



Cite this: *RSC Sustainability*, 2025, 3, 1870

Preparation of thermally curable materials using lignin extracted from sawmill co-product†

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We have exemplified the chemical reactivity of a new commercially available organosolv-like lignin *via* reaction with various amines to form benzoxazine groups. The resulting benzoxazine-modified lignin has desirable thermal properties, making it an attractive alternative to non-renewable benzoxazine resins. The thermal properties of the lignin-benzoxazine products can be altered by increasing the number of benzoxazine groups within the lignin. This is achieved by increasing the number of unsubstituted phenol groups in the lignin prior to benzoxazine formation, thereby increasing the number of benzoxazine groups added to the lignin. We were ultimately able to form a curable system from phenolated lignin prepared from lignin extracted from low-value biomass using ultrasonic biorefinery technology *via* functionalisation with benzoxazine groups. The properties of the new lignin-based benzoxazines are compared to those of Kraft lignin (obtained from pulp) modified by the same chemical reactions.

Received 4th December 2024
Accepted 22nd February 2025

DOI: 10.1039/d4su00767k

rsc.li/rscsus

Sustainability spotlight

The UK generates approximately 4.5 million tonnes of waste wood every year, with around 45% of logs that enter a sawmill ending up as low-value by-products. The lignin within this is a potential valuable building block but is often difficult to work with. Naturally occurring lignin is not immediately transferrable to useful types of products and functionalisation is required to create useful materials. Here, we describe the use of a low molecular weight lignin as a building block for useful polybenzoxazines and show that this lignin provides improvements over traditionally used lignin.

Introduction

Polybenzoxazines (PBZs) are a relatively new class of thermosetting polymer that possess a range of interesting and useful properties. These properties include high thermal stability,¹ processability,² low water absorption,³ and high char yield.¹ PBZs are prepared *via* a Mannich-type reaction between a polyphenol, formaldehyde and an amine.⁴ The versatility of this reaction, supplied by the vast number of possible amine starting materials, provides enormous scope for designing PBZs for specific applications.^{5–10} There is also potential for preparing composite materials by mixing PBZs with epoxides.⁴

Conventional benzoxazine (BZ) synthesis relies on petroleum-derived monomers. Due to their adverse effects on

the environment, there is a strong need to reduce reliance on petrochemicals. An attractive alternative is use of bio-derived materials,^{11–13} such as lignin. Lignin is one of the most abundant natural biopolymers found in biomass. Massive amounts of lignin are generated during the processing of wood, with 98% of this lignin being burned as low-value fuel.¹⁴ There is therefore a vast quantity of abundant and cheap lignin available for valorisation. Use of such biopolymers reduces reliance on non-renewable, petrochemical-based materials and allows sustainable, environmentally safe and biodegradable materials to be used in their place.

There are several advantages to preparing BZs directly from a polymer. For example, the chain ends present in PBZs derived from monomeric BZs tend to degrade more easily than the main chain, making BZs prepared from polymeric starting material more thermodynamically stable.¹⁵ The presence of a pre-formed polymer also allows the system to make use of the properties already held by the polymer.

Lignin has a complex structure with non-uniform repeating units. The aromatic units in lignin are generally referred to as H, G, and S depending on the number of methoxy groups present on the aromatic ring (Fig. 1a).¹⁶ The number and distribution of these units within the lignin structure depends on the lignin source (*e.g.*, species of plant, environmental conditions in

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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d4su00767k>

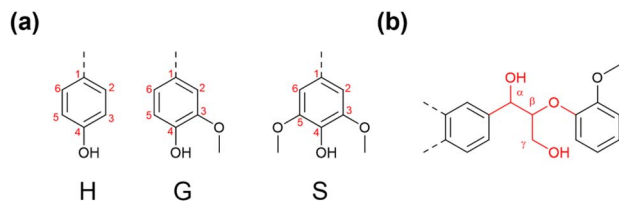


Fig. 1 Chemical structures of (a) the aromatic units found in lignin and (b) the main linker group present in lignin, β-O-4 (red).

which the plant has grown) and the method by which the lignin was extracted from its biomass. The aromatic units are held together by various linkers. These linkers are predominantly aryl-ether linkages (e.g. β-O-4, Fig. 1b).¹⁷ The main functional groups present in lignin are phenols, ethers and hydroxyl groups.

Owing to its structure, lignin can be used to prepare phenolic resins.^{18–21} Such resins are widely used due to their high heat resistance,²² electrical insulation,²³ dimensional stability,⁴ low flammability²² and smoke generation.²⁴ However, these resins often suffer from short shelf-life, release of by-products during polymerisation and brittleness. BZ-based phenolic resins are an attractive alternative to traditional phenolic materials as they do not produce by-products during polymerisation and have high resistance to water and heat.²⁵ The thermomechanical properties of BZ resins can be improved by introducing components with low glass transition temperature (T_g).²⁶

Previous work has prepared BZ/lignin mixtures to tune the thermal properties of BZ-based materials.^{27,28} Increasing lignin content was shown to reduce the maximum curing temperature and increase T_g .²⁷ Sonichem lignin (SL) is extracted from the by-products of forestry using Sonichem's ultrasonic biorefinery technology.^{29,30} Sonichem lignin has relatively low molecular weight (~1500 Da, Fig. S1, ESI†) and high solubility compared to other currently available lignins.

Lignin from sawmill co-product is usually extracted using the Kraft or sulfite pulping process, with a focus on the production of low-lignin cellulose for pulp and paper manufacture. As such, the lignin is essentially a waste product and is most typically burned for energy recovery, and to allow the isolation and recovery of the inorganic processing chemicals to improve the economics of the processing plant. When lignin is isolated, it often has a very broad molecular weight distribution from a few hundred to tens of thousands of Daltons.³¹ This lignin also has a high sulfur content as a consequence of the chemicals used in the pulping process, which limits its end uses.³² Organosolv lignin is typically obtained using a milder process than either Kraft or sulfite methods, which allows the isolation of more 'natural', less-condensed lignin with no added sulfur.³³ The properties of organosolv lignin are highly dependent on both the starting biomass and the isolation method. Sonichem lignin is isolated using a low-temperature, ultrasound-assisted process that reduces lignin condensation and fractionation during extraction, producing a highly soluble, low molecular weight powder with a low polydispersity index (PDI).

The low molecular weight of Sonichem lignin removes the need to depolymerise lignin for preparation of BZs.^{8,34} Here, we show the reactivity and use of Sonichem lignin by reacting it with various amines (Fig. 2), allowing formation of BZ groups within the lignin structure. The resulting materials exhibit favourable thermal properties. The number of BZ groups introduced can be increased by phenolating the lignin prior to BZ formation.³⁵ Phenolation increases the number of unsubstituted phenols, or H units, present in the lignin. We compare the performance of Sonichem lignin with commercially available Kraft lignin (KL) purchased from Sigma-Aldrich. The use of diamines in BZ synthesis provides potential for cross-linking *via* further BZ formation using the second amine (Fig. 2).

Results and discussion

Sonichem lignin is isolated from the by-products of sawmills using a low energy ultrasonic process. This method of extraction results in Sonichem lignin possessing lower molecular weight than most commercially available lignin. Results from solid-state ¹³C cross polarisation (CP) magic angle spinning (MAS) NMR spectroscopy (Fig. 3), liquid-state ³¹P NMR spectroscopy of phosphitylated materials (Fig. 4 and 10) and FTIR spectroscopy (Fig. 5) show Sonichem lignin contains similar functional groups to commercially available Kraft lignin, but in different proportions, highlighting the potential for different properties and reactivities. Interestingly, the FTIR spectra of Sonichem lignin is very similar to spectra found in literature corresponding to organosolv lignin, suggesting the two may have similar properties. Unfortunately, organosolv lignin was not readily available for purchase in the UK. Instead, Kraft lignin was selected for comparison. Elemental analysis (Table 1) and XPS data (Table 2) suggest Kraft lignin contains a higher percentage of oxygen than Sonichem lignin. However, these values do not confirm the type of functional group the oxygen atoms are part of.

Fig. 3 shows the solid-state ¹³C CP MAS NMR spectra of Sonichem lignin and Kraft lignin prior to functionalisation, with both exhibiting the signals typically expected for lignins,^{36–42} notably the intense methoxy group signal at 56 ppm, C_γ-OH at 63 ppm, C_α-OH in β-O-4 linker groups at 74 ppm, and C_β at 84 ppm. Signals arising from aromatic C-H positions appear at 100–127 ppm, as confirmed by the fact that these signals remain if a shorter CP contact time (50 μs) is used (Fig. S17(a) and S18(a), ESI†). Consistent with previous observations, C-C positions appear at 127–140 ppm, and C-O positions appear at 140–160 ppm. Additionally, signals arising from aliphatic CH₂ and CH₃ groups appear below 56 ppm.

