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Recent progress in the catalytic transformation of carbon dioxide into biosourced organic carbonates

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Cyclic organic carbonates are among the most widely studied targets in the nonreductive conversion of carbon dioxide using oxiranes as the common reaction partners. Apart from using fossil fuel based precursors, recent developments have shown that biomass related feedstock can also serve as coupling partner for CO₂ allowing the preparation of more functional and complex types of carbonate architectures. This tutorial review places this latter development in the current context of new and more sustainable material designs, and highlights the main types of biomass that have been examined using primarily homogeneous catalysis approaches.

1. Introduction

Cyclic organic carbonates, more typically denoted as cyclic carbonates (CCs), have become a major target in the area of catalytic valorization of (waste) carbon dioxide.^{1–8} The most common way to prepare such carbonates is through the coupling of epoxides and CO₂,^{9–11} a reaction that has reached a high level of sophistication thanks to the development of improved catalysts,^{12–16} and new concepts.^{17–19} A more recent trend shows a shift towards the use of biosourced feedstock in the synthesis of organic carbonates, *i.e.*, so-called bio-carbonates. These biosourced carbonates are believed to give new impetus for the development of a variety of new applications such as their use as drop-in monomers for sustainable polymers,^{20,21} the creation of isocyanate-free polyurethanes (NIPUS),^{22,23} new types of plasticizers,²⁴ green and biodegradable solvents and surfactants,²⁵ and functionalized building blocks for organic synthesis.^{26,27}

With this context in mind, we decided to capture the most recent and important advances in this area in this review. The focal point will be on selected biosourced feedstock that has

been utilized to prepare cyclic carbonate structures including glycerol, fatty acids, terpenes and carbohydrates (Fig. 1).

Here, biosourced feedstock is defined as those starting materials produced by the growth of microorganisms, plants or animals or derived thereof.^{28,29} Each of these specific categories of biocarbonates is shortly introduced followed by a detailed description of the state-of-the-art. Mechanistic details on the formation of CCs from CO₂ and epoxides are provided where necessary, since this topic has been recently and extensively reviewed.³⁰ This article thus summarizes the most frequently used feedstock to build biobased organic carbonates serving as an inspiring stepping stone towards a greener development of CO₂-derived heterocyclic building blocks.

2. Glycerol carbonate

The utilization of glycerol to produce valuable chemicals is an inspiring goal for chemists. Glycerol is the main byproduct

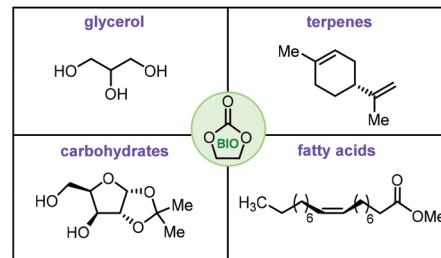


Fig. 1 Biobased feedstock used to prepare bio-carbonates. Apart from glycerol, exemplary cases of the other categories are shown.

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derived from the production of biodiesel (*i.e.*, through the transesterification of triglycerides, present in vegetable oils, with alcohols such as methanol), and is produced at a higher rate than it is consumed. Therefore, it is important to find ways that transform low-value glycerol into high-value products. Regarding the chemical transformations of glycerol, one of the most attractive conversion processes is its conversion into glycerol carbonate (GC).^{31–39} This cyclic organic carbonate finds interesting use in cosmetics and lubricants, coating materials and as a polar solvent.⁴⁰ In addition, GC can also be used as building block in organic synthesis through ring-opening, decarboxylation, esterification or polymerization reactions.^{41–43}

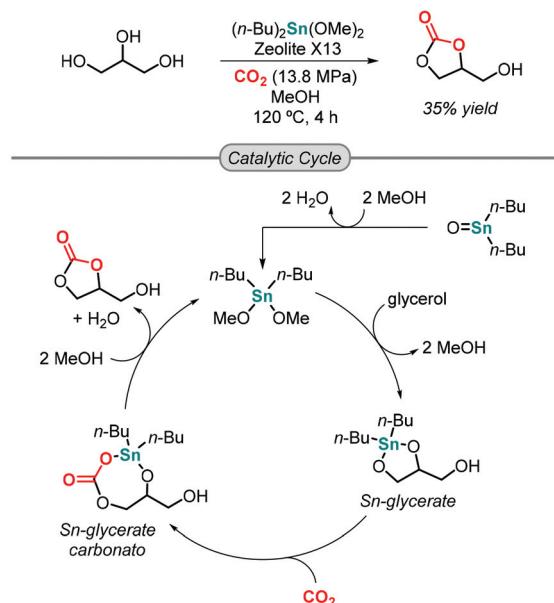
The most common way to produce GC is by transesterification of glycerol with dimethyl carbonate (DMC) or urea with the aid of a catalyst.^{44–48} The most desirable sustainable and straightforward route to produce GC would be from glycerol and carbon dioxide combining two waste molecules. However, the direct coupling of CO₂ and glycerol is an equilibrium-limited reaction making it difficult to achieve high conversions and yields unless sacrificial dehydrating agent are used (*vide infra*). Despite significant advances that have been achieved in the direct glycerol-to-GC conversion, an alternative route towards GC utilizes glycidol and CO₂. Glycidol has much higher reactivity towards CO₂ and, moreover, it can also be produced from biomass. The subsequent sections discuss the pros and cons of both formation routes.

2.1. Glycerol carbonate from glycerol and CO₂

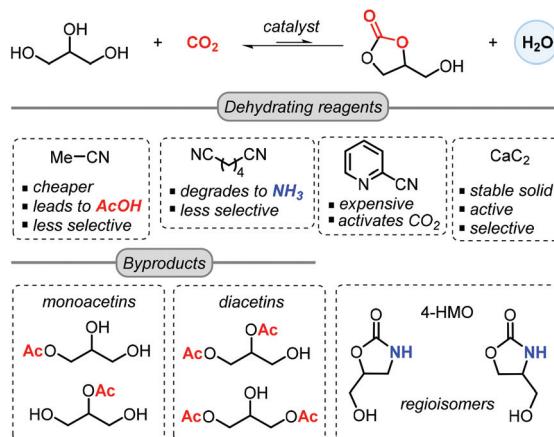
The first attempt towards the synthesis of GC from glycerol and CO₂ was reported by Mouloungui in 1998.⁴⁹ Unfortunately, under supercritical CO₂ conditions, the reaction did not occur. It was not until 2006 when Dibenedetto successfully reported that Sn-based catalysts of the formula (n-Bu)₂SnO or (n-Bu)₂Sn(OMe)₂ were able to catalyze the formation of GC at 5 MPa of CO₂ pressure and 180 °C to obtain a maximum of 5.7% isolated yield of GC under solvent-free conditions using molecular sieves to remove water from the reaction mixture.⁵⁰ The Sn-based catalyst activates glycerol by forming an active Sn-glycerate intermediate that allows for CO₂ insertion. This process was greatly improved by Munshi in 2009 using MeOH as medium, which increased the yield of GC to 35% (Scheme 1).⁵¹ The addition of MeOH is believed to help preventing catalyst deactivation by avoiding oligomerization of the mononuclear catalytic species.

Up to now, many catalytic systems have been reported that are based on metal oxides/complexes,^{52–57} supported metal catalysts,^{58,59} or modified zeolites or hydrotalcites,^{60–62} in combination with a dehydrating reagent. Most of the reported heterogeneous catalysts typically require harsh reaction conditions for GC synthesis, *i.e.* a CO₂ pressure above 40 bar and temperatures above 150 °C.

Another notable feature of these processes is the introduction of a dehydrating reagent, which was found to be more effective than molecular sieves, but on the other hand lowered in some cases the chemoselectivity towards GC (Scheme 2).⁵⁷



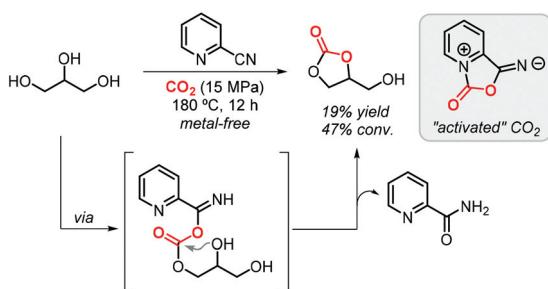
Scheme 1 Formation of GC from glycerol using (n-Bu)₂Sn(OMe)₂ as catalyst.



Scheme 2 Comparison of different dehydrating agents in the formation of GC from glycerol and CO₂.

In most reported approaches, acetonitrile is selected as an inexpensive dehydrating agent, which upon reaction with the *in situ* generated water forms acetamide. This in turn may further react with a second molecule of water to generate acetic acid. The latter can lower the selectivity towards GC through mono- and di-acetylation of glycerol.

Adiponitrile has been used as a dehydrating agent by McGregor,⁵⁷ who found that under the applied reaction conditions, adiponitrile degraded to NH₃ that upon reaction with glycerol produces 4-(hydroxymethyl)oxazolidin-2-one (4-HMO) as a mixture of two regioisomers. Apart from acetonitrile and adiponitrile, He and co-workers reported in 2016 the use of 2-cyanopyridine as a superior dehydrating reagent. In the presence of a CeO₂ based catalyst, the yield of GC is boosted up to



Scheme 3 Metal-free formation of GC using 2-cyanopyridine.

