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# Effect of pre-analytical variables on Raman and FTIR spectral content of lymphocytes†

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The use of Fourier transform infrared (FTIR) and Raman spectroscopy (RS) for the analysis of lymphocytes in clinical applications is increasing in the field of biomedicine. The pre-analytical phase, which is the most vulnerable stage of the testing process, is where most errors and sample variance occur; however, it is unclear how pre-analytical variables affect the FTIR and Raman spectra of lymphocytes. In this study, we evaluated how pre-analytical procedures undertaken before spectroscopic analysis influence the spectral integrity of lymphocytes purified from the peripheral blood of male volunteers ( $n = 3$ ). Pre-analytical variables investigated were associated with (i) sample preparation, (blood collection systems, anticoagulant, needle gauges), (ii) sample storage (fresh or frozen), and (iii) sample processing (inter-operator variability, time to lymphocyte isolation). Although many of these procedural pre-analytical variables did not alter the spectral signature of the lymphocytes, evidence of spectral effects due to the freeze–thaw cycle, *in vitro* culture inter-operator variability and the time to lymphocyte isolation was observed. Although FTIR and RS possess clinical potential, their translation into a clinical environment is impeded by a lack of standardisation and harmonisation of protocols related to the preparation, storage, and processing of samples, which hinders uniform, accurate, and reproducible analysis. Therefore, further development of protocols is required to successfully integrate these techniques into current clinical workflows.

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## 1. Introduction

In recent years, RS and FTIR spectroscopies have demonstrated their potential in translational and clinical applications such as screening, diagnosis, monitoring, and prognosis.<sup>1–8</sup> This is mainly due to these complementary techniques sharing the same advantages, *i.e.*, being non-destructive, label free, cost-effective modalities for analysis for biological samples which require minimal sample preparation. However, to exploit the clinical potential of these bio-analytical techniques, protocols first need to be developed to facilitate uniform and reliable spectroscopic analysis, to ensure discovery of robust spectral profiles with a high degree of sensitivity and specificity.

Blood is already a commonly collected biosample in a clinical setting and is an invaluable source of information for spectral

profiling of patients.<sup>9</sup> Blood collection is minimally invasive, low-cost, and provides a temporal snap-shot of the biomolecular status of the patient.<sup>10</sup> Blood contains erythrocytes, leukocytes (neutrophils, eosinophils, basophils, monocytes and lymphocytes; B, T, NK cells) and platelets which are suspended in plasma.<sup>11</sup> Using density gradient centrifugation, it is possible to isolate peripheral blood mononuclear cells (PBMCs) from whole blood. This heterogeneous fraction is made up of immune cell subsets of dendritic cells (1–2%), monocytes (10–20%), NK cells (5–20%), and T (75–85%) and B lymphocytes (5–10%).<sup>12</sup>

Due to recent technological and computational advancements, FTIR and RS can identify and differentiate subpopulations of lymphocytes and monocytes.<sup>13,14</sup> These techniques have also been demonstrated to be capable of differentiating between resting and activated lymphocytes, in addition to monocytes and macrophages.<sup>15–18</sup> These proof-of-concept studies demonstrate that both RS and FTIR are invaluable tools for PBMC analysis. Other studies have shown that RS and FTIR analysis of PBMCs and pure lymphocytes holds great promise for clinical real-world applications in the analysis of malignancies, infectious diseases, immune response, occupational contaminants, drug response, radiotherapeutic response, and for retrospective radiobiological dosimetry.<sup>19–31</sup>

The potential of both RS and FTIR spectroscopy as a robust clinical tool is clear; however, both types of analysis are far

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from being translated into a clinic environment as currently there are no standardised protocols relating to sample preparation, storage, and processing. The biomolecular quality and composition of biological samples can be altered by environmental or technical pre-analytical variability, analytical variability and post-analytical variability.<sup>32</sup> The nature of sample processing in the pre-analytical phase offers a window of susceptibility for the introduction of sample variance and has been deemed the most vulnerable phase of testing with up to 61.9% of laboratory errors originating at this point.<sup>33,34</sup> The pre-analytical phase in this context can be defined as all procedures prior to the commencement of spectroscopic analysis.<sup>35</sup>

RS and FTIR datasets contain highly detailed information relating to the biomolecular composition of a sample, variability from sample preparation approach induced at the pre-analytical phase could possibly mask clinically important spectral features and/or be mistaken for a clinically significant finding. To the best of our knowledge, this study is the first of its kind, as no other study in the literature explores the biochemical variability in RS and FTIR of lymphocytes from multiple processing parameters at various stages of lymphocyte sample preparation.

## 2. Materials and methods

### 2.1. Ethical approval

Informed consent was obtained from healthy volunteers and peripheral blood was collected by venous blood sampling from three male human donors between 28 and 35 years of age. Therefore, for each pre-analytical variable investigated, three peripheral blood samples were obtained and analysed. All sample collections were carried out in accordance with the

1964 Helsinki Declaration<sup>36</sup> and approved by the Technological University Dublin Research Ethics Committee (REC number 15-32). Volunteer information was anonymised prior to analysis.

In summary, variables investigated in this study that have the potential to impact lymphocyte spectral information included:

Sample preparation: (1) the Vacuette® and S-Monovette® blood collection systems, (2) 21 and 23 gauge (G) needles, (3) EDTA (ethylenediaminetetra-acetic acid), lithium heparin (LH) and sodium citrate (SC) Vacuette® anticoagulant blood collection tubes. Sample storage: (1) freezing samples (frozen *versus* non-frozen lymphocytes). Sample processing: (1) different operators processing samples (inter-operator variability), and (2) lymphocytes isolated within 1 hour or after 24 hours post-sample collection (Fig. 1).

Unless otherwise indicated in the methodological summary (Table 1), peripheral blood samples were collected using the Vacuette® blood collection system (Greiner Bio-One, Stonehouse, UK) with Vacuette® LH anticoagulant (Greiner Bio-One), 21 gauge needle (Greiner Bio-One) and immediately processed by the same operator. All volunteer lymphocyte samples for each pre-analytical variable were analysed by FTIR and RS, except for FTIR analysis of lymphocytes collected with different needle gauges. This exception was due to the extended elapsed time between sample fixation and recording caused by the COVID-19 campus closure. As a result, there is a possibility that protein degradation occurred at an accelerated rate, which could have been misinterpreted as a spectroscopic effect related to the investigated needle gauges.<sup>37</sup> For each pre-analytical variable, 10 mL of peripheral blood was collected from each volunteer. However, due to the availability of volunteers, samples were not obtained from the same three volunteers for each pre-analytical variable.

