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Functionalization and patterning of nanocellulose films by surface-bound nanoparticles of hydrolyzable tannins and multivalent metal ions†

Mukta V. Limaye,^a Christina Schütz,^{a,b} Konstantin Kriechbaum,^a Jakob Wohler,^b Zoltán Bacsik,^a Malin Wohler,^b Wei Xia,^d Mama Pléa,^e Cheick Dembele,^e German Salazar-Alvarez^{a,b} and Lennart Bergström^{*a}

Inspired by the *Bogolanfini* dyeing technique, we report how flexible nanofibrillated cellulose (CNF) films can be functionalized and patterned by surface-bound nanoparticles of hydrolyzable tannins and multivalent metal ions with tunable colors. Molecular dynamics simulations show that gallic acid (GA) and ellagic acid (EA) rapidly adsorb and assemble on the CNF surface, and atomic force microscopy confirms that nanosized GA assemblies cover the surface of the CNF. CNF films were patterned with tannin–metal ion nanoparticles by an in-fibre reaction between the pre-impregnated tannin and the metal ions in the printing ink. Spectroscopic studies show that the Fe^{III/II} ions interact with GA and form surface-bound, stable GA–Fe^{III/II} nanoparticles. The functionalization and patterning of CNF films with metal ion–hydrolyzable tannin nanoparticles is a versatile route to functionalize films based on renewable materials and of interest for biomedical and environmental applications.

Patterning and dyeing of textiles and paper has been used by humankind for millennia for artwork and writing. Indeed, all human civilizations have developed distinct dyeing or patterning techniques based on available organic and inorganic materials;^{1–4} examples include Woad and Indigo dyes,^{1,2} Maya blue,⁵ Egyptian pigments,^{3,6} and oil paints used in Buddhist paintings.⁴ Interestingly, some dyes or pigments are ubiquitous to civilizations around the world. An important example

of such a dye is the black or dark blue or brown iron–tannin dyes, which have been documented in Egyptian, European, Indian and Chinese cultures.^{6–9} Although the recipes for the specific techniques may differ between regions and cultures, the dyeing process always involves the combination of a tannin-rich and an iron-rich source to produce a dark, insoluble complex that can be used to pattern and dye both cellulose- and protein-based textiles.^{2,7,10} The *Bogolanfini* mud cloth dyeing technique from West Africa differs significantly from other iron–tannate dyeing techniques not only with respect to the sources of the tannins and the iron but also because a two-step procedure involving pre-adsorption of tannins, primarily gallic acid (GA), and ellagic acid (EA) before the pattern is applied using a viscoelastic, iron-rich mud.^{11–14}

The patterning of paper and cellulose-based substrates is important not only for printing and dyeing but also for rapidly evolving applications such as paper-based diagnostics, actuators and sensors.^{15–17} The patterning of *e.g.* waxes onto paper is commonly used to guide the transport of small amounts of liquid, and inkjet-printed conductive inks are used for electronic applications. Recent reports have demonstrated that metal–tannic acid networks can be assembled into hollow capsules or used for surface modification and coatings.^{18–20} However, reports on the patterning of cellulose-based substrates using renewable and Earth-abundant materials are sparse. Inspired by the in-fiber formation of insoluble dyes in the traditional *Bogolanfini* mud cloth dyeing technique, we report how nanocellulose films can be functionalized and patterned by nanoparticles of hydrolyzable tannins and multivalent metal salts. Gallic acid adsorbs and assembles onto the surface of the nanocellulose and forms dark, surface-bound nanoparticles when exposed to iron ions. The patterning of free-standing nanocellulose films with multifunctional metal–tannin nanoparticles is a simple and versatile process based on renewable and Earth-abundant materials. This work is an example of how understanding the chemistry of the historically and culturally important *Bogolanfini* dyeing technique

^aDepartment of Materials and Environmental Chemistry, Arrhenius Laboratory, Stockholm University, SE-106 91 Stockholm, Sweden. E-mail: mvlmaye@gmail.com, lennart.bergstrom@mmk.su.se

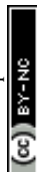
^bWallenberg Wood Science Center, Royal Institute of Technology, SE-100 44 Stockholm, Sweden

^cDepartment of Physics, Indian Institute of Science Education & Research, Berhampur 760010, Odisha, India

^dDepartment of Engineering Sciences: Applied Materials Science, The Ångström Laboratory, SE-751 21 Uppsala, Sweden

^eLaboratoire de Physico-chimie des Matériaux, Université des Sciences, des Techniques et des Technologies de Bamako, BP E 2306, Mali

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enabled the development of a novel and versatile patterning technique, primarily based on organic materials derived from trees and abundant metal ions.