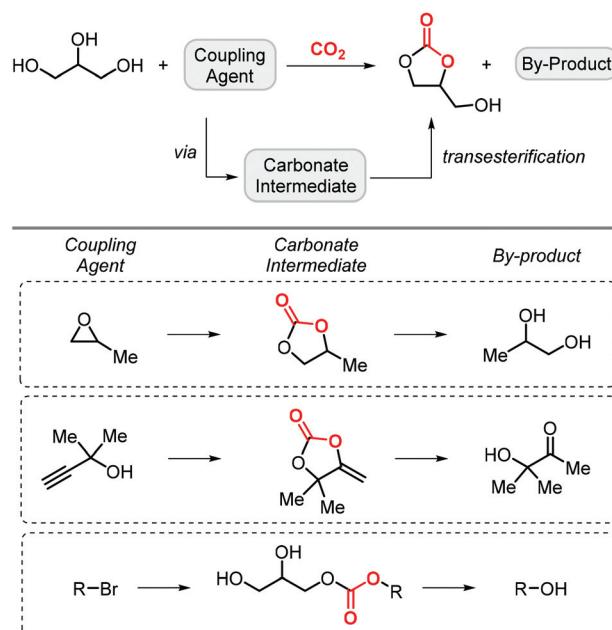
79% when using 3 equiv. of 2-cyanopyridine at 4 MPa of CO_2 and 150 °C in DMF.⁶³ Moreover, the CeO_2 catalyst could be recycled 5 times through a calcination process at 400 °C. Recently, Choi *et al.* demonstrated the advantage of using CaC_2 as a dehydrating agent for the synthesis of GC, which in combination of $\text{Zn}(\text{OTf})_2/\text{phen}$ (1,10-phenanthroline) in NMP (*N*-methyl-2-pyrrolidone) could achieve 88% isolated yield at 50 bar of CO_2 pressure at 180 °C for 24 h.⁵⁵

One year later, Zhao *et al.* demonstrated that the formation of GC (*via* 2-cyanopyridine) also occurs in the absence of a metal catalyst achieving a 19% yield at 15 MPa of CO_2 and 180 °C (Scheme 3).⁶⁴ The authors confirmed by FTIR that 2-cyanopyridine activates CO_2 to form a five-membered ring which then reacts with glycerol to produce GC. Interestingly, switching to 3-cyanopyridine, 4-cyanopyridine or acetonitrile did not provide such potential. Theoretical calculations pointed indeed to the formation of a five-membered heterocycle as the “activated” form of CO_2 , which is subsequently involved in a transesterification reaction of glycerol.

2.2. Glycerol carbonate using coupling agents

Glycerol carbonate can also be successfully prepared by reaction of glycerol and carbon dioxide in the presence of a coupling agent, which is used to produce a cyclic carbonate intermediate *in situ* allowing for transesterification of glycerol to form glycerol carbonate and a by-product (Scheme 4). The involvement of a third reactant allows to overcome the intrinsic thermodynamic limitation for the direct reaction between glycerol and CO_2 , whereas it also produces a new byproduct derived from this additional component.

In this context, propylene oxide (**PO**) was the first additive to be reported by Han and co-workers in 2012 (entry 1, Table 1).⁶⁵ Although **PO** constitutes an inexpensive precursor, the process would not be entirely biobased since **PO** is primarily produced from fossil fuel feedstock. The reaction uses KI as a catalyst and is carried out at 2 MPa of CO_2 pressure and 115 °C affording GC in 75% yield. The catalyst promotes the formation of propylene carbonate (**PC**), which then engages in a transesterification of glycerol producing both GC and propylene glycol. In 2018, Xiao *et al.* improved the process by introducing a bromide-based heterogeneous catalyst obtained through the polymerization of divinyl benzene and 1-vinyl-3-butylimidazolium bromide (entry 2, Table 1).⁶⁶ Importantly,



Scheme 4 General reaction of glycerol with CO_2 in the presence of a coupling agent.

not only the yield of GC could be improved to 81%, but also the catalyst could be recycled up to 5 times while maintaining the same level of activity.

Apart from the use of **PO**, dimethylethynyl carbinol (*i.e.*, a propargylic alcohol) has also been applied as a reagent to generate GC in high yield, along with 3-hydroxy-3-methyl-2-butanone as the byproduct. The reaction proceeds through the intermediacy of a cyclic alkenyl carbonate, which allows for the transesterification of glycerol. Since 3-hydroxy-3-methyl-2-butanone contains a tertiary alcohol group, it is less nucleophilic than propylene glycol, and thus does not readily react with the formed GC to return the carbonate intermediate. Initially, the group of He reported in 2017 the use of a $\text{Ag}_2\text{CO}_3/\text{Xantphos}$ as a catalyst promoting this transformation in MeCN at 80 °C under 1 MPa of CO_2 pressure to give GC in 82% yield (entry 3, Table 1).⁶⁷ A solvent-free version was later reported by Song and Zhang using a silver sulfadiazine/ Et_4NBr catalytic system, although a lower yield for GC was obtained (entry 4, Table 1).⁶⁸

On the other hand, the groups of Lu,⁶⁹ and Liu,⁷⁰ independently reported an organocatalytic approach to prepare GC. In the case of Lu, a one-pot approach was applied by formation of the intermediate carbonate using a catalytic amount of an amidine- CO_2 adduct, followed by the transesterification with glycerol catalyzed by MTBD (entry 5, Table 1; MTBD = methyl-1,5,7-triazabicyclo[4.4.0]dec-5-ene). In this way, 85% yield of GC was obtained under very mild reaction conditions. The system of Liu and co-workers was based on DBU (8-diazabicyclo[5.4.0]undec-7-ene) and was also found to promote both steps to deliver GC in 97% yield albeit under harsher reaction conditions (entry 6, Table 1).

Table 1 Results for the synthesis of GC using various coupling agents. NR = not reported

Entry ^a	Coupling agent	Conditions			Yield of GC (%)	Yield of byproduct (%)
			via trans-esterification	CO ₂ conditions		
1 [65]		CO ₂ (2 MPa), KI 115 °C, 1.5 h			77	39 ^b
2 [66]		CO ₂ (2 MPa) P-DVB-(vIm-BuBr) 100 °C, 4 h			81	20 ^c
3 [67]		CO ₂ (1 MPa) Ag ₂ CO ₃ , XantPhos MeCN, 80 °C, 16 h			82	83
4 [68]		CO ₂ (1 MPa) Ag sulfadiazene, Et ₄ NBr 80 °C, 24 h			56	69
5 [69]		1. CO ₂ (0.1 MPa) Amidine-CO ₂ 25 °C, 24 h 2. MTBD MeCN, 25 °C, 24 h			85	NR
6 [70]		CO ₂ (3 MPa), DBU DMF, 120 °C, 10 h			97	63
7 [71]	CH ₂ Br ₂	CO ₂ (1 MPa) DBU, BmimPF ₆ 70 °C, 18 h			86	NR
8 [72]	<i>n</i> -BuBr	CO ₂ (0.1 MPa) Guanidine cat. NMP, 50 °C, 4 h			74	NR

^a Corresponding reference in parentheses. ^b 59% yield of propylene carbonate. ^c 76% yield of propylene carbonate.

The last example of this strategy illustrates that alkyl halides are also effective reactants. Compared to the other methods, the use of halogenated reagents implies the generation of halogen-containing waste, which is not ideal from a sustainable point of view. Initially, Jang reported in 2014 one example using BmimPF₆ (an ionic liquid), DBU as a homogeneous base and CH₂Br₂ as both the reactant and solvent to afford GC in 86% isolated yield (entry 7, Table 1).⁷¹ An intermediary linear carbonate salt attacks the CH₂Br₂ reagent following ring-closure leading to the CC. The authors propose that the ionic liquid helps to improve the solubility of CO₂ although under basic conditions, it is possible that an NHC-carbene forms able to capture/activate CO₂, which can then react with glycidol. In another approach, Mihara *et al.* used *n*-BuBr as the additional component, together with a guanidine-based catalyst (entry 8, Table 1).⁷² By adjusting the reaction conditions, it was possible to selectively form GC in 74% yield at 50 °C under 0.1 MPa of CO₂ pressure and using NMP (*N*-methyl pyrrolidone) as solvent.

2.3. Glycerol carbonate from glycidol

Apart from the conversion of bio-glycerol into glycerol carbonate, an alternative and popular route starts from its epoxy alcohol derivative, *viz.* glycidol (**Gly**). This precursor can be derived from glycerol present in industrial waste produced in the synthesis of epichlorohydrin, thus providing an opportunity for recycling it into valuable glycerol carbonate in two steps.⁷³ This route based on **Gly** features excellent atom-economy and, additionally, since many highly efficient catalytic systems have been developed over the years for the coupling of oxiranes and CO₂, glycidol has often been included in the substrate scope.

Gly has distinct reactivity compared to other common epoxides such as **PO** or styrene oxide. The non-innocent hydroxyl group can actively participate in the reaction in two distinct ways. Capacchione and co-workers found that ring-opening of glycidol by an external nucleophile was faster than with other epoxides (Table 2).⁷⁴ By means of NMR and DFT studies, they concluded that glycidol dimers were present under the catalytic reaction conditions. Intermolecular hydrogen bonds between the **Gly** molecules are important to explain the activation of the oxirane ring of **Gly** towards nucleophilic ring-opening by bromide (Fig. 3). Hence, using simple TBAB (tetrabutylammonium bromide, 5 mol%) at 60 °C and 1 MPa of CO₂ pressure it was possible to convert **Gly** in >99% conversion and selectivity producing GC (entry 1, Table 2). Lowering the temperature to 40 °C or reducing the loading of TBAB to 1 mol% while heating at 80 °C for 1 h reduced the conversion to 87% and 85%, respectively (entries 2 and 3, Table 2). Lowering the pressure to 0.1 MPa had a negative impact on the **Gly** conversion, which reached 52% after stirring for 24 h at 40 °C. The authors also examined the conversion of **PO** at the optimized reaction conditions but noted only 4% of conversion. This **Gly**/TBAB derived binary catalyst was then successfully applied to other epoxide/CO₂ combinations, and significantly better substrate conversion levels were achieved compared to the reactions performed without **Gly**.

The group of Kleij discovered that the hydroxyl group of **Gly** can be involved in the activation of CO₂ by forming a transient hemi-carbonate species (Scheme 5) that acts as an intramolecular nucleophile able to ring-open the oxirane ring under mild conditions: as such, no external nucleophilic additive is required facilitating thus a halide-free route.¹⁷ The use of the aminotriphenolate aluminum catalyst **1^{tBu}** (1 mol%) at

Table 2 Upper part: effects on the conversion by changing the reaction parameters in the synthesis of **GC** using **Gly**

Entry	TBAB (mol%)	T (°C)	CO ₂ (MPa)	Time (h)	Conversion (%)
1	5	60	1	3	>99%
2	5	40	1	3	87
3	1	80	1	1	85
4	5	40	0.1	24	52
5 ^a	5	60	1	3	4

>99% selectivity towards **GC** in all the cases. ^a **PO** as substrate.

