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Polymorph screening at surfaces of a benzothienobenzothiophene derivative: discovering new solvate forms†

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The discovery of new polymorphs opens up unique applications for molecular materials since their physical properties are predominantly influenced by the crystal structure type. The deposition of molecules at surfaces offers great potential in the variation of the crystallization conditions, thereby allowing access to unknown polymorphs. With our surface crystallization approach, four new phases are found for an oligoethylene glycol-benzothienobenzothiophene molecule, and none of these phases could be identified *via* classical polymorph screening. The corresponding crystal lattices of three of the new phases were obtained *via* X-ray diffraction (XRD). Based on the volumetric considerations together with X-ray fluorescence and Raman spectroscopy data, the phases are identified as solvates containing one, two or three solvent molecules per molecule. The strong interaction of dichloromethane with the oligoethylene glycol side chains of the molecules may be responsible for the formation of the solvates. Temperature-dependent XRD reveals the low thermal stability of the new phases, contrary to the thermodynamically stable bulk form. Nevertheless, the four solvates are stable under ambient conditions for at least two years. This work illustrates that defined crystallization at surfaces enables access to multiple solvates of a given material through precise and controlled variations in the crystallization kinetics.

Introduction

Polymorphism is the ability of a compound to possess distinct crystal structures with the same molecular composition, which occurs as a consequence of the complex interplay between

New concepts

Polymorph screening, as an established technique to discover new crystal structures of molecular materials, is routinely applied to pharmaceutical compounds and organic semiconductors. However, the method can be improved substantially by including substrate surfaces as templates for crystallization. Especially in the case of thin-film applications for a specific molecular material, crystallization at surfaces must be taken into account to identify the relevant phases. This work demonstrates that combining classical polymorph screening with surface crystallization reveals the possibility of finding new phases of molecular crystal materials.

thermodynamics and kinetics during the crystallization process.^{1–3} For a given compound, different polymorphic forms emerge due to the alterations that occur either in the packing arrangement of a defined molecular conformation or through a change in the molecular conformation by itself.^{4,5} A wide range of different technologies is highly impacted by polymorphism, as physical properties are strongly dependent on the crystal structure.^{6–9} The development of novel molecular materials for specific applications (*e.g.*, pharmaceuticals) starts with the design and synthesis of new molecules, and is often accompanied by a polymorph screening process.^{10,11} The underlying idea behind the screening process is to gain access to a maximum number of phases and to choose the best crystal forms in terms of the processability, performance and stability for the desired properties.¹² The strategy adopted is often one of crystallization under a broad range of conditions, mainly *via* solution processing. Starting from a specific solvent library, single/mixed solvents are used to prepare solutions,

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and *via* supersaturation by cooling, evaporation or anti-solvent use, nucleation is initiated and crystal growth occurs.^{13,14}

During the crystallization processes, the systems have the tendency to move towards thermodynamic equilibrium.¹⁵ However, the route towards equilibrium passes through local energy minima, and hence the possibilities of stable molecular packing are highly diverse.^{16,17} During the solidification process, if kinetic processes dominate, metastable polymorphic forms with a larger free energy may eventually emerge.^{18,19} A less stabilising free energy is associated with metastable forms. The minima could be reached by varying the crystal growth kinetics, which are highly dependent on the external conditions such as the temperature, the type of solvent, molecular additives and impurities.^{20,21} However, the meticulous role of kinetic factors is far from being understood.²²

Crystallization can also be carried out under spatial confinement, such as in nano-pores^{23,24} or at substrate surfaces.^{25–27} Utilizing solid surfaces as nucleation templates is undoubtedly a promising strategy for discovering new forms.^{28–30} In some systems, the adaptation of the molecular packing to a substrate surface leads to an entropy reduction and thereby results in the formation of new phases.^{31–33} Thus molecular crystals nucleating in the proximity of a surface may organise differently, and these new phases are not accessible otherwise.²⁷ Therefore, it is vital to integrate this feature into the polymorph screening process.