Because of the broad NMR line shapes leading to multiple overlapping peaks, a more detailed assignment for Sonichem lignin is less straightforward. However, peaks arising from the G units can be clearly identified: C₃(G) and C₄(G) at 148 ppm, C₁(G) at 130 ppm, C₆(G) at 123 ppm, C₅(G) at 115 ppm, and C₂(G) at 111 ppm.³⁶ The liquid-state ³¹P NMR spectrum of phosphitylated lignin, used to quantify the different hydroxyl groups present (Fig. 4b), only has a weak signal at 137.1–138.4 ppm (corresponding to H units) and no signal at 142.0–



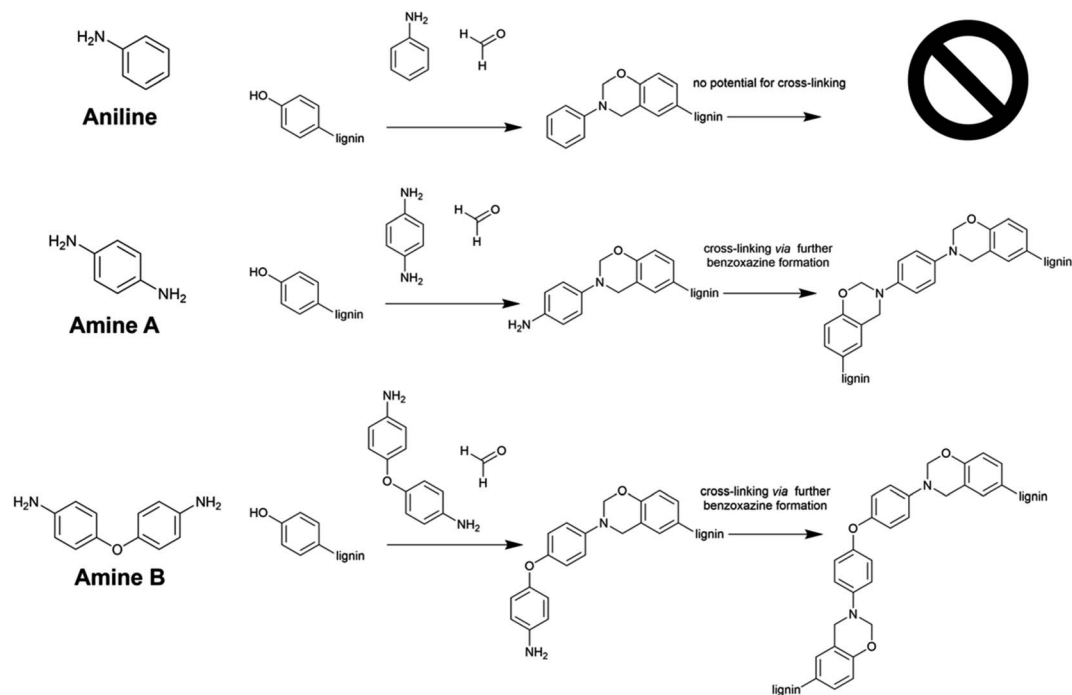


Fig. 2 BZ synthesis using lignin as the phenol and aniline, amine A (*para*-phenylene diamine) or amine B (bis-(4-aminophenyl)ether) as the amine. Using diamine compounds, such as amine A or amine B, provides potential for cross-linking via formation of a second BZ group.

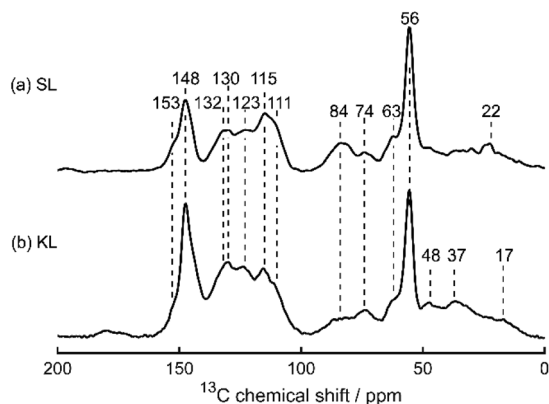


Fig. 3 Solid-state ^{13}C CP MAS NMR spectra of (a) Sonichem lignin and (b) Kraft lignin. Chemical shift values (in ppm) of each peak are indicated.

144.5 ppm (corresponding to S units), which implies that the latter is absent in Sonichem lignin. Both these signals are also weak for Kraft lignin (Fig. 10a), implying that both lignins are primarily composed of G units. The higher concentration of H units in Sonichem lignin shows it lignin has more sites available for BZ formation compared to Kraft lignin.

The signal at 132 ppm in the solid-state ^{13}C CP MAS NMR spectra could be assigned to $\text{C}_1(\text{H})$, appearing at a slightly higher frequency than $\text{C}_1(\text{G})$ as it is more deshielded due to the absence of a methoxy group in the *ortho* position.⁴³ The expected solid-state ^{13}C CP MAS NMR signals for $\text{C}_2(\text{H})$ and $\text{C}_6(\text{H})$ at 127 ppm, and $\text{C}_3(\text{H})$ and $\text{C}_5(\text{H})$ at 117 ppm, are less easily

identified, but may be obscured by the more intense signals from the G units nearby.⁴⁴ However, no signal appears at 159 ppm, as previously reported for $\text{C}_4(\text{H})$, while a weak signal does appear at 153 ppm, as expected for $\text{C}_4(\text{S})$.

For Sonichem lignin, this ^{13}C signal appears to be at odds with the liquid-state ^{31}P NMR data, which does not show any signals in the region 142.0–144.5 ppm for the S units (Fig. 4).⁴⁵ The absence of S–OH signals could be due to the S units not dissolving prior to phosphitylation. If this is assumed then other solid-state ^{13}C CP MAS NMR signals may be tentatively assigned to carbons in the S units: a weak shoulder at 136 ppm may arise from $\text{C}_1(\text{S})$ and $\text{C}_4(\text{S})$, and while a signal from $\text{C}_2(\text{S})$ and $\text{C}_6(\text{S})$ at 105 ppm is not clearly visible, it may be obscured by the much more intense $\text{C}_2(\text{G})$ signal. Alternatively, the signal at 153 ppm could arise from $\text{C}_4(\text{H})$, particularly given the limited published data on the ^{13}C NMR characterisation of H units.⁴⁴

BZ synthesis

BZs have previously been synthesised from diamines.^{46–48} Here, we followed synthetic methods previously reported by Podschun *et al.* for aniline⁴⁵ and Adjaoud *et al.* for amines A and B.⁹ FTIR spectroscopy, TGA, elemental analysis and XPS were used to prove successful introduction of BZ groups into the lignin structure. Solution-state NMR techniques such as two-dimensional ^1H – ^{13}C Heteronuclear Single Quantum Coherence NMR Spectroscopy (2D-HSQC NMR) and ^{31}P NMR spectroscopy were not possible due to the low solubility of lignin-BZ products in the required solvent systems.

The FTIR spectra recorded from Sonichem lignin samples following BZ synthesis clearly show a reduction in the intensity



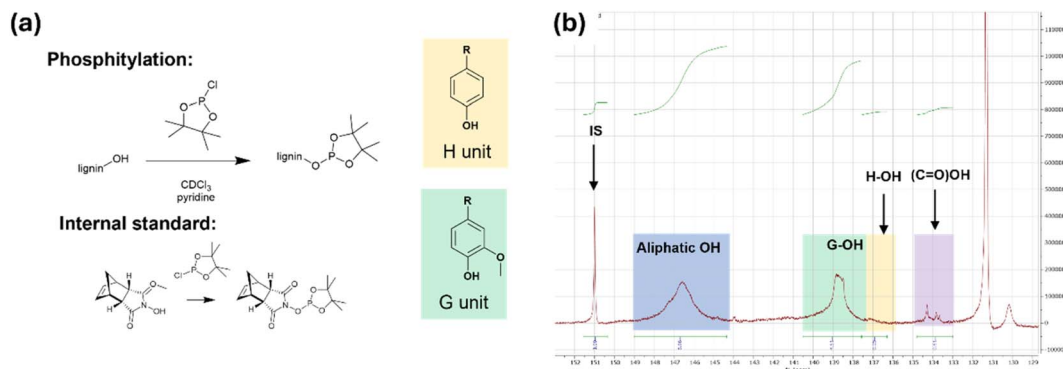


Fig. 4 (a) Schematics showing phosphitylation of the OH groups in lignin using the chosen phosphitylating agent, phosphitylation of the internal standard, and the aromatic units present in SL. (b) Liquid-state ^{31}P NMR spectra recorded of phosphitylated Sonichem lignin.

of the broad stretch between 3600 and 3100 cm^{-1} due to the H units in lignin being used up in BZ formation (Fig. 5a). FTIR spectra from Kraft lignin samples (Fig. 5b) show lower intensity in the phenol region compared to Sonichem lignin, making the expected reduction in intensity in this region less obvious than observed for Sonichem lignin. The peaks at $3000\text{--}2842\text{ cm}^{-1}$ corresponding to methyl and methylene groups⁴⁹ reduce in intensity following BZ formation. Peaks at 1600 and 1495 cm^{-1} significantly increase in intensity following BZ synthesis from Kraft lignin. This change is not observed in Sonichem lignin as these peaks are already intense before BZ formation.

The strong peaks at 1510 cm^{-1} derived from vibrations in the aromatic skeleton⁵⁰ and at 1030 cm^{-1} derived from aromatic C–H bonds in G units⁵⁰ remain largely unchanged following BZ formation. Peaks in the range $1200\text{--}1100\text{ cm}^{-1}$ derived from aromatic skeletal vibrations⁵⁰ show some subtle changes following BZ formation using each of the different amines. The FTIR spectra collected for Sonichem lignin and Kraft lignin are very similar following BZ formation, particularly when aniline was used as the amine. BZ samples formed using amines A and B show some changes in the fingerprint region.

Peaks corresponding to other expected changes in structure on BZ formation are difficult to distinguish from peaks already

Table 1 Results from elemental analysis. Averages were calculated from duplicate samples. We expect the other elements present to be mostly O

Sample	C	H	N	Other elements
SL	66.07%	6.96%	0.00%	26.97%
SL-BZ-aniline	68.51%	6.05%	3.72%	21.73%
SL-BZ-A	63.91%	5.79%	1.90%	28.42%
SL-BZ-B	64.05%	5.96%	2.15%	27.85%
KL	61.79%	5.59%	0.48%	32.16%
KL-BZ-aniline	71.26%	6.01%	5.74%	17.01%
KL-BZ-A	60.44%	5.54%	1.93%	32.10%
KL-BZ-B	57.60%	5.71%	1.53%	35.17%

present in the spectra. Techniques such as XPS and elemental analysis are therefore useful as these show that N has been added during BZ synthesis since unmodified Sonichem lignin and Kraft lignin do not contain a significant amount of N. Results from elemental analysis show a clear increase in N content following BZ synthesis using both Sonichem lignin and Kraft lignin (Table 1). Kraft lignin and Sonichem lignin show similar increases in N content following BZ formation with each amine. Using aniline as the amine results in the largest increase

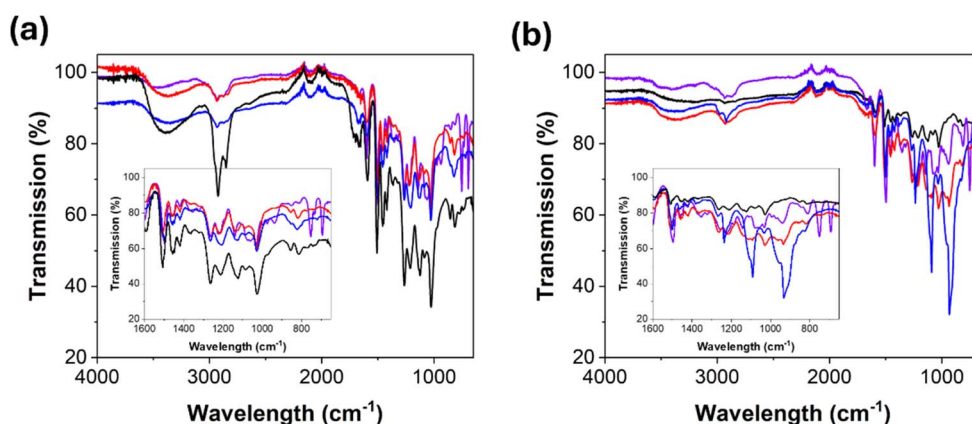


Fig. 5 FTIR spectra of unmodified (a) SL (black), SL-BZ-aniline (purple), SL-BZ-A (red) and SL-BZ-B (blue) and (b) KL (black), KL-BZ-aniline (purple), KL-BZ-A (red) and KL-BZ-B (blue).

