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# Deciphering the Role of Hydrothermal Pretreatment on Biomass Waste for Derived Hard Carbon with Superior Electrochemical Performance in Sodium-ion Battery

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## Abstract

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Due to the complex composition of biomass, the microstructure of derived hard carbon (HC) has a large number of defects and pores. This results in a low initial coulombic efficiency (ICE) and poor rate performance of sodium-ion batteries (SIBs), posing significant challenges to their practical application. This article proposes a hydrothermal pretreatment-assisted carbonization method to prepare almond shell-derived HC. Meanwhile, a comprehensive and systematic investigation was conducted to elucidate the impact of hydrothermal conditions (neutral and acidic conditions) on the crystallinity of the precursor and architecture of derived HC, as well as their correlation with sodium-ion storage performance. Hemicellulose in almond shell was selectively removed by the hydrothermal treatment in pure water, which effectively formed HC with a high storage sites and regular morphology, thereby improving electrochemical performance. The optimized biomass-derived HC exhibited a remarkable reversible capacity of 317.1 mAh g<sup>-1</sup> at 0.1 C, accompanied by an ICE of 85.9%. Even after 600 cycles at 1.0 C, an ultra-high capacity retention rate of 91.1% was achieved. Through in-situ and ex-situ characterizations, it was demonstrated that the excellent sodium-ion storage capacity results from the adsorption on surface and filling in closed pores of HC. This work provides important guidance for the design of biomass-derived HC as an anode material for SIBs.

**Keywords:** Sodium-ion battery; Hard carbon; Hydrothermal pretreatment; Sodium-ion storage mechanism



## Introduction

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Due to the uneven distribution and limitations of lithium resources, sodium-ion batteries (SIBs) have been considered as a supplement to lithium-ion batteries (LIBs).<sup>1-3</sup> However, compared with lithium ions, the larger radius of sodium ions renders traditional negative electrode materials of LIBs unsuitable for SIBs.<sup>4,5</sup> Developing new electrode materials is the key to realize the commercialization of SIBs.<sup>6</sup> The negative electrode materials of SIBs include metal oxides,<sup>7</sup> alloys,<sup>8</sup> organic frameworks,<sup>9,10</sup> and carbon-based materials.<sup>11,12</sup> Among them, hard carbon (HC) has become the most promising negative electrode material for SIBs due to its merits of abundant resources, low operating voltage, and high theoretical specific capacity.<sup>13,14</sup> Unlike the long-range ordered structure of graphite, HC is composed of randomly oriented and twisted graphite nanodomains with larger interlayer spaces (0.36–0.4 nm). It requires the precursors which have branched structures and contain functional groups (e.g. oxygen, sulfur and nitrogen, etc.) in order to prohibit the rearrangement of large graphite layers during carbonization.<sup>15-17</sup> Biomass perfectly meets the above demands and has become promising precursors for HC.<sup>18,19</sup>

Due to the complex composition, biomass-derived HC has high ash content and the microstructure is rich in defects and open pores on the surface, resulting in low initial coulombic efficiency (ICE) and poor rate performance in SIBs.<sup>20</sup> Pretreatment was widely adopted to tailor the composition of biomass to manipulate the microstructure of derived HC, thereby boosting the performance of SIBs.<sup>21,22</sup> For instance, Kim et al.<sup>23</sup> utilized ash-removal techniques to enhance the electrochemical performance of cocoa pod husk derived HC. A positive correlation between the acid treatment of peanut shell and their performance for SIBs was revealed by Dou et al.<sup>24</sup> Additionally, hazelnut shell-derived HC with the aid of HCl treatment to remove the inorganic components exhibits high sodium-ion storage capacity.<sup>25</sup> Zhang et al.<sup>26</sup> effectively regulated the microstructure of tea stem-derived HC materials through simple acid treatment. Obviously, acid or alkali treatments can effectively remove inorganic impurities from biomass to improve the capacity of HC, while it may introduce pores and defects which undermines the ICE and stability for SIBs.<sup>27,28</sup> In contrast, the hydrothermal method is a more effective and environmentally friendly



pretreatment to remove impurities from biomass. Combined with hydrothermal treatment, biomass shells derived HC achieved a significant improvement in electrochemical performance.<sup>29</sup> Previous studies have predominantly focused on the pretreatment of biomass to remove inorganic impurities, while neglecting its regulation on the microstructure of HC, which is closely related to their performance.<sup>30</sup> Nevertheless, the cellulose structure will be disrupted, along with the removal of impurities under different hydrothermal conditions, which led to the formation of large-scale graphitized structure and is not conducive to the sodium-ion storage behavior for derived HC. Therefore, a systematic study is needed on hydrothermal pretreatment to optimize the electrochemical performance of HC.

Almond shell, as a kind of waste fruit shell biomass materials, is composed of cellulose, hemicellulose and lignin, which is a promising precursor of HC. Herein, we provide a hydrothermal pretreatment-assisted carbonization method to convert almond shell into highly efficient HC. Particularly, the crystallinity of cellulose was selectively regulated by neutral (pure water) hydrothermal pretreatment, the microstructure and the closed pore structure in derived HC was adjusted, which is conducive to enhance sodium-ion storage capacity. The optimized biomass-derived HC exhibits high reversible capacity of 317.1 mAh g<sup>-1</sup> at 0.1 C with ICE of 85.9%, and the capacity could be kept at 91.1% even after 600 cycles. This work provides a significant guidance for the fabrication of biomass-derived HC as anode materials for commercial SIBs.