One additional aspect of crystallization at surfaces is that the growth kinetics can be varied over a range that is considerably compared with other techniques. There exist a number of diverse thin-film preparation techniques which result in crystalline phases away from the thermodynamic equilibrium. Solution-based methods such as spin-coating, drop-casting, dip-coating and blade-coating are good examples of such thin-film techniques.^{34–36} One key parameter in the solution-based deposition technique is the evaporation rate of the solvent during the crystallization process.³⁷ Solvent evaporation can also be controlled relatively well by monitoring the experimental conditions such as the substrate temperature during the deposition process or by maintaining a solvent atmosphere around the crystallization site.^{38–40}

The present work illustrates that the classical polymorph screening technique carried out using a defined set of solvents can be combined with thin-film crystallization. The method is demonstrated here using an organic semiconducting small molecule, OEG-BTBT, whose chemical structure is depicted in Fig. 1. Recently, a classical polymorph screening investigation on this molecule was published.⁴¹ Two enantiotropically related phases were found (Form I, and Form II) together with a solvate with dichloromethane (Form III). Our surface

crystallization approach identifies four additional solvates of OEG-BTBT. Please note that the formation of a solvate is quite rare for organic electronic molecules.

Experimental methods

Crystallization of the 2,7-bis(2-(2-methoxyethoxy)ethoxy)benzo[*b*]benzo[4,5]thieno[2,3-*d*]thiophene (OEG-BTBT) molecule was investigated on silicon oxide surfaces *via* solution processing. Ten different solvents with boiling points in the range of 312.7 K (dichloromethane) to 532 K (chloronaphthalene) were selected based on the recommendation used in the established polymorph screening methodology.¹¹ The as-synthesized material⁴² was dissolved up to the solubility limit and was either spin-coated or drop-cast onto 2 × 2 cm silicon wafers with a 150 nm thick thermally grown oxide coating. Prior to the deposition, the substrates were chemically cleaned *via* ultrasonication in acetone for 15 min, followed by rinsing with isopropanol and drying with CO₂ gas. The surface energy of the cleaned substrates was determined *via* contact angle measurements using four different solvents.⁴³ A total surface energy of 49 mN m⁻¹ was found with a polar part of 24 mN m⁻¹ and a dispersive part of 25 mN m⁻¹.

New phases were found only in the case of dichloromethane (DCM) and 1,2-dichlorobenzene (DCB) solvents. The new phases were compared with the well-characterized stable form of OEG-BTBT prepared from chloroform (CF) solutions. The solubility limit is 0.8 g l⁻¹, 0.5 g l⁻¹ and 0.9 g l⁻¹ for DCM, DCB and CF, respectively.

For the spin-coating process, the VTC-100 PA spin coater (Shenyang Kejing Auto-instrument Co. Ltd) was used and 200 μL of the solution was drop cast onto the substrates. The spin coater was programmed to do a sequential 2-step spinning process with a coating speed of 1000 rpm for 9 s followed by spinning at 1500 rpm for 30 s. For drop casting, 250 μL of the as-prepared solutions were cast onto the substrate surface, and the evaporation of the solvent was carried out in both open and closed environments. In order to drop cast at elevated temperatures, a heating chamber was used for temperature equilibration of the substrate.

The surface-deposited OEG-BTBT crystals were characterized using two different X-ray based methods: specular X-ray diffraction (XRD) and grazing incidence X-ray diffraction (GIXD). The XRD measurements were carried out using a PANalytical Empyrean diffractometer. The primary side is equipped with a sealed copper tube and a multilayer mirror for monochromatization ($\lambda = 1.5418 \text{ \AA}$) and to produce a parallel beam. The secondary side has an anti-scatter slit, a 0.02 rad Soller slit, and a PANalytical PIXcel 3D detector operating as a point detector. The measurements were converted to the reciprocal space using the expression $q_z = 4\pi/\lambda \sin \theta$, where q_z is the scattering vector perpendicular to the substrate and 2θ is the scattering angle. The observation of Bragg's peaks was analysed in terms of the peak positions, from which the corresponding interplanar distance (d) of a net plane series can be calculated *via* $d = 2\pi/q_z$. The crystal size L in the vertical (z) direction is related to the peak width Δq_z by $L = 2\pi/\Delta q_z$.

