



**Research from Seoul National University, Korea.**

Atomic layer deposition of  $\text{HfN}_x$  films and improving the film performance by annealing under  $\text{NH}_3$  atmosphere


A new atomic layer deposition (ALD) method for depositing hafnium nitride is introduced. Before the primary ALD cycle, a pre-cleaning step was added to remove oxygen and OH groups inside the chamber to deposit a low-oxygen concentration nitride thin film. Atomic-layer-deposited hafnium nitride is anticipated to be an essential component of ferroelectric nanodevice electrodes due to its high compatibility with hafnium-based oxides and low bulk resistance.

**As featured in:**



See Cheol Seong Hwang *et al.*,  
*J. Mater. Chem. C*, 2023, **11**, 8018.

## PAPER

[View Article Online](#)  
[View Journal](#) | [View Issue](#)Cite this: *J. Mater. Chem. C*, 2023, **11**, 8018Atomic layer deposition of  $\text{HfN}_x$  films and improving the film performance by annealing under  $\text{NH}_3$  atmosphere†Seung Kyu Ryoo,<sup>a</sup> Beom Yong Kim,<sup>ab</sup> Yong Bin Lee,<sup>a</sup> Hyeon Woo Park,<sup>a</sup> Suk Hyun Lee,<sup>a</sup> Minsik Oh,<sup>a</sup> In Soo Lee,<sup>a</sup> Seung Yong Byun,<sup>a</sup> Doo Sup Shim,<sup>ab</sup> Jae Hoon Lee,<sup>ab</sup> Ha Ni Kim,<sup>ab</sup> Kyung Do Kim<sup>a</sup> and Cheol Seong Hwang  <sup>✉</sup>

$\text{HfN}_x$  films were deposited by atomic layer deposition (ALD) using  $\text{Hf}[\text{N}(\text{CH}_3)(\text{C}_2\text{H}_5)]_4$  (TEMAHf) and  $\text{NH}_3$  as the Hf-precursor and reactant gas, respectively. A precleaning step using TEMAHf as a reducing agent was devised to minimize the oxygen concentration in the as-deposited film. Consequently, the oxygen concentration in the film was reduced by  $\sim 66\%$ . In addition, the carbon impurity concentration caused by the side effects of the precleaning step and the remaining oxygen concentration were effectively reduced through post- $\text{NH}_3$  annealing. The oxygen concentration inside  $\text{HfN}_x$  decreased as the annealing temperature increased.  $\text{HfN}_x$  films annealed under  $900^\circ\text{C}$  showed dielectric properties similar to hafnium oxynitride ( $\text{HfO}_x\text{N}_y$ ). However, films annealed over  $950^\circ\text{C}$  transformed into a more electrically conducting HfN film, showing a resistivity of  $\sim 10^6 \mu\Omega \text{ cm}$ .

Received 20th September 2022,  
Accepted 1st December 2022

DOI: 10.1039/d2tc03964h

[rsc.li/materials-c](https://rsc.li/materials-c)

## 10th Anniversary Statement

Congratulations on the 10th anniversary of the Journal of Materials Chemistry C (JMCC). For 10 years, JMCC has published excellent studies that considered the chemical properties of materials in numerous domains. Research on the deposition and chemical reaction of thin films in the semiconductor-related field has been extensively reported in JMCC. Much of my research on atomic layer depositions and their chemical reactions has been published by my peers in JMCC. I hope this submission can further enhance JMCC's contribution to the field.

## Introduction

$\text{HfO}_2$ -based ferroelectric (FE) thin films have become highly viable materials for various FE devices for microelectronics.<sup>1</sup> However, as the FE orthorhombic phase does not have ground state energy, diverse factors influence the desired phase formation. The type of electrode is one of the factors that strongly influences the formation of  $\text{HfO}_2$ -based thin films into the desired orthorhombic phase and achieve FE performance.<sup>2–6</sup> TiN electrode is the most commonly used metal electrode in the metal–ferroelectric–metal (MFM) capacitor structure. However, it readily oxidizes during the FE film growth and post-deposition or post-metallization annealing step, resulting in

defective dielectric layers ( $\text{TiO}_x$ ) at the interface with the FE film. These layers adversely interfere with FE performance, resulting in polarization degradation and reliability issues.<sup>7–10</sup>

Kim *et al.* reported that a thin (1–2 nm)  $\text{HfO}_x\text{N}_y$  ( $x = \sim 0.61$ ,  $y = \sim 0.72$ ) interfacial layer, grown by reactive sputtering, could efficiently suppress such adverse impacts by TiN oxidation.<sup>9</sup> The thin interfacial layers were *in situ* or *ex situ* oxidized during their growth and subsequent atomic layer deposition (ALD) of the  $\text{Hf}_{0.5}\text{Zr}_{0.5}\text{O}_2$  (HZO) FE film. This oxidized interfacial layer protected the TiN film from oxidation-induced degradation. Interestingly, the oxidized (N-containing)  $\text{HfO}_2$  layer also constituted part of the FE film, further enhancing the HZO film crystallization into the orthorhombic phase. Owing to the favorable impact of the  $\text{HfO}_x\text{N}_y$  interfacial layer, the remanent polarization ( $P_r$ ) of the 10 nm-thick HZO film was improved from  $\sim 15$  to  $\sim 20 \mu\text{C cm}^{-2}$ .<sup>9</sup>

The most probable configuration of the MFM capacitor for next-generation semiconductor devices is the three-dimensional node type with an extreme aspect ratio. Therefore, all layers, including the  $\text{HfN}_x$  interfacial layer, should be deposited using a

