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## Effects of ozone and produced hydroxyl radicals on the transformation of graphene oxide in aqueous media†

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The rapidly increasing applications of graphene oxide (GO) will lead to its release into the natural environment. To date, the fate and transformation of GO in water and wastewater treatment systems are not well understood. In this study, we investigate the mechanism of GO ozonation and probe the individual roles of oxidants such as ozone (O<sub>3</sub>) and hydroxyl radicals. We looked at the effects of the oxidants (ozone and hydroxyl radicals) on functionalized and unfunctionalized aromatic rings (using carbonaceous nanomaterials with different degrees of oxidation). Multiple characterization methods, including transmission electron microscopy (TEM), scanning electron microscopy (SEM), atomic force microscopy (AFM), X-ray photoelectron spectroscopy (XPS), Fourier transform infrared (FTIR) and Raman spectroscopy, were used to investigate the physicochemical changes of GO upon ozonation. The hydroxyl radical (<sup>•</sup>OH)—formed from aqueous O<sub>3</sub>—hydroxylated GO. The combination of <sup>•</sup>OH/O<sub>3</sub> further oxidized the functionalized aromatic rings of GO and their C–O groups (to C=O and O–C=O), cleaving some of the aromatic rings of GO. When <sup>•</sup>OH was quenched, O<sub>3</sub> had no observable effects on the unfunctionalized aromatic rings, which are more predominant on reduced GO and graphene. Microscopy analyses showed that ozonation led to crumpling of GO nanosheets, truncation of GO edges, formation of holes (diameter = 5–15 nm), and production of small-sized graphenic fragments. The changes in GO surface functional groups and hydrophobicity induced by ozonation affected the transport properties of GO in porous media, as well as its adsorption affinities for model organic contaminants.

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### Environmental significance

The increasing application of nanoparticles leads to increasing environmental release of these novel materials, whose environmental implications are still not clearly understood. As shown in this study, the physicochemical properties of GO are remarkably changed by ozonation, a typical process in several wastewater treatment plants. The ozonation of GO can thus make it challenging to detect and quantify the nanomaterials in wastewater effluents for regulatory purposes. Similarly, regulatory limits will probably be established using fate and toxicity tests based on pristine GO. However, it is unclear whether these regulatory limits will be valid for the forms of GO in wastewater effluents if exposed to ozonation during treatment, which are crumpled, holey, more oxygenated, and have very small-sized fragments.

## 1 Introduction

Graphene oxide (GO) is a structural analog of graphene and contains abundant O-containing functionalities such as epox-

ide (C–O–C), hydroxyl (C–OH), carbonyl (C=O), and carboxyl groups (O–C=O) covalently bound to either the basal planes (for epoxide and hydroxyl groups) or the edges (for carbonyl and carboxyl groups).<sup>1–3</sup> GO is increasingly being used in commercial products, such as nano-paints and nanohybrid materials for water treatment, which can lead to its direct release into the environment.<sup>4–6</sup> There are also many medical, energy-related, and engineering applications for GO.<sup>7–10</sup> Thus, it is expected that wastes containing GO will be generated, released into natural surface waters, and finally flow into water treatment systems.<sup>11–13</sup> Because of its small size (lateral size in the nanometer–micrometer range) and strong negative surface charge,<sup>14</sup> GO may be challenging to remove *via* typical primary water treatment procedures such as

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coagulation and sedimentation.<sup>15</sup> As a result, the nano-material may reach the secondary and tertiary water treatment steps, where it may be exposed to disinfectants. The exposure of GO to disinfectants (such as chlorine and chloramine) used in water/wastewater treatment changed the surface O-functionalities of GO and led to scrolling of the graphenic sheets.<sup>16–18</sup>

Ozone is one of the most commonly used disinfectants in water and wastewater treatments.<sup>19</sup> Given the high oxidation efficiency of ozone ( $E_{\text{red}}^0 = 2.08 \text{ V}$ ), it is reasonable to assume that GO will react with ozone ( $\text{O}_3$ ), similar to other oxidizing disinfectants.<sup>16,17</sup> A limited number of studies have been conducted to investigate the effects of  $\text{O}_3$  on carbonaceous nanomaterials, and the results indicated that ozonation may increase and/or transform the O-functional groups on the surface of carbon nanomaterials. For instance, Fortner *et al.*<sup>20</sup> showed that the reaction between  $\text{C}_{60}$  fullerene and dissolved  $\text{O}_3$  ( $3\text{--}6 \text{ mg L}^{-1}$ ) resulted in the formation of water-soluble fullerene oxide species with hydroxyl and carboxyl functionalities. Peng *et al.*<sup>21</sup> demonstrated that  $\text{O}_3$  enhanced the oxygenation of multiwall carbon nanotubes. Thus far, only a few studies are available on the ozonation of graphene-family nanomaterials. Wang *et al.*<sup>22</sup> and Xu *et al.*<sup>23</sup> reported that  $\text{O}_3$  oxidized the C–OH and C=O groups on reduced graphene oxide (RGO) and thermally-exfoliated graphene nanosheets (T-GNS), respectively. Note that in previous studies, ozonation was done by continuously sparging  $\text{O}_3$  gas ( $0.1\text{--}2 \text{ L min}^{-1}$ ) into the aqueous phase to achieve an aqueous  $\text{O}_3$  concentration of  $20 \text{ mg L}^{-1}$  or much higher,<sup>22,23</sup> which may not necessarily reflect the realistic scenario in water and wastewater treatment processes. As such, the underlying mechanisms controlling the chemical transformation of GO by ozonation under environmentally relevant conditions (such as the disinfection concentration of  $\text{O}_3$ ) are still unclear. The transformation of GO may be different in real water treatment systems under relatively low  $\text{O}_3$  concentration. Moreover, although the ozonation of GO has been investigated in a few previous studies, the specific oxidants responsible for GO transformation and the detailed reactivity of the graphitic *vs.* edge GO domains remain unclear.