## Experimental section

### Synthetic methods

Almond shells were smashed to obtain precursor powder (almond shells originate from Hebei province). The pretreatment was conducted via hydrothermal, 20.0 g of the precursor was dispersed in 100.0 mL of pure water, and then transferred into a 150.0 mL Teflon-lined stainless-steel autoclave. Subsequently, the reactor was heated at a constant temperature of 180 °C for 24 h to conduct the hydrothermal reaction, then washed with deionized water until it became neutral and dried overnight in a vacuum oven at 60 °C. The obtained

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sample was named as HY-W. The carbonization process was performed with a heating rate of  $5\text{ }^{\circ}\text{C min}^{-1}$  until  $1100\text{ }^{\circ}\text{C}$  and maintained for 5 h under an argon atmosphere. The obtained HC sample was named HC-W. HY-A and HC-A were prepared using the same method, except that the hydrothermal solvent was 1.0 M sulfuric acid aqueous solution (the sulfuric acid solution was purchased from China National Medicines Corporation Ltd). In contrast, the precursor without pretreatment was named HY-P, and HC-P was directly carbonized without undergoing hydrothermal treatment.

### Materials Characterization

The morphology characteristics of the samples were measured through the application of scanning electron microscopy (SEM, JSM-7800F, Electronics Corporation, Japan) and transmission electron microscopy (TEM, JEM2100, Electronics Corporation, Japan). The thermal decomposition behavior of the precursor was determined by thermogravimetric analysis (TGA). The testing temperature range was set from room temperature to  $1000\text{ }^{\circ}\text{C}$ , with a heating rate of  $10\text{ }^{\circ}\text{C min}^{-1}$ . The crystal structure of the HC materials was analyzed by X-ray diffractometer (XRD, Empyrean X-ray diffractometer). The testing condition was the  $\text{Cu K}_{\alpha}$  radiation within the range of  $10^{\circ}$  to  $90^{\circ}$ . The functional groups of the samples were tested by Fourier transform infrared spectroscopy (FTIR, Invenio, Bruker). The Brunauer-Emmett-Teller (BET) method was utilized to characterize the surface area and pore size distribution under the conditions of 77 K and nitrogen atmosphere. Small-angle X-ray scattering (SAXS) was conducted using the Anton Paar SAXseess mc2 to test the gyration radius of the samples. The molecular structure of the samples was analyzed by Raman spectroscopy (Nanowizard) with a wavelength of 532 nm. The molecular structure and valence state were analyzed by X-ray photoelectron spectroscopy (XPS, Thermofisher Escalab 250 Xi<sup>+</sup>).

### Electrochemical Measurements

In electrode preparation, 92% HC material, 3% Super P, and 5% polyvinylidene fluoride were weighed and then thoroughly mixed with a suitable quantity of N-methylpyrrolidone to form a homogeneous slurry. Subsequently, the slurry was evenly coated onto double-sided carbon-coated aluminum foil, ensuring a uniform thickness. Next, the coated foil was placed in a vacuum



environment at 100 °C and dried for 12 h to completely remove the solvent. After drying, the electrode was cut into circular pieces with a diameter of 12.0 mm, the similar load mass of working electrode was contained of 2.0–2.3 mg cm<sup>-2</sup>. For the assembly and disassembly of CR2032 coin cells, all operations were carried out within a glove box filled with argon. The content inside the glove box of both water and oxygen was strictly controlled to be less than 0.1 ppm. In the assembly, metallic sodium was selected as the counter electrode. The electrolyte consisted of 1.0 M sodium hexafluorophosphate dissolved in diglyme. Additionally, GF/A glass fiber with a diameter of 19.0 mm was used as the separator.

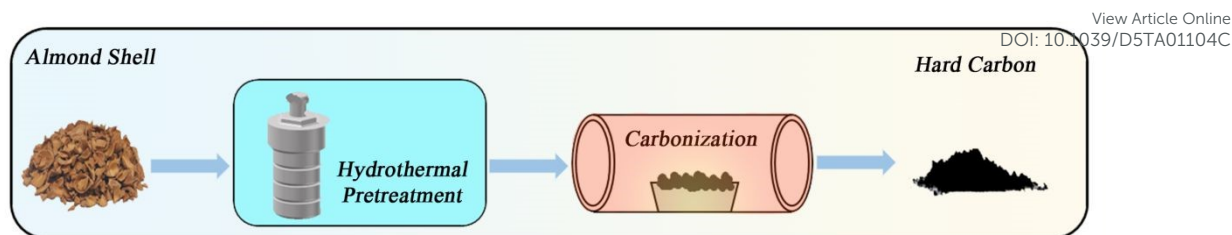
The galvanostatic charge-discharge experimental tests were executed within the Neware battery testing system, which was procured from Shenzhen. Cyclic voltammetry (CV) was conducted via an electrochemical workstation, with the scanning rates adjusted to range from 0.1 to 2.0 mV s<sup>-1</sup>. On the identical electrochemical workstation, when performing electrochemical impedance spectroscopy (EIS), the amplitude was set at 5.0 mV and the frequency spectrum spanned from 10<sup>-2</sup> to 10<sup>5</sup> Hz. For the galvanostatic intermittent titration technique (GITT), the test current was maintained at 20.0 mA g<sup>-1</sup>, with the pulse current lasting 10 minutes and the relaxation interval was set as 3 h. The scanning range of the in-situ XRD was configured to be between 10° and 90°, with each scanning cycle completed within 10 minutes. The in-situ XRD measurements were carried out during the charge and discharge processes at a current density of 0.15 C.

## Results and Discussion

The synthesis process of almond shell-derived HC is shown in Fig. 1. The preparation method consists of two steps: hydrothermal treatment and carbonization. Of which, cellulose with different degrees of crystallinity is formed under different hydrothermal conditions. Subsequently, during the carbonization process, HC products are gradually generated, resulting in different pseudo graphic microstructure.