Nanocellulose films²¹ display a combination of high strength and flexibility, and are useful in applications ranging from gas diffusion barriers to energy storage and sensors.^{22–25} Here, we have prepared free-standing films from a dispersion of carboxylated cellulose nanofibrils (CNF), a hydrolyzable tannin, *e.g.* GA, and an iron ($\text{Fe}^{\text{III}}/\text{Fe}^{\text{II}}$) salt solution (see Tables S1 and S2† for details). The addition of an iron salt solution to a mixture of GA and CNF resulted in the immediate formation of dark GA- $\text{Fe}^{\text{III/II}}$ complexes^{26–29} and drop-casting a dispersion of CNF with GA- $\text{Fe}^{\text{III/II}}$ complexes resulted in functionalized free-standing films (Fig. 1a). The CNF can also be functionalized by nanoparticles of iron salts and other hydrolyz-

able tannins, *e.g.* EA, tannic acid (TA), and mixtures of GA, EA and TA (Fig. S1†). The method of functionalization is also applicable to (enzymatic) nanocellulose films without surface carboxyl groups (Fig. S2†).

Tapping mode atomic force microscopy (AFM) showed that the addition of GA resulted in the formation of assemblies that covered the nanofibrillar surface of the neat CNF (Fig. 1b and c). The topographic image suggests that these assemblies were 20–50 nm in size, and the negligible difference in contrast in the height image indicates that the CNF is homogeneously coated with GA assemblies. Fig. 1d shows that addition of both GA and Fe^{III} salt results in a formation of surface-bound nanoparticles with a size of 20–50 nm.

We have performed Molecular Dynamics (MD) simulations to study the interaction and assembly of GA onto a cellulose