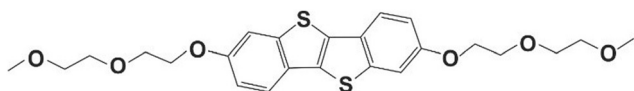


Fig. 1 Chemical structure of 2,7-bis(2-(2-methoxyethoxy)ethoxy)benzo[*b*]benzo[4,5]thieno[2,3-*d*]thiophene (OEG-BTBT).



In situ crystallization studies were performed using an AntonPaar DHS900 heating stage under inert (nitrogen) conditions.⁴⁴ A defined heating rate of 4° min^{-1} was used during the heating and cooling experiments. Once the required annealing temperature had been reached, the system was maintained under the same conditions for 30 minutes so that the system could be in equilibrium.⁴⁵

GIXD investigations were performed under ambient conditions at the XRD1 beamline, Elettra synchrotron, Trieste, Italy. The primary beam had an incidence angle of 1.5° relative to the substrate surface with a beam dimension of $50 \times 50 \mu\text{m}$ and a wavelength of 1.400 \AA . A stationary Dectris Pilatus 2M detector was used for collecting the diffracted intensity. Calibration of the experimental set up was performed on polycrystalline LaB_6 . In order to improve the statistics, the samples were rotated during the measurement, and the diffracted intensities were integrated over an exposure time of 10 s for a sample rotation of 10° . Real space detector images were converted into reciprocal space as a function of q_z (the component of the scattering vector perpendicular to the substrate surface) and of q_{xy} (the component of the scattering vector parallel to the substrate surface). The data were assessed using the in-house GIDVis software package,⁴⁶ which creates 2-D contour plots with a linearly scaled colour code ranging from purple (representing low intensity) to yellow (representing high intensity). The resultant reciprocal space maps are corrected based on geometrical correction factors, such as Lorentz and polarization factor. GIDVis provides tools to determine the peak positions and peak intensities. The information on the peak positions was used for indexing of the observed Bragg peaks using GIDInd software.⁴⁷

Results

Thin films of known phases

As a first step, thin films were prepared *via* spin coating and drop casting from dissolutions prepared with ten different solvents, and in eight cases clear Bragg peaks were observed. As an example, the results obtained with chloroform (CF) solutions are discussed hereafter. The XRD pattern of the samples deposited *via* spin coating *versus* drop casting are depicted in Fig. 2a. The diffraction peaks observed at $q_z = 0.342 \text{ \AA}^{-1}$ for both samples can be assigned to the 100 peak of the stable bulk phase of the OEG-BTBT molecule at room temperature (Form I). The contrast in peak width for these two films corresponds to the different crystal sizes: values of 9 nm and 56 nm are estimated for spin coating and drop casting, respectively. No clear Bragg peak could be observed for thin films prepared from dichloromethane (DCM) *via* spin coating, and only thickness interference features (Kiessig fringes arising from a thickness of 5 nm) were observed, as shown in Fig. 2b. In a subsequent step, the thin film was annealed at a temperature of 318 K for 20 minutes in order to induce crystallization. Fig. 2b clearly shows the amorphous-to-crystalline transformation from post-deposition heat treatment due to the development of Bragg peaks.

