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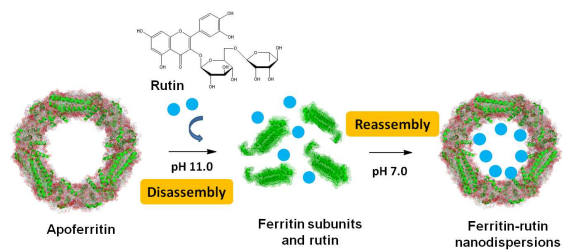
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We have studied the soybean seed ferritin stabilized rutin nanodispersions with improved water-solubility, thermal stability, and UV radiation stability.



1 **Synthesis of Homogeneous Protein-Stabilized Rutin**
2 **Nanodispersions by Reversible Assembly of Soybean**
3 **(*Glycine max*) Seed Ferritin**

4
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26 **Abstract**

27 Rutin is a common dietary flavonoid with important pharmacological activities.
28 However, its application in the food industry is limited mainly because of its poor
29 water-solubility. The nano-scale ferritin cage provides an ideal space for subtle
30 encapsulation of hydrophobic rutin molecules. This study describes the preparation of
31 novel homogeneous soybean seed ferritin stabilized rutin nanodispersions (FRNs) by
32 a unique reversible dissociation and reassembly of the apoferritin. The characteristics
33 including the water-solubility, morphology, leakage kinetics, and stability of the FRNs
34 were investigated. Results indicated that the rutin molecules could be successfully
35 encapsulated within the protein cages with a rutin/protein molar ratio of 30.1 to 1, and
36 the encapsulation and loading efficiency were 25.1% (w/w) and 3.29% (w/w),
37 respectively. *In vitro* experiments of rutin release demonstrated that the entrapment of
38 rutin was effective, with more than 75% (w/w) still encapsulated in the ferritin cage
39 after storage for 15 days. Furthermore, the thermal and UV radiation stability of
40 ferritin trapped rutin was greatly improved due to the encapsulation as compared to
41 free rutin. Additionally, the antioxidant activity of FRNs was partly retained as
42 compared to free rutin molecules. This study provides a novel strategy for the design
43 and fabrication of nanocarriers providing water-insoluble molecules with protection
44 and stabilization.

45

46 **Key words:** Rutin, Ferritin, Solubility, Stability, Nanodispersion

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49 **Introduction**

50 The natural rutin molecule (3',4',5,7-tetrahydroxyflavone-3-rutinoside, also known
51 as quercetin-3-O-rutinoside) (Fig. 1A), is a common dietary flavonoid known as
52 vitamin P that is widely consumed through plant-derived beverages and foods and is
53 also prevalent in traditional and folk medicines.^{1,2} It has been reported that rutin
54 possesses significant anti-inflammatory, antibacterial, antitumor, anti-ageing, and
55 antioxidant activities which make it a popular ingredient of herbal remedies.^{3,4} Rutin
56 contains a natural yellow pigment, and has also been extensively used as a coloring,
57 antioxidant, and flavoring additive in the food industry. However, applications in the
58 food and pharmaceutical industries are limited mainly because of its poor water
59 solubility, which is often associated with low and variable bioavailability and short
60 biological half-life.⁵ Novel improvements to enhance the water solubility and stability
61 of rutin would be beneficial.

62 Recently, micro/nanoencapsulation of poorly water soluble bioactive compounds
63 has attracted attention in the food and pharmaceutical industry in various applications
64 such as protection of bioactivity and controlled release for improving
65 bioavailability.^{6,7} Several strategies, such as supramolecular inclusion by
66 cyclodextrins,^{8,9} ionotropic gelation,¹⁰ self-emulsifying systems,¹¹ and lipid-based
67 onion-type multilamellar vesicle entrapment (MLVs)¹² have been successfully used
68 for encapsulating rutin to improve its solubility and stability. However, the
69 encapsulated rutin particles have been reported to be of non-uniform size, which may
70 affect their sensory properties, storage, and bioavailability. In addition, such methods
71 usually require the addition of considerable amounts of surfactants or organic solvents,
72 which may result in sample contamination and environmental pollution.

73 The soybean seed ferritin (SSF) is a cage like protein, which provides a natural
74 vehicle for encapsulation of hydrophobic molecules by reducing insolubility and
75 nonuniformity. Ferritin is a multimeric iron storage and detoxification protein and is
76 characterized by its spherical architecture and the internal binding of thousands of

77 iron atoms.¹³⁻¹⁶ Generally, ferritin is characterized by a well-defined hollow cage with
78 inner and outer diameters of 8 and 12 nm, respectively, and is precisely
79 self-assembled from 24 copies of identical or similar subunits that are arranged in an
80 octahedral (432) symmetry to form a spherical protein cage with a large nanocavity
81 (Fig.1B).¹⁷⁻¹⁹ Each ferritin molecule has eight 3-fold channels and six 4-fold channels,
82 through which the inner cavity of ferritin and outside solution is connected.²⁰ An
83 important, unique characteristic of ferritin, is its reversible assembly, which is
84 reflected by a disassociation of the ferritin cage at pH 2.0/11.0 or addition of
85 denaturants and subsequent reconstitution when pH is adjusted to pH 7.0 or the
86 denaturant is removed.^{21,22} During this process, small molecules can be added to a
87 lipid and be captured within the ferritin cage, resulting in the nano-composites.^{23,24} An
88 obvious advantage of this method is that the obtained soluble nanocomposites are
89 usually homogeneous in size ~12 nm. However, the encapsulation of water-insoluble
90 small molecules by ferritin is rare reported, possibly because of the different polarities
91 and the incompatibility of the water-insoluble molecules and ferritin cages. Thus, to
92 find the effective method to make them coexist in a same system during the reversible
93 assembly of the ferritin is highly expected.