When  $\text{O}_3$  gas is injected into water, it forms different reactive oxygen species (ROS) and intermediate products. Most of the ROS and intermediates, including  $\text{O}_2^{\cdot-}$ ,  $\text{O}_3^{\cdot-}$  and  $\text{HO}_2^{\cdot-}$ , have negligible effects on the oxidation of GO because of their low equilibrium concentration or poor oxidation potential.<sup>24–26</sup> However, the hydroxyl radical ( $\cdot\text{OH}$ ) has a high reactivity and can unselectively react with organic substances.<sup>26,27</sup> As such  $\cdot\text{OH}$  and  $\text{O}_3$  may both play an important role in the transformation of GO during ozonation.<sup>19,28–33</sup> Even though the concentration of  $\cdot\text{OH}$  is much lower than that of  $\text{O}_3$ ,  $\cdot\text{OH}$  has a higher oxidation potential,<sup>27</sup> which implies that it may be an important species during ozonation. The role of each important oxidant present during ozonation, such as  $\text{O}_3$  and  $\cdot\text{OH}$ , on functionalized and unfunctionalized aromatic rings of GO remains unknown.

The objective of this study was to understand the underlying mechanisms controlling the physicochemical transformation of GO upon ozonation, under conditions similar to those used during water/wastewater treatment. We treated GO suspensions with an  $\text{O}_3$  level typically applied in water treatment ( $\sim 5 \text{ mg L}^{-1}$ ).<sup>34</sup> Fourier transform infrared (FTIR) and X-ray photoelectron spectroscopy (XPS) were used to discern the specific reactivities of  $\text{O}_3$  and generated ROS ( $\cdot\text{OH}$ ) with different domains of GO. The mechanism of  $\text{O}_3$  and  $\cdot\text{OH}$ -induced transformation of GO was explored and discussed. The implications of GO transformation from ozonation on its environmental fate and transport were illustrated using column transport and adsorption experiments.

## 2 Materials and methods

### 2.1 Materials and stock preparation

Graphene oxide (GO; purity >99%) was purchased from Plannano Materials Tech. Co. (Tianjin, China). Based on the information provided by the supplier, GO was produced using a modified Hummers method.<sup>35</sup> Atomic force microscopy (AFM, Dimension Icon, Bruker, Karlsruhe, Germany) showed that the thickness of GO was about 0.8–1.2 nm (Fig. S1, in the ESI†), which is the typical thickness of single-layer GO on a  $\text{SiO}_2$  substrate.<sup>36</sup> RGO was synthesized by reducing GO with hydrazine ( $\text{N}_2\text{H}_4$ ). The detailed procedure is provided in ESI† section S1.0. Graphene was purchased from XF Nano Materials Tech. Co. (Nanjing, China). Graphite (99.99% carbon, size <45  $\mu\text{m}$ ) was purchased from Sigma-Aldrich (St. Louis, MO, USA). Potassium indigo trisulfonate was purchased from Sigma-Aldrich (St. Louis, MO, USA). All the chemicals used in the study were of reagent grade or higher.

The stock suspensions of GO, RGO, graphene, and graphite (all  $200 \text{ mg L}^{-1}$ ) were prepared by directly adding the dry powders to deionized (DI) water and stirring with a magnetic stirrer for 30 min, followed by ultrasonication at 100 W for 4 h in a water bath. The stock suspensions were kept in the dark at  $4 \text{ }^\circ\text{C}$  until use. To use, the stocks were first ultrasonicated at 100 W for 30 min to ensure that the particles were well-dispersed. All aqueous samples were adjusted to pH 7 using NaOH (50 mM) and/or HCl (50 mM), similar to the pH during ozonation.

### 2.2 Ozonation experiments

$\text{O}_3$  was generated from pure oxygen (99.995%) at a rate of  $1.0 \text{ L min}^{-1}$  using a 3S-A15  $\text{O}_3$  generator (Tonglin Technology Co., Beijing, China) and was continuously introduced into 2 L of  $4 \text{ }^\circ\text{C}$  DI water for 15 min through a ceramic sparger. The aqueous  $\text{O}_3$  concentration was determined using the 4500- $\text{O}_3$  B: indigo colorimetric method.<sup>37</sup> Then, each sample was quickly mixed with the  $\text{O}_3$ -bearing water to achieve a final particle concentration of  $10 \text{ mg L}^{-1}$  and  $5 \text{ mg L}^{-1}$  aqueous  $\text{O}_3$  (which is within the range commonly used for water treatment<sup>34,38</sup>) in a stirred amber glass reactor. The reactor was kept at a constant temperature of  $25 \text{ }^\circ\text{C}$  and the reaction was allowed to occur for 20 min (after

which it was stopped by filtration using a suction filter). After ozonation, GO, RGO, graphene, and graphite were referred to as GO-O<sub>3</sub>, RGO-O<sub>3</sub>, Graphene-O<sub>3</sub> and Graphite-O<sub>3</sub>, respectively.

### 2.3 Material characterization

GO, RGO, graphene, and graphite were characterized *via* FTIR spectroscopy in transmission mode (110 Bruker TENSOR 27, Bruker Optics Inc., Karlsruhe, Germany), XPS (ESCALAB 250Xi, Thermo Fisher Scientific Inc., Waltham, USA), UV-vis spectrophotometry (UV-2401, Shimadzu Corp., Kyoto, Japan), and Raman spectroscopy (inVia, Renishaw, Wotton-under-Edge, UK) before and after ozonation. The morphology of GO, before and after ozonation, was obtained by scanning electron microscopy (SEM, ZEISS MERLIN Compact, Carl Zeiss AG, Aalen, Germany), transmission electron microscopy (TEM, JEM-2010FEF, JEOL Ltd., Tokyo, Japan), and atomic force microscopy (AFM, MMAFM/STM, D3100M, Digital Ltd., USA). The low molecular weight compounds formed due to the ozonation of GO were analyzed using UPLC-MS/MS (UPLC-Xevo TQ-S, Waters, USA) described in ESI† section S2.0. A ZetaSizer Nano ZS90 instrument (Malvern Instruments Ltd., Worcestershire, UK) was used to measure the hydrodynamic diameter ( $D_h$ ) of GO in the aqueous phase. The relative hydrophobicity of GO/GO-O<sub>3</sub> was estimated by measuring *n*-dodecane–water partition coefficients ( $K_{DW}$ ) as described in ESI† section S3.0.