**Fig. 1** Schematic diagram of preparation of almond shell-derived HC.

The thermogravimetric analysis (TGA) was employed to analyze the thermal decomposition behavior of almond shell. The curve (Fig. S1) showed two main regions of mass loss for the precursors: a small peak corresponding to the release of inherent water at 100 °C and a weight loss occurring from 200 to 500 °C, which was due to the devolatilization of biomass. The main component of lignocellulose and most impurities could be decomposed in this region simultaneously.<sup>31</sup> It is worth noting that the presence of these impurities disturbs the generation of highly efficient HC. The structure of hydrothermal pretreated precursors was determined using X-ray diffraction (XRD), which offers overall information about the cellulose crystal phase. The main peaks observed at approximately 15° and 22° corresponded to the (110) and (002) reflection planes of cellulose. The height of the (002) peak represents the sum of the crystalline and amorphous components. In this empirical method, the amorphous-only component is represented by the intensity at the minimum of about 18° between the (110) and (002) peaks. In addition, the crystallinity of cellulose could be calculated according to the formula:

$$C_r I = (I_{002} - I_{AM}) / I_{002} \quad (1)$$

where  $I_{002}$  represents the highest intensity of (002) and  $I_{AM}$  is measured according to the minimum intensity value between (110) and (002). The calculation results showed that the crystallinities of HY-P, HY-A and HY-W were 42.7%, 18.4% and 46.5% respectively (Fig. S2). As noticed, the results indicated that the hydrothermal treatment with pure water could decompose the amorphous components and improve the crystallinity of the precursor. When the hydrothermal solvent changes from neutral to acidic, the crystallinity of cellulose decreased sharply in the HY-A sample, which is attributed to the severe hydrolysis of cellulose in the precursor in acid solution.<sup>32</sup> It could be confirmed that the crystallinity of cellulose in precursor could be adjusted by the hydrothermal conditions.





Furthermore, Fourier transform infrared spectrometer (FTIR) spectra were characterized to comprehensively elucidate the chemical structure of the precursors under different hydrothermal conditions. As shown in Fig. S3, there is a broad peak at approximately  $1100\text{ cm}^{-1}$ , corresponding to the complex aromatic structure of HY-P lignocellulose. After hydrothermal treatment in pure water medium, the C–O vibration peaks were enhanced at  $1110\text{ cm}^{-1}$  and  $1350\text{ cm}^{-1}$ , indicating that HY-W mainly contains cellulose based structures. In contrast, these peaks for HY-A were relatively weak, indicated that the fewer oxygen-containing functional groups were retained. This might be due to more polycondensation reactions occurred during the hydrothermal process, resulting in a lower cellulose content in the precursor. In summary, the HY-W sample exhibited a relatively high cellulose content, which was consistent with the structure characterized by XRD.

In order to reveal the influences on derived HC from different degree of crystallinity of cellulose, three types of samples (HY-P, HY-A, HY-W) were carbonized to obtain HCs. The micromorphology of prepared HCs (HC-P, HC-A, HC-W) was characterized by scanning electron microscope (SEM) and high-resolution transmission electron microscope (HRTEM). As shown in Fig. 2a-c, the particles of the HC-P material were found to have an irregular morphology with abundant open porous structure. In contrast, both HC-A and HC-W exhibited regular morphology characteristics. Among them, HC-A showed a smooth surface morphology, but some flaky crystalline solid appeared on the surface of HC-W. HRTEM analysis was further used to elucidate the local structure of prepared materials as shown in Fig. 2d-f and Fig. S4. The typical microstructure of HC with chaotic stacking graphite domains of short-range carbon layers could be observed in all images and the interlayer spacing was measured to be about  $0.4\text{ nm}$ . All the images indicated that the HC subjected to hydrothermal treatment presented a spherical morphology. Specifically, the size of the HC obtained under pure water was relatively large, while that obtained under acidic conditions was relatively small. Carbon spheres of different sizes have different microstructures, which affects their electrochemical properties.

In order to determine the influences on surface porous structure by hydrothermal pretreatment, the open porosity of HCs was characterized by  $\text{N}_2$

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adsorption-desorption isotherms. It was observed that all the materials showed IV curves with hysteresis loops (Fig. 2g), implying the generation of mesoporous structure.<sup>33</sup> While HC-A exhibited a much larger gas adsorption capacity than HC-P and HC-W, it indicated that HC-A prepared from a lower crystallinity precursor would possess more exposed sites. On the contrary, the smallest gas adsorption capacity was obtained from HC-W, which indicated that the high crystallinity was conducive to the formation of a denser surface structure. The surface structure is closely related to the electrochemical performance of ICE, which have an effect on the decomposition of electrolyte and the formation of solid electrolyte interphase (SEI) film.<sup>34</sup> In addition to the open porous structure, the closed pore was characterized by small-angle X-ray scattering (SAXS). As shown in Fig. 2h, all the samples exhibited the high scattering intensity from the closed pores. Compared with HC-A and HC-P, HC-W exhibited the highest scattering intensity, suggesting that HC-W formed the most closed pores.<sup>35</sup> The plots fitted by three main contributions to the scattered intensity were shown in Fig. 2i which include a power law at low  $Q$ , arising from scattering of the whole HC particles ( $I_{\text{particles}}$ ); a shoulder region about  $0.3\text{--}5\text{ \AA}^{-1}$  attributed to the scattering from closed pores ( $I_{\text{pores}}$ ) and a background term ( $I_{\text{bckg}}$ ) coming from the tail of interatomic scattering:

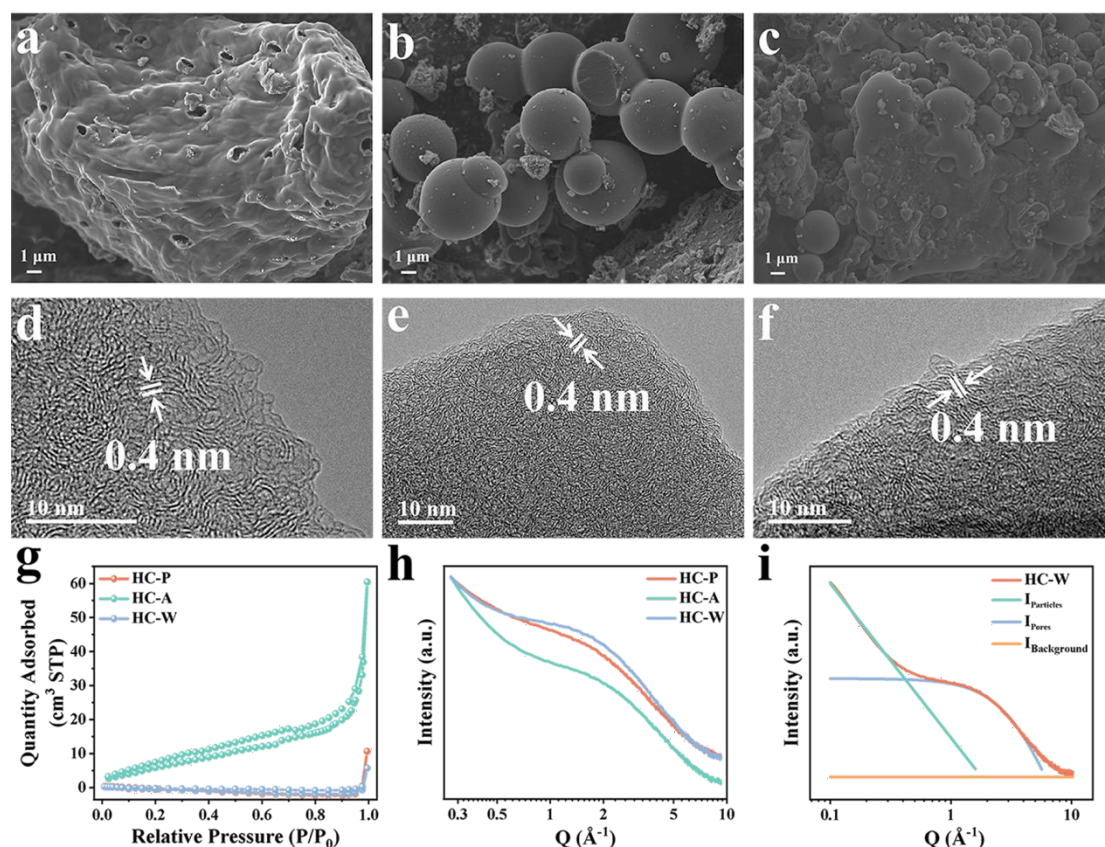
$$I_{\text{SAXS}}(Q) = I_{\text{particles}}(Q) + I_{\text{pores}}(Q) + I_{\text{bckg}} \quad (2)$$

$$I_{\text{bckg}} = C \quad (3)$$

$$I_{\text{particles}}(Q) = A/Q^K \quad (4)$$

where  $A$  is proportional to the total surface area of large particles,  $K$  represents the slope determined by Porod's law, and  $C$  is a constant. When fitted by different contributions, the size of the closed nanopores in HC-W is larger than HC-A (Fig. S5). All the results showed that hydrothermal pretreatment by pure water is beneficial to optimize the surface density and form closed pores of derived HC.





**Fig. 2** SEM images of (a) HC-P, (b) HC-A, (c) HC-W. TEM images of (d) HC-P, (e) HC-A, (f) HC-W. (g) N<sub>2</sub> adsorption-desorption isotherms and (h) SAXS patterns of HC-P, HC-A and HC-W. (i) Fit SAXS pattern of HC-W.

Furthermore, the surface chemical composition and chemical states of C and O were revealed by X-ray photoelectron spectroscopy (XPS). Fig. 3a-f showed that the high-resolution XPS spectrum of C 1s for HCs was deconvoluted into three distinct peaks with binding energies of 284.1, 284.8 and 288.4 eV respectively, corresponding to sp<sup>2</sup>C, C–C and COOR. For detailed results, the high-resolution O 1s spectra of HCs displayed distinct peaks O=C (533.0 eV), O–C (531.6 eV), O (Arc) (530.0 eV). The presence of O=C and O–C bonds in HC-A and HC-W moved towards lower bonding energy compared with HC-P, indicating that the hydrothermal pretreatment is conducive to the increase in electron density and the formation of electron-rich surface.