94 The aim of this study was to prepare the soluble homogeneous ferritin stabilized
95 rutin nanodispersions (FRNs) by the revisable assembly of the ferritin cage.
96 Characterization of the FRNs included measuring encapsulation efficiency, thermal
97 degradation UV degradation, and release kinetics of rutin during storage. These novel
98 FRNs exhibited significantly improved waster solubility, and showed characteristics
99 which demonstrate that ferritin could be potentially used as a novel vehicle to protect
100 and stabilize water-insoluble molecules.

101 **Material and methods**

102 **Isolation and purification of soybean seed ferritin**

103 Dried soybean (*Glycine max*) seeds were obtained from the local market. Soybean
104 seed ferritin (SSF) was extracted and purified as previously described.^{25,26} Apo-
105 soybean seed ferritin (apoSSF) was prepared according to the reported method.²⁷

106 SDS-PAGE was performed to examine the purity of the protein under reducing
107 conditions using 15% gels according to a reported method.²⁸ The molecular weights
108 of apoSSF were estimated by native PAGE using an 8 % polyacrylamide gradient gel
109 employing Tris-HCl (25 mM, pH 8.3) as running buffer, and the electrophoresis was
110 run at 5 mA for 15 h, at 4 °C. Gels were stained with Coomassie Brilliant Blue R-250.
111 Ferritin concentration was determined according to the Lowry method using bovine
112 serum albumin as standard.²⁹

113 **Preparation of FRNs**

114 Rutin (Solarbio Science & Technology Co., Ltd., Beijing, China) (20.0 mg) was
115 dissolved in an ethanol-water solution (80:20, v/v) to make a stock solution with a
116 final concentration of 323.0 μM and stored in the dark in an amber bottle at 4°C. 3.72
117 mL of rutin stock solution was added to apoSSF solution (2.0 μM , 5.0 mL) with a
118 mole ratio of apoSSF/rutin to be 1:120. The pH value of the resultant solution was
119 adjusted to ~11 with NaOH (1 M) to disassemble ferritin into subunits, and the
120 reaction solution was stirred slowly for 25 min (20 °C). The pH of the resulting
121 mixture was decreased to 7.5 with HCl (1.0 M), followed by incubation at 4°C for 2 h
122 to induce the reassembly of the ferritin cage. The solution was then dialyzed (MW
123 1000 kDa cutoff) against Tris-HCl buffer (50 mM pH 7.5) six times every 6.0 h
124 intervals to remove free rutin. During dialysis unbound rutin diffuses across the
125 dialysis membrane whilst the encapsulated rutin remains trapped inside of the ferritin
126 cavity due to the narrow ferritin channels (0.3 nm in diameter). Finally, the suspension
127 was further filtered through 0.45- μm hydrophilic cellulose membrane filters to clarify
128 FRNs and then stored at 4°C. The encapsulation efficiency (%) and the loading
129 efficiency (%) are calculated according to Eq. (1) and (2), as follows.

$$130 \quad \text{Encapsulation efficiency (\%)} = \frac{\text{Encapsulated rutin}}{\text{Total rutin added}} \times 100\% \quad (1)$$

$$131 \quad \text{Loading efficiency (\%)} = \frac{\text{Encapsulated rutin}}{\text{Ferritin}} \times 100\% \quad (2)$$

132 **Transmission Electron Microscopy Analyses**

133 Ferritin and FRNs liquid samples were diluted in 50 mM Mops buffer (pH 7.5)
134 prior to placing on carbon-coated copper grids and excess solution removed with filter

135 paper. Then, Ferritin and FRNs were stained with 2% uranyl acetate for 5 min. and
136 were imaged at 80 kV through a Hitachi H-7650 electron microscope.

137 **UV-Vis spectrum**

138 The UV-Vis spectrums of the rutin and FRNs samples were performed in
139 scanning mode from 210 to 600 nm on an Agilent 8453 spectrophotometer (Agilent,
140 USA). Experiments were carried out in triplicate, and the spectrum data were
141 averaged.