### 2.4 Transport experiments

Column transport experiments were conducted to investigate the influence of ozonation on the transport of GO in saturated quartz sand. Quartz sand was dry-packed into Omnifit borosilicate glass columns (10 cm × 0.66 cm, Bio-Chem Valve Inc., Boonton, NJ) with 10 μm stainless-steel screens (Valco Instruments Inc., Houston, TX) on both ends. The columns were operated in an upward direction using syringe pumps (KD Scientific, Holliston, MA). The sand-packed column was blown with carbon dioxide for 1 h to exhaust air, then the column was equilibrated by sequentially flushing it with 100 mL DI water at a flow rate of 3 mL h<sup>-1</sup> and 100 mL DI water at a flow rate of 6.6 mL h<sup>-1</sup> followed by 180 mL background electrolyte solution. To prepare the influents, aliquots of the GO or GO-O<sub>3</sub> stock suspension were mixed with the electrolyte (final concentration of 35 mM NaCl or 0.3 mM CaCl<sub>2</sub>) to obtain a GO concentration of 10 mg L<sup>-1</sup> and stirred for 2 h. The transport experiment was performed at pH 6, in order to keep Ca<sup>2+</sup> in the ionic state. The procedure for a typical column experiment is as follows: the influent was loaded to the column from the bottom using a syringe pump, and the effluent was collected at every 4–5 pore volume. Then the column was flushed using a GO-free background electrolyte solution (until GO was no longer detectable in the effluent). The concentration of GO was determined by measuring the UV-vis absorbance at 230 nm.<sup>6,14</sup>

### 2.5 Adsorption experiments

Adsorption experiments were carried out by using a previously developed method.<sup>39</sup> An aliquot of the GO stock suspension was diluted with DI water to achieve a final GO concentration of 50 mg L<sup>-1</sup>. Phenanthrene (a representative nonpolar, nonionic aromatic compound) and 1-naphthol (a representative polar aromatic compound) were selected as the model contaminants. The detailed procedure for adsorption isotherm experiments is described in ESI† section S4.0.

The concentrations of phenanthrene and 1-naphthol in aqueous media were determined using a Waters high performance liquid chromatography (HPLC) system (Waters Corp., Milford, USA) equipped with a Waters symmetry reversed-phase C18 column (4.6 × 150 mm). Phenanthrene was detected with a Waters 2475 fluorescence detector at an excitation wavelength of 250 nm and an emission wavelength of 364 nm; the mobile phase was 80% acetonitrile and 20% DI water (v:v; 1.0 mL min<sup>-1</sup>). 1-Naphthol was detected with a Waters 2489 UV/visible detector at 328 nm; the mobile phase was 50% acetonitrile and 50% DI water (v:v; 1.0 mL min<sup>-1</sup>). No peaks for degraded/transformed products of the test compounds were detected in the spectra.

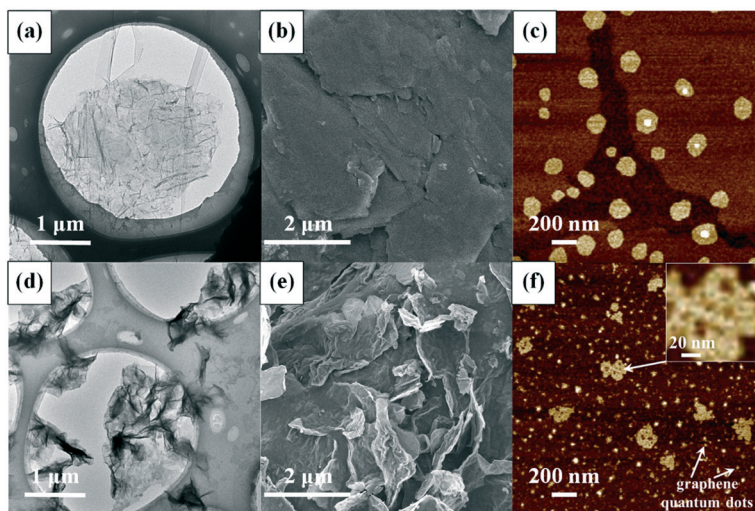
## 3 Results and discussion

### 3.1 Transformation of GO upon ozonation

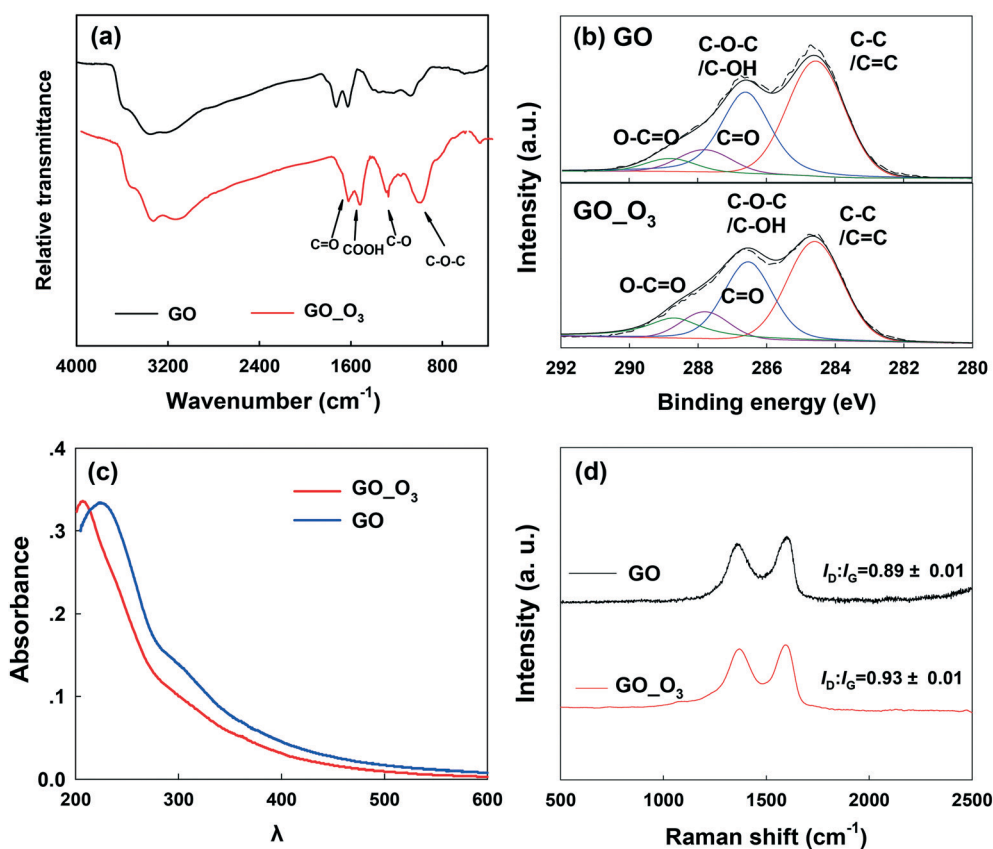
Ozonation (5 mg L<sup>-1</sup>) resulted in remarkable changes in the physicochemical properties of GO. Fig. 1 shows the comparison of the TEM, SEM and AFM images of pristine GO and O<sub>3</sub>-treated GO. Relative to pristine GO, O<sub>3</sub>-treated GO was visibly crumpled, leading to a decrease in the lateral size (Fig. 1a and d). In addition, holes (with a diameter of 5–15 nm) were observed on O<sub>3</sub>-treated GO nanosheets (Fig. 1f), which suggested breaking of graphenic rings in the basal plane. The edges of GO also appeared truncated. The AFM micrographs clearly showed the disintegration of GO sheets to much smaller sizes similar to graphene quantum dots (Fig. 1c and f),<sup>40</sup> while the sheets with lateral size similar to the pristine nanosheets had several holes within their basal plane. All these data showed that ozonation increased the defects on GO while also disintegrating the aromatic rings of the nanomaterial. The mass spectrometry spectra of GO before and after ozonation are shown in Fig. S2.† The data showed that more low molecular weight ( $m/z$  100–400) compounds were formed after the ozonation of GO, and the intensity of high molecular weight chemical species ( $m/z$  600–1000) decreased or disappeared. This further confirms the decomposition of GO by ozonation.