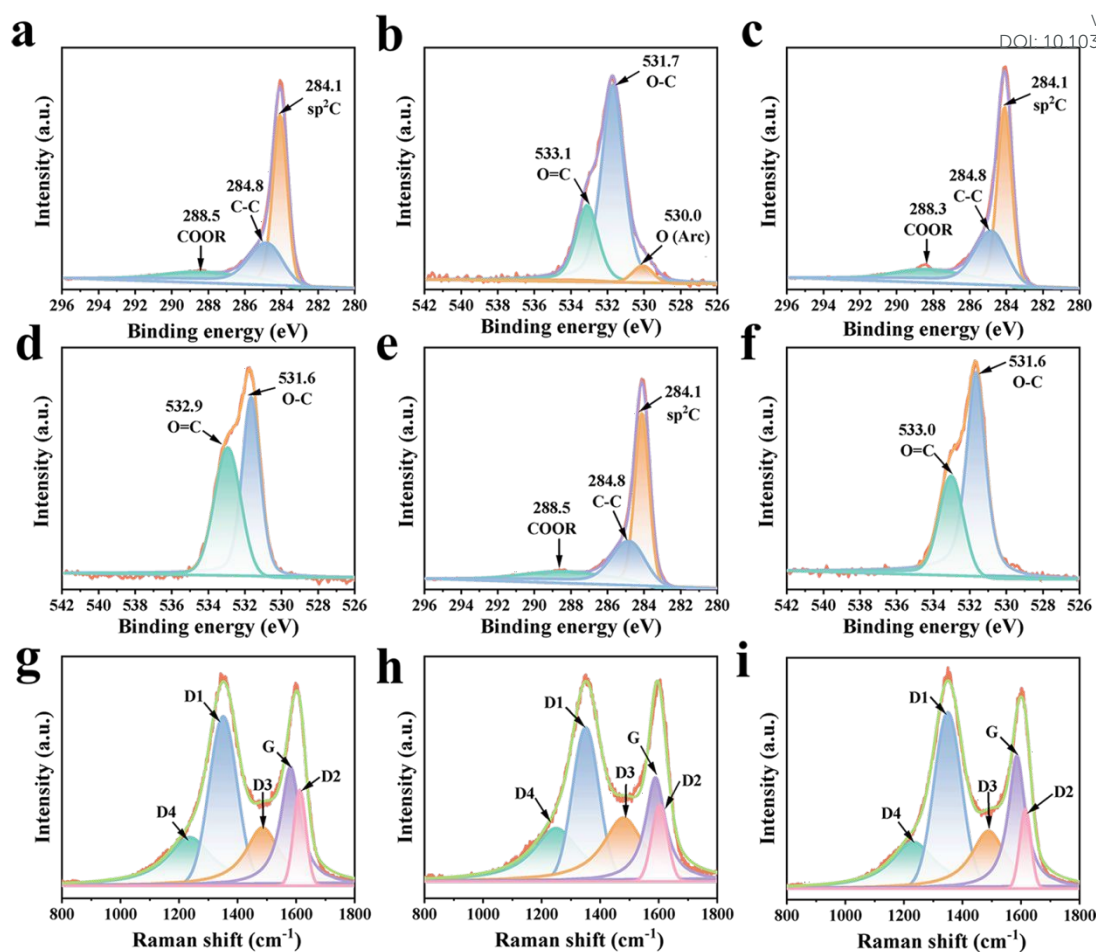
To reveal the effects of hydrothermal pretreatment, the microstructure of prepared HCs was determined using XRD. Fig. S6 showed that the patterns of HC-P, HC-A, and HC-W presented two broad diffraction peaks at 2θ of 22° and 43°, which corresponded to the (002) and (100) crystal planes respectively.



Typically, the fact that all the peaks were broad indicated the disordered pseudo-graphitic domains rather than long-ranged graphitization.<sup>36</sup> According to Bragg equation, the average interlayer spacing of the (002) was determined to be 0.4 nm in all HCs. However, the full width at half maximum (FWHM) of (002) peak was different in the three samples. Among them, HC-A showed the smallest FWHM, indicating the highest degree of graphitization, and HC-W presented the largest FWHM, suggesting that it had the lowest degree of graphitization. These results demonstrated that the degree of graphitization in almond shell-derived HCs was related to the crystallinity of cellulose in precursor.<sup>37</sup> The crystal size was quantified by calculating  $L_a$  and  $L_c$  (Table S1). The calculations showed that the parameters were not significantly different, which indicated that the changes in crystallinity brought by hydrothermal pretreatment could only change the degree of graphitic order rather than promote graphitic growth.

Raman spectroscopy further revealed the detailed crystallinity information. As shown in Fig. 3g-i and Table S2, the D and G bands were located at 1350 and 1590  $\text{cm}^{-1}$ , respectively, which could be further divided into five sub-peaks at 1590, 1350, 1610, 1480 and 1240  $\text{cm}^{-1}$ , namely G, D1, D2, D3, D4. The ratio of the D1 and G peaks was calculated based on the integral area ( $A_{D1}/A_G$ ) to characterize the disorder degree of the carbon. The  $A_{D1}/A_G$  values of HC-P, HC-A and HC-W were calculated as 1.37, 1.31 and 1.42 respectively. Moreover, the results also showed that the HC-A had the highest degree of graphitization even as an excessive degree, such a large-scale graphitized structure is not conducive to the formation of chaotic stacked graphitic planes of HC.<sup>38</sup>





**Fig. 3** High-resolution (a) C 1s, (b) O 1s spectra of HC-P. High-resolution (c) C 1s, (d) O 1s spectra of HC-A. High-resolution (e) C 1s, (f) O 1s spectra of HC-W. Raman spectra of (g) HC-P, (h) HC-A, (i) HC-W.

The electrochemical performance of HCs was preliminarily tested under the coin-type half-cell system, with metallic Na as the counter electrode. Fig. 4a displayed the galvanostatic charge-discharge curves at a current density of 0.1 C (1.0 C = 372.0 mA g<sup>-1</sup>) within the voltage range of 0–2.0 V. For all samples, the high reversible capacities were recorded as 263.4, 290.1 and 317.1 mAh g<sup>-1</sup> for HC-P, HC-A and HC-W, respectively. The HC-W electrode delivered a higher ICE of 85.9% than HC-P (80.0%) and HC-A (80.1%). The loss in capacity during the first discharge/charge curves is mainly associated with the formation of SEI due to the decomposition and irreversible ion trapping on the surface of HC. Obviously, HC-W possessed a denser surface structure, lower defects and open pores, which was propitious to form more regular SEI.<sup>39</sup> Considering the reversible capacity contributions in different regions shown in Fig. 4b: (1) both slope region reversible capacities of HC-A and HC-W were higher





than HC-P, which was mainly from the sodium-ion adsorption; (2) HC-W exhibited higher plateau region capacity than HC-A, which was possibly from the sodium-ion filling in closed pores.<sup>40</sup> This indicates that hydrothermal pretreatment is helpful to improve the slope reversible capacity, and neutral hydrothermal conditions are more conducive to improving the plateau capacity than acidic hydrothermal conditions. Compared with HC-W, HC-A has a higher degree of graphitization. However, an excessively high degree of graphitization is not conducive to the adsorption and storage of sodium-ion. Thus, the hydrothermal pretreatment by pure water of biomass can effectively manipulate the microstructure of derived HC, which leads to superior sodium-ion storage behavior both in the slope and plateau regions.

