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# Solving freshwater conservation challenges through next-generation sequencing approaches

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Freshwater ecosystems face numerous conservation challenges due to anthropogenic pressures and environmental changes, necessitating advanced monitoring methods for effective conservation strategies. Traditional monitoring approaches have limitations, including low resolution and the inability to address emerging threats or understand the structure–function relationship within ecosystems. This paper explores how Next-Generation Sequencing (NGS) approaches can revolutionize freshwater conservation efforts by integrating unbiased molecular insights into biomonitoring. By leveraging NGS methods a comprehensive understanding of ecosystem dynamics can be achieved. The paper emphasizes the critical link between microbial community composition and ecosystem functioning, highlighting the assessment of functional diversity and activity as key metrics in evaluating ecosystem health. The significant advancements NGS brings to the field enable a proactive approach to conservation strategies and informed management decisions. This paper provides a comprehensive overview of the importance and advancements in integrating NGS methods, marking a paradigm shift in conservation practices and leveraging cutting-edge technologies to safeguard the integrity and resilience of freshwater ecosystems for future generations.

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## Environmental significance

Freshwater ecosystems are under increasing threat from anthropogenic pressures and environmental changes, necessitating innovative monitoring approaches for effective conservation. In this perspective paper, we delve into the transformative potential of Next-Generation Sequencing (NGS) in freshwater conservation while critically examining the limitations of traditional biomonitoring methods. NGS, by offering comprehensive molecular insights into ecosystem dynamics, addresses key constraints of conventional biomonitoring and enhances our understanding of community composition and ecosystem functioning. These advancements empower us to adopt proactive conservation measures and make informed decisions regarding ecosystem management. This paper underscores the importance of integrating NGS methods in freshwater biomonitoring and elucidates how this integration revolutionizes conservation practices, ensuring the sustainability of freshwater ecosystems for future generations.

## 1. Introduction

Although freshwater systems occupy only 2.3% of the global land surface and comprise only 0.01% of the water on Earth,<sup>1</sup> these systems harbor a disproportionately high fraction of global biodiversity with nearly 9.5% of Earth's described animal species, including one-third of all described vertebrates.<sup>2</sup> This biodiversity is of significant relevance in maintaining and supporting ecological health while providing valuable and essential goods and services to society.<sup>3</sup> Between 1970 and 2018, an average decline of 69% was recorded for wildlife populations (based on the Living Planet Index (LPI); average change in the

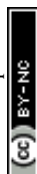
relative abundance of 31 821 populations, representing 5230 species monitored across the globe). These losses are particularly pronounced in freshwater ecosystems,<sup>4–7</sup> and recent estimates suggest that at least 10 000 to 20 000 freshwater species have gone extinct in the last century or are at risk of extinction,<sup>2,8</sup> with loss rates about five times greater than the corresponding rate for terrestrial fauna.<sup>8,9</sup>

The very high risk of biodiversity losses in freshwater ecosystems is due to the convergences of high biodiversity and the plethora of hazards posed by human exploitation, including water supplies, irrigation, electricity generation, waste disposal, and transportation.<sup>9–12</sup> Examples of significant global pressures on freshwater ecosystems include non-chemical stress factors such as habitat degradation, altered flows, invasive species, and climate change.<sup>5,9</sup> In addition, chemical pollution has been shown to cause detrimental effects on freshwater communities and their functions.<sup>9,13</sup> While the main drivers and their interactions leading to biodiversity loss are complex and

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multifaceted, it is crucial to discern the primary causes to identify and implement effective mitigation strategies.<sup>5</sup>

Protecting these valuable ecosystems and maintaining the goods and services they provide to humans necessitates understanding how human activities disrupt natural processes and implementing conservation and legislative actions to halt their increasing degradation.<sup>14,15</sup> While classical methods in biomonitoring, based on the presence or absence of specific taxonomic groups, have been useful for assessing the general health status of ecosystems, their interpretation can be challenging when deriving appropriate restoration measures due to high variability and technical difficulties. Recent scientific progress has introduced novel genomics approaches and technologies with high potential to revolutionize ecosystem health assessments, such as eDNA barcoding (*i.e.*, eDNA used for individual species identification/detection; *e.g.*, Helmer *et al.*, 2023 (ref. 16)), eDNA metabarcoding (*i.e.*, simultaneous detection of multiple species in an environmental sample using amplicon sequencing; *e.g.*, Sales *et al.*, 2020 (ref. 17)), metagenomics (*i.e.*, study of genetic material directly recovered from environmental samples through shotgun sequencing that involves the direct sequencing of total eDNA; *e.g.*, Rehman *et al.*, 2020 (ref. 18)), or metatranscriptomics (*i.e.*, analysis of RNA transcripts from communities to assess their gene expression and metabolic activities; *e.g.*, Bergsveinson *et al.*, 2020 (ref. 19)). Addressing the question of how recent technological advances can better elucidate the effects of environmental pollution on biodiversity in freshwater ecosystems and facilitate the identification of stressors to aid in determining appropriate management measures is the focus of this paper. The subsequent sections (1) outline conventional methods employed in biomonitoring and biodiversity assessments, emphasizing their constraints, (2) introduce the field of molecular biological investigation of taxonomic and functional biodiversity, and (3) explore how these emerging techniques can contribute to the assessment of the ecological status of freshwater systems.

## 2. Monitoring of freshwater ecosystems: traditional approaches & their limitations

The severe threats numerous freshwater ecosystems face worldwide have prompted increased recognition of the urgent need for their protection and restoration by policymakers and managers. Consequently, various regional, national, and international environmental policies have been developed, such as the Clean Water Act in the United States (CWA, 33 U.S.C. §1251 et seq. 1972), the Canadian Environmental Protection Act (S.C. 1999, c. 33), the Urban Wastewater Treatment Directive (91/271/EEC), and the European Union (EU) Water Framework Directive (WFD, 2000/60/EC).<sup>20</sup> However, to effectively enforce legislation through appropriate management measures, it is crucial to efficiently and objectively assess the health status of freshwater ecosystems and quantify the impacts that natural and anthropogenic stressors have on these systems.

For aquatic ecosystems, the assessment of their status as well as their rate of change commonly involves physical, chemical, and biological measurements, as these parameters are intended to provide the full range of information needed to enable decision-making for improving ecological status and ensuring long-term sustainable use.<sup>21,22</sup> The chemical status of freshwater ecosystems can be assessed by monitoring the presence and concentrations of chemical constituents. However, the sole quantification of chemical constituents does not necessarily allow to predict biological hazards or risks due to the complex dynamics of exposure in natural systems. Hence, an assessment of the biological status (*i.e.*, through biomonitoring) is required.<sup>23</sup> Consequently, the assessment of bioindicators plays a pivotal role in environmental protection strategies. Bioindicators, characterized as organisms or biological responses that mirror the health of an ecosystem and its reactions to environmental shifts, serve as integrative indicators of a given ecosystem's physical and chemical attributes across temporal and spatial scales.<sup>24</sup>

The incorporation of ecosystem integrity to guide management decisions (*e.g.*, biological quality elements under the EU WFD, or valued components (VC) under the Canadian Environmental Assessment Act, (2012)) represents an important shift in the way surface water status is assessed and has led to substantial improvements in understanding how ecosystems respond to natural as well as anthropogenic stress. However, the past decades have also revealed significant limitations of traditional biomonitoring approaches (*e.g.*, lack of sufficiently informative indicators, low resolution, time and cost-intensive). Against the backdrop of increasing pressure on our freshwater ecosystems, it is therefore of particular value to address these limitations and further improve the biomonitoring process. In the subsequent sections, major challenges and uncertainties, but also opportunities for future biomonitoring approaches are discussed.