Table 2 Summary of XPS data spectra collected for Sonichem and Kraft lignin samples. Full XPS data can be found in the ESI

Lignin sample	Element			C						O			N	
	C	O	N	O-C=O	C=O	C-OH/C-O-C/C-N	C-C sp ²	C-C sp ³	$\pi-\pi^*$ satellite	C-OH	C-O-C	C=O	N-sp ² C	N-sp ³ C
SL	64.1	28.1	—	1.22	1.94	14.4	8.28	74.2	—	68.6	—	31.4	—	—
SL-BZ-aniline	57.9	38.5	3.57	3.14	—	19.3	24.9	52.6	—	92.3	—	7.72	67.9	32.1
SL-BZ-A	51.8	41.5	2.42	0.94	—	41.2	57.9	—	—	77.5	—	22.5	53.4	46.6
SL-BZ-B	59.8	29.1	7.26	1.10	—	35.7	61.0	—	1.70	63.8	19.5	16.7	59.4	40.6
KL	56.2	43.8	—	2.85	—	39.7	55.3	—	2.14	88.2	—	11.8	—	—
KL-BZ-aniline	61.1	33.3	5.62	3.91	—	37.1	57.4	—	1.67	78.5	—	21.5	52.1	47.9
KL-BZ-A	53.7	41.7	3.38	1.47	3.29	41.0	54.2	—	—	82.0	—	18.0	89.3	10.7
KL-BZ-B	33.8	52.4	1.87	1.24	1.58	40.8	56.4	—	—	94.4	—	5.57	84.4	15.6

in N content, perhaps due to steric effects resulting from the larger diamines.

¹³C NMR signals for BZ have previously been reported at 48 ppm for Ar-CH₂-N, and 75–82 ppm for N-CH₂-O.^{9,35} In the solid-state ¹³C CP MAS NMR spectra of our BZ-functionalised lignins, however, the former of these is obscured by the C_α and C_β linker signals, while the latter only appears for SL-BZ-aniline (Fig. 6). It is possible that, given the relatively small number of BZ groups introduced, these signals are below the detection limit. When aniline is used as the amine, a prominent signal appears at 130 ppm, which is easily distinguished from the lignin signals in that region as it still appears with a CP contact time of 50 μs (Fig. S17b, ESI†). This may be assigned to aniline's meta carbons.⁵¹ SL-BZ-A and SL-BZ-B both show a new signal at 67 ppm. Because this signal only appears when BZ is formed using diamines, we tentatively assign it to C_β-OH, suggesting that the formation of cross-links using amines A and B result in the breaking of the β-O-4 linkers. Similar effects are seen for Kraft Lignin (Fig. S18, ESI†), although an intense signal is observed at 88 ppm for KL-BZ-B. This signal likely originates from paraformaldehyde, a key reagent in the synthesis of BZ.⁵² 2D HETeronuclear CORrelation (HETCOR) measurements were performed to further elucidate the structure of the benzoxazine-functionalised lignins (Fig. S19 to S22, ESI†), although due to

the low sensitivity and resolution, these did not provide any further information.

XPS was used to determine the chemical composition of the lignin samples.⁵³ The results from XPS agree reasonably well with the results from elemental analysis. Before BZ synthesis, no peaks were observed in the N region. Peaks corresponding to Si at 96 and 139 eV were observed in some samples. Hence, not all values from the survey scan sum to 100%. These are ignored for clarity of discussion here. As expected for lignin, the carbon content of Sonichem lignin is made up of mostly sp² carbon atoms within the aromatic skeleton. sp³ carbon atoms correspond to the aliphatic linkers that connect the aromatic units. Peaks corresponding to ester, carbonyl, ether and hydroxyl groups are observed in the O region.

Before modification, Sonichem lignin and Kraft lignin exhibit different C/O ratios and notably different proportions of each type of C and O atom (Table 2), showing they have different structures and therefore different properties. On BZ formation, the characteristic peak corresponding to N 1s emerged at 400 eV,⁵³ in the survey spectra. The peak at 286 eV in the C region corresponds to C-N bonding energy.⁵³ In most cases, it is not possible to distinguish the C-N peak from the C-OH/C-O-C peak and the C-OH/C-O-C/C-N peak increases on BZ formation as OH groups are used up and C-N and C-O-C groups introduced. This peak tends to increase more significantly when using diamines. The proportion of sp² and sp³ carbons tends to decrease as BZ groups are introduced owing to the addition of further O- and N- containing functional groups.

We were able to resolve two peaks in the N region of the XPS spectra of all N-containing samples. We expect these two peaks to arise from N directly bonded to either a sp² C atom or a sp³ carbon atom.⁵⁴ The ratio of N-sp² C to N-sp³ C changes on going from aniline to diamines A and B. This could be related to the diamines ability to undergo cross-linking *via* BZ formation. Since a third peak relating to N-H electron binding energy is not observed in the diamine samples, we can conclude that cross-linking has occurred at each BZ site, leaving no unreacted amine groups.

Following BZ formation, the N and C content of Sonichem lignin increased while the O content decreased (Table 2) matching the expected changes as both N and sp² carbon atoms are introduced during BZ formation using aromatic amines.

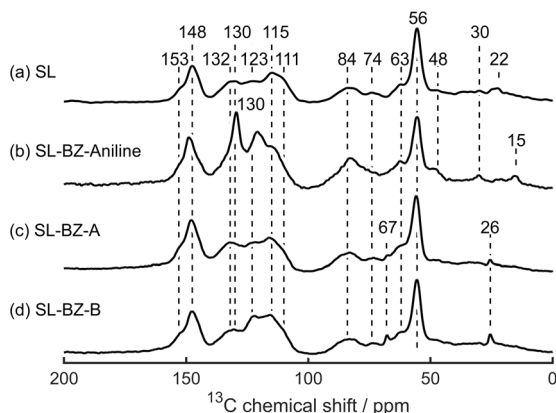


Fig. 6 Solid-state ¹³C CP MAS NMR spectra of (a) SL, (b) SL-BZ-aniline, (c) SL-BZ-A, and (d) SL-BZ-B. Chemical shift values (in ppm) of each peak are indicated.



The same trend is seen in KL-BZ-aniline while the opposite trend is observed when reacting Kraft lignin with diamines A and B. The C-OH/C-O-C/C-N peak in the C region increases in all SL-BZ samples. A far less significant change is observed in the Kraft lignin samples with KL-BZ-aniline showing a small decrease and KL-BZ-A and KL-BZ-B showing a small increase in this peak. In the O region, all SL-BZ samples show an overall increase in the C-OH/C-O-C region and a decrease in the C=O region. Since C-OH is expected to be used up during BZ formation, this increase must be due to increased C-O-C content. This agrees with results from the SL-BZ-B XPS spectra where we were able to resolve the C-OH and C-O-C peaks during fitting. Here, the C-OH content decreases compared to the overall C-OH/C-O-C content measured in SL. Unfortunately, we were unable to resolve these peaks in the other samples. Again, Kraft lignin shows inconsistent trends with C-OH/C-O-C increasing in KL-BZ-aniline and KL-BZ-A and decreasing in KL-BZ-B.

The results from acetone solubility studies also indicate that cross-linking has occurred in lignin-BZ products prepared from diamines as these are far less soluble in acetone than both unmodified lignin and lignin-BZ-aniline (Fig. 7). BZ synthesis using aniline as the amine should not cross-link through further BZ formation since aniline contains only one amine. Kraft lignin clearly has lower solubility in acetone than Sonichem lignin. Solubility decreases on functionalisation with BZ groups in all cases, with KL-derivatives showing lower solubility than SL-derivatives.

We expect the increased solubility of Sonichem lignin compared to Kraft lignin contributes to its increased reactivity and thereby greater degree of functionalisation. It could also be the case that Sonichem lignin contains a greater number of phenolic OH groups with unsubstituted *ortho* positions, despite containing a smaller proportion of oxygen atoms. This results in greater propensity for BZ functionalisation.

Phenolation

The method followed for lignin phenolation was the same as previously reported by Podschun *et al.*³⁵ Phenolation prior to BZ synthesis increases the number of H units available for BZ formation. This will result in more BZ groups available for cross-linking and therefore a more thermomechanically stable material. The properties of lignin-BZ materials can therefore be controlled using this additional phenolation step prior to BZ formation. Successful phenolation was verified using liquid-state ³¹P NMR and FTIR spectroscopy.

Results from liquid-state ³¹P NMR spectroscopy show that ~77% of aliphatic hydroxyl groups in Sonichem lignin are converted to phenols during the phenolation reaction (Fig. 8). As a result, the number of unsubstituted phenol groups (H units) present in the lignin increases from 0.06 $\mu\text{mol mg}^{-1}$ to 2.73 $\mu\text{mol mg}^{-1}$ (Fig. 8). There is also a decrease in the signal attributed to G-OH. This suggests that these are also involved in phenolation.