The rate performance was evaluated to determine the effect of the hydrothermal process on sodium-ion storage kinetic characteristics. As shown in Fig. 4c, HC-W showed the best rate performance, with reversible capacities of 306.0, 300.9, 293.1, 286.7, 280.3 and 272.5 mAh g<sup>-1</sup> at various current densities, respectively. Particularly, even at the current density of 10.0 C, the reversible capacity could remain at 266.8 mAh g<sup>-1</sup>. When the current density was restored to 0.1 C, the specific capacity of HC-W still remained at 300.7 mAh g<sup>-1</sup>. It is apparent that the rate performance of HC-W is better than others. The results indicate that excessively high degree of graphitization is unfavorable for sodium-ion storage, resulting in a reduction in rate performance.<sup>41</sup> The cycling stability of the prepared HCs at a current density of 1.0 C was shown in Fig. 4d. After 600 cycles, the capacity retention rate of HC-W was 91.1%, which was higher than that of HC-P (80.7%) and HC-A (90.6%). It can be concluded that the stable carbon structure from biomass experiencing hydrothermal pretreatment by pure water can withstand the volume changes during the repeated sodiation/desodiation processes. During the cycling process, the structure is not prone to collapse and can maintain relatively good cycling stability. Compared with reported works on biomass-derived HC as anodes in SIBs, the HC-W demonstrates much superior electrochemical performance (Table S3).

Furthermore, the research on the kinetics behavior of sodium-ion storage in HCs was investigated by cyclic voltammetry (CV) curves. As shown in Fig. 4e, Fig. S7 and S8, there were sharp reduction/oxidation peaks at 0.01/0.1 V. Meanwhile, a relatively small reduction peak appeared located at approximately 0.6 V in the first scanning cycle, which was attributed to the irreversible reaction between the electrolyte and the surface



functional groups of HC and the formation of the SEI. The diffusion-controlled process and the surface-controlled process can be represented by the equation:

$$i = av^b \quad (5)$$

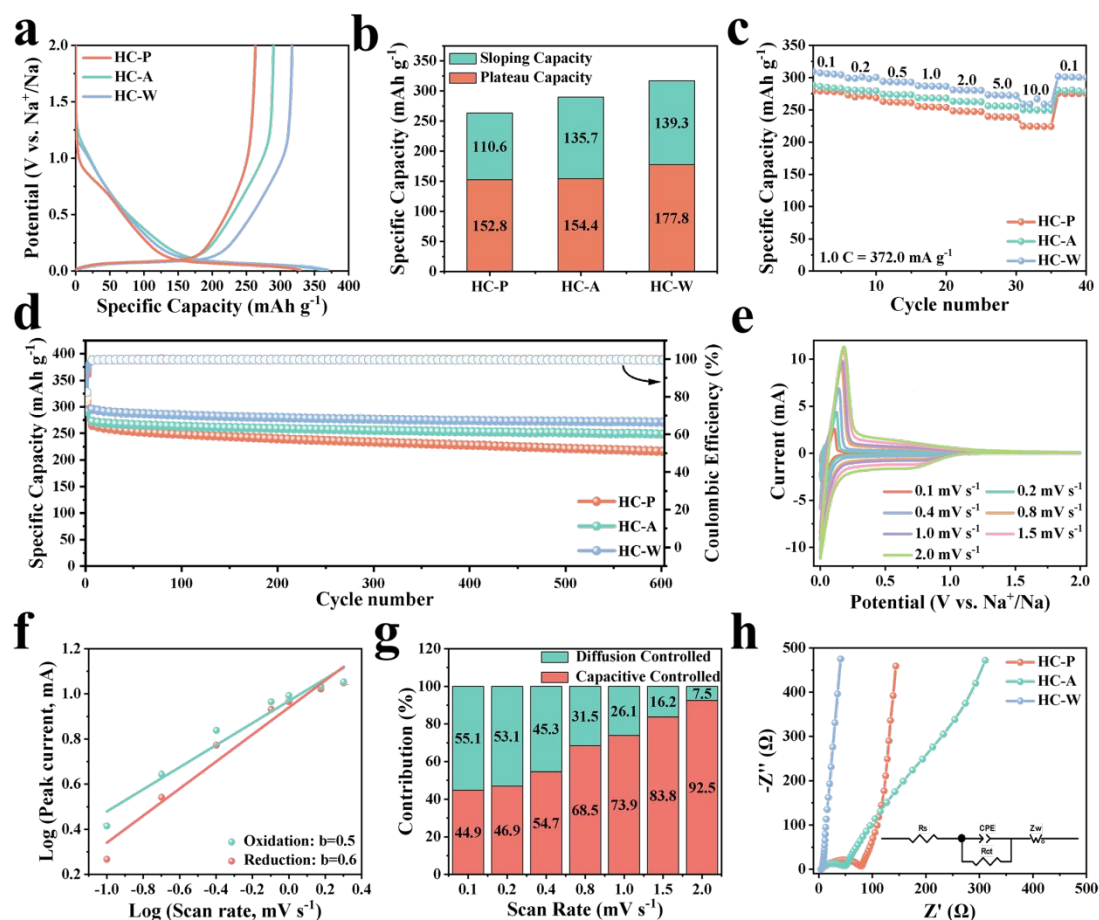
where  $i$  represents the peak current,  $v$  denotes the scanning rate, and  $a$  and  $b$  are constants. The change in the  $b$  value is regarded as an indicator of the main reaction mechanism. When  $b$  equals 1.0, it indicates that the kinetic behavior of sodium ions is surface-controlled, and when  $b$  equals 0.5, it is diffusion-controlled.<sup>26</sup> The  $b$ -values of the reduction and oxidation peaks of HC-W were 0.6 and 0.5 respectively, which were both higher than those of the reduction and oxidation peaks of HC-P and HC-A (Fig. 4f, Fig. S7 and S8). Therefore, the kinetics of the diffusion-controlled storage behavior in HC-W was faster. To quantify the contributions of the capacitive-controlled and diffusion-controlled processes, CV curves in the range of 0.1–2.0 mV s<sup>-1</sup> were carried out, as shown in Fig. 4g. As the scanning rates increased from 0.1 to 2.0 mV s<sup>-1</sup>, the proportion of the capacitive contribution increased. Consequently, for HC-W, the capacitive-controlled contribution reached 44.9% at 0.1 mV s<sup>-1</sup>, and when the scanning rate reached 2.0 mV s<sup>-1</sup>, the capacitive contribution could reach 92.5% of the total capacity, indicating its fast kinetic behavior in the sodium-ion storage process. Therefore, the HC-W electrode has superior rate performance at high current density, which is beneficial for the rapid charging and practical application of SIBs.

