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## 1. Introduction

The adsorption of CO on solid surfaces and its chemical bonds with those surfaces have been extensively studied because CO is involved in many important reactions, such as dehydrogenation of methanol,1-8 water-gas-shift reaction,9-11 CO oxidation12-15 and the Fischer-Tropsch process;16-22 CO also commonly serves as a probe molecule to investigate surface structures.<sup>23-36</sup> A comparison of infrared (IR) stretching wavenumbers between metal carbonyls and chemisorbed CO molecules on solid surfaces indicates the highly localized nature of the surface chemical bonds. A few theoretical models based on the local description have been used to understand the IR observations.37,38 With simple molecular-orbital considerations, a correlation between the wavenumber of a bond-stretching vibration and the number of metal atoms involved in the bonding has been established. Regions of stretching wavenumbers are classified into linear, bridged and hollowed

## The interaction of CO molecules on Au–Rh bimetallic nanoclusters supported on a thin film of Al<sub>2</sub>O<sub>3</sub>/NiAl(100)†

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The interaction of CO molecules adsorbed on Au–Rh bimetallic nanoclusters supported on an ordered thin film of Al<sub>2</sub>O<sub>3</sub>/NiAl(100) was studied, primarily with infrared reflection absorption spectroscopy and densityfunctional-theory calculations. The bimetallic clusters, grown by sequential deposition of vapor Au and Rh onto the Al<sub>2</sub>O<sub>3</sub>/NiAl(100) surface at 300 K, had diameters of 1.2–3.0 nm and heights of 0.4–1.2 nm; they had a fcc phase and grew in the orientation (100). The infrared absorption line for CO adsorbed on Au sites (CO<sub>Au</sub>) of the bimetallic clusters at 110 K was narrow (centered about 2100 cm<sup>-1</sup>) and intense, which results largely from the small adsorption energy and large dipole moment of CO<sub>Au</sub>, whereas that on Rh sites (CO<sub>Rh</sub>) was broad (1880–2100 cm<sup>-1</sup>) and weak, which contrasts also with its counterpart on pure Rh clusters. Upon increasing the temperature to remove CO<sub>Au</sub>, the absorption line for CO<sub>Rh</sub> narrowed and the intensity increased; at 300 K, the line width decreased by 30–40% and the absorption intensity was enhanced by 40–60%. The former arose, after the desorption of CO<sub>Au</sub>, from a decreased CO–CO interaction and inhomogeneous broadening; the latter corresponded to an enhanced dipole moment of CO<sub>Rh</sub>, attributed to a promoted charge transfer from the CO<sub>Rh</sub>-binding Rh to the neighboring Au and consequently increased charge donated from CO<sub>Rh</sub> to Rh. The varied IR absorption for adsorbed CO can thus serve as an indicator for the charge transfer between the components in bimetallic clusters.

> molecules for CO adsorbed on transition-metal surfaces.38,39 Measured IR absorption wavenumbers can be assigned to specific adsorption geometries and accordingly reveal the surface structures. IR absorption intensities of CO on lowcoordinate metal atoms, those with metal-metal coordination less than surface atoms in regular, low-index surfaces, have been found to be significantly enhanced relative to those on regular surface sites.38,40 This effect allows us to explore further the varied coordination of CO-binding metal atoms and hence the evolution of surface structures, as demonstrated previously in the alloying of supported bimetallic clusters.40 The present work shows that the varied IR absorption intensity of CO on surfaces can also reflect altered electronic states of CO-binding surface atoms. We demonstrate a significant CO-CO interaction via a charge transfer between the CO-binding atoms on bimetallic clusters.

> We recorded the IR absorption of CO on Au–Rh bimetallic nanoclusters supported on an ultra-thin film of  $Al_2O_3$  grown on a NiAl(100) substrate, under ultrahigh vacuum (UHV) and with infrared reflection absorption spectroscopy (IRAS). The bimetallic clusters were prepared on depositing sequentially Au and Rh vapors onto  $Al_2O_3/NiAl(100)$  at 300 K; CO was adsorbed at 110 K and annealed stepwise to selected temperatures. The oxide thin film was used as it both resembles various physical properties characteristic of the bulk oxide and allows the use of

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#### Paper

electron-based surface-probe techniques.<sup>41–45</sup> Au–Rh nanoclusters are investigated primarily with an objective to improve the performance of catalysts in a fuel cell for methanol oxidation.<sup>1,3,4,6,46</sup> The morphology and structure of the bimetallic clusters were characterized with scanning tunneling microscopy (STM) and reflection high-energy electron diffraction (RHEED); temperature-programmed desorption (TPD) of CO on the bimetallic clusters was also performed as a comparison. Furthermore, we used calculations based on density-functional theory (DFT) to investigate the origin of the varied absorption lines and intensities for CO on the bimetallic clusters.