<sup>a</sup> Department of Materials Science and Engineering, and Inter-University Semiconductor Research Center, Seoul National University, Seoul, 08826, Republic of Korea. E-mail: [cheolsh@snu.ac.kr](mailto:cheolsh@snu.ac.kr)

<sup>b</sup> SK Hynix Semiconductor, Inc., Icheon, Gyeonggi, 17336, Republic of Korea

† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d2tc03964h>

method with high conformality, such as ALD. Thus, this study reports the experimental results of the thermal ALD of the  $\text{HfN}_x$  ( $x > 1$ ) film using  $\text{Hf}[\text{N}(\text{CH}_3)(\text{C}_2\text{H}_5)]_4$  (TEMAHf) and  $\text{NH}_3$  gas as the Hf-precursor and N-source, respectively. However, there have been several reports on the plasma-enhanced ALD (PEALD) of the  $\text{HfN}_x$  film, using TEMAHf and  $\text{H}_2$  plasma,<sup>11,12</sup> and  $\text{Hf}[(\text{CH}_3)_2\text{N}]_4$  (TDEMAHf) and  $\text{N}_2$  plasma.<sup>13</sup> The former studies reported a low resistivity of 2000–7000  $\mu\Omega$  cm of the film, and the latter reported low contents of oxygen and carbon impurities (<5%). The bulk metallic HfN has a resistivity as low as  $\sim 33 \mu\Omega$  cm, so several kilo  $\mu\Omega$  cm indicated that the film contained significant impurities or insulating  $\text{Hf}_3\text{N}_4$  phases. Moreover, PEALD usually has a concern about ensuring sufficient step coverage of the growing films over the extreme aspect ratio structure. Therefore, the thermal ALD of the HfN film is preferred, which has not yet been reported.

However, the thermal ALD of the HfN film exhibits several fundamental problems. First, under normal ALD conditions, the insulating  $\text{Hf}_3\text{N}_4$  has higher thermodynamic stability than metallic HfN due to the preference for the +4 oxidation state of Hf ions in  $\text{Hf}_3\text{N}_4$  over the +3 oxidation state in HfN.<sup>14,15</sup> This problem is further strengthened by the +4 oxidation state of the Hf ion in the TEMAHf precursor. Second, the  $\text{HfN}_x$  is highly vulnerable to oxidation by the extremely high oxidation potential of Hf.<sup>16</sup> Therefore, a trace amount of oxygen or  $\text{H}_2\text{O}$  in the ALD chamber would substantially oxidize the growing film. This problem was the case in this experiment owing to the unavoidable contamination of the inner wall of the ALD chamber by oxygen and water molecules when the sample was transferred from the load-lock chamber to the ALD reaction chamber. Therefore, an additional process step, the *in situ* precursor pulse step before the onset of the ALD (the precleaning step) was devised to minimize unwanted oxidation issues in this study.

Additionally, the experimental results on the chemical vapor deposited  $\text{HfN}_x$  film using similar precursors demonstrated that the post-deposition annealing could further eliminate the impurities under the appropriate atmosphere.<sup>17</sup> Inspired by such a report, this study attempts to post-anneal the ALD films under the  $\text{NH}_3$  ambient to crystallize and decrease the contaminants. However, achieving a metallic HfN film was not the primary goal of the study because the interfacial layer was oxidized to a slightly N-containing  $\text{HfO}_2$  layer during the MFM capacitor process. Rather than that, achieving low carbon contamination, controlling the oxygen concentration and the oxidation state of Hf with annealing temperature is the primary goal of this study, as different phases of oxynitrides ( $\text{Hf}_7\text{O}_{11}\text{N}_2$ ,  $\text{Hf}_7\text{O}_8\text{N}_4$ ,  $\text{Hf}_2\text{ON}_2$ ) and nitrides (HfN,  $\text{Hf}_3\text{N}_4$ ) can differently affect the HZO film property.

## Experimental

$\text{HfN}_x$  films were deposited on p-type Si (100) and 50 nm-thick  $\text{SiO}_2$ /p-type Si (100) substrates by employing a thermal ALD tool (Atomic-Classice, CN1). TEMAHf was used as a Hf-precursor,

and  $\text{NH}_3$  gas was used as a reactant. The temperatures of the bubbler-type TEMAHf canister and the gas lines were maintained at 70 °C and 110 °C, respectively. The purity of  $\text{NH}_3$  and Ar gases is 99.9995% and 99.9999%.  $\text{NH}_3$  and Ar purge gas flow rates were 50 and 950 standard cubic centimeters per min (sccm), respectively. The  $\text{HfN}_x$  films were annealed under an  $\text{N}_2$  or  $\text{NH}_3$  gas atmosphere using a rapid thermal process (RTP, ULTECH, and Real RTP-100). The film thickness was measured using scanning electron microscopy (SEM, Carl Zeiss, SIGMA) and Cs-corrected scanning transmission electron microscopy (Cs-STEM, JEOL, JEM-ARM200F). Grazing-angle incidence X-ray diffraction (GIXRD, PANalytical, X'pert Pro, angle of incidence = 0.5°) and Cs corrected TEM (Cs-TEM, JEOL, JEM-ARM200F) was used to analyze the crystal structure of the  $\text{HfN}_x$  films. The topography and grain configuration of the films were analyzed using atomic force microscopy (AFM, Park Systems, NX10) and SEM, respectively. The composition and impurity content of the films were analyzed using Auger electron spectroscopy (AES, ULVAC-PHI, PHI-700). In addition, X-ray photoelectron spectroscopy (XPS, PHI, Versaprobe III) analysis was performed to determine the chemical bonding state of  $\text{HfN}_x$  films. A four-point probe measurement was used to estimate the resistivity of the films. However, the electrical properties of the insulating  $\text{HfN}_x$  films, which did not show resistivity with the four-point probe, were investigated by fabricating a metal–insulator–metal (MIM) capacitor structure.