142 **HPLC analysis of rutin**

143 A SSI/LabAlliance HPLC system (Scientific Systems, Inc., PA, USA) consisted
144 of an UV detector (360 nm) and a Waters Xterra RP18 column (4.6×250mm, 5µm)
145 (Waters Corporation, MA, USA). Samples were eluted by the use of a gradient mobile
146 phase consisting of Acetonitrile /water/ methanoic acid (49.6:49.6:0.8, v/v/v) (solvent
147 A) and water/methanoic acid (99.3:0.7, v/v) (solvent B). Gradient conditions were as
148 follows: 0–7 min, 5-30% A; 7–27 min, 30-40% A; 27–30 min, 40-70 % A; 30–33 min,
149 70-80% A; 33-42 min, 80-100% A; 42–46 min, 100-5% A. The injection volume was
150 20 µL, and the flow rate of the mobile phase was 0.7 mL/min. To assay the rutin
151 concentration encapsulated in the ferritin cage, samples were adjusted to pH 11.0 by
152 addition of NaOH (1M) to disassemble the spherical structure into subunits, resulting
153 in the release of the rutin. Released rutin was extracted with cyclohexane (2.0 mL) by
154 blending the mixtures up and down in a 5 mL tube for several times, HPLC was
155 applied to determine rutin concentration using rutin as standards (Solarbio Science
156 &Technology Co., Ltd., Beijing, China). This step will be done three times to get the
157 average value of the rutin concentration.

158 ***In vitro* rutin release from FRNs**

159 Release of the encapsulated rutin was measured using a dialysis based method
160 (MWCO 3500).³⁰ Specifically, four FRN suspensions (10 mL) with an equivalent
161 rutin concentration of 36.6 µg/mL, were placed in four separate dialysis tubes
162 (MWCO 3500) and dialyzed against 5 L of Tris-HCl buffer (50 mM, pH 7.5) for 15 d
163 at 4°C, 20°C, 37°C, and 50°C in the dark, respectively. Every 24 h, 0.2 mL of the

164 dialysis buffer was sampled for HPLC to quantify the released rutin. The experiment
165 was performed in triplicate, and the release ratio (%) was calculated according to Eq.
166 (3) as following,

$$167 \quad \text{Release ratio (\%)} = \text{Released rutin/Encapsulated rutin} \times 100\% \quad (3)$$

168 **Stability of rutin under UV radiation and after thermal processing**

169 To evaluate the stability of rutin encapsulated in ferritin exposed to UV radiation,
170 10.0 mL of FRN solution (1 μM ferritin, and an equivalent of 30 μM rutin) were
171 placed at a distance of 25 cm under an UV lamp (SW-CJ-1FD Series 20 W UV Lamps,
172 Suzhou, China) with a wavelength of 254 nm for 24 h. Free rutin solution (30 μM)
173 was used as control, 0.4 mL of the solution was sampled once every four hours for
174 HPLC to quantify the remaining rutin.

175 To assess the thermal stability of rutin in FRNs, 10.0 mL of FRN solution (1 μM
176 ferritin, and an equivalent of 30 μM rutin) were placed in a water bath (Model DK-8D,
177 Tianjin Honour Instrument Co., Tianjin, China) incubated at 37°C or 60°C,
178 respectively, for 24h. The heated samples were assayed every four hours for rutin
179 content by HPLC as described above.

180 To quantify the kinetics of rutin degradation, data obtained from UV radiation and
181 after thermal processing experiments were fitted to first-order kinetics in Eq. (4) and
182 Eq. (5).

$$183 \quad C = C_f + (C_0 - C_f) \exp(-kt) \quad (4)$$

$$184 \quad t_{1/2} = -\ln(0.5) k^{-1} \quad (5)$$

185 Where C represents the rutin content at different time points; C_f , the rutin content in
186 equilibrium state; C_0 , the initial rutin content; k, the degradation rate constant (h^{-1}),
187 and t represents the reaction time (h). The half-life ($t_{1/2}$) was calculated as the time
188 required for rutin decaying to 50% of its initial concentration. Experiments were
189 carried out in triplicate, and the rutin content was averaged for analysis.

190 **DPPH radical-scavenging activity**

191 The antioxidant activity of the samples was determined using the method of DPPH
192 radical-scavenging capacity.¹² One milliliter of free rutin, trolox, and FRNs (an

193 individual concentrations set as 0.015mg/mL, 0.025mg/mL, and 0.035mg/mL,
194 respectively) were added to 3 mL of DPPH (0.04 mg/ml) dissolved in ethyl alcohol
195 solution. An ethanol/water (80:20) solution was used as control sample for rutin
196 absorption detection; and a Tris-HCl buffer (50 mM, pH7.5) and the apoSSF solution
197 (the relevant concentration refer to rutin) were used as control samples for FRNs
198 absorption detection. Absorbance at 517 nm was determined after 1 h incubation at
199 room temperature in the dark, and antioxidant activity was calculated as followings:

$$200 \quad \text{DPPH radical-scavenging ratio (\%)} = (1 - A_e/A_o) \times 100 \quad (6)$$

201 Where A_o is the absorbance without sample and A_e is the absorbance with sample.

202 **Statistical Analysis**

203 All analyses were performed in triplicate and all data are presented as mean \pm
204 standard deviation (SD). Statistical significance between treatments was determined
205 using SPSS10.0 software. The analysis of variance was calculated at 5% or 1% level
206 of significance.