### 2.1 Low resolution and emerging threats in freshwater ecosystems

Methods currently used for ecosystem biomonitoring follow a traditional approach based on sampling at the local scale that is often limited to specific groups of organisms, such as macroinvertebrates, algae, and fish. The assessment of these organisms is based on their direct observation, which is costly in time and resources and depends on taxonomic expertise, which has become increasingly rare in recent years. The premise underlying this approach is that the presence or absence of certain indicator species at a given site reflects its environmental quality. In the past, particular emphasis was placed on nutrient pollution and the associated eutrophication of water bodies as a dominant factor of stress.<sup>25</sup> This is reflected by the classical biomonitoring methods that are still in use today. Many biomonitoring metrics are based on known or assumed oxygen demands of individual species, which in turn are often derived from the former saprobic system, including algae, invertebrates, mollusks, and to some extent fish.<sup>20</sup> The saprobic system is a biological classification system that is used



to describe the oxygen content of watercourses, which is significantly influenced by the degree of pollution with organic constituents (*i.e.* nutrients) that are biodegradable under oxygen depletion. Different pollution intensities lead to unique biotic communities. Pollution levels are evaluated by the saprobic system through analyzing the respective biotic communities both in terms of their composition and abundance, allowing for categorization based on saprobic index values at different locations.<sup>26</sup> However, the saprobic system has limited applicability to assessing the increasing contamination with emerging pollutants, including pharmaceutical and personal care products (PPCPs), pesticides, endocrine-disrupting compounds (EDCs), nanomaterials, and microplastics, have been identified recently. These pollutants pose a challenge for traditional assessment methods because they represent a more complex array of stressors beyond the traditional concerns associated with nutrient pollution. Consequently, many monitoring studies now lack sufficiently informative indicators,<sup>27–29</sup> and the number of species considered is rarely large enough to identify and isolate specific stressors,<sup>30</sup> as the information content of classical biomonitoring studies is insufficient to map comparable variability. This issue is exacerbated by financial and time constraints, which lead to a further reduction in taxonomic depth by limiting the determination to a specific taxonomic level (*e.g.*, family-level identification).<sup>30</sup> As a result, the spatial and temporal coverage of biomonitoring programs is often limited.<sup>25</sup> Furthermore, focusing exclusively on specific taxonomic groups as indicators does not provide coverage of the full range of species and their interactions within an ecosystem. Therefore, the consequences of certain stressors can be overseen and rarely be predicted, as they can have unintended consequences on disregarded taxa that propagate across the network of species interactions at different spatial and temporal scales.<sup>31</sup>

Biomonitoring studies must adequately reflect the state of the ecosystem, requiring holistic approaches. Understanding biodiversity patterns as well as their dynamics is crucial to protect rare species or to mitigate the consequences of shifts in species distributions to ensure the conservation and sustainable management of these ecosystems in the long term. Given the research findings of recent years, the current dominance of taxonomy-based community metrics will undoubtedly be increasingly challenged. Powerful molecular techniques based on new sequencing technologies have not only begun to provide alternative species recognition tools but are also giving scientists unprecedented new insights into the hidden biological diversity within ecosystems, that is not visible to the naked eye.

## 2.2 The structure–function discrepancy

Besides the lack of depth of information discussed in the previous section, common monitoring approaches mostly focus on structural changes but do not include functional changes. The term “structure” in the context of ecosystem monitoring refers to the physical and chemical conditions as well as the biological structure, such as biodiversity and/or community composition in a given ecosystem. Linked to this is ecosystem

functioning, which has become a prominent term in ecology. Yet, the term “function” has been used with a variety of meanings in the past. Function may refer to multiple processes occurring within the ecosystem, the roles of individual species, the functioning of the ecosystem itself as a complex system of interactions, or the rates, patterns, and relative importance of ecosystem services provided by them.<sup>32,33</sup> Ecosystem services thereby are defined as “the benefits people obtain from ecosystems”,<sup>5</sup> including provisioning services (*e.g.*, food, fibre, biochemicals, fresh water), regulating services (*e.g.*, air quality regulation, climate regulation, water regulation, pollination, pest regulation), cultural services (*e.g.*, recreation and ecotourism, educational values, aesthetic values), and supporting services (*e.g.*, soil formation, photosynthesis, primary production, nutrient cycling, water cycling).

As described within the Millennium Ecosystem Assessment (2005), biodiversity depends on structural and functional variability, which is why information on both is required to adequately characterize ecosystem health. The importance of this becomes particularly clear given that some stressors can cause changes in structure but not in function, and *vice versa*.<sup>32,34</sup> Although it seems intuitively plausible that a diverse community supports the maintenance of a variety of ecosystem services, research has shown that focusing on structural parameters is not sufficient to predict the consequences of biodiversity loss on ecosystem services.<sup>35,36</sup> Even though structure and functioning are influencing each other, their relationship is not straightforward. Commonly, a positive biodiversity and ecosystem functioning relationship is suggested and three primary mechanisms are thought to result in beneficial effects of diversity on ecosystem processes: (1) niche complementarity, (2) positive selection effects, and (3) facilitation. The positive selection effect (also referred to as the sampling effect) describes the increased probability of species occurrence with very specific properties within species-rich communities.<sup>37</sup> In contrast, niche complementarity occurs when species show niche differences and/or facilitative interactions. They may differ in their resource requirements, their ratios of amounts of resource types needed, and their temporal and spatial exploitation of resources leading to a more complete use of environmental resources.<sup>38,39</sup> An example would be the coexistence of plant species as a result of niche partitioning resulting in a higher production of biomass. Facilitation describes the effect of higher productivity of an individual when there are interspecific neighbours that modify the environment in a favourable way, usually through resource enhancement or mitigation of stress perturbations.<sup>38</sup> An example would be the occurrence of mycorrhizal fungi leading to a higher net primary production in terrestrial ecosystems as these species increase nutrient availability. In the past, niche complementarity and facilitation have been collectively referred to as complementarity, as it is often difficult to determine which mechanism prevails.<sup>40</sup> Previous studies, however, also showed negative selection effects due to higher biodiversity.<sup>41</sup> In this case, an increasing number of competing organisms, *i.e.*, an increase in biodiversity, can lead to the loss of so-called keystone species. Despite their relatively low abundances within the ecosystem,



these species are thought to be essential for specific ecosystem functions and can therefore exert a disproportionately high impact on ecosystem properties.<sup>41,42</sup> It is despite this that clear functional metrics are still scarce in biomonitoring, especially in aquatic ecosystems, although their importance for ecosystem health has already been recognized by policymakers.<sup>20,43</sup>