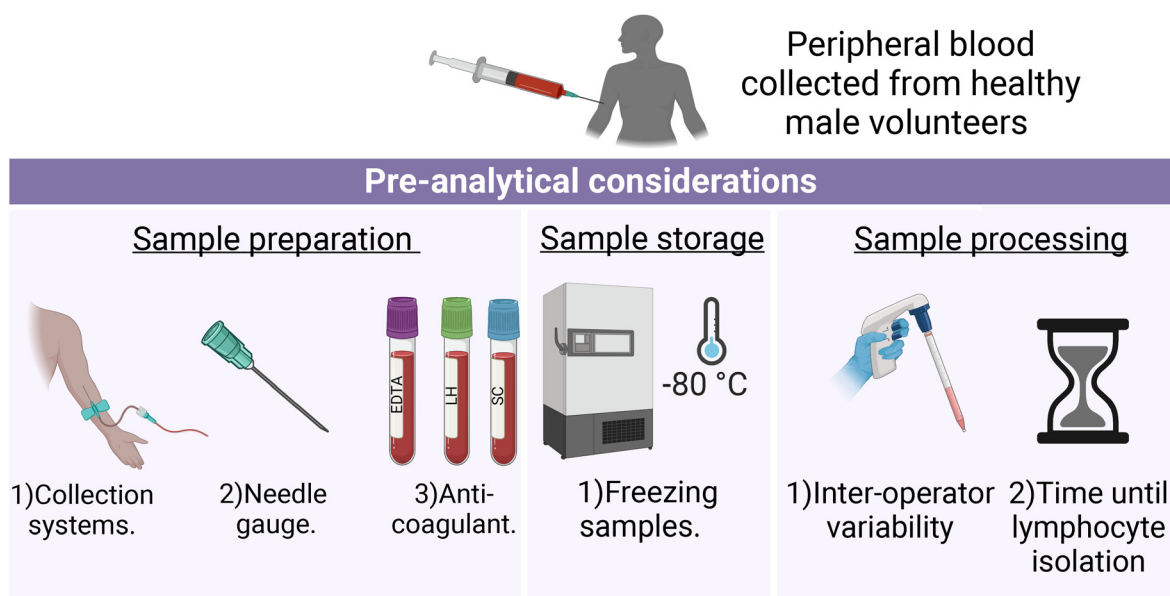


Fig. 1 Schematic depiction of the experimental design of each pre-analytical consideration.



**Table 1** A brief outline of the experimental design for pre-analytical variables: sample preparation, storage, and processing. The pre-analytical variable being assessed is highlighted in bold

| Pre-analytical variable    | Collection system | Anticoagulant           | Needle gauge         | Operator (n) | Time to lymphocyte isolation post-sample collection | Freezing samples             |
|----------------------------|-------------------|-------------------------|----------------------|--------------|-----------------------------------------------------|------------------------------|
| Blood collection systems   | <b>Vac and SM</b> | LH                      | 21 G                 | 1            | 1 h                                                 | Non-frozen                   |
| Needle gauges              | Vac               | LH                      | <b>21 G and 23 G</b> | 1            | 1 h                                                 | Non-frozen                   |
| Anticoagulant              | Vac               | <b>LH, SC, and EDTA</b> | 21 G                 | 1            | 1 h                                                 | Non-frozen                   |
| Freezing samples           | Vac               | LH                      | 21 G                 | 1            | 1 h                                                 | <b>Frozen and non-frozen</b> |
| Inter-operator variability | Vac               | LH                      | 21 G                 | 2            | 1 h                                                 | Non-frozen                   |
| Sample processing time     | Vac               | LH                      | 21 G                 | 1            | <b>1 h and post-24 h</b>                            | Non-frozen                   |

Vac = Vacuette®, SM = S-Monovette®. A detailed summary of the experimental design of each pre-analytical variable can be found in the ESI.†

## 2.2. Preparation of PBMC culture

PBMC culture preparation was carried out as previously described by Maguire *et al.*<sup>29</sup> In a 50 mL polypropylene tube (Thermo Fisher Scientific Inc., MA, USA), 6 mL of room temperature Dulbecco's phosphate buffered saline (DPBS; Sigma Aldrich LLC, MO, USA) and volunteer blood were mixed. In a new 50 mL polypropylene tube, 15 mL of Histopaque-1077 (Sigma Aldrich LLC) was added and the mixture of DPBS and peripheral blood was pipetted on top, allowing the mixture to gently run down the side of the tube. Sample tubes were centrifuged at 400g for 30 minutes at 18 °C with deceleration set to zero to allow the whole blood to be separated into different fractions, *i.e.*, density centrifugation. The PBMC layer was gently aspirated using a 5 mL pipette (SARSTEDT, Germany) and washed in 50 mL polypropylene tubes by adding DPBS to a total volume to 30 mL and mixed by inversion. The sample was then centrifuged at 400g for 4 minutes at 18 °C with the acceleration set to nine. The washing step was repeated twice more with 5 mL of DPBS added to the cell pellet at each wash, bringing the total number of washes to three. After the last wash, DPBS was removed, and the cell pellet was resuspended in 5 mL of full medium (RPMI + 12.5% vol/vol FBS + 2 mM L-glutamine; Sigma Aldrich LLC). The resuspended cell pellet was transferred to a 25 cm<sup>2</sup> tissue culture flask (SARSTEDT) and supplemented with 2.5% vol/vol (50 µL) of phytohaemagglutinin (PAA Laboratories, West Yorkshire, UK). PBMCs were incubated with a Thermo Scientific Forma 320 CO<sub>2</sub> incubator (Thermo Fisher Scientific Inc.) for 68–72 hours at 37 °C with 5% CO<sub>2</sub>. The flasks were placed in a horizontal orientation to facilitate the separation of lymphocytes and monocytes by plastic adherence.

## 2.3. Calcium fluoride (CaF<sub>2</sub>) slide preparation

Lymphocytes were brought into suspension by gently swirling the tissue culture flasks. The supernatant containing the lymphocytes was aspirated into a new 30 mL polypropylene tube. The tissue culture flasks were then washed with 5 mL of DPBS. The DPBS was decanted into 30 mL polypropylene tubes (Thermo Fisher Scientific Inc.) and the washing step was repeated twice more. The sample tubes were centrifuged at 400g for 5 minutes at 18 °C with the deceleration and acceleration set to 9. The supernatant was decanted, and the cell pellet was resuspended in 200 µL of 2% vol/vol paraformaldehyde

(PFA; AppliChem GmbH, Darmstadt, Germany). The cells were fixed for 10 minutes at room temperature. From each sample suspension, 20 µL was aliquoted onto a labelled CaF<sub>2</sub> slide (Crystran Ltd, Dorset, UK), and allowed to settle and attach to the slide for 5 minutes, after which the liquid supernatant was removed. Cells were then washed in deionised water three times. Finally, excess deionised water was removed, and the sample was allowed to air dry.

## 2.4. Acquisition of Raman spectra

Raman spectral acquisition was carried out using a protocol described previously.<sup>31</sup> Before sample analysis, room temperature was set at 18 °C for 20 minutes. RS was performed using a Horiba Jobin Yvon LabRAM HR800 UV micro-Raman spectrometer (Horiba UK Ltd, Middlesex, UK), equipped with a cooled charge-coupled device (CCD) array detector with 1024 × 256 pixels and Peltier cooled to –70 °C. The Raman spectrometer was also equipped with a 660 nm solid-state diode laser that directed 100 mW power at the sample. An initial calibration of the detector used 520.8 cm<sup>–1</sup> of crystalline silicon, as here pixels are assigned wavenumber values in the CCD camera.<sup>38</sup> A 100× objective (numerical aperture = 0.9) and a 600 line per nm diffraction grating (centred at 1450 cm<sup>–1</sup>) was used. The confocal hole and filter transmission were set to 100 µm and 100%, respectively. Spectra (*n* = 3) of a known standard, 1,4-bis (2-methylstyryl)benzene (Sigma Aldrich LLC) were recorded with a 0.3 seconds integration time and averaged over 3 integrations per spectrum and used for wavenumber calibration in post-processing.<sup>39</sup> Single cell spectra were recorded using a 4 × 4 µm raster scan of the centre of each cell with a 20 seconds integration time and averaged over 3 integrations, along with a spectral resolution of 1 cm<sup>–1</sup>. The Raman acquisition of the samples was performed over the spectral range of 1800–400 cm<sup>–1</sup>. Cells were visually inspected before recording to ensure mostly lymphocytes were included in subsequent Raman analysis. Between 25 and 35 spectra were recorded from each sample. The variation in the number of spectra recorded per sample is due to variability in sample quality. The CaF<sub>2</sub> slides, with fewer recorded lymphocyte spectra, experienced a higher degree of cell loss during the final washing steps with deionized water, as outlined in Section 2.3. Spectral acquisition was carried out using LabSpec v.6 (Horiba, UK).