A 50 nm-thick TiN was used as the bottom electrode, and 30 nm-thick Pt/5 nm-thick TiN was used as the top electrode, where the TiN layer contacts the  $\text{HfN}_x$  film. The TiN and Pt layers were deposited by direct current (DC) reactive sputtering. The top electrode was patterned by a shadow mask having a diameter of  $\sim 300 \mu\text{m}$ . Capacitance–voltage ( $C$ – $V$ ) and current density–voltage ( $J$ – $V$ ) characteristics were measured using the HP4194A impedance analyzer and HP4140D picoammeter/DC voltage source, respectively.

## Results and discussion

### Atomic layer deposition of $\text{HfN}_x$ films

The process sequences with and without the precleaning step procedures, from the Si (or TiN) substrates loading into the ALD chamber to their unloading, are shown in Fig. 1(a and b), respectively. All samples were typically deposited at a substrate temperature of 200 °C, but several samples were grown at different temperatures to examine the growth temperature effect. In both processes, Ar gas was flown for 60 min immediately after loading the substrate from the load-lock chamber to the ALD chamber to decrease the oxygen impurities that may have diffused into the ALD chamber. As shown later, severe oxygen contamination was found in the as-grown film, indicating that the Ar gas flow before the onset of the ALD process was insufficient to remove the residual oxygen-containing species. Therefore, an *in situ* precleaning step was added to reduce the oxygen impurities after the Ar gas purge step.



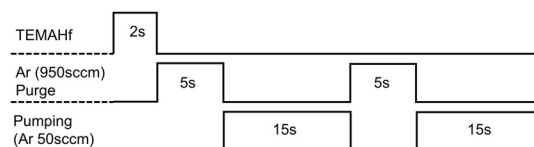
(a) With precleaning step



(b) Without precleaning step



(c) Precleaning step



(d) Main ALD step

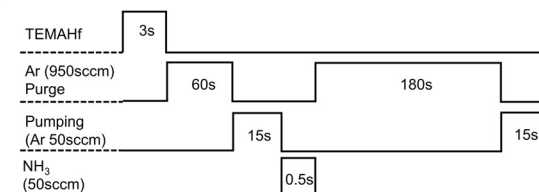


Fig. 1 Atomic layer deposition (ALD) process flow of  $\text{HfN}_x$  from substrate loading to unloading, (a) with and (b) without the precleaning step. Pulse time and Ar flow of one cycle of (c) precleaning step and (d) main ALD step.

One cycle of the precleaning step consisted of injecting TEMAHf for 2 s, Ar purging with 950 sccm for 5 s, and another Ar purging with 50 sccm for 15 s twice, as shown in Fig. 1(c). A consecutive pulse of Ar with various flow rates was included to ensure the extraction of the remaining TEMAHf precursor, oxygen, from the chamber. The main  $\text{HfN}_x$  ALD cycle was composed of pulsing TEMAHf for 3 s, Ar purging with 950 sccm for 60 s + 50 sccm for 15 s,  $\text{NH}_3$  gas pulse (50 sccm)

for 0.5 s, and Ar purging with 950 sccm for 180 s + 50 sccm for 15 s, as shown in Fig. 1(d).

Fig. 2(a–d) shows variations in the growth per cycle (GPC) of the  $\text{HfN}_x$  film with the change in the ALD parameters. All the  $\text{HfN}_x$  films were grown with the precleaning step. Self-limiting behavior, a typical characteristic of ALD, was observed for precursor injection and purge times. The times of the four steps constituting the ALD process are displayed inside each



Fig. 2 Variation of growth per cycle (GPC) of  $\text{HfN}_x$ , deposited with the precleaning step, with the change in (a)  $\text{Hf}(\text{N}(\text{CH}_3)(\text{C}_2\text{H}_5))_4$  (TEMAHf) feeding time, (b)  $\text{NH}_3$  feeding time, (c) TEMAHf purge time, and (d)  $\text{NH}_3$  purge time. (e) Increasing thickness of  $\text{HfN}_x$  film with ALD cycle at different temperature, and (f) change in  $\text{HfN}_x$  GPC with increasing substrate temperature.

graph (precursor feeding time/Ar purge time/ $\text{NH}_3$  feeding time/Ar purge time; 'X' represents a variable). Fig. 2(a) shows the change in GPC with increasing TEMA<sub>Hf</sub> injection time. The increase rate of GPC decreased at 1 s of TEMA<sub>Hf</sub> injection time and was saturated after 3 s. Fig. 2(b) shows the GPC variation as the  $\text{NH}_3$  injection time increases. The GPC was saturated even at 0.5 s. The saturated GPC was 0.135 nm per cycle for both the TEMA<sub>Hf</sub> and  $\text{NH}_3$  injections. Fig. 2(c and d) show the GPC with increasing purge time of the two purge steps. It was found that a purge time of more than 60 s (or 180 s) is required to sufficiently remove the excess TEMA<sub>Hf</sub> precursor (or byproducts formed by the reaction with  $\text{NH}_3$  gas). Previous papers reported that  $\text{NH}_3$  forms non-volatile adducts during deposition,<sup>18</sup> requiring a more extended purge to remove such byproducts. Based on these results, the ALD cycle of the HfN film consisted of the following steps with an intermittent pumping step (Ar 50 sccm) for 15 s after each purge step (see Fig. 1(d)): TEMA<sub>Hf</sub> feeding (3 s), Ar purge (60 s),  $\text{NH}_3$  feeding (0.5 s), and Ar purge (180 s).