The results show that on the Au-Rh nanoclusters, formed with metal deposition in either order, the IR absorption intensity of CO on Rh sites (CO<sub>Rh</sub>) was significantly attenuated by CO on Au sites (CO<sub>Au</sub>). The absorption line for CO<sub>Rb</sub> was broad and weak at 110 K, in contrast with its counterpart on pure Rh clusters and also that for COAu. With increased temperature, which induced desorption of CO<sub>Au</sub>, the absorption line for CO<sub>Rh</sub> became evidently narrowed and more intense. The changes in the orientation of CO<sub>Rh</sub> and in the surface components of the bimetallic clusters, driven either by increased temperature or co-adsorbed CO species, are excluded to account for the altered absorption. Our DFT calculations have considered adsorbate (CO-coverage dependence), electronic (surface composition) and structural (coordination of CO-binding metals) effects on the adsorption energy, vibrational wavenumber and charge distribution of CO<sub>Au</sub> and CO<sub>Rh</sub>. The simulation indicates that the interaction between CO<sub>Au</sub> and CO<sub>Rh</sub> proceeded largely through a charge transfer between CO-binding Au and Rh; CO<sub>Au</sub> suppressed the charge transfer from neighboring Rh to CO<sub>Au</sub>binding Au, so the desorption of CO<sub>Au</sub> relieved the suppression and promoted the charge donation from  $CO_{Rh}$  to Rh, which led to an increased dipole moment of CO<sub>Rb</sub>. The increased dipole moment reflects likely an increased dynamic dipole moment and thus IR absorption.<sup>38,47</sup> The results imply that the varied IR absorption for adsorbed CO can serve as a probe of the charge transfer between the components in bimetallic clusters.

## 2. Methods

#### 2.1 Experimental section

Our experiments were performed in UHV chambers with a base pressure in a 10<sup>-10</sup> Torr regime. A NiAl(100) sample (MaTeck GmbH) was polished to a roughness less than 30 nm and an orientation accuracy better than 0.1°. The sample underwent alternative cycles of sputtering and subsequent annealing before each experiment. The cleanliness of the sample was monitored with Auger electron spectroscopy, low-energy electron diffraction and STM. An ultra-thin  $\theta$ -Al<sub>2</sub>O<sub>3</sub> film was formed on oxidation of a NiAl(100) alloy surface at 1000 K; the formation of Al<sub>2</sub>O<sub>3</sub> thin films is described elsewhere.<sup>42,43,45,48</sup> To achieve a homogeneous crystalline Al<sub>2</sub>O<sub>3</sub> surface with no NiAl facets,49,50 we refrained from protracted post-oxidation annealing of the oxide films. The amorphous oxide surface was also negligible. The grown  $\theta$ -Al<sub>2</sub>O<sub>3</sub> thin film had thickness 0.5-1.0 nm.42,43,45,48 The sample was then quenched to 300 K for vapor deposition of Rh and Au from ultra-pure Rh rod and Au

wires (in a molybdenum crucible) heated by electron bombardment in commercial evaporators (Omicron EFM 3). The rate of deposition of metal was fixed about 0.17 ML per min and 0.25 ML per min for Rh and Au respectively, calculated according to the coverage prepared at 300 K. The coverage was estimated from the volume of the Rh (or Au) clusters observed with STM; 1.0 ML corresponds to density  $1.39 \times 10^{15}$  ( $1.2 \times 10^{15}$ ) atoms per cm<sup>2</sup> of fcc Rh(100) (Au(100)) surface atoms. After the deposition, the sample was cooled to the desired adsorption temperature (110 K, unless specified). CO gas was dosed by a doser pointing to the sample, with a background pressure  $2-5 \times 10^{-9}$  Torr. We reported CO exposures in Langmuir units ( $1.0 \text{ L} = 10^{-6}$  Torr s<sup>-1</sup>).

STM images (recorded with a RHK UHV 300 unit), constantcurrent topographies, were obtained at 90 K with a sample bias voltage typically 2.4-2.8 V and a tunneling current 0.8-1.2 nA. The STM tip consisted of an electrochemically etched tungsten wire. RHEED was performed with an incident electron beam of energy 30 keV at a grazing angle 2-3° to the surface. TPD measurements were performed by ramping the sample at 3 K s<sup>-1</sup> and monitoring the various masses on a quadruple mass spectrometer (Hiden), which was shielded and placed close (about 2 mm) to the sample. IRAS spectra were collected using a Fourier transform infrared spectrometer (FTLA 2000) with external optics aligned for an incident angle 75° from the sample normal, and a liquid nitrogen-cooled MCT detector. The IRAS spectra are presented as the ratio of sample and oxide surface (or clusters) data measured at the same surface temperature (110 K), and are typically the average of 256 scans at resolution 4  $cm^{-1}$ .

#### 2.2 Computational method

The calculations for structural optimization, energy, vibrational frequency and Bader charge were all performed using the Vienna Ab initio Simulation Package (VASP).51-53 The computational was at the GGA-PW91 level<sup>54-56</sup> as the exchange-correlation function was treated by the generalized gradient approximation (GGA) with Perdew-Wang 1991 (PW91) formulation. The electron-ion interaction was modeled by the projector-augmented wave method (PAW),57,58 combining the accuracy of augmented plane waves with the cost-effective pseudopotentials. The kinetic cutoff energy of plane-wave basis was set at 600 eV. The Brillouin-Zone (BZ) integration was sampled by Monkhorst-Pack scheme<sup>59</sup> at 0.05  $\times$  2 (Å<sup>-1</sup>) interval in the reciprocal space. All the structural optimization was performed by quasi-Newton method with an energetic convergence of  $1 \times 10^{-4}$  eV and a gradient convergence of  $1 \times$  $10^{-2}$  eV. The parameter settings, balanced between the computational cost and precision, were examined by comparing the computed adsorption energies to those derived from a greater cutoff energy (800 eV) and more rigorous criteria for energetic  $(10^{-6} \text{ eV})$  and gradient  $(10^{-3} \text{ eV})$  convergences; the comparison showed limited energetic differences, <±0.1 eV. The activation energy calculation for CO diffusion were calculated using Nudged Elastic Band (NEB) method60 at the same energetic and gradient convergences.