## 2.5. Raman spectra pre-processing

All pre-processing of Raman spectra was performed in Matlab version R2017b (MathWorks Inc., MA, USA) and Toolbox v.8.0 (Eigenvector Research Inc., MA, USA) using in-house algorithms. All Raman spectra were wavenumber calibrated corrected with recorded spectra of 1,4-bis(2-methylstyryl)benzene. Following this, the Raman spectra were truncated to include the region of 1795–408  $\text{cm}^{-1}$ . Baseline removal was performed using a rubberband method<sup>40,41</sup> and spectra were smoothed using a Savitzky–Golay filter (5<sup>th</sup> order polynomial, 15 point window, or 14 wavenumbers). Finally, all Raman spectra were standardised using vector normalisation. An example of the spectral pre-processing steps carried out on lymphocyte Raman spectra is provided in ESI Fig. 1.†

## 2.6. Acquisition of FTIR spectra

The FTIR spectra were collected using a PerkinElmer Spotlight 400 mid-infrared imaging system (PerkinElmer, Waltham, MA) using a liquid-nitrogen cooled mercury cadmium (MCT) 16 × 1 linear array detector. This system is equipped with an AutoImage microscope system operating with a 40× Cassegrain objective. The FTIR acquisition of the samples was performed in transmission mode, over the spectral range of 4000–800  $\text{cm}^{-1}$  and with an interferometer speed of 1.0  $\text{cm s}^{-1}$ . An aperture size of 100  $\mu\text{m} \times 100 \mu\text{m}$  was selected as this aperture size produced the spectra with the best signal to noise ratio. A total of 128 scans per pixel were recorded and averaged at a spectral resolution of 4  $\text{cm}^{-1}$  to maximise the signal-to-noise ratio.<sup>42</sup> Before each sample measurement, 256 background scans per pixel were recorded in an empty space on the  $\text{CaF}_2$  side to remove contributions from carbon dioxide and water vapour.<sup>43</sup> For each sample, 25–35 spectra were recorded with each spectrum recorded from around 30–50 lymphocytes. As mentioned above, cells were visually inspected prior to recording to ensure that mainly lymphocytes were included in subsequent FTIR analysis. Spectral acquisition was performed using Spectrum-IMAGE-Spotlight-400 software v.10.5.4 (PerkinElmer, MA).

## 2.7. FTIR spectra pre-processing

All pre-processing of FTIR spectra was carried out in OCTAVVS (Open Chemometrics Toolkit for Analysis and Visualisation of Vibrational Spectroscopy Data) version 0.1.17, in Python version 3.9.13.<sup>44</sup>

First, atmospheric correction was performed to remove  $\text{H}_2\text{O}$  and  $\text{CO}_2$  spectral contributions. Next, the distorted baseline due to Mie scattering was corrected using the resonant Mie scattering correction (RMieS) algorithm developed by Bassan *et al.*<sup>45</sup> The settings selected within the RMieS algorithm include 20 iterations, 8 orthogonal principal components, and a matrigel reference spectrum. Following this, the FTIR spectra were truncated to the fingerprint region from 1800–900  $\text{cm}^{-1}$ . The spectral baseline was removed using a rubberband fitting method.<sup>40,41</sup> Finally, prior to spectroscopic analysis, spectra were standardised using vector normalisation. The second

derivative spectra were calculated using the Savitzky–Golay algorithm (2<sup>nd</sup> order polynomial, 9-point window, or 32 wavenumbers). The resulting spectra were used for multivariate analysis. An example of the spectral pre-processing steps carried out on lymphocyte FTIR spectra is provided in ESI Fig. 2.†

## 2.8. PCA

Principal Components Analysis (PCA) is an unsupervised dimensionality reduction technique and is used extensively in the identification of clusters, patterns, and outliers in spectroscopic datasets. Here, principal components analysis (PCA) was used for exploratory data visualisation.

As PCA is sensitive to outliers, these must first be identified and removed before analysis is carried out. In this study, outlier removal was approached using the popular Hotelling  $T^2$  test as it provides an easily interpreted visual of outliers present within the spectroscopic datasets.<sup>46</sup> Normalised RS and FTIR spectral datasets corresponding to a processing technique in each pre-analytical consideration were analysed for outliers *via* Hotelling  $T^2$  test. The Hotelling  $T^2$  test is the multivariate alternative to Student's  $T$ -test to assess the difference in the means of the data.<sup>47</sup> In this study, 10 PCs were included in the Hotelling's  $T^2$  model and a 95% confidence limit was selected. Samples with a  $T^2$  range that fell outside of this range were removed from further analysis. Hotelling's  $T^2$  range represents the sum of normalised squares, which can also be explained as the distance of the sample from the centre to the projection of the sample onto the number of principal components included in the PCA model.<sup>48</sup> A  $T^2$  score of 0 is obtained when the sample is projected onto the multivariate centre of the model.

For Raman spectra, PCA was performed on spectra acquired over the fingerprint region from 1795–408  $\text{cm}^{-1}$ , while for FTIR spectra, PCA was performed on the second derivative spectra over the fingerprint region from 1800–900  $\text{cm}^{-1}$ . For both Raman and FTIR spectra, PCA was performed with 10 principal components. There is no consensus on the number of PCs to include in a PCA model of spectroscopic datasets. However, lower PCs represent the most common information in the dataset while the opposite is said for higher PCs. Inclusion of the latter in a PCA model would involve the measurement of noise and hence, have been excluded from our analysis.<sup>49</sup> The inclusion of 10 PCs produced a model describing  $X\%$  of the variance in the data, whilst reducing its dimensionality substantially. PCA outputs were represented by 3-D score plots and 2-D score plots. For the latter, the covariance ellipse (95% confidence) was used to highlight the extent of the variance in each dataset.

