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## Advances and challenges of sodium ion batteries as post lithium ion batteries

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Energy and climate concerns have made the need for research towards electrical energy storage. In this context, sodium ion batteries (SIBs) have attracted significant attention lately. Sodium is an abundant resource that is low cost and safe which makes it an attractive alternative to lithium. Its chemical properties are similar to that of Li which makes the transition into using Na chemistry for ion battery systems feasible. This review focuses on the latest progress in both cathode and anode materials for SIBs. It also details research in binders and additives and their effects on the SIB system. It further highlights the optimization of organic electrolytes and ionic liquid based electrolytes for utilization in SIBs. The mechanisms of sodium ion storage, transport, and solid electrolyte interphase formation are also discussed to better understand the behavior of ions and battery materials during de/intercalation. Finally, personal perspectives on outlook and major challenges ahead for SIBs are offered. These comprehensive and in-depth discussions along with proposed directions can enlighten ideas and offer avenues in the rational design of durable and high performance SIBs in the near future.

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### 1. Introduction

Inevitable fossil fuel depletion has made the need for electrical energy storage systems (EES) extremely important. An efficient EES is crucial in order to stabilize the electrical energy grid by supplying a continuous flow of energy during off peak hours of intermittent renewable energy sources (*e.g.*, wind, solar, and wave). The Department of Energy's goal for the cost of energy application on the electric grid to achieve 20% wind penetration is \$100 kW h<sup>-1</sup> by 2030.<sup>1</sup> In addition, fossil fuel consumption of

internal combustion engines gives rise to environmental pollution and the urgent need for high energy and high power density batteries for hybrid electric vehicles (HEVs), plug-in hybrid electric vehicles (PHEVs) and all electric vehicles (EVs). The battery specification for a 300 mile mid-range electric sedan is an energy density of 250 W h kg<sup>-1</sup> at a cost \$125 kW h<sup>-1</sup>.<sup>1-4</sup> These emerging demands for electrical energy storage can lead to the overall depletion in lithium reserves.<sup>2,5,6</sup>

The aforementioned specifications and eventual depletion of lithium have made sodium ion batteries (SIBs) an attractive alternative to lithium ion batteries (LIBs). The advent of the commercialized LIB by Sony in 1991 has made the use of portable electronics a part of everyday life. However, with increased demand for lithium metal arises the concern of the

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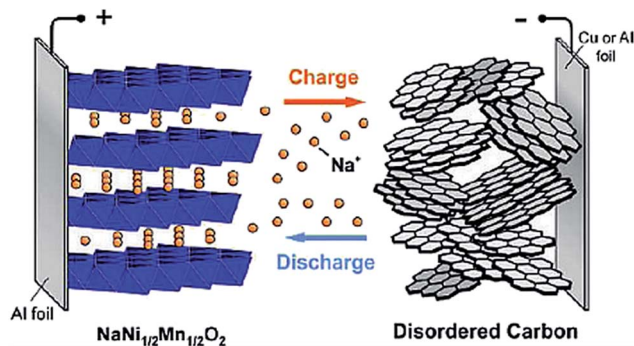


Fig. 2 Schematic of sodium ion batteries with a layered transition metal oxide cathode and carbonaceous anode. Reproduced with permission<sup>23</sup> © 2011, ACS Publications.

aqueous system could provide better safety; and the overall performance may be improved due to high conductivity of aqueous solutions which would, in turn, reduce internal resistance of the battery.<sup>4,24–33</sup>

In what follows, we will provide a comprehensive review of cathodes, anodes and electrolytes first, followed by in-depth discussion of the advancements and challenges in binders, additives, Na ion transport, and SEI formation. To assist readers in capturing the breadth and depth of cathode investigations, we have grouped cathodes in five categories according to their chemical compositions. These are oxides, sulfides and sulfates, phosphates, fluorides, and hexacyanoferrates. Similarly, anodes are divided into three groups, including carbonaceous materials, metals and alloys, and metal oxides. Electrolytes are grouped in three categories with organic, aqueous, and ionic liquid-based electrolytes.

### 3. Cathode materials

#### 3.1 Metal oxides

Metal oxide cathode materials are promising candidates for SIBs because there are several processing techniques (*e.g.*, sol-gel method, co-precipitation, solid state reaction, *etc.*) that can be used to obtain these stoichiometric layered structures. Many of these materials are nanosized which offer large surface area and short diffusion paths for ions during de/sodiation upon cycling. Carbon coating enhances conductivity and offers more homogeneous particle size with uniform structure. For most of the oxide materials, the amount of sodium deintercalated from the cathode material ranges from 0.5 to 0.85. This is dependent on the initial stoichiometry and phase transitions that occur with respect to the change in oxidation states of the metals within the active material. Understanding the electrochemical behavior of the active materials at these different stages will enable the determination of the acceptable Na content range for alkali ion layers.

We will review oxides with a single transition metal component first to emphasize the roles of the crystal structure and redox couples in electrochemical reactions. This will then be followed by reviews of oxides with multiple transition metal

components. The use of substitution or doping of other transition metals in the layered oxide structures can offer multiple advantages over oxides with a single transition metal component, including (1) better stability of the material, (2) increased capacity by adding more redox active substitutes, (3) removal of Jahn–Teller distortions, (4) reduction in volume change of the active material, and (5) higher cycling numbers with better capacity retention.

**3.1.1 Single metal component oxides.** In 1980, Delmas, *et al.*<sup>15</sup> structurally classified layered oxides of the form  $A_xMO_2$  ( $A$  = alkali,  $M$  = transition metal) using specialized nomenclature. The layered structure is built by sheets of edge-shared  $MO_6$  octahedra, wherein alkali ions are located between  $MO_6$  sheets. O or P represents octahedral or trigonal prismatic coordination environment of alkali ions, followed by a number describing the number of transition metal layers (3 or 2) in the stacking repeat unit; and O'3 and P'2 represent the monoclinic distortion of O3 and P2 phase packing. The stacking types of O3 and P2 phases are shown in Fig. 3.<sup>4</sup> There are two independent sites for Na in stacked prismatic coordination. One is shared between equal faces above and below the  $MO_6$  octahedra layer (2d), while the second one is shifted by one octahedral group face and shares the edges with the  $MO_6$  octahedra (2b).<sup>2,4,11,15,34</sup>

Sodium intercalation in  $Na_xCoO_2$  bronzes was studied by Delmas *et al.*<sup>16</sup> in the early 80s. They found that polymorphs of  $Na_xCoO_2$  reversibly intercalated  $Na^+$  over a limited range of  $0.5 \leq x \leq 1$  for Na content and the phase transition that occurred was  $O3 \rightarrow O'3 \rightarrow P'3$  for the initial  $O3-NaCoO_2$ .<sup>2,4,16</sup>

$Na_xMnO_2$  has been widely studied for use as a cathode in SIBs.<sup>2–4,7,9,14,29,35–47</sup> Two phases exist for this material, low temperature  $\alpha$ - $NaMnO_2$  is most stable and has an O3 layered structure with monoclinic structural distortion, and high temperature  $\beta$ - $NaMnO_2$  is orthorhombic and contains  $MnO_2$  sheets which consist of edge sharing doubly stacked  $MnO_6$  octahedra. Ma, *et al.*<sup>36</sup> found that 0.85 Na can be deintercalated from monoclinic  $NaMnO_2$  with 0.8 intercalation of Na during cycling giving  $185 \text{ mA h g}^{-1}$  discharge capacity at a C/10 rate with 71% retention after 20 cycles. Fig. 4a and b show the

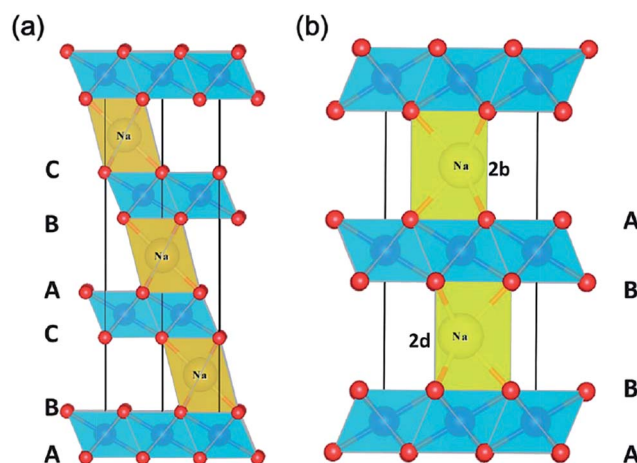


Fig. 3 The crystal structure of (a) O3 and (b) P2 phases in  $A_xMO_2$ . Reproduced with permission<sup>4</sup> © 2013, RSC Publishing.



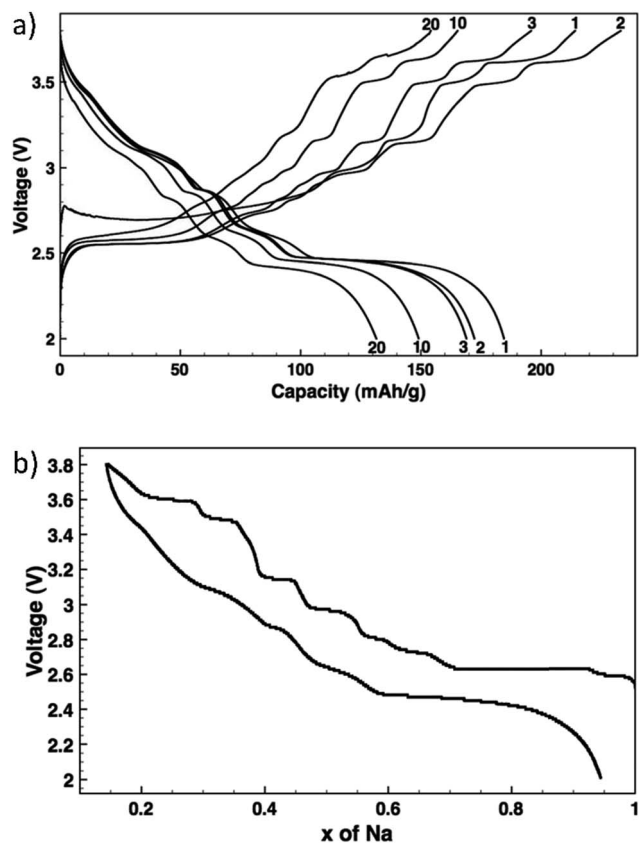


Fig. 4 Voltage profiles: (a)  $\text{Na}_x\text{MnO}_2$  cycled at C/10 with the cycle numbers indicated, and (b)  $\text{Na}_x\text{MnO}_2$  de/sodiation as measured by potentiostatic intermittent titration. Reproduced with permission<sup>36</sup> © 2012, The Electrochemical Society.

voltage profiles for multiple cycles and the voltage profile upon de/intercalation.<sup>36</sup>

These voltage profiles show distinct voltage steps and plateaus which indicate different intermediate phase transformations upon de/sodiation. XRD data of pristine  $\text{NaMnO}_2$  and partially charged  $\text{NaMnO}_2$  to the midpoint of the 2.63 V plateau confirm a two phase transformation from  $\sim\text{Na}_{0.93}\text{MnO}_2$  to  $\sim\text{Na}_{0.7}\text{MnO}_2$ , respectively. The  $\text{Na}_{0.7}\text{MnO}_2$  phase contains six metal layers as opposed to the two layers in  $\text{Na}_{0.93}\text{MnO}_2$  which can be due to Na vacancy ordering or modification of O3 stacking by oxygen layer gliding.<sup>36</sup> Further research must be done in order to determine all phase transformations that occur at the voltage plateaus in the voltage profiles.

Billaud, *et al.*<sup>47</sup> were able to achieve a high capacity of  $190 \text{ mA h g}^{-1}$  with  $\beta\text{-NaMnO}_2$  at a rate of C/20. This material exhibits good rate capability and capacity retention when cycled at 2 C initially offering a discharge capacity of  $142 \text{ mA h g}^{-1}$  with  $\sim 70\%$  ( $100 \text{ mA h g}^{-1}$ ) retention after 100 cycles. This compound has a complex structure which contains intergrown regions of  $\alpha\text{-NaMnO}_2$  and  $\beta\text{-NaMnO}_2$  polymorphs.<sup>47</sup> Upon cycling and lowering of the Na content, an increase in stacking faults caused the collapse of the long range order within the material, but was recovered upon Na reinsertion and maintains stable cyclability, even though  $\beta\text{-NaMnO}_2$  exhibits increased disorder. This is in

contrast to common expectation requiring minimal structural change for reproducible stable cycling.<sup>47</sup>

Jo, *et al.*<sup>37</sup> investigated the electrochemical behavior of  $\alpha\text{-NaMnO}_2$  in two different electrolytes, 1 M  $\text{NaBF}_4$  tetraethylene-glycol dimethylether (TEGDME) and 1 M  $\text{NaClO}_4$  ethylene carbonate/diethyl carbonate (EC/DEC). The TEGDME electrolyte has a lower discharge capacity initially ( $136 \text{ mA h g}^{-1}$ ) compared to that of the EC/DEC electrolyte but is more stable because after the 20<sup>th</sup> cycle it retained 74% capacity, whereas EC/DEC only retained 71.6%. The coulombic efficiency maintained over 90% for 1 M  $\text{NaBF}_4$  in TEGDME, while that of 1 M  $\text{NaClO}_4$  in EC/DEC consistently decreased to 80% within 20 cycles. Using EC/DEC offers small bulk and interfacial resistance, but TEGDME has more stable interfacial resistance allowing stable cyclability compared to EC/DEC.<sup>37</sup>

Single crystalline sodium manganese oxide ( $\text{Na}_{0.44}\text{MnO}_2$ ) nanowires are an orthorhombic lattice structure.<sup>29</sup> This structure contains  $\text{MnO}_5$  square pyramids and  $\text{MnO}_6$  octahedra which form large S shaped tunnels and smaller pentagon tunnels. The S shaped tunnels in  $\text{Na}_{0.44}\text{MnO}_2$  allow mobility of Na ions that can be reversibly extracted and produce a theoretical discharge capacity of  $121 \text{ mA h g}^{-1}$ , whereas Na ions are fixed in the pentagonal tunnels and are unable to be extracted.  $\text{Na}_{0.44}\text{MnO}_2$  is a promising cathode material because it has a high capacity of  $\sim 120\text{--}130 \text{ mA h g}^{-1}$  with good cycle performance having 77% retention after 1000 cycles and is capable of being used in non-aqueous and aqueous electrolytes.<sup>29,31,35</sup>

Cao, *et al.*<sup>29</sup> prepared homogeneous and highly crystalline  $\text{Na}_{0.44}\text{MnO}_2$  nanowires and found that they are capable of inserting and extracting four Na ions during cycling inducing a chemical transformation from  $\text{Na}_6\text{Mn}_9\text{O}_{18}$  to  $\text{Na}_2\text{Mn}_9\text{O}_{18}$ . This material delivers reversible capacities of  $128 \text{ mA h g}^{-1}$  at 0.1 C and  $82 \text{ mA h g}^{-1}$  at 2 C. The nanowires are mechanically stable and provide a short diffusion path for de/sodiation. This higher than theoretical capacity result may be due to Na extraction from the pentagonal smaller tunnels.<sup>29</sup> Through density functional theory, XRD measurements, and electrochemical cycling, Kim, *et al.*<sup>35</sup> were able to confirm this. There are three binding sites for  $\text{Na}_{0.44}\text{MnO}_2$ , Na1 corresponds to the almost filled small tunnel, while Na2 and Na3 are half filled in the S shaped tunnels. The Na2 site is broken up into Na21 and Na22 due to different orientation coordination as depicted in Fig. 5.<sup>35</sup>

Na21 sites are more stable than Na22 sites since Mn2 and Na2 distance is elongated from repulsive interaction of  $\text{Na}^+$  and  $\text{Mn}^{4+}$  ions. The Na22 sites are only prevalent between  $\text{Na}_{0.44}\text{MnO}_2$  and  $\text{Na}_{0.55}\text{MnO}_2$  due to the competing electrostatic interaction. This forms an unstable biphasic region which induces capacity fading and slow diffusion of Na ions. Seven intermediate phases were identified for sodium insertion and extraction. It was found that the order of extraction started at Na3 sites where sodium ion repulsion is the greatest followed by Na2 and Na1 sites.<sup>35</sup> The results are shown in Fig. 6.<sup>35</sup>

Kim, *et al.*<sup>35</sup> also researched the degree of volume change and evolution of lattice parameters of  $\text{Na}_{0.44}\text{MnO}_2$  during charging and discharging processes. They found that the asymmetric change of lattice parameters is due to Jahn–Teller distortions

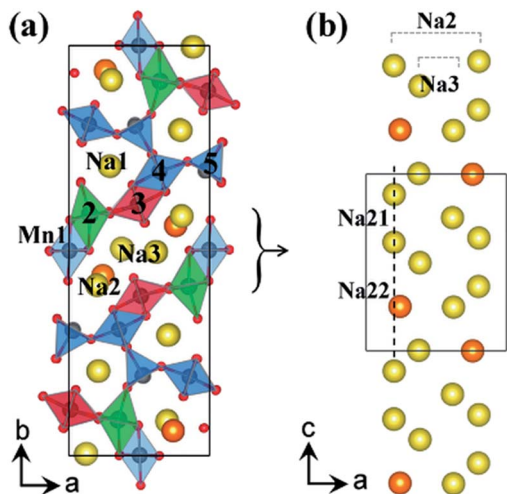


Fig. 5 (a) Crystal structure of  $\text{Na}_{0.44}\text{MnO}_2$  showing Mn and Na binding sites, and (b) the S shaped tunnel configuration along  $c$ -axis with different sodium positions Na21 and Na22 in  $\text{Na}_{0.44}\text{MnO}_2$ . Reproduced with permission<sup>55</sup> © 2012, ACS Publications.

and calculated that 44% substitution of Cr into  $\text{Na}_{0.44}\text{MnO}_2$  would reduce volume change by 50%.