The only functional metrics that are used routinely as criteria for ecosystem quality today are the biochemical oxygen demand (BOD) and the sediment oxygen demand (SOD) giving a measure of the potential to consume oxygen at a standard temperature.<sup>32,44</sup> One of the most important ecosystem services provided by freshwater ecosystems is organic matter degradation, which is an essential component of trophic dynamics. Hence, corresponding measures have been proposed in the past as important additional sources of information in biomonitoring.<sup>45</sup> Measurement of oxygen is one of the most commonly used functional metrics in this regard as it integrates metabolic activity in aquatic ecosystems.<sup>46,47</sup> Community respiration (CR) and gross primary productivity (GPP) measurements allow inferences to be made about net oxygen turnover, which is an indicator of the relative balance between carbon productivity and mineralization, and therefore, ecosystem metabolism. As autotrophs, aquatic plants and phytoplankton—including algae, cyanobacteria, and a few other bacteria—produce organic carbon, which serves as a food source for other organisms. The process of respiration is driven by all heterotrophic organisms within an ecosystem, including fish, invertebrates, algae, aquatic plants, and microbes. Accordingly, a higher GPP represents net autotrophy, as more oxygen is produced than consumed, while a higher ER value reflects net heterotrophy.<sup>47–49</sup> In addition, their ratio allows conclusions to be drawn about the ratio of the two main energy sources in river ecosystems: (1) primary production by photosynthesis and (2) terrestrial organic matter entry. A heterotrophic stream, with higher respiration rates than primary production, must be supported by inputs of organic matter from upstream regions or the surrounding catchment. These processes are known to be sensitive to environmental stressors and at the same time easy and inexpensive to measure, making them interesting for assessing functional changes in ecosystem metabolism.<sup>32</sup> In addition to the measurement of GPP and CR, most other measures of ecosystem processes in freshwater ecosystems have focussed on leaf-litter decomposition (*e.g.*, Gulis *et al.*, 2006<sup>50</sup>). Leaf-litter decomposition is governed by the activity of bacteria, fungi, and macroinvertebrates that may respond to anthropogenic stress in different ways. Both approaches have in common that they are rather integrative measures of ecosystem health. Nevertheless, these processes do not necessarily respond to pressures in the same way since they are underlying a complex network of different actors and direct as well as indirect interactions. By focusing on fluxes of biomass, nutrients, or energy between often coarse aggregations of functional units that often contain unrelated groups of taxa (*e.g.*, cyanobacteria, diatoms, and plants grouped as primary producers), it is not possible to draw conclusions about the link between structure and function.<sup>51</sup> Clear causal relationships between specific stressors and observed changes in structure and function can therefore rarely

be derived. Focusing on these simple rates (*e.g.*, litter decomposition) also risks underestimating the consequences of biodiversity loss required to maintain multifunctional ecosystems when the species that drive them are functionally unique, or some degree of functional redundancy occurs for specific processes.<sup>20,52–54</sup>

To adequately capture ecological complexity and assess the ecological status of highly variable systems new monitoring strategies are needed which incorporate both community structure and system functioning while being cost-effective.<sup>29</sup> Recent breakthroughs in omics technologies have paved the way for promising methodologies in this domain. Consequently, the following section will introduce next-generation sequencing (NGS) techniques and explore their potential to profoundly alter the landscape of biodiversity assessment in biomonitoring.

### 3. The NGS revolution: integrating molecular insights into biomonitoring

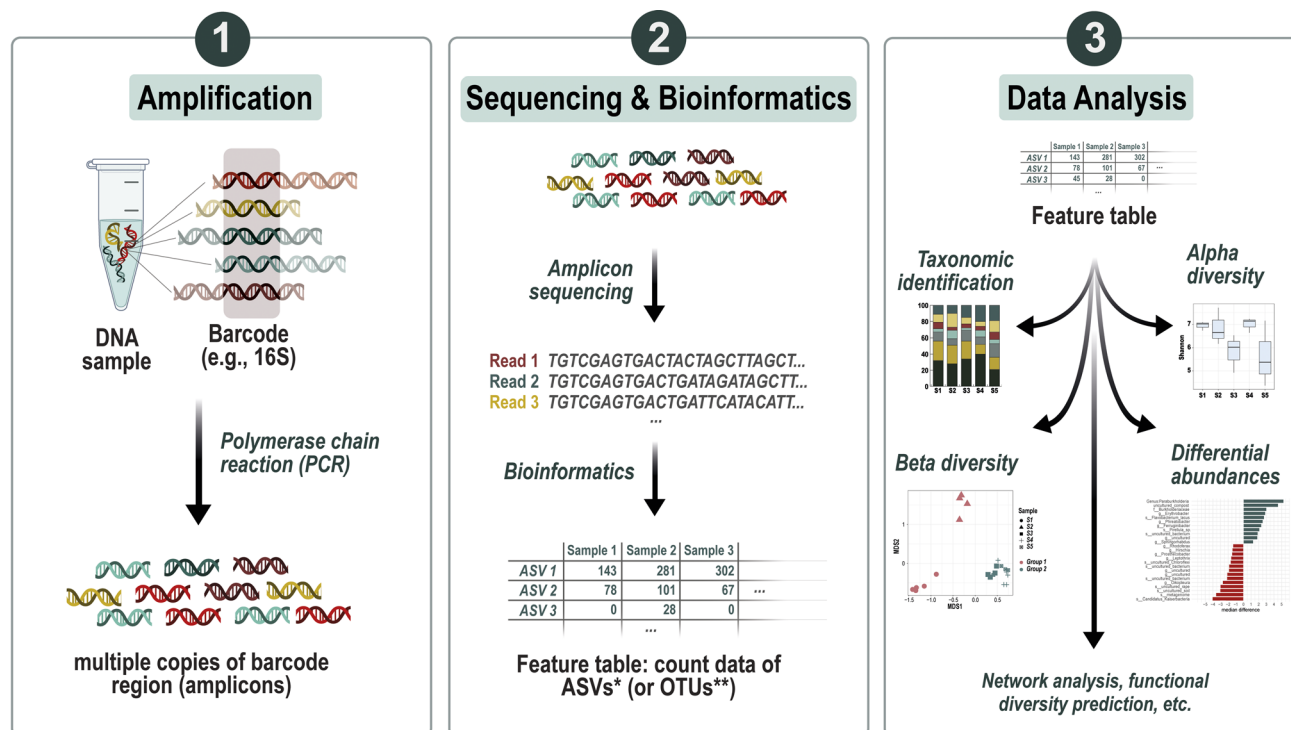
Beginning with the release of the first truly high-throughput sequencing platform, the Roche 454 GS 20 sequencer in 2005,<sup>55</sup> DNA and RNA sequencing technologies have undergone a rapid evolution. Also known as next-generation sequencing (NGS) platforms, these new systems can deliver billions of nucleotide reads in a single experiment, by allowing massively parallel sequencing—an improvement of at least five orders of magnitude over traditional Sanger sequencing.<sup>56</sup> Because of this dramatic leap in capacity, a new field of nucleic acid-based research has emerged, analyzing DNA or RNA found in environmental samples. The examination of this genetic material may provide information about the organisms present in the ecosystem under study, which is the objective of both eDNA metabarcoding and eDNA barcoding. The origin of this genetic material varies depending on the taxonomic group under investigation, including sources such as feces, mucus, skin cells, organelles, gametes, extracellular DNA, or even living cells like bacteria.<sup>56</sup> Both approaches have in common that they are investigating certain barcodes, *i.e.*, short characteristic sequences within the genome of the targeted taxa. These sequences can be identified using specific primer pairs which can bind to the regions preceding and succeeding the respective barcode.