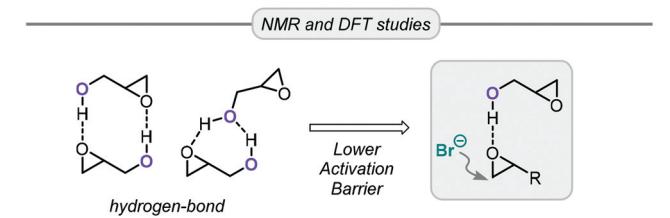
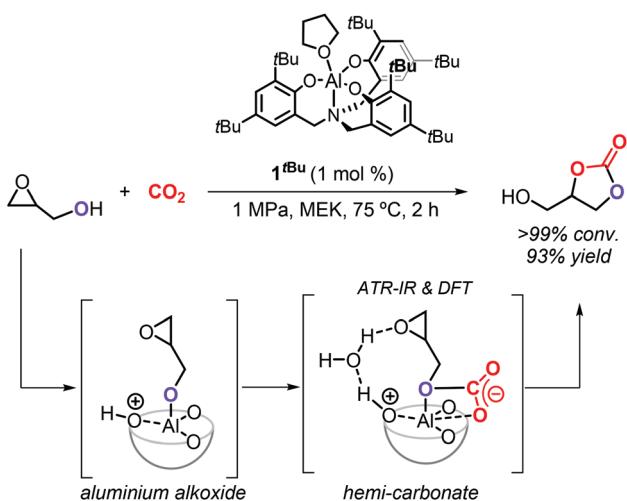
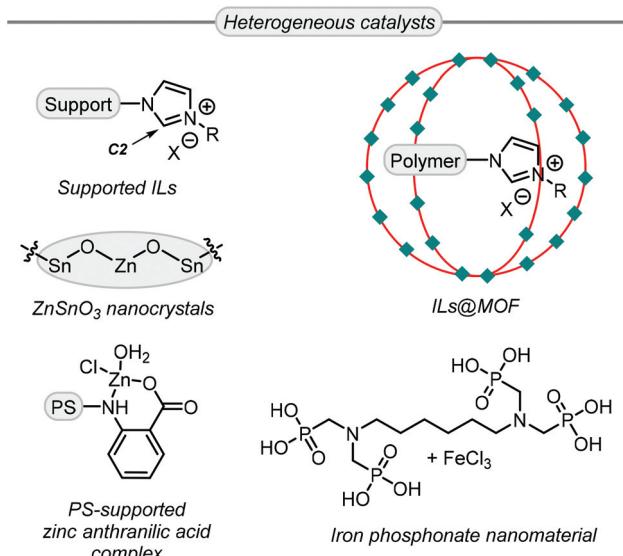


Fig. 3 Lower part: Hydrogen bonding in **Gly** and implications for the overall reaction.



Scheme 5 Halide-free carboxylation of glycerol using the aluminum aminotriphenolate catalyst **1^{tBu}**.

75 °C and 1 MPa of CO₂ pressure in methyl ethyl ketone (MEK) as solvent enabled to obtain >99% **Gly** conversion in 2 h and provided **GC** in 93% isolated yield. The mechanism of the reaction was studied in detail by ATR-IR, kinetic studies, DFT analysis and X-ray crystallography, which provided proof that a hemi-carbonate intermediate forms under the reaction conditions aiding the intramolecular ring-opening of the oxirane



Scheme 6 Highly efficient heterogeneous catalysts for the synthesis of **GC** from **Gly**. ILs = ionic liquids, MOF = metal-organic framework, PS = polystyrene.

ring of **Gly** and being facilitated by a hydrogen bond network between the catalyst, **Gly** and co-catalytic H₂O.⁷⁵

While more than 140 publications have been reported that discuss the conversion of **Gly** into **GC**, not all the catalyst systems proved to be superior to simple TBAB. However, some catalyst systems provide other advantages, such as recyclability, reduced catalyst loading and/or milder reaction conditions among others. For example, the use of heterogeneous catalysts (Scheme 6) allows for easy catalyst recovery after some postsynthetic treatment such as filtration or product extraction. Most of these heterogeneous systems for **GC** synthesis from **Gly** are derived from ionic liquids (ILs),^{76–82} which accelerate the reaction in two ways.

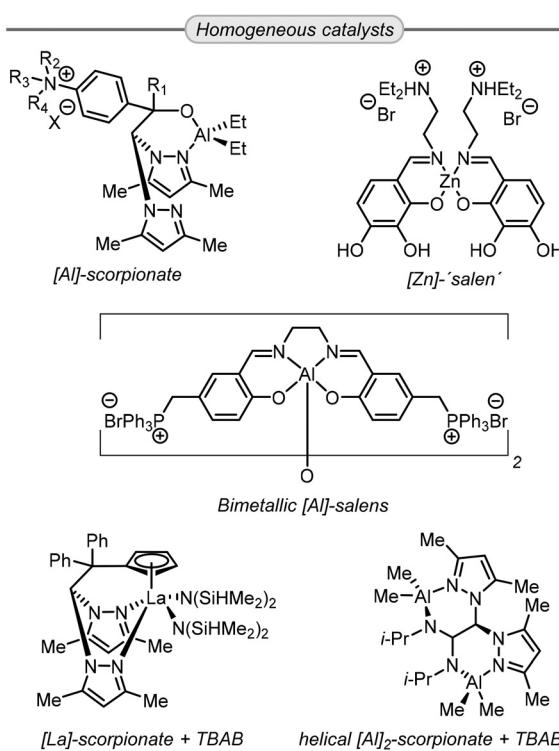
First, a nucleophilic anion helps to ring-open the oxirane ring and, second, an appropriate IL can establish hydrogen bonds between the oxirane ring and the acidic C2 proton (Scheme 6) of the imidazolium ring. The group of Jiang reported an efficient supported ionic liquid catalyst which was confined inside a metal-organic framework (MOF), and this multi-component system displayed synergistic effects between the CO₂ capturing capability of the MOF, the Lewis acidic sites of the MOF and the basic sites of the poly-IL.⁸³ Islam *et al.* reported three examples of active heterogeneous catalysts that are not based on ILs. An iron-phosphonate nanomaterial,⁸⁴ and a polystyrene supported zinc catalyst,⁸⁵ showed good activity as Lewis acidic materials with TBAB used as a co-catalyst. Conversely, the catalyst based on ZnSnO₃,⁸⁶ did not require any additive.

Compared to heterogeneous catalysts, homogeneous catalysts derived from earth-abundant metals show superior activity and, thus, reduced catalyst loadings are typically utilized. Moreover, bifunctional catalysts, which have the halide anion incorporated within the catalyst structure, have demon-

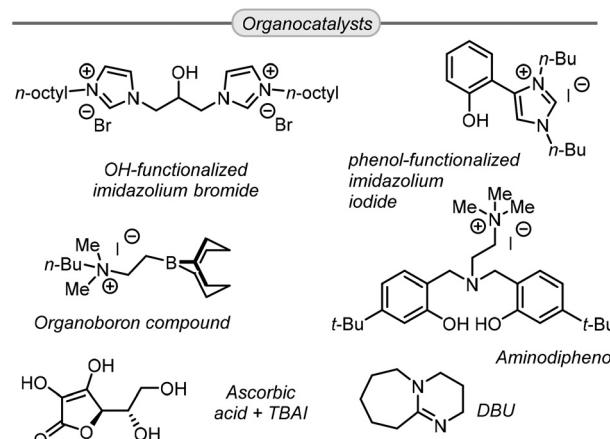
strated good activity and selectivity towards the formation of GC. For instance, aluminum scorpionate complexes (Scheme 7) reported by Lara-Sánchez and co-workers can achieve an excellent GC yield using 0.25–0.5 mol% of catalyst loading at 70–85 °C.^{87–89} Similar results were obtained by the group of He, who reported a bifunctional zinc salen-like complex that shows appreciable activity at 0.3 mol% catalyst loading and 0.1 MPa of CO₂ pressure, though heating to 100 °C was necessary.⁹⁰ Another type of bifunctional catalyst was reported by North *et al.* showing that a bimetallic aluminum salen complex displays high activity in the synthesis of GC from Gly, achieving full conversion in 3 h at only 27 °C and 0.1 MPa.⁹¹

Other homogeneous metal catalysts combined with a co-catalytic amount of halide also exhibit high activity such as the aluminum,⁹² and lanthanum,⁹³ based scorpionate catalysts reported by Otero, Lara-Sánchez *et al.* In particular the La-based catalyst shows good activity at remarkably low catalyst loading (0.05 mol%) achieving 98% yield of **GC** at 70 °C and 1 MPa CO₂ pressure in 4 h.

Lastly, some organocatalysts have also been demonstrated as competitive systems for metal-based catalysts. Most of these organocatalysts effectively activate the oxirane ring by establishing hydrogen bonds that facilitate the ring-opening by a halide nucleophile. For instance, Cokoja,⁹⁴ and Lara-Sánchez,⁹⁵ reported recyclable organocatalysts that contain imidazolium rings featuring halide counter anions (Scheme 8). Similarly, the group of Kim published a scorpion-



Scheme 7 Highly efficient homogeneous catalysts for the synthesis of GC from Gly.



Scheme 8 Efficient reported organocatalysts for the conversion of Gly into GC

nate type organocatalyst comprising of an aminodiphenol scaffold which acts as a hydrogen-bond activator, and additionally contains a quaternary ammonium salt in its structure.⁹⁶

D'Elia reported that ascorbic acid is an efficient hydrogen bond donor which, in combination with a co-catalytic amount of TBAI, could achieve high yield of **GC** at room temperature and 0.1 MPa of CO_2 .⁹⁷ On the other hand, the group of Wu reported a bifunctional organoboron organocatalyst able to achieve full conversion and excellent yield of **GC** at remarkably low (0.02 mol%) catalyst loading though under somewhat harsher reaction conditions (120 °C, 20 MPa CO_2).⁹⁸ In the latter case, mechanistic studies indicated that the boron acts as a Lewis acid and activates the oxirane ring near a closely positioned ammonium iodide unit (Scheme 8). Lastly, Kleij *et al.* showed that DBU can promote the formation of **GC** from **Gly** and other epoxy alcohols through the formation of a hemi-carbonate intermediate under mild reaction conditions (45 °C, 1 MPa CO_2).⁹⁹

3. Terpene based carbonates

As stated before, the synthesis of CCs from CO₂ has become a mature research field. In most contributions, there has been a primary focus on the preparation of relatively simple five- and six-membered CCs with a low degree of substitution/functionality. To explore new applications of CCs, the preparation of structurally more complex products is currently an emerging topic in the area of CO₂ utilization. In this regard, terpenes represent attracting starting materials for the preparation of new, more complex and partially biobased CCs.