The intercalation of sodium into layered  $\text{NaCrO}_2$  has been studied for use in SIBs.<sup>17,48–51</sup> Its rhombohedral ( $R\bar{3}m$ ) O3 type layered structure is similar to that of  $\text{LiCrO}_2$  but behaves differently since it is electrochemically active with reversible

capacities of  $120 \text{ mA h g}^{-1}$  for the  $\text{Na}||\text{NaCrO}_2$  cell (Fig. 7a).<sup>48</sup>  $\text{NaCrO}_2$  was able to reversibly deintercalate 0.5 mole of sodium ions per formula unit to form  $\text{Na}_{0.5}\text{CrO}_2$  giving the phase transitions of hexagonal O3  $\rightarrow$  monoclinic O3  $\rightarrow$  monoclinic P3 for the charge process. Irreversible Li intercalation of  $\text{LiCrO}_2$  is due to irreversible migration of Cr(vi) into tetrahedral sites due to disproportionate transfer of Cr(IV) to Cr(III) and Cr(VI). In  $\text{NaCrO}_2$ , Cr(IV) cannot migrate due to the mismatch between  $\text{Cr}^{\text{VI}}\text{O}_4^{2-}$  and the O–O bond length and  $\text{CrO}_2$  slab distance of the interstitial tetrahedron. Magnetic measurement confirmed that chemically deintercalated  $\text{Na}_{1-x}\text{CrO}_2$  contained Cr(IV).<sup>48,52</sup>

Unfortunately, capacity fading of this material does occur. Ding, *et al.*<sup>50</sup> used carbon coating on the surface of active  $\text{NaCrO}_2$  particles to improve electrochemical behavior during cycling. Initial charge and discharge capacities of carbon coated  $\text{NaCrO}_2$  were  $135 \text{ mA h g}^{-1}$  and  $116 \text{ mA h g}^{-1}$ , respectively. The discharge capacity only decreased to  $110 \text{ mA h g}^{-1}$  after the 40<sup>th</sup> cycle; whereas naked  $\text{NaCrO}_2$  had a discharge capacity of  $\sim 105 \text{ mA h g}^{-1}$  with 0.32% capacity loss for each cycle. The improved performance can be related to the carbon coating since it provides (1) decreased polarization of the electrode, (2) enhanced conductivity, (3) suppression of side reactions between the active material and electrolyte, and (4) slowing of SEI formation at the electrode surface.<sup>50</sup>

Overall,  $\text{NaCrO}_2$  is determined to be a safe electrode material since it is more stable in 1 M  $\text{NaPF}_6$  in EC/DEC than delithiated  $\text{Li}_{0.5}\text{CoO}_2$  and  $\text{LiFePO}_4$ .<sup>51</sup> The reason for this is due to its high thermal stability. Accelerating rate calorimetry experiments showed lack of heat evolution in  $\text{Na}_{0.5}\text{CrO}_2$ . An exothermic reaction did not occur for this material until  $250 \text{ }^\circ\text{C}$  with very little release of heat. Thermogravimetric analysis (TGA) showed very small mass change attributing to very little oxygen release. They determined that a reaction between the solvent and  $\text{Na}_{0.5}\text{CrO}_2$  transforms into  $\text{NaCrO}_2$  and  $\text{P3-CrO}_{2-\delta}$  which gives minimal oxygen release and accounts for the high thermal stability of this material.<sup>51</sup>

$\text{NaFeO}_2$  has been considered as a SIB cathode.<sup>53–58</sup> Zhao, *et al.*<sup>56</sup> show that it is a thermally stable material with stable reversible capacity of  $85 \text{ mA h g}^{-1}$  in a  $\text{Na}||\text{NaFeO}_2$  battery. Differential scanning calorimetry (DSC) measurements showed less heat generation at higher exothermic onset temperatures ( $220\text{--}300 \text{ }^\circ\text{C}$ ) offering thermal stability comparable to  $\text{LiCoO}_2$  counterparts.<sup>56</sup> Recently, hollow iron oxide nanoparticles have been utilized for sodium ion transport. Hollow  $\gamma\text{-Fe}_2\text{O}_3$  has cation vacancies which can serve as hosts for Na ions in a voltage range of 1.1–4.0 V. The  $\gamma\text{-Fe}_2\text{O}_3$  has been encapsulated between layers of carbon nanotubes and exhibited a reversible capacity of  $189 \text{ mA h g}^{-1}$  with 99% coulombic efficiency. An increased C rate of 50 ( $3000 \text{ mA g}^{-1}$ ) offered a capacity of  $99 \text{ mA h g}^{-1}$  with capacity retention for more than 500 cycles.<sup>59</sup>

**3.1.2 Multiple metal component oxides.** Single metal layered structures have offered substantial results that aid in understanding specific phase transitions, thermal behavior of materials, and electrochemical activity. However, multiple metal component oxides provide additional flexibility in increasing the structural stability and energy densities and minimizing the volume change during cycling.<sup>35,52,60–67</sup> Thus,

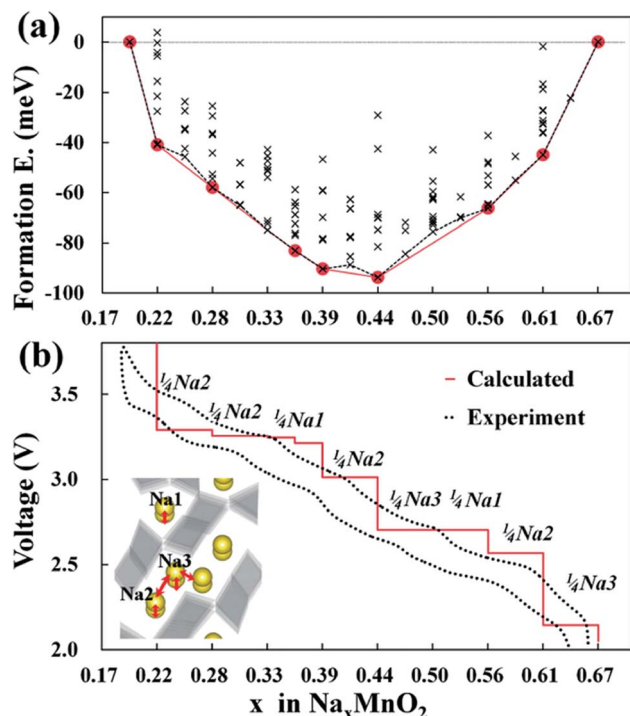


Fig. 6 (a) Formation energies of seven stable intermediate phases during  $\text{Na}_x\text{MnO}_2$  cycling calculated from 156 different sodium configurations, and (b) experimental and calculated voltage profiles for  $\text{Na}_x\text{MnO}_2$  with respect to the minimum energy path of formation energies. Reproduced with permission<sup>55</sup> © 2012, ACS Publications.

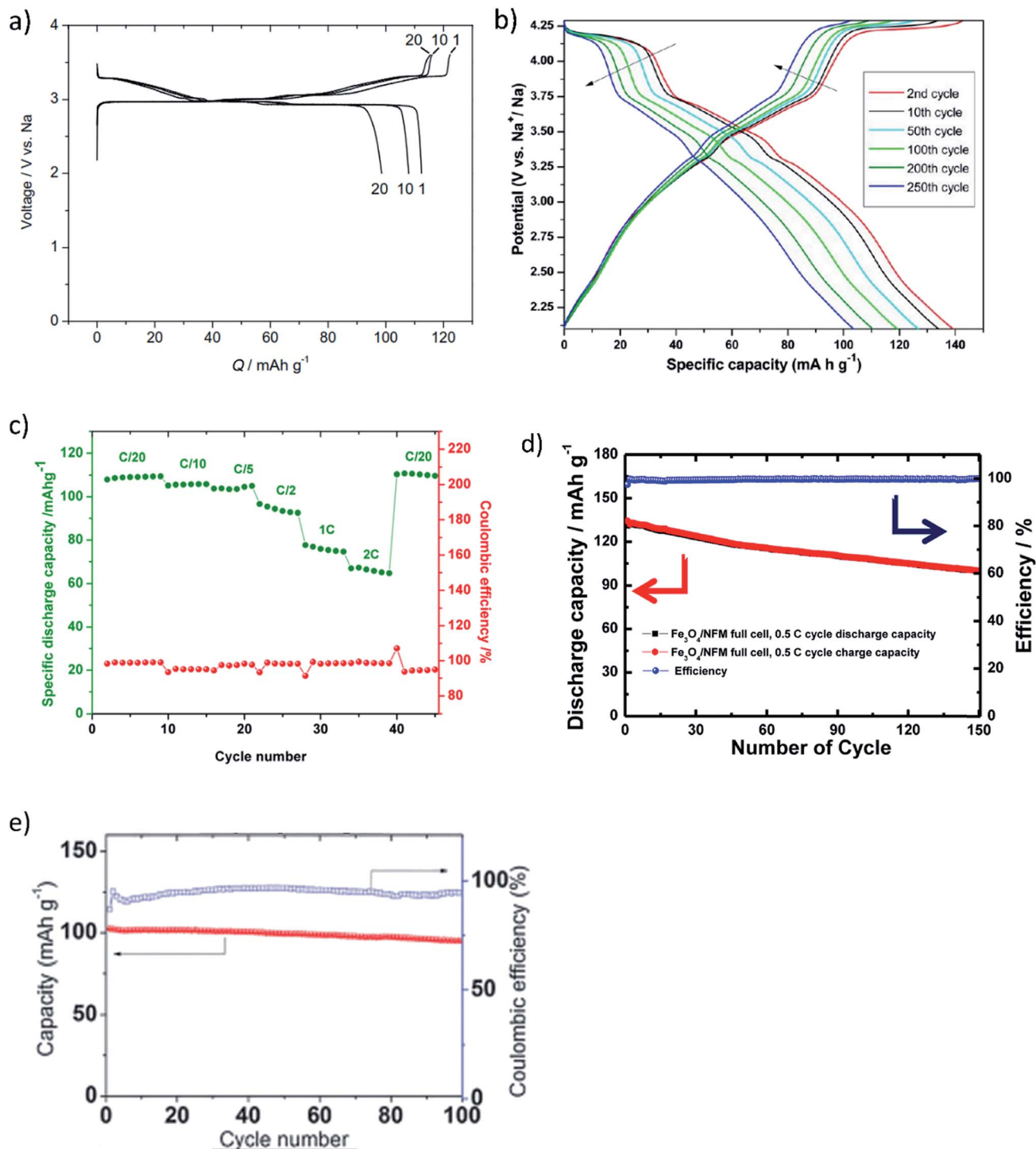


Fig. 7 Charge–discharge profiles of (a)  $\text{NaCrO}_2$  (reproduced with permission<sup>48</sup> © 2010 Elsevier) and (b)  $\text{Na}_{0.45}\text{Ni}_{0.22}\text{Co}_{0.11}\text{Mn}_{0.66}\text{O}_2$  (reproduced with permission<sup>61</sup> © 2013, ACS Publications). Discharge capacities with respect to the cycle number of (c)  $\text{Na}_{2/3}\text{Co}_{2/3}\text{Mn}_{2/9}\text{Ni}_{1/9}\text{O}_2$  (reproduced with permission<sup>65</sup> © 2014, Elsevier), (d)  $\text{Na}[\text{Ni}_{0.25}\text{Fe}_{0.5}\text{Mn}_{0.25}]\text{O}_2$  (reproduced with permission<sup>62</sup> © 2014, ACS Publications), and (e)  $\text{NaNi}_{0.5}\text{Ti}_{0.5}\text{O}_2$  (reproduced with permission<sup>66</sup> © 2014, RSC Publishing).

investigation and design of multiple metal component oxides are often built on the success of single metal component oxides.

$\text{Na}_{0.45}\text{Ni}_{0.22}\text{Co}_{0.11}\text{Mn}_{0.66}\text{O}_2$  can be synthesized using coprecipitation<sup>61</sup> or a solid state reaction.<sup>65</sup> Buchholz *et al.*<sup>61</sup> found that water treatment rinsing of the co-precipitated material enhances electrochemical performance by stabilizing

de/sodiation. The O2 type phase transition along the 4.2 V voltage plateau of  $\text{Na}_{0.45}\text{Ni}_{0.22}\text{Co}_{0.11}\text{Mn}_{0.66}\text{O}_2$  is highly reversible and results in high capacities, high average voltage, and superior cycling performance with a specific capacity of  $135 \text{ mA h g}^{-1}$  and a coulombic efficiency exceeding 99.7% for more than 250 cycles (Fig. 7b).<sup>65</sup>

$\text{Na}_{0.45}\text{Ni}_{0.22}\text{Co}_{0.11}\text{Mn}_{0.66}\text{O}_2$  was tested in an ionic liquid (IL)-based 10 mol% sodium bis(tri-fluoromethanesulfonyl)imide (NaTFSI) in *N*-butyl-*N*-methylpyrrolidinium bis(fluorosulfonyl)imide (PYR<sub>14</sub>FSl) electrolytic solution and carbonate-based (0.5 M NaPF<sub>6</sub> in propylene carbonate) electrolyte.<sup>65</sup> The ionic liquid electrolyte offers higher reversibility for electrochemical de/sodiation due to higher electrochemical stability of the ionic liquid which enables high potential P2–O2 phase transitions at 4.2 V and also hinders solubility of Mn into the electrolyte, offering improved performance at low potentials (2.2 V). A uniform SEI layer formed in batteries tested with the IL-based electrolyte which was beneficial for active material performance, leading to high specific capacities of ~200 mA h g<sup>-1</sup> and high average voltage (2.7 V vs. Na/Na<sup>+</sup>) with capacity retention of about 80% after 100 cycles.<sup>65</sup>

$\text{Na}_x[\text{Fe}_y\text{Mn}_{1-y}]\text{O}_2$ <sup>60,68–70</sup> has gained increased interest as a cathode material because it can obtain the O3 or P2 type structure depending on the sodium/(iron and manganese) ratio. P2 type  $\text{Na}_{2/3}[\text{Fe}_{1/2}\text{Mn}_{1/2}]\text{O}_2$  delivers 190 mA h g<sup>-1</sup> (~520 W h kg<sup>-1</sup>) of reversible capacity with an average of 2.75 V vs. Na by utilizing both the Mn<sup>3+</sup>/Mn<sup>4+</sup> and Fe<sup>3+</sup>/Fe<sup>4+</sup> redox.<sup>60,70</sup> The capacity lowers to ~150 mA h g<sup>-1</sup> after 30–40 cycles.<sup>60,71</sup> In comparison to lithium, sodium is strongly ionized and has lower covalency with oxygen, thus the iron and oxygen gain more net electrons. The Fe<sup>3+</sup>/Fe<sup>4+</sup> redox is accessible without oxygen loss due to the lower electrochemical potential of the redox reaction, approaching that of Na/Na<sup>+</sup>.<sup>60,70</sup>

O3 type  $\text{Na}[\text{Fe}_{1/2}\text{Mn}_{1/2}]\text{O}_2$  is electrochemically active and offers ~110 mA h g<sup>-1</sup>. This material exhibits a large amount of polarization above 1 V between the oxidation and reduction processes when cycled vs. Na/Na<sup>+</sup> in the voltage range of 1.5–4.2 V. Although P2 type  $\text{Na}_x[\text{Fe}_y\text{Mn}_{1-y}]\text{O}_2$  is a promising material, some drawbacks exist: (1) large volume change (11.3% shrinkage after charge to 4.2 V) during electrochemical cycles, (2) restriction of sample handling in moist air due to hygroscopic nature (as-prepared P2– $\text{Na}_{2/3}[\text{Fe}_{1/2}\text{Mn}_{1/2}]\text{O}_2$  is somewhat oxidized by water, forming P2– $\text{Na}_{1/2}[\text{Fe}_{1/2}\text{Mn}_{1/2}]\text{O}_2$  and NaOH), and (3) sodium deficiency in the as-prepared sample.<sup>60,70</sup>

$\text{Na}_{0.67}(\text{Mn}_{1-x}\text{Mg}_x)\text{O}_2$  where  $x \leq 0.2$  has a P2 type structure has been utilized in a SIB and offers a discharge capacity of 175 mA h g<sup>-1</sup> ( $x = 0.05$ ).<sup>72</sup> The Mg within the material smooths charge–discharge profiles and cycling stability improves with increased Mg content. This substitution also reduces polarization of the cell.<sup>72</sup>

A layered, stable  $\text{Na}_{2/3}\text{Co}_{2/3}\text{Mn}_{2/9}\text{Ni}_{1/9}\text{O}_2$  was prepared by sol–gel method which belongs to the *P6<sub>3</sub>/mmc* space group and adopts the P2-type structure.<sup>63</sup> The cathode material delivered a capacity of 110 mA h g<sup>-1</sup> when cycled from 2.0 to 4.2 V vs. Na/Na<sup>+</sup> with coulombic efficiency exceeding 99.4%. The initial capacity was fully recovered when cycled first at the rate of C/20, then C/10, C/5, C/2, 1 C, 2 C, and finally C/20 again, demonstrating that this material has good rate capability and cycle stability (Fig. 7c).<sup>63</sup> XRD analysis determined that a transition from the P2 to O2 phase occurred when the concentration of Na reached ~1/3, but this was not confirmed in CV data since this transition would occur at ~4.2 V which was the cutoff voltage for testing. Charge–discharge cycling was then tested between

voltages of 2.0–4.5 V, and though the initial capacity increased, there was a large amount of capacity fading as cycling continued which can be attributed to electrolyte decomposition at higher voltage.<sup>63</sup>

$\text{Na}[\text{Ni}_{0.25}\text{Fe}_{0.5}\text{Mn}_{0.25}]\text{O}_2$  (NFM) was placed in a battery with a carbon coated Fe<sub>3</sub>O<sub>4</sub> (C-Fe<sub>3</sub>O<sub>4</sub>) conversion anode and NaClO<sub>4</sub> in fluoroethylene carbonate (FEC) and ethyl methanesulfonate (EMS) electrolyte.<sup>62</sup> This group found that 1 M NaClO<sub>4</sub> in EMS + 2 vol% FEC provided anodic stability of the electrolyte up to 5.6 V vs. Na/Na<sup>+</sup>. Moreover, the loading of Fe<sub>3</sub>O<sub>4</sub> is higher than carbon since its density (5.17 g cm<sup>-3</sup>) is more than twice that of amorphous carbon (1.8 g cm<sup>-3</sup>) primarily used as anodes in SIBs. This translates to an increase in energy density of Fe<sub>3</sub>O<sub>4</sub> compared to hard carbon. Both NFM and C-Fe<sub>3</sub>O<sub>4</sub> electrodes were also separately paired with a Na electrode and charged–discharged at 0.1 C. Each electrode showed promising results with 200 mA h g<sup>-1</sup> for C-Fe<sub>3</sub>O<sub>4</sub> and 140 mA h g<sup>-1</sup> for NFM.<sup>62</sup>