Three types of metallic structures, the extended surface M(100), stepped surface M<sub>step</sub> and round-shaped cluster M<sub>38</sub>, were employed for the calculations to investigate the structural effect on the adsorption of CO. The extended surfaces Au(100) and Rh(100) were modeled by a supercell comprising a  $3 \times 3$ slab of six atomic layers, with the bottom two layers fixed and top four layers allowed to relax; an equivalent five-layer vacuum space was used to limit the artificial interaction between distinct adsorbates and slabs. The stepped surfaces Austep and Rh<sub>step</sub> were modeled by removing half of the top layer at Au(100) and Rh(100), respectively, to create steps on the surfaces. The round shaped clusters Au38 and Rh38 were modeled by the stable clusters with 38 metallic elements and a diameter ca. 0.9 nm. The details of the models can be found in our previous studies.<sup>7,8</sup> Additionally, four atoms at the surfaces of Au(100) and Rh(100) were substituted by Rh and Au atoms, respectively to model Rh/Au(100) and Au/Rh(100) to exam the electronic effect on the Au-Rh bimetallic clusters.

The vibrations of adsorbed CO were analyzed by the finite displacement approach, in which the Hessian matrix (force constant matrix) was derived by slightly displacing atoms from their optimized positions. The Hessian matrix was truncated due to the finite size of the super cell and decayed rapidly to zero with increasing inter-atomic separation. The vibrational frequency of adsorbed CO was obtained from the diagonalization of Hessian matrix and computed at the  $\Gamma$ -point of the Brillouin zone.<sup>61,62</sup> The convergence for the frequency calculation was examined with more rigorous conditions: k-point meshes of  $0.03 \times 2$  (Å<sup>-1</sup>), a cutoff energy of 800 eV, an energetic convergence of 10<sup>-6</sup> eV, and greater numbers of steps and step sizes; the result showed a negligible variation in the frequency  $(\leq 4 \text{ cm}^{-1}, \text{ smaller than the experimental resolution})$ . The van der Waals correction by DFT-D2 method has been included for the calculation of high CO coverage. The calculated frequencies are utilized to explain the experimental observation qualitatively. The charge distribution of the adsorbed CO were obtained by Bader charge analysis<sup>63-65</sup> at the optimized structures. Some numerical differences between the current and other charge analyses (e.g. the Mulliken charge analysis) were expected; nevertheless, these charge analyses provide qualitatively similar trends.

### 3. Results and discussion

# 3.1 Morphology and structure of supported Au-Rh bimetallic clusters

The Au–Rh bimetallic nanoclusters were formed on sequential deposition of vapors of Au and Rh onto an ordered thin film of  $Al_2O_3/NiAl(100)$  at 300 K. Our series of STM measurements show that, for vapor deposition of first Au and then Rh, only a proportion of subsequently deposited Rh metal joined the pregrown Au clusters and formed bimetallic clusters (denoted as Rh/Au bimetallic clusters); this proportion increased with the pre-deposited Au coverage and became dominant at Au coverage  $\geq 1.0$  ML. In the present experiments, Rh/Au bimetallic clusters were prepared with the deposition of 1.0 ML Au and 1.0 ML Rh; nearly 85% of subsequently deposited Rh was

incorporated into the pregrown Au clusters. Fig. 1 exemplifies a STM image of the bimetallic clusters. After the deposition of Rh, both the cluster density (from  $1.24 \times 10^{13}$  to  $1.49 \times 10^{13}$ cm<sup>-2</sup>) and the diameter increased slightly. In contrast, for vapor deposition in the reverse order, all subsequently deposited Au joined the pregrown Rh clusters (denoted as Au/Rh bimetallic clusters). The disparate behaviors arose because the diffusion length and the critical size for nucleation66 differ for Au and Rh on the oxide surface. The Au/Rh bimetallic clusters for the present experiments were prepared with 1.0 ML Rh clusters incorporating subsequently deposited 1.0 ML Au. Regardless of the order of metal deposition, the alloying in the clusters was active and proceeded toward a structure with a Rh core and a Au shell.67,68 For Rh clusters incorporating deposited Au, Au atoms decorated the surface of the bimetallic clusters; for Au clusters incorporating deposited Rh, Au atoms remained at the cluster surface, as the deposited Rh atoms exchanged positions with the underlying Au atoms.67,68

The structures of the present Au-Rh bimetallic clusters were resolved with RHEED measurements. Our results show that either Rh/Au or Au/Rh bimetallic clusters were structurally ordered; they had a fcc phase, and grew with their (100) facets parallel to the  $\theta$ -Al<sub>2</sub>O<sub>3</sub>(100) surface and [110] axes along direction [010] of the oxide surface, Au-Rh(100)[110]//Al<sub>2</sub>O<sub>3</sub>(100) [010]. Fig. 2 exemplifies the observed RHEED patterns. The patterns are comparable to or even sharper than those from structurally ordered 1.0 ML Rh clusters, indicating the dominance of structurally ordered bimetallic clusters on the surface. Their structures are governed by Rh atoms, even for the Rh/Au bimetallic clusters formed with a smaller proportion of Rh incorporated into Au clusters. Both the orientation and lattice parameters of the bimetallic clusters resembled those of Rh clusters supported on Al<sub>2</sub>O<sub>3</sub>/NiAl(100).<sup>7</sup> The lattice parameters of the bimetallic clusters formed on the deposition of 1.0 ML Au and 1.0 ML Rh (either order of deposition) were 4.05  $\pm$  0.04 Å, similar to the value of 1.0 ML Rh clusters (4.04  $\pm$  0.06 Å) but different from that of 1.0 ML Au clusters (4.2  $\pm$  0.1 Å).<sup>7,69</sup>

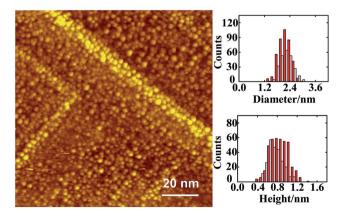


Fig. 1 STM image from 1.0 ML Au and subsequently 1.0 ML Rh deposited on thin-film  $Al_2O_3$ /NiAl(100) at 300 K. The insets show histograms of diameter and height of the clusters. The grey bars in the histograms correspond to the STM image; the red bars in the histograms are from that for 1.0 ML Au, and are shown side by side to demonstrate the variation of the size distribution.