The isolation of 4-muurolen-7,15-diol-7,15-carbonate in 1994 demonstrated that there are naturally occurring terpenoid carbonates (Fig. 2).¹⁰⁰ Since then, several other examples of terpene carbonates have been reported,^{101,102} ranging from compounds having five- to eight-membered CC moieties

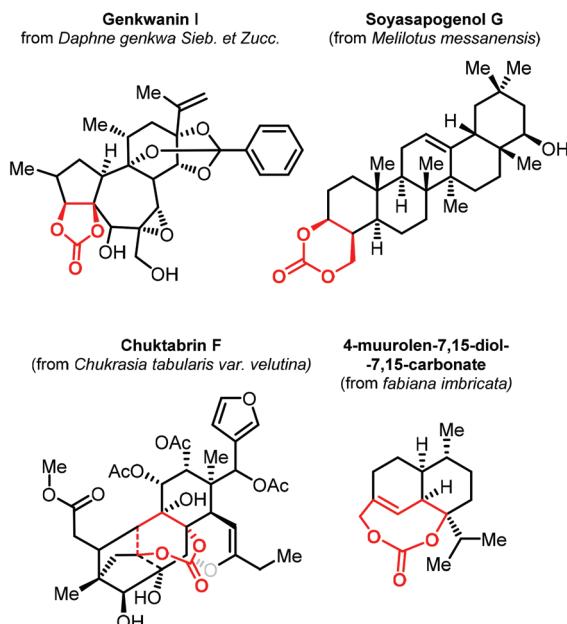


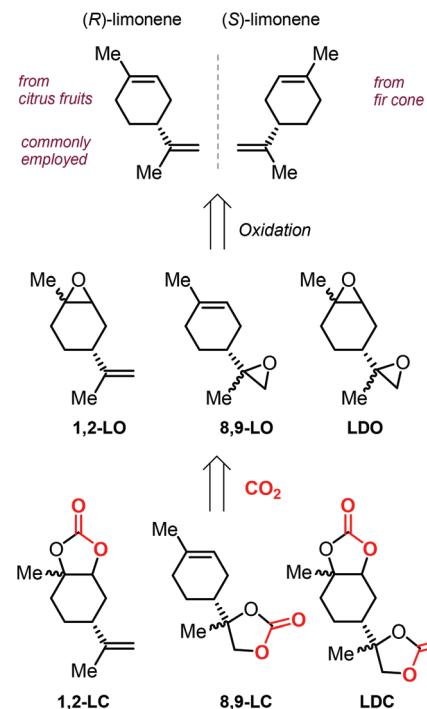
Fig. 2 Examples of naturally occurring terpenoid carbonates. The cyclic carbonate rings are highlighted in red.

within their structure with some of them showing biological activity such as Genkwanin I,¹⁰³ Soyasapogenol G,¹⁰⁴ and Chuktabrin F (Fig. 2).¹⁰⁵ These findings triggered the effort of several research groups to use terpene scaffolds for the preparation of new types of CCs. The high-structural modularity of terpenes and the almost ubiquitous presence of double bonds that can be easily oxidized and further functionalized, offer an ideal starting point for the preparation of CCs using CO_2 .^{106,107}

In addition to these attractive structural features, it must be noted that terpenes can be isolated from natural sources, rendering these molecules an attracting alternative to fossil fuel-based raw materials. In particular, the cyclic terpene limonene (both enantiomers) can be conveniently isolated from natural sources such as citrus fruit and fir cone oil (Scheme 9).^{108,109} It is likely for this reason that limonene has been the most studied terpene in the coupling reaction with CO_2 , and especially in the synthesis of polycarbonates.^{110–116}

The extraction of (*R*)-limonene from orange peel has resulted as economically feasible, and thus the use of this enantiomer is predominant in literature. The structure of limonene shows the presence of two different double bonds, that can be selectively epoxidized to afford either 1,2-limonene oxide (**1,2-LO**), 8,9-limonene oxide (**8,9-LO**) and limonene dioxide (**LDO**, being a mixture of *cis*/*trans* isomers), and their coupling with CO_2 leads to the formation of the corresponding 1,2-limonene carbonate (**1,2-LC**), 8,9-limonene carbonate (**8,9-LC**) and limonene dicarbonate (**LDC**) (Scheme 9).

Due to the higher reactivity of the endocyclic double bond and hence the easier synthetic access to **1,2-LO**, this particular limonene oxide has been investigated preferentially. A summary of metal-based catalytic systems reported to promote



Scheme 9 Structures of both limonene enantiomers, limonene-based oxides and limonene-based cyclic carbonates, and their abbreviations.

the coupling of **1,2-LO** with CO_2 is given in Fig. 4.^{92,93,117–121} With respect to other epoxides commonly used for the preparation of CCs, sterically demanding **1,2-LO** shows substantially lower reactivity during its coupling with CO_2 . This results in the typical use of relatively high temperatures (75–100 °C) and pressures (10–30 bar) and relatively long reaction times (16–66 hours); therefore, achieving full substrate conversion remains a challenge.

Selective formation of *trans*-**1,2-LC** has been observed in several cases such as with catalysts based on **1^{tBu}**, **2**, **6** and **8** (Fig. 4). This suggests that the *cis* and *trans* isomers of **1,2-LO** exhibit different reactivity as previously described in the preparation of poly(limonene carbonate).^{114,115} Indeed, reactions conducted with the binary system **1^{tBu}/PPNCl** [bis(triphenylphosphine)iminium chloride] using either the pure *trans*-**1,2-LO** or *cis*-**1,2-LO** resulted in higher conversion (73%) and stereoselectivity (*cis/trans* > 1 : 99), and only very low conversion (4%), respectively.¹¹⁶ The formation of *trans*-**1,2-LC** was confirmed by X-ray analysis, and more recently the same reactivity difference was observed with the binary catalyst **8/PPNCl**.⁹³

In 2016, Fiorani *et al.* extended the use of the binary systems **1^R/PPNCl** to the synthesis of other CCs from CO_2 and both bicyclic and acyclic terpene epoxides (Scheme 10).¹¹⁶ Under the optimized conditions, the conversion of these bicyclic substrates typically proceeds with high chemoselectivity and moderate isolated yields between 45–52% providing thus access to carvone (**c1**), limonene dioxide (**c3**) and menthene (**c4**) based CCs. Attempts to produce limonene dicarbonate **c2**

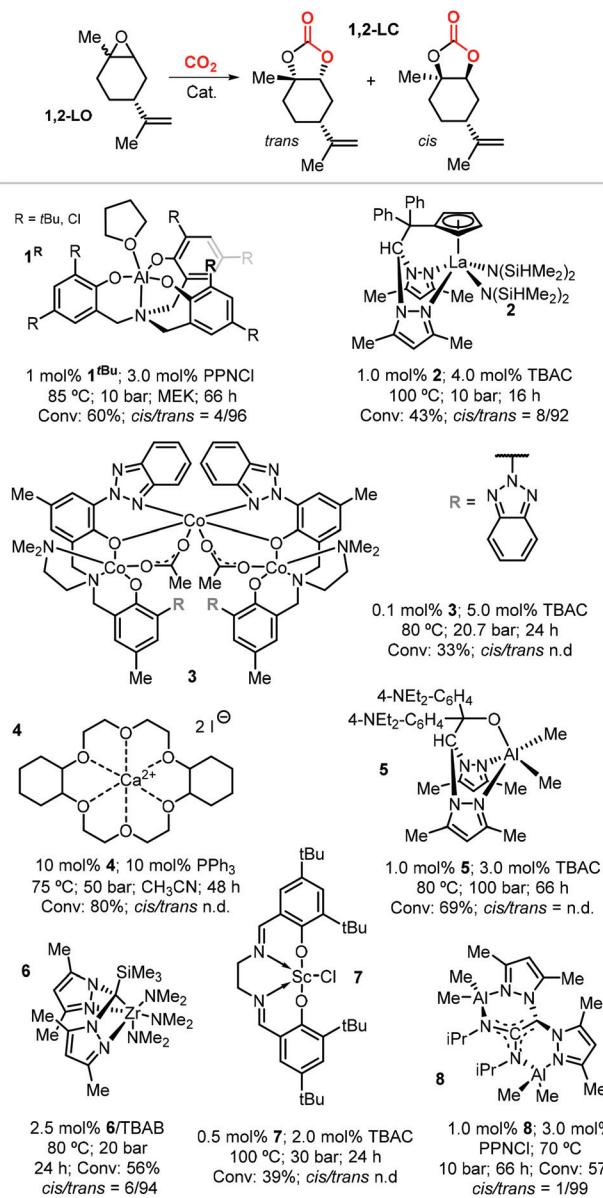
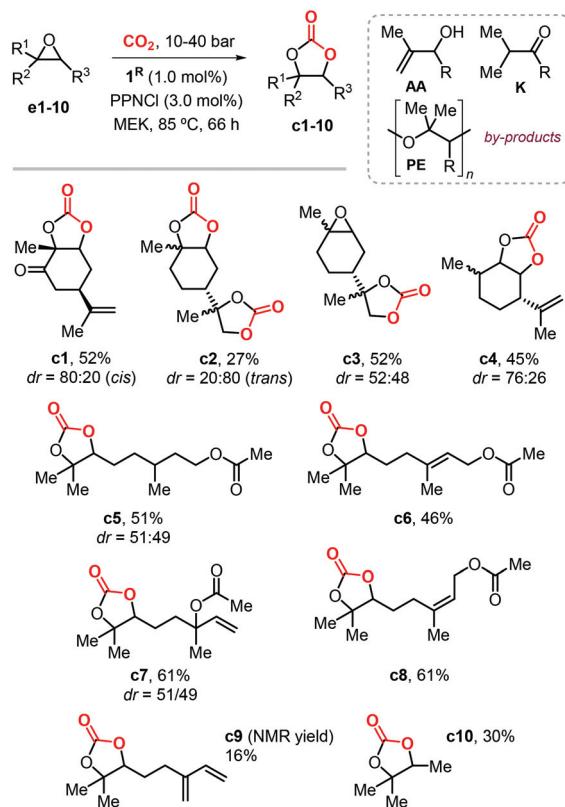


Fig. 4 Metal-based catalytic systems reported for the preparation of 1,2-LC, comparative reaction conditions and product stereochemistry. N.d. stands for not determined.

were less successful because of the competitive formation of a polyether (PE) product. The crystal structures of *cis*-**c1**, *trans*-**c2** and **c3** were elucidated by X-ray analysis for the first time, and confirmed the assigned stereochemistry on the basis of NMR spectroscopic studies.