207 **Results and Discussion**

208 **Preparation and characterization of apoSSF**

209 Native PAGE resolved the purified apoSSF as a single complex with an
210 approximate molecular weight estimated to be 560 kDa (Fig. 2A), a typical value for
211 plant ferritin.³¹ Subsequently, SDS-PAGE was performed to analyze the subunits of
212 apoSSF which were separated as two peptides with an identical ratio, H-2 (28.0 kDa)
213 *versus* H-1 (26.5 kDa), as displayed in Fig. 2B, and is consistent with our previous
214 observations (supporting information),^{22,26} indicating a successful preparation.

215 **The encapsulation of rutin within apoSSF**

216 As mentioned above, ferritin is characterized by a well-defined hollow spherical
217 architecture and is precisely self-assembled from 24 copies of similar subunits that are
218 tightly packed resulting in an internal cavity of approximate diameter of 8 nm.^{17,32} The
219 apoferritin shell can kept intact upon heating at 80 °C for 10 min, indicative of its
220 relatively high thermal stability.³³ In addition, the reversible assembly characteristic of
221 apoferritin at different pH values provides a subtle route for entrapping food organic

222 nutritional factors or drug components such as rutin. Rutin with bigger size could not
223 pass through the smaller pore size of ferritin. Fig. 3 illustrates the possible process
224 involved in the encapsulation of rutin into apoferritin during its reassembly. The
225 ferritin nanocage of apoferritin can be dissociated into individual ferritin subunits at
226 pH 11.0, then, the subunits reassemble into a cage-like structure at pH 7.0. During this
227 process, rutin molecules are encapsulated and retained within the ferritin cage,
228 resulting in the FRNs (Fig. 3). The rutin size (12.7 Å in length and 6.0 Å in width, at a
229 minimum energy state calculated by *Chembiodraw Ultra 12.0*) is larger than the pore
230 size of the ferritin protein channels (3–4 Å). Thus, once the rutin is encapsulated, the
231 larger encapsulated rutin will be retained within the apoferritin shell.

232 **Characterization of the FRNs**

233 Firstly, the dissolution state of the FRNs in deionized water (pH 7.0) was observed
234 (Fig. 4A), and both the apoSSF and free rutin dissolved in deionized water (pH 7.0)
235 were used as control samples. Results indicated that, compared to the heterogeneous
236 distribution of the rutin in water (Fig. 4A, iii), the solubility of FRNs was greatly
237 improved (Fig. 4A, ii). A typical yellow color was also observed in the FRNs solution,
238 and the naturally water-insoluble rutin becomes water-soluble while maintaining
239 transparency. This outcome is beneficial for applications involving water soluble rutin
240 in food and pharmaceutical industries.

241 To further characterize rutin encapsulated within the ferritin cage, transmission
242 electron microscopy (TEM) was performed and the morphology of the FRNs formed
243 by the interaction between rutin and ferritin was investigated, and results shown in Fig.
244 4B indicate that the FRNs was in a homogeneous state, the same as apoSSF. On the
245 other hand, the control sample, apoSSF, revealed obvious black uranium-containing
246 cores within the ferritin cage as uranium can flow into ferritin cavity via channels
247 after negatively stained with uranyl acetate.²⁶ By contrast, if the rutin molecules were
248 embedded in the apoSSF cage, one would expect that no uranium-containing cores
249 would form within the cavity, because such encapsulation prevents the entrance of
250 uranyl acetate. The right picture in Fig. 4B just showed no such uranium cores within

251 the protein cavity, indicating that most of the protein cage molecules are embedded
252 with rutin molecules which might prevent the entry of uranyl acetate into the inner
253 cavity of ferritin.

254 UV/Vis spectrophotometry was performed to confirm the rutin molecules were
255 successfully embedded in the ferritin cage, as shown in Fig. 4C. Four samples,
256 namely free rutin, FRNs, apoSSF (ferritin¹), and the sample (ferritin²) which was
257 obtained by simply mixing rutin with the apoSSF solution at a molecular ratio of
258 1:120 (apoSSF to rutin) at pH 7.5 under stirring for 2 h, followed by a 24 h dialysis
259 against Tris-HCl buffer solution at pH 7.5. Results showed that there was no visible
260 absorption in UV/Vis spectrum with the resulting apoferritin solution (ferritin¹ and the
261 ferritin²) except for the protein's maximal absorption at 280 nm (Fig. 4C, lines blank
262 and green) indicating that, by such a mixing, the rutin molecules did not interact with
263 the ferritin through exterior binding. As for free rutin, it displayed typical absorption
264 peaks at 260nm and 360 nm (Fig. 4C, blue line). In contrast, the FRNs prepared by
265 the reversible assembly of the apoSSF in Fig. 3 not only showed the protein's
266 maximal absorption but also a new visible maximal absorption at about 350 nm (Fig.
267 4C, red line) which are characteristics of rutin, demonstrating that rutin molecules
268 were encapsulated within protein shell. Analysis of the maximal absorption of free
269 rutin and the FRNs demonstrated a marked difference. Specifically, the free rutin
270 exhibited a maximal absorption at ~260nm and 360nm, while the FRNs sample
271 showed a maximal absorption at around 350 nm, resulting in a blue shift by 10 nm;
272 FRNs also showed another maximal absorption at ~265nm, a middle value between
273 280 (protein's maximal absorption) and 260 (rutin's maximal absorption). These
274 results suggested a strong interaction occurs between the trapped rutin molecules and
275 amino acid residues located on the inner surface of apoferritin, which is similar to the
276 report about the interaction between ferritin and anthocyanin.³⁴ Further reason will be
277 discussed in our further work.