FTIR spectroscopy also provides some insights into lignin functionalisation. The stretch in the region 3600–3100 cm^{-1} is clearly broadened in the spectrum collected from phenolated Sonichem lignin (SL-Ph), indicating an increased number of phenol groups (Fig. 9a). The FTIR spectrum of SL-Ph also shows two new peaks at 819 and 750 cm^{-1} (Fig. 9a). This shows that during the phenolation reaction, phenol forms C-C bonds to lignin both *ortho* and *para* to the hydroxyl group on phenol (Fig. 9b). This observation was previously reported by Abarro *et al.*³⁵ FTIR spectra recorded from SL-Ph and KL-Ph are almost identical.

Results from liquid-state ³¹P NMR and FTIR spectroscopy clearly show that phenolation was successful using Kraft lignin (Fig. 10). According to liquid-state ³¹P NMR, ~81% of aliphatic OH groups in Kraft lignin reacted with phenol resulting in an increase in H units from 0.42 $\mu\text{mol mg}^{-1}$ to 2.30 $\mu\text{mol mg}^{-1}$.

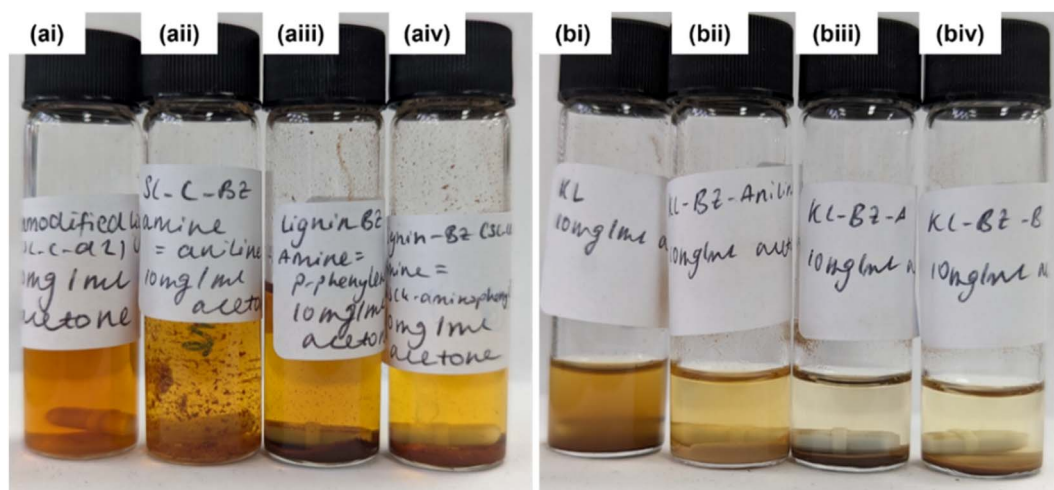


Fig. 7 Photographs of (a(i)) unmodified SL, (a(ii)) SL-BZ-aniline, (a(iii)) SL-BZ-A a, (a(iv)) SL-BZ-B, (b(i)) unmodified KL, (b(ii)) KL-BZ-aniline, (b(iii)) KL-BZ-A and (b(iv)) KL-BZ-B dissolved in acetone (10 mg mL^{-1}) by stirring at room temperature for 10 minutes before leaving any undissolved solid to settle.

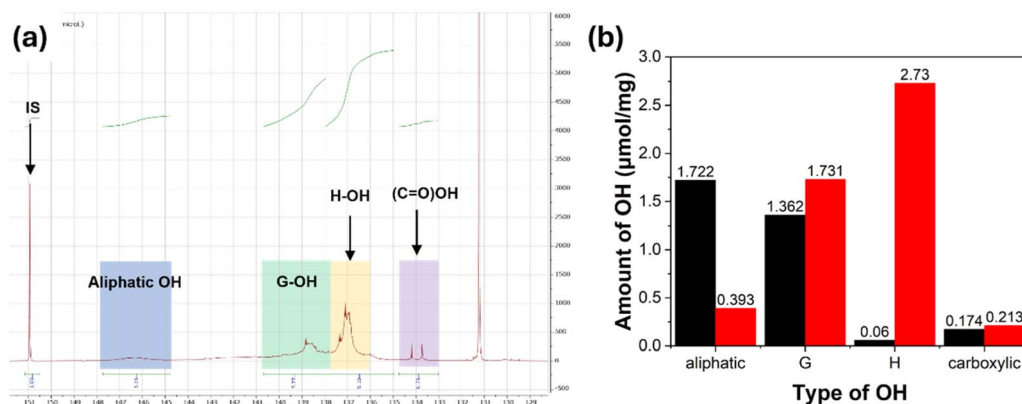


Fig. 8 (a) Liquid-state ^{31}P NMR spectra recorded of SL-Ph. (b) Graph summarising the amounts of each type of OH group in SL before (black) and after (red) phenolation of SL, according to comparison with the internal standard.

Solid-state ^{13}C CP MAS NMR spectra (Fig. 11) provide further evidence for successful phenolation: new peaks appear at 155 ppm, corresponding to the C_1 carbons (as shown in Fig. 9b) and 129 ppm, corresponding to $\text{C}_{2/3/5}$ of *ortho*-substituted phenol and C_{3-5} of *para*-substituted phenol.⁵⁵ Furthermore, the linker signals have been shifted to a lower chemical shift than the methoxyl peak, due to the replacement of the hydroxyl groups with the less electron withdrawing phenol groups.

BZ synthesis using SL-Ph results in a massive reduction in intensity of the O–H stretch between 3600 and 3100 cm^{-1} during FTIR spectroscopy, confirming the use of lignin phenol groups in BZ formation (Fig. 12a). More obvious changes are observed in the peaks within 1200 – 1100 cm^{-1} derived from aromatic skeletal vibrations following BZ formation using SL-Ph compared to non-phenolated Sonichem lignin. These more obvious changes may result from more BZ groups being introduced. As with the unmodified lignin, more subtle changes to the FTIR spectra are not clearly visible.

Similar changes in FTIR spectra of Kraft lignin samples following BZ synthesis suggest the reactions were successful (Fig. 12b.) As with Sonichem lignin, a clear increase in intensity of the broad O–H peak in FTIR spectra is observed following

phenolation. After BZ synthesis, this O–H peak reduced in intensity as the O–H groups on H units in lignin are replaced with BZ rings.

The solid-state ^{13}C CP MAS NMR spectra of the phenolated samples (Fig. 13) show similar changes upon benzoxazine formation to those shown in Fig. 6. However, the peak at 67 ppm for the SL-PhBZ-A and SL-PhBZ-B is much more intense than for their non-phenolated counterparts, potentially suggesting extensive severance of the β -O-4 linkers. Interestingly, this signal does not appear for the Kraft lignin samples (Fig. S18, ESI[†]), in line with the lower BZ yields implied from elemental analysis (Table 3). When a CP contact time of $50\text{ }\mu\text{s}$ is used, the phenol signal at 129 ppm disappears in SL-PhBZ-A and SL-PhBZ-B, indicating that the carbon atoms in these positions are used to form benzoxazine and are therefore deprotonated (Fig. S17, ESI[†]). In addition, we observe signals at 73 ppm and 48 ppm, which are likely to correspond to $\text{N-CH}_2\text{-O}$ and $\text{N-CH}_2\text{-Ar}$ carbons, respectively.^{9,35} For SL-PhBZ-A, a new signal appears at 144 ppm, which could tentatively be assigned to the aromatic N–C carbon in the *p*-phenylene diamine linker.

Again, we used elemental analysis and XPS to show the introduction of N into the lignin. N content increased massively

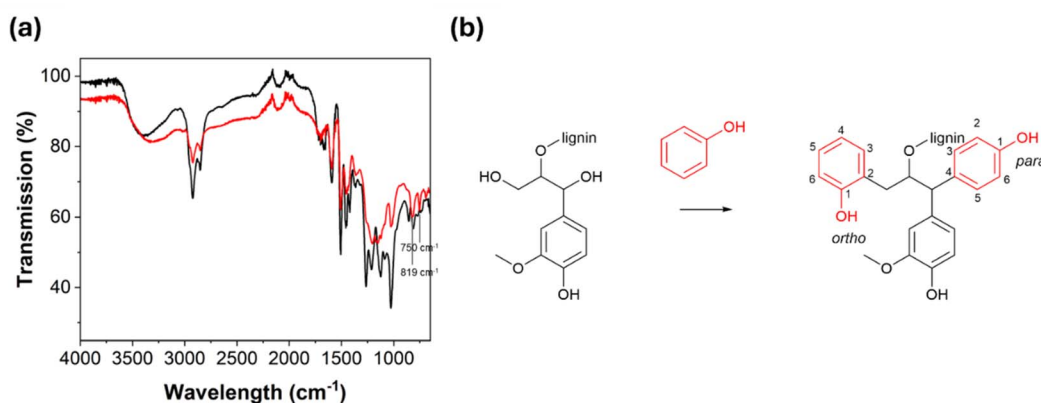


Fig. 9 (a) FTIR spectra of unmodified SL (black) and SL-Ph (red). (b) Scheme showing phenolation of lignin, resulting in phenol groups in both *ortho* and *para* positions.

