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## Defect chemistry of disordered solid-state electrolyte $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$ †

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Several classes of materials, including thiophosphates, garnets, argyrodites, and anti-perovskites, have been considered as electrolytes for all-solid-state batteries. Native point defects and dopants play a critical role in impeding or facilitating fast ion conduction in these solid electrolytes. Despite its significance, comprehensive studies of the native defect chemistry of well-known solid electrolytes is currently lacking, in part due their compositional and structural complexity. Most of these solid-state electrolytes exhibit significant structural disorder, which requires careful consideration when modeling the point defect energetics. In this work, we model the native defect chemistry of a disordered solid electrolyte,  $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$  (LGPS), by uniquely combining ensemble statistics, accurate electronic structure, and modern first-principles defect calculations. We find that  $V_{\text{Li}}$ ,  $\text{Li}_i$ , and  $\text{P}_{\text{Ge}}$  are the dominant defects. From these calculations, we determine the statistics of defect energetics; formation energies of the dominant defects vary over  $\sim 140$  meV. Combined with previously reported *ab initio* molecular dynamics simulations, we find that anti-sites  $\text{P}_{\text{Ge}}$  promote Li ion conductivity, suggesting LGPS growth under P-rich/Ge-poor conditions will enhance ion conductivity. To this end, we offer practical experimental guides to enhance ion conductivity.

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## 1 Introduction

All-solid-state batteries offer greater safety and higher energy and power densities compared to the currently employed Li- and Na-ion batteries that utilize flammable liquid electrolytes.<sup>1,2</sup> Several classes of materials have been considered for solid-state electrolytes, including thiophosphates (e.g.  $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$ ),<sup>3,4</sup> garnets (e.g.  $\text{Li}_7\text{La}_3\text{Zr}_2\text{O}_{12}$ ),<sup>5,6</sup> argyrodites (e.g.  $\text{Li}_6\text{PS}_5\text{Cl}$ ),<sup>7,8</sup> LISICONs,<sup>9</sup> NASICONs,<sup>10</sup> anti-perovskites (e.g.  $\text{Li}_3\text{OCl}$ )<sup>11,12</sup> etc. Most of these solid-state electrolytes exhibit significant structural disorder, which enables fast ion conduction.<sup>13,14</sup> For instance,  $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$  (LGPS) and derived compounds display complex site disorder, as shown in Fig. 1. In LGPS, the available Li sites are partially occupied and P/Ge exhibit substitutional disorder while the anion (S) sub-lattice is ordered.

Intrinsic and extrinsic point defects play a critical role in determining the properties of materials, particularly semiconductors and insulators. First-principles defect calculations have proven immensely useful in the development of materials for thermoelectrics,<sup>15,16</sup> photovoltaics,<sup>17,18</sup> power electronics<sup>19,20</sup> etc. In solid-state battery electrolytes, native point

defects and dopants could impede ion conduction by acting as diffusion path blockers, or enhance conduction by flattening the energy landscape for diffusion.<sup>21,22</sup> Similarly, defects could influence ionic and electronic conduction in cathode materials.<sup>23,24</sup> While direct observation and measurement of point defects is quite challenging, first-principles defect calculations can provide insights about the dominant defects, and their concentrations as well as electronic carrier concentrations. When combined with *ab initio* molecular dynamics simulations, the effect of point defects on the ion conductivity can also be quantitatively probed. The native defect energetics of ordered compounds for cathodes such as olivines ( $\text{LiFePO}_4$ ),<sup>23</sup> cobaltites (e.g.  $\text{LiCoO}_2$ ),<sup>25</sup> silicates (e.g.  $\text{Li}_2\text{MnSiO}_4$ )<sup>24</sup> have been previously reported.

Despite its significance, comprehensive studies of the native defect chemistry of well-known solid electrolytes is currently lacking, in part due their compositional and structural complexity. In particular, the complex site disorder needs careful consideration when modeling the point defect chemistry.<sup>26,27</sup> Recent attempts at modeling the point defect energetics in disordered solid-state electrolytes have utilized either a single ordered representation of the disordered structure<sup>28</sup> or a low-temperature ordered phase.<sup>29</sup> In this study, we model the native defect chemistry of a disordered solid electrolyte,  $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$ , by adopting a unique methodology that combines ensemble statistics and first-principles defect calculations to account for the disorder.

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Fig. 1 Tetragonal crystal structure of  $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$  contains  $\text{P}_2\text{S}_4$  tetrahedra and  $(\text{Ge}_{0.5}\text{P}_{1.0.5})\text{S}_4$  tetrahedra with partially occupied Li sites in the  $c$ -axis channels and in the  $a$ - $b$  plane bridging sites. The S sub-lattice and P2 sites are fully occupied.