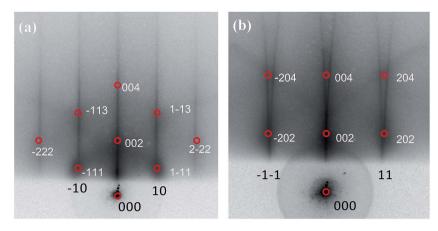


Fig. 2 RHEED patterns for 1.0 ML Au and subsequently 1.0 ML Rh deposited on thin-film  $Al_2O_3/NiAl(100)$  at 300 K. (a) and (b) show patterns obtained at azimuth [0–10] and [0–11] respectively. Red circles denote reciprocal-lattice points for the bimetallic clusters Au–Rh (100)[110]//  $Al_2O_3(100)[010]$ .

## 3.2 Adsorption and interaction of CO on Au-Rh bimetallic clusters

Fig. 3a shows the CO IRAS spectra of 5.0 L CO adsorbed on Au/ Rh bimetallic clusters at 110 K and annealed stepwise to selected temperatures. 5.0 L CO is sufficient to saturate the cluster surface. The absorption feature centered about 2100  $cm^{-1}$  is assigned to the C-O stretching mode (vCO) for CO linearly bonded to low-coordinate Au;40,69-75 at 110 K, no CO adsorbed on terrace Au.70-73 With increased temperature, the absorption signals decreased-the signals became highly attenuated above 200 K and nearly vanished above 350 K; the decrease of the signals is ascribed to the desorption of CO as the characteristic temperatures agree with the thermal desorption for CO on Au sites of Au-Rh bimetallic clusters (denoted as CO<sub>Au</sub>), shown in ESI.<sup>†</sup> The absorption line for CO on Rh sites (denoted as CO<sub>Rb</sub>) at 110 K is less intense but much broader, centered about 2019 cm<sup>-1</sup>, in a range from 1880 to 2100 cm<sup>-1</sup>, shown in Fig. 3b (rescaled CO IRAS spectra).8,26,76-78 The CO also adsorbed on top of the Rh atoms, as no absorption feature for CO on bridge or hollow sites, expected in the regime 1770-1900 cm<sup>-1</sup>,<sup>26,76–78</sup> was observed. The much greater absorption intensity from CO<sub>Au</sub> does not correspond to much more numerous Au sites on the bimetallic clusters, but to the greater dipole moment for COAu on the more electronegative Au, as indicated in the DFT calculation below. The TPD experiments with CO as a probe show that the desorption signals from CO<sub>Au</sub> were comparable to those from CO<sub>Rh</sub>; 1.0 ML Au did not cover entirely the 1.0 ML Rh clusters (Fig. S1, ESI<sup>+</sup>). Also, the absorption intensity of COAu is strongly enhanced through a local field effect.<sup>38</sup> For CO bound to a regular terrace, the neighboring metal atoms embedded in the metal surface were directly involved in the screening of the dipole moment of the adsorbed CO, whereas, for CO bound to a low-coordinate metal, the CO-binding metal had no altered electronic density and the CO bond decoupled from other metal atoms embedded in the metal surface.<sup>38</sup> Such decoupling contributes to the intensity enhancement. The enhancement thus depends on the coordination of the CO-binding metal atom. In the present case, CO

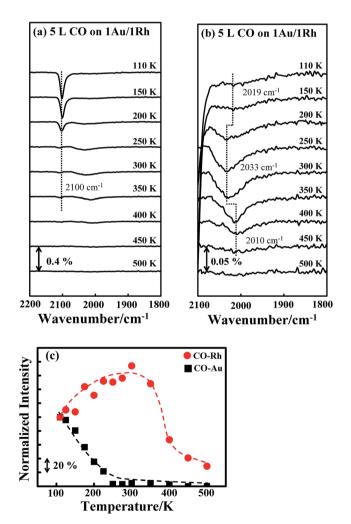


Fig. 3 (a) CO IRAS spectra for 5.0 L CO adsorbed on Au/Rh bimetallic clusters at 110 K and annealed to selected temperatures, as indicated; (b) rescaled spectra between wavenumbers 2100 and 1800 cm<sup>-1</sup>; (c) plot of the integrated absorption intensities for CO on Au (black squares) and Rh (red circles) sites as a function of temperature. The bimetallic clusters were formed on deposition of first 1.0 ML Rh and then 1.0 ML Au onto Al<sub>2</sub>O<sub>3</sub>/NiAl(100) at 300 K.

adsorbed only on the low-coordinate Au sites, whereas CO adsorbed atop the Rh sites of all kinds. Accordingly, the absorption signals for  $CO_{Au}$  became evidently more intense.