Upon charging, the P3 type monoclinic phase is formed and the desodiation proceeds with different transition metals exhibiting different changes in the average oxidation states.<sup>62</sup> Ni<sup>2+</sup> converts to Ni<sup>4+</sup> and Fe<sup>3+</sup> to Fe<sup>4+</sup> at the end of charge, but almost no change occurs for the oxidation state of Mn. The combined cell of C-Fe<sub>3</sub>O<sub>4</sub>||NFM operated at ~2.4 V and delivered a capacity of 130 mA h g<sup>-1</sup> (Fig. 7d).<sup>62</sup> The structural stability and high electric conductivity of the electrode materials support the rate capability and cycling of this unique battery. The capacity retention was 76.1% at the 150<sup>th</sup> cycle with coulombic efficiency close to 100%.<sup>62</sup>

Yuan, *et al.*<sup>67</sup> synthesized P2-type  $\text{Na}_{0.67}\text{Mn}_{0.65}\text{Fe}_{0.2}\text{Ni}_{0.15}\text{O}_2$  using a sol–gel method. This stoichiometry improved initial capacity to 208 mA h g<sup>-1</sup> with 71% capacity retention over 50 cycles. The Ni substitution alleviates Jahn–Teller distortion of Mn(III) and has higher redox reversibility, thereby increasing reversible capacity and stability of the active material.<sup>67</sup>

The electrochemical performance of a novel titanium-based O3-type  $\text{NaNi}_{0.5}\text{Ti}_{0.5}\text{O}_2$  was explored for the first time as a cathode material. It offers reversible structural change upon de/sodiation.<sup>66</sup> A Na||NaNi<sub>0.5</sub>Ti<sub>0.5</sub>O<sub>2</sub> cell operated at an average potential of 3.1 V vs. Na/Na<sup>+</sup> and delivered a reversible capacity of 121 mA h g<sup>-1</sup> at the current density of 20 mA g<sup>-1</sup> (0.2 C). Cycling at an increased rate of 5 C delivered more than 60% of the initial discharge capacity, showing that this material has excellent cycle stability and rate capability.<sup>66</sup> Fig. 7e shows the specific capacity and coulombic efficiency of NaNi<sub>0.5</sub>Ti<sub>0.5</sub>O<sub>2</sub> as a function of cycle number, exhibiting less than 5% capacity reduction after 100 cycles.<sup>66</sup>

### 3.2 Sulfides/sulfates

Sodium sulfur batteries exist in molten form (270–350 °C) and have been widely used for load leveling and emergency power applications due to their high energy efficiency. The sulfur, sodium, and polysulfide materials are highly corrosive, therefore it is imperative that the seals and containers used for these batteries are resistant and offer protection from water and oxidizing environments. In September 2011 sodium sulfur batteries caught fire at NGK Insulators, Ltd. in Japan. Battery



manufacture was suspended until June 2012 after determining the cause of the fire.<sup>1,9</sup>

The high temperature and safety issues from use of these batteries have brought attention to the research of room temperature sodium sulfur batteries. Cathodes containing sulfur composites,<sup>73,74</sup>  $\text{Cu}_2\text{S}$ ,<sup>75</sup>  $\text{FeS}_2$ ,<sup>76</sup>  $\text{Ni}_3\text{S}_2$  and  $\text{Ni}_3\text{S}_2$  with Fe additive,<sup>77,78</sup>  $\text{NaFeSO}_4\text{F}$ ,<sup>79</sup> and  $\text{Na}_2\text{Fe}_2(\text{SO}_4)_3$ <sup>80</sup> are some of the materials studied for use in this type of batteries. The main issues that arise with sulfur containing cathodes are the low utilization of active material, volume expansion upon de/intercalation of Na ions, and polysulfide dissolution into the electrolyte; which are similar to those of Li-S batteries. They also have rapid capacity fading. Researchers have tried to produce Na-S batteries despite these disadvantages due to the high energy density this type of battery is capable of ( $760 \text{ W h kg}^{-1}$ ).

Sulfur composite material consisting of sulfur embedded in a polyacrylonitrile matrix offered thermal stability by retaining above 90% of its original mass confirmed by TGA. This material reversibly reacted with sodium based on the following chemical reaction,  $2\text{Na} + x\text{S} \rightarrow \text{Na}_2\text{S}_x$ . This material delivered a reversible specific capacity of  $\sim 500 \text{ mA h g}^{-1}$  up to 18 cycles but upon examination of the decrimped battery, dendrite formation occurred which would eventually short the battery.<sup>73</sup>

$\text{Cu}_2\text{S}$ ,  $\text{FeS}_2$ , and  $\text{Ni}_3\text{S}_2$  with Fe additive offered initial specific capacities of  $294 \text{ mA h g}^{-1}$ ,  $447 \text{ mA h g}^{-1}$ , and  $400 \text{ mA h g}^{-1}$  in low voltage potential regions of  $\sim 2.0 \text{ V}$ , respectively.<sup>75-77</sup> These three studies only cycle these batteries for 15, 20, and 50 times. Although they have good coulombic efficiency, capacity fading after the first cycle occurred for these batteries with  $\text{FeS}_2$  having the worst electrochemical performance, displaying only  $\sim 16\%$  capacity retention. More stable, cyclable sulfide materials need to be studied for the Na-S battery system.

$\text{NaFeSO}_4\text{F}$  compound has a tunnel type structure and is ionically conductive ( $7.14 \times 10^{-7} \text{ S}^3 \text{ cm}^{-1}$ ) but only  $\sim 0.07 \text{ Na}$  was extracted from this structure electrochemically involving a  $3.6 \text{ V Fe}^{\text{II}}/\text{Fe}^{\text{III}}$  redox plateau. Though extraction was possible, this material offered only  $\sim 6\%$  of its theoretical capacity.<sup>79</sup>

$\text{Na}_2\text{Fe}_2(\text{SO}_4)_3$  has the most promising results being operable at a voltage of  $3.8 \text{ V}$  and offering a reversible capacity of over  $100 \text{ mA h g}^{-1}$  and have 50% capacity retention at a high rate of  $20 \text{ C}$ .<sup>80</sup> The  $\text{Na}_2\text{Fe}_2(\text{SO}_4)_3$  is an entirely new material with never reported structure and composition. It is the first sulfate compound with a 3D alluaudite framework and large tunnels along the  $c$ -axis (Fig. 8a).<sup>80</sup> Corner sharing bridges together  $\text{Fe}_2\text{O}_{10}$  dimer and  $\text{SO}_4$  units. The dimers are formed by Fe ion occupation of edge sharing octahedral sites with crystallographically equivalent octahedron. The sodium ions occupy three specific crystallographic sites, as shown in Fig. 8a.<sup>80</sup> The electrochemical data of this material is shown in Fig. 8b.<sup>80</sup> This research is the first to obtain the highest known capacity for a Fe-based insertion compound at a high voltage of  $3.8 \text{ V}$ , making it comparable with Li ion systems. The de/intercalation of all Na sites in this material offers fast Na transport and excellent kinetics providing a battery with cyclic stability (Fig. 8b).<sup>80</sup>

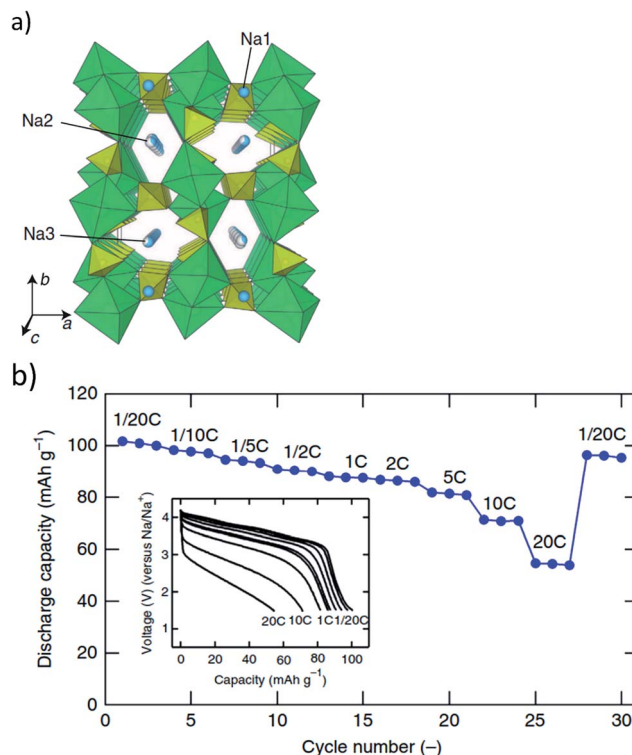


Fig. 8 (a)  $\text{Na}_2\text{Fe}_2(\text{SO}_4)_3$  structure projected along the  $c$  axis, and (b) capacity retention upon cycling up to 30 cycles under various rate of  $C/20$  (2 Na in 20 h) to  $20 \text{ C}$ . Reproduced with permission<sup>80</sup> © 2014, Nature Publishing Group.

### 3.3 Phosphates

**3.3.1 NASICON (Na super ionic conductor).** The NASICON structure ( $\text{Na}_3\text{M}_2(\text{XO}_4)_3$  where  $\text{X} = \text{Si}^{4+}, \text{P}^{5+}, \text{S}^{6+}, \text{Mo}^{6+}, \text{As}^{5+}$ ), especially  $\text{Na}_3\text{V}_2(\text{PO}_4)_3$  which is well known for its facile sodium ion conductivity has been studied extensively.<sup>81-90</sup>  $\text{Na}_3\text{V}_2(\text{PO}_4)_3$  is a promising candidate for sodium ion batteries because it has a high theoretical energy density of  $400 \text{ W h kg}^{-1}$  ( $117.6 \text{ mA h g}^{-1} \times 3.4 \text{ V}$  for the  $\text{V}^{4+}/\text{V}^{3+}$  redox couple), and good thermal stability. Fig. 9 depicts the NASICON structure studied by Saravanan *et al.*<sup>81</sup> Corner shared  $\text{MO}_6$  and  $\text{XO}_4$  polyhedra form a framework with large diffusion channels for sodium ions.<sup>81,82</sup>

Saravanan, *et al.*<sup>81</sup> synthesized  $\text{Na}_3\text{V}_2(\text{PO}_4)_3$  nanograins in a conductive network using a novel synthesis method and reached 98.6% of the theoretical capacity of this material. Further, they found that it exhibited high capacity retention at high current rates and also displayed ultra-long life. The intercalation of two sodium ions due to facile sodium ion diffusion in  $\text{Na}_3\text{V}_2(\text{PO}_4)_3$  particles accounted for the close to theoretical capacity achievement of the fabricated batteries, offering capabilities that nearly match that of lithium ion batteries.

Fig. 10 shows the electrochemical behavior of  $\text{Na}_3\text{V}_2(\text{PO}_4)_3$  as a cathode material.<sup>81</sup> Each CV has a high voltage peak at  $\sim 3.37 \text{ V}$  vs.  $\text{Na}^+/\text{Na}$  and corresponds to the  $\text{V}^{4+}/\text{V}^{3+}$  redox couple. Higher scan rates show a splitting of the cathodic peak at  $3.3 \text{ V}$ . This may be due to induced local heating at higher scan rates which in turn could lead to structural rearrangement of Na ions from



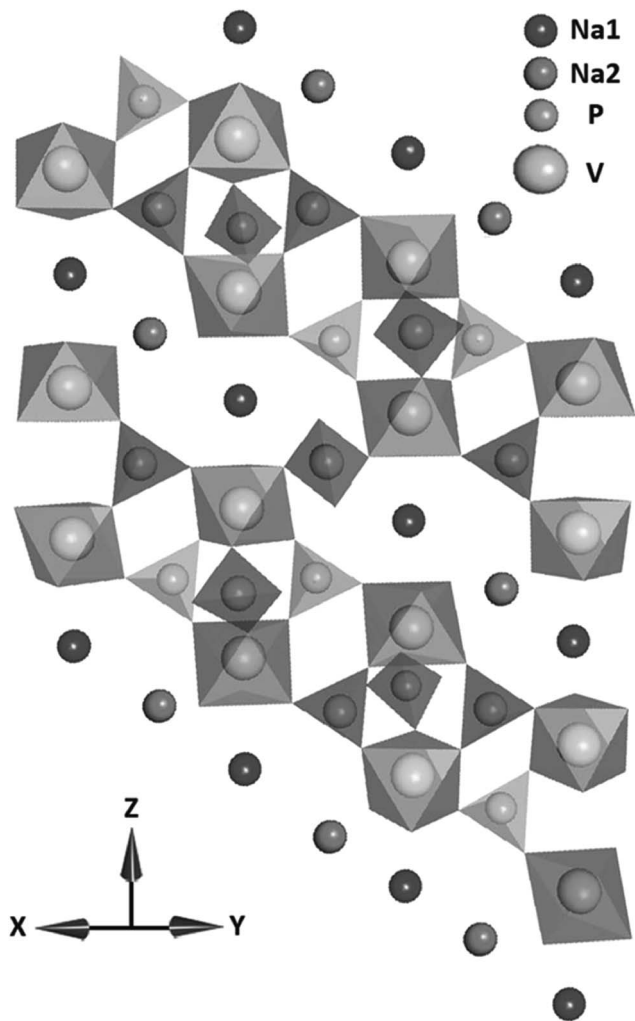


Fig. 9 The crystal structure of  $\text{Na}_3\text{V}_2(\text{PO}_4)_3$  in the  $a$  and  $b$  plane. Reproduced with permission<sup>81</sup> © 2013, WILEY-VCH Verlag GmbH & Co.

the Na(1) to the Na(2) site. A maximum capacity of  $116 \text{ mA h g}^{-1}$  was reached at the  $0.1 \text{ C}$  rate. At the  $10 \text{ C}$  rate, 92.2% of the capacity was retained and as the rate increased to  $20 \text{ C}$  and  $40 \text{ C}$ , the retention was 80% and 54%, respectively.

Jung, *et al.*<sup>88</sup> used a combination of sol-gel method and solid state reaction to synthesize crystalline  $\text{Na}_3\text{V}_2(\text{PO}_4)_3$  (NVP) on a

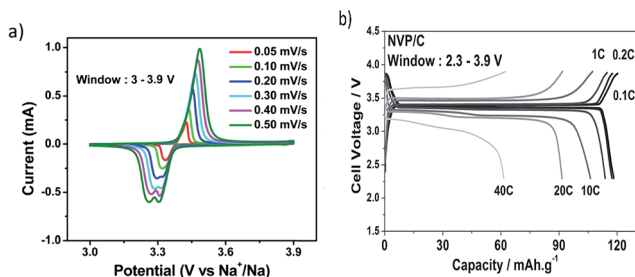


Fig. 10 (a) Cyclic voltammogram of  $\text{Na}_3\text{V}_2(\text{PO}_4)_3$ , and (b) galvanostatic charge and discharge profiles for several C rates. Reproduced with permission<sup>81</sup> © 2013, WILEY-VCH Verlag GmbH & Co.

graphene sheet surface (NVP/graphene) in order to enhance conductivity. When compared to naked NVP, this composite material exhibited better capacity retention at higher C rates and offers a smaller polarization between charge and discharge curves. The graphene sheets provide a conductive support and offer pathways for ion transport. The stable crystal framework structure of the NVP/graphene composite provides good cyclability and  $\sim 96\%$  capacity retention at a  $10 \text{ C}$  rate after 300 cycles.<sup>88</sup>

**3.3.2 Fluorophosphates.** Fluorophosphates have gained increased interest as a new structural host for cathode materials in SIBs. Several studies have been performed on this type of material with different transition metals and compositions using different processing methods.<sup>91–98</sup>

Ultrasonic template free spray pyrolysis method was used to form carbon coated hollow  $500 \text{ nm}$  diameter  $\text{Na}_2\text{FePO}_4\text{F}$  spheres with  $80 \text{ nm}$  wall thickness.<sup>91</sup> Using a low rate of  $0.1 \text{ C}$ , the cathode delivered  $89 \text{ mA h g}^{-1}$  capacity. At  $1 \text{ C}$ , it offered  $75 \text{ mA h g}^{-1}$  capacity and provided 80% of this initial capacity after 750 cycles. An increased rate to  $9 \text{ C}$  maintained 33% of capacity. Electrolyte penetration into the nano-sized porous hollow  $\text{C}/\text{Na}_2\text{FePO}_4\text{F}$  spheres allows the electrochemical reaction to take place on both the outside and inside surfaces of the spheres as well as in the pores. Further, the  $\text{C}/\text{Na}_2\text{FePO}_4\text{F}$  hollow spheres offer a large reaction area with shortened  $\text{Na}^+$  diffusion length. The carbon coating provides structural stability which accommodates volume change during  $\text{Na}^+$  insertion-extraction, and electrical conductivity, thus improving charge transfer reaction kinetics and cyclability.<sup>91</sup>

Lu, *et al.*<sup>92</sup> used a solid state reaction to produce  $\text{NaVPO}_4\text{F}$  for use in a SIB. The cell voltage of a battery made of this material mixed with carbon is increased due to the high potential of the  $\text{V}^{3+}$  to  $\text{V}^{4+}$  redox transition. The  $\text{NaVPO}_4\text{F}/\text{C}$  composites (5 wt% carbon) were tested in the voltage range of 2.5–4.2 V. The  $\text{NaVPO}_4\text{F}/\text{C}$  composite gave a maximum discharge capacity of  $97.8 \text{ mA h g}^{-1}$  and had a capacity retention of 81% after 20 cycles. The amount of carbon used for mixing affected the overall performance of the battery, where too much or too little offered low capacity with increased degradation as compared to 5 wt% of carbon used with  $\text{NaVPO}_4\text{F}$ . Too much carbon caused large particle size, thereby lengthening the pathway for sodium ion diffusion, making Na de/intercalation from the  $\text{NaVPO}_4\text{F}$  material more difficult.<sup>92</sup>

$\text{Na}_{1.5}\text{VPO}_{4.8}\text{F}_{0.7}$  was prepared using a solid state method and generated particles that ranged from 1–5 micron composed of a single crystalline domain.<sup>94</sup> This cathode material is a pseudolayered structure with a space group  $P4_2/mnm$ . Sodium ion insertion into interstitial sites is provided by the three dimensional open framework of  $\text{Na}_{1.5}\text{VPO}_{4.8}\text{F}_{0.7}$  due to corner-sharing between  $\text{PO}_4$  tetrahedral and  $\text{VO}_5\text{F}/\text{VO}_4\text{F}_2$  octahedral units. The two types of vanadium local environment led to a mixed valence state of vanadium where  $\text{V}^{4+}$  and  $\text{V}^{3+}$  ions coexist which offers a tailored vanadium redox couple ( $\text{V}^{3.8+}/\text{V}^{5+}$ ). The multi-electron redox reaction ( $1.2e^-$  per formula unit) and the high potential ( $\sim 3.8 \text{ V vs. Na}^+/\text{Na}$ ) of the vanadium redox gave an energy density of  $600 \text{ W h kg}^{-1}$  with  $\sim 95\%$  capacity retention for 100 cycles and  $\sim 84\%$  for an extended 500 cycles. This

energy density is one of the highest densities ever reported for SIBs.<sup>94</sup>

Sodium vanadyl fluorophosphate [Na(VO)<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F] materials are prepared using solvothermal methods<sup>99–101</sup> capable of extracting 2 Na per unit formula reaching specific capacities of ~100–110 mA h g<sup>-1</sup>.<sup>99,100</sup> Two voltage plateaus which occur reversibly at 3.6 V and 4.0 V *vs.* Na/Na<sup>+</sup> signify this. Serras, *et al.*<sup>99</sup> reached the upper limit of this range due to carbon coating of the material which enhanced its electrochemical performance. Impregnation of carbon onto the surface through long thermal treatment resulted in a two phase material consisting of Na<sub>3</sub>-(VO)<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F and Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> which offered an extra plateau at 3.3 V characteristic of the Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> phase. It is well known that it is difficult for Na<sub>3</sub>(VO)<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F to access its third Na per formula unit, but Xu, *et al.*<sup>100</sup> have used theoretical calculation to determine how to alleviate this problem. They suggest the substitution of oxygen with Cl to form Na<sub>3</sub>V<sub>2</sub>Cl<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F which would increase the energy density to 758 W h kg<sup>-1</sup>.