$\text{Li}_{10}\text{GeP}_2\text{S}_{12}$  and derived compounds are among the solid-state electrolytes exhibiting the highest ionic conductivities.<sup>3,30</sup> It is believed that the soft anion lattice and the structural disorder of LGPS enables high Li ion conductivity.<sup>31</sup> The room-temperature tetragonal ( $P4_2/nmc$ ) crystal structure of LGPS is characterized by  $(\text{Ge}_{0.5}\text{P}_{1.0.5})\text{S}_4$  and  $\text{P}_2\text{S}_4$  tetrahedra, interspersed with partially occupied Li sites, as shown in Fig. 1. The one-dimensional network of Li ions that form along the  $c$ -axis channels are primarily responsible for Li ion diffusion in LGPS, although the importance of Li ion diffusion in the  $a$ - $b$  plane has also been recognized.<sup>32</sup> Ever since its introduction in 2011, LGPS and related compounds have been extensively studied both experimentally<sup>31</sup> as well as theoretically.<sup>32–34</sup> The theoretical studies employing first-principles calculations have focused primarily on the phase stability,<sup>32,33</sup> and ion diffusion mechanism.<sup>32–34</sup> In contrast, the native defect chemistry of LGPS is largely unexplored, partly due to the associated computational challenges. Recently, Oh *et al.* used first-principles defect calculations to map the defect chemistry of LGPS.<sup>28</sup> However, in this study, the disordered phase of LGPS was represented by a single ordered structure. In a disordered material, a multitude of different local bonding environments are possible, which could lead to variations in the formation energies of the same defect. Therefore, it is fundamentally important to account for the disorder and to determine the statistical variation in the defect formation energies.

In this study, we model the native defect chemistry of disordered LGPS by uniquely combining ensemble statistics, accurate electronic structure, and modern first-principles defect calculations. We use a Madelung energy minimization criteria

in conjunction with ensemble statistics to select representative structures that account for structural disorder. For four representative structures, we perform state-of-the-art defect calculations and find that  $V_{\text{Li}}$ ,  $\text{Li}_i$ , and  $\text{P}_{\text{Ge}}$  are the dominant defects. We also find that the formation energies of the dominant defects can vary over  $\sim 140$  meV across the representative structures. Combined with previously reported *ab initio* molecular dynamics simulations,<sup>28</sup> we find that  $\text{P}_{\text{Ge}}$  defects promote Li ion conductivity, suggesting LGPS grown under P-rich/Ge-poor conditions would enhance ion conductivity.

## 2 Computational methods

### 2.1 Selecting structures for defect calculations

The tetragonal ( $P4_2/nmc$ ) crystal structure of LGPS (Fig. 1) contains 4 unique Wyckoff sites of Li–Li1 (16h), Li2 (4d), Li3 (8f), Li4 (4c), one of Ge–Ge1 (4d), two of P–P1 (4d), P2 (2b), and three of S–S1 (8g), S2 (8g), S3 (8g), where the Wyckoff site symbols are shown in parentheses.<sup>35</sup> The Li1 and Li3 sites form the one-dimensional network in the  $c$ -axis channels and Li2 and Li4 are the bridging sites lying in the  $a$ - $b$  plane. While the anion sub-lattice (S1, S2, S3) and P2 site are fully occupied, the cation sub-lattices exhibit disorder, namely: (a) P1 and Ge1 sites have fractional occupation of 0.5, and (b) the 32 Li sites distributed over Li1–Li4 are occupied by 20 Li ions.

Given the complexity of the disorder in LGPS, the number of possible atomic configurations in the LGPS structure is extremely large. As a first step, we utilize a computationally effective electrostatic (Madelung) energy minimization criterion<sup>28,32</sup> to select 1000 configurations with lowest energies among all possible configurations in the 50-atom supercell. For this, we assume ideal ionic charges on Li (+1), Ge (+4), P (+5), and S (–2). Subsequently, these 1000 structures are fully relaxed with density functional theory (DFT) using the standard GGA-PBE functional.<sup>36</sup> More details of the computational setup are provided in the next section (Section 2.2). The energy distribution of these 1000 structures (after DFT relaxation), also called thermodynamic density of states, is shown in Fig. 2(a).

Next, we adopt an ensemble statistical procedure to select a collection of ordered structures (among the 1000 relaxed structures) to represent the disordered phase of LGPS. It has been recently shown that a disordered macrostate can be expressed as a thermodynamic average of structurally ordered microstates.<sup>37</sup> This approach is predicated upon the statistical treatment of an ensemble (distribution) of local minima and has been shown to reproduce well the structural features of amorphous and glassy states.<sup>37</sup> Another study has demonstrated that an ensemble-based model can be used to model the complex NMR spectra of disordered compounds.<sup>38</sup> In this work, we apply ensemble statistics to the set of 1000 ordered structures obtained in the previous step from the Madelung energies criterion. The thermodynamic contribution of each microstate to the disordered phase of LGPS is proportional to  $g(E)\exp[-(E - E_{\text{min}})/k_{\text{B}}T]$ , where  $E$  is the energy of a microstate,  $g(E)$  is its degeneracy, and  $k_{\text{B}}$  is the Boltzmann constant. Since the symmetry of all “ordered” configurations turns out to be P1 after DFT relaxations, the degeneracy of states (*i.e.* the