The absorption line for CO<sub>Rh</sub> responded to the increased temperature in a manner disparate from that for CO<sub>Au</sub>. The absorption line narrowed and the integrated intensity increased with temperature, up to 300 K; at 300 K, the absorption line narrowed by about 40% (in a range between 1930 and 2070  $cm^{-1}$ ), and the intensity increased by 60%. Above 300 K, the absorption signals became less intense with increasing temperature and vanished about 500 K. The decreased signals above 300 K are rationalized with desorption of CO<sub>Rh</sub>, as CO<sub>Rh</sub> began to desorb above 300 K; the desorption was complete about 500 K, according to our TPD experiments (Fig. S1, ESI<sup>†</sup>). The narrowing absorption line likely resulted because the effect of inhomogeneous broadening was decreased, as COAu desorbed and the CO dipole-dipole interaction attenuated; with decreased CO concentration on the clusters, the absorption at the large wavenumber side evidently declined. Our DFT calculations shown below indicate the effect of the absorbateadsorbate interaction on the wavenumber, and support this argument. The integrated absorption intensities for CO<sub>Au</sub> (black squares) and  $CO_{Rh}$  (red circles) as a function of temperature are plotted in Fig. 3c. Both intensities are normalized to those at 110 K. The intensity for CO<sub>Rh</sub> grew, relative to that at 110 K, with temperature below 350 K; concurrently, the intensity for CO<sub>Au</sub> decreased to nearly zero at 250-300 K.

A similar effect was observed for CO on Rh/Au bimetallic clusters, shown in Fig. 4a and b. The absorption line ( $\nu$ CO) for CO<sub>Au</sub>, centered about 2100 cm<sup>-1</sup>, decreased with temperature (Fig. 4a), whereas that for CO<sub>Rh</sub>, about 1930–2100 cm<sup>-1</sup>, continued to narrow and to have increased intensity up to 300 K (Fig. 4b). The plot of the integrated absorption intensities confirms the same trend (Fig. 4c): the intensity for CO<sub>Rh</sub> increases by about 40% at 300 K, accompanied by the decreased intensity for CO<sub>Au</sub>. The intensity increase is slightly smaller than that for Au/Rh bimetallic clusters (Fig. 3c), likely because some separate Rh clusters existed—no suppressed absorption was observed for CO on pure Rh clusters, shown below.

Either the temperature or the quantity of CO<sub>Au</sub> could account for the varied absorption intensity for CO<sub>Rh</sub>. As CO was adsorbed at 110 K, the adsorption configuration might not be optimized. Annealing might reorient the adsorbed CO, resulting in a larger dipole and thus greater absorption intensity, as seen previously on supported Pt clusters.<sup>40</sup> This possibility is nevertheless excluded by the CO IRAS spectra for CO on Rh clusters. Fig. 5a shows the CO IRAS spectra for 5.0 L CO adsorbed on 1.0 ML Rh clusters at 110 K and annealed stepwise to selected temperatures. The CO absorption line at 110 K is centered about 2060 cm<sup>-1</sup>; the line width (2000–2100 cm<sup>-1</sup>) is much smaller than that from  $\text{CO}_{\text{Rh}}$  on the above bimetallic clusters but the intensity is much greater, due to many more Rh sites. The absorption line altered little with increased temperature, up to 300 K; above 300 K, the line attenuated and shifted to smaller wavenumber, reflecting desorption of CO<sub>Rh</sub>. Fig. 5b plots the integrated absorption intensity of CO<sub>Rh</sub> on the Rh clusters as a function of temperature. The intensity altered little below 350

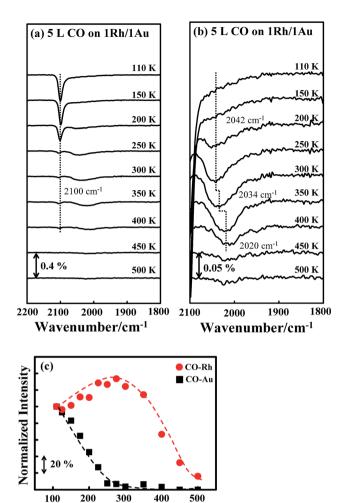
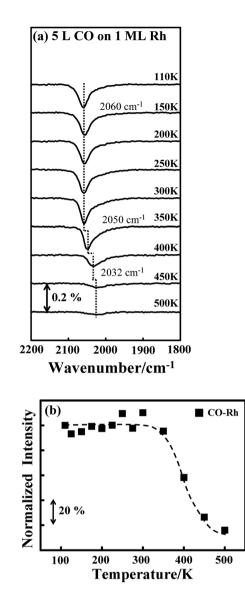


Fig. 4 (a) CO IRAS spectra for 5.0 L CO adsorbed on Rh/Au bimetallic clusters at 110 K and annealed to selected temperatures, as indicated; (b) rescaled spectra between wavenumbers 2100 and 1800 cm<sup>-1</sup>; (c) plot of the integrated absorption intensities for CO on Au (black squares) and Rh (red circles) sites as a function of temperature. The bimetallic clusters were formed on deposition of first 1.0 ML Au and then 1.0 ML Rh onto Al<sub>2</sub>O<sub>3</sub>/NiAl(100) at 300 K.

Temperature/K

K, indicating that  $CO_{Rh}$  had already adsorbed in an optimal configuration at 110 K and the annealing did not reorient the adsorbed CO to enhance the absorption intensity. The varied absorption intensity of  $CO_{Rh}$  is thus associated with  $CO_{Au}$ ; the removal of  $CO_{Au}$  leads to an enhanced IR absorption of  $CO_{Rh}$ . The diffusion of  $CO_{Au}$  to the Rh sites to increase  $CO_{Rh}$  and thus the absorption intensity is unlikely as the Rh sites were already saturated with adsorbed CO.