278 **Calculation of rutin encapsulation efficiency**

279 To determine the rutin loading efficiency per ferritin cage, HPLC was performed

280 using 360 nm as a detection wavelength. Specially, we applied the reversible
281 assembly of the ferritin cage to separate rutin from the internal protein cavity. Firstly,
282 the rutin-ferritin solution was adjusted to pH 11.0 by addition of NaOH (1M) to
283 disassemble the spherical structure into subunits, resulting in the release of the rutin
284 which was extracted with cyclohexane (2.0 mL) and HPLC was then used to
285 determine its concentration. A typical HPLC spectrum for rutin is shown in Fig. 4D,
286 showing a retention time of 33 min. A ratio of concentration (concentration versus
287 peak area) of rutin to that of apoSSF was calculated as 30.1:1 (rutin/apoSSF) under
288 the current conditions. This suggests an average of 30 rutin molecules can be
289 encapsulated in a ferritin cage, and the encapsulation efficiency and loading efficiency
290 were calculated as 25.1% and 3.29%, respectively.

291 ***In vitro* rutin release from FRNs**

292 The permeability of encapsulated rutin out of the ferritin cage, namely, the leakage
293 kinetics of *in vitro* encapsulated rutin from the ferritin cage were evaluated under
294 simulated conditions (20 mM Tris-HCl, pH 7.4 at 4, 20, 37, and 50°C) for 15 days. It
295 was observed that rutin release ratios of FRNs were all less than 25% after 15 days
296 storage at four temperature conditions (Fig. 5). However, a rapid burst release of
297 greater than 20% was observed within 9 d with subsequent release for a remaining 6
298 days when FRNs were stored at 50°C. As expected, $12.6 \pm 2.1\%$ of the rutin was
299 released at 37°C within 15 days, which was less than that at 50°C but higher than that
300 at 20°C ($6.9 \pm 1.0\%$). Thus, the entrapment within the ferritin was efficient in
301 retaining the rutin molecules at lower temperatures and with increased storage
302 temperature, the leakage of the rutin also remarkably increased, suggesting that
303 storage below 20°C would be appropriate for FRNs solution preservation. A higher
304 temperature may result in the degradation of the rutin and the loss of the bioactivity.

305 Previous reports have showed that about 35 % of rutin is retained when complexed
306 within onion-type multilamellar vesicles (MLVs) for 15 days when MLVs were
307 diluted in water.¹² This strategy applied in this study, by reversible dissociation and
308 reassembly characteristic of soybean see ferritin, demonstrated as much as 75 % of

309 the rutin is maintained within the protein cage. The mechanism that affects the lower
310 rutin release from the ferritin cage compared to other methods upon storage is
311 possibly due to the unique structure of ferritin. The crystal structure of soybean seed
312 ferritin highlights that one ferritin molecule consists of eight 3-fold and six 4-fold
313 channels with pore sizes between 0.3–0.5 nm, which connect the inner cavity to the
314 external solution.^{22,35} Although the diameter of the channel is smaller than the size of
315 rutin, the conformation of rutin during storage may change, resulting in leakage of
316 rutin molecules. In this study the majority of rutin was successfully embedded in the
317 cage, suggesting that the conformational change associated with leakage is limited.
318 However, temperature may be a factor influencing rutin release through altering the
319 ferritin pore structure, and the channels have been shown to be sensitive to the
320 changes in temperature.^{36,37}

321 **Stability of rutin in ferritin cage after UV radiation and thermal processing**

322 The effects of UV radiation and thermal processing on rutin stability were
323 investigated to evaluate the protective function of the ferritin cage. Firstly, the UV
324 radiation endurance of rutin was evaluated at a wavelength of 254 nm. Results
325 showed that the control sample of free rutin was degraded rapidly at 254 nm, with
326 75% degradation after 6 h. In contrast, the degradation of ferritin-encapsulated rutin
327 was significantly reduced as compared with free rutin in the same time range (0-6 h).
328 The data of both ferritin-encapsulated rutin and free rutin are fitted to the first-order
329 reaction model as shown in Eq. (4) to obtain the degradation rate constant (k) and
330 half-time of degradation ($t_{1/2}$) which are listed in Table 1. The regression coefficients
331 (R^2) were obtained as 0.976 and 0.993 for ferritin encapsulated rutin and free rutin,
332 respectively, indicating an excellent correlation between rutin degradation and
333 treatment time. The k and $t_{1/2}$ were 0.25 h⁻¹ and 40.11 h for the ferritin encapsulated
334 rutin and were 1.10 h⁻¹ and 9.09 h for the free rutin (Table 1), which suggested a
335 greatly improved stability against UV radiation for rutin molecules encapsulated
336 within ferritin nanocages.