Fig. 2(e) shows that the thickness linearly increases as the ALD cycle numbers increase. High linearity was observed with almost no incubation cycles. The GPC calculated by the slope was  $\sim 0.130$  nm per cycle, which agrees with the saturated growth rate shown in Fig. 2(a–d). Fig. 2(f) shows the GPC with increasing deposition temperatures. An ALD window with a constant GPC was observed between 120 and 200 °C, but the higher growth temperatures ( $> 250$  °C) increased the GPC, suggesting the thermal decomposition of the precursor. It has been reported that TEMA<sub>Hf</sub> starts to thermally decompose from 250 °C to 300 °C.<sup>19,20</sup>

### Effect of the precleaning step on the impurity concentration of HfN<sub>x</sub> film

Fig. 3(a and b) show the AES depth profiles of the 15 nm-thick HfN<sub>x</sub> films without and with the precleaning step, respectively, and Fig. 3(c and d) show the schematic diagrams for the deposition process of the corresponding films. The film

without the precleaning step had an oxygen concentration as high as  $\sim 40$ –60%, and the chemical composition was non-uniform across the film thickness. Even though the chamber was purged with Ar gas for 1 hour before the onset of the main ALD cycles, oxygen impurities were not effectively removed from the ALD chamber. In addition, although the  $\text{NH}_3$  gas concentration must be higher than that of oxygen impurities near the substrate when injecting  $\text{NH}_3$ , the much higher reactivity of Hf atoms (or TEMA<sub>Hf</sub> molecules) toward oxygen-containing species than  $\text{NH}_3$  oxidized the growing film. Therefore, the film was vulnerable to oxidation, as shown in Fig. 3(c).

Moreover, the high oxidation potential of TEMA<sub>Hf</sub> could be utilized to remove the oxygen-containing species within the ALD chamber in the precleaning step. The TEMA<sub>Hf</sub> precursor, which may react with the oxygen-containing species on the substrate surface, within the chamber volume, and on the inner surface, was injected without the  $\text{NH}_3$ . The subsequent Ar purge and pumping cycles removed the reaction byproducts and unreacted TEMA<sub>Hf</sub> molecules, as shown in the upper schematics of Fig. 3(d). Because of including such a precleaning step, the oxygen concentration in the deposited film decreased to  $< \sim 20\%$  (Fig. 3(b)). The high surface oxygen concentration was owing to the adverse oxidation of the film during the air exposure before the AES analysis. Additionally, the chemical composition of the film became more uniform. However, the carbon impurity concentration increased to  $\sim 8\%$ , indicating relatively lower reactivity of  $\text{NH}_3$  compared with the oxygen or water molecules during the ALD (Lower panel schematics of Fig. 3(d)). However, post-annealing in the  $\text{NH}_3$  ambient could decrease these unwanted oxygen and carbon concentrations, as shown later.

The TEMA<sub>Hf</sub> molecules injected during the precleaning step grew an additional thickness of only  $\sim 0.6$  nm, which could be identified from the y-axis intercept, as demonstrated in Fig. 2(e).

Fig. S1(a) of the online ESI† shows that thickness increases as a function of the ALD cycle number. All samples were



Fig. 3 Auger electron spectroscopy depth profile of 15 nm HfN<sub>x</sub> film deposited (a) without and (b) with a cleaning step and flowchart illustrating how the films are deposited (c) without and (d) with cleaning step.



Fig. 4 AES depth profile of the  $\text{HfN}_x$  film annealed at 900 °C with (a)  $\text{N}_2$  and (b)  $\text{NH}_3$  ambient. (c) Grazing-angle incidence X-ray diffraction (GIXRD) analysis of films annealed at various temperatures, X-ray photoelectron spectroscopy of films annealed at various temperatures, and (e) roughness. All films were deposited with the precleaning step, with a thickness of 15 nm, and annealing was conducted in  $\text{NH}_3$  ambient, except for (a).

deposited without the precleaning step. Fig. S1(b–d) (ESI†) shows the AFM and SEM images of TiN before and after the precleaning step. Compared to the thickness increase of  $\text{HfN}_x$  film deposited with the precleaning step in Fig. 2(e), GPC remained almost constant (0.125 nm per cycle), but an incubation cycle of  $\sim 16$  cycles was observed. Therefore, some of the precursors are adsorbed on the substrate during the precleaning step, which enhances precursor adsorption for subsequent film growth, thereby eliminating the incubation cycle.

The roughness increased from 0.29 nm to 0.34 nm after the precleaning step owing to TEMAHf precursor adsorption, which coincides with the results illustrated in Fig. S1(a) (ESI†). The grain diameter of TiN increased from 6.58 nm to 7.01 nm after the precleaning step.

#### Annealing effect on the films deposited with the precleaning step

To further lower the oxygen concentration in the  $\text{HfN}_x$  films and to remove carbon impurities caused by the precleaning step, annealing was carried out at 900 °C in an  $\text{N}_2$  or  $\text{NH}_3$  gas atmosphere for 60 s. Fig. 4(a and b) show the AES depth profile of  $\text{HfN}_x$  films annealed in  $\text{N}_2$  and  $\text{NH}_3$  gas atmospheres, respectively. All the  $\text{HfN}_x$  films were grown with the precleaning step. The annealing in the  $\text{N}_2$  gas atmosphere decreased the carbon concentration from  $\sim 8\%$  to  $\sim 4\%$ . However, it slightly increased the oxygen concentration, which may have been caused by the residual oxygen concentration in the  $\text{N}_2$  gas.