Besides the thickness the interference fringes, the annealed film shows a number of Bragg peaks that can be unambiguously



Fig. 2 Specular X-ray diffraction curves of (a) a spin-coated and a drop-cast film from chloroform solution, and (b) a spin-coated film from dichloromethane solution in the as-prepared state and after annealing (318 K for 20 min). (c) XRD curves of films drop cast from dichloromethane revealing three unknown phases (Forms IV, V, and VI). Details of the different preparation conditions are given in the text. Throughout, the respective characteristic peak positions are given by the dotted vertical lines.

assigned to already known phases of OEG-BTBT. The dominant features are a Bragg peak of a DCM solvate⁴¹ and the



Table 1 Crystallographic phases of OEG-BTBT observed within thin films together with the respective preparation parameters. The first three phases (Forms I–III) are identified *via* classical polymorph screening, and the other phases are identified only by introducing a surface during the crystallization process

Phase	Thin-film preparation conditions
Form I	From chloroform. From dichloromethane (DCM): – spin coating + annealing at 318 K + aging
Form II	From Form I, stable 403–438 K
Form III	From DCM (1 g L ⁻¹): – solvothermal ⁴¹
Form IV	From DCM (1 g L ⁻¹): – drop casting at room temperature
Form V	From DCM (1 g L ⁻¹): – drop casting at 333 K; – drop casting at a reduced evaporation rate
Form VI	From DCM (4 g L ⁻¹): – drop casting at room temperature
Form VII	From 1,2-dichlorobenzene (DCB; 1 g L ⁻¹): – drop casting at a reduced evaporation rate

100/200 peaks of Form I. This film shows limited stability over time, *i.e.*, within days, the diffraction peak of the solvate disappears and the peaks of Form I increase in intensity. This is in full agreement with previous observations that a metastable solvate phase converts into Form I within a day.⁴¹ The prerequisites for the appearance of the already known phases of OEG-BTBT in the thin films are collected in Table 1.

Thin films of new phases

The next sample series were prepared *via* the drop casting of DCM solutions onto the substrates under different deposition conditions, facilitating a broader variation of the crystallization kinetics. Different evaporation rates were achieved during the solidification process: (i) at different temperatures (from 303 to 353 K in steps of 10 K); (ii) by covering the sample with a Petri dish; and (iii) placing the sample in a closed chamber. The XRD pattern of the film deposited at a temperature of 303 K is shown in Fig. 2c (black curve), and the peak patterns corresponding to depositions at other temperatures are given in Fig. S1 (ESI[†]). Three independent X-ray diffraction peaks are assigned to three new phases of OEG-BTBT, denoted here as Form IV, Form V and Form VI. Reduction of the solvent evaporation rate, and variation of the deposition temperature and the concentration of the solution provides access to the phase pure forms. The defined preparation conditions are listed in Table 1 and the corresponding XRD patterns are presented in Fig. 2c.

These new phases appear to be stable under ambient conditions over long periods, as tested repeatedly over the course of two years. The diffraction patterns at large q_z values (large scattering angles 2θ) are depicted in Fig. S2 (ESI[†]). Peaks are found at equidistant positions, which implies that they can be assigned to higher order diffraction caused by a single interplanar distance. This means that a strong preferred orientation of the crystals is present with a defined crystallographic plane parallel to the substrate surface. In addition to the phase pure forms, films with different combinations of coexisting (concomitant) phases could be prepared. Their respective XRD patterns are shown in Fig. S3 (ESI[†]), and the corresponding processing conditions required to obtain these specific phase mixtures are listed in Table S1 (ESI[†]).

The three new phases were investigated *via* GIXD to obtain a larger data set for crystal structure determination. The collected GIXD patterns are shown in Fig. 3; for comparison, the GIXD pattern of a Form I sample is shown in Fig. S4a (ESI[†]). In all

cases, a pronounced preferred orientation (or texture) of the crystals is observed, which is shown by spot-like or arc-like diffraction features. Indexation of the individual diffraction pattern was performed using 12 peaks for Form IV, 11 peaks for Form V and 8 peaks for Form VI. The associated crystallographic lattices of the three new phases could be identified; the lattice constants are listed in Table S2 (ESI[†]) together with those of the known phases for comparison. The differences between the three new phases and the stable phase of OEG-BTBT were also investigated using high-frequency Raman spectroscopy, probing intramolecular vibrations. Explicit differences are observed between all four different phases (Fig. S5, ESI[†]). Unfortunately, a defined molecular packing cannot be provided for the three presented crystal structures. Therefore, only qualitative considerations can be made based on the detected crystalline lattices.