# 3. Results and discussion

## 3.1. Sample preparation

**3.1.1. Blood collection systems: S-Monovette® and Vacuette® system.** As outlined earlier, in this study peripheral

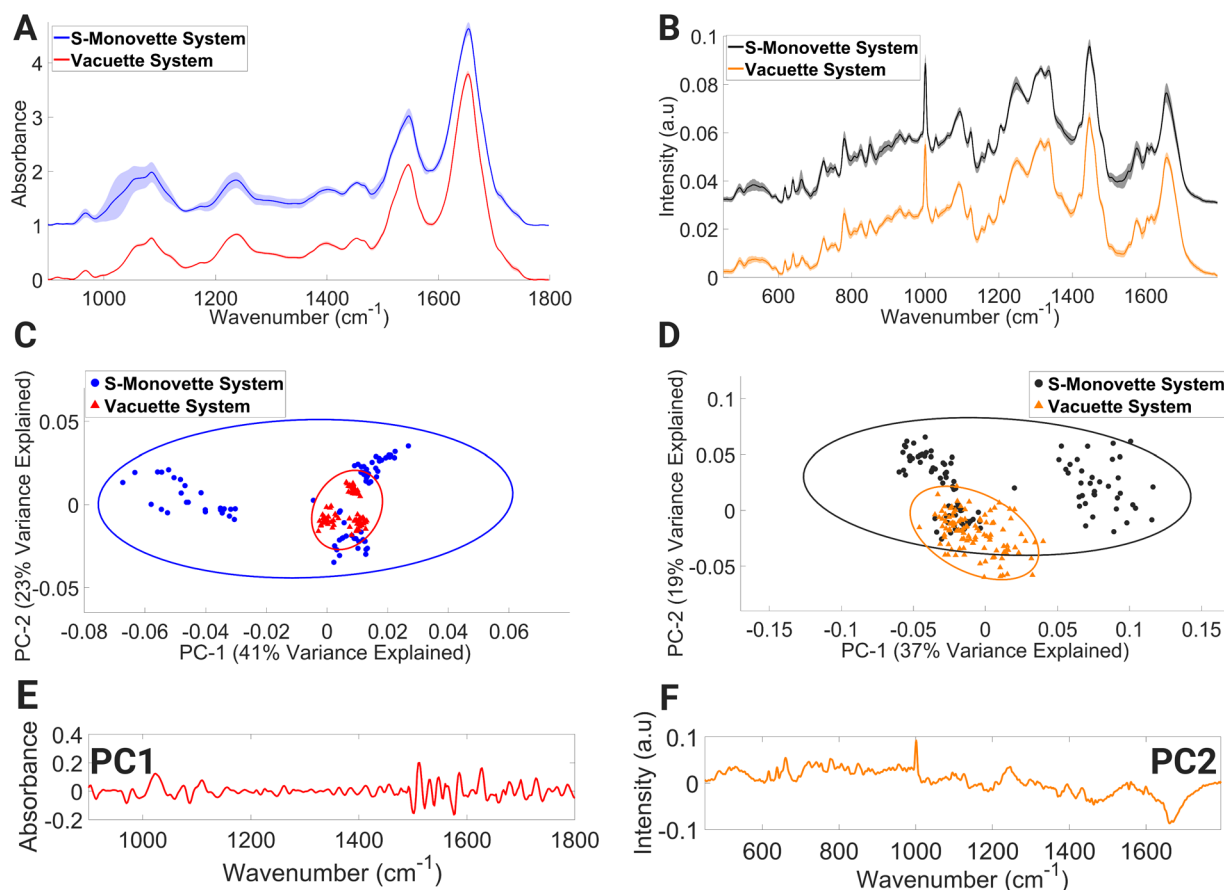


blood samples were obtained from volunteers through two common blood collection methods: aspiration systems (S-Monovette®) and vacuum systems (Vacuette®). The Vacuette® collection system operates by utilising vacuum pressure to draw blood into the blood collection tubes, while the S-Monovette® system is equipped with both vacuum and aspiration options. In the latter method, the speed of blood collection can be regulated by the phlebotomist, and in the context of this study, venipuncture blood was obtained *via* the aspiration mode of the S-Monovette® system.

Lymphocyte FTIR spectra of PBMCs drawn using the Vacuette® system were highly uniform as evidenced by the narrow standard deviation around each mean spectrum (Fig. 2A), and the similarly condensed dispersion in the PC scores (Fig. 2C). In contrast, the FTIR spectra of lymphocytes collected through the S-Monovette® blood collection system showed substantial variability over the wavenumber range of 994–1124  $\text{cm}^{-1}$  in their mean spectra. This region of the spectrum is tentatively attributed to vibrations associated with glycogen, collagen, C–O–C stretching (in nucleic acids and phospholipids), DNA (due to  $\text{PO}_2^-$  vibrations), symmetric stretching of phosphate groups in phospholipids, and C–O stretching of phosphodiester and ribose. Similarly, the PC scores for this

group depicted broad dispersion, reinforcing the variability in the spectra in this sample, while the loadings to PC1 (Fig. 2E) are associated with spectral regions dominated by contributions from nucleic acids and proteins (from 964–1092  $\text{cm}^{-1}$  and 1518–1580  $\text{cm}^{-1}$ ).

A similar trend was also observed in the mean lymphocyte Raman spectra (Fig. 2B) for lymphocytes collected with the S-Monovette® system. Here, Raman bands tentatively assigned to ring breathing of tryptophan, guanine and thymine (648–674  $\text{cm}^{-1}$ ), single-bond stretching vibrations of amino acids and polysaccharides (845–857  $\text{cm}^{-1}$ ), amide III and lipids (1233–1269  $\text{cm}^{-1}$ ), amide II,  $\nu(\text{C}=\text{C})$  of carotenoids and tryptophan (1498–1587  $\text{cm}^{-1}$ ), and  $\nu(\text{C}=\text{O})$  of amide I and C=C stretching of lipids displayed considerable variance compared to similar bands for the Raman spectra of lymphocytes collected using the Vacuette® system. The spectral variance seen in the PC score plot (Fig. 2D) is reflective of the observations in the FTIR spectra, with spectral regions of the loadings (Fig. 2F) dominated by Raman signatures from amide II  $\beta$ -sheet, amide I  $\alpha$ -helix confirmation and lipids (1626–1700  $\text{cm}^{-1}$ ). Compared to the aspiration method, *i.e.*, manual gentle gradual drawing of the plunger, it has previously been shown that the effect of shear forces inflicted on



**Fig. 2** Mean FTIR (A) and Raman (B) spectra of lymphocytes collected with S-Monovette® or Vacuette® blood collection systems, PCA score plot of FTIR (C) and Raman (D) spectra, PC1 loading of FTIR spectra (E) and PC2 loading of Raman spectra (F). Covariance ellipses (95% confidence limit) in (C) and (D) are shown for each data class.



the blood flow through the needle during the blood draw process *via* a conventional vacuum system can result in an increased rate of haemolysis in serum samples.<sup>50,51</sup> The spectral profiles indicative of individual biochemical characteristics were detected in our FTIR lymphocyte spectral datasets and the S-Monovette® lymphocyte Raman spectra. This is particularly evident in the PCA plots (Fig. 2C and D) of the S-Monovette® lymphocyte spectral datasets, where a clear separation into three distinct subclusters is observed, with each subcluster representing a healthy volunteer.

Furthermore, while Vacuette® lymphocyte Raman spectra are suggestive of a similar effect from shear forces in PBMCs, we did not observe an associated increase in haemolysis.

**3.1.2. Needle gauge: 21-gauge and 23-gauge needle.** In phlebotomy, 21 G and 23 G needles are commonly employed for venous blood collection, with the standard of care being the use of a 21 G needle. The choice of needle size ultimately depends on the suitability of the patient's veins, and it should be noted that as the gauge number increases, the bore diameter of the needle decreases. Previous studies on the impact of needle bore size on cellular integrity have produced conflicting outcomes. Wollowitz *et al.*<sup>52</sup> reported that smaller bore needles, such as the 23 G needle, can result in an increased rate of haemolysis compared to the 22 G needle. Conversely, Lippi *et al.*<sup>53</sup> evaluated the effect of haemolysis on electrolytes in venous blood collected with 21 G, 23 G and 25 G needles and found that samples collected with 25 G needles exhibited haemolysis levels that could potentially compromise sample analysis, while no such observations were made for samples collected with 21 G or 23 G needles. The impact of different needle gauges on lymphocyte spectral data remains unclear. Here, we addressed this issue through the evaluation of the Raman spectra of lymphocytes obtained through blood draws *via* a 21 G or 23 G needle. The mean Raman spectra (ESI Fig. 3†) and PCA (Fig. 3) scores plots both suggest that the choice of needle bore (at the dimensions studied here) does not have a substantial effect on the spectral content of lymphocytes.