In addition to the changes in morphology, the chemical transformation of GO upon O<sub>3</sub> treatment was also evident. The FTIR spectra (Fig. 2a) of pristine GO show four characteristic peaks located at 1055 cm<sup>-1</sup>, 1383 cm<sup>-1</sup>, 1628 cm<sup>-1</sup>, and 1735 cm<sup>-1</sup>, corresponding to the C–O–C stretching, C–O group, O=C=O stretching, and C=O stretching, respectively.<sup>41–43</sup> After O<sub>3</sub> treatment, the intensity of the C–O and C–O–C groups increased. The intensity of the C=O and





**Fig. 1** Morphology of graphene oxide: (a) transmission electron microscopy (TEM), (b) scanning electron microscopy (SEM), and (c) atomic force microscopy (AFM) images of pristine GO. Morphology of  $O_3$ -treated GO obtained via (d) TEM, (e) SEM, and (f) AFM.



**Fig. 2** (a) Fourier transform infrared (FTIR) spectroscopy, (b) X-ray photoelectron spectroscopy (XPS), (c) UV-vis spectrophotometry, and (d) Raman spectroscopy of pristine GO and  $O_3$ -treated GO ( $GO_{O_3}$ ).

O=C=O groups also increased, but to a lesser extent. The XPS spectra of pristine GO and  $O_3$ -treated GO ( $GO_{O_3}$ ) are compared in Fig. 2b and the corresponding data are summarized in Table 1. The oxygen/carbon (O/C) ratio increased by almost 26% from 0.50 for GO to 0.63 for  $GO_{O_3}$ . Since XPS is a surface-sensitive technique and is typically susceptible to contamination from adsorbed adventitious carbon, we also

determined the O/C ratio of GO (before and after ozonation) using energy dispersive X-ray spectroscopy (EDS), which has a greater penetration depth and thus is less sensitive to surface contamination.<sup>44</sup> According to EDS (Table S1<sup>†</sup>), the O/C ratio increased from 0.53 to 0.68 upon ozonation, in agreement with XPS analysis. In addition, the relative abundance of the different surface O-functional groups on GO changed upon ozonation, as

**Table 1** Quantitative data of graphene oxide (GO) and O<sub>3</sub>-treated GO (GO-O<sub>3</sub>) obtained from X-ray photoelectron spectroscopy

Sample <sup>a</sup>	C <sup>b</sup> (at%)				Total C <sup>b</sup> (at%)	Total O <sup>b</sup> (at%)	O/C ratio <sup>b</sup>
	C-C/C=C	C-O-C/C-OH	C=O	O-C=O			
GO	37	19	5	3	66	33	0.50
GO-O <sub>3</sub>	26	21	7	6	60	38	0.63

<sup>a</sup> The term "O<sub>3</sub>" indicates ozonation to carbon-based materials. <sup>b</sup> Analyzed with X-ray photoelectron spectroscopy.

shown by the deconvolution of the high resolution C1s XPS peak (Fig. 2b, Table 1).<sup>45</sup> Upon ozonation, the relative abundance of the aromatic C-C/C=C group (binding energy [BE] = 284.6 eV) decreased from 37% to 26%, while a slight increase in the abundance of the C-O-C/C-OH (BE = 286.6 eV), C=O (BE = 287.8 eV) and O-C=O groups (BE = 288.8 eV) was observed. The results of FTIR and XPS both indicated that oxidation occurred on the surface of GO after ozonation.

The UV-vis spectra of pristine and O<sub>3</sub>-treated GO are shown in Fig. 2c. The characteristic absorbance peak of GO at 230 nm was observed in pristine GO, which can be ascribed to  $\pi-\pi^*$  transitions in small electronically conjugated domains present in the material.<sup>46</sup> The absorbance peak blue-shifted to 210 nm in O<sub>3</sub>-treated GO, which indicates a decrease in the number of electronically conjugated domains, resulting from increased oxidation of GO. In addition, the specific ultraviolet absorbance obtained at 254 nm (SUVA<sub>254</sub>), which indicates the concentrations of aromatic rings,<sup>47</sup> decreased upon the ozonation of GO (Fig. S3†).

Raman spectroscopy (Fig. 2d) showed the characteristic D band (around 1350 cm<sup>-1</sup>) and G band (around 1580 cm<sup>-1</sup>) in both GO and O<sub>3</sub>-treated GO. The D band is related to the vibration of carbon atoms with dangling bonds and ascribed to the edge of the carbon network while the G band is ascribed to the E<sub>2g</sub> mode of sp<sup>2</sup> carbon atoms.<sup>48</sup> The ratio of I<sub>D</sub>/I<sub>G</sub> (intensity of the D band to the G band) is usually employed to reflect the degree of defects on graphitic carbon materials.<sup>49</sup> As shown in Fig. 2d, the I<sub>D</sub>/I<sub>G</sub> ratio of GO increased after O<sub>3</sub> treatment, suggesting an increase in the defective sites on GO. This is consistent with the electron microscopy observations (Fig. 1).