A mechanism for the effect of  $CO_{Au}$  on the IR absorption intensity of  $CO_{Rh}$  might include an altered surface composition, an altered orientation of  $CO_{Rh}$  and an altered electronic distribution of CO-binding Rh atoms. For an altered surface composition, the adsorbed CO and increased temperature promoted the diffusion of Rh from the cluster interior to the surface during the annealing, which increased the surface Rh sites, as the CO-Rh bond is evidently stronger than the CO-Au bond. Similar structural changes were observed for Au-Pt



**Fig. 5** (a) CO IRAS spectra of 5.0 L-CO adsorbed on 1.0 ML Rh clusters at 110 K and annealed to selected temperatures, as indicated; (b) plot of integrated absorption intensities for CO on Rh clusters as a function of temperature. The Rh clusters were grown at 300 K.

bimetallic clusters on TiO<sub>2</sub>(100),<sup>75</sup> Au-Rh bimetallic clusters on titanate nanotubes and TiO2(110),79 and Pd-Au bimetallic model catalysts synthesized either as thin films on Mo(110) or as nanoparticles on a TiO<sub>2</sub> thin film.<sup>80</sup> If this mechanism were responsible for the present observation, the increased absorption signals for  $\mathrm{CO}_{\mathrm{Rh}}$ , corresponding to the increased Rh sites would be irreversible. The result of our CO IRAS experiments, however, contradicts this expectation. Fig. 6a and b show the CO IRAS spectra for 5.0 L CO molecularly adsorbed on Au/Rh bimetallic clusters at 110 K and annealed to 300 K, respectively. The absorption line centered about  $2102 \text{ cm}^{-1}$  in Fig. 6a is assigned to  $CO_{Au}$  and that about 2031 cm<sup>-1</sup> in Fig. 6b to  $CO_{Rh}$ . The signals about 2102  $\text{cm}^{-1}$  in Fig. 6b are due to residual CO<sub>Au</sub>. Re-adsorbing CO on the sample at 110 K increased the signals from  $CO_{Au}$  and decreased those from  $CO_{Rh}$  (Fig. 6c) again. If the increased Rh sites accounted for the increased signals, re-

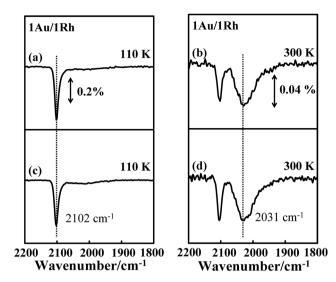


Fig. 6 CO IRAS spectra of 5.0 L CO molecularly adsorbed on Au/Rh bimetallic clusters at (a) 110 K and annealed to (b) 300 K; (c) and (d) show spectra of the same sample exposed to 5.0 L CO at 110 K and annealed to 300 K again. The bimetallic clusters in (a)–(d) were formed on deposition of first 1.0 ML Rh and then 1.0 ML Au onto  $Al_2O_3/NiAl(100)$  at 300 K.

adsorption of CO on Au sites would not decrease the signals from  $CO_{Rh}$ . Annealing the sample to 300 K again decreased the absorption signals from  $CO_{Au}$  and enhanced those from  $CO_{Rh}$ (Fig. 6d). The absorption line from  $CO_{Rh}$  in Fig. 6d resembles closely that in Fig. 6b, indicating also that the Rh sites did not increase. The resembling absorption lines before the annealing and after the re-adsorption of CO reflect an unaltered surface structure of the bimetallic clusters.

We conducted a series of DFT-based simulation to shed light on the effect of CO<sub>Au</sub> on the absorption intensity of CO<sub>Rh</sub>. We investigated systematically the adsorbate (CO-coverage dependence), electronic (surface composition) and structural (coordination of CO-binding metals) effects for CO adsorbed on Au and Rh surfaces. First, the structures of single CO molecules adsorbed on extended surfaces forming  $M(100)_{1CO}$  (M = Au and Rh) were optimized to serve as references. Second, one, two and eight neighboring CO molecules co-adsorbed on the surfaces were added, forming  $M(100)_{2CO}$ ,  $M(100)_{3CO}$  and  $M(100)_{9CO}$ , to reveal the adsorbate effect at a large CO coverage. Third, the surface elements neighboring the CO-binding Au (or Rh) atoms were replaced with Rh (or Au) atoms forming Rh/Au(100)<sub>1CO</sub> (or  $Au/Rh(100)_{1CO}$  to exhibit an electronic effect induced by the foreign elements in Rh/Au (or Rh/Au) bimetallic clusters. Fourth, single CO molecules adsorbed on the edge site of stepped surface M<sub>step</sub> and the corner site of round-shaped cluster M<sub>cluster</sub> were computed to clarify the structural effect while CO adsorbed on the low-coordinate sites. Finally, adsorbing another CO on a foreign element to form Rh/  $Au(100)_{2CO}$  and  $Au/Rh(100)_{2CO}$  on extended surfaces and lowcoordinate sites, which combined adsorbate, electronic and structural effects, was included in the investigation. The optimized structures of these adsorbed CO (Fig. S2 and S3 in ESI<sup>†</sup>) were employed for the calculations of adsorption energy  $(E_{ads})$ ,

vibrational wavenumber (v), C–O bond length ( $r_{CO}$ ) and Bader charges ( $q_C$  and  $q_O$ ), listed in Table S1 in ESI.†