**3.1.3. Anticoagulant: EDTA, lithium heparin, and sodium citrate.** Using three types of anticoagulants, *i.e.*, EDTA, LH and SC, peripheral blood was collected *via* venepuncture. These

anticoagulants are widely used in clinical settings to collect blood samples intended for biochemical analysis. The mean FTIR and Raman spectra of lymphocytes collected in three of the different anticoagulant tubes are shown in ESI Fig. 4.† In the FTIR lymphocyte PCA scores plots (Fig. 4A), no discernible separation was observed, though there is a slightly reduced variance in the EDTA sample. This feature is, however, not reproduced within the PCA score plot of Raman lymphocyte spectra (Fig. 4B), though again spectra did not differentiate on coagulant. Other previous studies have also investigated the effect of various tube substrates on the spectral content of human plasma and erythrocytes. Lovergne *et al.*<sup>54</sup> highlighted that the use of LH would be more suitable for the spectroscopic analysis of plasma compared to EDTA. Martin *et al.*<sup>55</sup> also found this to be true when considering erythrocyte analysis *via* attenuated total reflectance (ATR)-FTIR for low-range detection and quantification of malaria parasitemia.

To our knowledge, this study is the first to assess the impact of EDTA, LH, and SC anticoagulant on the spectral integrity of lymphocytes. The results presented here indicate that the choice of anticoagulant has a minimal effect on the spectral properties of lymphocytes. This finding may be explained by time in culture and subsequent numerous washing steps.

### 3.2. Sample storage

**3.2.1. Impact of freezing on lymphocyte spectral reproducibility.** In clinical and research settings, blood samples may not be processed immediately for various reasons, such as transportation to the testing facility, availability of personnel, and

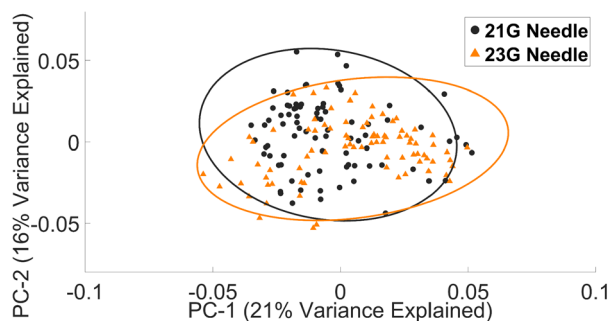


Fig. 3 PCA plot of Raman lymphocyte spectra collected with a 21 G or 23 G needle.

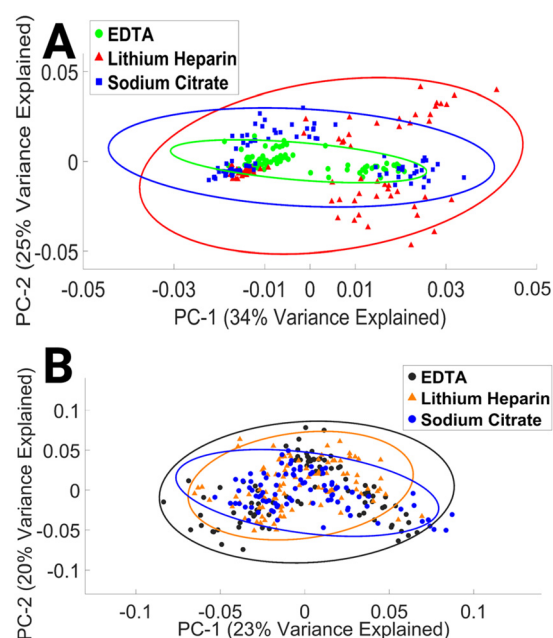


Fig. 4 PCA score plot of FTIR (A) and Raman (B) spectra of lymphocytes collected with EDTA, LH, and SC anticoagulant. Covariance ellipses (95% confidence limit) in (A) and (B) are shown for each data class.



resource constraints. One of the common methods of cell preservation is cryopreservation of the lymphocyte-containing layer in a cryoprotectant solution, such as dimethyl sulfoxide (DMSO).<sup>56</sup>

Freezing cells at  $-80\text{ }^{\circ}\text{C}$  can have a significant impact on the functionality and structure of biomolecules such as lipids, proteins, and nucleic acids due to changes in hydrophobic and hydrophilic interactions.<sup>57</sup> These changes may affect the content of FTIR and Raman spectra, which provide information on the molecular composition and structure of cells. However, it is not yet clear how a single freeze-thaw cycle influences the biochemical information of lymphocytes obtained from these spectroscopic techniques.

The mean FTIR and Raman spectra of non-frozen lymphocytes, *i.e.* processed immediately after the drawing of blood and frozen lymphocytes, *i.e.* a single freeze-thaw cycle can be seen in ESI Fig. 5.†

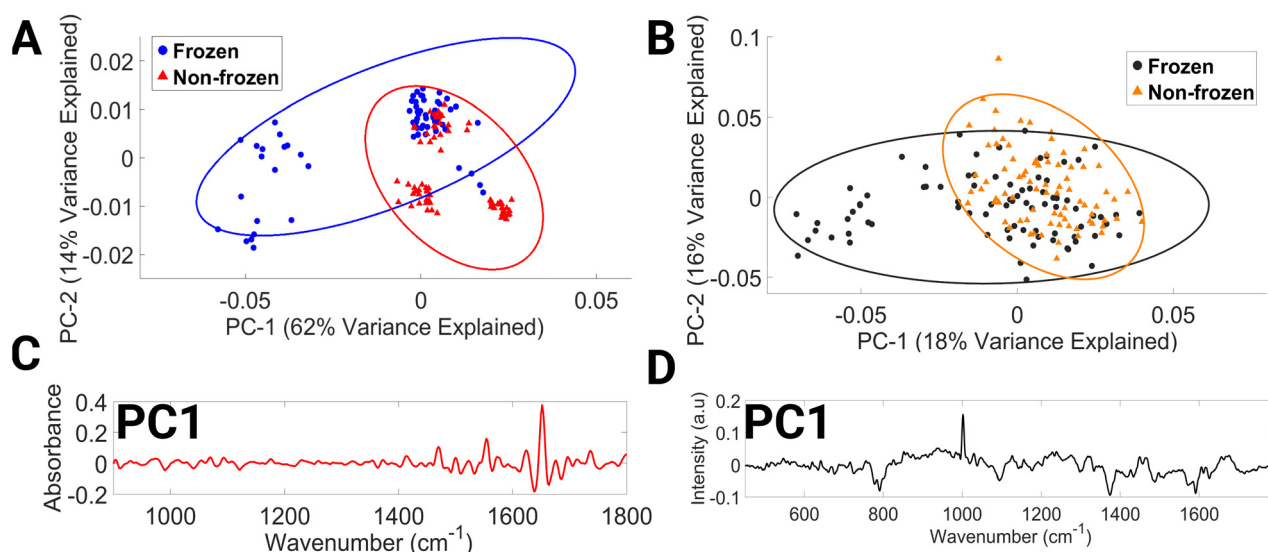
The PCA data of the FTIR spectra (Fig. 5A) cluster by donor and by preservation method, with clustering occurring along the PC1 axis. PC1 explains 62% of the variance between the data sets. The spectral spread across the negative PC1 axis (Fig. 5C) is due to the spectral regions consisting of contributions from C–O ribose ( $984\text{--}1000\text{ cm}^{-1}$ ), glycogen, collagen, and phosphodiester groups of nucleic acids ( $1034\text{--}1046\text{ cm}^{-1}$ ),  $\beta$ -sheet structure of amide I and C=C of thymine, adenine ( $1630\text{--}1646\text{ cm}^{-1}$ ), amide I and  $\nu\text{C}=\text{C}$  *cis* of lipids, fatty acids ( $1660\text{--}1670\text{ cm}^{-1}$ ), amide I and C=O guanine deformation N–H in plane ( $1680\text{--}1692\text{ cm}^{-1}$ ). Variations in amide I vibrations that originate in the protein backbone indicate changes in the structure of secondary proteins and suggest instability of biomolecules after freezing at  $-80\text{ }^{\circ}\text{C}$ .<sup>58</sup>