With eDNA barcoding, the presence of a barcode specific to a taxonomic group is detected. This method has primarily been employed to detect invasive or rare species within the environment under study.<sup>57–59</sup> Unlike metabarcoding, eDNA barcoding does not assess the exact sequence of the barcode; instead, it focuses solely on its occurrence. Consequently, barcodes utilized in eDNA barcoding studies must exhibit a high level of specificity to the taxon under investigation.

In contrast, eDNA metabarcoding enables the identification of multiple taxa without prior knowledge about the species likely present within the studied ecosystem.<sup>60–62</sup> Metabarcoding employs marker genes to unveil the diversity and composition of specific taxonomic groups in an environment (see Fig. 1). Key marker genes used in microbial ecology include the 16S







\* Amplicon Sequencing Variants (ASVs): biological sequences in the sample prior to the introduction of amplification and sequencing errors.

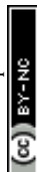
\*\*Operational Taxonomic Units (OTUs): clusters of reads that differ by less than a fixed sequence dissimilarity threshold, most commonly 3%.

Fig. 1 Overview of the metabarcoding analysis workflow. The process starts with the amplification of target marker genes (e.g., 16S rRNA for bacteria), followed by DNA sequencing to generate a pool of sequence reads. These reads undergo clustering or denoising steps to generate Operational Taxonomic Units (OTUs) or Amplicon Sequence Variants (ASVs), respectively, which represent unique microbial entities. Subsequent to clustering or denoising, bioinformatics tools are employed to process the raw data into a feature table, summarizing the abundances of ASVs (or OTUs) across samples. Taxonomic identification is then accomplished through comparison with reference databases, allowing for the classification of OTUs or ASVs. The resulting dataset can then be used for statistical analyses, including assessments of alpha and beta diversity, as well as other potential statistical tests tailored to uncover specific patterns or relationships within the microbial community data.

ribosomal RNA (rRNA) gene (for analyzing *Archaea* and bacteria),<sup>63</sup> the internal transcribed spacer (ITS) region (for characterizing fungal communities),<sup>64</sup> and the 18S rRNA gene (for reporting eukaryotes' occurrence).<sup>65</sup> These markers exhibit high inter- and low intraspecific variability, crucial for accurately capturing genetic diversity within environmental samples. By comparing these sequences from specimens of unknown identity within eDNA samples to reference sequences, taxonomic inferences can be drawn. Consequently, metabarcoding presents a novel avenue for investigating community composition and biodiversity within biomonitoring studies. Moreover, it serves as a valuable tool for overcoming key limitations of classical morphological identification, including the identification of species exhibiting high phenotypic plasticity and the recognition of morphologically cryptic species,<sup>66</sup> or the identification of life stages that are difficult to determine (e.g., larvae). This has led to the idea of "Biomonitoring 2.0",<sup>30</sup> where metabarcoding can be used in different ways to further improve ecosystem status assessment. One approach here is to use NGS methods to replace or support existing morpho taxonomy-based determination, e.g., for diatoms<sup>67–70</sup> or macroinvertebrates.<sup>71–73</sup> Greater sensitivity of DNA-based compared to traditional morpho taxonomy-based approaches has been illustrated previously.<sup>61,74,75</sup>

However, some limitations have so far prevented the implementation of metabarcoding in biomonitoring. A fundamental problem arises from the fact that NGS methods and classical morphotaxonomic methods sample different units of presence (molecules vs. individuals) resulting in different biases that affect estimates of richness, abundance, and taxonomic composition.<sup>76</sup> Reasons for this are cryptic diversity,<sup>77</sup> intra-genomic or intraspecific marker variations,<sup>78,79</sup> as well as the presence of DNA from dead and inactive organisms,<sup>80</sup> or even extracellular DNA. No direct relationship has yet been found between the number of sequences obtained per taxon and the density and/or biomass of the species studied.<sup>81–83</sup> Calibration and establishment of eDNA techniques is a rapidly growing area of research, and some promising methods to address these issues include applying correction factors to read counts based on knowledge of biovolume<sup>84</sup> or spiking samples with known internal standards for quantitative determinations.<sup>85</sup>

One further possibility to use NGS methods in biomonitoring is the exploration of novel bioindicator taxa, such as bacteria<sup>86–90</sup>, *Archaea*<sup>87</sup> and microbial eukaryotes.<sup>87,91</sup> The inclusion of further taxa in the biomonitoring process may thus lead to a more holistic view and therefore address the issue of low resolution as discussed in Section 2.1.