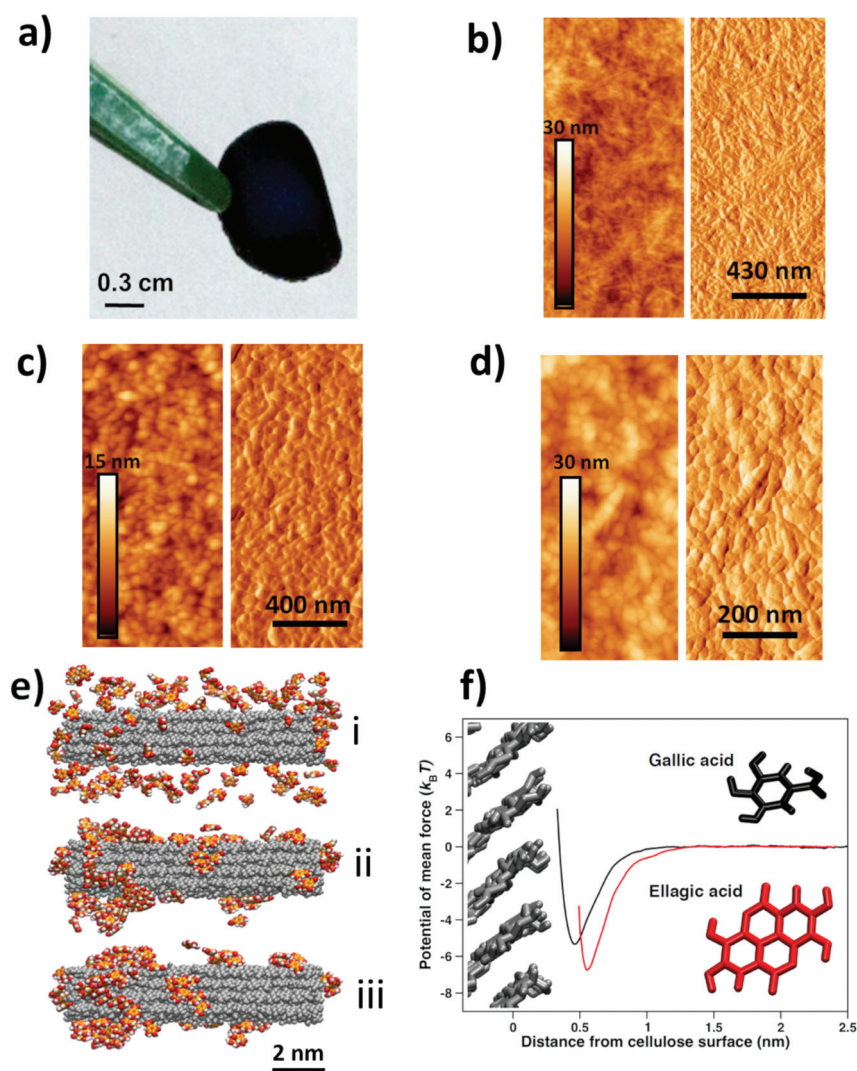


Fig. 1 Functionalization of nanocellulose nanofibril (CNF) films by gallic acid (GA)- Fe^{III} complexes. (a) Photograph of free-standing CNF film impregnated with GA and Fe^{III} salt. Tapping mode topographic atomic force microscopy (AFM) height (left) and amplitude images (right) of films of: (b) CNF; (c) CNF which has been subjected to a GA solution; (d) CNF that has been subjected to solutions of GA and Fe^{III} salt. (e) Molecular dynamic simulations of GA assembling on crystalline cellulose surface at: (i) short time; (ii) intermediate time and (iii) after 50 ns. (f) Energy diagram of GA and ellagic acid (EA) interacting with nanocellulose.



surface. Fig. 1e(i) shows that GA adsorbed quickly on the nanocellulose surface and that the adsorbed GA immediately started to assemble (Fig. 1e(ii)). The surface-bound GA assemblies rapidly grew in size and the amount of adsorbed polyphenolic monomers decreased until essentially all the GA had assembled into relatively large assemblies (Fig. 1e(iii)). Hence, the simulations corroborate the formation of surface-bound GA assemblies, as shown by the AFM measurements (Fig. 1c). The flat ring structure of the adsorbed GA assemblies at steady-state (Fig. 1f) is a feature polyphenols share with cellulose-binding dyes, which often contain a significant amount of benzene rings.³⁰ This is also true for carbohydrate-binding proteins, which are usually rich in residues featuring aromatic side chains.^{31,32} The affinity (Fig. 1f and Fig. S3†) between these flat structures and carbohydrates has primarily been attributed to van der Waals and hydrophobic interactions as the aromatic ring structures stack on top of the pseudo-flat surfaces of the hexopyranose rings,^{30–32} possibly assisted by hydrogen bonds.³² From the MD simulations, it is evident that stacking is pronounced also between the GA molecules, suggesting that hydrophobic interactions play an important role in the formation of surface-bound assemblies. In contrast,

previous work on complexation of Fe^{III} and TA showed that TA–Fe^{III} primarily form monolayers on different substrates.^{18,19,33,34} Hence, whereas TA (C₇₆H₅₂O₄₆) and Fe^{III} ions can form thin metal-phenolic networks,^{19,34} the ability of GA (C₇H₆O₅) to stack onto the cellulose surface results in the formation of nanosized GA–Fe^{III/II} nanoparticles.

We have investigated the interactions between CNF, tannins and iron by a combination of infrared spectroscopy (IR), X-ray absorption near edge spectroscopy (XANES) and X-ray photoelectron spectroscopy (XPS) of CNF films with surface-bound tannins and tannin–Fe^{III/II} species. The difference IR spectrum obtained by subtracting the contribution of untreated (neat) CNF from the spectrum of CNF treated with GA is a convenient way to visualise differences between adsorbed and free GA (Fig. 2a). We observe that the C=O infrared band present at 1698 cm⁻¹ in free GA³⁵ is shifted to 1686 cm⁻¹ for the adsorbed GA in the difference spectrum, which suggests that the carboxylic acid group of GA is at least partially involved in the interaction with the CNF surface. The IR spectrum of CNF coated with Fe^{III/II}–GA complexes showed a very broad band in the 1530 to 1780 cm⁻¹ region (Fig. 2b). The C=O band at 1686 cm⁻¹ present in the CNF film with pre-adsorbed GA