337 Samples were treated at 20 and 37°C to investigate the effect of thermal processing

338 on rutin degradation. The data for both ferritin-encapsulated and free rutin
339 degradation were fitted to the first-order reaction model in Eq. (4), as shown in Table
340 1. After incubation at 20 °C for 24 h, rutin degradation in the FRNs was significantly
341 lower than that in free ferritin ($P < 0.05$), which is reflected by the lower k value (0.29
342 h^{-1}) and higher $t_{1/2}$ value (34.48 h). The degradation of rutin at 37°C was markedly
343 increased. The k and $t_{1/2}$ were 0.37 h^{-1} and 27.73 h for the ferritin encapsulated rutin
344 and were 1.07 h^{-1} and 9.35 h for the free rutin (Table 1). These results demonstrated
345 that the ferritin cage can significantly improve the thermal stability of rutin.

346 The primary reason for the protective effect of ferritin for rutin may lie in the heat
347 resistant properties of the protein cage. It has been reported that the ferritin cage
348 showed no denaturation when heated at 80°C for 10 min.^{20,33} Similarly the spherical
349 protein shell may effectively insulate the interior from increased external temperature
350 and possibly absorb UV radiation, thus, stabilizing the encapsulated rutin.
351 Alternatively, ferritin may form molecular complexes with these bioactive compounds
352 through hydrophobic interactions or Vander Waals interactions which may contribute
353 to the resistance from degradation.^{38,39} A combination of these novel properties may
354 facilitate the application of ferritin cage technology in the food industry.

355 **Antioxidant property of FRNs**

356 Since the encapsulation of rutin within the apoSSF cage contributed to protection
357 against heating and UV radiation, it is also possible that the antioxidant properties of
358 ferritin embedded rutin may be superior to free rutin molecules. Fig. 6 shows a
359 comparison of the antioxidant activities of free rutin, FRNs, and Trolox as measured
360 by the DPPH scavenging capacity assay. The radical-scavenging abilities of the three
361 samples were dependent on the concentration in a range of 0.015-0.035 mg/mL,
362 which was in agreement with the conclusion obtained by Nguyen et al.⁹ Our results
363 indicated that the DPPH scavenging capability of Trolox (85.1%, 0.035 mg/mL) was
364 the highest among the three samples, followed by free rutin (71.2%, 0.035 mg/mL)
365 and FRNs (46.1%, 0.035 mg/mL). Similar results were also obtained when the assay
366 was performed at a lower sample concentration (0.015 and 0.025 mg/mL). Although

367 the rutin molecules were separated by ferritin shell (~2nm) from the solution, a lower
368 DPPH scavenging capability of FRNs was still presented, indicating that ferritin
369 encapsulation retained part of the antioxidant activity of free rutin.

370 It has been previously reported that DPPH radical-scavenging ability of an
371 antioxidant is thought to be closely associated with its hydrogen-donating ability.^{40,41}
372 As discussed earlier, rutin was encapsulated in the ferritin cage in a ratio of 30:1
373 (rutin/ferritin), and the rutin molecules are physically separated from the external
374 environment by the protein shell (2 nm in thickness). The maintenance of the DPPH
375 radical-scavenging ability in FRNs, namely, the insignificant differences between
376 DPPH radical-scavenging abilities of free rutin and FRNs, demonstrated a possible
377 change in its hydrogen-donating capacity as a result of the ferritin-rutin complexation.
378 We propose that this change might result from the hydrogen bonds formed between
379 hydrogen atoms in the hydroxyl groups of rutin with the electro-negative atoms of
380 interior surface of ferritin. Subsequently, the forming hydrogen bonds may weaken
381 the covalent bonds between hydrogen and oxygen in the hydroxyl groups, which in
382 turn may facilitate the hydrogen donation by the hydroxyl groups of rutin.⁹ Thus, the
383 improved hydrogen donation ability of rutin in FRNs and weaken effect of the ~2 nm
384 thickness of the shell may be in partially equilibrium, resulting in a lower DPPH
385 radical-scavenging ability of FRNs as compared to free rutin.

386 **Conclusion**

387 In this study, the homogeneous ferritin-stabilized rutin nanodispersions were
388 prepared by the reversible dissociation and reassembly of soybean seed ferritin. By
389 applying this interesting strategy, ferritin could be potentially used as a novel vehicle
390 to entrap, solubilize, and stabilize the water insoluble rutin molecules. It is also shown
391 that the rutin encapsulated in ferritin cage shows different release kinetics depending
392 on the operating temperature. Moreover, these ferritin-stabilized rutin nanodispersions
393 provide rutin molecules with improved thermal and UV radiation stability.
394 Additionally, the antioxidant activity of rutin in the ferritin cage was partly retained as

395 compared to free rutin molecules. These combined findings will advance the
396 application of rutin in the food and pharmaceutical industries.