Fig. 2 (a) Energy distribution of the 1000 DFT-relaxed, LGPS structures selected using the electrostatic minimization criterion. Energy is expressed per atom, relative to the lowest energy structure. (b) Probability distribution of the 1000 relaxed structures calculated using ensemble statistics at  $T = 823$  K. Labels s1, s2, s3, and s4 are the four representative structures chosen for defect calculations.

multiplicity) is decided on the basis of equality of DFT total energies and DFT-relaxed volumes. The thermodynamic contribution of each microstate can be expressed as a probability  $\frac{1}{Z}g(E)\exp[-(E - E_{\min})/k_B T]$  with  $Z$  being the normalization factor (partition function); Fig. 2(b) shows the probability distribution of the 1000 structures as a function of volume per atom assuming the typical synthesis temperature 823 K.<sup>3</sup> An appropriate condition for selecting representative structures is to choose those with high probability according to ensemble statistics. For performing defect calculations, we chose two highly probable structures with slightly different cell volumes (19.74, 19.84 Å<sup>3</sup> per atom), which are, henceforth, referred to as structure 1 (s1 in Fig. 2(b)), and structure 2 (s2 in Fig. 2(b)), respectively. To add diversity to the set of representative structures, we also chose two more structures with different volumes (19.94, 20.02 Å<sup>3</sup> per atom) and lower probabilities, labelled s3 and s4 in Fig. 2(b). We refrained from choosing structures from the largest “cloud” of data points at much lower probabilities (~0.1%) in Fig. 2(b).

## 2.2 Point defect energetics and electronic structure

First-principles point defect calculations is used to compute the formation energies of native defects as functions of the Fermi energy in each of the 4 structures. We calculate the defect formation energies in LGPS using density functional theory (DFT) and a standard supercell approach.<sup>39</sup> Within the supercell approach, the formation energy ( $\Delta E_{D,q}$ ) of a point defect  $D$  in charge state  $q$  is calculated as:

$$\Delta E_{D,q} = (E_{D,q} - E_H) + \sum_i n_i \mu_i + qE_F + E_{\text{corr}} \quad (1)$$

where  $E_H$  and  $E_{D,q}$  are the total energies of the defect-free, charge-neutral host supercell ( $E_H$ ) and the supercell containing defect  $D$  in charge state  $q$ , respectively. The chemical potential of element  $i$  is denoted by  $\mu_i$  and  $n_i$  is the number of atoms of element  $i$  added ( $n_i < 0$ ) or removed ( $n_i > 0$ ) from the supercell.  $E_F$  is the Fermi energy. The term  $qE_F$  is the characteristic energy of exchanging charge between the defect and the reservoir of charge (Fermi sea). The supercell approach to calculating defect energetics suffers from artifacts arising due to finite size effects. Additional artifacts are introduced due to the limitations of DFT, most notably, the underestimation of the band gap with standard functionals such as GGA-PBE.<sup>36</sup> Various correction schemes are available to correct for the finite size artefacts and inaccurate electronic structure; these corrections,<sup>39</sup> are represented by the term  $E_{\text{corr}}$  in eqn (1).

In total, we consider up to 31 different native defects comprising vacancies ( $V_{\text{Li}}, V_{\text{Ge}}, V_{\text{P}}, V_{\text{S}}$ ), anti-sites ( $\text{Ge}_{\text{P}}, \text{P}_{\text{Ge}}, \text{P}_{\text{S}}, \text{S}_{\text{P}}$ ), and interstitials ( $\text{Li}_i$ ), with each unique Wyckoff site treated as a different defect. For each defect, charge states  $q = -3, -2, -1, 0, 1, 2, 3$  are considered; for some defects, such as  $V_{\text{P}}$ , additional charge states  $q = -5, -4, 4, 5$  are also considered. The possible sites for Li interstitials are determined by a Voronoi tessellation scheme as implemented in the software, *pylada-defects*.<sup>40</sup> In each structure, the energetically most favorable interstitial configuration is assessed by relaxing up to 50 different possible interstitial configurations.