The conversion of acyclic substrates proceeded with lower chemoselectivities, and generally higher pressure of CO_2 (4.0 MPa) was necessary to obtain appreciable yields. In the conversion of these acyclic terpene oxide substrates apart from the formation of a PE, the formation of allylic alcohol (AA) and ketone (K) side-products was also detected (Scheme 10). The formation of AA and K by-products was attributed to the



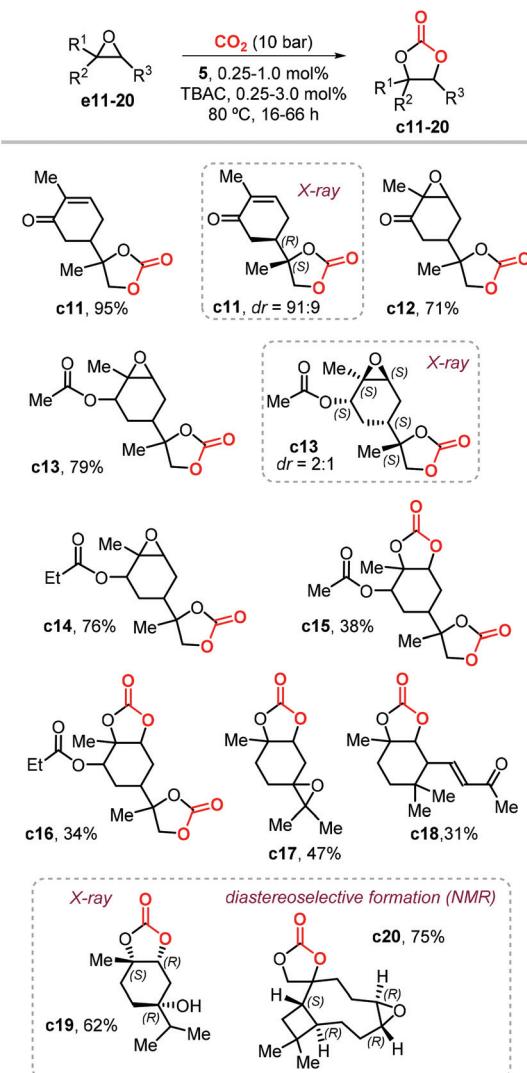
Scheme 10 Terpene-based CCs obtained with binary catalysts **1R**/PPNCl.

phenolate-assisted,^{122,123} and the Lewis-acid induced Meinwald-type rearrangements of the starting epoxide,^{124,125} respectively.

The preparation of model compound **c10** resulted into a similar product distribution, thus ruling out that by-product formation depends on the nature of the substrate. Despite the issue with the overall chemoselectivity, the synthesis of terpene CCs derived from citronellyl acetate (**c5**), geranyl acetate (**c6**), linalyl acetate (**c7**) and neryl acetate (**c8**) was possible in moderately high yields. The conversion of myrcene gave a complex reaction mixture, resulting in low yield of CC **c9** due to additional side-reactions likely involving the conjugated double-bond.

Following this study, Werner *et al.* reported the preparation of carbonate **c2** (78%) and **c4** (81%) in high yields in the presence of 10 mol% of the catalyst 4/PPh₃ (Fig. 4) and at 50 bar of CO_2 and 75 °C.¹¹⁸ Under the same reaction conditions, the preparation of **c5** (19%) and **c6** (23%) was less efficient and provided only low yields. In 2019, Lara-Sánchez *et al.* reported the preparation and characterization of several new terpene-based CCs obtained through the use of binary catalyst 5/TBAC (Fig. 4).¹¹⁹ Carvone-based carbonates **c11-14** (Scheme 11) were obtained in good to high yields.

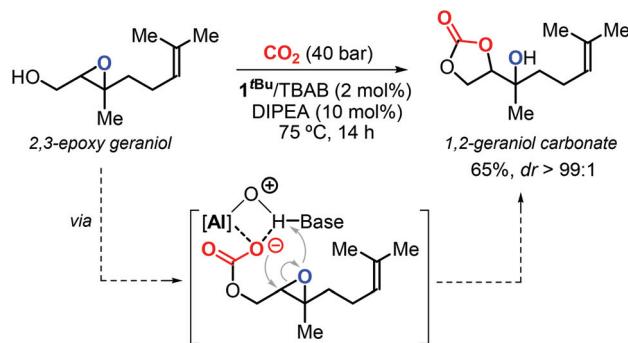
Diastereo-enriched samples of **c11** and **c13** were obtained after crystallization, and their atom connectivities were revealed by X-ray analysis. Carbonates **c15** and **c16** were only



Scheme 11 New terpene-based CCs obtained with the binary catalyst 5/TBAC.

isolated in low yield because of the low stability of the bicyclic carbonates derived from the *endo* epoxide. These latter products undergo a decarboxylative decomposition toward the formation of the corresponding *syn* diols. The challenging carbonates **c17** and **c18**, derived from terpinolene and ionone were obtained in moderate yields, whereas the preparation of terpinene-4-ol and caryophellene carbonates **c19** and **c20** were found to proceed in a diastereo-selective fashion as presented in the bottom part of Scheme 11.

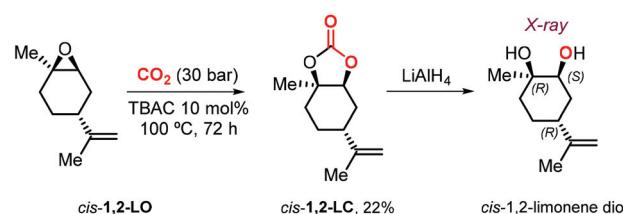
In 2016, Kleij *et al.* described a new protocol for the synthesis of highly substituted CCs using epoxy alcohols as substrates *via* a “substrate-directed” mechanism promoted by complex **1^R** (Fig. 4).^{17,126} In this context, the authors reported the diastereoselective synthesis of 1,2-geraniol carbonate from 2,3-epoxy geraniol and CO₂ (Scheme 12). Notably, this regio-divergent method achieved the conversion of a sterically demanding terpene-based substrate under mild conditions.



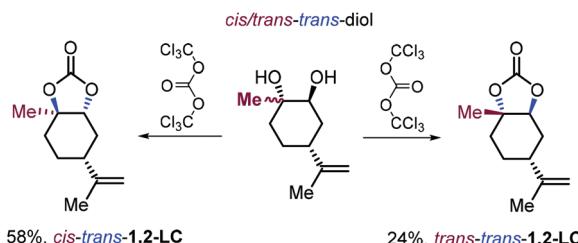
Scheme 12 Diastereoselective synthesis of 1,2-geraniol carbonate *via* a substrate-directed CO₂ activation in the presence of **1^tBu** as catalyst.

Metal-based catalysts may offer several advantages such as the use of milder reaction conditions, shorter reaction times and higher stereocontrol. At the same time, drawbacks such as high(er) cost, multistep synthesis and air/moisture sensitivity create incentives to select more simple catalysts of commercial interest and a practical point of view. In 2018, Morikawa *et al.* described the reaction of **1,2-LO** with CO₂ in the presence of TBAC, TBAB or TBAI as catalyst.¹²⁷ In the presence of 10 mol% of halide salt, the reaction at 100 °C and 30 bar proceeds more efficiently with TBAC. Due to the steric impediment of **LO**, the smaller radius chloride anion gives the best trade-off in terms of nucleophilicity, leaving group ability and size features. Under these conditions, the reactions using pure *trans*-**1,2-LO** and *cis*-**1,2-LO** provided higher (76%) and lower (19%) conversions, respectively, compared with the commercial *cis/trans* mixture (51%). This finding is in line with the different reactivity reported for both **LO** stereoisomers in the case of metal-based catalysts.^{93,116} Interestingly, pure *cis*-**1,2-LC** was isolated for the first time and characterized by NMR spectroscopy. In addition, the relative configuration of the chiral centers in *cis*-**1,2-LC** was confirmed by X-ray analysis of the corresponding diol obtained by reduction with LiAlH₄ (Scheme 13).

For comparative reasons only, the same research group reported, for the first time the synthesis of two **1,2-LC** diastereoisomers in which the oxygen atoms of the carbonate ring are in a *trans* configuration by treatment of 1,2-diols stereoisomers with triphosgene rather than using a more preferred CO₂/epoxide coupling strategy (Scheme 14).¹²⁸



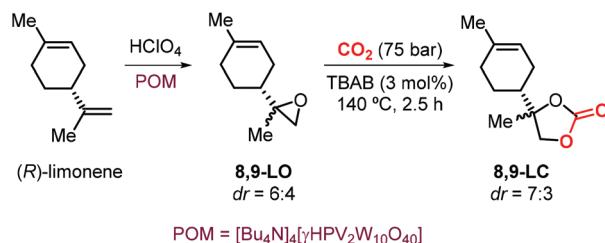
Scheme 13 Synthesis of **cis**-**1,2-LO** and reduction towards the corresponding *syn* diol.



Scheme 14 Synthesis of 1,2-LC diastereoisomers having a *trans* configured carbonate ring.

Recently, Rehman *et al.* reported a detailed kinetic study of the 1,2-LO/CO₂ coupling reaction in the presence of TBAC.¹²⁹ This investigation confirmed the higher reactivity of the *trans* isomer in the formation of 1,2-LC. It was found that the reaction kinetics show a first-order dependence with respect to all the reaction components (1,2-LO, CO₂ and TBAC). In addition, the thermodynamic parameters of this conversion were determined using the Eyring equation, providing activation enthalpy and entropy values of 60.6 kJ mol⁻¹ and -103.6 J (mol K)⁻¹, respectively.

In the last decade, the use of CCs have emerged as an attracting, more sustainable alternative for the production of the so-called non-isocyanate based polyurethanes (NIPUs), and the preparation of CCs from renewable feedstock is of importance to develop more sustainable materials.^{22,130,131} In this respect, the research group of Mühlaupt investigated the use of limonene dicarbonate (LDC) for the synthesis of new types of NIPUs.^{132,133} They investigated the synthesis of LDC from LDO and CO₂ catalyzed by TBAB on a kilogram scale. First, the reaction parameters (CO₂ pressure, temperature and catalyst loading) were optimized, after which full LDO conversion could be achieved using 3 mol% of TBAB in less than 50 h at 140 °C and 30 bar of CO₂. A brownish oil was obtained this way, and it was initially directly used (without purification) for the preparation of NIPUs preparation.¹³² Afterwards, a more detailed analysis of the reaction products based on NMR and mass spectrometry was carried out revealing the formation of several by-products (B1–4, Scheme 15).¹³³ The authors pro-



Scheme 16 Selective epoxidation of (*R*)-limonene and synthesis of 8,9-LC.