Fig. 7a plots schematically the computed vibrational wavenumbers of CO<sub>Au</sub> (yellow squares) and CO<sub>Rh</sub> (cyan circles). These unscaled values of  $\nu$  are comparable to those from the IRAS experiment for CO adsorbed on Au–Rh bimetallic clusters; the slightly smaller values are derived because the DFT calculations predicted longer C–O bonds.  $\nu$  of CO<sub>Au</sub> was distributed in a narrow range but was greater than that of CO<sub>Rh</sub>, consistent with observations (Fig. 3 and 4). The greater  $\nu$  of CO<sub>Au</sub> is attributable to its weaker  $E_{ads}$  (-0.40 to -0.80 eV) and shorter  $r_{CO}$  (1.151–1.153 Å) than those for CO<sub>Rh</sub> (-1.69 to -2.48 eV and 1.160–1.171 Å); the narrower distribution of  $\nu$  of CO<sub>Au</sub> corresponds to  $E_{ads}$  (0.4 eV) and  $r_{CO}$  (0.002 Å) less varied than those for CO<sub>Rh</sub> (0.79 eV and 0.011 Å). The charge analysis indicated additionally that CO<sub>Au</sub> has  $q_C$  (1.77–2.01|e|) more positive than that of CO<sub>Rh</sub> (1.54–1.75|e|), which implies a greater dipole moment. The greater dipole moment does not correspond directly to but indicates likely a greater dynamic dipole moment;<sup>38,47</sup> the result hence suggests an IR absorption for CO<sub>Au</sub> more intense than that for CO<sub>Rh</sub>, which agrees with the above IRAS experiment. The CO<sub>Au</sub> is less negatively charged and has more positively charged C than CO<sub>Rh</sub> because the CO<sub>Au</sub>-binding Au, which has greater electronegativity and d electrons

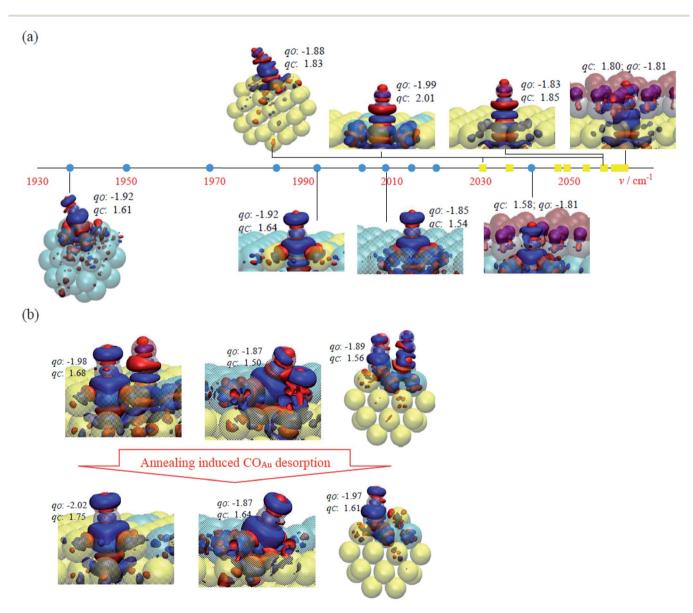


Fig. 7 (a) Schematic plot of vibrational wavenumbers of  $CO_{Au}$  (yellow squares) and  $CO_{Rh}$  (cyan circles) and selected adsorption structures, including M(100)<sub>1CO</sub>, M(100)<sub>9CO</sub>, Rh/Au(100)<sub>1CO</sub>, Au/Rh(100)<sub>1CO</sub> and M<sub>cluster</sub>, and their charge analysis for the investigation of adsorbate, electronic and structural effects. The Au, Rh, C and O atoms are shown as yellow, cyan, grey and red transparent spheres, respectively; the induced charges +0.005 and -0.005|e| are plotted in solid blue and red isosurfaces, respectively; the numbers indicate the Bader charges  $q_C$  and  $q_O$  in |e|. The complete results and structures for all  $CO_{Au}$  and  $CO_{Rh}$  adsorptions are listed in Table S1 and Fig. S2 and S3 in ESI.† (b) Charge analysis for the surface annealing, which results from  $CO_{Au}$  desorption in Rh/Au(100)<sub>2CO</sub>  $\rightarrow$  Rh/Au(100)<sub>1CO</sub>, Rh/Au(100)<sub>step-2CO</sub>  $\rightarrow$  Rh/Au(100)<sub>step-1CO</sub> and Rh/Au(100)<sub>cluster-1CO</sub>.

of lower energy, obtains more charge donated by  $CO_{Au}$ ; in contrast,  $CO_{Rh}$  has less positive  $q_C$  and longer  $r_{CO}$  because the  $CO_{Rh}$ -binding Rh, which has d electrons of higher energy, back donates more electrons to  $\pi^*$  orbital of  $CO_{Rh}$ .