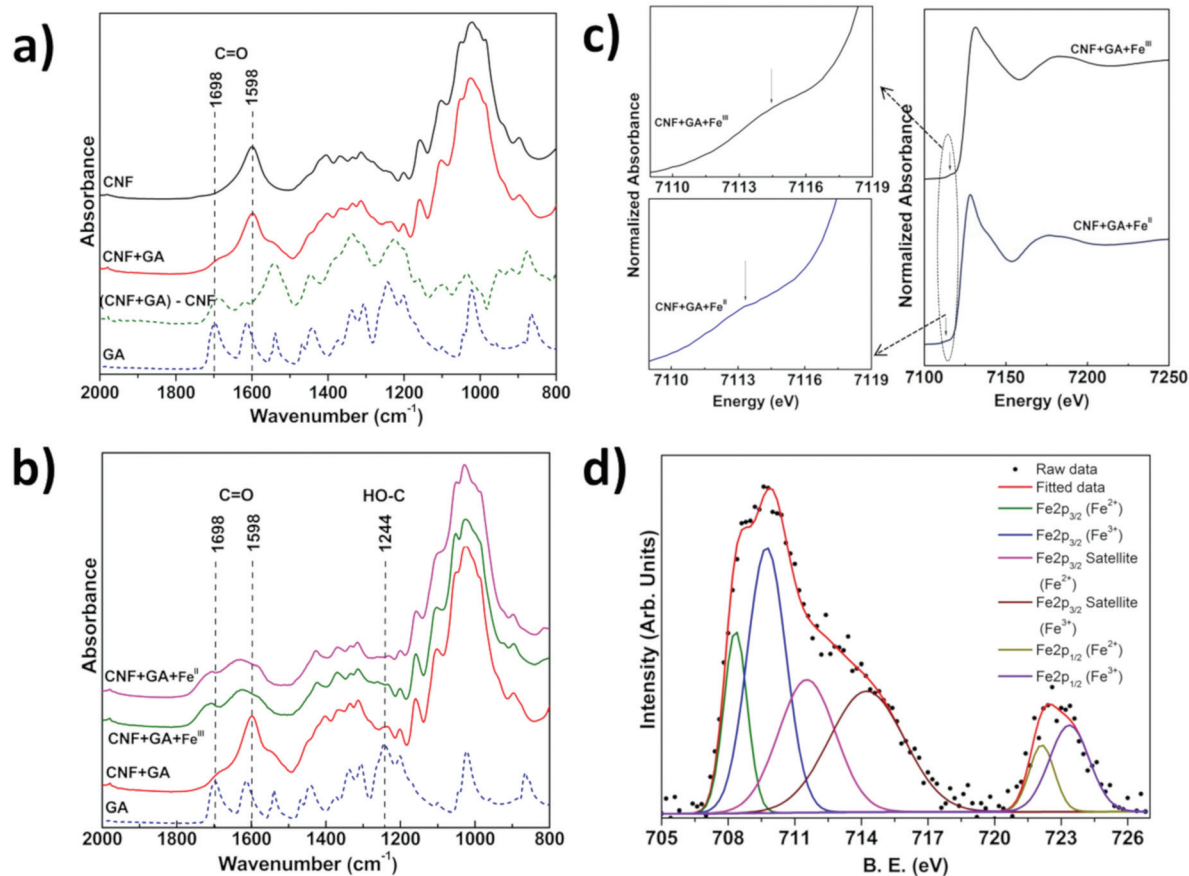


Fig. 2 Spectroscopy of films of cellulose nanofibrils (CNF) functionalized with tannin–iron complexes. Infrared spectra of: (a) GA, neat CNF film, and CNF film which has been subjected to a GA solution; (b) CNF film which has been subjected to solutions of GA and Fe^{III} or Fe^{II} salts. (c) XANES spectra of CNF which has been impregnated with solutions of GA and Fe^{III} or Fe^{II} salts with magnified pre-edge feature. (d) Core-level XPS spectra of the Fe 2p of a CNF film impregnated with solutions of GA and a Fe^{II} salt.



shifted to higher wavenumber at 1707 cm^{-1} after addition of Fe^{III} . The 1598 cm^{-1} C=O stretching vibration band present in CNF and GA-treated CNF (Fig. S4†) disappeared and was replaced by an absorption peak at 1629 cm^{-1} after addition of Fe^{III} . In addition, the reduced intensity of the HO-C stretching band ($\sim 1244\text{ cm}^{-1}$) with respect to free GA indicates that the phenolic groups of GA form complexes with iron ions. Similar changes were observed by Guo *et al.*¹⁸ in an IR study of complexes of Fe^{III} and TA. The absorption peaks at 1582 cm^{-1} and 1427 cm^{-1} that evolve after addition of Fe-ions can be attributed to coordinated COO^- asymmetric and symmetric stretching modes, respectively. The separation between the two peaks/features is $\sim 155\text{ cm}^{-1}$ which is consistent with the Fe^{III} and COO^- interaction previously observed in Fe^{III} -GA complexes.^{26,36} No change in the IR spectra was observed after washing the films several times with water, which suggests that the $\text{Fe}^{\text{III/II}}$ -GA nanoparticles were strongly bound to the CNF surface.