The total energies of the supercells are calculated using the generalized gradient approximation (GGA) of Perdew–Burke–Ernzerhof (PBE)<sup>36</sup> within the projector augmented wave (PAW) formalism as implemented in the VASP code.<sup>41</sup> The total energies are calculated with a plane-wave energy cutoff of 340 eV and a  $\Gamma$ -centered  $4 \times 4 \times 2$  Monkhorst pack  $k$ -point grid to sample the Brillouin zone. The positions of the ions in the defect supercells are relaxed following a similar procedure used in ref. 15 and 42. The elemental chemical potentials  $\mu_i$  are expressed relative to those of the elements in reference elemental phases as  $\mu_i = \mu_i^0 + \Delta\mu_i$ , where  $\mu_i^0$  is the reference chemical potential under standard conditions and  $\Delta\mu_i$  is the deviation from the reference.  $\Delta\mu_i = 0$  corresponds to  $i$ -rich conditions. For example,  $\Delta\mu_{\text{S}} = 0$  (S-rich) corresponds to the equilibrium between LGPS and solid S. The reference chemical potentials ( $\mu_i^0$ ) are fitted to a set of measured formation enthalpies of compounds, as implemented in the FERE approach.<sup>43</sup>

The finite-size corrections included in  $E_{\text{corr}}$ , following the methodology in ref. 39, are: (1) image charge correction for

charged defects, (2) potential alignment correction for charged defects, (3) band filling correction for shallow defects, and (4) correction of band edges for shallow acceptors/donors. The calculations are organized and the results are analyzed using our software package, pylada-defects, for automation of point defect calculations.<sup>40</sup>

The underestimation of the band gap in DFT is remedied by applying individual valence and conduction band edge shifts (relative to the DFT-computed band edges) as determined from GW quasi-particle energy calculations.<sup>39</sup> We use DFT wave functions as input to the GW calculations. The GW eigen-energies are iterated to self-consistency to remove the dependence on the single-particle energies of the initial DFT calculation. The input DFT wave functions are kept constant during the GW calculations, which allows the interpretation of the GW quasi-particle energies in terms of energy shifts relative to the DFT Kohn–Sham energies. The GW quasi-particle energies are calculated for the 50 atom cells using a  $4 \times 4 \times 2$   $k$ -point grid.

Under a given growth condition, the equilibrium  $E_F$  is determined by solving the charge neutrality condition. The concentration of defects are determined using Boltzmann distribution, such that  $[D_q] = N_s \exp(-\Delta H_{D,q}/k_B T)$ , where  $[D_q]$  is the defect concentration,  $N_s$  is the concentration of lattice sites where the defect can be formed,  $k_B$  is the Boltzmann constant, and  $T$  is the temperature. At a given  $T$ , the concentrations of electrons and holes are functions of  $E_F$ . To establish charge neutrality, the total positive charges should equal the negative charges. In this equation,  $E_F$  is the only free parameter. By solving charge neutrality condition self-consistently, we can determine the equilibrium  $E_F$  and the relevant defect formation energies and concentrations.

### 2.3 Phase stability

The phase stability of LGPS relative to decomposition into competing phases determines the bounds on the values of  $\Delta\mu_i$ , described in the Section 2.2. To establish the phase stability region of LGPS in the quaternary Li–Ge–P–S chemical potential phase space, we consider all known compounds in this

chemical space reported in the Inorganic Crystal Structure Database (ICSD).<sup>44</sup> We also consider additional  $\text{Li}_x\text{P}_y\text{S}_z$  compounds suggested in ref. 32 and 33, which were compiled by Holzwarth *et al.*<sup>45</sup> We find that the phase stability region of LGPS in the chemical potential space (in the absence of electrical bias) is bounded by 10 four-phase corners – at each corner LGPS is in equilibrium with 3 other phases. Overall, we find that LGPS is in equilibrium with S,  $\text{Li}_3\text{PS}_4$ ,  $\text{Li}_4\text{GeS}_4$ ,  $\text{Li}_2\text{S}$ ,  $\text{GeS}_2$ ,  $\text{GeP}_3$ , and  $\text{LiP}_7$ , which is in excellent agreement with the experimental phase diagrams of LGPS.<sup>46,47</sup> In ref. 28, LGPS is predicted to be in equilibrium with S,  $\text{Li}_2\text{S}$ ,  $\text{Li}_2\text{GeS}_3$ , and  $\text{Li}_2\text{PS}_3$ , which does agree well with the experimental phase diagrams. More details of our phase stability calculation and the values of  $\mu_i^0$  and  $\Delta\mu_i$  of Li, Ge, P, and S at the 10 four-phase corners (labelled P-1 through P-10) are provided in the ESI.† Corners P-1 through P-4, which are in equilibrium with elemental S, represent the most sulfur-rich conditions while P-9 and P-10, which are in equilibrium with  $\text{Li}_2\text{S}$ , are the most Li-rich conditions within the phase stability region.

## 3 Results

Fig. 3 shows the four LGPS structures that were selected from the electrostatic energy minimization criterion followed by ensemble statistics, as described in Section 2.1. The structures differ in the occupation of the Li sites, most noticeably in the  $c$ -axis channels. The four structures exhibit slightly different cell volumes (Section 2.1). For each of these four structures, we computed their accurate electronic structure with GW-based methods and native defect energetics with first-principles defect calculations, which are discussed next.