In contrast, the annealing in the  $\text{NH}_3$  atmosphere almost completely removed the carbon impurity ( $< 2\%$  of residual concentration). Notably, oxygen impurities were significantly reduced to  $\sim 5\%$  in the bulk film. The relatively high oxygen concentration near the surface is due to adverse oxidation during air exposure.  $\text{NH}_3$  gas has a higher chemical reactivity for nitridation than  $\text{N}_2$ . Therefore, when  $\text{HfN}_x$  film is annealed under the  $\text{NH}_3$  atmosphere,  $\text{NH}_3$  protects the thin film from oxidation and replaces loosely bonded oxygen and carbon impurities with nitrogen.

Fig. 4(c) shows the GIXRD spectra of the films as-deposited and annealed under the  $\text{NH}_3$  atmosphere for 60 s at various temperatures (700–1100 °C). The broad peak at  $2\theta = 30\text{--}35^\circ$  of the as-deposited film was attributed to  $\text{HfN}_x$  ( $x > 1$ ) in previous studies that reported ALD<sup>14</sup> and chemical vapor deposition (CVD) of  $\text{HfN}_x$  films.<sup>17</sup> They also adopted TEMAHf and  $\text{NH}_3$ , as in this study, and reported that the deposited film had a similar composition to the  $\text{Hf}_3\text{N}_4$  instead of  $\text{HfN}$ .<sup>14</sup> Phase transformation from  $\text{HfN}_x$  did not occur even when the films were annealed at 900 °C, showing a similar GIXRD pattern to the as-deposited sample. However, when the film was annealed at 1000 °C,  $\text{HfN}$  (111) and  $\text{HfN}$  (200) peaks appeared, suggesting the crystallization of the film into the  $\text{HfN}$  phase. This result resembles the experimental result of Wang *et al.*,<sup>17</sup> where the phase change from  $\text{HfN}_x$  ( $x > 1$ ) to the  $\text{HfN}$  phase was observed when the film was heat treated above 950 °C under the vacuum condition ( $10^{-5}$  Pa). When the annealing temperature was

further increased to 1100 °C, oxygen contamination in the RTP chamber or the remaining oxygen impurities in the film reacted with the HfN, showing an  $\text{HfO}_x\text{N}_y$  peak near  $2\theta = \sim 32^\circ$ .

Fig. 4(d) shows the XPS Hf 4f spectra of the 15 nm-thick films, which were as-deposited and post-annealed at 1000 °C under the  $\text{NH}_3$  atmosphere. A thin surface layer (3 nm) was *in situ* etched by  $\text{Ar}^+$  ions in the XPS chamber to remove the surface oxidation layer by air exposure. Because there are various oxynitrides ( $\text{HfO}_x\text{N}_y$ ) as well as oxide ( $\text{HfO}_2$ ) and nitride ( $\text{HfN}$ ,  $\text{Hf}_3\text{N}_4$ ) inside the film, the deconvolution of each peak was challenging. Therefore, the two XPS spectra were compared based on the standard Hf 4f peak positions of the  $\text{HfO}_2$  and HfN phases. Because the electronegativity of oxygen is higher than that of nitrogen, the binding energy of Hf 4f electrons in oxides is higher than that of nitrides.<sup>21–25</sup> Moreover, the binding energy of Hf 4f in  $\text{Hf}_3\text{N}_4$  is higher than that in HfN. Therefore, the highest and lowest binding energy peaks that the  $\text{HfN}_x$  thin films can show are the Hf 4f<sub>5/2</sub> peak of  $\text{HfO}_2$  and the Hf 4f<sub>7/2</sub> peak of HfN, respectively.

The as-deposited film showed relatively well-separated peaks, with its higher binding energy peak position being consistent with the Hf 4f<sub>5/2</sub> peak of  $\text{HfO}_2$ . However, compared with the as-deposited film, the XPS spectra of the annealed  $\text{HfN}_x$  film showed a non-separated peak, and its position shifted toward the low binding energy direction. In addition, the intensity was notably increased near 14.9 eV, which corresponded to the HfN 4f<sub>7/2</sub> peak. This finding indicates that oxygen was released from the film when the film was annealed, and the oxidation state of Hf transformed from 4+ to 3+ state, showing an increase in HfN bonding.

Fig. 4(e) shows the variations in the surface roughness of the 15 nm-thick films annealed at various temperatures, estimated by the AFM. The AFM images are shown in Fig. S2 (ESI†). Roughness increased with increasing temperature but did not show a substantial change until the annealing temperature increased to 1000 °C. Above 1000 °C, it significantly increased as the film crystallized (Fig. 4(c)). Fig. S3 (ESI†) shows the SEM images of the corresponding samples. The film showed smooth and fine-grained surface morphologies of up to 700 °C, and a notable increase in grain size of over 1000 °C. When the film was crystallized by the RTA at >900 °C, the grain diameter was  $\sim 7$ –8 nm.