In addition, the sodium-ion storage and transport behaviors were analyzed through the electrochemical impedance spectroscopy (EIS) measurement. As shown in Fig. 4h, the Nyquist plot could be divided into two parts. A semicircle appeared in the high-frequency region related to the charge transfer resistance ( $R_{ct}$ ), and a sloping line appeared in the low-frequency region related to the Warburg impedance ( $Z_w$ ).<sup>21</sup> In the initial state, the  $R_{ct}$  of HC-W was 0.7  $\Omega$ , which was lower than 73.5  $\Omega$  of HC-P and 36.8  $\Omega$  of HC-A. The lower  $R_{ct}$  value indicates faster transport of sodium ions in negative electrodes, which is responsible for the improved rate performance. As an ideal sodium-ion storage structure, closed pores have faster electron and sodium-ion transport, which is kinetically advantageous for electrochemical processes.<sup>42,43</sup> Therefore, the Na<sup>+</sup> diffusion in HY-W is more convenient owing to the highly disordered graphitic structures and abundant active closed pores. Moreover, the HY-W sample featured a relatively high proportion of closed pore volume. These structural





properties collectively create a more favorable environment for the charge transfer process, effectively reducing the impedance encountered during the sodium-ion storage.



**Fig. 4** (a) The initial discharge/charge curves of HC-P, HC-A and HC-W at 0.1 C. (b) Discharge capacity contribution of the slope region and plateau region. (c) Rate performance of HC-P, HC-A and HC-W. (d) Cycling stability of HC-P, HC-A and HC-W at 1.0 C for 600 cycles. (e) CV curves at different scan rates from 0.1 to 2.0 mV s<sup>-1</sup> and (f) b-values of HC-W. (g) Capacitive contribution at different scan rates of HC-W. (h) Nyquist plots for initial state.

To gain a more comprehensive comprehension of the kinetic characteristics of Na<sup>+</sup> storage on HC, the galvanostatic intermittent titration technique (GITT) was employed to calculate the diffusion coefficient of Na<sup>+</sup> ( $D_{Na^+}$ ) on the anodes. The  $D_{Na^+}$  can be obtained through the following formula:

$$D_{Na^+} = \frac{4}{\pi\tau} \left( \frac{m_B}{\rho S} \right)^2 \left( \frac{\Delta E_s}{\Delta E_t} \right)^2 \quad (6)$$

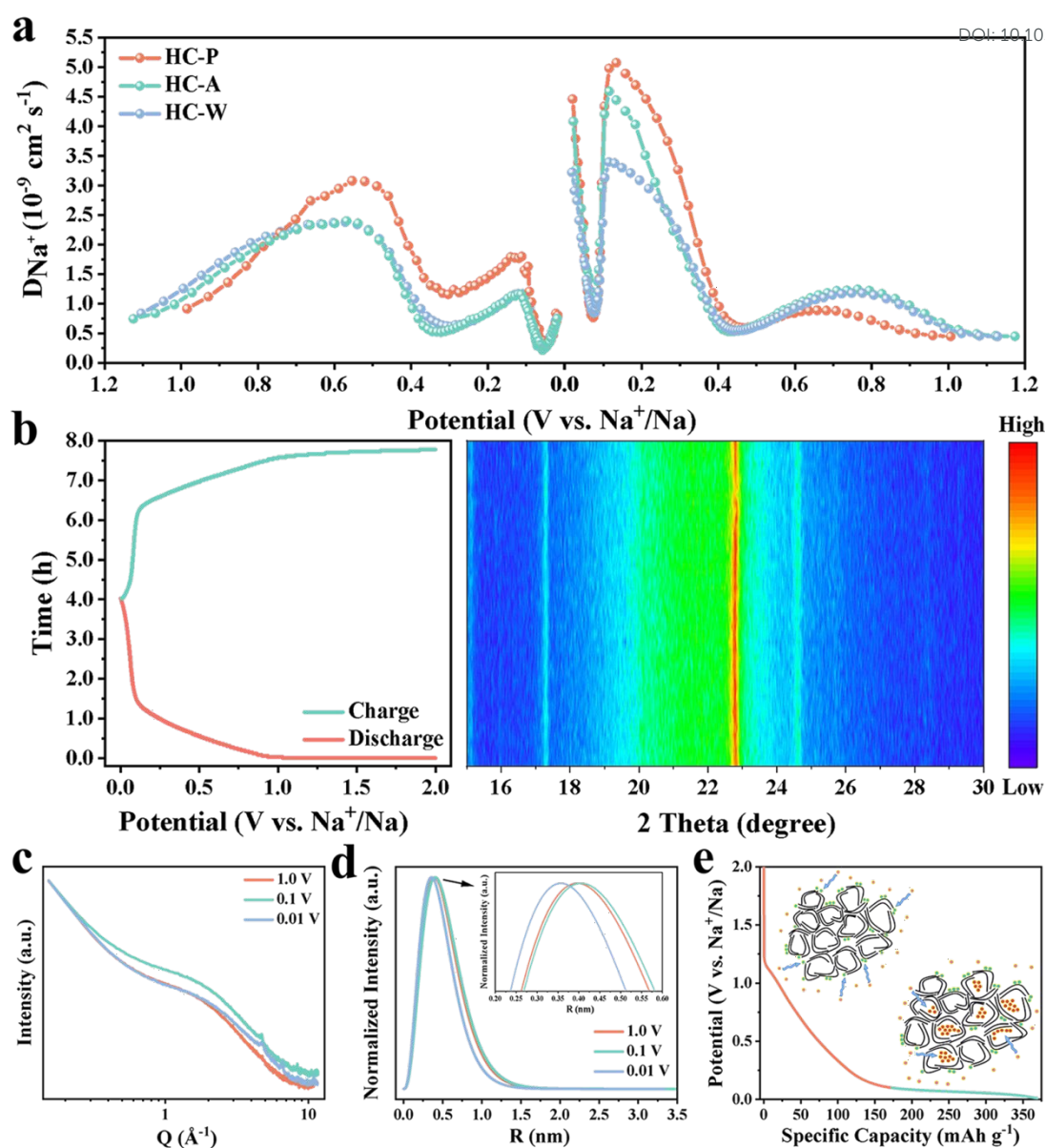
where  $\tau$  is the current pulse time, which is 10 minutes;  $m_B$  represents the mass of the electrode active material;  $\rho$  represents the density of the material, and  $S$  is the area of the electrode, which is 1.13 cm<sup>2</sup> in this paper;  $\Delta E_s$  represents the absolute value of