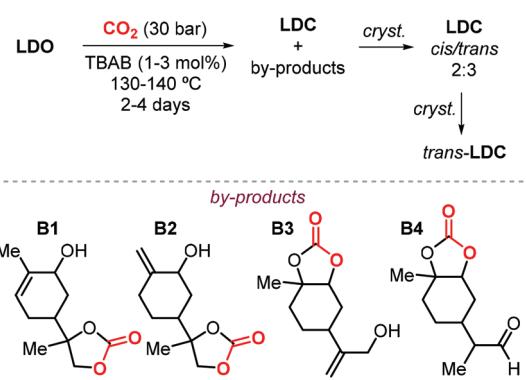
posed that the formation of these products occurs by bromide elimination after initial bromide-assisted epoxide ring-opening being essentially the first step of the catalytic cycle leading to LDC. Therefore, in order to obtain a pure compound, LDC was crystallized obtaining a mixture of *cis* and *trans* isomers in a 2:3 ratio. Further crystallization led to the isolation of pure *trans*-LDC as confirmed by X-ray analysis.

The use of limonene-based CCs in the preparations of NIPUs was also investigated by Hintermair *et al.*¹³⁴ The authors reported the preparation of 8,9-LC by reaction of 8,9-LO and CO₂ mediated by TBAB. The key step for the synthesis of the desired carbonate was the selective epoxidation of (*R*)-limonene at the less hindered terminal alkene. This reaction was performed using perchloric acid as the oxidant in the presence of a bulky polyoxometalate (POM) catalyst previously reported by Mizuno and coworkers (Scheme 16).¹³⁵ Because of a lower degree of steric hindrance, the formation of 8,9-LC occurs comparatively much faster than the formation of 1,2-LC under the same reaction conditions reaching 80% conversion in 2.5 hours. Remarkably, the two different 8,9-LO diastereoisomers generated during the epoxidation reaction show similar reactivity in their coupling reaction with CO₂, which is in contrast to the behaviour typically observed for *cis* and *trans* 1,2-LO.

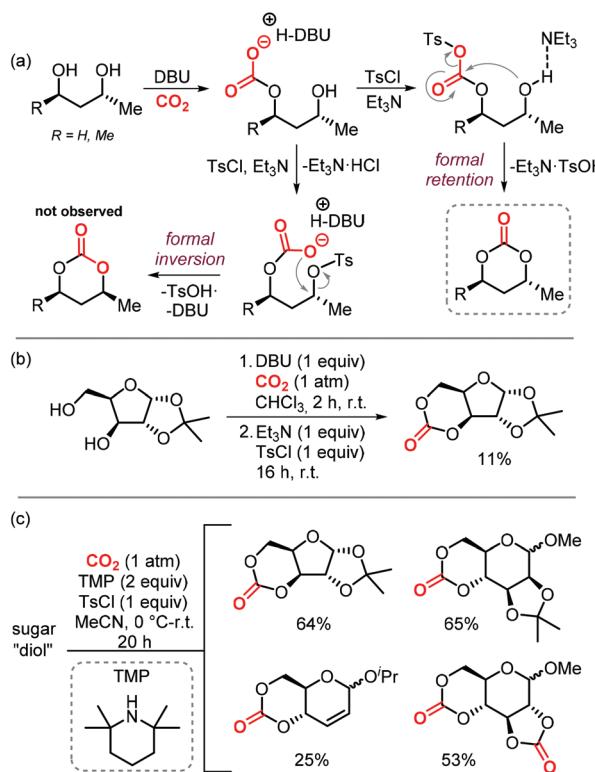
4. Carbohydrate derived carbonates

Sugars are another interesting and ubiquitous source for CCs. Different from terpenes or fatty acids, these compounds bear multiple alcoholic functionalities, and therefore the preparation of cyclic carbonates on sugars is generally performed using phosgene-derived reagents.^{136–139} Well-defined, non-toxic and biodegradable poly-glycocarbonates with a narrow distribution of molecular weights can be prepared from sugar-based monomers bearing a six-membered carbonate,^{136,137,140–142} or a *trans*-positioned five-membered carbonate,^{138,143} showing the huge potential of functional polymers from such substrates. In addition, the sugar molecules can first be converted into simpler compounds, which can then be converted into polymerizable monomers.^{144–147}

Recently, a protocol to replace phosgene-derivatives was developed (Scheme 17).¹⁴⁸ In this process, CO₂ and an organic base (DBU) form an ionic hemi-carbonate intermediate, after which tosyl-chloride is added to yield a cyclic carbonate.



Scheme 15 Synthesis of LDC catalyzed by TBAB followed by crystallization of *trans*-LDC, and structures of reaction by-products B1–B4.



Scheme 17 (a) and (b): Conversion of 1,3-diols to 6-membered cyclic carbonates by a stepwise, 1-pot procedure. (c) 1-step procedure to form cyclic carbonates from sugars.

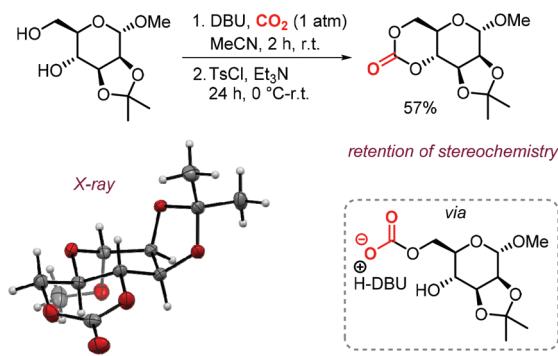
^1H NMR spectroscopy was used to monitor the formation of the ionic intermediate of 1,3-butanediol, showing that under optimized conditions, 49% of the *in situ* product is carbonated on the primary alcohol, 24% on the secondary alcohol, and 5% is bis-carbonated. Subsequent tosylation rapidly led to cyclic carbonate formation, without the observation of any intermediates. To discriminate between two mechanistic possibilities where either the hemi-carbonate or the remaining free alcohol is tosylated, enantiopure (*R*)-1,3-butanediol or (*R,R*)-2,4-pentanediol were employed. Interestingly, the stereochemistry was preserved during the reaction, indicating that the hemi-carbonate group is likely tosylated instead of the remaining free alcohol (Scheme 17a, top pathway).¹⁴⁸ The proposed mechanism was further supported by DFT calculations. The reaction proved to be capable of providing the 6-membered cyclic carbonate derivative of D-xylose (Scheme 17b), albeit in low yield, showing the potential of the overall transformation.

Later on, the process was improved significantly as to allow the carbonation to occur in one step, and higher yields of the cyclic carbonate *versus* byproducts such as oligomeric species or tosylation of the alcohols were reported.¹⁴⁹ The use of a weaker base such as Et_3N or 2,2,6,6-tetramethylpiperidine (TMP) proved to be essential for the overall chemoselectivity. Even though the initial formation of the hemi-carbonate is strongly disfavored in the presence of weaker bases (2% or 4% for TMP or Et_3N , respectively *vs.* 85% for DBU), the selective tosylation of

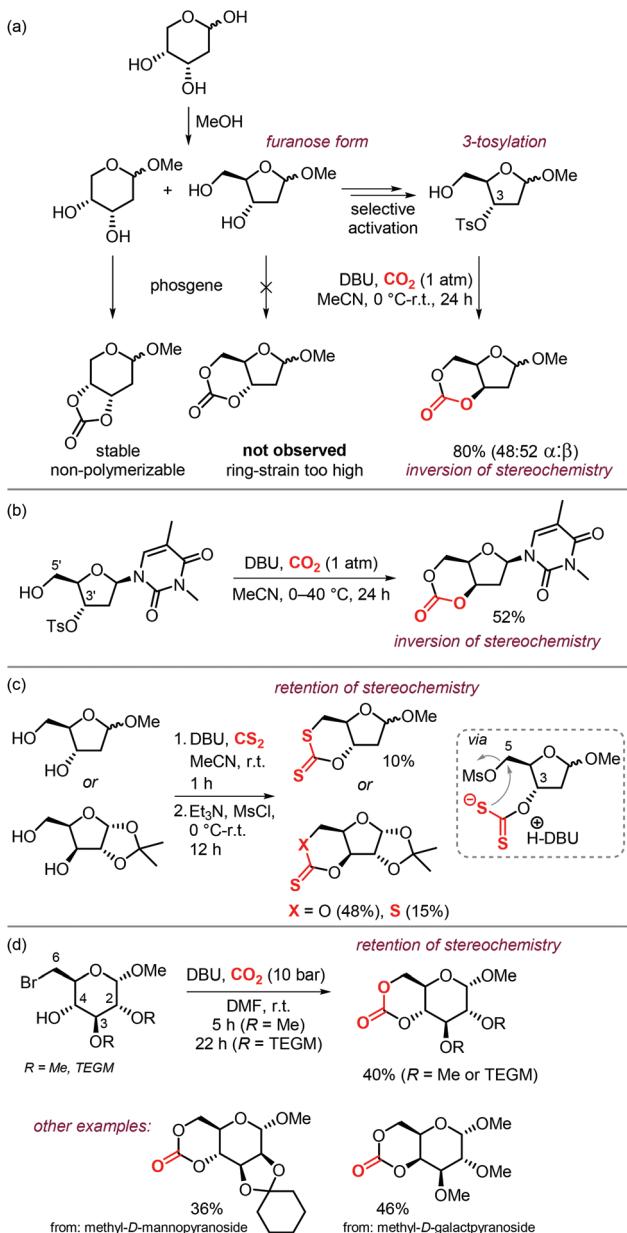
the hemi-carbonate over the alcohol and the ring-closure step are strongly favored energetically as calculated by DFT. A comparison between the mechanism using Et_3N ¹⁴⁹ *vs.* DBU,¹⁴⁸ shows that the barriers for formation of the tosylated carbonate are 18.4 and 23.8 kcal mol⁻¹, and the ring-closure step is subject to a barrier of 15.4 and 18.9 kcal mol⁻¹, respectively. Using this new strategy, the authors synthesized a series of 5- to 8-membered cyclic carbonates, amongst which four sugar-based CCs (Scheme 17c) in good yields comparable to or exceeding the yields obtained using phosgene-reagent based syntheses.