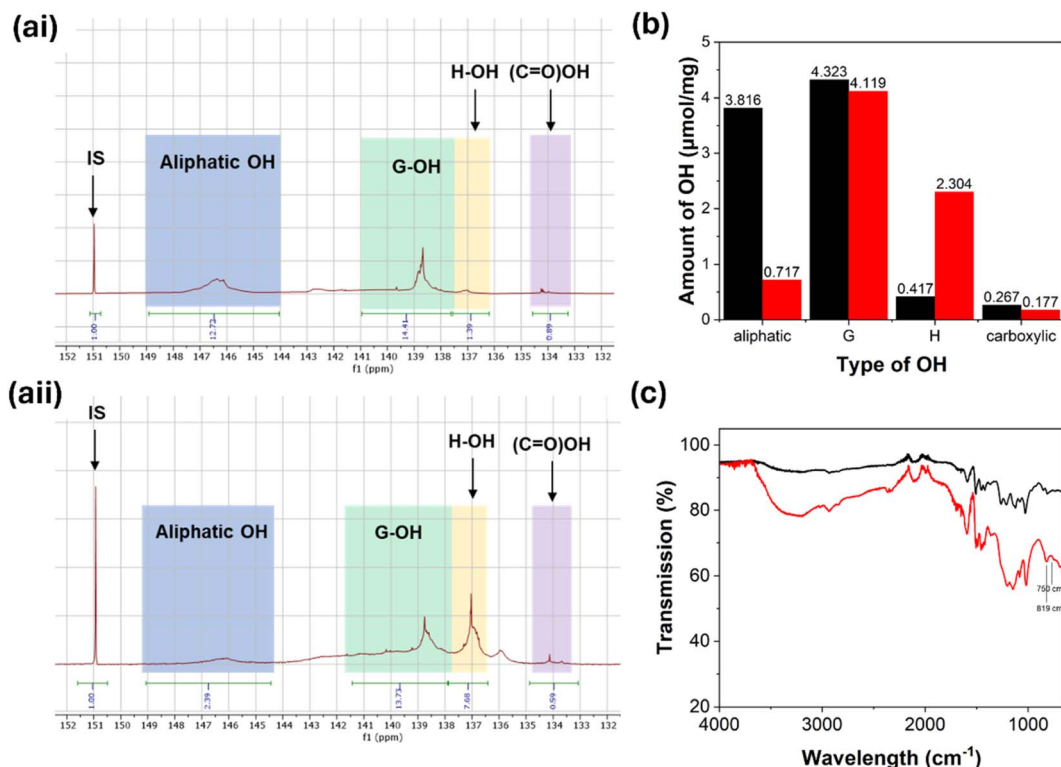


Fig. 10 (a) Liquid-state ^{31}P NMR collected of phosphitylated (i) KL and (ii) and KL-Ph. (b) Graph summarising the amounts of each different OH group present in KL before (black) and after (red) phenolation. (c) FTIR spectra of KL (black) and KL-Ph (red).

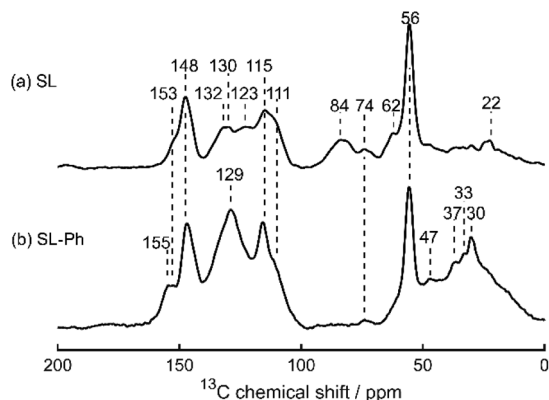


Fig. 11 Solid-state ^{13}C CP MAS NMR spectra of (a) Sonichem lignin and (b) phenolated Sonichem lignin. Chemical shift values (in ppm) of each peak are indicated.

following BZ formation using SL-Ph (Table 3). The increase in N content following BZ synthesis using phenolated Sonichem lignin is much greater than that observed using phenolated Kraft lignin (KL-Ph).

XPS data further confirms successful phenolation of Sonichem lignin, showing an increase in the C-OH/C-O-C peak (Table 4). The C-OH/C-O-C peak recorded for KL-Ph decreases compared to unmodified Kraft lignin. This may be due to surface gases, such as CO_2 causing an increase in the C=O peak. N was also observed in the KL-Ph sample, despite no N-

containing groups being introduced during phenolation. Again, this could be due to N_2 gas being present on the surface of the sample, even after etching. The C/O ratio of Sonichem lignin flipped following phenolation. The C/O ratio of Kraft lignin did not change significantly after phenolation. This may be due to the differences in structure between the two lignin types.

XPS spectra collected from phenolated samples show different trends following BZ formation compared to non-phenolated samples. This likely arises from the different C and O content of the phenolated lignins, owing to the considerable increase in H units following phenolation. For example, all BZ samples show decreased C content and increased O and N content (Table 4).

Interestingly, all SL-Ph samples show a decrease in C-C content while all KL-Ph samples show an increase in C-C content. The C-OH/C-O-C/C-N peak in the C region of SL-Ph shows a massive increase following BZ formation with all three amines. This suggests enhanced BZ formation using phenolated Sonichem lignin and agrees with elemental analysis data. Phenolated Kraft lignin shows a small decrease in the C-OH/C-O-C/C-N region. This may be due to surface gases.

Results from fitting the XPS data show that C-OH groups persist after BZ formation. While some of these C-OH groups will be aliphatic and therefore unable to participate in BZ formation, there may still be unreacted OH groups available for further BZ formation under optimised conditions. Increases

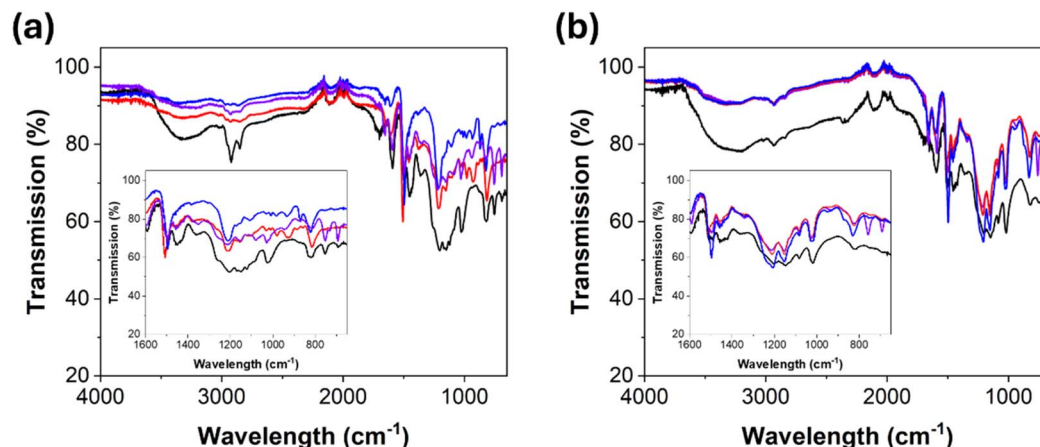


Fig. 12 FTIR spectra of unmodified (a) SL-Ph (black), SL-PhBZ-aniline (purple), SL-PhBZ-A (red) and SL-PhBZ-B (blue) and (b) KL-Ph (black), KL-PhBZ-aniline (purple), KL-PhBZ-A (red) and KL-PhBZ-B (blue).

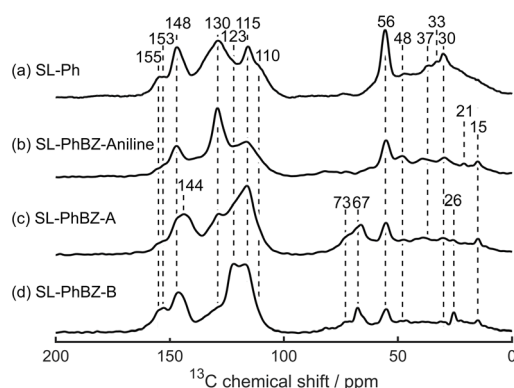


Fig. 13 Solid-state ^{13}C CP MAS NMR spectra of (a) SL-Ph, (b) SL-PhBZ-aniline, (c) SL-PhBZ-A, and (d) SL-PhBZ-B. Chemical shift values (in ppm) of each peak are indicated.

Table 3 Results from elemental analysis. Averages were calculated from duplicate samples. We expect the other elements present to be mostly O

Sample	C	H	N	Other elements
SL-Ph	67.76%	6.15%	0.00%	26.10%
SL-PhBZ-aniline	69.33%	5.60%	3.57%	21.51%
SL-PhBZ-A	66.79%	5.67%	10.43%	17.13%
SL-PhBZ-B	68.78%	5.39%	7.18%	18.67%
KL-Ph	61.34%	4.92%	0.02%	35.17%
KL-PhBZ-aniline	67.22%	5.18%	2.91%	24.70%
KL-PhBZ-A	63.00%	4.94%	2.86%	29.20%
KL-PhBZ-B	63.33%	4.77%	2.28%	29.63%

in N and C–O–C content confirm successful BZ formation using phenolated Kraft lignin.

Phenolation of lignin prior to BZ synthesis appears to further decrease solubility in acetone (Fig. 14). This agrees with the expectation that increasing the number of phenol groups present in lignin will increase the number of sites available for BZ formation and thereby increase cross-linking.

Thermal behaviour of lignin-BZ products

BZ rings are stable at low temperature. Increasing temperature causes the BZ ring to open, resulting in formation of a phenolic hydroxyl group and a tertiary amine.⁵⁶ This ring-opening allows polymerisation of the BZ monomers. PBZs have desirable properties for many applications, including aerospace, packaging, composite material manufacturing and powder coating.¹⁵ Their unusual properties arise from the complex hydrogen bonding of BZs.¹⁵ For example, PBZs can form a six-membered ring that provides the polymer with hydrophobicity, low dielectric constant, high char yield and high modulus.¹⁵

The complex, aromatic structure of lignin causes it to decompose over a wide range of temperatures.⁵⁷ Thermal decomposition of lignin tends to be divided into three stages: dehydration at temperatures below 100 °C, cleavage of α -aryl ether and β -aryl ether linkages at temperatures between 150 and 260 °C and cleavage of C–C bonds at temperatures between 260 and 480 °C. The thermal decomposition of the lignin derivatives was studied using thermogravimetric analysis (TGA).