### 3.1 Using metabarcoding to discover new microbial bioindicators

The use of microbial bioindicators in biomonitoring is still scarce although the assessment of microbial parameters has its provenance in biomonitoring.<sup>92</sup> This is primarily due to methodological constraints which, however, could change profoundly in the near future with the rapid evolution of NGS techniques. In the past, it has been impossible to capture the entirety of the microbial community as more than 99% of the microorganisms observed in nature cannot generally be cultivated or phenotypically identified using conventional methods.<sup>93,94</sup> Consequently, most microbial groups (*e.g.*, bacteria, protozoa, viruses, or fungi), apart from algae and cyanobacteria, are largely understudied in the field of aquatic biodiversity. However, microorganisms are the most abundant living organisms on Earth. In addition, they possess key roles in a variety of biogeochemical cycles and ecosystem services.<sup>95–98</sup> Individual microbes within a microbial community differ strongly in their metabolism and their sensitivity to environmental stressors. Moreover, rare microorganisms are important when it comes to disturbances as they may provide resistance and resilience.<sup>99–101</sup> Microorganisms are therefore considered the “hidden backbone” of an ecosystem. Due to their sessile habits in sediment and biofilms, they are continuously exposed to the water column integrating the effects of stressors over extended periods of time.<sup>102</sup> As a community, these populations of different species overlap in time and space interacting with each other and are referred to as an ecological unit. Accordingly, whole community assessment has some critical advantages over other bioindicators, as this approach allows the estimation of direct and indirect effects at a higher-tier level, as it simultaneously assesses the changes in ecological status in both structure and function.<sup>103,104</sup> Evidence for this has likewise been provided in some studies, in which a greater sensitivity of microbial communities to stressors was observed than for individual species.<sup>105,106</sup> Microbial communities may therefore be a better proxy for evaluating environmental impacts and increasing efforts have been made in the past to include metabarcoding data of microbial organisms in routine biomonitoring.<sup>99,107–110</sup> In this respect, a bioindicator of pollution could be based on changes in microbial community composition (richness, or diversity) resulting from selection pressure exerted by a pollutant, or the occurrence of specific microbial taxa indicating a specific stressor. However, one of the most challenging aspects to translate into regulatory requirements is the inference of biotic indices/metrics as environmental quality assessment requires at least a basic knowledge of the ecological function of the identified taxa, which is generally unavailable for most microorganisms.<sup>111</sup> To overcome this issue, alternative “taxonomy-free” or “*de novo*” sequence-centered approaches have been proposed recently.<sup>68,104,112,113</sup> These approaches aim to establish new bioindicators using metabarcoding techniques and independently generated ecological status or known disturbance gradients, such as the effects of pesticides on freshwater invertebrates,<sup>114</sup> benthic eukaryotes,<sup>115</sup> diatoms,<sup>67</sup> and bacteria.<sup>86,116,117</sup> As shown by

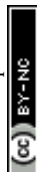
Apothéloz-Perret-Gentil *et al.* (2017)<sup>68</sup> as well as by Feio *et al.* (2020),<sup>118</sup> a *de novo* sequence-centric approach can significantly improve the accuracy of the assessment by using a larger number of taxa or OTUs (operational taxonomic units as a proxy for species in the absence of traditional systems of biological classification) for the analysis in comparison to an approach that relies solely on taxonomic assignments.

### 3.2 Linking microbial community composition & ecosystem functioning

Functional biodiversity, which encompasses the suite of functions performed by organisms in the ecosystem, has been identified in recent years as the missing link between biodiversity patterns and ecosystem functioning, especially for microorganisms.<sup>119–121</sup> Various studies have shown a strong and well-supported linkage between microbial community structure and ecosystem functions;<sup>92,122,123</sup> however, microbial functional ecology still lags behind its macrobial counterpart.<sup>119</sup> In recent years, the field has seen the emergence of several tools designed to predict the functional gene content of microbial communities based solely on taxonomic composition derived from metabarcoding data. These tools include PICRUST2 (Phylogenetic Investigation of Communities by Reconstruction of Unobserved States),<sup>124</sup> which utilizes an ancestral state reconstruction approach to infer functional gene content from marker gene (*e.g.*, 16S rRNA) sequencing data; Tax4Fun2,<sup>125</sup> which employs computational methods to estimate functional capabilities based on taxonomic information obtained from metabarcoding data; or FAPROTAX<sup>126</sup> assigning putative ecological functions to bacterial taxa based on known traits and functions compiled in a comprehensive database.

The problem with microbial functional diversity is that a wide range of traits observed in microbes cannot be summarized by a single marker gene, such as 16S, 18S, or ITS, which are generally used in microbial metabarcoding studies.<sup>119</sup> This arises due to ecological incoherence, where inconsistency between microbial taxonomic classification and functional traits is common, owing to significant genomic variation even among closely related organisms.<sup>127–129</sup> As a result, bacterial strains sharing identical 16S rRNA gene sequences may demonstrate limited niche overlap, manifesting in distinct growth rates and substrate utilization profiles.<sup>127</sup> Furthermore, the depth of phylogenetic conservation for microbial traits varies.<sup>130</sup> While functional traits involving only a few functional genes tend to occur at a shallow level in phylogenetic trees and are often not shared by all members of the given taxon, more complex traits involving multiple genes tend to be conserved at a higher level in phylogeny.<sup>130</sup> The weaker phylogenetic signal for functional traits at shallower levels could be also due to the horizontal gene transfer occurring between prokaryotes,<sup>130</sup> as simple traits are more likely to be carried on phages, plasmids, or transposons.<sup>119</sup>

Horizontal gene transfer, occurring through mechanisms like conjugation, transformation, or transduction, can uncouple functional traits from taxonomy. This is because taxonomy is primarily determined by the evolutionary history of microorganisms. Consequently, microorganisms can rapidly