The oxidation kinetics of GO during ozonation was also studied by measuring the absorbance of the GO suspension at 254 nm over time. As shown in Fig. S4a,† the transformation of the aromatic structure of GO during ozonation is a second-order reaction, with a rate constant of 0.247 g<sup>-1</sup> L s<sup>-1</sup> at pH 7. The rate constant increased as the pH increased, as shown in Fig. S4b,† from 0.216 g<sup>-1</sup> L s<sup>-1</sup> at pH 5 to 0.250 g<sup>-1</sup> L s<sup>-1</sup> at pH 8. According to Esplugas *et al.*,<sup>50</sup> the reactivity of the ·OH radical increases as the pH increases. The increased reactivity of the ·OH radical can help increase the rate constant during the ozonation.

### 3.2 Effects of ozonation on functionalized *versus* graphitic domains of GO

The abovementioned characterization results indicate that oxidation occurred on the surface of GO, in agreement with

other studies that investigated the reactions between O<sub>3</sub> and graphene-based materials.<sup>22,23,32</sup> However, the relative effects of ozonation on the functionalized domain (*e.g.*, the edges of GO) *versus* the O-functionality-free domain are unclear. For example, even though holes were observed on GO nanosheets upon ozonation, the reason behind the increased proportion of C=O and O-C=O groups is not clear. To further understand the effects of ozonation on the graphitic domain of GO, ozonation of RGO and graphene, both of which contain very minimal functionalization (sp<sup>3</sup> carbon), was performed.

Fig. 3a and b show the FTIR analysis of RGO and graphene before and after ozonation. Similar to GO, all the O-containing functional groups on RGO and graphene increased notably. The XPS spectra of RGO and graphene before and after ozonation were also compared (Fig. S5a-d and Table S2†). Ozonation increased the O/C ratio of RGO by almost 94% (from 0.18 to 0.35) and that of graphene by 170% (from 0.10 to 0.27). In agreement with FTIR results, the relative abundance of the different O-functional groups on the surface of the materials increased upon ozonation. The results clearly show the functionalization of aromatic carbon rings by ozonation. However, these results alone cannot provide a definitive confirmation that the unfunctionalized (sp<sup>2</sup>) aromatic rings of GO can be readily oxygenated, in that it is possible that oxygenation from ozonation might only have occurred at the reactive sites that are adjacent to the existing O-functional groups on the aromatic rings.<sup>51</sup>

To examine the effect of ozonation on the graphitic domains of GO, graphite was also ozonated and characterized. Although the size and layers of graphite are different from GO's, the exclusively unfunctionalized aromatic structure makes graphite ideal for determining whether ozonation can functionalize sp<sup>2</sup> aromatic carbon. As shown in the FTIR spectrum of graphite in Fig. 3c, O-containing functional groups were clearly formed on the surface of graphite upon ozonation. In addition, XPS analysis showed that ozonation increased the atomic oxygen in graphite from approximately 1% (probably due to impurities) to nearly 13% (Fig. S5e and f, Table S2†), with the highest increase observed in the C-O group (that is, C-O-C/C-OH). Based on this result, we conclude that ozonation of GO can lead to the oxygenation of unfunctionalized aromatic rings regardless of their proximity to existing functionalized carbon groups. Moreover, Raman analyses showed increased defects on O<sub>3</sub>-treated RGO, graphene, and graphite (Fig. S6†).

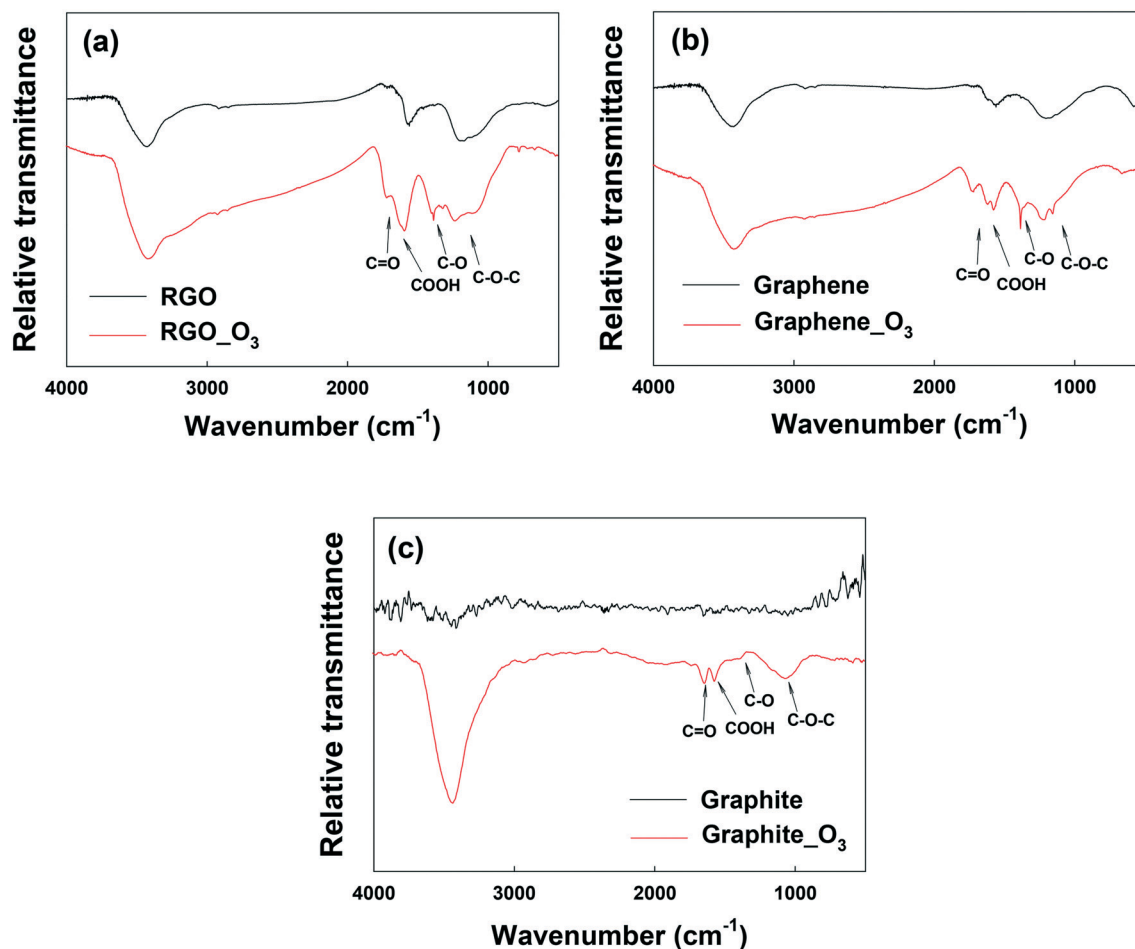


Fig. 3 FTIR spectra of (a) reduced graphene oxide (RGO), (b) graphene, and (c) graphite before and after ozone treatment.