### 3.1 Electronic structure and defect chemistry of LGPS

Defect formation energies, and therefore, defect and electronic carrier concentrations are sensitive to the electronic structure, particularly the band gap. We calculated the band gap of the four structures (Fig. 3) and found them to range between 4.69–4.72 eV. Our GW-calculated band gaps are larger compared to



Fig. 3 Four representative LGPS structures selected for defect calculations. The structures differ in the Li site occupations and have slightly different volumes per atom (19.74, 19.84, 19.94, 20.02 Å<sup>3</sup> per atom).



the band gap calculated with hybrid DFT functional HSE06 (3.6–3.8 eV).<sup>28,32,33</sup> GW-based methods are considered state-of-the-art for calculating the electronic structure of semiconductors and insulators.<sup>48</sup> To the best of our knowledge, the GW electronic structure of LGPS has not been reported in the literature so far. Given the larger GW band gap, it is likely that the band gaps calculated with HSE06 are also underestimated; this could explain, in part, the discrepancy in the predicted and experimental electrochemical stability of LGPS discussed in ref. 32 and 33.

Defect energetics are typically presented in the form of “defect diagrams” with defect formation energies ( $\Delta E_{D,q}$ ) plotted as functions of the Fermi energy ( $E_F$ ), as shown in Fig. 4. Defects with positive slopes are donors and with negative slopes are acceptors. The defect formation energies are also functions of the elemental chemical potentials  $\mu_i$  (see eqn (1)). In other words,  $\Delta E_{D,q}$  also depends on  $\Delta\mu_i$  of each element in the Li–Ge–P–S quaternary phase space, where the values of  $\Delta\mu_i$  are bound by the condition of LGPS phase stability (Section 2.3). As such, the defect diagrams are a function of the elemental chemical potentials.

Let us first examine the calculated defect energetics of one of the structures, namely structure 1 (Fig. 4). The defect energetics of structures 2–4 are qualitatively similar to that of structure 1. For the sake of simplicity, the defect energetics corresponding to the chemical potentials at two 4-phase corners (P-1 and P-10) are plotted in Fig. 4(a) and (b), respectively. The values of  $\Delta\mu_i$  ( $i = \text{Li, Ge, P, S}$ ) corresponding to the corners P-1 and P-10 are tabulated in the ESI.† The defects with the lowest formation energies at the equilibrium Fermi energy ( $E_{F,\text{eq}}$ ) are the dominant defects. In Fig. 4(a) and (b), we find that the dominant defects (denoted by solid lines) are Li vacancies ( $V_{\text{Li}}$ ), Li interstitials ( $\text{Li}_i$ ), and P1/Ge anti-sites ( $\text{P}_{\text{Ge}}$ ). The Li vacancy with lowest formation energy forms at a Li site in the  $c$ -axis channel, as opposed to in the  $a$ - $b$  plane bridging sites. The formation of  $\text{P}_{\text{Ge}}$  anti-site defects are more favorable than the formation of  $\text{Ge}_P$  anti-sites. Therefore, we predict that LGPS is naturally off-stoichiometric (slightly P-rich) compared to the ideal stoichiometry of  $\text{P} : \text{Ge} = 2 : 1$ . The predicted  $E_{F,\text{eq}}$  is pinned around 2.56 (3.06) eV above the valence band maximum ( $E_F = 0$  eV) at corner P-1 (P-10). Owing to the large band gap and Fermi energy pinning far from the band edges, the predicted free carrier concentrations are very low, consistent with the fact that LGPS is electrically insulating.

The defect energetics of the dominant defects for structures 1–4 at corners of the phase stability region corresponding to P-1 and P-10 are summarized in Fig. 5. In all cases, the dominant defects are  $V_{\text{Li}}$ ,  $\text{Li}_i$ , and  $\text{P}_{\text{Ge}}$ ; higher energy anti-site defects  $\text{Ge}_P$  (at site P1) are also shown. While the formation energies of the dominant defects in a given structure do not vary significantly between corners P-1 and P-10, there is appreciable differences in the formation energetics between different structures. The variation in the formation energy of defects between different representative structures (structures 1–4) provides a quantitative measure of the statistical distribution of defect formation energies in the disordered LGPS phase. The variation in defect formation energies is further discussed in Section 4.