XANES measurements on CNF films impregnated with GA and Fe^{II} and Fe^{III} ion salts (Fig. 2c) displayed weak pre-edge features at ~ 7113 and ~ 7114 eV respectively, which represented the $1s \rightarrow 3d$ electron transition.^{37–39} The Fe K-absorption edge for CNF films treated with GA and Fe^{II} and Fe^{III} salts was observed at ~ 7128.5 eV and 7130 eV, respectively, which for both Fe^{II} and Fe^{III} systems represent the $1s \rightarrow 4p$

electron transition (Fig. 2c).^{37–39} The deconvolution of XANES pre-edge features indicated that iron was present in a mixed oxidation state *i.e.* iron was present as Fe^{II} as well as Fe^{III} in CNF films impregnated with GA and Fe^{III} or Fe^{II} salts (Fig. S5†).³⁸ The relative intensity of the deconvoluted Fe^{II} and Fe^{III} peaks of the Fe 2p core level XPS spectra showed that the ratio of $\text{Fe}^{\text{III}}/\text{Fe}^{\text{II}}$ was 1.3 and 1.5 in CNF-film impregnated with GA and Fe^{II} and Fe^{III} , respectively (Fig. 2d, Fig. S7 & Table S3†). The XANES and XPS investigations thus showed that Fe was present as both Fe^{II} and Fe^{III} , irrespective of whether Fe^{II} or Fe^{III} salt was initially added to the GA-CNF materials.

The C 1s core level XPS data (Fig. S7 & Table S3†) showed that the intensity of O-C=O peak was reduced and the C-O peak shifted to higher binding energy after addition of iron salts to CNF-films pretreated with GA, which indicates that the carboxylic and hydroxyl groups may be involved in an electron transfer process between GA and iron.¹⁸ The atomic surface composition of the CNF film impregnated with GA and $\text{Fe}^{\text{III/II}}$ salt, *i.e.* the C:Fe ratio, was calculated (Fig. S6†) from the intensities of the elemental XPS peaks and the individual atomic sensitivity factors.³⁴ The C:Fe ratio of the films prepared with Fe^{II} or Fe^{III} was 1:2.5 which suggests that the $\text{Fe}^{\text{III/II}}$ ions co-ordinate to at least one and possibly two GA molecules, assuming that the contribution from the underlying CNF films to the XPS signal is relatively small.^{26,33,34} UV-vis