The possibility to convert diols to carbonates by this method rapidly led to further development of CO_2 - and sugar-based CCs. A first report describes the synthesis and ring-opening polymerization (ROP) of a cyclic carbonate-functionalized mannose derivative.¹⁵⁰ 1-O-Methyl- α -D-mannose was protected at position 2 and 3 by an isopropylidene acetal. Subsequently, a six-membered cyclic carbonate was formed by subjecting the compound to DBU and CO_2 followed by tosylation with TsCl and Et_3N (Scheme 18). The stereochemistry was retained, which suggests that the mechanism discussed above is operative. The yield of the product (57%) was higher than in similar syntheses for D-glucose (36%) and D-xylose (41%) derived CCs mediated by phosgene-reagents. An X-ray molecular structure was obtained, confirming the *trans*-positioning of the carbonate on the mannose ring. These types of bicyclic carbonates are easily polymerized through ROP. Indeed, the authors showed that a controlled polymerization of the obtained cyclic carbonate is feasible under organocatalytic conditions using TBD (1,5,7-triazabicyclo[4.4.0]dec-5-ene) and 4-methylbenzyl alcohol as initiator.

In addition to pyranose-sugars, there has been interest in the use of furanoses due to their stiffness in the backbone for potential polymers based on it. An interesting candidate is 2-deoxy-D-ribose. Naturally, this sugar exists in its pyranose form, which exposes a *cis*-diol, which can be converted into a CC through phosgene-based pathways. *cis*-5-Membered CCs, however, do not readily undergo ROP.¹⁵¹ Therefore, this sugar was treated with MeOH in acidic conditions to transform it to its furanose-form (Scheme 19a). The furanose-form has a *trans* 1,3-diol that cannot be carbonated using phosgene-derived methods,¹⁵² likely due to



Scheme 18 Synthesis of a 6-membered carbonate based on D-mannose. X-ray ellipsoids at 50% probability.



Scheme 19 (a) Synthesis of sugar-derived CCs through a DBU mediated insertion of CO_2 through $\text{S}_{\text{N}}2$ displacement of pre-activated alcohols or halides. (b) synthesis of a thymidine-based cyclic carbonate. (c) preparation of thiobased CCs. (d) synthesis of a D-glucose-based cyclic carbonate (TEGM = $-(\text{CH}_2\text{CH}_2\text{O})_3\text{CH}_3$, a D-mannose-based cyclic carbonate and a D-galactose-based cyclic carbonate).

the high ring strain. Therefore, an alternative route was envisioned, based on selective preactivation of the secondary alcohol at the 3-position using tosyl chloride.¹⁵³

Using the activated sugar, the protocol based on DBU and CO_2 led to a 6-membered CC in one step as a mixture of anomers that could be separated by column chromatography. By reversing the order of tosylation and hemi-carbonate formation, the stereochemistry of the reaction can therefore be controlled. However, it is necessary to first isolate the tosylate,

and the regioselectivity had to be forced by protection of the 5-alcohol. The reason for the successful synthesis lies in the fact that the stereochemistry is formally inverted in this process leading to a *cis*-configured carbonate of 2-deoxy-D-xylose that is less strained than its *trans*-analogue. ROP was attempted for both anomers, and it was shown that the β -anomer could not be polymerized. In contrast, the α -anomer was converted into a high-molecular weight polymer using trimethylene carbonate (TMC) as a comonomer in the presence of TBD as catalyst and benzyl alcohol as initiator.¹⁵³

The same research group set out to investigate the possibility of generating CCs of thymidine, one of the bases of DNA containing the same 2-deoxy-D-ribose sugar backbone.¹⁵⁴ Both phosgene-reagent and DBU- CO_2 mediated syntheses were unsuccessful likely due to high ring strain of *trans*-fused CC units in furanose-sugars. In order to relieve the ring-strain but retain polymerization potential, the secondary alcohol at position 3' of the thymidine was tosylated. Subsequent hemi-carbonate formation at alcohol 5' and ring-closure led to a 6-membered CC with the stereochemistry at the 3'-position inverted (Scheme 19b). In addition, the free NH-group of thymidine required methylation as not to inhibit carbonate formation. As in other comparable cases, the cyclic carbonate monomer could be polymerized with a high control over the molecular weight of the resultant polycarbonate.

Later on, the ribose substrate was investigated for the formation of thiocarbonates or xanthates using CS_2 as a replacement for CO_2 (Scheme 19c).¹⁵⁵ The authors hypothesized that the larger C-S bond distance could better accommodate a *trans*-fused ring on the sugar. Reacting 1-O-methyl-2-deoxy-D-ribose with CS_2 and DBU, followed by MsCl (mesyl chloride) and Et_3N led to a single product in low yield (10%). The product was proven to contain a xanthate ring fused *trans* to the ribofuranose ring. When using a substrate where the diol is positioned *cis* (such as in 1,2-protected xylose) the xanthate product was obtained in similar yield (15%) but in addition the expected thiocarbonate product was formed in moderate yield (48%). The authors explain these results by proposing that the alcohol at the 5-position is mesylated, and the hemi-xanthate intermediate is formed at position 3, formed by ring-closure. Indeed, when the mesylated compound is prepared prior to reacting with DBU and CS_2 , the same product is formed in higher yield.

The group of Gnanou published a similar strategy to obtaining carbonates of glucose by inclusion of CO_2 .¹⁵⁶ By a protection-group strategy, they produced a fully protected glucopyranose with a benzylidene-acetal on alcohols 4 and 6, methylated anomer alcohol and either a methyl or a methyl triethylenglycol group on alcohols 2 and 3. Bromination of the acetal-group and hydrolysis of the resulting benzoyl-group on position 4 led to a halo-alcohol derivative. Under slightly elevated pressure of CO_2 (10 bar) and in the presence of DBU, this compound was carbonated to give the glucose-based cyclic carbonate with retention of stereochemistry (Scheme 19d). Although inversion of stereochemistry would be expected on position 6, this carbon center is achiral. The authors were able to generate hydrophilic or hydrophobic polymers by ROP of

the cyclic-carbonate monomers, with $R = \text{Me}$, or TEGM, respectively or amphiphilic polymers by using both monomers. The new synthetic method proves a greener alternative to the method described by Wooley *et al.*, using phosgene derivatives to generate the carbonate.^{136–138} Two more derivatives were later synthesized through the same method, *i.e.*, selective protection and bromination of the 6-position followed by reaction with DBU and CO_2 in DMF.¹⁵⁷ By this method, the authors could synthesize cyclic carbonates from a D-mannose and a D-galactose, and polymerize them through ROP.

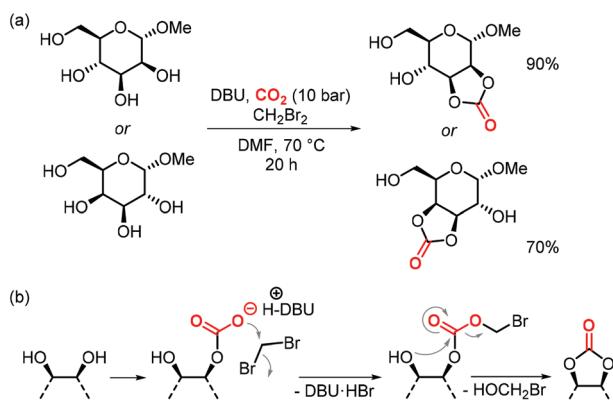
In the same article, the authors report a procedure to generate 5-membered cyclic carbonates regioselectively from galactose and mannose that were only methylated at the anomeric position (Scheme 20a).¹⁵⁷ The simple one-step reaction involves CH_2Br_2 to generate a productive leaving group in the hemi-car-

bonate fragment that allows for subsequent ring-closure to yield the cyclic carbonate (Scheme 20b).¹⁵⁸ The procedure is selective for the formation of *cis*-5-membered carbonates, no *trans*-cyclic carbonates or 6-membered (even if they are *cis* in the case of galactose) were observed. Although not polymerizable, the authors show that the carbonates can react with amines to afford linear carbamates, which holds promise for their use in the production of isocyanate-free hydroxypolyurethanes.¹⁵⁷

5. Fatty acid based carbonates

As can be judged from the preceding sections, the partial replacement of fossil fuels-based chemicals with compounds sourced from bio-based, renewable sources is an attractive and rewarding target in chemical research in the pursuit of increased sustainability.^{159–162} It is clear that the realization of such a target requires the use of feedstock that are available in large volumes such as biogenic and food waste materials,^{163–165} or those derivable from mass production crops.^{166,167}

In this context, vegetable oils (VOs, Fig. 5), with a global production of over 200 Mt per year,^{168,169} represent a valuable feedstock for the production of several chemicals.¹⁷⁰ The transesterification of VOs leads to fatty acid methyl esters (FAMEs, Fig. 5) that find wide application as commodity chemicals,¹⁷¹ biodiesel fuel,¹⁷² and as intermediates for further chemical diversification.^{173–177} In particular, epoxidized fatty acid esters (EFAs, Fig. 5) can be easily obtained and display potential for applications such as lubricants, additives and plasticizers.¹⁷⁸ Similarly, triglycerides in vegetables oils can be epoxidized to afford epoxidized vegetable oils (EVOs, Fig. 5), that find application as green materials for the preparation of PVC [poly(vinyl chloride)], plasticizers,¹⁷⁹ elastomers,¹⁸⁰ coatings,¹⁸¹ epoxy



Scheme 20 (a) one-step synthesis to form *cis*-5-membered CCs from a D-mannose and a D-galactose.(b) Proposed mechanism of the reaction.