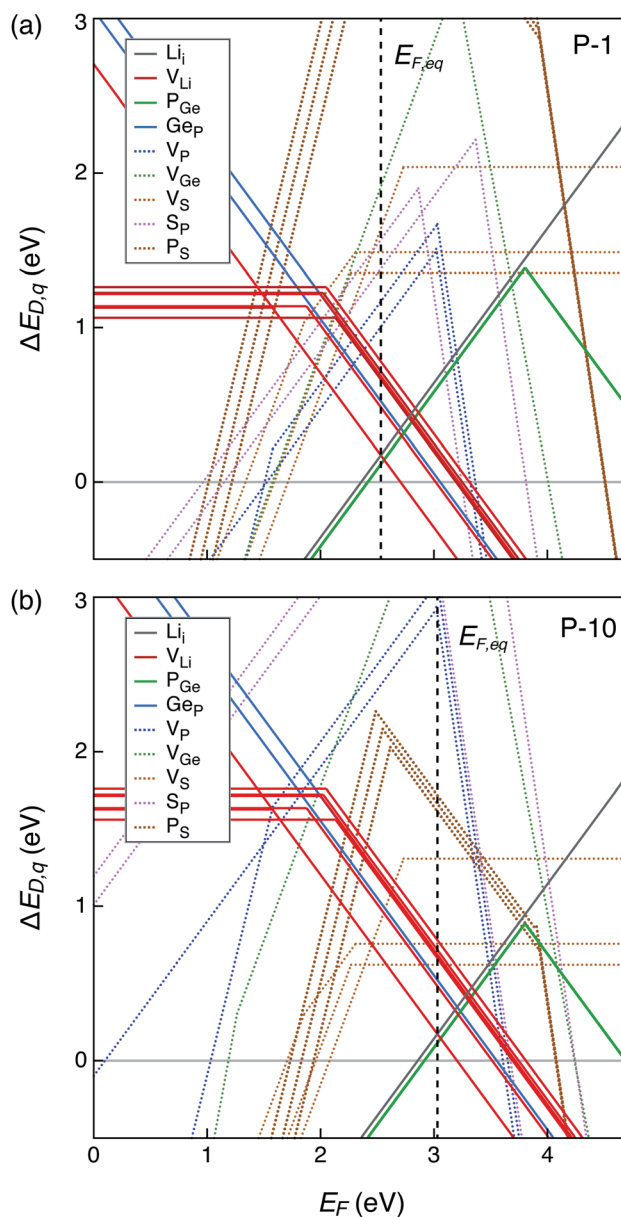


Fig. 4 Formation energies of native point defects ( $\Delta E_{D,q}$ ) in  $\text{Li}_{10}\text{-GeP}_{2.5}\text{S}_{12}$  as functions of Fermi energy ( $E_F$ ) at elemental chemical potentials corresponding to (a) P-1, and (b) P-10 (see Table S1†).  $E_F$  is referenced to the valence band maximum. The upper limit of  $E_F$  shown is the conduction band minimum such that  $E_F$  values range from 0 to the band gap. Multiple lines of the same color represent the same defect type at different Wyckoff sites. Lowest formation energy defects are denoted by solid lines while those with higher formation energies with dotted lines. The equilibrium Fermi energy ( $E_{F,\text{eq}}$ ) marked by vertical dashed line is calculated at 300 K.

## 4 Discussion

Thus far, we have shown that (1) the dominant defects in LGPS are  $V_{\text{Li}}$ ,  $\text{Li}_i$ , and  $\text{P}_{\text{Ge}}$ , and (2) there is a distribution of defect formation energies within the ensemble of representative structures. In this section, we discuss the fundamental and practical implications of these findings for solid-state electrolytes.

