}_2\text{C}$  and its fluoride and hydroxide materials. We find that the  $\text{Nb}_2\text{C}$  monolayer and its derived  $\text{Nb}_2\text{CF}_2$  and  $\text{Nb}_2\text{C(OH)}_2$  in their the most stable configurations are all metallic before and after metal atom adsorption, which is the desired property of battery electrode materials. Among all the searched secondary batteries, the bare

$\text{Nb}_2\text{C}$  monolayer is most suitable as anode materials for Li-ion and Mg-ion batteries. It shows fast ion diffusion with very low diffusion barrier heights ( $<0.1 \text{ eV}$ ) and high storage capacities (with theoretical value  $542 \text{ mA h g}^{-1}$  for Li and  $1084 \text{ mA h g}^{-1}$  for Mg). In addition, we find that the functional groups tend to reduce the Li or non-Li capacity almost completely, which needs be avoided in experiment as much as possible. Moreover, the average intercalation potentials for  $\text{Nb}_2\text{C}$ -based materials are also found to be relatively low. Our results suggest that 2D  $\text{Nb}_2\text{C}$  is a promising anode material for Li- and non-Li-ion batteries.

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