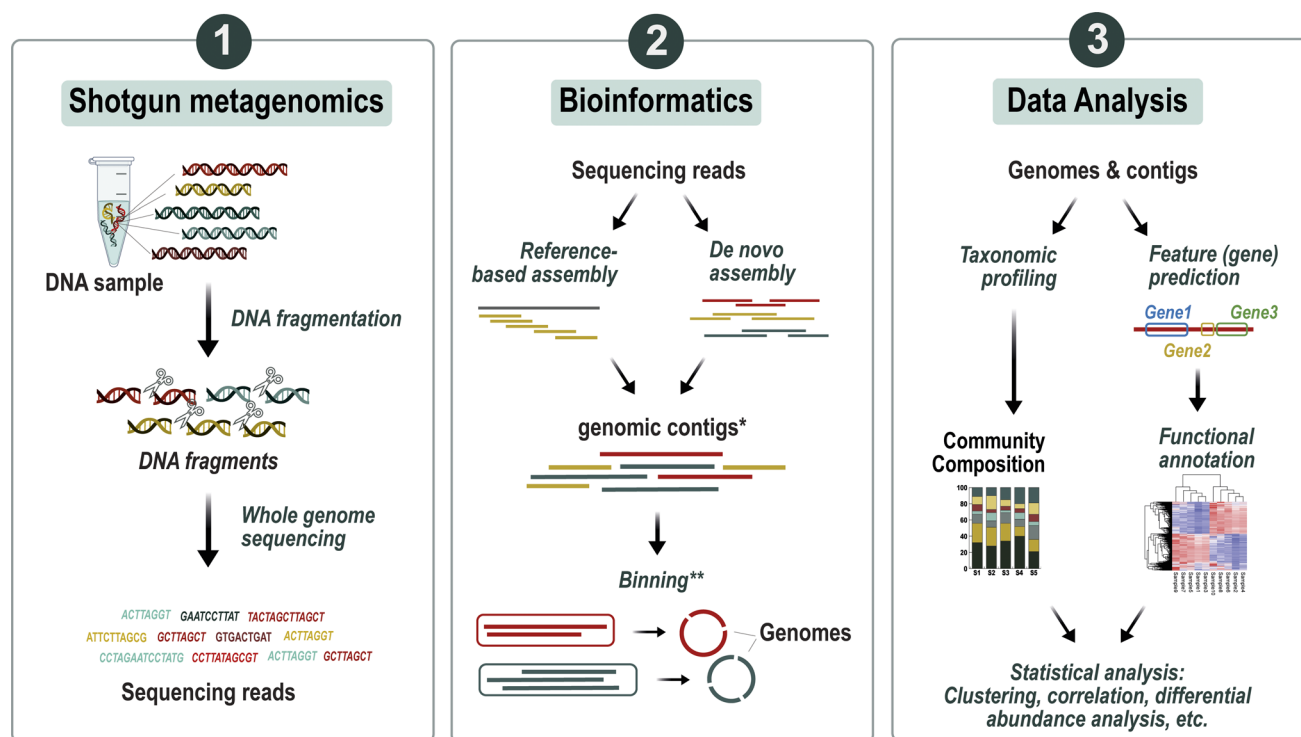


evolve through ecological and evolutionary adaptation to new niches. This diminishes the influence of evolutionary history and complicates our ability to explain ecosystem functioning solely through taxonomy. As an example, the analysis of soil samples derived from North American pine forests by Talbot *et al.* (2014) showed that, despite taxonomic differences among soil fungi, their cellulase activity exhibited remarkable consistency across varying scales, spanning from local to continental levels.<sup>131</sup> This discovery underscores the prevalence of significant functional redundancy within these ecosystems. In contrast, there is evidence of functional plasticity, wherein taxonomically similar communities exhibit distinct functions, particularly evident in the rapid responses of microbial communities to environmental disturbances.<sup>132,133</sup> These observations underscore the growing realization that microbial taxonomic and functional traits are somewhat decoupled, as they are influenced by markedly distinct processes.<sup>129</sup>

Furthermore, the availability of physiological, physical, and metabolic information remains limited, largely because many microbes have yet to be cultured to assess their functional properties. As a result, the unequivocal assignment of distinct functional traits to individual taxa has been achievable only for specialized and well-conserved functions, such as methanogenesis.<sup>119,130</sup> This difficulty in linking specific taxa with functional traits in the case of microorganisms has led to the idea of

community-centered, rather than taxa-centered approaches. By focusing on a higher level of phylogenetic organization, the confounding effects of horizontal gene transfer at lower levels can be minimized. However, research indicates that there is no clear taxonomic resolution level that directly correlates with functional differentiation, particularly given the redundancy of microbial traits.<sup>134</sup>

The issue of functional redundancy within the diverse microbial community has prompted the consideration of a departure from a taxonomic perspective to a more thorough examination of functional properties. The search for bio-indicators is essentially a classification challenge: ecosystems are classified based on their inherent community of organisms to identify environmental impacts that may compromise ecosystem services. While historically this classification has relied on phylogenetic data (species composition), the fundamental determinants are the functional characteristics of the community members, which dictate their ability to compete within the ecosystem. This raises the question: Instead of focusing on who provides these functions, would it not be more beneficial to classify environmental samples directly based on the functional properties of the community, particularly concerning the preservation of ecosystem services? Regarding microbial communities, the focus on functional characteristics could thus provide a higher discriminatory power as there is



\*Genomic contigs: Short, contiguous sequences assembled from fragmented DNA in metagenomic analysis.

\*\*Binning: Sorting DNA sequences into groups that might represent an individual genome or genomes from closely related organisms.

Fig. 2 Overview of the metagenomic analysis workflow. The process begins with breaking down the DNA into smaller fragments through fragmentation, followed by employing high-throughput sequencing to produce short DNA sequences. These sequences are then utilized in either reference-based or *de novo* assembly techniques to reconstruct genomic contigs, which represent segments of microbial genomes. After assembly, the contigs are analyzed for taxonomic profiling, categorizing them into specific taxonomic groups. Furthermore, functional profiling is carried out to annotate the genes and pathways within the microbial communities. Subsequently, statistical analyses are applied to the data to unveil significant associations with environmental or clinical parameters.



likely less noise interpreting functional profiles since a given anthropogenic disturbance might trigger a similar response across multiple taxa.<sup>135</sup> Therefore, functional profiles may be less sensitive to biogeographical effects, demographic shifts, and species dispersal limitations.<sup>104</sup> The focus here lies on the presence and abundance of functional genes and pathways within the metagenome or the metatranscriptome of a community.

**3.2.1 Assessing functional diversity and activity of microbial communities.** To assess the metagenome of a community through shotgun sequencing (metagenomics), the DNA of all cells in this community is extracted, just like for metabarcoding. But instead of targeting specific marker genes (*e.g.*, 16S), all DNA is sheared into small fragments which are sequenced separately followed by the reconstruction of them into consensus sequences (see Fig. 2). This way, coding sequences that provide information about biological functions are assessed, in addition to taxonomically informative regions, such as 16S.<sup>136</sup>

The assessment of the whole metagenome therefore provides insight into community biodiversity and function answering the question: Who is there and what are they capable of doing? In the past, this approach has led to a tremendous increase in information about energy and nutrient cycling, genome structure, gene function, population genetics, and lateral gene transfer between members of an uncultured community.<sup>137–139</sup> In addition, metagenomics has enabled the discovery of new genes and gene products. As an example, Béjà *et al.* (2000) discovered photoorganotrophy as a new metabolic pathway in marine bacteria that allows them to obtain energy from the sun when carbon from organic material is limited.<sup>140</sup> Another great example of the potential of metagenomics is the work of Venter *et al.* (2004), who analyzed seawater samples from the Sargasso Sea and discovered 148 previously unknown bacterial phylotypes and about 70 000 new genes spanning a wide range of biogeochemical pathways.<sup>141</sup> However, the analysis of metagenomics data is complicated due to the complex structure of the data and the high computational power required (Table 1). In addition, depending on the environment, some communities are so diverse that most genomes are not fully represented by reads, making it impossible to reconstruct all genes by sequence alignment.<sup>142</sup>

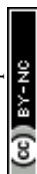
Targeting specific functional genes is another way to assess the functional potential of microbial communities. A large number of functional genes have been extensively studied and

their corresponding primers have been validated, allowing their evaluation by quantitative polymerase chain reaction (qPCR).<sup>99</sup> Examples of such genes are, *e.g.*, the *cbbl* gene and the *cbbM* gene encoding the large ribulose-1,5-bisphosphate carboxylase/oxygenase (RuBisCO) subunit form I (*cbbl*) and II (*cbbM*), a key enzyme of the Calvin–Benson cycle of autotrophic CO<sub>2</sub> fixation;<sup>98,143</sup> the *pufM* gene, encoding the M subunit of the reaction center of aerobic anoxygenic photosynthesis in *γ-Proteobacteria*;<sup>144</sup> the *mcrA* (methyl coenzyme-M reductase) gene to assess methanogenesis in *Euryarchaeota*;<sup>145</sup> or *narG*, encoding the membrane-bound nitrate reductase, to assess denitrification,<sup>146</sup> to name a few examples. A potential approach for conducting high-throughput functional community profiling based on known functional genes involves the utilization of microarrays. These arrays can be customized to evaluate the functional capabilities of communities, which are crucial for specific biogeochemistry, ecological, and environmental investigations. Several types of microarrays have been created for studying microbial communities, encompassing both phylogenetic and functional methodologies. One widely used microarray for investigating biogeochemical, ecological, and environmental processes is the GeoChip which has since been updated to the 5.0 version.<sup>147,148</sup> Compared to sequencing techniques, microarrays offer targeted analysis and high-throughput capabilities, making them cost-effective options for functional gene profiling. However, they may suffer from limited coverage and potential biases in probe design.