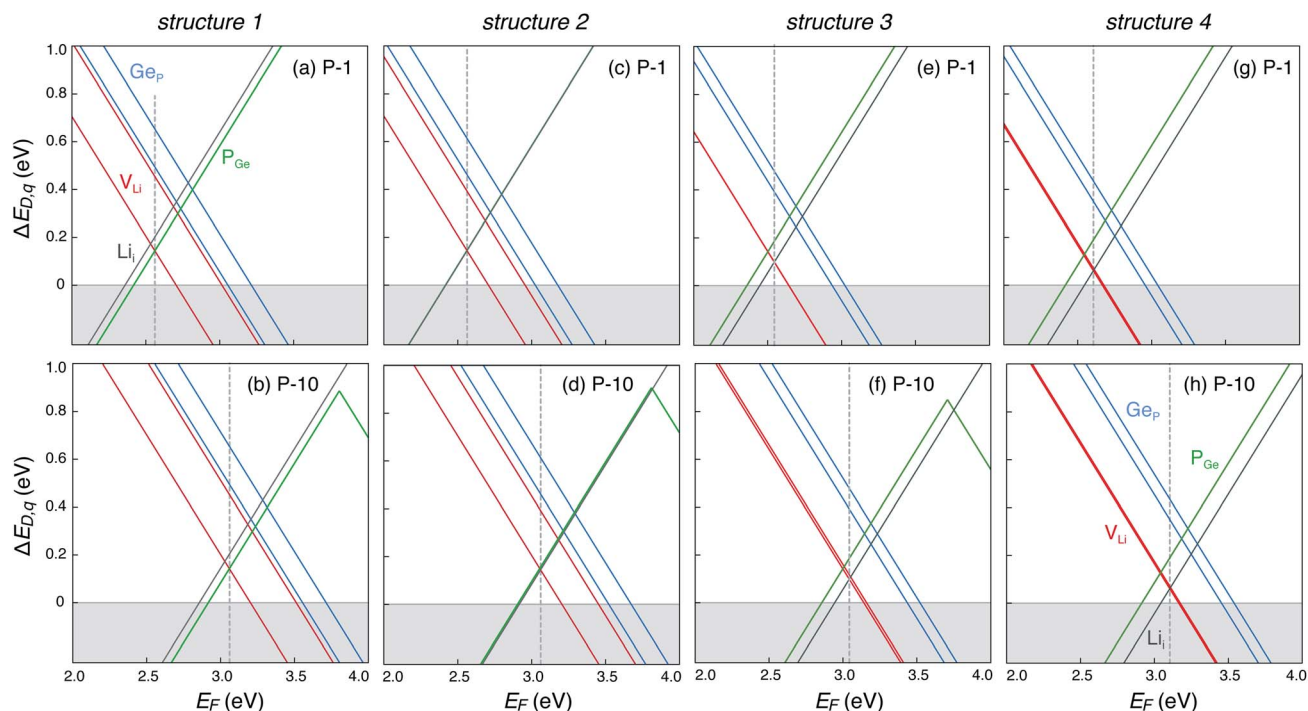


Fig. 5 Formation energies of native point defects ( $V_{Li}$ ,  $Li_i$ ,  $P_{Ge}$ , and  $Ge_P$ ) as functions of Fermi energy ( $E_F$ ) in LGPS structures 1–4 (Fig. 3) at elemental chemical potentials corresponding to P-1 (a, c, e and g), and P-10 (b, d, f and h).  $E_F$  is referenced to the valence band maximum. Multiple lines of the same color represent the same defect type at different Wyckoff sites.

#### 4.1 Dominant defects and distribution of defect energetics

The dominant defects in LGPS do not block the path of Li ion diffusion in the  $c$ -axis channels. In addition to a soft anion sublattice<sup>31</sup> and cation site disorder,<sup>13</sup> the absence of path-blocking defects could be another reason for the remarkably high Li ion conductivities in LGPS and related compounds.<sup>3,30</sup> This is unlike certain cathode materials, such as  $LiFePO_4$ , where path-blocking, anti-site defects  $Fe_{Li}$  are present in high concentrations.<sup>23</sup>

Fig. 6 shows the distribution of the formation energies (at the equilibrium Fermi energy) of the relevant defects in LGPS. For a given defect type, the spread in the formation energies arises from two sources: (1) variation with elemental chemical potentials  $\mu_i$  (or  $\Delta\mu_i$ ), and (2) variations between structures 1–4. We observe that the spread in the defect formation energies range from  $\sim 60$  meV ( $P_{Ge}$ ) to up to 140 meV ( $Li_i$ ). At the synthesis temperature (823 K), the spread in the formation energies translate into a spread in the defect concentrations of  $1.2 \times 10^{20}$  to  $2.7 \times 10^{20} \text{ cm}^{-3}$  for  $P_{Ge}$  and  $8.8 \times 10^{19}$  to  $3.9 \times 10^{20} \text{ cm}^{-3}$  for  $Li_i$ . Therefore, in the disordered phase of LGPS, one can expect the defect concentrations to be an average over the corresponding defect concentrations in the representative structures. However, given the higher probability of structures 1 and 2 (Fig. 2), compared to structures 3 and 4, the defect energetics from s1 and s2 can be expected to be statistically more significant. Nonetheless, the spread in the defect formation energies in Fig. 6 is significant, which emphasizes the need to consider an ensemble of probable structures to estimate the

defect energetics in the disordered phase (as opposed to a single ordered representation).

Additionally, the lower formation energy of  $P_{Ge}$  anti-site defects compared to  $Ge_P$  suggests that nominally stoichiometric composition  $Li_{10}GeP_2S_{12}$  will be slightly P-rich. Experimental studies have shown that LGPS forms Ge-rich solid solutions in the  $Li_4GeS_4$ – $Li_3PS_4$  pseudo-binary phase diagram.<sup>46,49</sup> The same study found that the nominally stoichiometric LGPS composition can be slightly P-rich at typical

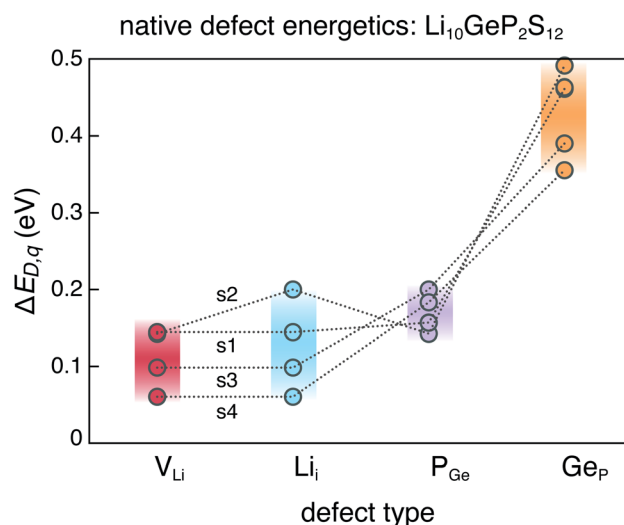


Fig. 6 Range of formation energies of native defects ( $V_{Li}$ ,  $Li_i$ ,  $P_{Ge}$ ,  $Ge_P$ ) in the ensemble of four representative LGPS structures (s1–s4).

growth temperatures around 823 K, consistent with our findings.