The PCA score plot of Raman spectra (Fig. 5B) demonstrates discrimination occurring across the PC1 axis, which accounts

for 18% of the variance. Negative PC1 loadings (Fig. 5D) correspond to spectral regions dominated by contributions from O–P–O stretching of DNA ( $769\text{--}812\text{ cm}^{-1}$ ), phospholipids ( $1077\text{--}1107\text{ cm}^{-1}$ ), tryptophan and lipids ( $1361\text{--}1388\text{ cm}^{-1}$ ), DNA ( $1476\text{--}1495\text{ cm}^{-1}$ ), and phenylalanine, tyrosine, and cytosine ( $1563\text{--}1602\text{ cm}^{-1}$ ). In the literature, a decrease in protein, lipids, and DNA after freezing has previously been reported in plasma, calf thymus DNA, domestic cat oocytes and embryos, and prostate tumour cells.<sup>54,57,59–61</sup> To increase the rigour of this research, it would be necessary to replicate these findings using a larger data set. Furthermore, this study did not account for several factors, such as the impact of multiple freeze-thaw cycles, long-term storage, and the temperature and time required for effective lymphocyte thawing, on the spectral content of lymphocytes. Investigating these aspects of lymphocyte storage would be of great interest for future research, especially since it is common practice in clinical settings to resample patient biological material due to the low volume of biological material usually obtained.

### 3.3. Sample processing

**3.3.1. The effect of inter-operator variability on spectral reproducibility.** In a clinical setting or its affiliated testing laboratories, it is a common practice for multiple analysts to process patient samples and perform subsequent instrumental analysis after blood collection. This part of the study aimed to evaluate the inter-operator reproducibility of lymphocyte spectral profiles in a typical clinical sample processing workflow by comparing the results obtained by two operators with identical training but varying experience, who processed volunteer blood samples collected on the same day by the same phlebotomist. The blood samples were analysed on the same FTIR and Raman spectrometer.



**Fig. 5** PCA score plot of FTIR (A) and Raman (B) spectra of non-frozen (processed immediately) and frozen lymphocytes (single freeze-thaw cycle), PC1 loading of FTIR lymphocyte spectra (C) and PC1 loading of Raman lymphocyte spectra (D). Covariance ellipses (95% confidence limit) in (A) and (B) are shown for each data class.

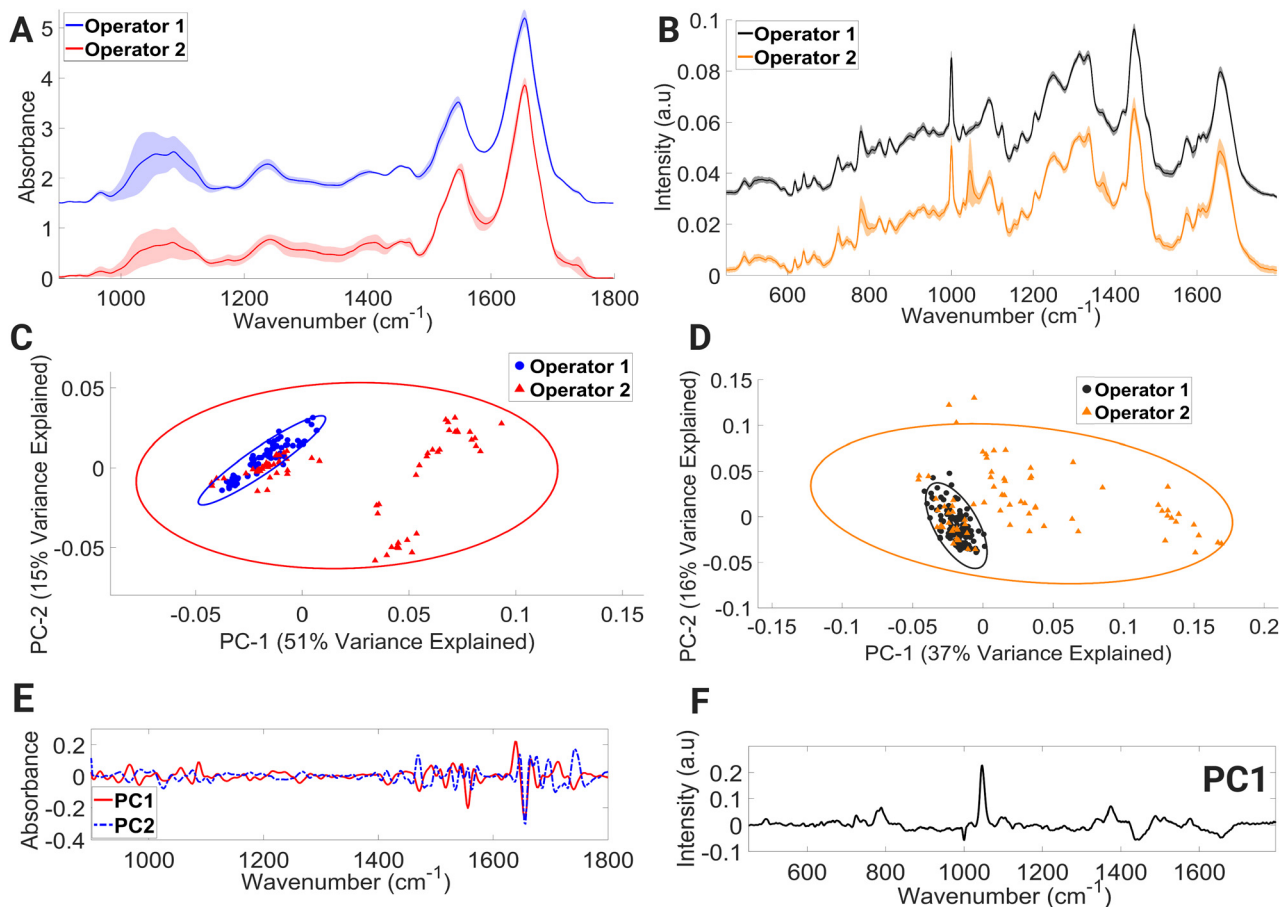


Within the mean FTIR spectral data sets of both operators (Fig. 6A), large variations in spectral regions associated with contributions from vibrational modes of C–C and C–O of deoxyribose (956–976  $\text{cm}^{-1}$ ),  $\nu$ C–O of carbohydrates, DNA, glycogen, phosphodiester, and phospholipids (998–1144  $\text{cm}^{-1}$ ) were observed. For operator 1, large intensity variation was observed in the range (1492–1540  $\text{cm}^{-1}$ ); this spectral intensity trend was not found in the operator 2 dataset. Within the operator 2 dataset, large intensity-related variation was also observed in the spectral region dominated by molecular signatures from amide II, C–N stretching of cytosine and guanine (1256–1368  $\text{cm}^{-1}$ ),  $\alpha$ -sheet of amide II (1542–1552  $\text{cm}^{-1}$ ), amide II (1566–1600  $\text{cm}^{-1}$ ), C=O of thymine and guanine (1700–1722  $\text{cm}^{-1}$ ), and C=O stretching of lipids and fatty acid ester bond (1728–1746  $\text{cm}^{-1}$ ).