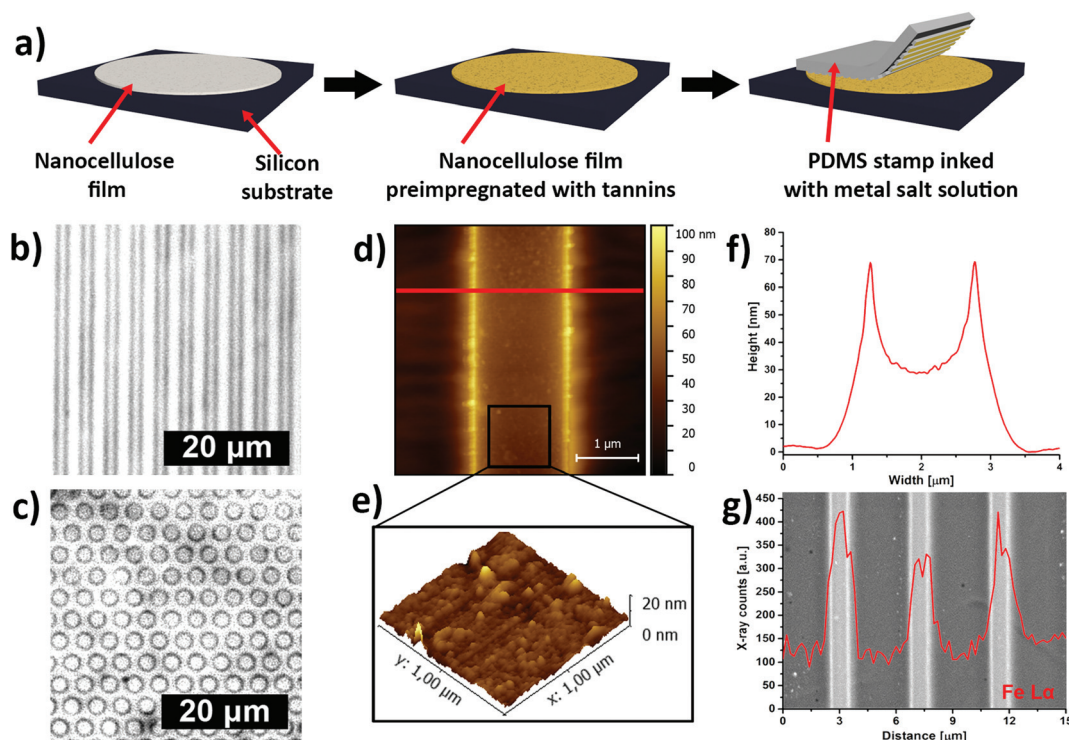


Fig. 3 Patterning of tannic acid (TA)-metal ion nanoparticles onto CNF films. (a) Schematic representation of the impregnation of hydrolyzable tannins and patterning of TA-metal ion nanoparticles on CNF films. Optical grey scale images of CNF films functionalized with TA- Fe^{III} nanoparticles in: (b) line pattern, (c) dot pattern. (d) Tapping-mode AFM image of line-patterned CNF film. (e) 3D image of the surface of the patterned lines; (f) extracted height profiles across one patterned line. (g) Backscattered-electron image with corresponding energy dispersive X-ray (EDX) line scan of CNF film patterned with lines of TA- Fe^{III} nanoparticles.



studies (Fig. S8a and S8b†) showed that the partial reduction of Fe^{III} to Fe^{II} in a solution of CNF and GA- Fe^{III} results in a weak absorption shoulder at ~ 390 nm, which is characteristic for a GA-quinone complex.^{27,40} The surface-bound GA assemblies can also form complexes or nanoparticles with other metal cations. Fig. S9† shows that Cr^{III} , Co^{II} and Cu^{II} metal cations also formed complexes with surface-bound GA assemblies and resulted in functionalized CNF films with colors ranging from dark green (Cr^{III}), brown-red (Co^{II}), and light green (Cu^{II}).

Inspired by the traditional *Bogolanfini* dyeing technique,¹² we have used micro-contact printing (μCP) to create patterns of iron-tannin complexes on CNF films that had been pre-impregnated with a hydrolyzable tannin (Fig. 3a). The printing was performed using a patterned polydimethylsiloxane (PDMS) stamp that had been saturated with a Fe^{III} salt solution. The saturated stamp was kept in conformal contact with the pre-impregnated CNF film for an extended time to allow the Fe^{III} salt solution to react with the adsorbed TA. After removing the PDMS stamp, micrometer-sized darkly colored line and dot patterns of iron-tannin nanoparticles were obtained on the CNF film (Fig. 3b and c respectively). The μCP of surface bound TA-iron nanoparticles on CNF films resulted in printed features with a characteristic size of ~ 2 μm , as shown by the tapping-mode AFM image and height profile (Fig. 3d and f). The 3D topographic AFM images of the patterned film suggest that the size of the surface-bound

nanoparticles is ~ 20 nm (Fig. 3e). The backscattered-electron image with corresponding energy-dispersive X-ray (EDX) line scan confirmed the presence of iron in the patterned lines (Fig. 3g).