**3.3.3 Carbonophosphates.** *Ab initio* computational studies have identified transition metal carbonophosphates with the sidorenkite structure, and general formula of A<sub>3</sub>M(CO<sub>3</sub>)(PO<sub>4</sub>) (A = Li or Na, M = Co, Mn, Fe, Ni) as a new class of potential cathode materials.<sup>102–104</sup> This material has an intricate structure with corner sharing of tetrahedral PO<sub>4</sub> groups and MO<sub>6</sub> octahedra forming double layers which accommodate Na atoms at two different interstitial sites. Na(1) sites coordinate with 7 oxygen atoms, and Na(2) sites coordinate with 6 oxygen atoms. The triangular planar CO<sub>3</sub> groups share an oxygen edge with the MO<sub>6</sub> octahedra.<sup>104,105</sup> The decomposition of sidorenkite occurs at ~650 °C making solid state synthesis impossible, therefore; a hydrothermal route is used to produce these compounds.<sup>103</sup>

Investigation of Na<sub>3</sub>MnCO<sub>3</sub>PO<sub>4</sub> has found that this cathode can exhibit a two electron intercalation reaction upon electrochemical cycling *via* Mn<sup>2+</sup>/Mn<sup>3+</sup> and Mn<sup>3+</sup>/Mn<sup>4+</sup> redox reactions with a high theoretical capacity of 191 mA h g<sup>-1</sup>.<sup>105</sup> However, Chen, *et al.*<sup>105</sup> were only able to obtain a low specific capacity of 125 mA h g<sup>-1</sup> experimentally. Recently, Wang, *et al.*<sup>106</sup> have been able to obtain high specific capacities, reaching 92% of the theoretical capacity of Na<sub>3</sub>MnCO<sub>3</sub>PO<sub>4</sub> when aided by the addition of 60 vol% carbon black (CB), which provides a continuous CB network interacting with almost all Na<sub>3</sub>MnCO<sub>3</sub>PO<sub>4</sub> particles. These findings show that as long as the low electronic conductivity of this material is alleviated, Na<sub>3</sub>MnCO<sub>3</sub>PO<sub>4</sub> has great potential to be a viable cathode material for SIBs.<sup>106</sup>

**3.3.4 Pyrophosphates.** The unique structure of Na<sub>4</sub>Co<sub>3</sub>-(PO<sub>4</sub>)<sub>2</sub>P<sub>2</sub>O<sub>7</sub> has four different Na<sup>+</sup> sites located in a 3D ion channel. This material is a promising candidate for SIBs because it has redox potentials in a high potential region between 4.1 V and 4.7 V and delivers 95 mA h g<sup>-1</sup> reversible capacity with negligible fading even after 100 cycles.<sup>107</sup> It offers low polarization of charge–discharge reactions, small enough to maintain high potential beyond 4.0 V at high rate capabilities of 25 C with 84% retention. Even more promising is the fact that this battery may be capable of running at an even higher voltage of ~5.1 V in order to utilize the Co<sup>3+</sup>/Co<sup>4+</sup> redox couple for charge compensation of 4 Na<sup>+</sup> extraction from

Na<sub>4</sub>Co<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>P<sub>2</sub>O<sub>7</sub>. A suitable electrolyte, however, would need to be developed for this too occur, but it would produce an efficient battery with compatibility of high rate potential and high rate capability.<sup>107</sup>

Na<sub>7</sub>V<sub>4</sub>(P<sub>2</sub>O<sub>7</sub>)<sub>4</sub>PO<sub>4</sub> or VODP was used in a SIB and offered unprecedented cyclic stability and capacity retention for over 1000 cycles.<sup>108</sup> This material only extracted 3.5 and 3.3 Na ions per formula unit obtaining charge and discharge capacities of 81.5 mA h g<sup>-1</sup> and 77.1 mA h g<sup>-1</sup>, respectively.<sup>108</sup> Charging–discharging profiles of this material show that only 1 peak exists for discharging and two separate peaks for charging. Quasi open circuit potential confirmed that this behavior is attributed to different kinetics. Further analysis by XRD and DFT calculations proved that a reversible phase transformation of VODP occurs during Na de/intercalation and is the origin of the single valued voltage behavior of this battery. This intermediate phase is governed by the occupation of Na1 and Na2 sites and their relative stability. The structural transformation that occurs is a rotation of each unit of (VP<sub>2</sub>O<sub>7</sub>)<sub>4</sub>PO<sub>4</sub> as depicted in Fig. 11.<sup>108</sup> It is suggested that the rotational behavior buffers differences in lattice parameters between initial and final phases of de/intercalated material. This is in turn beneficial for cell kinetics and overall stability of the material.

### 3.4 Fluorides

Sodium fluoroperovskites of the form NaMF<sub>3</sub> (M = Ni, Mn, Fe) were produced using mechanochemical processes and investigated as cathode materials for SIBs.<sup>109,110</sup> Dimov *et al.*<sup>110</sup> investigated Fe, Cu and Ni metals but found that NaFeF<sub>3</sub> is the most electrochemically active due to its chemical stability and iron's high affinity toward fluorine. Gocheva *et al.*<sup>109</sup> were only able to obtain 61% of the theoretical capacity (197 mA h g<sup>-1</sup>) of NaFeF<sub>3</sub>, offering a reversible capacity of 120 mA h g<sup>-1</sup> with a mean discharge voltage of 2.7 V. Nanosized (10–600 nm) NaFeF<sub>3</sub> were produced using a liquid phase synthesis and exhibited discharge capacities in the range of 170–181 mA h g<sup>-1</sup> when cycled at low rates of 0.01 C regardless of the size range.<sup>111</sup> Smaller well dispersed NaFeF<sub>3</sub> particles improved rate performance; and upon cycling at 0.1 C or greater offered higher discharge capacities when compared to larger agglomerated particles. Kitajou *et al.*<sup>112</sup> used roll quenching and annealing to obtain highly crystalline NaFeF<sub>3</sub>. Their first cycle at a rate of 0.076 mA cm<sup>-2</sup> between 1.5 V and 4.5 V gave 100% efficiency and provided a high initial discharge capacity of 197 mA h g<sup>-1</sup>.<sup>112</sup> This is due to the reversible Fe<sup>2+</sup>/Fe<sup>3+</sup> redox reaction confirmed by XPS. XRD data also confirmed that sodium insertion and extraction is possible due to the flexibility of the corner sharing matrix and the structural strength of the material.<sup>112</sup>

### 3.5 Hexacyanoferrates

Prussian blue (A[Fe<sup>III</sup>Fe<sup>II</sup>(CN)<sub>6</sub>], A = Na<sup>+</sup> or K<sup>+</sup>) and its analogues have been investigated as alkali ion hosts for use as a cathode material in a SIB.<sup>26,113–117</sup> This material has a cubic face-centered structure in which two different metal centers Fe<sup>3+</sup> and Fe<sup>2+</sup> are bridged by CN<sup>-</sup> groups which provides large ionic channels

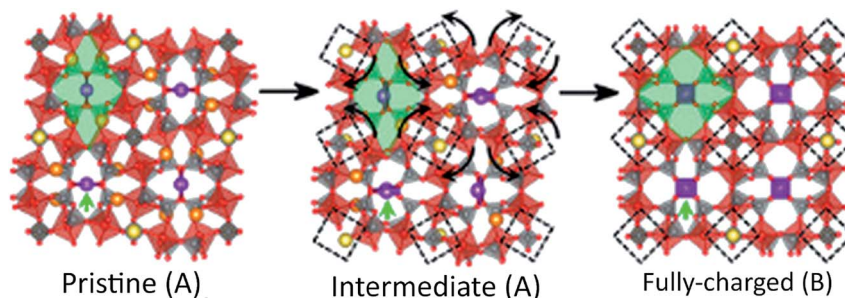


Fig. 11 Structure rearrangement of VODP during phase transformation between pristine and charged phases. Crystallographically different sodium sites are colored with different colors (Na1, orange; Na2, violet; Na3, yellow). Reproduced with permission<sup>108</sup> © 2014, PNAS Publishing Group.

along the  $\langle 100 \rangle$  direction enabling facile insertion and extraction of alkali ions.<sup>26,114,115,118</sup> Wessells, *et al.*<sup>26</sup> were able to insert/extract sodium from nickel hexacyanoferrate in aqueous electrolyte, obtaining a capacity of  $\sim 60 \text{ mA h g}^{-1}$  at a C/6 rate. An 8.3 C rate showed no significant capacity loss after 5000 cycles giving a capacity of  $\sim 52 \text{ mA h g}^{-1}$ .<sup>26</sup> The retention of this material is promising, but the aqueous system requires low voltage which makes it only suitable for large scale EES.

Yue, *et al.*<sup>118</sup> synthesized mesoporous crystalline nickel hexacyanoferrate (NiHCF) using synergistically coupled nanocrystal formation and an aggregation mechanism. Different reaction times offer modification of surface morphology, porosity, and crystallinity. This group found that regardless of these characteristics a capacity of  $\sim 65 \text{ mA h g}^{-1}$  was achieved at low current rates with stable cycling. Using the longest reaction time gave macroporous NiHFC with a smaller surface area and offered the best rate performance, suggesting that larger pores within the structure facilitates more effective  $\text{Na}^+$  mass transport.<sup>118</sup>

Sodium manganese hexacyanoferrate  $\text{NaMnFe}(\text{CN})_6$  was synthesized to form two different structures based on sodium content,  $\text{Na}_{1.72}\text{MnFe}(\text{CN})_6$  (NMHFC-1, rhombohedral) and  $\text{Na}_{1.40}\text{MnFe}(\text{CN})_6$  (NMHFC-2, cubic).<sup>114</sup> This study compared these two products and found that the sodium ion content of precipitous aqueous solution during synthesis affects composition and structure of the final product. More  $\text{Na}^+$  concentration induces higher Na content and a transition from cubic to rhombohedral symmetry. This phase transition is reversible upon cycling; a fully discharged NMHFC-2 sample matches Raman spectra of pristine NMHFC-1 powder. NMHFC-1 has a high reversible capacity of  $134 \text{ mA h g}^{-1}$  and retains  $120 \text{ mA h g}^{-1}$  after 30 cycles due to  $\text{Fe}^{\text{III}}/\text{Fe}^{\text{II}}$  and  $\text{Mn}^{\text{III}}/\text{Mn}^{\text{II}}$  redox couples. Cycling of NMHFC-1 produces a potential shift where the final redox potential is higher for the  $\text{Fe}^{\text{III}}/\text{Fe}^{\text{II}}$ , but lower for the  $\text{Mn}^{\text{III}}/\text{Mn}^{\text{II}}$  couples. The power density and high rate capability of NMHFC-1 make it a promising cathode material.<sup>114</sup>

## 4. Anode materials

Unfortunately, sodium does not intercalate with graphite which is the most commonly used anode for Li based batteries. Several types of carbons have been investigated such as petroleum cokes,<sup>119–121</sup> carbon microspheres,<sup>122</sup> carbon black,<sup>123</sup> fibres,<sup>124</sup>

and N-doped carbon nanofibers.<sup>125,126</sup> Stevens and Dahn<sup>127</sup> were able to insert sodium into hard carbon and since then, this material has been investigated extensively by several groups. In addition to carbonaceous materials, pure metals, metal alloys and oxides have been investigated in order to increase the specific capacity and cycle life of the anode. Pure metals and their alloys are attractive due to their high theoretical capacities but often suffer from the problem of pulverization. Oxides have only been investigated for the last 2 to 3 years, but have demonstrated cycle stability up to 450 cycles. Progresses made in these areas are detailed below.

### 4.1 Carbonaceous

**4.1.1 Hard carbon.** Komaba, *et al.*<sup>128</sup> tested graphite and hard carbon to investigate Na insertion properties with aprotic electrolyte solutions. Graphite was not a suitable anode for sodium ion batteries, and thus hard carbon was tested in a full cell configuration. Testing was done in a beaker type electrochemical cell where hard carbon and  $\text{NaNi}_{0.5}\text{Mn}_{0.5}\text{O}_2$  were the working electrodes and a sodium foil was the quasi reference electrode. The hard carbon electrode was first tested in an  $\text{NaClO}_4$  EC:DMC electrolyte and had an initial capacity of  $220 \text{ mA h g}^{-1}$  but reduced as galvanostatic cycling continued.<sup>128</sup>

Sugar pyrolysis was used to prepare hard carbon (HC) with highest reversible capacity ever reported in the literature ( $300 \text{ mA h g}^{-1}$  at a rate of C/10 after 120 cycles).<sup>129</sup> This study focused on the effect of ball milling hard carbon as well as electrode processing atmosphere (air or Ar). The use of air or Ar for electrode slurry seemed to have no overall effect on the performance of the battery, suggesting that there is no significant impact on electrolyte decomposition and SEI formation, but also that the surface chemistry of the material is not modified either.

The as-synthesized and ball milled carbon were evaluated in additive free  $\text{NaClO}_4$  in EC:PC electrolytes. Though the ball milled hard carbon (PBMHC) had higher specific surface area and smaller particles, the as-synthesized hard carbon was more stable and gave a lower amount of heat generation based on DSC investigation. Therefore, it was beneficial with respect to safety as well. The evaluation of the degree of graphitization based on the integrated intensity of D/G (D = defect-induced D band, and G = crystalline graphite G band ratio of carbon) was



found to be the determining feature that gave the difference in reversible specific capacities for these materials (2.6 and 2.1) for hard carbon and PBMHC, respectively.<sup>129</sup>

Hard carbon C1600 was used as an anode in a sodium ion battery and its electrochemical and thermal properties were tested in four different electrolytes: (i) 1 mol dm<sup>-3</sup> NaClO<sub>4</sub>/EC:DMC, (ii) 1 mol dm<sup>-3</sup> NaClO<sub>4</sub>/PC, (iii) 1 mol dm<sup>-3</sup> NaPF<sub>6</sub>/EC:DMC, and (iv) 1 mol dm<sup>-3</sup> NaPF<sub>6</sub>/PC.<sup>130</sup> The C1600 performed best with 1 mol dm<sup>-3</sup> NaClO<sub>4</sub>/EC:DMC giving an initial capacity of 413 mA h g<sup>-1</sup> with retention of 90% over 50 cycles.<sup>130</sup> Based on TGA/DSC testing done in a temperature range from RT to 400 °C, C1600 anodes were more thermally stable in EC:DMC based electrolytes. The onset temperatures of exothermic heat for sodiated C1600 in 1 mol dm<sup>-3</sup> NaClO<sub>4</sub>/EC:DMC were lower than that of the lithiated electrode in the same electrolyte. This proves that this mixture of anode and electrolyte for Na batteries is more stable than that for Li ion batteries in spite of the instability of Na metal compared to Li.<sup>130</sup>

Hard carbon is an advantageous material to be used as an anode, but other carbonaceous materials have been studied as well. These materials are nanosized sheets,<sup>131</sup> wires,<sup>132</sup> or N-doped fibers<sup>125,126</sup> with structural stability and interconnected networks which offer accommodation of volume expansion and good conducting connectivity.