#### 4.2 Conductivity enhancement by anti-site defects

A previous study used *ab initio* molecular dynamics simulations and found that  $P_{\text{Ge}}$  anti-site defects in LGPS enhance Li ion conductivity.<sup>28</sup> A plausible explanation for the conductivity enhancement can be gleaned from the local structure around the  $P_{\text{Ge}}$  anti-site defects. It is known that Ge–S bonds (2.1 Å) are slightly longer than P–S bonds (2.0 Å),<sup>3</sup> which makes the S anion sub-lattice deviate from the ideal bcc framework,<sup>50</sup> leading to distortion of the *c*-axis channel as shown in Fig. S1 (ESI).<sup>†</sup> The introduction of  $P_{\text{Ge}}$  anti-site defect appears to locally restore the bcc framework and make the *c*-axis channel less distorted, as shown for one of the structures with  $P_{\text{Ge}}$  defect (Fig. S1<sup>†</sup>). It is plausible that the removal of structural distortion locally enhances Li ion conductivity.

Therefore, it would be prudent to synthesize LGPS under growth conditions that maximize the concentration of these anti-site defects. To this end, we identify the chemical potentials (within the phase stability region) corresponding to the most P-rich/Ge-poor conditions such that the defect formation energy of  $P_{\text{Ge}}$  is minimized. This is achieved at the chemical potentials corresponding to the 4-phase corner P-9 (see Table S1 in ESI<sup>†</sup>). At P-9, LGPS is in equilibrium with  $\text{Li}_3\text{PS}_4$ ,  $\text{Li}_2\text{S}$ , and  $\text{LiP}_7$ . In practice, this can be achieved in experiments by performing phase boundary mapping.<sup>42</sup> For instance, synthesizing LGPS such that trace amounts of  $\text{Li}_3\text{PS}_4$ ,  $\text{Li}_2\text{S}$ , and  $\text{LiP}_7$  are present will ensure that chemical potentials during growth are at corner P-9. Phase boundary mapping has been successfully utilized to engineer thermoelectric materials, where charge transport appears to be largely unaffected by the trace amounts of impurity phases.<sup>42</sup> However, in solid-state conductors, it is possible that such impurity phases may hinder ion conduction.

Among others, experimental efforts to replace Ge as well as improve the Li ion conductivity in LGPS have involved alloying on the Ge site with Group 14 elements (Si, Sn).<sup>30,51–53</sup> However, those studies have revealed that the Li ion conductivity in the solid solutions (with Si, Sn) are somewhat lower than in LGPS. Our defect calculations provide insights about the native defect chemistry of LGPS in the non-interacting dilute limit; however, the defect chemistry in highly off-stoichiometric and solid solutions of LGPS cannot be inferred from our calculations because the non-interacting dilute approximation is not valid in such cases.

## 5 Conclusions

To understand and find ways to improve ionic conductivity of solid-state electrolytes, it is important to fully investigate the defect energetics and the effect of defects on ionic conductivity. Computing the defect energetics in disordered phases is particularly challenging. Here, we modeled the native defect chemistry of a disordered solid-state electrolyte,  $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$ , by employing a unique methodology. The results provide insights into the fundamental understanding of defect properties and

experimental guidance to improve ionic conductivity in LGPS. To summarize,

- (1) The dominant defects in LGPS are  $V_{\text{Li}}$ ,  $\text{Li}_i$ , and  $P_{\text{Ge}}$ . Anti-site  $\text{Ge}_p$  defects are present in concentrations lower than  $P_{\text{Ge}}$ .
- (2) Anti-site  $P_{\text{Ge}}$  defects enhance Li ion conductivity plausibly due to the removal of local structural distortion.
- (3) Synthesis of LGPS under P-rich/Ge-poor growth conditions will maximize concentration of  $P_{\text{Ge}}$ , thereby improving ionic conductivity. LGPS grown in equilibrium with  $\text{Li}_3\text{PS}_4$ ,  $\text{Li}_2\text{S}$ , and  $\text{LiP}_7$  will be most P-rich/Ge-poor.

The calculation methodology presented in this work lays the groundwork to investigate the defect properties of other well-known and emerging disordered solid-state electrolytes.

## Conflicts of interest

There are no conflicts to declare.

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