### 3.3 Roles of $O_3$ and $\cdot OH$ in GO transformation

To identify the roles of  $O_3$  and  $\cdot OH$  radicals in the oxidation of GO, we added *tert*-butanol (*t*-BuOH) to GO suspensions before ozonation. *t*-BuOH is a scavenger that can selectively eliminate  $\cdot OH$  radicals in suspension without reacting with  $O_3$ ,<sup>26</sup> and can thus single out the specific role of  $O_3$  in the transformation of GO. Fig. 4a and b show the physico-chemical characterization of  $O_3$ -treated GO with and without *t*-BuOH. FTIR spectroscopy revealed that the O-functional groups changed substantially both without *t*-BuOH (*i.e.*, when both  $O_3$  and  $\cdot OH$  were active species) and when *t*-BuOH was present (*i.e.*, in the absence of  $\cdot OH$ ) upon ozonation (Fig. 4a), indicating that both oxidants played important roles in GO transformation. An interesting observation, as shown in Fig. 4a, is that the O-functional groups of GO decreased when *t*-BuOH was present (*i.e.*, in the absence of  $\cdot OH$ ). A possible explanation is that  $O_3$  can further oxidize the OH group to C=O and O-C=O groups, resulting in aromatic ring cleavage,<sup>51</sup> and eventual decomposition of GO (*i.e.*, loss of functional groups and the formation of low molecular weight compounds).<sup>29</sup> This result was corroborated by XPS analysis (Fig. 4b, Table S3†), which confirmed the reduced oxygena-

tion of GO in the absence of  $\cdot OH$ . These results indicate that both  $\cdot OH$  and  $O_3$  are important for the transformation of the O-functionality-containing domains of GO. We also observed crumpling of GO nanosheets and formation of holes on the basal plane of GO when ozonated in the absence of  $\cdot OH$ , as shown in TEM (Fig. S7c†) and AFM (Fig. S7f†) micrographs. This supports our hypothesis that  $O_3$  alone (*i.e.* in the absence of hydroxyl radicals) can cause the decomposition and fragmentation of GO.

To understand the individual effects of  $O_3$  and  $\cdot OH$  on the unfunctionalized aromatic domain of GO, an additional  $\cdot OH$ -scavenging (by *t*-BuOH) experiment was conducted using graphite. Fig. 4c and d show the  $O_3$ -induced transformation of graphite with and without *t*-BuOH. The FTIR spectrum of graphite after ozonation in the presence of *t*-BuOH was similar to the spectrum of untreated graphite. This shows that in the absence of  $\cdot OH$ ,  $O_3$  and other ROS species present cannot oxidize unfunctionalized aromatic carbon rings. This finding was also corroborated by XPS analysis (Fig. 4d and Table S4†). It is therefore clear that the addition of oxygen-functional groups onto the functionality-free domains of GO (*e.g.*, certain regions on the basal plane) could only occur through  $\cdot OH$  attack. This finding is in agreement with other studies, which

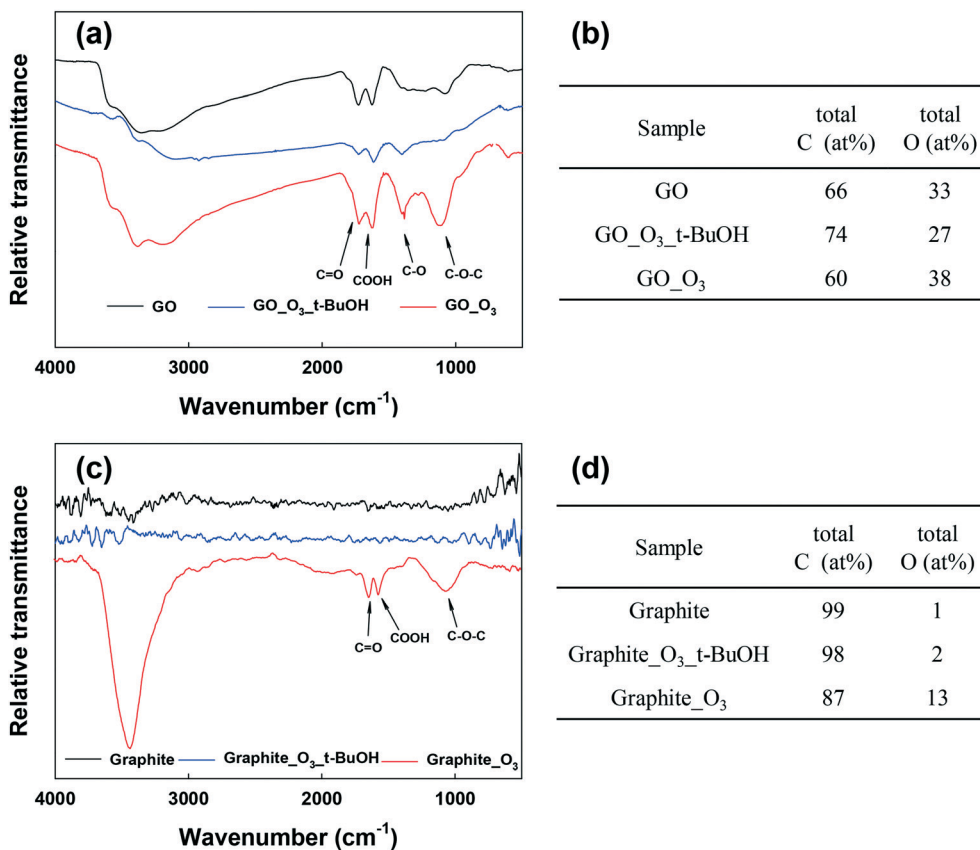


Fig. 4 (a) FTIR spectra of GO, GO<sub>3</sub> with t-BuOH and GO<sub>3</sub>. (b) C and O ratio of GO, GO<sub>3</sub> and GO<sub>3</sub> with t-BuOH obtained from XPS analysis. (c) FTIR spectra of graphite, Graphite<sub>3</sub> with t-BuOH and Graphite<sub>3</sub>. (d) C and O ratio of graphite, Graphite<sub>3</sub> and Graphite<sub>3</sub> with t-BuOH obtained from XPS analysis.