The general applicability of the patterning method was further demonstrated using different metal ions, e.g. Co^{II} and Cu^{II} , and another polyphenol (GA), which resulted in red and green colored printed patterns, respectively (Fig. 4a). Hence, μCP of surface-bound polyphenol-metal ion complexes on CNF films is a simple, easy and rapid method that can yield nano/micrometer scale patterns of different colors. The color of catechol- Fe^{III} complexes can also be tuned by pH, as demonstrated in Fig. 4b. TA- Fe^{III} nanoparticles patterned from low pH Fe^{III} ion inks were green. Increasing the pH resulted in red patterns and the color of the patterns could be switched back to green when the pH is reduced, showing that the color change is reversible. The reversible color change of patterns can be related to the pH-dependent stoichiometry between catecholate- Fe^{III} complexes.^{33,41} The green TA- Fe^{III} 1:1 complexes dominate at low pH while dark red TA- Fe^{III} 3:1 complexes dominate at high pH.³³

The printed patterns shown in Fig. 3 and 4 demonstrate that μCP of metal ion inks on polyphenol impregnated films can be used to create robust, sub-micrometer-sized patterned nanocellulose films of surface-bound, multivalent metal-tannin complexes with tunable color. The *Bogolanfini*-inspired technique of functionalizing and patterning nanocellulose

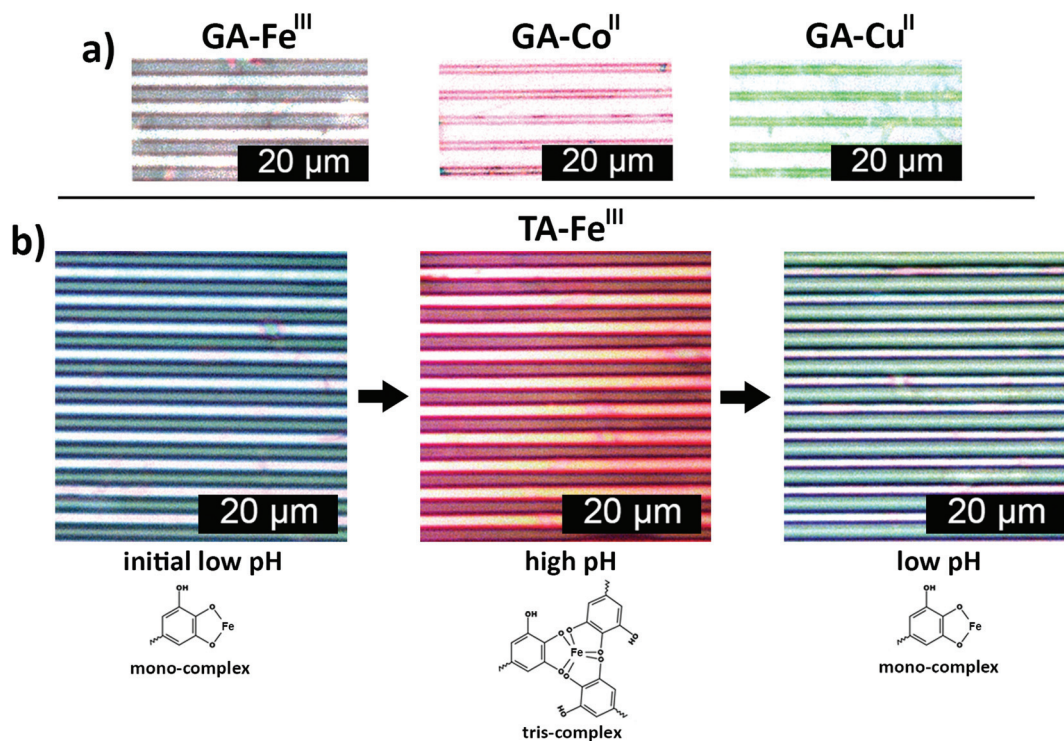


Fig. 4 Tuning the color of hydrolyzable tannin-metal ion nanoparticles patterned on CNF films. (a) Optical images of GA-impregnated CNF films patterned with Fe^{III} , Co^{II} and Cu^{II} ion salt solutions. (b) pH-Dependent reversible color change and stoichiometry of TA- Fe^{III} complexes patterned on CNF film.



films could serve as a platform for engineering multifunctional materials for environmental and biomedical applications.

Author contributions

L.B. conceived and lead the study. M.V.L., C.S., G.S.A., K.K. and L.B. designed the experiments. Z.B. and M.V.L. performed and analyzed the IR measurements. M.V.L., C.S. and G.S.A. performed and analyzed the XANES measurements. W.X. performed the XPS measurements. The MD simulations were performed and analyzed by J. W. and M.W. Important insight on the traditional *Bogolanfini* dyeing technique were provided by M.P. and C.D. The manuscript was prepared by M.V.L., C.S., K. K., G.S.A. and L.B. All authors discussed the results and contributed to finalization of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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