The three effects on the vibrational properties were examined further. First, the adsorbate effect shows that co-adsorbed CO increased v as it decreased  $E_{ads}$  and shortened  $r_{CO}$  of the neighboring CO. This effect led to the greatest v for  $CO_{Au}$  (2063  $cm^{-1}$ ) and for  $CO_{Rh}$  (2042  $cm^{-1}$ ) on the most crowded  $M(100)_{9CO}$ , corresponding to the smallest  $E_{ads}$  and the shortest  $r_{\rm CO}$  (-0.40 eV/1.151 Å for CO<sub>Au</sub> and -1.69 eV/1.161 Å for CO<sub>Rh</sub>). Through the stronger CO-Rh interaction, the effect for CO<sub>Rh</sub> is more evident: it accounts for the wider distribution of v and the greater sensitivity of  $\nu$  to the CO coverage (Fig. 3 and 4). Second, the electronic effect is insignificant on v but notable on  $q_{\rm C}$  and  $q_{\rm O}$ : the IR absorption is enhanced. Rh/Au(100)<sub>1CO</sub> has the largest  $q_{\rm C}$  (2.01|*e*| for CO<sub>Au</sub> and 1.75|*e*| for CO<sub>Rh</sub>) and smallest  $q_{\rm O}$  (-1.99|e| for CO<sub>Au</sub> and -2.02|e| for CO<sub>Rh</sub>), implying the largest dipole moment and greatest IR absorption intensity. Third, the structural effect changes v significantly. When CO adsorbs on low-coordinate Au or Rh, the vibrational wavenumber decreased because  $E_{ads}$  was strengthened and  $r_{CO}$  was substantially increased. Both  $CO_{Au}$  and  $CO_{Rh}$  have the smallest  $\nu$  (2031 and 1937 cm<sup>-1</sup>) on M<sub>cluster</sub>, corresponding to the largest  $E_{\rm ads}$  and the largest  $r_{\rm CO}$  (-0.80 eV/1.153 Å for CO<sub>Au</sub> and -2.45 eV/1.171 Å for  $CO_{Rh}$ ). Accordingly, the measured v of  $CO_{Rh}$ decreased with annealing (Fig. 5), not only as the CO coverage decreased but also as the remaining CO<sub>Rh</sub> adsorbed on lowcoordinate sites and had greater  $E_{ads}$ .

Our simulation indicates that the desorption of CO<sub>Au</sub> enhanced the IR absorption of CO<sub>Rh</sub> through a charge transfer between Au and Rh. When CO<sub>Au</sub> desorbed from Rh/Au(100)<sub>2CO</sub> and Au/Rh(100)<sub>2CO</sub>, the residual CO<sub>Rh</sub> showed changes of charge:  $q_{\rm C}$  became more positive (1.68  $\rightarrow$  1.75|e| on Rh/Au(100) and 1.60  $\rightarrow$  1.64|e| on Au/Rh(100)) and  $q_0$  became more negative  $(-1.98 \rightarrow -2.02|e|$  on Rh/Au(100) and  $-1.89 \rightarrow$ -1.92|e| on Au/Rh(100)). A similar effect was also shown for the step and corner sites: for  $q_{\rm C}$ ,  $1.50 \rightarrow 1.64|e|$  on Rh/Au<sub>step</sub> and  $1.56 \rightarrow 1.61|e|$  on Rh/Au<sub>cluster</sub>, and for  $q_0$ ,  $-1.87 \rightarrow -1.87|e|$  on Rh/Au<sub>step</sub> (unaltered) and  $-1.89 \rightarrow -1.97|e|$  on Rh/Au<sub>cluster</sub> (Fig. 7b). This result indicates enhanced dipole moments of the residual CO<sub>Rh</sub> and thus their IR absorption. The orientation of CO<sub>Rh</sub> after the desorption of CO<sub>Au</sub> altered little, so it accounts little for the enhanced IR absorption. We argue that the charge from the desorbing COAu donated to Au was compensated by increased charge transfer from Rh to Au, due to the greater electronegativity of Au; the electron-deficient Rh therefore grabbed more electrons from  $CO_{Rh}$ , which resulted in more positive  $q_{\rm C}$  and a larger dipole moment of CO<sub>Rh</sub>.

### 4. Conclusion

With IRAS and DFT calculations, we studied the interaction of  $CO_{Au}$  and  $CO_{Rh}$  on Au–Rh bimetallic nanoclusters supported on an ordered thin film of  $Al_2O_3/NiAl(100)$ . The bimetallic clusters were grown on depositing sequentially vapors of Au and Rh onto the  $Al_2O_3/NiAl(100)$  surface at 300 K; they were structurally

ordered, having a fcc phase and growing with their facets (001) parallel to the oxide surface, and had a diameter 1.2-3.0 nm and height 0.4-1.2 nm. CO<sub>Au</sub> on the bimetallic clusters exhibited a significant IR absorption with a small line width, whereas CO<sub>Rh</sub> had a broad and weak absorption line, in contrast to its counterpart on pure Rh clusters. The narrow line for COAu resulted from a weaker  $E_{ads}$  and a consequent insensitivity of vibrational wavenumber to the CO coverage (adsorbate effect) and the coordination of CO<sub>Au</sub>-binding Au atom (electronic and structural effect); the greater IR absorption corresponded to a greater dipole moment of CO<sub>Au</sub>, associated largely with the electronegativity and coordination of COAu-binding Au. Upon increasing the temperature to remove CO<sub>Au</sub>, the absorption line for CO<sub>Rh</sub> narrowed and the intensity increased; the intensity was enhanced by 40-60% and the line width decreased by 30-40% at 300 K, at which only limited COAu remained. As the effect was reversible, no structural alteration of the bimetallic clusters was induced by the adsorption of CO. We argue that the desorption of COAu decreased the CO-CO interaction and the inhomogeneous broadening, leading to a decreased line width, but enhanced the charge transfer between Au and neighboring CO<sub>Rh</sub>-binding Rh, and thus altered the charge distribution of CO<sub>Rh</sub>, yielding an increased dipole moment of CO<sub>Rh</sub> and an increased IR absorption.

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