PCA (Fig. 6C) showed overlapping covariance ellipses with operator 1 forming a tight spectral datapoint cluster indicating spectral homogeneity. However, the spectral spread within the operator 2 data set can be seen across the positive PC1 and negative PC2 axis, accounting for 51% and 15% of the variance, respectively. Positive PC1 loadings (Fig. 6E) correspond to the spectral regions consisting of contributions from

$\beta$ -sheet structure of amide I, while negative PC2 loadings (Fig. 6E) correspond to spectral regions associated with  $\alpha$ -helix of amide I. This change in protein confirmation and/or protein concentration is most likely due to the handling technique of operator 2. The process of isolating peripheral PBMCs from whole blood using density gradient separation is a multi-step procedure that demands a high level of technical proficiency and attention to detail from the operator. Any deviation from protocols during the various washing and centrifugation steps can negatively impact PBMCs, ultimately leading to alterations in the spectral integrity of lymphocytes.

The mean Raman spectra of lymphocytes processed by operators 1 and 2 are shown in Fig. 6B. Visually, operator 2 contains major spectral-intensity related variation in the regions consisting of contributions from ring breathing modes of uracil, thymine, and cytosine (775–796  $\text{cm}^{-1}$ ), ring breathing modes of thymine, guanine, and adenine (1360–1380  $\text{cm}^{-1}$ ), amide I and C=C stretch of lipids (1649–1669  $\text{cm}^{-1}$ ), and triglycerides, lipids, and  $\text{CH}_2$  bending mode of proteins and lipids (1441–1452  $\text{cm}^{-1}$ ). The most prevalent spectral intensity-related variation can be observed over the wavenumber range of 1037–1057  $\text{cm}^{-1}$ . A PCA model (Fig. 6D) was further con-



**Fig. 6** Mean FTIR (A) and Raman (B) spectra of lymphocytes processed by operator 1 and 2, PCA score plot of FTIR (C) and Raman (D) spectra, PC1 and PC2 loading of FTIR spectra (E), PC1 loading of Raman spectra (F). Covariance ellipses (95% confidence limit) in (C) are shown for each data class.

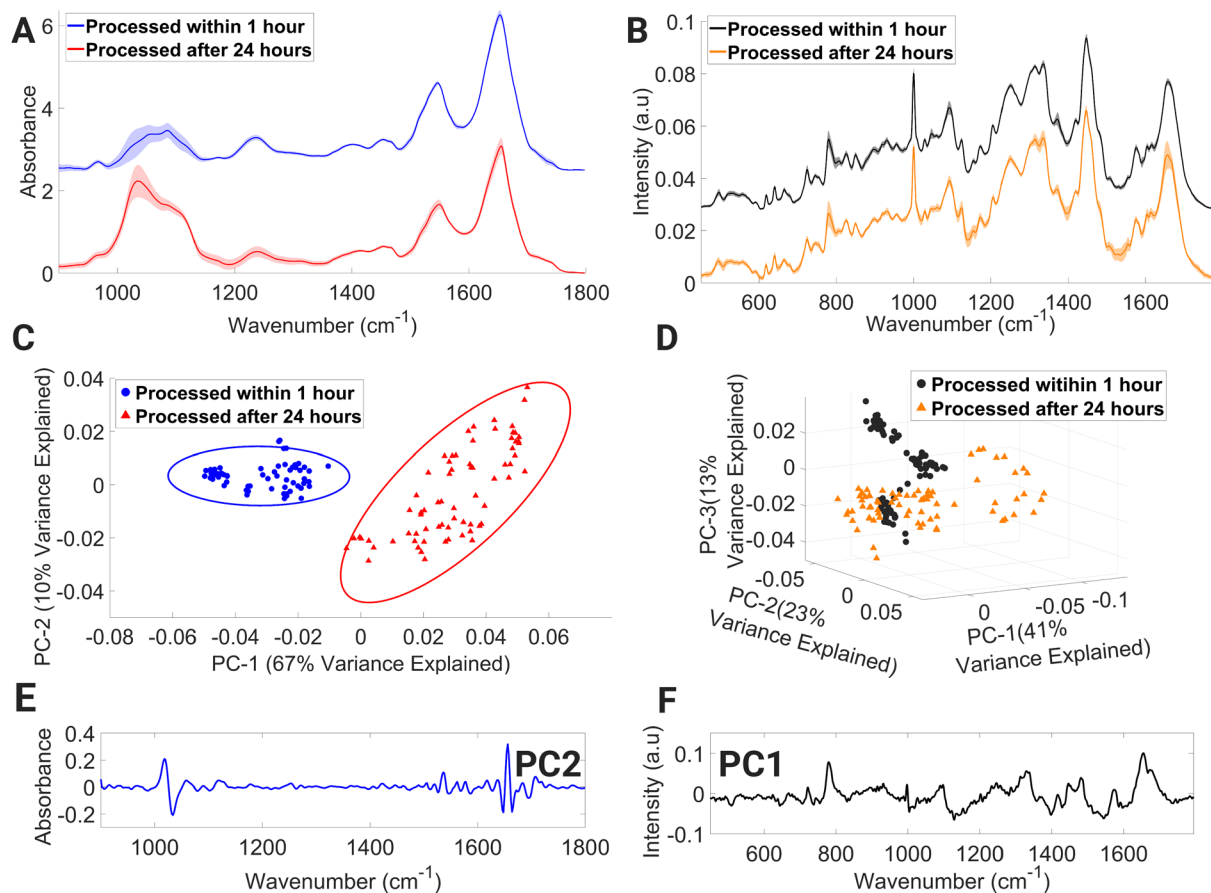


structured to aid in interpretation of these spectral alternations. The PCA plot shows that the spectral data points of operator 1 hug the central axis, while operator 2 shows spread across the positive PC1 axis, with PC1 accounting for 37% of variance. The positive PC1 loadings (Fig. 6F) display the largest variance in the region of 1037–1057  $\text{cm}^{-1}$ . However, it is shown in these results that with proper training and due diligence, an analyst can consistently generate accurate results while minimising any impact on the spectral integrity of the lymphocytes, as evidenced by the results produced by operator 1.

**3.3.2. Sample storage time: time to lymphocyte isolation 1 and 24 hours post-sample collection.** Despite the widespread use of whole blood in various clinical and research settings, our understanding of the impact of short term storage time at ambient temperature on the spectral quality of lymphocytes remains limited. In an effort to address this knowledge gap, this part of the study was conducted using volunteer blood samples that were processed for lymphocyte isolation at two time points: within 1 hour of collection and 24 hours post-collection. Whole blood samples within each storage group were stored for an identical amount of time before lymphocyte isolation. The mean FTIR spectra of both datasets are presented in Fig. 7A. Compared to lymphocytes that were processed

1 hour post-collection, lymphocytes processed 24 hours post-collection displayed considerable variability in the spectral regions associated with contributions from DNA, glycogen, carbohydrates (1018–1066  $\text{cm}^{-1}$ ), collagen, and phosphodiester groups of nucleic acids (1067–1112  $\text{cm}^{-1}$ ). Other regions of the spectrum that demonstrated large variability in intensity include contributions from biomolecules such as  $\nu\text{C-O}$  of proteins and carbohydrates, CO stretching of the C-OH groups of serine, threonine, and tyrosine, amide III and phosphate stretching bands (1138–1224  $\text{cm}^{-1}$ ), amide III, phosphate vibration of nucleic acids and vibrational modes of collagen (1225–1306  $\text{cm}^{-1}$ ), amide II, C=N of adenine and cytosine (1492–1554  $\text{cm}^{-1}$ ), and vibrational modes of amide I and nucleic acids (1640–1658  $\text{cm}^{-1}$ ).