To determine the conformity of the ALD deposition, HfN was deposited on a contact hole structure with an opening diameter and depth of 54.8 and 385.7 nm, respectively (aspect ratio is  $\sim 1:7$ ). Fig. 5(a) shows the cross-section of the hole structure filled with the as-deposited  $\text{HfN}_x$  film observed by the Cs-STEM. The entire contact hole region was filled with the film, and only a tiny seam could be observed at the center portion, suggesting conformal film growth. Fig. 5(b) shows the Cs-TEM image of the red square area in Fig. 5(a) and (c) shows the fast-Fourier transformed (FFT) image of the red area in Fig. 5(b). The film showed no evidence of crystallization, but Fig. 5(c) shows broad rings and faint spots, suggesting the inclusion of sub-nano-scale crystalline nuclei. This finding agrees with the broad GIXRD peak from the as-deposited, as shown in Fig. 4(c).



Fig. 5 (a) Cs-corrected scanning transmission electron microscopy (Cs-STEM) image of  $\text{HfN}_x$  film deposited on contact hole structure with an aspect ratio of 1:7, (b) Cs-corrected TEM image of red square area in (a) and (c) fast-Fourier transformed image of red box area in (b).

### Annealing effect on the films deposited without the precleaning step

Fig. 6(a) shows the GIXRD spectra of the films deposited without the precleaning step, which are annealed under the  $\text{NH}_3$  atmosphere for 60 s at various temperatures (700–1000 °C). As-deposited films showed similar characteristics to films deposited with the precleaning step, having broad peaks at  $2\theta = 30$ – $35^\circ$ . The film annealed at 700 °C showed intense peaks that could not be assigned to  $\text{HfO}_2$ ,  $\text{Hf}_3\text{N}_4$ , or HfN. When the chemical composition of mixed oxide and nitride under this growth condition was considered, these peaks could be reasonably assigned to the  $\text{HfO}_x\text{N}_y$  phase. The crystallization temperature of hafnium oxides with a thickness of 10–20 nm is in the range of 400–700 °C,<sup>26–29</sup> which is below the phase transformation temperature of  $\text{Hf}_3\text{N}_4$  to HfN. Therefore, the higher oxygen concentration of the grown film without the precleaning step may induce crystallization even at 700 °C.

It is notable that increasing the RTA temperature up to 900 °C shifted the  $\text{HfO}_x\text{N}_y$  peak to a lower angle and decreased the diffraction peak intensities. This behavior could be explained by the gradual elimination of oxygen from the film as the RTA temperature increases, which must be accompanied by decreased crystallization since crystallization into the nitride has not occurred. At 1000 °C, the film crystallized into a mixture of  $\text{HfO}_2$  and HfN. Fig. 6(b and c) show the roughness and grain size changes with the RTA temperature, respectively. The raw AFM and SEM images for these values are included in Fig. S4 and S5 of ESI,† respectively. They increased insignificantly to 1000 °C, in contrast to the samples deposited with the precleaning process, because the film was already crystalline even at 700 °C. The grain diameter was smaller than that of the annealed films deposited with the precleaning step.

Therefore, it can be concluded that  $\text{NH}_3$  annealing effectively decreases the oxygen concentration in the as-deposited film. However, the precleaning step is required for high-quality nitride film growth. If not, even after RTA in the  $\text{NH}_3$





Fig. 6 (a) GIXRD, (b) roughness, and (c) grain diameter dependence on the annealing temperature of 15 nm HfN<sub>x</sub> films deposited without the precleaning step. All samples were annealed at NH<sub>3</sub> ambient.

atmosphere, the film will eventually crystallize into a mixture of oxide and nitride.

### Electrical properties of films deposited with the precleaning step

The electrical properties of 15 nm-thick as-deposited and annealed films deposited with the precleaning step were investigated. Fig. 7(a) shows the *J*-*V* curves of the films, indicating that the as-deposited and annealed films up to 700 °C are insulating. This finding agrees with the phase analysis in Fig. 4(c), where the change to conducting HfN did not appear up to an annealing temperature of 900 °C. However, the film annealed at 1000 °C showed a very high current density, corroborating the evolution of the HfN phase at this temperature (Fig. 4(c)). The film resistivity was estimated using the four-point probe method, and the results are summarized in Fig. 7(b). The as-deposited film and the films annealed below 900 °C were too insulating to measure their resistivity values by applying the given method. When the film was annealed at 950 °C, its resistivity was as high as  $\sim 3 \times 10^7 \mu\Omega \text{ cm}$ , suggesting the incomplete transition into the conducting HfN phase. When the annealing temperature increased to 1000 °C, the resistivity decreased to  $\sim 1 \times 10^6 \mu\Omega \text{ cm}$ , suggesting more HfN formation. However, a further resistivity decrease was not

observed with an even higher annealing temperature, which agrees with the results of Wang *et al.*<sup>17</sup> The  $1 \times 10^6 \mu\Omega \text{ cm}$  of resistivity is significantly larger than the bulk resistivity of HfN ( $33 \mu\Omega \text{ cm}$ ). This high resistivity indicates the presence of an untransformed Hf<sub>3</sub>N<sub>4</sub> phase and the involvement of insulating oxides, which can be observed in the broad XPS peak shown in Fig. 4(d).

The dielectric constant of the insulating HfN<sub>x</sub> was measured through *C*-*V* measurements. Fig. 7(c) shows the dielectric constant of 15 nm-thick films with various annealing temperatures. As shown in Fig. S6 of ESI,<sup>†</sup> the dielectric loss was sufficiently low ( $< 0.02$ ) to ensure the reliability of capacitance. The dielectric constant of the as-deposited film was  $\sim 19$  and increased to  $\sim 30$  when annealed at 700 °C. Because the dielectric constants of HfO<sub>2</sub> and Hf<sub>3</sub>N<sub>4</sub> are  $\sim 15$ – $20$  and  $\sim 31$ ,<sup>30</sup> respectively, the increment in the dielectric constant with temperature is caused by the removal of oxygen in the film, which occurs more effectively at a higher temperature.