indicated that 'OH can attack the unfunctionalized aromatic ring of small molecular compounds.<sup>29–31</sup>

### 3.4 Mechanism of GO transformation by aqueous O<sub>3</sub>

Several conclusions can be made based on the abovementioned 'OH-scavenging experiments. First, 'OH can cause the addition of functional groups (especially the OH group) onto the surface of GO—probably both on functionalized and unfunctionalized aromatic carbon rings. Second, O<sub>3</sub> (by itself) cannot oxidize unfunctionalized aromatic carbon rings. Third, the major effect of O<sub>3</sub> is to oxidize the C–O–C/C–OH groups to C=O and O–C=O groups, which can cause aromatic ring cleavage.

Based on these results and the literature, we propose that the ozonation of GO occurred *via* two major pathways (Fig. 5). In pathway A, the ozonation of unfunctionalized aromatic rings, the aromatic double bonds on a graphitic basal plane are attacked by only 'OH, resulting in the addition of C–OH (a1) by electrophilic addition. 'OH and O<sub>3</sub> can further oxidize aromatic rings by electrophilic addition (a2) or dipolar cycloaddition (b1). As such, C–OH groups on GO could be oxidized to C=O (b2) and O–C=O (b3) groups, cleaving the aromatic rings.<sup>28–31</sup> Low molecular-weight compounds, CO<sub>2</sub> and H<sub>2</sub>O, may form from the cleaved rings (b4).<sup>30</sup> Pathway B

occurred on the aromatic rings with functional groups. The *ortho* and *para* positions beside a C–OH group present the highest electronic densities, and so they are the priority sites for dipolar cycloaddition of O<sub>3</sub> (b1) or electrophilic addition of 'OH (c1). Then GO may undergo bond attack by O<sub>3</sub> or 'OH to form C=O (b2) and/or O–C=O (b3), with ring cleavage and decomposition to low molecular-weight compounds (b4) with further O<sub>3</sub>/'OH oxidation. Reaction pathway A may be similar to pathway B after the addition of the OH group (a1).

### 3.5 Influence of ozonation on the environmental fate and effects of GO

As we have shown, ozonation led to the oxidation and aromatic ring cleavage of GO. In addition, there was an overall increase in the oxygen content of GO after ozonation, which may further increase the hydrophilicity of the nanomaterial. All these O<sub>3</sub>-treatment induced changes may affect the behavior of GO in the environment. To understand the influence of ozonation on the environmental fate of GO, we examined how the changes of GO's physicochemical properties from ozonation would affect its transport in saturated porous media and its adsorption affinities for common organic contaminants.

The transport of GO and GO<sub>3</sub> suspensions in porous media was studied in the presence of 35 mM NaCl or 0.3 mM



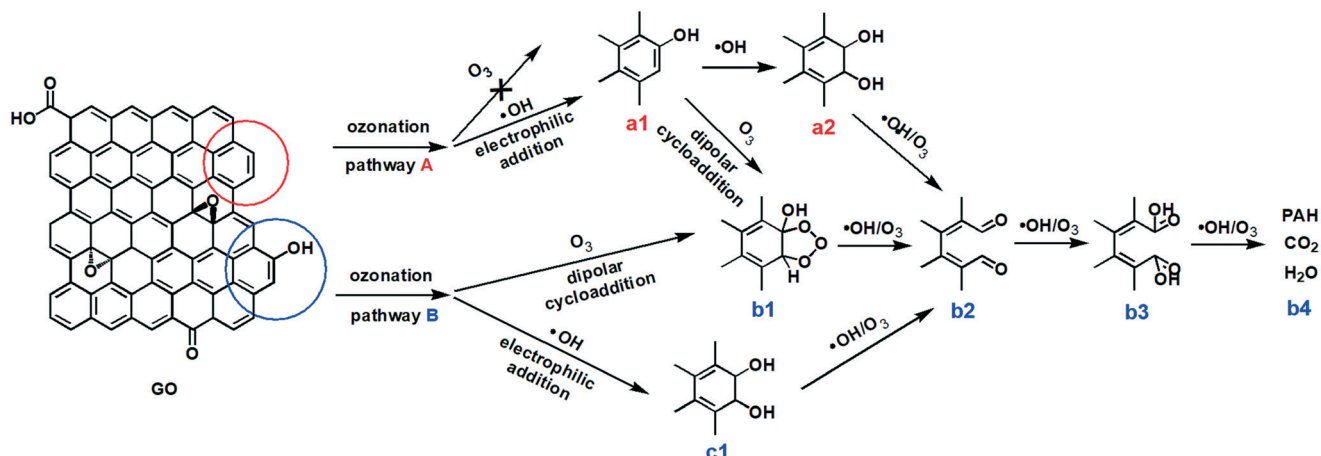


Fig. 5 Proposed reaction pathways for the ozonation of GO in aqueous media. Pathway (A): unfunctionalized aromatic carbon-carbon double bonds, which are on the edge of the graphitic basal plane. Pathway (B): aromatic carbon functionalized with a hydroxyl group.