The minimum in the derivative thermogram observed in lignin samples at ~ 200 °C results from breaking of the β -O-4 linkages in the lignin resulting in release of terminal phenolic groups.⁵⁸ The larger minimum at ~ 320 °C most likely results from the breakage of C–C bonds.⁵⁸ A new minimum between 120 and 140 °C appears in the Sonichem lignin-BZ samples (Fig. 15). This peak is not observed in SL-PhBZ-aniline or in SL-PhBZ-A. A peak at ~ 100 °C is observed in SL-PhBZ-B. Additional peaks are observed in SL-BZ-aniline at 175 °C, 250 °C and 395 °C, and in SL-BZ-A and SL-BZ-B at 120 °C, 230 °C and 400 °C. The presence of peaks after the β -O-4 peak show further reactions can occur after cleavage of β -O-4 bonds.⁵⁸ Previous work has identified the monomeric phenols released from lignin during thermal decomposition.⁵⁸

Following phenolation, the C–C peak appears to shift to 400 °C (Fig. 15b). Following BZ synthesis using aniline, the β -O-4 peak shifts from 215 °C in SL-Ph to 230 °C while the C–C peak remains at 400 °C (Fig. 15b(i)). In SL-PhBZ-A, the β -O-4

Table 4 Summary of XPS data spectra collected for Sonichem and Kraft lignin samples. Full XPS data can be found in the ESI

Lignin sample	Element			C						O			N	
	C	O	N	O-C=O	C=O	C-OH/C-O-C/C-N	C-C sp ²	C-C sp ³	$\pi-\pi^*$ satellite	C-OH	C-O-C	C=O	N-sp ² C	N-sp ³ C
SL-Ph	67.9	25.9	—	0.71	1.23	8.16	81.6	8.34	—	80.4	—	19.6	—	—
SL-PhBZ-aniline	47.0	40.6	3.37	1.13	1.99	32.2	63.4	—	1.37	87.6	—	12.4	32.4	67.6
SL-PhBZ-A	57.7	34.7	3.75	1.62	—	33.1	65.4	—	—	76.4	11.9	11.8	76.3	23.7
SL-PhBZ-B	54.2	38.9	1.62	2.00	—	37.8	60.2	—	—	57.3	—	42.7	57.3	42.7
KL-Ph	55.0	41.2	0.69	0.74	1.86	35.8	59.8	—	1.98	81.2	—	18.8	15.7	84.3
KL-PhBZ-aniline	36.4	48.7	1.95	2.27	—	32.2	63.5	—	2.10	93.0	—	7.01	29.8	70.2
KL-PhBZ-A	34.9	51.5	1.55	2.84	2.11	31.5	62.0	—	1.52	92.1	—	7.87	14.4	85.6
KL-PhBZ-B	28.5	55.2	1.32	0.47	0.75	35.6	62.1	—	1.14	93.8	—	6.20	6.66	93.3

breakage temperature decreases slightly to 210 °C while the C-C breakage temperature increases to 395 °C (Fig. 15b(ii)). As with its non-phenolated counterpart, SL-PhBZ-B shows an additional peak at ~100 °C (Fig. 15(iii)). The other three peaks in SL-PhBZ-B are observed at 275 °C (β -O-4), 370 °C (C-C) and 500 °C. KL-PhBZ-A and KL-PhBZ-B also show a new peak in their derivative thermograms at ~550 °C (Fig. 16b). Confirmation of the source of these peaks would require direct injection of released volatiles into a gas chromatograph.⁵⁸

5% degradation temperature is regularly used to measure the thermal stability of lignin-based materials, as this shows the temperature at which the material begins to degrade.^{59,60} The 5% degradation temperature of Sonichem lignin decreases in most cases following BZ modification, with only SL-PhBZ-A and SL-PhBZ-B showing an increase (Fig. 15). This could be related to phenolation increasing the number of BZ groups introduced, or to the potential for amines A and B to form cross-links.

While KL-BZ-aniline showed an increase in 5% degradation temperature, KL-BZ-A and KL-BZ-B did not (Fig. 16). Following phenolation, all KL-PhBZ derivatives showed significantly increased 5% degradation temperatures. For both Sonichem lignin and Kraft lignin, phenolation significantly reduces the

5% degradation temperature. Cases where BZ formation does not increase 5% degradation temperature could be due to not all H units being used up in the BZ formation reaction. It is noted that the 5% degradation temperature is lower than values previously reported for other lignin-based benzoxazines⁹ and considerably lower than those recorded for benzoxazines prepared from petrochemical-derived compounds.^{61,62} However, we expect such properties can be tuned by varying the amine precursors used for benzoxazine formation.

The char yield of Sonichem lignin clearly increases following BZ synthesis with all three amines (Fig. 15). High char yield is desirable for fire-retardant applications.^{63–66} The char yield of Kraft lignin does not change significantly on modification and in some cases decreases (Fig. 16). Kraft lignin shows similar changes in TGA to Sonichem lignin following modification with some peaks slightly shifted. There is no obvious trend in how the peaks shift. The char yield values calculated for the lignin-BZ materials prepared here are similar to those previously recorded for lignin/benzoxazine monomer mixtures.^{27,28,67} The char yields observed here were also comparable to those recorded from petrochemical-derived benzoxazines^{61,62} or from other renewable resources.⁶⁸

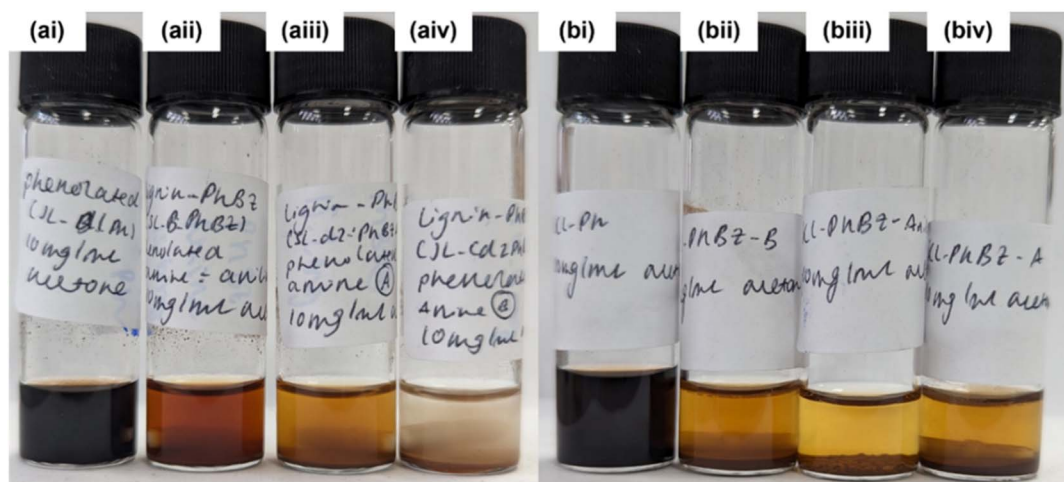


Fig. 14 Photographs of (a(i)) SL-Ph, (a(ii)) SL-PhBZ-aniline, (a(iii)) SL-PhBZ-A, (a(iv)) SL-PhBZ-B, (b(i)) KL-Ph, (b(ii)) KL-PhBZ-aniline, (b(iii)) KL-PhBZ-A and (b(iv)) KL-PhBZ-B dissolved in acetone (10 mg mL⁻¹) by stirring at room temperature for 10 minutes before leaving any undissolved solid to settle.



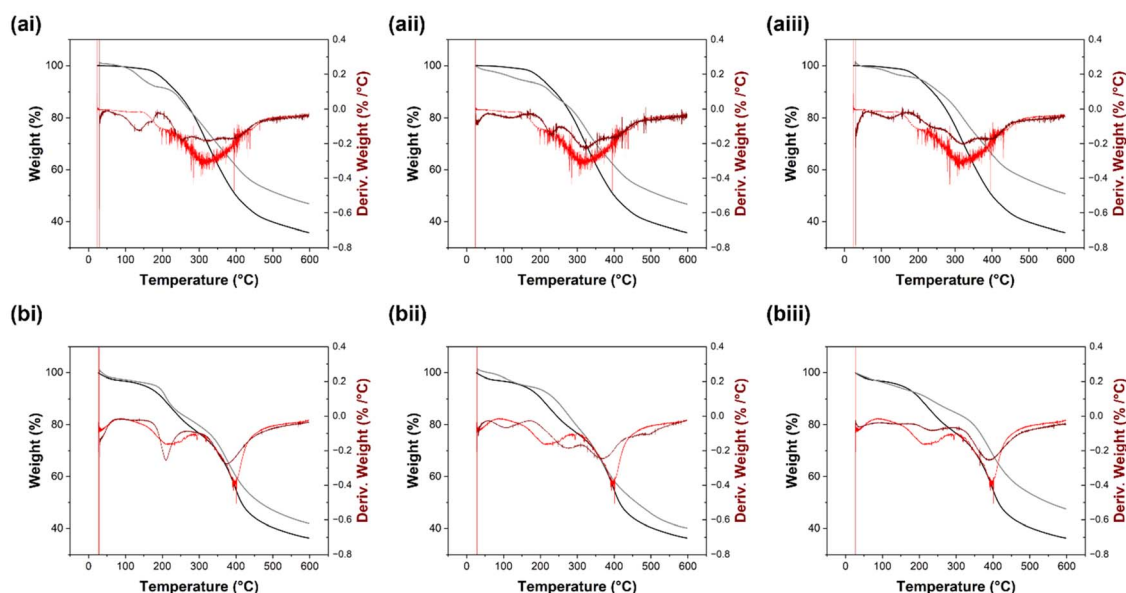


Fig. 15 TGA thermograms collected for (a(i)) SL-BZ-aniline, (a(ii)) SL-BZ-A, (a(iii)) SL-BZ-B, (b(i)) SL-PhBZ-aniline, (b(ii)) SL-PhBZ-A and SL-PhBZ-B (dark red and grey). Thermograms for (a) SL and (b) SL-Ph are shown in light red and black in each graph for comparison.