The analysis of the crystal structure was performed on the basis of the volumes of the crystallographic unit cells, whose values are given in Table 2. Despite significant differences in the lattice constants of the new phases, the volumes of the crystallographic unit cells are comparable to Form I. Therefore, we assign two molecules per unit cell ($Z = 2$) to the three new phases. In a subsequent step, the observed unit cell volumes were considered in more detail by assuming the required space of one OEG-BTBT molecule in the crystalline environment to be 584.5 Å³, *i.e.*, the value obtained from Form I. With the knowledge that the composition of the DCM solvate (Form III) is one DCM molecule per OEG-BTBT molecule, we estimated the required volume of a single DCM molecule to be 64.8 Å³. This value fits reasonably well with the estimated van der Waals volumes, depending on the van der Waals radii, and values between 58 and 83 Å³ were obtained.⁴⁸ Assuming three, two and one DCM molecule per OEG-BTBT unit incorporated into Forms IV, V and VI, respectively, comparable DCM volumes are yielded within our three new phases (compare Table 2). Therefore, we are led to the conclusion that Forms IV, V and VI are solvates that include different fractions of solvent molecules within their crystalline structures. The presence of DCM is further supported by observing the characteristic dichloromethane peak at 1423 cm⁻¹ in the Raman spectra (Fig. S5, ESI[†]) for Forms IV and VI,⁴⁹ as well as by the detection of chlorine atoms in the films *via* X-ray fluorescence, as shown in Fig. S6a (ESI[†]). However, no clear identification of the DCM solvent



Table 2 Volume and content of the crystallographic unit cell of the three new phases (Forms IV, V, and VI) and of the three known phases (Forms I, II, and III). The volume required for crystalline packing is given for single molecules of OEG-BTBT and for dichloromethane. In addition, the transition temperatures of the different phases are given

	Form I	Form II	Form III	Form IV	Form V	Form VI
Temperature [K]	298	404	100	298	298	298
Volume [\AA^3]	1169.1	3619.7	2597.3	1399.0	1312.7	1236.6
Z	2	6	4	2	2	2
Solvent molecules per unit cell	0	0	4	6	4	2
Volume for one OEG-BTBT molecule [\AA^3]	584.5	603.3				
Estimated volume per solvent molecule [\AA^3]	0	0	64.8	76.6	71.8	67.5
Transition temperature [K]	403.0	429.0	Unstable	347.2	347.9	366.0



Fig. 4 Temperature-dependent *in situ* XRD measurements for OEG-BTBT films, prepared *via* drop casting, in a waterfall plot. (a) Thin film of Form I prepared from chloroform solution, and (b) thin film containing all three new solvates simultaneously.

The observed thermal stability of the new phases is observed to range from 347 K (Form IV) to 366 K (Form VI), values that are far below the phase transition temperature of the thermodynamically stable phase at room temperature (403 K) or the high-temperature phase (429 K). Normally, phase pure crystalline polymorphs of molecular compounds show a weak spread of melting temperatures: for example, a difference of 13 K is observed in the case of the molecule 5-methyl-2-[(2-nitrophenyl)amino]-3-thiophenecarbonitrile (known as ROY; 7 polymorphs)⁵¹ or 14 K in the case of 5*H*-dibenz[*b,f*]azepine-carboxamine (carbamazepine, $C_{15}H_{12}N_2O$; 5 polymorphs).⁵² In specific cases, differences in the melting temperature of up to