Although eDNA metabarcoding and metagenomics have revealed a great number of novel insights into microbial community composition and their functional potential, they only describe the presence of organisms or genes but not whether they are active members within these communities.<sup>149</sup> It has thus been suggested that metatranscriptomics can derive a more accurate picture of the functional response of microbial communities to changing environmental conditions.<sup>150</sup> Metatranscriptomics is defined as the study of gene expression of microbes within natural environments, *i.e.*, the metatranscriptome, using RNA sequencing (RNAseq). So other than metagenomics, which focuses on the genomic content and therefore functional potential of a community, metatranscriptomics can be used to survey active genes and their expression levels and therefore helps answer the question “What genes are collectively expressed under different conditions?”.<sup>150</sup> It is obtained by capturing the total mRNA of the microbiome, its reverse transcription into cDNA (complementary DNA), and subsequent whole

**Table 1** Comparison of metabarcoding, metagenomics, and metatranscriptomics

	Metabarcoding	Metagenomics	Metatranscriptomics
Target biomolecules	Specific DNA regions (barcodes)	Entire genomic DNA (metagenome)	Transcribed RNA (metatranscriptome)
Taxonomic resolution	High (species to genus level)	Broad (species and functional)	Moderate to high (active species)
Functional information	Limited	Comprehensive	Comprehensive
Environmental status assessment	Assessing biodiversity changes in ecosystems	Provides insights into community structure and functional potential of microbial populations	Offers information on active microbial processes and responses to environmental changes
Data analysis complexity	Moderate	High	Moderate to high





metatranscriptomics shotgun sequencing (see Fig. 3). Usually, the resulting reads or assembled contigs (a set of overlapping DNA segments that together represent a consensus region of DNA) are used to deduce the relative expression of certain genes.<sup>151</sup> Furthermore, after annotation, enzymatic functions can be mapped to known metabolic pathways using tools such as MINPATH<sup>152</sup> or IPATH.<sup>153</sup> This way, functional changes in response to changing environmental conditions can be assessed (Table 1).

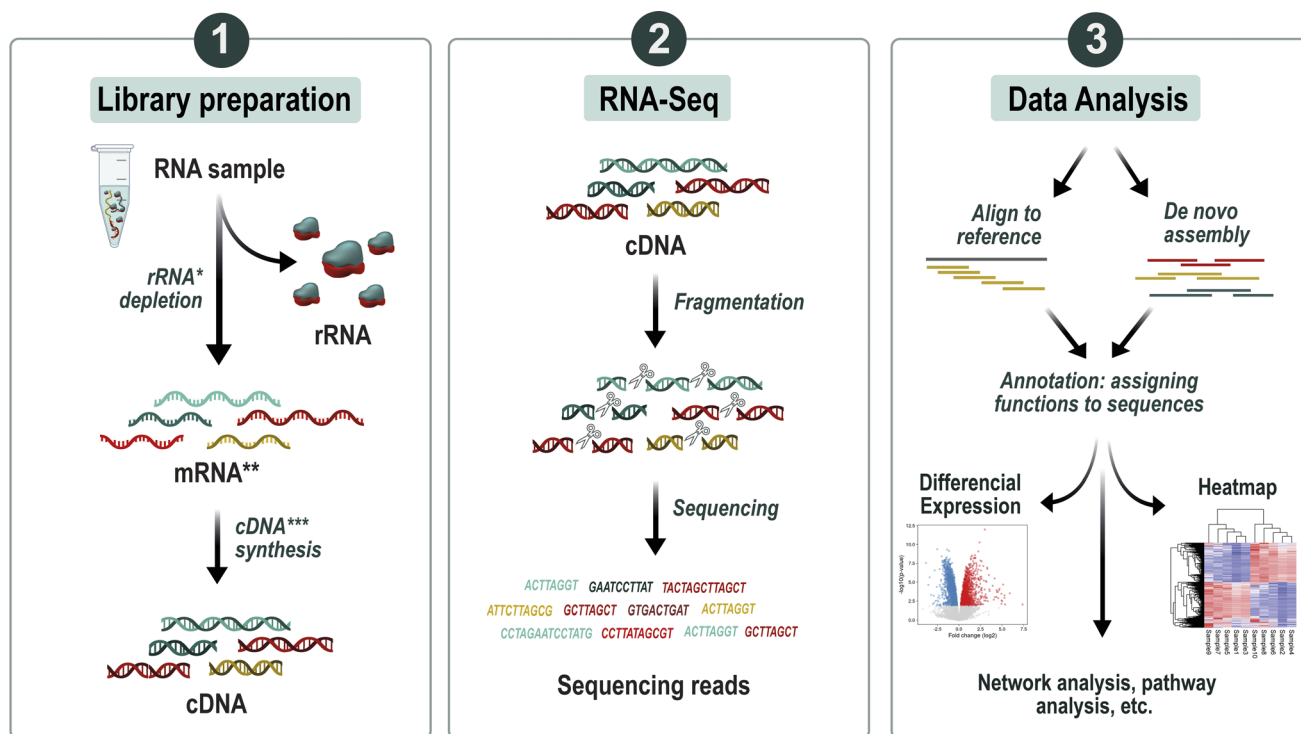
A good example of the advantages of metatranscriptomics is the study of Yergeau *et al.* (2012). In this study, functional gene expression was investigated to characterize the response of freshwater biofilms to four pharmaceuticals (erythromycin, gemfibrozil, sulfamethazine, and sulfamethoxazole) at low concentrations.<sup>154</sup> Bacterial composition was found to change very slightly, but the expression of gene categories related to the N, P, and C cycles was strongly affected, highlighting the importance of capturing functional changes to better understand the mechanistic link between ecosystem stressors and the impairment of ecosystem processes.

In summary, both metagenomics and metatranscriptomics provide direct avenues for evaluating the functional diversity and activity of microbial communities, enabling the application

of functional environmental genomics (Table 1). These approaches address the challenge of taxon-function decoupling discussed earlier, and previous studies have highlighted the effectiveness of certain metagenomic contigs and functional transcripts as bioindicators of anthropogenic pollution,<sup>155–158</sup> underscoring the potential of these methods. This understanding could be integrated into predictive models to anticipate shifts in biodiversity and their potential impacts on ecosystem services across various disturbance scenarios. However, the practical development of an ecosystem monitoring framework based on this theoretical ecological research is ongoing, with only partial experimental validation accomplished thus far.<sup>159</sup> While discussing the implementation of these strategies is premature, it is crucial to recognize and anticipate their ecological benefits. Additionally, as more data-sets accumulate, significant progress towards realizing these opportunities can be expected.