**4.1.2 Carbon nanostructures.** Peat moss (PM) was used as a precursor to form a three dimensional macroporous interconnected network of carbon nanosheets with 60 nm thickness.<sup>131</sup> Highly cross linked lignin and hemicellulose rich structure of the peat moss suppresses graphite formation and instead forms highly ordered pseudographitic arrays with 0.388 nm intergraphene spacing. The PM macroporous network has micro and mesopores which shortens the diffusion length of the Na<sup>+</sup> ion and also offers electrolyte penetration on all sides of the material and enables Na<sup>+</sup> intercalation to occur at low voltage (0.2 V vs. Na/Na<sup>+</sup>). These pores buffered sodiation induced expansion/contraction in the matrix during cycling. The use of this material as an anode gave low voltage/low hysteresis plateau behavior and resembled capacity vs. potential profiles of graphite anodes in LIBs. It also provided a stable cycling capacity of 298 mA h g<sup>-1</sup> with nearly 100% coulombic efficiency with 85% capacity retention at the 210<sup>th</sup> cycle.<sup>131</sup>

Pyrolyzation of a hollow polyaniline nanowire precursor was performed to prepare hollow carbon nanowires (HCNWs).<sup>132</sup> Cycling this material at a 0.2 C rate between 0.01–1.2 V delivered a high reversible capacity of 251 mA h g<sup>-1</sup> with 82.2% capacity retention over 400 charge–discharge cycles.<sup>132</sup> Na insertion into the carbon was obtained due to the size and structure of the HCNWs which offered short diffusion distances for Na ions, but the interlayer spacing (0.37 nm) between graphitic sheets also enabled good Na-insertion. Theoretical calculations revealed that a minimum spacing of 0.37 nm is needed for Na ion intercalation since the energy barrier for Na ion insertion (0.053 eV) is low enough to conquer. Na ions undergo two types of insertion–extraction mechanisms for this material. The voltage range of 0.2–1.0 V produced a reaction characterized by a charge transfer mechanism on the surface of the small

graphitic clusters. The lower voltage range of 0.0–0.2 V mechanism was related to Na ion insertion–extraction in the graphitic interlayers.<sup>132</sup>

Introduction of a hetero atom into a carbonaceous anode material was proven beneficial for enhancing electrochemical performance in LIBs. This approach was used for SIBs where polypyrrole precursors were pyrolyzed in an inert atmosphere to obtain N-doped interconnected carbon nanofibers.<sup>125,126</sup> N-doping generates extrinsic defects which can enhance reactivity and electronic conductivity of the carbon. O-containing and N-containing functional groups exist on the surface of the nanofibers. The redox reaction between functional groups and active sites on the surface of the carbon layer attribute to Na ion adsorption and desorption and electron transfer. Cycling of this material showed that a pseudocapacitance can be generated due to the interaction between the electrolyte and N species on the surface. An increased amount of pyridinic and quaternary nitrogen gives better electrochemical performance and higher pseudocapacitance. Overall the interconnected N-doped nanofibers offered large interlayer distance and enhanced Na ion transport which gave high rate capability and stable cyclability. The only drawback is the low average voltage of ~1 V vs. Na/Na<sup>+</sup>, but these materials may still be considered due to their rate performance.<sup>125,126</sup>

## 4.2 Metals/alloys

Pure metals or metal alloys are attractive due to their high theoretical capacities but their main drawback is pulverization. The larger ionic radius of Na makes the effect of volume expansion upon de/sodiation more detrimental in causing irreversible structural changes to the anode. Continued cycling often compromises the structure of the Na host and leads to rapid cycle fading.<sup>133–138</sup> Some metals (Sn and Sb) form binary alloys with sodium and have been known to aggregate and alloy into larger particles isolating in the electrode and further disrupting the integrity of the material. Sn or Sb based anodes<sup>133,135–137</sup> with specific structural properties are introduced which aid in the reduction of aggregation, and also buffer volume expansion upon cycling with sodium.

The mechanical behavior of crystalline Na–M (Sn, Pb, Si, and Ge) alloys were investigated by Mortazavi, *et al.*<sup>138</sup> using first principles simulations to determine their intrinsic elastic properties during sodium intercalation. The elastic moduli of pure M phases changed by 75% from sodiation. The elastic moduli decreased linearly with Na concentration. This elastic softening originated from a transition to weaker interatomic bonding. Na–Si and Na–Ge have low values for the  $B_H/G_H$  ratio which indicates low resistance toward brittle failures; therefore, these alloys would be susceptible to fracture upon sodiation. The electronic charge distribution analysis for each system reveals that upon sodiation M–M bonds are replaced with ionic Na–M bonds.<sup>138</sup>

The structural stability of the material upon sodiation is at risk, but it is important to understand the behavior of these alloys in order to fabricate Na-ion electrode architecture that can improve the mechanical stability of these materials. The

functional relationship between  $\text{Na}^+$  concentration and elastic moduli can be used to determine deformation and fracture of these materials using simple continuum models.<sup>138</sup> Some unique metal or metal alloys produced by using novel techniques to fabricate a material that was capable of handling volume expansion and improving cyclic stability are reviewed below.

High energy ball milling of red phosphorus powder with Super P carbon black at a ratio of 7 : 3 for 24 hours produced an amorphous nanocomposite sample with highly dispersed phosphorous clusters in a carbon matrix.<sup>134</sup> This structure can buffer the 490% volumetric expansion of phosphorus to  $\text{Na}_3\text{P}$  upon conversion-type reaction with 3 Na atoms. The fully charged  $\text{Na}_3\text{P}$  form  $\text{Na}_2\text{P}$ ,  $\text{NaP}$ , and  $\text{NaP}_7$  intermediates during charge–discharge cycling. The initial discharge capacity was  $1750 \text{ mA h g}^{-1}$  with stable reversible capacity during the first 40 cycles. The capacity gradually dropped to  $\sim 1200 \text{ mA h g}^{-1}$  but with 99% coulombic efficiency.<sup>134</sup> Increased current density to  $4000 \text{ mA g}^{-1}$  resulted in charge capacity loss at the low voltage plateau of 0.2 V. Electrochemical impedance spectra revealed that the charge transfer resistance of sodium ion insertion was ten times higher than that of the extraction process for this material. When fully charged using a current density of  $250 \text{ mA g}^{-1}$ , the material is easily discharged at several rates and can maintain about 95% of its potential capacity.<sup>134</sup>

Unique nanorods with a core–shell structure composed of C/Sn/Ni were synthesized to form a 3D nanoforest binder free composite electrode.<sup>133</sup> Vertical alignment of the rods with interdigital spacing accommodated volume expansion/contraction of the alloy during sodiation/desodiation. The carbon coating on the Sn layer of the nanorods improved electrochemical performance by providing conductivity and suppressing aggregation and pulverization of Sn during cycling. Fig. 12 demonstrates this unique structure and its electrochemical behavior.<sup>133</sup> The electrochemical performance of this anode material was investigated in coin cells using Na metal as the counter electrode, and 1 M  $\text{NaClO}_4$  in ethylene carbonate/diethyl carbonate (EC : DEC, 1 : 1 by vol%) as electrolyte. Sn anodes provided around  $730 \text{ mA h g}^{-1}$  at the first desodiation process and retained 55% initial capacity after 150 cycles.<sup>133</sup>

Sn thin film deposition on a carbon nanotube coated hierarchical wood fiber substrate was utilized as an anode in SIBs,

giving an initial discharge capacity of  $339 \text{ mA h g}^{-1}$  with  $\sim 43\%$  retention after 400 cycles.<sup>137</sup> The ductility of the wood substrate (circumferential stiffness 0.7–3.0 GPa) enabled sodiation by releasing mechanical stress during cycling. The anodes were analyzed after 400 cycles in the fully charged state and a wrinkled structure on the wood fibers was observed. This surface change was correlated to fiber deformation and attributed to the improved cycling performance of the battery by reducing rupture of the SEI layer and minimizing exposure of the Sn to the electrolyte, thereby keeping the morphology of the Sn surface intact and preventing pulverization.<sup>137</sup>

The mesoporous structure of the fibers acted as an electrolyte reservoir, offering ion transport among all surfaces of the fibers and improving the kinetics of Na ion transport. Fig. 13a and b illustrate ion transport pathways and electrochemical activity with respect to blocked and unblocked pathways.<sup>137</sup> The lightweight substrate of the wood fibers offered excellent mechanical properties, large surface area, and a porous structure which improved cycling stability and provided effective ion and electron transport.<sup>137</sup>

Sn nanoparticles were mixed with poly(9,9-dioctylfluorene-*co*-fluorenone-*co*-methylbenzoic ester) (PFM) conductive binder (Sn/PFM) and used in a sodium ion battery delivering  $806 \text{ mA h g}^{-1}$  and  $610 \text{ mA h g}^{-1}$  at C/50 and C/10 rates, respectively.<sup>135</sup> PFM binder caused isolation of Sn nanoparticles, but due to its conductivity all nanoparticles were electrically conducting and able to reversibly cycle close to the theoretical capacity. The study only had 10 cycles for testing of each type of electrode. Initial results of the first ten cycles do correlate well to the suggested behaviors of the electrodes, but more cycling needs to be done in order to determine if the Sn/PFM is highly reversible with coulombic efficiency at several C rates over a long period of time.<sup>135</sup>

Electrospinning was used to create an antimony/carbon electrode with Sb nanoparticles of  $\sim 30 \text{ nm}$  which were uniformly encapsulated in interconnecting 1D 400 nm carbon fibers (SbNP@C). The Sb/carbon ratio was estimated to be 54 to 46 (in weight) based on TGA results. The electrode was completely binder free, and did not require a carbon additive for conductivity. This material was able to endure over 300 cycles under a current density of  $100 \text{ mA g}^{-1}$ , maintaining an overall discharge capacity of  $350 \text{ mA h g}^{-1}$ .<sup>136</sup> The electrodes were able to handle high current density cycling and delivered

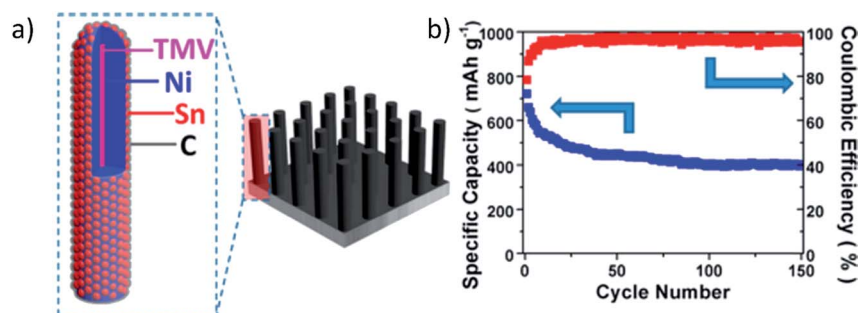


Fig. 12 (a) Schematic illustration of the hierarchical structure of the 3D C/Sn/Ni/TMV1cys anode nanorod arrays, and (b) cycling performance of the 3D C/Sn/Ni/TMV1cys anode. Reproduced with permission<sup>133</sup> © 2013, ACS Publications.

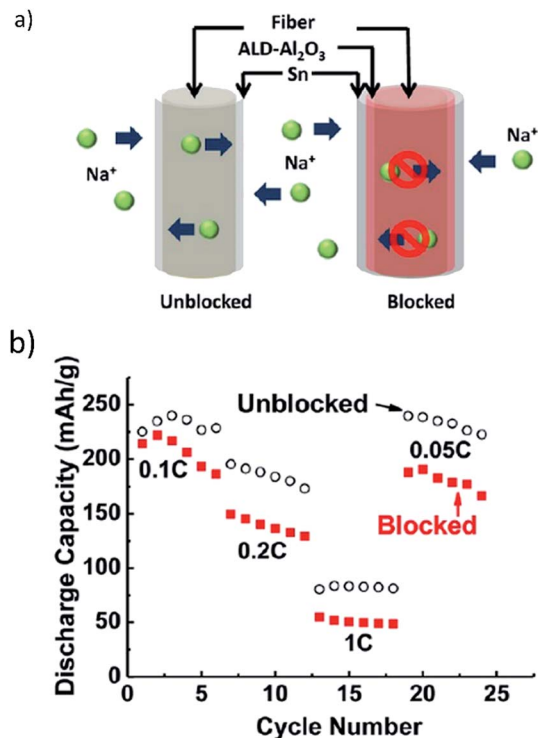


Fig. 13 (a) Na ions transport along and diffuse through the mesoporous wood fiber structure. Internal transport pathways are blocked by the conformal Al<sub>2</sub>O<sub>3</sub> coating, and (b) the rate performance of the Sn anode deposited on the carbon-coated mesoporous wood fibers. Reproduced with permission<sup>137</sup> © 2013, ACS Publications.

total reversible capacities of 273, 185, 123, and 88 mA h g<sup>-1</sup> at the current densities of 1.0, 2.0, 4.0, and 6.0 A g<sup>-1</sup>, respectively.<sup>136</sup> Upon disassembly, SEM images showed that the SbNP@C electrode maintained its structural integrity and flexibility thereby withstanding expansion due to sodiation. The SbNP@C microstructure was also effective in stabilizing the SEI film. The Sb nanoparticles did undergo a transformation to even smaller amorphous particles, but remained within the carbon fiber core, thus confirming the mechanical stability of the fiber.

Based on the results of these experiments for metal and alloy anodes, the use of specialized structures of nanosize whether chemically synthesized or fabricated from a naturally sourced substrate are effective in accommodating volume expansion to buffer the stress in the anode from the de/sodiation process. Some of the results obtained are the first of their kind with respect to rate capability and is a marked improvement from previous studies. Further research needs to be done in order to cycle these materials at higher C rates with longer life.

### 4.3 Metal oxides

Xiong, *et al.*<sup>139</sup> were one of the first groups to utilize an all oxide Na ion battery using TiO<sub>2</sub> nanotubes as an anode and a Na<sub>1.0</sub>-Li<sub>0.2</sub>Ni<sub>0.25</sub>Mn<sub>0.75</sub>O<sub>6</sub> as a cathode. The cell had an operating voltage of 1.8 V and a discharge capacity of ~80 mA h g<sup>-1</sup>.<sup>139</sup> This group found that the diameter of the nanotube (>80 nm ID)

is critical for Na intercalation. Solvated Na ions are too large to infiltrate smaller diameter nanotube pores, and this size imbalance limits the capacity of the structure. TiO<sub>2</sub> has been further studied in the form of nanocrystals,<sup>140</sup> and microspheres<sup>141</sup> as anodes in SIBs which have been able to successfully intercalate sodium ions.

Anatase TiO<sub>2</sub> nanocrystals were used as anodes in a sodium ion battery. They exhibited a stable reversible charge capacity of ~150 mA h g<sup>-1</sup> and could withstand high rate cycling of 2 A g<sup>-1</sup> without degradation.<sup>140</sup> The tetragonal crystal structure of anatase TiO<sub>2</sub> offers pathways for Na diffusion as it is made up of 3D networks of stacked 1D zig zag chains which consist of distorted edge sharing TiO<sub>6</sub> octahedra. This group tested the as synthesized material (ANC), but also prepared a TiO<sub>2</sub>/carbon composite (ANC-C) for battery testing and comparison. Each sample had particle sizes in the 10–15 nm size range with similar particulate morphology. The ANC-C sample gave lower capacity than ANC, but it was more efficient with less charge transfer resistance. The added carbon to the ANC-C nanoparticles offers conductivity, and a higher specific surface area enables a lot more diffusion of ions during cycling.

Carbon coated TiO<sub>2</sub> microspheres<sup>141</sup> were utilized as an anode in a SIB. The microspheres were primarily TiO<sub>2</sub> crystallites whose growth was suppressed from carbon coating during calcination. The uniform carbon coating enhanced electrical conductivity while preventing agglomeration of the crystallites and thus shortening the diffusion paths for Na<sup>+</sup> ions. The electrochemical properties were evaluated as a function of carbon content, and the TiO<sub>2</sub>-6.8 wt% C electrode exhibited the best cycle performance (100% cycle retention during the 50<sup>th</sup> cycle) and the best rate capability, delivering the discharge capacities of 155 mA h g<sup>-1</sup> at 0.1 C, 149 mA h g<sup>-1</sup> at 1 C, and 82.7 mA h g<sup>-1</sup> at a 10 C rate, respectively.<sup>141</sup>

Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> has been researched as a promising intercalation compound. Two dimensional sheets of Ti<sub>3</sub>O<sub>7</sub><sup>2-</sup> which share edges with triple octahedral chains accommodate Na ion transport.<sup>6,142-145</sup> Rudola, *et al.*<sup>143</sup> achieved 177 mA g<sup>-1</sup> at a C/10 rate with relatively stable capacity retention.<sup>143</sup> Zhang, *et al.*<sup>145</sup> synthesized a three dimensional spiderweb architecture of Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub> nanotubes which offers charge capacity of 425 mA h g<sup>-1</sup> (0.05 C rate), and can deliver 107 mA h g<sup>-1</sup> (0.5 C rate) after 500 cycles with capacity loss of only 28% with coulombic efficiency of ~100%. The use of P2 type layered Na<sub>2/3</sub>Co<sub>1/3</sub>-Ti<sub>2/3</sub>O<sub>2</sub> provides ~90 mA h g<sup>-1</sup> (0.2 C rate) of reversible capacity with ultrastable cyclability with 84.84% capacity retention for 3000 cycles (5 C rate), and structural stability (0.046% unit cell volume decrease) even after 500 cycles of sodium de/intercalation.<sup>146</sup> A potential anode for grid scale applications whose structure facilitates sodium intercalation is Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>. It offers a capacity of 20 mA h g<sup>-1</sup> (20 C rate) that is capable of cyclability of 5000 times with ~75% capacity retention.<sup>147</sup> TGA analysis also showed thermal stability with little weight loss until 500 °C making it a safe material for prolonged use in a grid system.

A copper oxide (CuO) nanowire array (CNA) was prepared using *in situ* engraving of Cu foil to produce a binder and additive free anode for use in a SIB (Fig. 14a).<sup>148</sup> The aligned, porous, arrayed structure of the CNAs offered ample space for



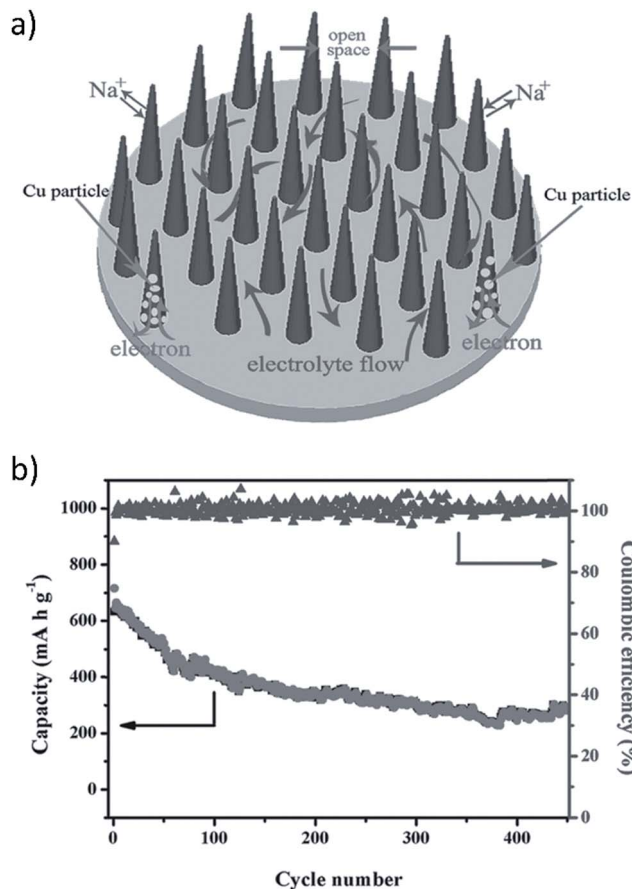


Fig. 14 (a) Binder free CuO nanowire array (CNA) electrode, and (b) cycling performance of the binder free CNA (current density 200 mA g<sup>-1</sup>). Reproduced with permission<sup>148</sup> © 2014, WILEY-VCH Verlag GmbH & Co.

volume expansion during cycling which reduced pulverization. Further, this porous structure facilitated electrolyte diffusion and electron transport. The contact between the CNAs and the Cu current collector provided a framework for the electrochemical reaction to begin at the Cu substrate and spread to the whole electrode. This helped to retain morphological integrity of the CNAs. The *in situ* formed interconnected metallic Cu particles served as electron transporting paths between the Cu substrate and the unreacted CuO close to the tips of the CNAs.