Differential scanning calorimetry (DSC) curves generally indicate energy consumption and release with negative heat flow showing endothermic processes and positive heat flow showing exothermic processes. Introduction of BZ rings causes the endothermic peak observed at ~ 100 °C in the DSC curves to shift to higher temperatures (Fig. 17). Introduction of BZ groups into the lignin structure therefore increases the thermal stability of the lignin. Increasing the number of BZ groups in the lignin *via* phenolation of lignin prior to BZ formation results in an even more dramatic shift in this endothermic peak. The SL-PhBZ-aniline products also exhibit an exothermic peak at 210 °C for SL-PhBZ-A and 186 °C for SL-PhBZ-B. This exothermic

peak results from polymerisation of the BZ rings.^{46,48} A slight exothermic inflection is observed in the same region for the non-phenolated products, suggesting polymerisation may be taking place but to a much smaller degree. It may be the case that there is not sufficient density of BZ groups in the non-phenolated samples for effective polymerisation to take place owing to its low molecular weight and relatively low number of H units.³⁵ It is therefore necessary to first phenolate Sonichem lignin for effective use as a BZ resin. It is unlikely that the SL-BZ products had already undergone curing during their synthesis as they were prepared at relatively low temperatures (70–80 °C).

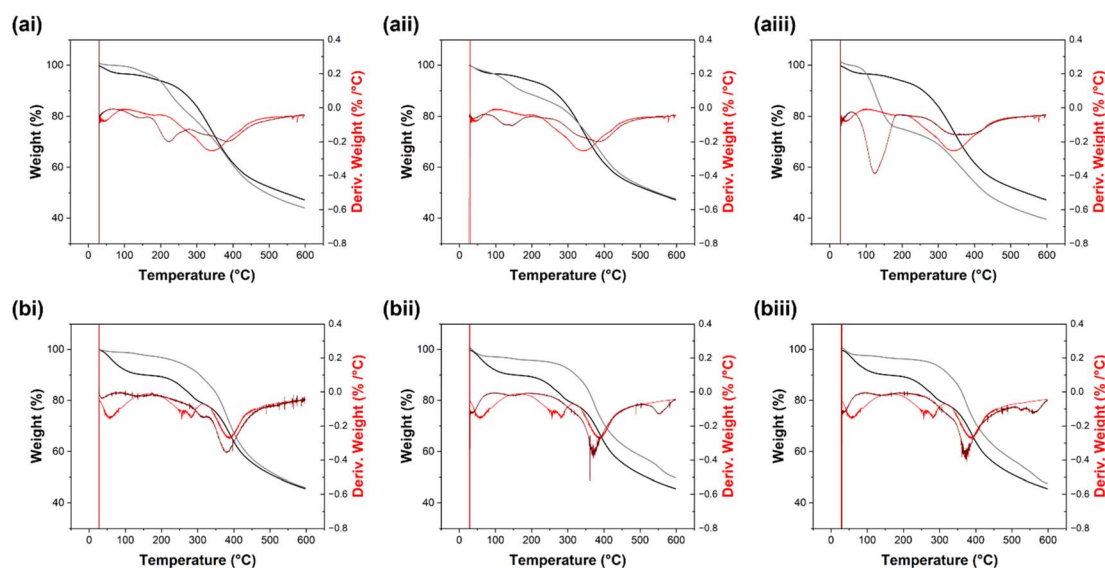


Fig. 16 TGA thermograms collected for (a(i)) KL-BZ-aniline, (a(ii)) KL-BZ-A, (a(iii)) KL-BZ-B, (b(i)) KL-PhBZ-aniline, (b(ii)) KL-PhBZ-A and (b(iii)) KL-PhBZ-B. Thermograms for (a) KL and (b) KL-Ph are shown in light red and black in each graph for comparison.



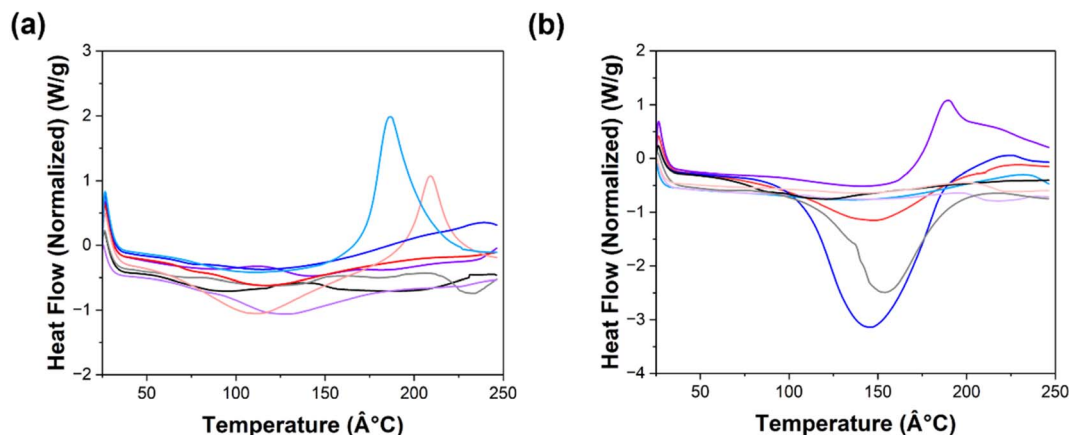


Fig. 17 DSC curves collected for (a) SL (black), SL-Ph (grey), SL-BZ-aniline (purple), SL-PhBZ-aniline (pale purple), SL-BZ-A (red), SL-PhBZ-A (pale red), SL-BZ-B (blue), SL-PhBZ-B (pale blue); (b) KL (black), KL-Ph (grey), KL-BZ-aniline (purple), KL-PhBZ-aniline (pale purple), KL-BZ-A (red), KL-PhBZ-A (pale red), KL-BZ-B (blue), KL-PhBZ-B (pale blue).

Phenols and a free *ortho*-position in lignin catalyse the ring-opening polymerisation of BZ, resulting in reduced polymerisation temperature compared to BZ in the absence of lignin.²⁷ Previously studied non-covalent BZ/lignin mixtures exhibited increased T_g and char yield with increasing amounts of lignin.²⁷ This change in thermal behaviour resulted from the increased cross-linking density and more compact structure within the lignin/BZ composites.^{27,28}

As seen in previous studies,²⁷ introduction of BZ groups into the lignin increases the glass transition temperature (T_g) of the lignin, showing increased thermal stability (Table 5). Interestingly, phenolation also increased the T_g of the lignin. While introduction of BZ using aniline as the amine further enhanced the T_g of the lignin, introduction of cross-linkable BZ groups reduced T_g of phenolated lignin. Kraft lignin shows notably higher T_g than Sonichem lignin. As with Sonichem lignin, T_g increases on phenolation and following BZ formation. The T_g values recorded for the benzoxazine-modified lignin samples

prepared here are comparable to those reported for benzoxazines prepared from non-lignin sources.^{62,67–69}

The large gap between the melting temperature and curing temperature of SL-PhBZ-A provides a large processing window, making it useful for industrial applications.⁴⁶ Steric hindrance in the ring-opened product caused by smaller linker in SL-PhBZ-A compared to SL-PhBZ-B may be the reason for this.³² Of the Kraft lignin samples, only KL-BZ-aniline shows a clear endothermic peak suggesting curing has taken place. This suggests Sonichem lignin provides a more versatile starting material for preparation of curable materials than Kraft lignin. Use of cross-linkable amines A and B results in a larger increase in T_g for both Sonichem and Kraft lignin. However, this is not the case when using phenolated lignins.

Previous work has investigated the thermal properties of benzoxazine/lignin mixtures.²⁷ The DSC curves of pure benzoxazine monomers exhibited narrow exothermic peaks, showing curing temperatures of around 260 °C. Lignin alone does not exhibit an exotherm transaction within the tested temperature ranges, showing that without benzoxazine groups, no cross-linking takes place. By mixing benzoxazine monomers with varying amounts of lignin, Nour-Eddine *et al.*²⁷ observed a reduction in the curing temperature. The curing temperature decreased further with increasing amounts of lignin, with 30% lignin exhibiting curing temperatures as low as 203 °C. The curing temperature of SL-PhBZ-B at 186 °C was even lower than those reported by Nour-Eddine *et al.*, exemplifying the ability of Sonichem lignin to activate benzoxazine polymerisation. The extent of this activation can be tuned by changing the structure of the benzoxazine by changing the identity of the amine used for benzoxazine formation within the lignin, as exemplified by the higher curing temperature of SL-PhBZ-B.

Table 5 Summary of the thermal properties recorded from TGA and DSC

Sample name	5% degradation temperature (°C)	T_g (°C)	Char yield at 600 °C (%)
Sonichem lignin	202	95	36
SL-BZ-aniline	145	89	47
SL-BZ-A	136	117	47
SL-BZ-B	200	115	51
SL-Ph	152	121	36
SL-PhBZ-aniline	132	128	48
SL-PhBZ-A	183	112	42
SL-PhBZ-B	161	111	40
Kraft lignin	172	121	47
KL-BZ-aniline	188	141	44
KL-BZ-A	118	148	48
KL-BZ-B	108	146	40
KL-Ph	69	154	46
KL-PhBZ-aniline	247	146	46
KL-PhBZ-A	251	140	50
KL-PhBZ-B	271	137	47

Conclusions

Successful lignin-BZ formation was achieved using both Sonichem lignin and Kraft lignin. A wide range of thermal properties were obtained depending on the identity of the amine



used for BZ synthesis, the type of lignin and whether the lignin had been phenolated prior to BZ formation. We were able to achieve curing behaviour from all BZ-modified Sonichem lignin samples that had previously been phenolated. Curing behaviour was only observed in one of the phenolated Kraft lignin samples.

Sonichem lignin has clear advantages over Kraft lignin in its solubility and thus ease of handling and characterisation. Sonichem lignin consistently reacted with various amines to form BZs, with the number of BZ groups introduced clearly increasing when phenolated lignin was used as the starting material. This allows easy tuning of BZ content and thereby thermal properties. No consistent increase in BZ content was observed when using phenolated Kraft lignin. Sonichem lignin also consistently exhibited increased char yield, providing an advantage in flame-retardant applications. The thermal properties of the lignin-based benzoxazines studied here are comparable to those observed in benzoxazines prepared from other renewable and non-renewable sources.

The environmentally friendly extraction process, low molecular weight, relatively high solubility and high and versatile reactivity of Sonichem lignin makes it attractive compared to other types of lignin. The differences in thermal behaviour between SL-BZ samples prepared using aniline, amine A and amine B highlight the possibility for tuning the properties of lignin-BZ materials at the molecular design phase.