### 3.3 Navigating challenges in integrating NGS methods for aquatic biomonitoring

As discussed in the previous sections, NGS methods hold great potential to address key limitations of traditional monitoring.



\* ribosomal RNA (rRNA): RNA that forms the core of ribosomes.

\*\* messenger RNA (mRNA): carries genetic information from DNA to the ribosome.

\*\*\* complementary DNA (cDNA): synthesized from an mRNA template by means of reverse transcriptase & DNA polymerase.

**Fig. 3** Overview of the metatranscriptomics analysis workflow. First step after RNA extraction is ribosomal RNA (rRNA) depletion leading to an enrichment of messenger RNA (mRNA), thereby improving the detection and analysis of gene expression. The purified mRNA is then reverse transcribed into complementary DNA (cDNA), a process that converts RNA into a stable DNA form. Subsequently, the cDNA undergoes high-throughput sequencing to produce short sequence reads. These reads are assembled into transcripts, which represent the expressed genes in the microbial community. Annotation tools are applied to these transcripts, assigning functional labels to elucidate their biological roles. Following annotation, statistical analyses such as differential expression analysis are employed to discern gene expression patterns. Visualization techniques, including heatmaps or volcano plots, are then utilized to explore and depict differential expression patterns across various experimental conditions or environmental gradients.



Nonetheless, the implementation of NGS methods into aquatic biomonitoring faces several key challenges that must be overcome for successful data integration and interpretation. The resulting data from NGS methods are fundamentally different than from those generated during traditional biomonitoring and therefore require tailored workflows for data analysis. Data produced by the NGS methods described present various analytical challenges, such as their (1) compositional nature (the relative abundances of different species or genes are interdependent due to the fixed total amount of sequencing data obtained from a sample, leading to spurious correlations and challenges in interpreting absolute abundance levels), (2) sparsity (many species or genes are represented by only a few sequences which can make it challenging to accurately assess the abundance or presence of rare species or transcripts in the sample), (3) over-dispersion (the variance of the data is higher than would be expected based on a particular statistical model, that can be caused by factors such as uneven distribution of species or genes across samples, or by technical variability introduced during sequencing and data processing), (4) high dimensionality (high number of dimensions, such as different species or genes), (5) multi-collinearity (two or more variables, *e.g.*, species or genes, are highly correlated with each other which complicates statistical analyses such as regression models, as it can lead to unstable parameter estimates and difficulties in determining the true relationships between variables), (6) multivariate, and (7) high variability.

These analytical challenges highlight the need for robust data processing pipelines, advanced statistical methods, and bioinformatics tools specifically designed to address these issues. Researchers working in this field must carefully consider these challenges when designing experiments, collecting samples, performing sequencing, and analyzing the resulting data to obtain accurate and meaningful insights into environmental biodiversity, ecosystem health, and responses to environmental changes. The challenge of data sparsity is particularly relevant in environmental studies focused on the conservation of rare species. One significant limitation of NGS in this context is the requirement for deep sequencing to detect and quantify rare taxa accurately, which can be cost-prohibitive. Additionally, biases introduced during DNA/RNA extraction, PCR amplification, and library preparation can disproportionately affect rare species, further complicating their detection. Current bioinformatics approaches also struggle with distinguishing true rare species from sequencing artifacts.<sup>160,161</sup> Prospective advancements, such as improved sample preparation techniques, the development of more sensitive and specific primers, and enhanced bioinformatics algorithms, are necessary to mitigate these limitations.

Furthermore, standardization of protocols, data formats, and analytical workflows is essential for ensuring data reproducibility and comparability across diverse studies. Collaborative initiatives among researchers, institutions, and regulatory bodies are pivotal in developing standardized guidelines and best practices for sample collection, DNA/RNA extraction, library preparation, and data analysis in NGS-based biomonitoring. These collaborative efforts will strengthen the

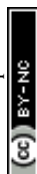
reliability and utility of NGS methods in advancing our understanding of aquatic ecosystems and supporting informed conservation and management decisions.

Finally, to effectively integrate NGS data into risk management and surpass simple descriptive models of ecosystem structure and change, it becomes imperative to construct explanatory and predictive models concerning ecosystem function and services. Exploring, testing, and comprehending these models, potentially harnessing advancements in artificial intelligence, will signify a paradigm shift in biomonitoring. This evolution involves transitioning from conventional concepts of ecosystem indicators and indices, which lack explanatory power regarding ecosystem changes, toward holistic models that offer a comprehensive understanding of ecological dynamics. These advanced models not only elucidate the underlying mechanisms driving ecosystem functions but also acknowledge the intricate and dynamic nature of ecosystems, encompassing all trophic levels and their interdependencies. Consequently, biomonitoring must evolve from being purely descriptive to serving as a proactive risk assessment tool, grounded in novel hypotheses and robust predictive models.

## 4. Conclusion

NGS techniques have revolutionized the study of communities, allowing for the precise identification of both taxonomic and functional traits at exceptionally detailed levels. This advancement has opened new avenues for integrating microbial data into traditional freshwater biomonitoring practices, offering profound insights into ecosystem health and functionality. However, realizing the full potential of these techniques necessitates overcoming various challenges, including ensuring data quality and standardization, navigating complex bioinformatic analyses, addressing spatial and temporal variations, mitigating sampling biases, and validating interpretations. Such endeavors require interdisciplinary collaboration and concerted efforts from researchers across different fields. Furthermore, transitioning towards evaluating functional diversity, rather than solely relying on compositional datasets, holds great promise for enhancing biomonitoring efforts. By focusing on the functional capabilities of microbial communities, researchers can develop a more comprehensive understanding of ecosystem dynamics and responses to environmental changes. Combining microarray technology with NGS data provides an exciting opportunity to enhance biomonitoring efforts. NGS datasets offer in-depth insights into microbial gene diversity, facilitating the identification of specific target sequences ideal for developing microarray probe sets. This integration not only validates results obtained from microarray analysis but also broadens the range of targets, thereby enhancing the reliability of biomonitoring methodologies.

In conclusion, the utilization of NGS technologies and the integration of a functional perspective hold immense promise for advancing freshwater biomonitoring and ecosystem management. The capacity to observe the entire ecosystem as a unified entity rather than isolated components, with



enhanced detail, precision, and accuracy, enables a shift towards a holistic approach in ecosystem science. Embracing these advancements offers the potential to inform decisions and implement precise conservation strategies, thereby safeguarding freshwater ecosystems for the well-being of both current and future generations.

## Conflicts of interest

The authors declare no competing financial interest.

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