Fig. 14a and b show the schematic of the CuO arrays and the electrochemical behavior of binder free CNAs at a high current density of 200 mA g<sup>-1</sup>, respectively.<sup>148</sup> A high initial discharge capacity of 640 mA h g<sup>-1</sup> (close to the theoretical capacity of 674 mA h g<sup>-1</sup>) was obtained using a high current density of 200 mA g<sup>-1</sup> which corresponds to 1.9 sodium ions per formula unit. XRD results indicated that reduction reactions during discharge were attributed to the conversion of CuO into intermediate phases (Cu<sub>1-x</sub><sup>I</sup>Cu<sub>x</sub><sup>I</sup>O<sub>1-x/2</sub>, Cu<sub>2</sub>O) and the decomposition of Cu<sub>2</sub>O into Cu and Na<sub>2</sub>O.<sup>148</sup> The anode exhibited good cycle stability up to 450 cycles. However, irreversible capacity loss did occur on the initial charge which could hinder practical application, but conductive coating or doping of the CNAs may

alleviate this problem. Further research in this area must be employed.<sup>148</sup>

## 5. Electrolytes

Interfacial reactions between the electrolyte and electrode surfaces are a key factor in understanding SEI layer formation and charge transfer kinetics during cycling of sodium ion batteries. The investigation of different electrolytes for use in SIBs is essential in order to determine the optimal electrolyte composition for enhanced electrochemical performance of battery materials. The electrolytes studied so far can be broadly grouped into three categories: organic electrolytes, aqueous electrolytes, and ionic liquid based electrolytes. The progress made in these systems is summarized below.

### 5.1 Organic electrolytes

Jang, *et al.*<sup>149</sup> have investigated sodium perchlorate (NaClO<sub>4</sub>) salt mixed with binary solvents as electrolytes for SIBs. The electrolytes were 1 M NaClO<sub>4</sub> dissolved in a solvent mixture of ethylene carbonate (EC)/diethylene carbonate (DEC) (1/1, v/v) (EC/DEC/1 M NaClO<sub>4</sub>), or ethylene carbonate (EC)/propylene carbonate (PC) (1/1, v/v) (EC/PC/1 M NaClO<sub>4</sub>). Galvanostatic cycling of a SIB with a Na<sub>4</sub>Fe<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>(P<sub>2</sub>O<sub>7</sub>) cathode was done between 1.7 and 4.2 V at a rate of C/20 for pre-cycling and C/10 for cycling.<sup>149</sup> It is found that the PC based electrolyte which has a higher dielectric constant than DEC showed higher ionic conductivities with NaClO<sub>4</sub> concentrations greater than 0.5 M and was stable at potentials up to 4.7 V vs. Na/Na<sup>+</sup>, whereas the DEC based electrolyte began oxidizing at 3.6 V on the stainless steel electrode used for this testing parameter. The decomposition of DEC forms organic radical species (CH<sub>2</sub>CH<sub>2</sub>·) which then react with EC. The decomposition products accelerate decomposition of the electrolyte and diffuse to the cathode, creating a thick film. XPS measurements also confirmed that ClO<sub>4</sub><sup>-</sup> anion decomposition was accelerated by these decomposition products. The EC/PC/1 M NaClO<sub>4</sub> electrolyte offered more stable electrochemical performance of the Na<sub>4</sub>Fe<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>(P<sub>2</sub>O<sub>7</sub>) making it a better candidate for use in this SIB.<sup>149</sup>

Ponrouch, *et al.*<sup>150,151</sup> studied several different organic based electrolytes to determine the optimal electrolyte for a cell with hard carbon vs. Na anode. All tested electrolytes consisted of a 1 M salt: sodium bis(tri-fluoromethanesulfonyl)imide, sodium perchlorate, or sodium hexafluorophosphate (NaTFSI, NaClO<sub>4</sub>, or NaPF<sub>6</sub>, respectively) in: propylene carbonate (PC), ethylene carbonate (EC), diethyl carbonate (DEC), dimethyl carbonate (DMC), dimethoxyethane (DME), tetrahydrofuran (THF), or triethylene glycol dimethyl ether (Triglyme). The three salts were also tested in 50/50 wt% mixtures of EC:DMC, EC:DME, EC:PC, and EC:Triglyme. The group tested the viscosity, ionic conductivity, and stability (electrochemical and thermal) as well as their electrochemical performance.

It is found that there is improved ionic conductivity of mixed solvents with lower viscosity values than single solvent based electrolytes. In addition, the ionic conductivity is found to be proportional to the dielectric constant of the EC co-solvent

except for PC and Triglyme which decrease ionic conductivity due to their increased viscosity. The use of a co-solvent therefore improves dissociation of a salt and enhances ionic conductivity.<sup>150</sup> The thermal stability of the single solvents, binary solvents and salts were tested using DSC experiments. The NaClO<sub>4</sub> was found to be the most stable followed by NaPF<sub>6</sub> and NaTFSI. The trend for thermal stability of single solvents is PC > EC > DEC > DMC > DME. EC:PC was found to be the most thermally stable binary solvent. The electrochemical potential windows of each salt in PC as well as NaClO<sub>4</sub> in all of the different single solvents and binary solvents are tested, and the results are shown in Fig. 15.<sup>150</sup>

The EC:PC with NaPF<sub>6</sub> was the optimal electrolyte to be used as a standard electrolyte in SIBs with hard carbon as the anode. Although the NaClO<sub>4</sub> based electrolyte offered more stable cyclability giving a reversible capacity of ~200 mA h g<sup>-1</sup> with decent rate capability and over 180 cycles of capacity retention, the NaPF<sub>6</sub> based electrolyte showed better SEI thermal stability with the highest exothermic onset temperature of 156.2 °C for full sodiation of hard carbon.<sup>150</sup>

This group went even further in their studies in a following report based on electrolyte optimization through the addition of a third low viscosity co-solvent.<sup>152</sup> The viscosity and conductivity were analyzed using an EC:PC mixture with 1 M NaClO<sub>4</sub>, NaPF<sub>6</sub>,

or NaTFSI and the addition of a co-solvent with a fixed composition of EC<sub>0.4</sub>:PC<sub>0.4</sub>:U<sub>0.2</sub>, U being either DEC, DMC, or DME. The introduction of these following co-solvents decreased viscosity and enhanced ionic conductivity following the trend: DME > DMC > DEC.<sup>152</sup>

NaTFSI salt was used in this study<sup>152</sup> in order to determine cation–anion interaction based on salt solvation since the TFSI<sup>-</sup> anion is considered the best probe for solvation. The solvation shell of sodium cations is mainly composed of EC with very little contribution from the other solvents or anion. This showed that there is no significant modification to the solvation shell by DMC addition, and that increased ionic conductivity was due to the decrease in the viscosity of the electrolyte.

DMC content influence was further studied using EC:PC:DMC electrolyte with the NaTFSI salt using a difference of content from 0–50 wt% for DMC while the EC : PC ratio was maintained at 1 : 1. Based on the Arrhenius plot as shown in Fig. 16,<sup>152</sup> it was found that the most thermally stable composition with respect to DMC content was EC<sub>0.45</sub>:PC<sub>0.45</sub>:DMC<sub>0.1</sub>. The ionic conductivity of the electrolyte with the small addition of DMC was improved over the whole range of temperatures used, making EC<sub>0.45</sub>:PC<sub>0.45</sub>:DMC<sub>0.1</sub> the best electrolyte choice for use in testing HC and Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F<sub>3</sub> vs. Na/Na<sup>+</sup> as well as in a full HC||Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>2</sub>F<sub>3</sub> cell.

Full Na-ion cells had an operation voltage of 3.65 V, very low polarization, and excellent capacity retention upon cycling with reversible capacity of ~97 mA h g<sup>-1</sup> for more than 120 cycles with high coulombic efficiency (>98.5%).<sup>152</sup> Fig. 17a and b show the voltage vs. capacity profiles of the full cell at different C rates and the insets show charge capacity and efficiency, as well as a schematic of the full cell.<sup>152</sup>

These studies<sup>150–152</sup> have been very informative with respect to organic based electrolytes. This information is beneficial to the SIB community because there are not many other studies that are so in depth with respect to all of the different organic solvents and Na salts. Utilizing this information to

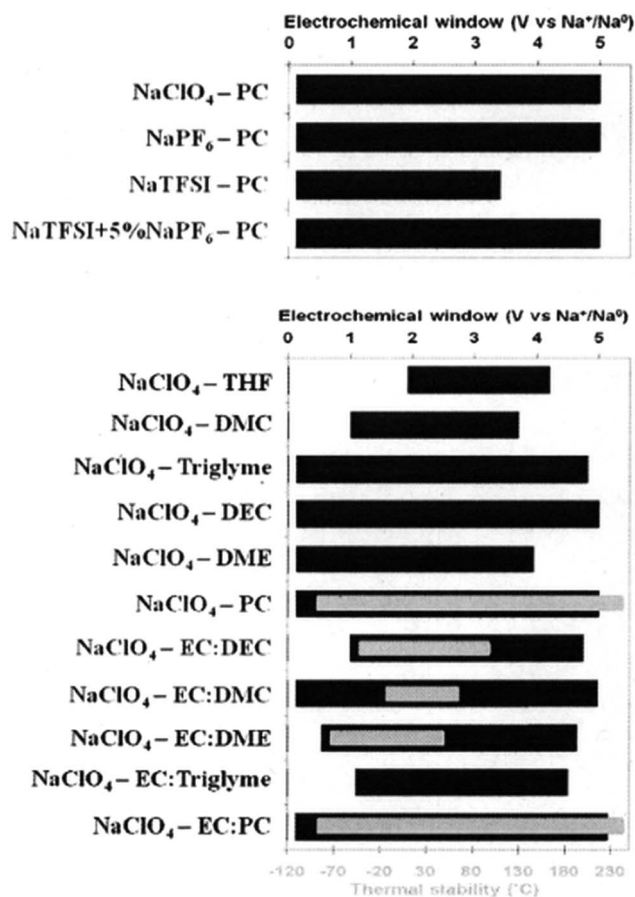


Fig. 15 Electrochemical potential window stability of various salts with PC and NaClO<sub>4</sub> in various solvents. Reproduced with permission<sup>150</sup> © 2012, RSC Publishing.

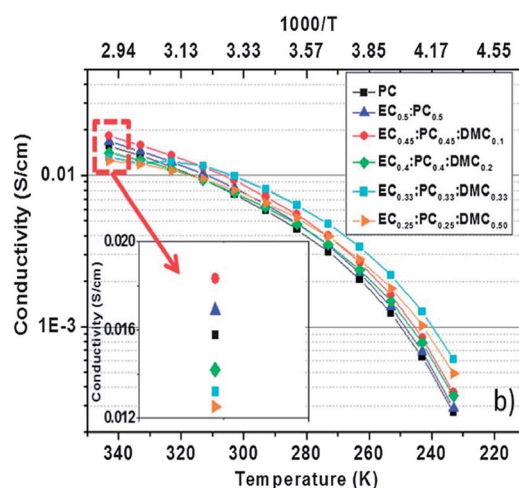


Fig. 16 Arrhenius plots of the conductivity of the electrolyte based on 1 M NaTFSI salt dissolved in various solvent mixtures. Reproduced with permission<sup>152</sup> © 2013, RSC Publishing.

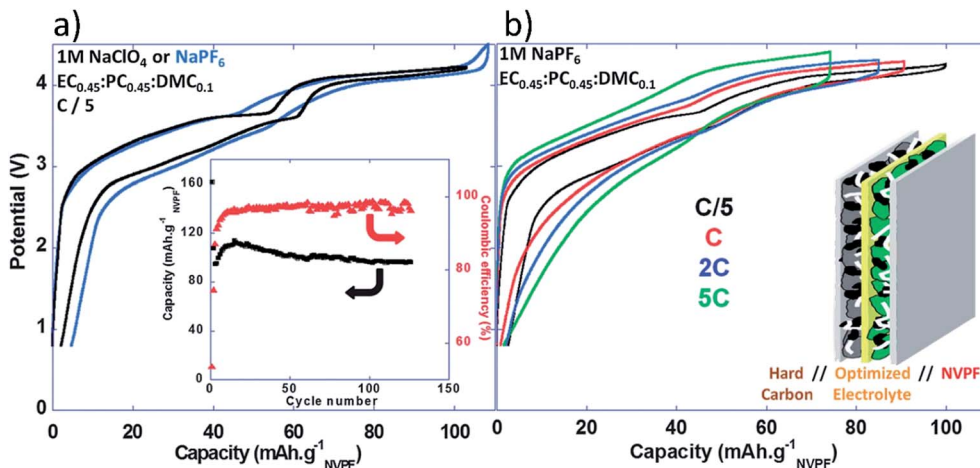


Fig. 17 (a) Voltage versus capacity profiles for NVPF||HC full Na-ion cells cycled in 1 M NaPF<sub>6</sub> or 1 M NaClO<sub>4</sub> in EC<sub>0.45</sub>:PC<sub>0.45</sub>:DMC<sub>0.1</sub> recorded at C/5 (inset: charge capacity and coulombic efficiency versus cycle number (C/5; 1 M NaClO<sub>4</sub> in EC<sub>0.45</sub>:PC<sub>0.45</sub>:DMC<sub>0.1</sub>)), and (b) voltage versus capacity profiles for NVPF||HC full Na-ion cells cycled in 1 M NaPF<sub>6</sub> in EC<sub>0.45</sub>:PC<sub>0.45</sub>:DMC<sub>0.1</sub> recorded at different rates. Reproduced with permission<sup>152</sup> © 2013, RSC Publishing.

accommodate the voltage potentials of active materials in a battery can offer optimized performance by choosing an optimal electrolyte.

## 5.2 Aqueous electrolytes

Among various studies of aqueous batteries,<sup>24–28,30,31</sup> Na<sub>2</sub>SO<sub>4</sub> in deionized water is a popular electrolyte, but other Na based salts such as NaCl and NaNO<sub>3</sub> can also be used. Kim *et al.*<sup>31</sup> found that the use of an aqueous electrolyte with Na<sub>0.44</sub>MnO<sub>2</sub> gave better rate capability and kinetic behavior as opposed to non-aqueous electrolytes. This was due to the difference in the apparent diffusion coefficient ( $1.08 \times 10^{-13}$  to  $9.15 \times 10^{-12}$  cm<sup>2</sup> s<sup>-1</sup> in aqueous system and in the range of  $5.75 \times 10^{-16}$  to  $2.14 \times 10^{-14}$  cm<sup>2</sup> s<sup>-1</sup> in non-aqueous systems).<sup>31</sup>

Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> (NVP) in a three-electrode system was tested between 0 and 0.9 V vs. standard calomel electrode (SCE) in 1 M Na<sub>2</sub>SO<sub>4</sub>.<sup>30</sup> Several factors such as (1) unwanted oxidation of H<sub>2</sub>O, (2) electrode material dissolution in aqueous electrolyte, and (3) structure variation of active material during de/intercalation have been identified that can cause performance decay of aqueous SIBs, leading to low capacity retention (~32% at 30<sup>th</sup> cycle) and unsatisfactory coulombic efficiency of ~80%.<sup>30</sup>

A full aqueous SIB was built using Na<sub>2</sub>V<sub>6</sub>O<sub>16</sub>·nH<sub>2</sub>O as anode and Na<sub>0.44</sub>MnO<sub>2</sub> as cathode by Deng *et al.*<sup>27</sup> They found that the diffusion of sodium ions was provided by pathways formed in the interstices between V<sub>3</sub>O<sub>8</sub> layers where hydrated sodium ions are located. An irreversible structural change occurs upon sodium intercalation which caused rapid capacity fade of the battery.<sup>27</sup>

A promising aqueous battery system to date is composed of a Na<sub>0.44</sub>MnO<sub>2</sub> cathode with a NaTi<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> anode with 1 M Na<sub>2</sub>-FeSO<sub>4</sub> electrolyte. This battery maintains ultrafast rate performance (>100 C) with cycling stability surpassing 1500 cycles.<sup>33</sup> This cell delivers ~120 mA h g<sup>-1</sup> of reversible capacity. XRD results show each electrode retains structural stability even

during high rate cycling. The battery is most stable at C > 1. The capacity fade at low rates could possibly be related to partial dissolution of the electrodes, and oxidation of the anode or electrolyte.<sup>33</sup>

The use of an aqueous SIB would be very advantageous because of the huge abundance of Na resources, reduced cost, and safety; but there are drawbacks. The structural stability needs to increase in order to tolerate de/intercalation and stabilize capacity. Complications that arise with the use of aqueous batteries are (1) elimination of residual O<sub>2</sub> in the electrolyte, (2) inhibition of H<sub>3</sub>O<sup>+</sup> co-intercalation into the electrode, and (3) efficient internal consumption of O<sub>2</sub> and H<sub>2</sub> produced from cathode and anode sides when overcharged or overdischarged or improperly operated in a closed aqueous battery system. These all need to be addressed and overcome for the practical application of an aqueous battery system.<sup>4</sup>

## 5.3 Ionic liquid based electrolytes

Ionic liquids (IL) are attractive as solvents for electrolytes because they have low flammability, negligible vapor pressure, a wide electrochemical/chemical/thermal stability and high ionic conductivity.<sup>153,154</sup> The use of NaTFSI in *N*-butyl-*N*-methylpyrrolidinium bis(trifluoromethanesulfonyl)imide (PYR<sub>14</sub>TFSI) was studied as an ionic liquid electrolyte based on conductivity and stability using different concentrations of NaTFSI<sup>154</sup> and utilized in a Na||Na<sub>0.45</sub>Ni<sub>0.22</sub>Co<sub>0.11</sub>Mn<sub>0.66</sub>O<sub>2</sub> cell.<sup>65</sup> Monti *et al.*<sup>153</sup> used the same salt but instead used 1-ethyl-3-methylimidazolium bis(trifluoromethylsulfonyl)imide (EMImTFSI) and 1-butyl-3-methylimidazolium TFSI (BMIm-TFSI) as solvents.