The PCA score plot of FTIR spectra (Fig. 7C) displays two distinct spectral data class clusters and shows discrimination across the PC2 axis, which accounts for 10% of variance between the data classes. Negative PC2 loadings (Fig. 7E) are dominated by spectral regions associated with collagen & phosphodiester groups of nucleic acids (1026–1052  $\text{cm}^{-1}$ ), amide I (C=O stretching) and C=O, C=N, N-H of adenine, thymine, guanine, and cytosine (1642–1652  $\text{cm}^{-1}$ ), amide I and  $\nu\text{C}=\text{C}$  *cis* of lipids and fatty acids (1660–1668  $\text{cm}^{-1}$ ), and



**Fig. 7** Mean FTIR (A) and Raman (B) spectra of lymphocytes processed immediately and 24 hours after collection, PCA score plot of FTIR (C) and Raman (D) spectra, PC2 loading of FTIR spectra (E), PC1 loading of Raman spectra (F). Covariance ellipses (95% confidence limit) in (C) are shown for each data class.



amide I (1688–1700  $\text{cm}^{-1}$ ). While positive PC2 loadings are dominated with spectral regions with contributions from carbohydrates: glucose and glycogen (1010–1026  $\text{cm}^{-1}$ ), C–O stretching of deoxyribose (1052–1074  $\text{cm}^{-1}$ ), C–O stretching vibration of C–OH group of ribose (1110–1136  $\text{cm}^{-1}$ ), amide II (1530–1542  $\text{cm}^{-1}$ ), and amide I (1652–1660  $\text{cm}^{-1}$ ). The highest variance exists for amide I, nucleic acids, and carbohydrates.

The processing of lymphocytes after 24 hours of a venous blood draw results in the introduction of variance in spectral regions dominated by contributions from numerous Raman molecular fingerprints (Fig. 7B) such as glycogen and carotenoids (1133–1167  $\text{cm}^{-1}$ ),  $\text{CH}_2/\text{CH}_3$  twisting of lipids and collagen, (1301–1321  $\text{cm}^{-1}$ ),  $\text{CH}_3$  band (1387–1407  $\text{cm}^{-1}$ ), cytosine, adenine, amide II and tryptophan (1499–1562  $\text{cm}^{-1}$ ), and amide I and C=C stretch of lipids (1647–1671  $\text{cm}^{-1}$ ). Processed within 1 hour, spectral datapoints are spread into three distinct clusters across the PC2 and PC3 axes, while processed after 24 hours, spectral datapoints are spread across the PC2 axis while also demonstrating spread across the negative PC1 axis. The first three PCs account for 41%, 23% and 13%, respectively (Fig. 7D). Negative PC1 loadings (Fig. 7F) consist of spectral regions tentatively assigned to Raman signatures representing C–N stretching of protein vibrations, glycogen, and carotenoids (1111–1167  $\text{cm}^{-1}$ ),  $\text{CH}_3$  band (1380–1411  $\text{cm}^{-1}$ ), and ring breathing mode of DNA bases and amide II (1498–1560  $\text{cm}^{-1}$ ). Based on the Raman spectra presented here, it is possible to detect Raman signatures that highlight protein-related structural changes and cellular stress in lymphocytes processed after 24 hours.

Whole blood collection often occurs at a remote location from the laboratory where sample processing takes place, resulting in a delay between collection and laboratory processing.<sup>62</sup> According to the European Directorate for the Quality of Medicines & Healthcare,<sup>63</sup> it is standard practice in Europe to store blood for up to 24 hours at ambient temperature. However, a recent study by Hope *et al.*<sup>64</sup> has shown that storage of blood samples for 24 hours at ambient temperature does not negatively impact PBMC viability and total viable cell numbers when compared to samples stored at 4 °C. Despite these previous findings, 24 hours ambient storage of whole blood can introduce variance in FTIR spectra, particularly in the 1002–1072  $\text{cm}^{-1}$  region, which can have a detrimental effect on downstream spectroscopic analysis and classification. Therefore, spectroscopists working with whole blood samples stored at 24 hours at ambient temperature must exercise caution and consider this factor when developing and interpreting machine learning models. The findings of the 24 hours processed lymphocytes analysed by RS revealed variability in their biochemical response, despite being processed under identical experimental conditions. This suggests that lymphocytes processed in this manner may exhibit varying levels of cell injury, which could be attributed to suboptimal storage conditions. These findings underscore the need for further investigation using a larger cohort in order to fully assess the impact of processing lymphocytes after 24 hours on intra-sample spectral variation.

It is acknowledged that lymphocyte isolation from PBMCs by density gradient separation does not ensure a pure lymphocyte population will be obtained following cell culture. This issue can be minimised by selecting cells on visual characteristics with the coupled microscope before recording with the Raman and FTIR instrumentation.

## 4. Conclusion

To successfully implement FTIR and RS in clinical settings, it is essential to identify sources of pre-analytical variability, establish standardised protocols, and ensure the accuracy, quality, and reproducibility of spectral data. Our study investigated pre-analytical variables related to sample preparation, storage, and processing, and the results confirmed the following:

- The S-Monovette® blood collection system and anti-coagulants had minimal impact on FTIR and Raman spectral outcomes.
- Vacuette®-collected lymphocytes had little impact on lymphocyte FTIR spectral quality, but Raman spectra of lymphocytes possessed signals of cellular injury.
- Common needles (21 G or 23 G) had little impact on the spectral profile of lymphocytes.
- Although DMSO was used as a cryoprotectant to prevent cellular membrane damage, FTIR and RS can detect biochemical differences present in cells after a single freeze–thaw cycle at –80 °C.
- Adequate training is a critical element for spectral reproducibility, and although the issue is not fully resolved in this current study, a foundation is provided to improve reproducibility in spectral measurements.
- Processing blood samples for lymphocyte isolation 24 hours after collection had a significant negative impact on the integrity of lymphocyte spectra, particularly in the case of FTIR analysis.

Developing a standardised protocol for lymphocyte spectroscopic analysis will ensure fitness of use of lymphocyte samples, eliminate the influence of external factors, reduce costs associated with addressing pre-analytical errors, and enhance the credibility of results in future studies. This study is limited by its small sample size, and further research is needed to establish fully standardised protocols.

## Data availability

The data supporting the findings of this study are available from the corresponding author upon reasonable request.

## Author contributions

A. D. M., F. M. L., J. F. M. and D. C. conceived the study, with A. D. M. and F. M. L. supervising the study. C. W. assisted D. C. with the collection of volunteer blood. J. F. M. and



D. C. performed FTIR and Raman analyses, respectively. J. F. M. performed multivariate analysis, manuscript writing, and design of the illustrations. A. D. M. developed in-house software for the purpose of spectral calibration and analysis. All authors contributed equally to reviewing and editing the manuscript.

## Conflicts of interest

The authors declare no conflict of interest.

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