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469

470 **Figure Captions**

471 **Figure 1.** (A) Chemical structure of rutin. (B) Graphic representation of the ferritin
472 structure.

473

474 **Figure 2.** (A) Native PAGE and (B) SDS–PAGE analyses of apoSSF. Lane 1
475 represents apoSSF and the corresponding molecular mass (kDa) is labeled.

476

477 **Figure 3.** Illustration of the process involved in the encapsulation of rutin molecular
478 into apoferritin. In this case, the protein nanocage of apoSSF is disassembled into
479 individual subunits at pH 11.0, and the subunits reassemble into a cage-like structure
480 at pH 7.0. During this process, rutin molecules are encapsulated in the core of the
481 ferritin cage, and can be retained within the cage.

482

483 **Figure 4.** Characterization of the FRNs. (A) Pictures of different samples including
484 apoSSF (i), FRNs (ii), and free rutin simply mixed with deionized water (iii). (B)
485 TEM of apoSSF and FRNs. Samples were stained using 2% uranyl acetate. Bar in
486 TEM is 100 nm. (C) UV–Vis spectra of rutin, FRNs, and ferritin (ferritin¹ and
487 ferritin²). Ferritin¹ represents simple apoSSF solution, and ferritin² was obtained by
488 mixing rutin with the apoSSF solution at a molecular ratio of 1:120 (apoSSF to rutin)
489 at pH 7.5 under stirring for 2 h, followed by a 24 h dialysis against Tris–HCl buffer
490 solution at pH 7.5. (D) HPLC chromatogram of rutin extracted from FRNs. Inset: a
491 standard curve of rutin in ethyl alcohol.

492

493 **Figure 5.** Kinetics of rutin release from FRNs at different storage temperatures.

494

495 **Figure 6.** Antioxidant activities of Trolox, rutin, and FRNs by the DPPH radical
496 scavenging method at different concentrations. Each point represents the mean of
497 DPPH radical-scavenging ratio and standard deviation. *P<0.05, **P<0.01.