Ionic conductivity of the ionic liquids decreased with an increased amount of NaTFSI, as evidenced in Fig. 18a and b for different IL solvents.<sup>153,154</sup> This behavior is similar to Li based ILs, but Na based ILs had slightly higher conductivity. The increase of Na<sup>+</sup> ions from NaTFSI replacing ionic liquid cations caused increased interaction of high charge surface density Na<sup>+</sup>



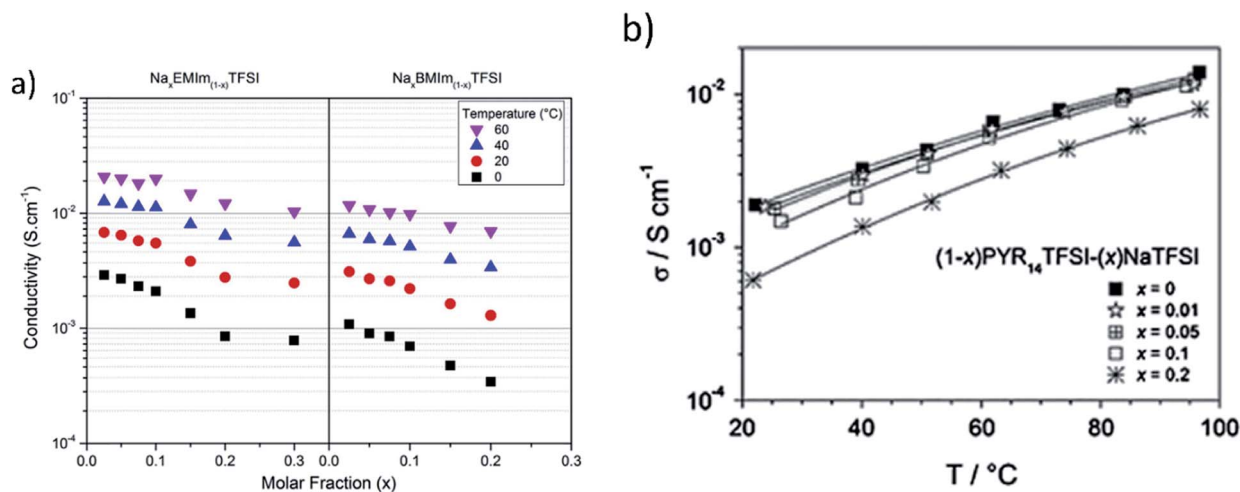


Fig. 18 (a) Isotherms of the conductivity as a function of the molar fraction of Na<sub>x</sub>-EMIm<sub>(1-x)</sub>TFSI and Na<sub>x</sub>BMIm<sub>(1-x)</sub>TFSI (reproduced with permission<sup>153</sup> © 2014, Elsevier) and (b) ionic conductivity vs. temperature for the (1 - x)PYR<sub>14</sub>TFSI-(x)NaTFSI electrolyte. Reproduced with permission<sup>154</sup> © 2014, Elsevier.

ions with TFSI. The ions try to counterbalance each other and in turn increase viscous drag, and reduce ionic transport, thus lowering ionic mobility and conductivity. The IL electrolytes show no sign of crystallization or phase transitions at room temperature which can be attributed to the different sizes of the Na<sup>+</sup> and IL cations. The amorphous phases over a wide thermal window produced conductivities greater than 1 mS cm<sup>-1</sup>, values close to that of Li based IL electrolytes. This in turn makes the IL based electrolytes a safe, and viable candidate for room temperature SIB batteries.<sup>153,154</sup>

Chagas, *et al.*<sup>65</sup> found that the use 10 mol% NaTFSI in PYR<sub>14</sub>TFSI provided higher reversibility of the de/sodiation process due to the increased electrochemical stability of the ionic liquid. The higher voltage (>4.2 V) P2-O2 phase transition of Na<sub>0.45</sub>Ni<sub>0.22</sub>Co<sub>0.11</sub>Mn<sub>0.66</sub>O<sub>2</sub> in the Na||Na<sub>0.45</sub>Ni<sub>0.22</sub>Co<sub>0.11</sub>Mn<sub>0.66</sub>O<sub>2</sub> cell was able to occur because of the larger electrochemical potential voltage window. Another advantage of using IL based electrolytes is that the dissolution of Mn in the Na<sub>0.45</sub>Ni<sub>0.22</sub>Co<sub>0.11</sub>Mn<sub>0.66</sub>O<sub>2</sub> cathode material did not occur at low voltages. The battery had high cycling stability and much higher discharge capacity compared to the same type of cell cycled in a PC based electrolyte.

The use of a proper electrolyte with specific active materials can improve the overall SIB system by enhancing ionic mobility and improving cyclability and retention. Ionic liquid based electrolytes with low salt concentration were optimal for ionic conductivity and overall performance of a tested SIB. IL based electrolytes do have an increased potential window which can be utilized for transition metal redox reactions that occur above the 4.0 V plateau. Plus, the use of this type of electrolyte makes it safe due to low flammability and volatility. Another safe electrolyte for use in the SIB is aqueous based, but low operating voltages may not be suitable for their practical application. The rapid capacity fading of the SIBs with aqueous electrolyte also needs to be improved before they can compete with SIBs which use organic or IL based

electrolytes. Ponrouch, *et al.*<sup>150,152</sup> found that mixtures of organic solvents gave higher conductivity and enhanced the overall performance of SIBs. These investigations<sup>27,30,150,152-154</sup> reveal that the study of specific additives or materials for use in a SIB is critical in order to understand how they affect the overall performance of SIBs.

## 6. Binders/additives

There is not much research with respect to electrolyte additives for SIBs. Komaba, *et al.*<sup>23</sup> recently tested several well-known Li ion battery additives in a SIB system and found that fluoroethylene carbonate (FEC) was efficient in improving the reversibility of sodium insertion in a Na aprotic cell with a hard carbon anode and NaNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub> based cathode. The addition of FEC improved capacity retention by suppressing decomposition of the propylene carbonate electrolyte solvent. FEC initially decomposed adding a voltage peak at 0.7 V during the first reduction. It is believed that this initial decomposition is critical in modifying the surface passivation layer, thereby increasing the electrochemical performance of the cell. In this same study, *trans*-difluoroethylene carbonate (DFEC), ethylene sulfate (ES), and vinylene carbonate (VC) were also tested as potential additives. It was found that DFEC had no beneficial effects, ES addition had a detrimental effect toward Na cells, and VC decreased reversible capacity of the cells.<sup>23</sup>

In another study by Komaba, *et al.*,<sup>128</sup> VC was added to PC in a 49 : 1 volume ratio for beaker-type cells with hard carbon or NaNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub> as the working electrode and sodium foil as the quasi reference. Results show that it had a negative effect on Na insertion into the hard carbon anode. These findings led to the testing of the material in a coin type cell with the PC electrolyte and no additives. The initial efficiency of this cell reached 90%, which is believed to be due to the different cell configuration giving a more uniform current distribution.<sup>128</sup>

A recent study by Dahbi, *et al.*<sup>155</sup> found that the use of carboxymethyl cellulose binder (CMC) is superior when compared to commonly used PVDF for hard carbon negative electrodes in SIBs; and that the effectiveness of FEC was dependent upon its combination with such binders. A CR2032 coin cell was tested between 0 and 2.0 V vs. Na<sup>+</sup>/Na where Na metal was the counter electrode and the anode consisted of hard carbon in a 90 : 10 ratio with CMC or PVDF. Based on their results, anodes with CMC without the use of the FEC additive in a 1 M NaPF<sub>6</sub> PC electrolyte gave better cyclability by preventing electrolyte decomposition, and providing a preformed SEI layer on the hard carbon electrode. FEC with PVDF binder has a synergetic role in surface morphology and electrochemical behavior of hard carbon electrodes. FEC aided in forming a passivation layer on the hard carbon electrodes with PVDF and was necessary to improve cycle performance.<sup>155</sup>

Some studies pertaining to LIBs have found that the use of poly(vinylidene fluoride) (PVDF) is detrimental to the battery. Traditionally, this material is used to integrate electroactive particles; however, it has been found to accelerate the deterioration of cycling stability and cause irreversible capacity losses.<sup>148,156,157</sup> The addition of this electrochemically inactive and insulating material impedes the performance of the active material because it can swell during cycling and reduce structural stability; thereby reducing cycle performance. The addition of these materials also leads to increased manufacturing costs which is of importance for EES. These findings are for LIBs but since the SIBs are similar in many ways, it is important to take these findings into consideration.

More studies related to binder addition and electrolyte additives are needed in order to determine optimal conditions for use of such materials in SIBs. A binder or additive that may be detrimental for LIBs has been found to be better for SIBs and *vice versa*, so it is imperative for the research community to understand how certain materials can inhibit or enhance the performance of SIBs.

## 7. Sodium ion transport

The insertion and removal of sodium was compared to those of lithium by Komaba, *et al.*<sup>128</sup> in order to discuss the mechanism. Li insertion tends to occur between graphene layers and in hard carbon nanopores around the sloping and plateau regions of potentiograms between 0 and 1.2 V, and the sodium curve has a flat plateau at ~0.1 V, as seen in Fig. 19.<sup>128</sup>

Sodium insertion into hard carbon was analyzed using XRD to determine the structural change that occurs around this voltage. A pristine electrode was first analyzed and then compared to a hard carbon electrode reduced at 0.2 V. The Bragg peak at 23.4° for the pristine electrode shifted to a lower angle at this reduced voltage, indicating that the spacing between graphene sheets expanded to accommodate sodium insertion in between the parallel layers. Upon oxidation to 2.0 V, the peak returned to its original position in the pristine condition, indicating the reversibility of the sodium. Small angle X-ray scattering (SAXS) and Raman spectroscopy data further confirm the structural change of the hard carbon due to

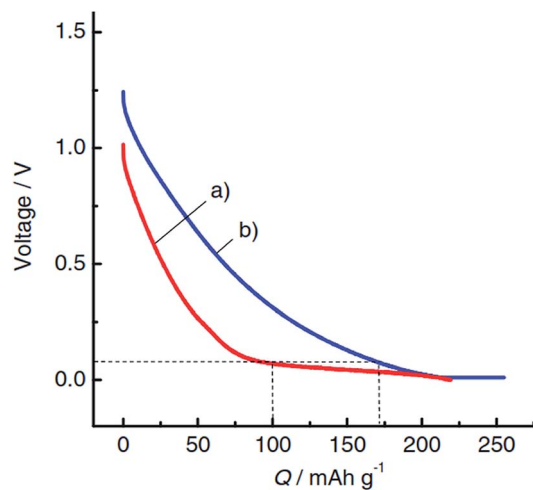


Fig. 19 Chronopotentiograms of the second reduction for hard-carbon electrodes in (a) 1 mol dm<sup>-3</sup> NaClO<sub>4</sub> and (b) 1 mol dm<sup>-3</sup> LiClO<sub>4</sub> PC solution in Na and Li cells, respectively, at a rate of 25 mA g<sup>-1</sup>. Reproduced with permission<sup>128</sup> © 2011, WILEY-VCH Verlag GmbH & Co.

reversible sodiation. For SAXS, the nanopores in hard carbon were assigned a scattering intensity of 0.03 to 0.07 Å when the electrode was electrochemically reduced from 0.2 V to 0 V.<sup>128</sup> The intensity decreased reversibly attributing to reversible sodium ion insertion into the nanopores since the electron density contrast between the nanopores and carbon matrix decreased. Raman data showed that a shift occurred which signifies the elongation of the C–C bond (G-band) upon insertion during the low voltage sloping region, and upon approaching a voltage of zero, this G-band stays put, indicating there was no change in the state of the negatively charged graphene layers. The Na behaved as Li does with respect to Raman data when it is lithiated, but NMR and XPS studies need to be done in order to confirm if the Na forms quasimetallic nano-clusters in the nanopores of hard carbon, as Li does.<sup>128</sup>

Gotoh, *et al.*<sup>158</sup> used solid state <sup>23</sup>Na NMR to investigate sodium insertion into a hard carbon electrode of a SIB to confirm that quasi-metallic cluster formation does not occur. The state of sodium in the hard carbon was studied in comparison to Li intercalated carbon. Carbon was mixed in a 90 : 10 weight ratio with PVDF to form the cathode and Na metal was used as the counter electrode in a coin type cell. 1 M NaClO<sub>4</sub> in PC : FEC (98 : 2 volume ratio) was used as the electrolyte. Galvanostatic testing at 0.5 mA cm<sup>-2</sup> in a range of 2–0 V vs. Na/Na<sup>+</sup> was used to cycle the batteries. Each battery was removed at a different charge level and studied with NMR. Samples with higher state of charge showed peaks at 5.2 and 9.9 ppm which correlate to reversible sodium stored between disordered graphene sheets in hard carbon. Peaks at –9 to –16 ppm were assigned to sodium in heterogeneously distributed closed nanopores in hard carbon. Though these results show sodium insertion during charging, the speed at which Na<sup>+</sup> ions diffuse between different sites of hard carbon is slow in NMR time scale since it doesn't form quasi-metallic clusters in closed nanopores like Li at low temperatures.<sup>158</sup>

Deng, *et al.*<sup>27</sup> studied the diffusion behavior of Na ions in  $\text{Na}_2\text{V}_6\text{O}_{16} \cdot n\text{H}_2\text{O}$  and found that there was a linear relation between the CV peak current and the square root of the scan rate which indicated solid-state diffusion of Na ions in the host material during de/sodiation. Based on this, the diffusion coefficient of Na in  $\text{Na}_2\text{V}_6\text{O}_{16} \cdot n\text{H}_2\text{O}$  in an aqueous battery was estimated using the Randles–Sevcik equation<sup>27</sup>

$$i_p = 0.4463 \left( \frac{F^3}{RT} \right)^{1/2} n^{3/2} A D^{1/2} C \nu^{1/2} \quad (1)$$

where  $i_p$  is the peak current,  $F$  is the Faraday's constant,  $R$  is the gas constant,  $T$  is the absolute temperature,  $n$  is the number of exchanged electrons,  $\nu$  is the scan rate of CV,  $C$  is the concentration of sodium ion,  $A$  is the actual surface area of the electrode, and  $D$  is the diffusion coefficient of sodium ion. The calculated diffusion coefficient of sodium ion ( $D_{\text{Na}}$ ) in the  $\text{Na}_2\text{V}_6\text{O}_{16} \cdot n\text{H}_2\text{O}$  nanobelts was  $2.46 \times 10^{-14} \text{ cm}^2 \text{ s}^{-1}$ .<sup>27</sup> The 1D nanostructure was able to overcome the detrimental effect of the low  $D_{\text{Na}}$ , but capacity fading was quick for the battery. The low performance of the battery was attributed to an irreversible structure change of  $\text{Na}_2\text{V}_6\text{O}_{16} \cdot n\text{H}_2\text{O}$  that occurred during cycling.

Overall, the fundamentals of sodium ion transport have not attracted sufficient attention of the scientific community although this is an important area for charge–discharge kinetics and in some cases is critical for the cycle stability. The transport of Na ions during cycling is a pivotal parameter with respect to reversibility and retention. The cathode or anode material needs to have pathways to enable short, fast diffusion of Na ions during de/sodiation. Many groups have focused on creating unique structures for ion mobility with hope of obtaining optimal diffusion during cycling. They have been successful, but understanding exactly how ions diffuse in a specific structure, and the diffusion coefficient of the ion could aid in determining how to enhance or change a structure in the future to further improve the kinetics and cycle stability. Also, studying how the diffusion coefficient changes in the presence of different electrolytes would be beneficial in understanding how the salt and solvent affects diffusion of ions during cycling, but this type of study has not yet been undertaken.

## 8. SEI layer formation

The formation of the solid electrolyte interphase is a very critical aspect with respect to the performance of SIBs. The SEI layer forms in the first charge–discharge cycle due to electrolyte decomposition and is primarily the reason for initial capacity loss in the first cycle. This passivation layer then further protects the electrode by inhibiting side reactions of the electrolyte with the interfacial surface upon continued cycling. Since SEI layers consist of electrolyte decomposition products that are insoluble, the composition of the electrolyte has a strong impact on their properties such as ionic conduction, stability, and thickness.<sup>150</sup> The SEI layer has many criteria, it should: (1) be electronically insulating to inhibit further reduction of the electrolyte, (2) reduce the reduction and oxidation kinetics to improve the electrolyte stability, and

(3) allow transport of cations to be intercalated during cycling.<sup>128,150,152</sup> The thermodynamic stability of organic solvents at  $\sim 0 \text{ V vs. Na}^+/\text{Na}$  is not stable; therefore, passivation plays a key role in cycle performance of sodium insertion.<sup>128</sup>

Komaba, *et al.*<sup>128</sup> carried out a comparative investigation for passivating surfaces for hard-carbons in Na and Li cells. The morphology of hard carbons cycled in Li and Na cells was observed using SEM and confirmed sub-micrometer sized particles dispersed on the electrode surface. Na cells had larger unevenly distributed particles as compared to Li cells which had a uniform deposit layer. TEM analysis showed that the layers, whether uniform or not, were  $\sim 30 \text{ nm}$  in dry conditions.