CaCl<sub>2</sub> (in duplicate), similar to a previous study.<sup>17</sup> The breakthrough curves of the GO and GO<sub>O<sub>3</sub></sub> transport studies are shown in Fig. 6a and b. In the presence of 35 mM NaCl, GO<sub>O<sub>3</sub></sub> exhibited greater mobility in saturated quartz sand than the untreated GO. The greater mobility of O<sub>3</sub>-treated GO is consistent with the increased hydrophilicity (indicated by the decrease in  $K_{DW}$  from 0.47 for GO to 0.22 for GO<sub>O<sub>3</sub></sub>), which decreased the tendency of attachment to porous media.<sup>52</sup> Moreover, the hydrodynamic diameter ( $D_h$ ) of GO decreased considerably upon ozonation, from 186 nm for the

pristine GO to 120 nm for GO<sub>O<sub>3</sub></sub> (in 35 mM NaCl, Table S5<sup>†</sup>), which would weaken the van der Waals attraction between GO nanosheets and sand grains. Ozonation inhibited the transport of GO in the presence of Ca<sup>2+</sup>. Even though the eluted concentration of GO<sub>O<sub>3</sub></sub> reached the maximum at the same time as the pristine GO, the breakthrough concentration of GO<sub>O<sub>3</sub></sub> declined more quickly afterwards (Fig. 6b). The seemingly counterintuitive observation is consistent with the ozonation-induced changes in GO surface O-functionality. Specifically, ozonation resulted in more than

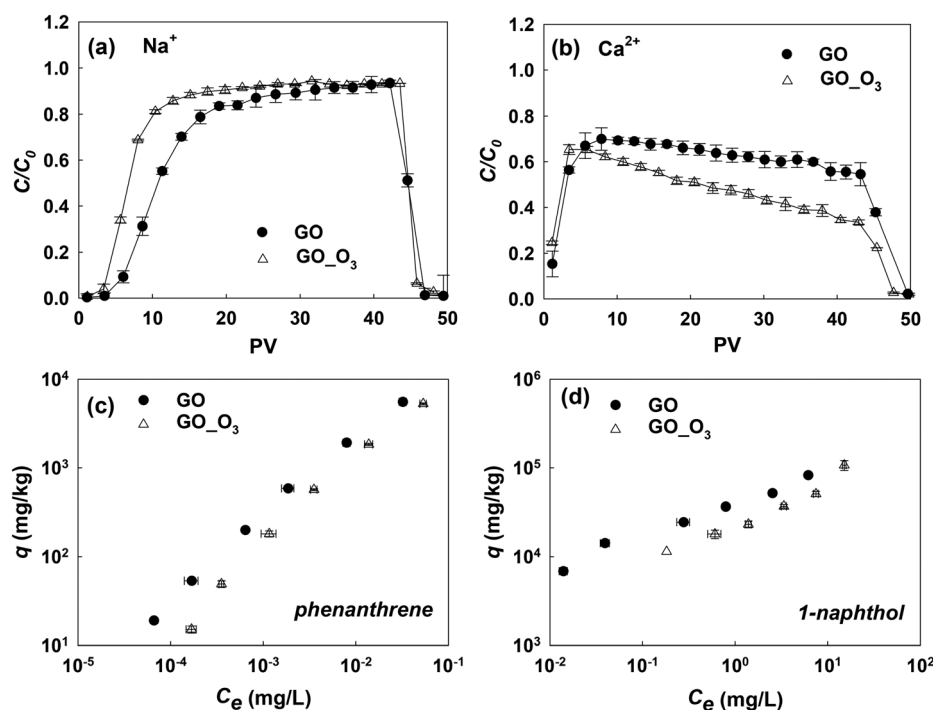


Fig. 6 Transport of GO and GO<sub>O<sub>3</sub></sub> in saturated quartz sand at (a) 35 mM NaCl (pH 6.0) and (b) 0.3 mM CaCl<sub>2</sub> (pH 6.0).  $C$  = concentration of GO in the effluent;  $C_0$  = concentration of GO in the influent;  $C/C_0$ , the ratio of the effluent concentration to the influent concentration. The data of breakthrough curves between 5 and 25 PV in the presence of Na<sup>+</sup> and after 10 PV in the presence of Ca<sup>2+</sup> were statistically significant by statistical analysis ( $t$ -test,  $P < 0.05$ ) performed with SPSS 22.0; adsorption isotherms of (c) phenanthrene and (d) 1-naphthol adsorbed onto GO and GO<sub>O<sub>3</sub></sub>.  $q$  (mg kg<sup>-1</sup>) and  $C_e$  (mg L<sup>-1</sup>) are equilibrium concentrations of contaminants on GO and in aqueous solution, respectively.



100% increase of the O=C=O group on the GO surface (Table 1), which facilitated the deposition of GO nanosheets to sand grains through cation-bridging,<sup>53–56</sup> wherein Ca<sup>2+</sup> served as the bridging agent by forming complexes between the O=C=O group on GO and the OH group on sand grains.

Fig. 6c and d show the comparison of the adsorption isotherms of phenanthrene and 1-naphthol on pristine GO and O<sub>3</sub>-treated GO. The isotherm experiments were conducted in duplicate, and the data were fitted with the Freundlich adsorption model (Table S6<sup>†</sup>). Clearly, ozonation impaired the adsorption affinities of GO for both model compounds. Adsorption of PAHs to GO is mainly driven by hydrophobic effects and  $\pi$ - $\pi$  interactions.<sup>39,57–59</sup> The impact of hydrogen bonding on the polar aromatic compound (1-naphthol) was not significant in this study. Thus, the decrease in the adsorption of phenanthrene and 1-naphthol was a direct consequence of the increased hydrophilicity and damaged graphitic structure (which decreased the  $\pi$ -electron density) of O<sub>3</sub>-treated GO.

## 4 Conclusions

Release of GO nanosheets into water and wastewater treatment plants will cause some physicochemical transformations of the nanomaterials. As shown in this study, GO is transformed in the presence of a relatively low O<sub>3</sub> concentration (5 mg L<sup>-1</sup>), similar to O<sub>3</sub> levels used during disinfection or tertiary treatment in drinking water/wastewater plants. Specifically, we observed cleaving of GO nanosheets and increased oxidation (including the oxidation of hydroxyl groups to carboxyl and carbonyl groups). This study showed that both the O<sub>3</sub> molecule and generated 'OH radicals were involved in the oxidation and transformation reactions. O<sub>3</sub> could only oxidize functionalized aromatic carbon rings in the absence of 'OH, while 'OH was involved in the oxidation of both functionalized and unfunctionalized aromatic carbon rings. After O<sub>3</sub> treatment, the increased hydrophilicity and decreased lateral size of GO led to an increase in the mobility of GO in Na<sup>+</sup> solution, but the mobility decreased in Ca<sup>2+</sup> solution because of bridging effects that were intensified by increased O=C=O groups. The adsorption of phenanthrene and 1-naphthol by GO both decreased due to increased hydrophilicity. One limitation of this study is that we only used pristine nanomaterials. Future studies should consider using nanomaterials incorporated into 'real-world' matrices (like polymers) and perform experiments under more environmentally relevant conditions (e.g. involving soils or sediments).

## Conflicts of interest

The authors declare that they have no conflicts of interest.

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