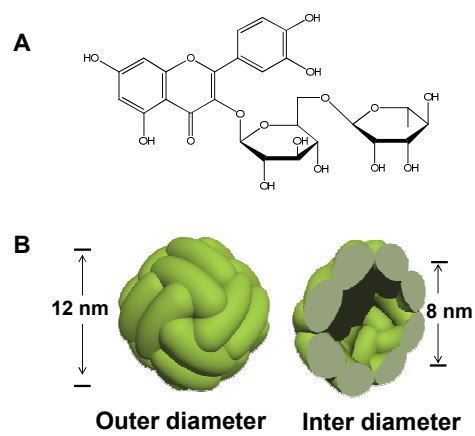


Figure 1

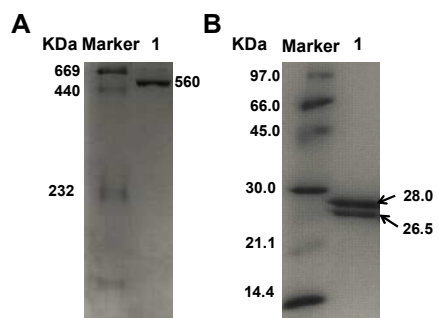


Figure 2

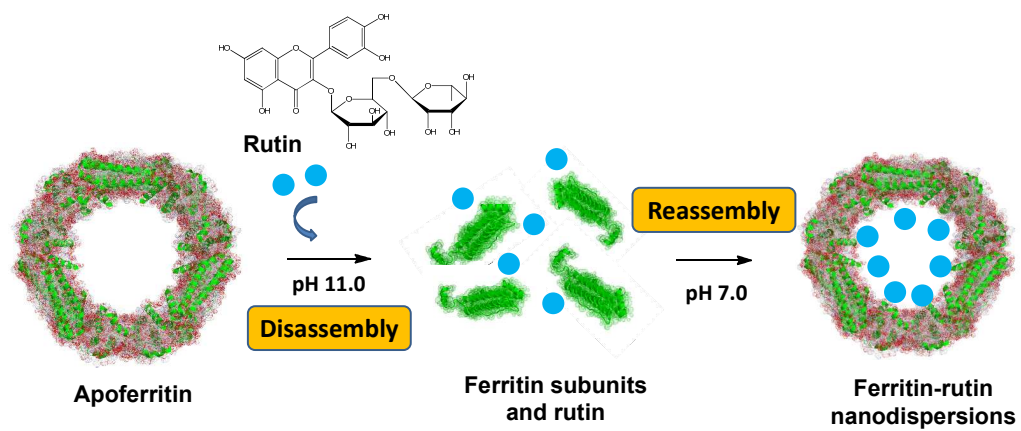


Figure 3

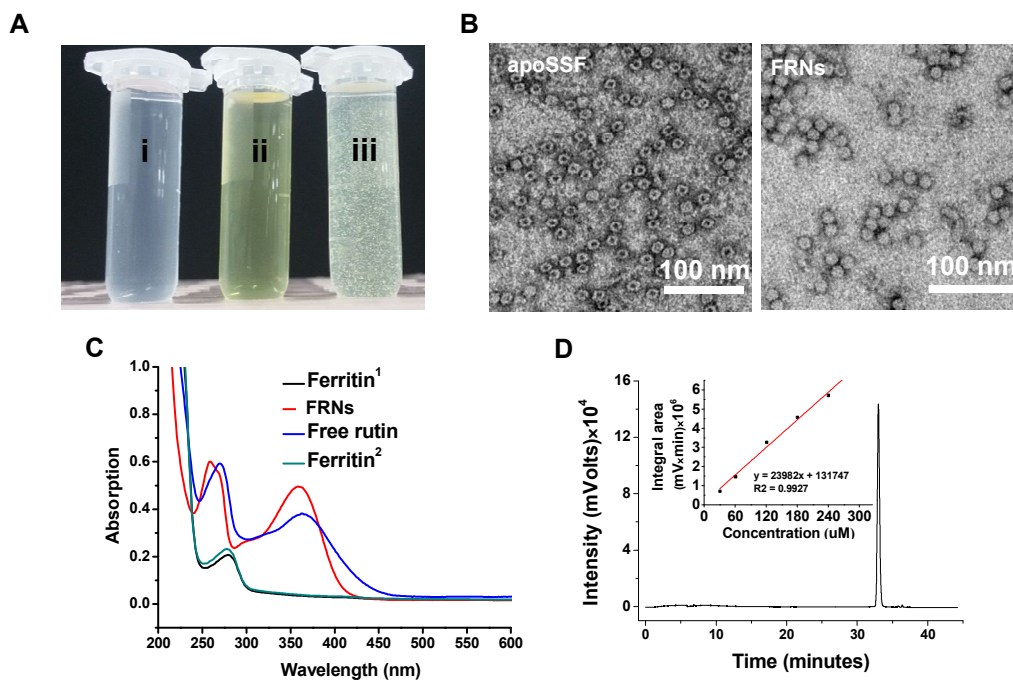


Figure 4

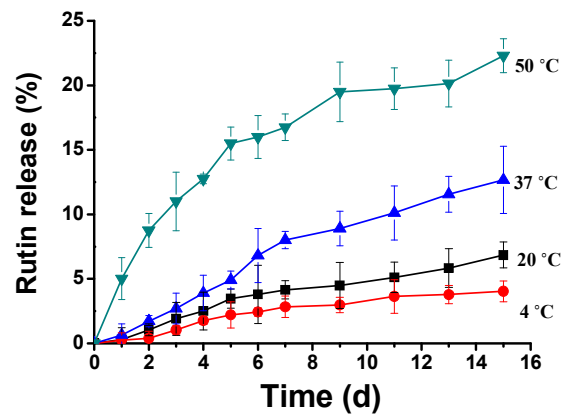


Figure 5

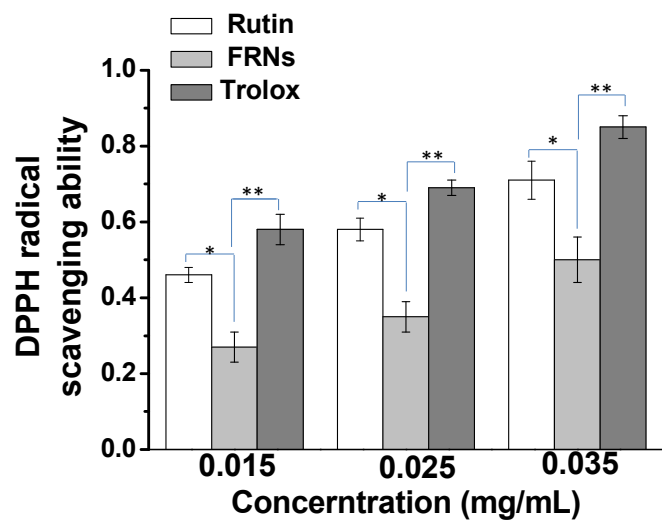


Figure 6

Table 1. Degradation rate constant (k), half-life ($t_{1/2}$), and R^2 when fitting the degradation data of rutin encapsulated in ferritin cage at different conditions.

Treatment	Samples	$k(h^{-1})$	$t_{1/2}(h)$	R^2
UV radiation (254 nm)	FRNs	0.25 ± 0.03^a	40.11 ± 1.20^a	0.976
	Free rutin	1.10 ± 0.04^b	9.09 ± 0.17^b	0.993
Thermal processing (20 °C)	FRNs	0.29 ± 0.01^a	34.48 ± 1.03^a	0.979
	Free rutin	0.56 ± 0.05^b	17.86 ± 0.99^b	0.983
Thermal processing (37 °C)	FRNs	0.37 ± 0.01^a	27.03 ± 0.90^a	0.966
	Free rutin	1.07 ± 0.03^b	9.35 ± 0.48^b	0.990