Fig. 8(a and b) show the Cs-STEM images of HfN<sub>x</sub> annealed at 450 °C and 700 °C, respectively. They show that the interfacial layer between TiN and HfN does not differ with the annealing temperature. However, the film after 700 °C annealing shows local crystallization, which may contribute to the dielectric constant increase.

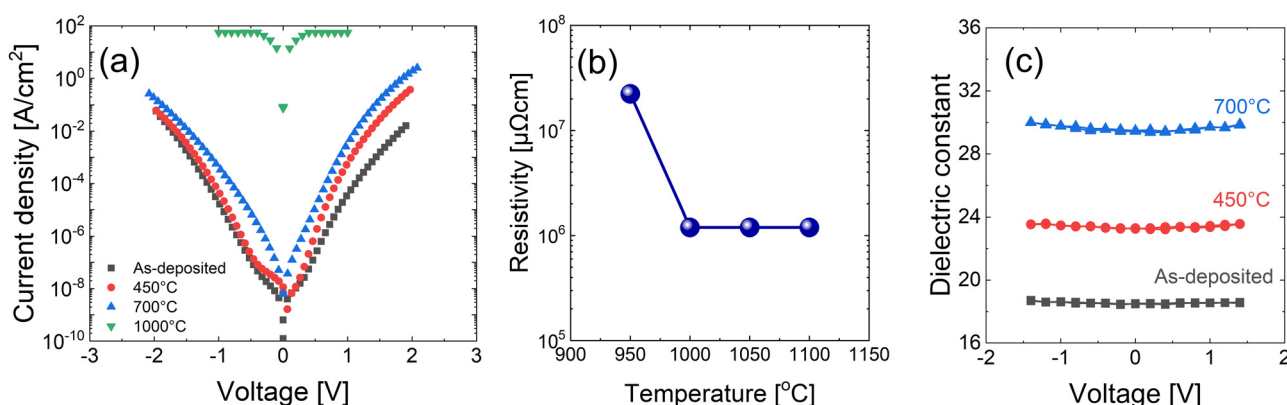


Fig. 7 (a) Current density–voltage graph, (b) resistivity, and (c) dielectric constant–voltage analysis of 15 nm HfN<sub>x</sub> films annealed at various temperatures under NH<sub>3</sub> ambient.





Fig. 8 Cs-STEM image of  $\text{HfN}_x$  annealed at (a) 450 °C and (b) 700 °C. All films were deposited with pre-cleaning step.

## Conclusions

Thermal ALD of the  $\text{HfN}_x$  film was attempted using TEMA $\text{Hf}$  and  $\text{NH}_3$  as the  $\text{Hf}$ - and  $\text{N}$ -sources, respectively, at a typical substrate temperature of 200 °C. Although the adopted precursor and reactant showed a facile ALD reaction route *via* the ligand exchange reaction, the residual oxygen-containing species,  $\text{O}_2$  and  $\text{H}_2\text{O}$ , in the reaction chamber severely contaminated the film with oxygen. The problem could not be solved even with a prolonged Ar purge step. Therefore, an *in situ* precleaning step was devised to eliminate the adverse oxygen-containing species within the ALD chamber after loading the substrate. This precleaning step was composed of repeated pulsing and purging of the TEMA $\text{Hf}$  precursor without introducing  $\text{NH}_3$  gas. The precleaning step resulted in minimal film (perhaps  $\text{HfO}_x$ ) growth ( $\sim 0.6$  nm) on the substrate but substantially decreased the oxygen concentration in the film from 40–50% to  $\sim 20\%$ . The ALD-specific saturated growth was confirmed with a saturated growth per cycle of  $\sim 0.13$  nm per cycle.

However, the lower chemical reactivity of  $\text{NH}_3$  toward the TEMA $\text{Hf}$  precursor, compared with oxygen-containing species, resulted in relatively high oxygen ( $\sim 20\%$ ) and carbon contamination ( $\sim 8\%$ ). Therefore, post-deposition RTA under the  $\text{NH}_3$  atmosphere further decreased the oxygen and carbon contents. The post-annealing at temperatures higher than 900 °C efficiently decreased the oxygen and carbon concentrations to  $\sim 5\%$  and  $2\%$ , respectively. However, crystallization into the conducting  $\text{HfN}$  layer was achieved after annealing at temperatures higher than 1000 °C. The resistivity of the crystallized film was  $\sim 1 \times 10^6 \mu\Omega \text{ cm}$ , which is 4–5 orders of magnitude higher than the bulk  $\text{HfN}$ . Such a higher resistivity and high annealing temperature ( $> 1000$  °C) render the ALD  $\text{HfN}_x$  film inappropriate as the electrode for  $\text{HfO}_2$ -based dielectric and ferroelectric films. Instead, it may be useful as the buffer layer to suppress the adverse chemical interaction between the dielectric/ferroelectric film and TiN electrode, which was reported for the sputter-deposited  $\text{HfO}_x\text{N}_y$  film.

## Author contributions

S. K. R. and B. Y. K. contributed equally to this study.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

This work was supported by the National Research Foundation of Korea (No. 2020R1A3B2079882).