the voltage change at the end of two adjacent standstill periods, and  $\Delta E_{\tau}$  represents the absolute value of the voltage change at the beginning and end of a constant current pulse. Fig. 5a showed the obtained diffusion coefficient curve, and the curves of the sodiation processes of HC-P, HC-A, and HC-W exhibited similar trends. As depicted in Fig. 5a, the processes of different sodiation mechanisms could be divided into three stages with the variation of voltage. In the first stage, the apparent diffusion coefficient of  $\text{Na}^+$  above 0.5 V maintained rapid kinetic behavior, mainly attributed to the surface adsorption behavior. During the continuous sodiation process, the surface sites were gradually occupied, and the repulsive force among  $\text{Na}^+$  increased, leading to a decrease in the diffusion coefficient.<sup>44</sup> When the voltage was between 0.3 V and 0.1 V,  $D_{\text{Na}^+}$  showed a rebound. Finally, a plateau below 0.1 V was manifested on the voltage curve and  $D_{\text{Na}^+}$  presented an upward trend. To reveal the sodium-ion storage behavior in the plateau region, in-situ XRD characterization and ex-situ SAXS technique were employed. As shown in Fig. 5b, Fig. S9 and S10, there was no obvious peak shift or splitting of the (002) peak, indicating that intercalation behavior between carbon nanolayers didn't occur during the sodium-ion insertion/extraction process.<sup>45</sup> Meanwhile, ex-situ SAXS tests demonstrated that the pore size became smaller when discharging from 0.1 V to 0.01 V, suggesting that sodium-ion was filled in the closed pores (Fig. 5c and d, Fig. S11 and S12, Table S4). By conducting a thorough analysis of the aforementioned test outcome, the sodium-ion storage mechanism of the HCs was displayed in Fig. 5e. In general, the almond shell-derived HC exhibit sodium-ion storage mechanism of "adsorption-filling", where the slope capacity is attributed to the sodium-ion adsorption behavior in the high-voltage region and the plateau capacity is attributed to the sodium-ion filling in closed pores in the low-voltage region. The results showed that small-scale graphitic planes were chaotically stacked to form more closed pores through the hydrothermal pretreatment in pure water, resulting in the higher slope and plateau capacity for HC, leading to an improvement in rate performance and cycling stability in SIBs.

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**Fig. 5** (a) The diffusion coefficients of the discharge/charge process are calculated based on the GITT curves for HC-P, HC-A and HC-W. (b) The in-situ XRD pattern of HC-W at 0.15 C. (c) Ex-situ SAXS and (d) closed pore size distribution of HC-W at 1.0 V, 0.1 V, and 0.01 V. (e) The illustration of the sodiation mechanism.

## Conclusions

In summary, we employed different conditions of hydrothermal treatment (neutral and acidic conditions) to pretreat the shell biomass materials to optimize derived HC. The results showed that the degree crystallinity of cellulose could be improved by neutral hydrothermal pretreatment, which facilitated the formation of closed pores to



enhance the sodium-ion storage capacity and regulate the surface defects to improve the ICE. In contrast, the large-scale graphitized structure would be generated under the hydrothermal treatment with acid condition, which is not conducive to the sodium-ion storage behavior for HC. As a result, HC derived from almond shell after neutral hydrothermal pretreatment delivers a high reversible capacity of 317.1 mAh g<sup>-1</sup> with ICE of 85.9%, and excellent rate performance. This work provides a profound understanding of the influence of hydrothermal conditions (neutral and acidic conditions) on the chemical structure of the precursor and closed pores in derived HC as well as correlation with their sodium-ion storage microstructure in derived HC, thereby offering theoretical guidance for the structural design of effective biomass-derived HC materials.

### Author contributions

Wenxiu Li and Jian Cui: investigation, methodology, formal analysis, writing – original draft. Wendong Ye and Xuefen Song: investigation, methodology. Panpan Su: investigation, writing – review & editing, supervision. Tai Yang: investigation, methodology, supervision. Yongguang Zhang and Zhongwei Chen: conceptualization, writing – review & editing, project administration, supervision.

### Conflicts of interest

There are no conflicts to declare.

### Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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Any data that support the findings of this study are included within the article, and all the data used in the manuscript are available on request from the corresponding author.