Experimental

Sonichem lignin was extracted from Sitka Spruce from a single sawmill in Scotland (James Jones and Sons). The lignin is then extracted using Sonichem's ultrasound-assisted fractionation process. The Kraft lignin used for comparison was purchased from Sigma-Aldrich (source material used was not stated in the product information). Reagents used for synthesis and for liquid-state ^{31}P NMR spectroscopy were purchased from Merck (paraformaldehyde; aniline, *p*-phenylene diamine; bis-(4-aminophenyl)ether; phenol; pyridine; 2-chloro-4,4,5,5-tetramethyl-1,3,2-dioxaphospholane, Cr(acac)) or from Fluorochem (*N*-hydroxy-5-norbornene-2,3-dicarboximide) and used as received.

Dialysis

Sonichem lignin was dry loaded into dialysis sacs from Merck and dialysed in water for 7 days. The resulting solid was dried in a vacuum oven at 50 °C for 16 hours to ensure the product was completely dry before use.

BZ synthesis

For BZ preparation using aniline as the amine, we used a method adapted from work by Podschun *et al.*⁵⁵ Paraformaldehyde (516 mg, 17.2 mmol) was dissolved in 5 mL of toluene : ethanol (1 : 1 v/v) in a Schlenk flask or round-bottomed flask equipped with a condenser. Aniline (786 μL , 8.6 mmol) was added to the paraformaldehyde solution and allowed to react at 95 °C for 15 minutes. Lignin or phenolated lignin (1 g) was dissolved in 5 mL of toluene : ethanol (1 : 1 v/v) using

sonication. The lignin solution was added to the reaction mixture and reacted at 95 °C for 20 hours. The reaction mixture was allowed to cool to room temperature. The product was precipitated in excess diethyl ether (~50 mL). The resulting precipitate was filtered and washed with excess diethyl ether (>200 mL).

For BZ synthesis using amine A (*p*-phenylene diamine) and amine B (bis-(4-aminophenyl)ether), we used a method adapted from work by Adjoud *et al.*⁹ Lignin, amine (1 equivalent for unmodified lignin and 7 equivalents for phenolated lignin) and paraformaldehyde (2 equivalents with respect to the amine) were dissolved in a minimum amount of THF. The temperature was increased to 80 °C and reacted for 6–20 hours. The reaction was allowed to cool to room temperature before being concentrated under vacuum. The product was then precipitated in excess diethyl ether. The resulting fine precipitate was filtered under gravity and washed with excess diethyl ether. The final product was then dried in a vacuum oven at 50 °C. The mass balances for each reaction were as follows: 100% (SL-BZ-aniline), 69% (SL-BZ-A), 89% (SL-BZ-B), 100% (SL-PhBZ-aniline), 100% (SL-PhBZ-A), 100% (SL-PhBZ-B), 100% (KL-BZ-aniline), 89% (KL-BZ-A), 100% (KL-BZ-B), 87% (KL-PhBZ-aniline), 83% (KL-PhBZ-A), 100% (KL-PhBZ-B).

Phenolation

We used a method adapted from work by Podschun *et al.*⁵⁵ Lignin (1 g), phenol (2 g) and H_2SO_4 (6.7 wt% with respect to lignin and phenol, 0.368 mL) were added to a Schlenk flask or round-bottomed flask equipped with a condenser and reacted at 110 °C for 20 minutes. The reaction was quenched with and dissolved in a 5-fold amount of acetone : water (9 : 1, 5 mL). The product was precipitated in a 4-fold amount of pH1 H_2SO_4 (20 mL). The precipitate was filtered under vacuum and washed with a large excess of deionised water. To ensure all phenol had been removed, the dried solid was added to a large excess of deionised water and stirred for a few hours before filtering under vacuum and drying in a vacuum oven at 50 °C. The mass balance of the phenolation reaction was 80% for both Sonichem lignin and Kraft lignin.

FTIR spectroscopy

FTIR spectra were recorded using an Agilent Technologies Cary 630 FTIR spectrometer in the range 4000–650 cm^{-1} .

Solid-state ^{13}C CP MAS NMR spectroscopy

Solid-state NMR data were collected using a Bruker 400 MHz (9.4 T) Avance III HD NMR spectrometer equipped with a 4 mm triple-resonance HXY MAS probe in double resonance mode tuned to ^1H at $\nu_0(^1\text{H}) = 400.1$ MHz and ^{13}C at $\nu_0(^{13}\text{C}) = 100.6$ MHz. The samples were packed into HR-MAS inserts, which were themselves inserted into 4 mm ZrO_2 rotors and spun at a MAS rate of $\nu_r = 10$ kHz. The ^1H radio frequency (rf) field amplitude was set to 69 kHz for the 90° pulse in the $^1\text{H} \rightarrow ^{13}\text{C}$ cross polarisation (CP). This CP experiment was carried out using Hartman-Hahn matched rf amplitudes of 50 kHz (with a 70 to 100% linear amplitude ramp) and 42 kHz for ^1H and ^{13}C ,



respectively. CP contact times of 50 μ s and 1 ms were used. SPINAL-64 decoupling was applied during ^{13}C NMR signal acquisition with a ^1H rf pulse amplitude of 69 kHz.⁷⁰ A recycle delay of 3 s was used, which in all cases exceeded 1.3 times the ^1H spin-lattice relaxation time (T_1), as measured using saturation recovery. The ^{13}C chemical shifts were externally referenced to the CH carbons of adamantane at 29.45 ppm.⁷¹

2D ^1H ^{13}C HETCOR NMR spectra were collected on a Bruker 400 MHz Avance III NMR spectrometer equipped with a 4 mm double-resonance HX MAS probe. The ^1H rf field amplitude was set to 69 kHz for the 90° pulse, and CP was carried out using Hartman-Hahn matched rf amplitudes of 50 kHz (with a 70 to 100% linear amplitude ramp) and 61 kHz for ^1H and ^{13}C , respectively. SPINAL-64 decoupling was applied during ^{13}C NMR signal acquisition with a ^1H rf pulse amplitude of 69 kHz. Frequency-Switched Lee-Goldberg (FSLG) homonuclear decoupling at a rf amplitude of 69 kHz and a LG offset of 0 Hz was applied during the ^1H evolution time.⁷² This resulted in a ^1H chemical shift scaling factor, λ_{exp} , of 0.81, which was obtained by comparing the ^1H spectrum of L-alanine to its HETCOR projection.⁷³ The ^1H chemical shift range was then scaled accordingly to recover the true ^1H chemical shifts.

Elemental analysis

Elemental analysis was performed using an Exeter CE-440 elemental analyser. C, H and N contents were measured, and the content of any other elements present in the samples was calculated by difference.

X-ray photoelectron spectroscopy (XPS)

Samples were prepared for XPS by first preparing a solution of each sample at a concentration of 10 mg mL⁻¹ in THF. These samples were left to stir for 16 hours at room temperature before filtering through 0.45 μ m PTFE syringe filters. 100 μ L of the filtered solution was dropped onto a circular glass slide (diameter = 12 mm) and spun at 1000 rpm for 60 seconds using a spin coater. The samples were left to dry for 1 hour in a vacuum oven at 50 °C before analysis by XPS. XPS data were collected on a Kratos AXIS Supra+ X-ray photoelectron spectrometer with an Al K α X-ray source.

TGA

TGA was performed using a TA Instruments TGA 5500 with a temperature ramp of 10 °C per minute.

DSC

DSC was performed using a TA Instruments DSC 25 with a temperature ramp of 25 °C per minute.

Liquid-state ^{31}P NMR spectroscopy

40 mg of lignin was dissolved in 0.4 mL of pyridine : CDCl_3 (1.6 mL : 1 mL) and sonicated until completely dissolved. 50 μ L of $\text{Cr}(\text{acac})_3$ relaxation agent (11.4 mg mL⁻¹, dissolved in pyridine: CDCl_3 solvent system), 100 μ L of internal standard ($\sim$ 0.12 M, dissolved in pyridine: CDCl_3 solvent system) N-

hydroxy-5-norbornene-2,3-dicarboxoimide (CAS no.: 21715-90-2) were added to the lignin. The ratio of internal standard to lignin should be $\sim$ 0.3 μ mol mg⁻¹ 100 μ L of phosphitylating agent, 2-chloro-4,4,5,5-tetramethyl-1,3,2-dioxaphospholane (CAS no.: 14812-59-0) was added to the sample. The sample was vortexed for $\sim$ 30 seconds to ensure the contents were thoroughly mixed. The sample was then transferred to an NMR tube. NMR experiments were performed using a Bruker AVIII HD 400 MHz NMR spectrometer. Liquid-state ^{31}P NMR spectra were collected without proton decoupling experiment using an acquisition time of 1 second, a relaxation delay of 5 seconds and 500 scans.⁷⁴

Acetone solubility study

Each sample was dissolved in acetone (10 mg mL⁻¹) and allowed to stir at room temperature for 10 minutes before photographs were taken.

Data availability

The data supporting this article have been included as part of the ESI.†

Author contributions

Sample preparation, characterisation and functionalisation of lignin samples and data analysis were performed by Libby J. Marshall. Solid-state ^{13}C CP MAS NMR data were collected and analysed by Daniel J. Cheney, Jasmine A. Keith and Frédéric Blanc. XPS data were collected and processed by Christopher Kelly. Libby J. Marshall wrote the initial draft with subsequent text addition and editing by all authors.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

We thank the EPSRC for funding via the University of Glasgow's 2022–2025 EPSRC Impact Acceleration Account (EP/X525716/1) and for enabling the use of the X-ray photoelectron spectrometer within the School of Chemistry at the University of Glasgow through the EPSRC Strategic Equipment Award (EP/W02134X/1). We thank the IBioIC for funding (FF-2023-02). Daniel J. Cheney was supported by the Leverhulme Trust under Research Project grant RPG-2020-066. We thank the University of Liverpool for funding Jasmine A. Keith. We also thank Sonichem for providing the lignin samples, Connor Fleming (University of Glasgow) for carrying out the elemental analysis measurements, and Andy Monaghan (University of Glasgow) for carrying out the TGA and DSC analysis.

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