X-ray photoelectron spectroscopy (XPS) measurements revealed the difference of the surface layers formed by the electrochemical cycling. The XPS spectra have a strong peak at  $284.5 \text{ eV}$  which is assigned to the  $\text{sp}^2$  carbon in C–C of graphene in hard carbon, while other peaks originate from the PVDF binder.<sup>128</sup> The strongest peak almost disappears after cycling, indicating that the hard carbon in both cells was covered with the decomposition products of the electrolyte. Fig. 20 shows the XPS data.<sup>128</sup>

The XPS data shows that the  $-\text{CH}_2-$  peak intensity is stronger for the Li cell and corresponds to a larger amount of hydrocarbon compounds in its surface film. Another interesting characteristic is that the  $\text{sp}^2$  carbon became more clear and intense in the C 1s spectra which indicated that the surface layer in the Na cell is actually thinner than that in the Li cell.<sup>128</sup> The further investigation of this passivation layer using time-of-flight secondary ion mass spectroscopy (TOF-SIMS) showed that the surface film in the Na cell was composed of inorganic compounds, whereas the passivation layer for Li cells was

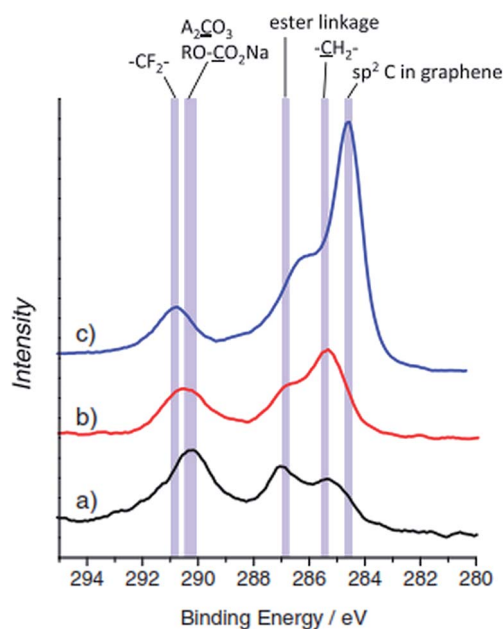


Fig. 20 XPS carbon 1s spectra for the hard-carbon electrodes tested in (a) sodium and (b) lithium cells after the first cycle, and (c) pristine electrode. Reproduced with permission<sup>128</sup> © 2011, WILEY-VCH Verlag GmbH & Co.



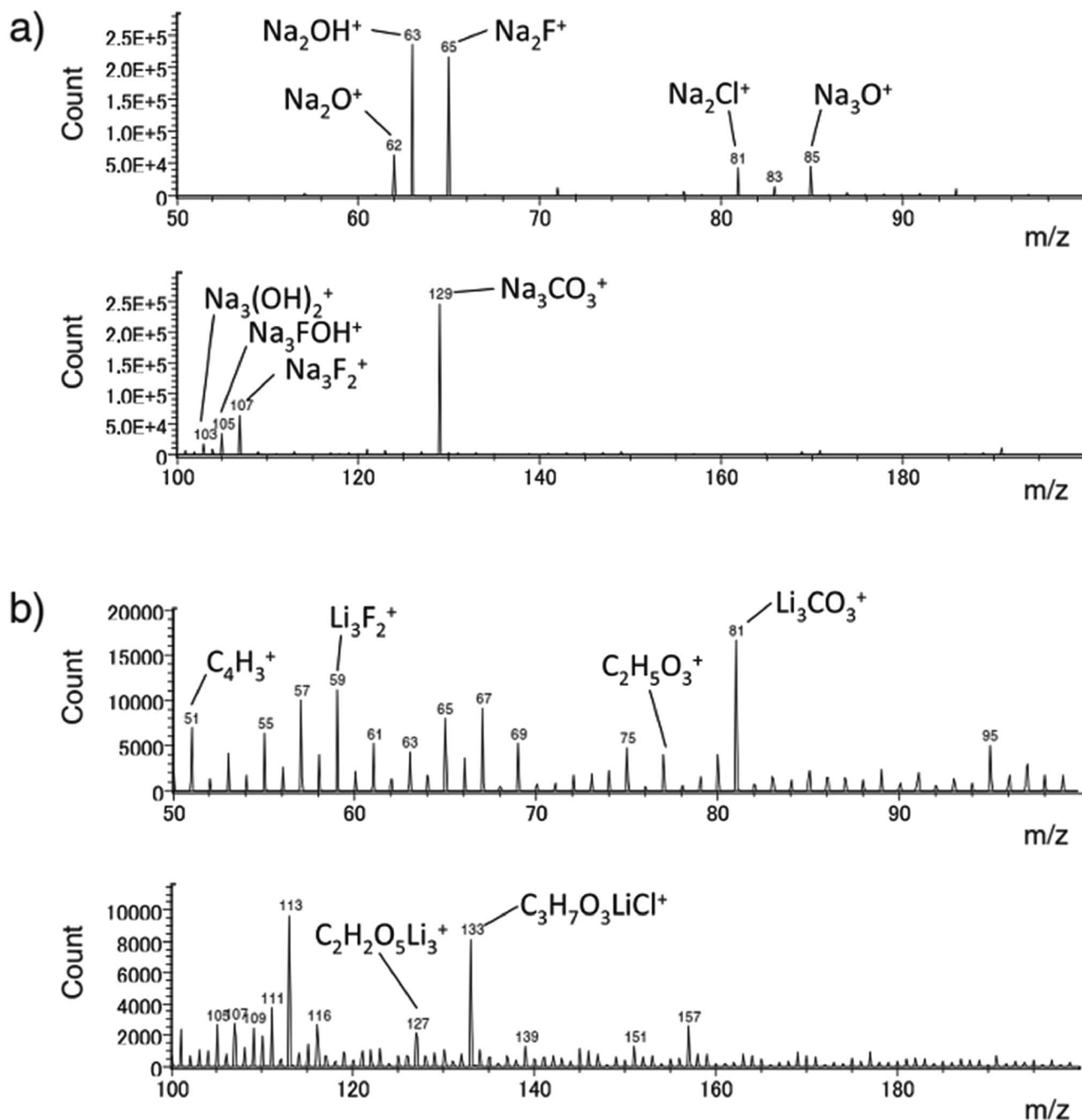


Fig. 21 TOF-SIMS positive ion spectra for the hard-carbon electrodes after the first galvanostatic cycle in (a) sodium and (b) lithium cells. Reproduced with permission<sup>128</sup> © 2011, WILEY-VCH Verlag GmbH & Co.

composed of organic compounds, as previously stated. Fig. 21 shows the TOF-SIMS results.<sup>128</sup>

These results show that the SEI layer allows for the reversible Na insertion of hard carbon. The SEI morphology and chemical composition differed for the Na and Li cases even though the same electrode and electrolyte material were used. The only difference was the intercalant alkali element. Further research is ongoing to determine the formation process and conductivity of the SEI layer for Na cells.<sup>128</sup>

Ponrouch *et al.*<sup>129</sup> also investigated the SEI layer formation and found the same behavior for XPS results and confirmed

that the SEI thickness is about the same as the XPS analysis depth ( $\sim 5$ – $10$  nm). The carbonaceous species that composed the SEI layer were very similar to those observed by Komaba, *et al.*<sup>152</sup>

## 9. Outlook and major challenges ahead

Significant and rapid progress of SIBs has been made in the last 5 years, as evidenced by the fact that 80% of the publications

**Table 2** Some cathode materials with promising capacities and cycle stability

Material	Specific capacity [mA h g <sup>-1</sup> ]	Charge–discharge cycles demonstrated	Ref.
Na <sub>0.44</sub> MnO <sub>2</sub>	120	1000	29
α-Fe <sub>2</sub> O <sub>3</sub> /C nanotubes	99	500	59
Na <sub>3</sub> V <sub>2</sub> (PO <sub>4</sub> ) <sub>3</sub> /C	30	30 000	81
Na <sub>3</sub> V <sub>2</sub> (PO <sub>4</sub> ) <sub>3</sub> /graphene	90	300	88
Na <sub>1.5</sub> VPO <sub>4.8</sub> F <sub>0.7</sub>	130	500	94
Na <sub>0.45</sub> Ni <sub>0.22</sub> Co <sub>0.11</sub> Mn <sub>0.66</sub> O <sub>2</sub>	135	250	61
Na <sub>2</sub> MnFe(CN) <sub>6</sub>	145	1200	161
K <sub>0.6</sub> Ni <sub>1.2</sub> Fe(CN) <sub>6</sub> ·3.6H <sub>2</sub> O	52	5000 <sup>a</sup> /1000 <sup>b</sup>	26 <sup>c</sup>
Na <sub>x</sub> Fe <sub>y</sub> Mn <sub>1-y</sub> O <sub>2</sub>	190	30–40	60 and 71

<sup>a</sup> Na<sup>+</sup> insertion. <sup>b</sup> K<sup>+</sup> insertion. <sup>c</sup> With an aqueous electrolyte.

reviewed in this article are published in the last 5 years. The speedy advancement in SIBs can be attributed to the similarity between LIBs and SIBs in their operating principles<sup>22</sup> which greatly shortens the learning curve and expedites the progress of SIBs. In fact, the progress made in SIBs is so large that the properties of SIBs can now compete with that of LIBs in some application areas. Tables 2 and 3 summarize the specific capacities and numbers of cycles that have been achieved experimentally for the most promising cathodes and anodes of the SIBs investigated so far, respectively. Based on these experimentally accomplished values, the potentials of SIBs in competing against LIBs in three critical application arenas, portable electronic devices, electrical grid and electric vehicles, can be offered below.

Portable electronic devices such as cell phones, laptop computers, iPod and MP3 player are currently powered by LIBs capable of at least 200–300 cycles with retention of 80% of their capacity.<sup>159</sup> LiCoO<sub>2</sub> with a specific capacity of 155 mA h g<sup>-1</sup> is most widely used as the cathode in commercial LIBs and, when coupled with the graphite anode (350 mA h g<sup>-1</sup>), provides a cell voltage of 3.8 V and a specific energy of 408 W h kg<sup>-1</sup> (based on the electrode materials only).<sup>160</sup> Such a specific energy and the required cycle life (200 cycles or more) are gradually approached by SIBs. For example, one may pair a Na<sub>1.5</sub>VPO<sub>4.8</sub>F<sub>0.7</sub> cathode<sup>94</sup>

**Table 3** Some anode materials with promising capacities and cycle stability

Material	Specific capacity [mA h g <sup>-1</sup> ]	Charge–discharge cycles demonstrated	Ref.
Hard carbon	300	120	128
Carbon nanostructure	298	210	131
Sn	400	150	133
CuO nanowires	300	450	148
Na <sub>2</sub> Ti <sub>3</sub> O <sub>7</sub>	107	500	145
Na <sub>2/3</sub> Co <sub>1/3</sub> Ti <sub>2/3</sub> O <sub>2</sub>	90	3000	146
Na <sub>2</sub> Ti <sub>6</sub> O <sub>13</sub>	40	5000	147

with a carbon nanostructure anode<sup>131</sup> to make a SIB with a 210-cycle life and a specific energy of 299 W h kg<sup>-1</sup> at the beginning of the battery life and 284 W h kg<sup>-1</sup> at the end of 210-cycle life, based on the data listed in Table 2 and the cell voltage of 3.3 V (with the Na<sub>1.5</sub>VPO<sub>4.8</sub>F<sub>0.7</sub> cathode at the average potential of 3.8 V vs. Na/Na<sup>+</sup> and the carbon nanostructure anode at the average potential of 0.5 V vs. Na/Na<sup>+</sup>).<sup>94,131</sup> Na<sub>x</sub>Fe<sub>y</sub>Mn<sub>1-y</sub>O<sub>2</sub> would also be a great material to compete with LIBs due to its estimated energy density of 520 W h kg<sup>-1</sup> based on an average operating voltage of 2.75 V vs. Na/Na<sup>+</sup>,<sup>60,71</sup> but needs to be able to cycle longer in order to replace the LIB in the future with respect to the required cycle life. With the additional improvements of SIB electrodes in the near future and the significant cost advantage of SIBs over LIBs based on the raw material prices and the use of Al current collectors (Table 1), it is safe to conclude that SIBs will be strong contenders with LIBs in the areas of portable electronic devices and power tools in the next several years.

In the area of grid-scale electrical energy storage for renewable energy integration, frequency regulation, peak shaving and voltage regulation, the volumetric and gravimetric energy densities of batteries are not critical. In contrast, ultra long cycle life (>20 000 cycles and calendar life of 15 to 20 years), high round trip efficiency (>90%), low cost and sufficient power capability are the major requirements. In light of these requirements, SIBs would be advantageous over LIBs because Na is highly abundant, low cost, and environmentally friendly. In this context, the porous Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub>/C cathode<sup>81</sup> has already been demonstrated to be capable of 30 000 cycles (Table 2). The Prussian blue analogue Na<sub>2</sub>MnFe(CN)<sub>6</sub> has been tested 1200 cycles and projected to be capable of 10 000 cycles with 95% capacity retention.<sup>161</sup> Therefore, there exist good SIB cathode materials for ultra long cycle life. The progress made in SIB anodes with ultra long cycle life is also very impressive with 3000 and 5000 cycles being demonstrated using Na<sub>2/3</sub>Co<sub>1/3</sub>-Ti<sub>2/3</sub>O<sub>2</sub> and Na<sub>2</sub>Ti<sub>6</sub>O<sub>13</sub>, respectively<sup>146,147</sup> (Table 3). Nevertheless, continued research in increasing the cycle life of SIB anodes and cathodes would be an important research topic in the near future to make SIBs a viable technology for grid-scale energy storage. Saravanan, *et al.*<sup>81</sup> have predicted that the commercial lead acid batteries currently used in the electric grid would become obsolete if a suitable anode with a stable capacity of ~120 mA h g<sup>-1</sup> is developed and paired with the Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub>/C cathode since its energy density would be 10 times greater.

In the area of electric vehicles, the high volumetric and gravimetric densities of batteries are critical. Good cycle life (>1000 cycles) is also essential. As mentioned before, based on Na atomic weight and ionic diameter, the energy densities of SIBs will always be lower than that of LIBs. In spite of these constraints, significant progress has been made with cathodes reaching the specific capacity of 145 mA h g<sup>-1</sup> after 1200 cycles and anodes reaching the specific capacity of 400 mA h g<sup>-1</sup> after 150 cycles (Tables 2 and 3). However, further improvements in the energy density are needed and the breakthrough will likely be from developing electrodes with higher operating voltages or electrodes with more than one Na ion insertion or reaction per formula unit. The drawback of the former approach is that the redox reactions that occur at higher voltages than the potential

window offered by current electrolytes can be detrimental to the cycle stability of batteries. To harvest the high voltage benefit of this type of electrode, electrolytes that can withstand high voltages need to be developed. IL-based electrolytes can offer the potential window that is needed for high voltage electrodes, but such electrolytes are relatively new and need to be tested further. In addition, the cost of IL-based electrolytes needs to be reduced drastically for broad market penetration.

Developing electrodes with more than one Na ion insertion or reaction per formula unit could hold great promise in increasing the energy density of SIBs. The issues associated with alloy- and compound formation-type electrodes (e.g., Sn, Si, Sb and P) are often low cycle stability due to significant volume changes during charge–discharge. For example, P has a high capacity but with 491% volume expansion when forming Na<sub>3</sub>P during sodiation.<sup>162,163</sup> Such large volume expansion and shrinkage during cycling result in particle pulverization, loss of electrical contact, and unstable SEI. The key to solving this problem is to confine the volume change within a sub-micrometer shell *via* “core–shell” structures. Such a strategy has been successfully devised to develop the double-walled Si nanotube anode capable of 6000 cycles for LIBs.<sup>164</sup> The superior cycle stability of the double-walled Si nanotube anode is due to the hollow tube structure which has an inner Si tube covered by an outer SiO<sub>x</sub> shell. The inner hollow Si tube has a free surface to allow silicon to expand inward during lithiation and shrink back to its original position during delithiation, whereas the outer SiO<sub>x</sub> shell prevents silicon from expanding outwards during lithiation and permits the formation of a stable SEI on its surface.<sup>164</sup> Similar strategies can be utilized for high capacity electrodes with large volume changes for SIBs.

Some efforts have been made in developing electrodes with more than one Na ion insertion per formula unit. These materials such as Na<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub>,<sup>81,86–88</sup> Na<sub>1.5</sub>VPO<sub>4.8</sub>F<sub>0.7</sub>,<sup>94</sup> Na<sub>3</sub>MnCO<sub>3</sub>PO<sub>4</sub>,<sup>106</sup> Na<sub>4</sub>Co<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>P<sub>2</sub>O<sub>7</sub>,<sup>107</sup> Na<sub>2</sub>MnFe(CN)<sub>6</sub>,<sup>114,161</sup> and Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub><sup>142</sup> do exhibit relatively high specific capacities, and in some cases higher than 150 mA h g<sup>−1</sup>.<sup>106,142</sup> However, further improvements are needed since few offer specific capacities higher than 200 mA h g<sup>−1</sup> with long cycle life. Future experimental efforts guided by first principles calculations<sup>22,102,165</sup> are expected to accelerate research in this direction to develop electrodes with high specific capacities and superior cycle stability.

## 10. Conclusion

The abundance and low cost of sodium has made it a promising alternative to lithium for an ion battery system. Research has shown that there are many Na chemistries that are suitable for SIBs. Some Na based materials with similar structure to that of Li analogues have been found to be electrochemically active where the latter have not, which makes the research of Na based electrodes an exciting endeavor. The larger atomic weight and ionic radius of Na compared to Li generates the need for specialized structures that can facilitate strain and offer short diffusion paths for ion transport during cycling. Further research needs to be done for electrode materials of SIBs in order to determine the right structures and configuration for an

optimized cell. Another key element to optimize the SIB is electrolyte investigation and selection. The effect of an electrolyte and additives on SEI layer formation and ion transport can be detrimental if not chosen correctly for specific anodic and cathodic materials. Electrolytes that are stable and do not continually decompose with cycling are preferred, and the use of additives with low salt concentration can offer these properties. Ionic liquid based electrolytes are beginning to be researched and are promising for higher voltage SIBs for transition metal redox at higher potentials, but it is still a new concept and needs continued research for effective utilization in SIBs. Overall, the outlook for the use of SIBs in current technology is favorable as long as cost, safety, cyclic stability, and energy density guidelines are met.

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