30 K can be observed.^{53,54} The literature suggests that a difference in the melting point above 50 K is scarce among the various crystalline polymorphs of a given compound.⁵⁵ However, in the case of solvates, the thermal stability is drastically reduced in comparison with the not-solvated phase, such that reduced phase transition temperatures of 80 K can be observed.⁵⁶ The observed substantial reduction of the thermal stability agrees with our suggestion that these three phases from DCM solutions are solvates. Furthermore, a simple packing analysis based on the required volume of a single OEG-BTBT molecule within Form I and of DCM molecules within Form III reveals that the three new solvates differ by the number of DCM molecules per OEG-BTBT molecule (Table 2). It is also interesting that we see this large difference in the transition temperature for polymorph (Form VII) prepared from yet another solvent, 1,2-dichlorobenzene, which has a much higher boiling point (453 K).

In principle, crystal structure solutions based on GIXD experiments could be performed using a combined theoretical/experimental approach. However, molecules comparable in size to OEG-BTBT with flexible moieties are on the edge for obtaining a crystal structure solution.^{57,58} In the current case, only speculation can be offered about the crystalline packing of DCM and OEG-BTBT molecules within the new phases. It is observed that the new phases are more stable than the known solvate (Form III). Therefore, considerably different packings are expected for Form III *versus* Forms IV, V and VI. One possibility would be the embedding of DCM molecules into the OEG side chains. It is well known that OEG and its polymer have a polar character and are thus prone to interact with polar solvents. Moreover, an exceptionally high solubility is found for polyethylene glycol in the solvent DCM.⁵⁹ The high flexibility in the chain conformation, together with the specific polar centres, is thus beneficial for the incorporation of polar molecules into the crystalline environment.^{60,61} Generally, the preparation of solvates could be a first step towards the preparation of unknown polymorphs obtained through a careful desolvation process.^{62,63}

Conclusions

This work unambiguously demonstrates the potentiality of incorporating surfaces into the state-of-the-art polymorph screening technique to discover new polymorphs. The introduction of a substrate surface into the crystallization process



gives the opportunity to vary the crystallization kinetics over a wide range through the simultaneous presence of a surface for templating crystallization. The molecule OEG-BTBT, which is known for its polymorphism in the bulk phase, was chosen for the discovery of new phases at the proximity of a surface by varying the deposition conditions. The factors that were varied in the screening process are the deposition technique (spin coating, drop casting), the type of solvent (10 solvents), the solution concentration (1–4 g L⁻¹), the deposition temperature (room temperature to 353 K) and the evaporation rate of the solvent (*e.g.*, achieved by covering the sample). In addition to the three polymorphs found *via* classical polymorph screening, we found four additional solvates, of which three are obtained from dichloromethane and one is obtained from 1,2-dichlorobenzene, which are used as the processing solvents. In all four cases, the substrate has a decisive influence on the crystallization process as a strong preferred orientation of the crystals relative to the substrate surface is observed. The crystal lattices of the solvates from dichloromethane were determined through indexing the GIXD diffraction pattern, and quantitative packing analysis reveals that the inclusion of solvent molecules into the crystalline lattices occurs. This is confirmed by the unusual low thermal stabilities of the solvates with respect to the known polymorphs of the molecule. This work shows that combining polymorph screening with crystallization at defined surfaces unlocks the possibility of finding new unknown phases of a particular molecular material.

Author contributions

Y. G. proposed the project and OEG-BTBT molecule was synthesized in his group by F. D. R. R. supervised the project. R. R. and L. M. conceived the idea. A. M. J., L. P. and A. G. performed the experiments. A. M. J., N. M. and P. P. analysed the data. J. C. and P. B. gave important input for interpretation. A. M. J. and R. R. organized and wrote the manuscript. All authors contributed to the discussion and commented on the manuscript.

Conflicts of interest

The authors declare no competing interests.

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