## References

- U. Schroeder, M. H. Park, T. Mikolajick and C. S. Hwang, *Nat. Rev. Mater.*, 2022, 1–17.
- M. Hyuk Park, H. Joon Kim, Y. Jin Kim, W. Lee, H. Kyeom Kim and C. Seong Hwang, *Appl. Phys. Lett.*, 2013, **102**, 112914.
- M. Hyuk Park, H. Joon Kim, Y. Jin Kim, T. Moon and C. Seong Hwang, *Appl. Phys. Lett.*, 2014, **104**, 072901.
- S. J. Kim, J. Mohan, S. R. Summerfelt and J. Kim, *JOM*, 2019, **71**, 246–255.
- M. H. Park, H. J. Kim, Y. J. Kim, W. Jeon, T. Moon and C. S. Hwang, *Phys. Status Solidi RRL*, 2014, **8**, 532–535.
- M. H. Park, H. J. Kim, Y. J. Kim, W. Lee, T. Moon, K. D. Kim and C. S. Hwang, *Appl. Phys. Lett.*, 2014, **105**, 072902.
- W. Hamouda, A. Pancotti, C. Lubin, L. Tortech, C. Richter, T. Mikolajick, U. Schroeder and N. Barrett, *J. Appl. Phys.*, 2020, **127**, 064105.
- B. Y. Kim, S. H. Kim, H. W. Park, Y. B. Lee, S. H. Lee, M. Oh, S. K. Ryoo, I. S. Lee, S. Byun and D. Shim, *Appl. Phys. Lett.*, 2021, **119**, 122902.
- B. Y. Kim, H. W. Park, S. D. Hyun, Y. B. Lee, S. H. Lee, M. Oh, S. K. Ryoo, I. S. Lee, S. Byun and D. Shim, *Adv. Electron. Mater.*, 2022, **8**, 2100042.
- S. J. Kim, J. Mohan, H. S. Kim, S. M. Hwang, N. Kim, Y. C. Jung, A. Sahota, K. Kim, H.-Y. Yu and P.-R. Cha, *Materials*, 2020, **13**, 2968.
- S. Consiglio, W. Zeng, N. Berliner and E. T. Eisenbraun, *J. Electrochem. Soc.*, 2008, 155.
- S. Karwal, M. A. Verheijen, B. L. Williams, T. Faraz, W. M. M. Kessels and M. Creatore, *J. Mater. Chem. C*, 2018, **6**, 3917–3926.
- W. Jeong, Y. Ko, S. Bang, S. Lee and H. Jeon, *J. Korean Phys. Soc.*, 2010, **56**, 905–910.
- J. S. Becker, E. Kim and R. G. Gordon, *Chem. Mater.*, 2004, **16**, 3497–3501.
- B. Thapa, R. Patterson, M. Dubajic, G. Conibeer and S. Shrestha, 2019 *IEEE 46th Photovoltaic Specialists Conference (PVSC)*, 2019, 1797–1801.
- G. L. Humphrey, *J. Am. Chem. Soc.*, 1953, **75**, 2806–2807.
- W. Wang, T. Nabatame and Y. Shimogaki, *Jpn. J. Appl. Phys.*, 2006, **45**, L1183.
- D. A. Neumayer and J. G. Ekerdt, *Chem. Mater.*, 1996, **8**, 9–25.
- N. K. Oh, J.-T. Kim, J.-K. Ahn, G. Kang, S. Y. Kim and J.-Y. Yun, *Appl. Sci. Convergence Technol.*, 2016, **25**, 56–60.

- 20 S. Heil, J. Van Hemmen, C. Hodson, N. Singh, J. Klootwijk, F. Roozeboom, M. Van de Sanden and W. Kessels, *J. Vac. Sci. Technol., A*, 2007, **25**, 1357–1366.
- 21 S. Karwal, M. Verheijen, B. Williams, T. Faraz, W. Kessels and M. Creatore, *J. Mater. Chem. C*, 2018, **6**, 3917–3926.
- 22 A. Perry, L. Schlapbach and W. Sproul, *Solid State Commun.*, 1987, **62**, 23–26.
- 23 A. Arranz and C. Palacio, *Surf. Sci. Spectra*, 2004, **11**, 33–42.
- 24 W. Wang, T. Nabatame and Y. Shimogaki, *Surf. Sci.*, 2005, **588**, 108–116.
- 25 C. S. Kang, H.-J. Cho, K. Onishi, R. Nieh, R. Choi, S. Gopalan, S. Krishnan, J. H. Han and J. C. Lee, *Appl. Phys. Lett.*, 2002, **81**, 2593–2595.
- 26 D. Biswas, M. N. Singh, A. K. Sinha, S. Bhattacharyya and S. Chakraborty, *J. Vac. Sci. Technol., B: Nanotechnol. Microelectron.: Mater., Process., Meas., Phenom.*, 2016, **34**, 022201.
- 27 X.-Y. Zhang, C.-H. Hsu, S.-Y. Lien, W.-Y. Wu, S.-L. Ou, S.-Y. Chen, W. Huang, W.-Z. Zhu, F.-B. Xiong and S. Zhang, *Nanoscale Res. Lett.*, 2019, **14**, 1–8.
- 28 T. Bösccke, J. Müller, D. Bräuhäus, U. Schröder and U. Böttger, *Appl. Phys. Lett.*, 2011, **99**, 102903.
- 29 M. Vargas, N. Murphy and C. Ramana, *Opt. Mater.*, 2014, **37**, 621–628.
- 30 T. Ino, Y. Kamimuta, M. Suzuki, M. Koyama and A. Nishiyama, *Jpn. J. Appl. Phys.*, 2006, **45**, 2908.