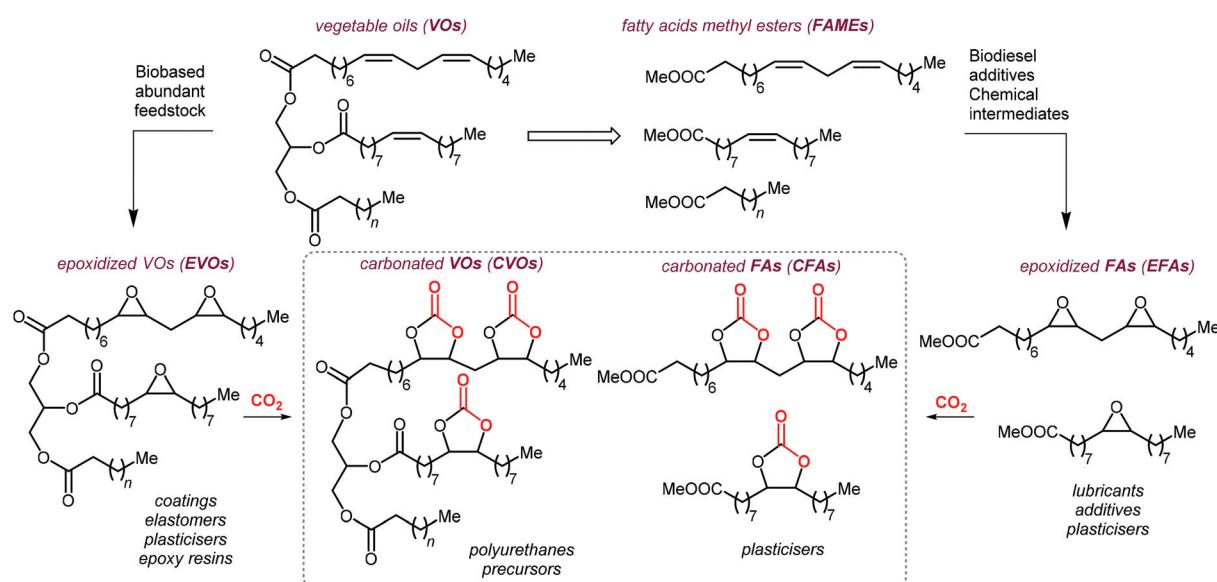


Fig. 5 Products from transesterification and functionalization of vegetable oils depicted as triglycerides of saturated, mono- and poly-unsaturated fatty acids.

resins and blends.¹⁸² Finally, both **EFAs** and **EVOS** can be carbonated *via* catalytic cycloaddition chemistry using CO_2 ,^{1,2,4,181,183} leading to carbonated fatty acids and carbonated vegetable oils (**CFAs** and **CVOs**, Fig. 5). These latter compounds can serve as plasticizers for PVC,²⁴ and as building blocks for the synthesis of **NIPUs**, respectively.^{185,186} Importantly, the latter processes have received increasing attention in recent years,^{118,187,188} as they enable the integration between highly sought-after recycling of CO_2 into chemicals,^{189–191} and the use of renewable substrates as building blocks for commodity chemicals with a low(er) carbon footprint.^{24,192,193}

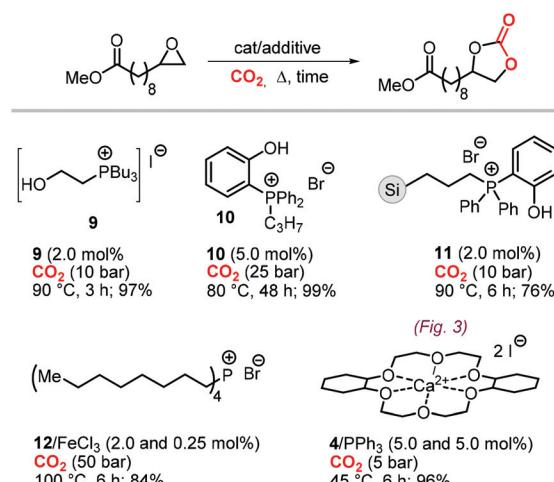
In this section, we review the catalytic processes that have been developed (mostly in the last decade) for the cycloaddition of CO_2 to **EFAs** and **EVOS** providing oleochemical carbonates. For the sake of clarity, terminal cyclic carbonates prepared from epoxidized fatty acid derivatives are initially discussed whereas the carbonation of internal epoxides present in fatty acids and vegetable oils is discussed separately.

5.1 Terminal carbonates from EFA derivatives

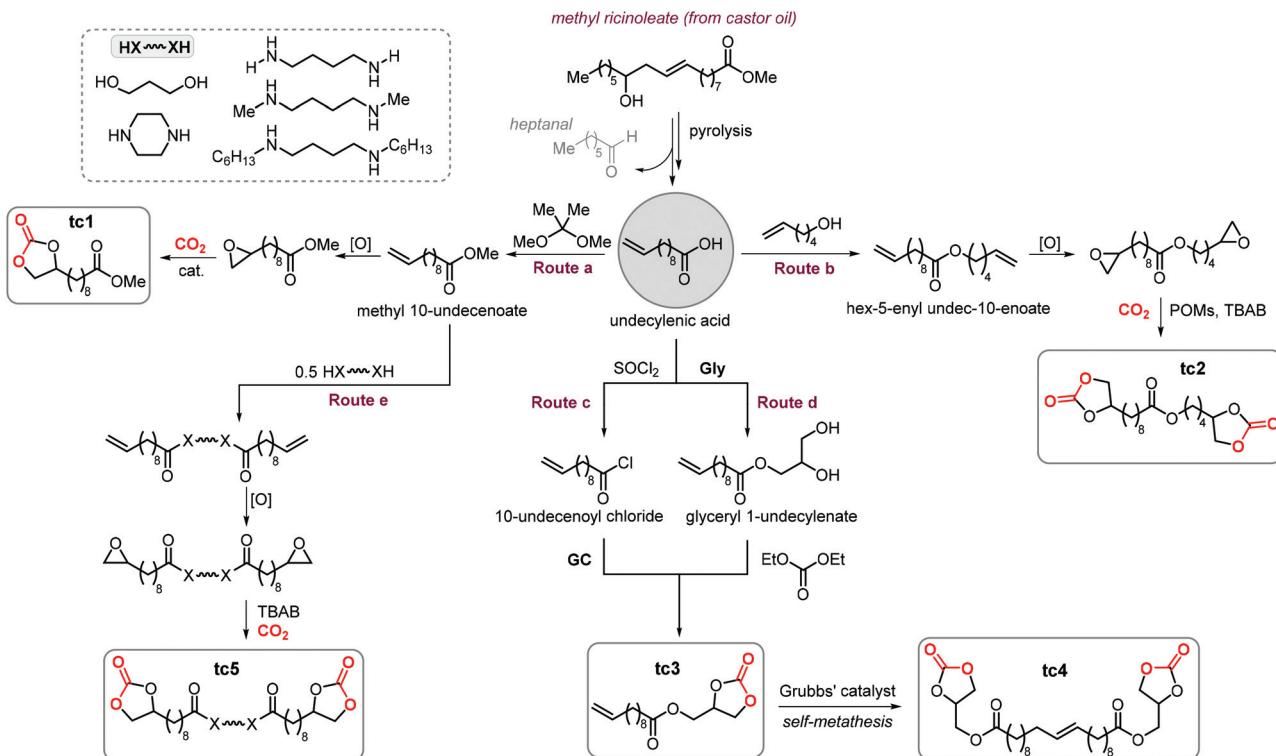
A variety of terminal carbonates can be generated from methyl 10-undecenoate, a terminal fatty acid (**tEFA**) that in turn can be obtained from the pyrolysis of methyl ricinoleate, which is a main fatty acid component of renewable castor oil.¹⁹⁴ In Scheme 21, several possible synthetic routes are illustrated leading to (terminal) mono- and bis-carbonates. Terminal carbonate **tc1** can be obtained from the carbonation of epoxidized methyl 10-undecenoate (route a, Scheme 21). In recent years,

the synthesis of **tc1** was studied by Werner *et al.* by employing various organocatalysts derived from phosphonium salts, or calcium-based catalysts (**9**, Scheme 22).^{118,195–197}

The first attempt to prepare **tc1** was carried out by using bifunctional single-component organocatalysts based on tetraalkylphosphonium salts bearing an alcoholic moiety acting as hydrogen bond donor (HBD) for the activation of the epoxide (**9**, Scheme 22).¹⁹⁵ The latter class of organocatalysts is structurally tunable and accessible through the simple reaction



Scheme 22 Catalysts for the formation of fatty acid based CC **tc1**.



Scheme 21 Five-membered CC diversity derived from castor oil.

between trialkylphosphines and halogenated alcohols under ambient conditions. Using catalyst **9**, the coupling of the epoxy precursor and CO₂ was carried out in the temperature range 45–90 °C and at 10 bar of CO₂ pressure. A different single-component organocatalyst for the carbonation of this epoxidized fatty acid is the triphenylphosphine derivative **10** bearing an *ortho*-hydroxy functionality (Scheme 22).¹⁹⁸ Importantly, due to an optimal pK_a, phenolic hydroxyl groups have been found among the best H-bonding activating moieties in the conversion of epoxides into CCs.¹⁹⁹ The presence and the position of the hydroxy substituent in **10** was found to be crucial for its catalytic performance likely because it allows activation of the epoxide in the proximity of the bromide anion associated to the phosphonium group, with the halide acting as nucleophile for the ring-opening of the epoxide. The latter aspect allowed the use of **10** also as organocatalyst for the carbonation of more challenging internal bio-based epoxides (*vide infra*). However, in comparison to the previously discussed single-component organocatalyst **9**, the carbonation of epoxidized methyl 10-undecenoate using **10** required higher pressure (25 bar) and longer reaction times.

In order to avoid the limitations related to the use of homogeneous catalysts in terms of cost and product purification, immobilization of **10** onto polystyrene and a silica based support was carried out to ease separation from the reaction products and to allow for its recycling (**11**, Scheme 22) with the silica-supported catalyst **11** found to perform better than the polystyrene-supported one.¹⁹⁷ Catalyst **11** proved to be even more active than its homogeneous counterpart achieving a satisfactory yield in the carbonation of the same epoxide in 6 h at 10 bar of CO₂ pressure. The increased activity of the supported catalyst was attributed to the presence of abundant Si-OH moieties on thermally untreated silica likely acting as additional hydrogen-bonding moieties.^{200,201} Catalyst **11** could be recovered and reused for over ten catalytic cycles, albeit some leaching and deactivation was noted.

As an alternative to the application of organocatalysts, inexpensive and readily available coordination compounds in combination with ammonium and phosphonium salts have frequently found to act as highly active catalysts for the cycloaddition of CO₂ to epoxides.^{202–204} Additionally, Lewis acids based on earth-abundant iron-derived complexes are particularly attractive.^{2,7,205,206} On the other hand, catalysts based on metal halides are potentially corrosive and unlikely to find application in industrial reactors.^{207,208} In this context, within a study on the application of iron-based coordination compounds as Lewis acids for the carbonation of several bio-based epoxides, Werner *et al.* investigated the catalytic performance of the binary catalyst tetra-*n*-octylphosphonium bromide **12**/FeCl₃ (Scheme 22) for the carbonation of epoxidized methyl 10-undecenoate.¹⁹⁶ The complete carbonation of this substrate was achieved in 6 h albeit under harsh reaction conditions (100 °C, 50 bar of CO₂).

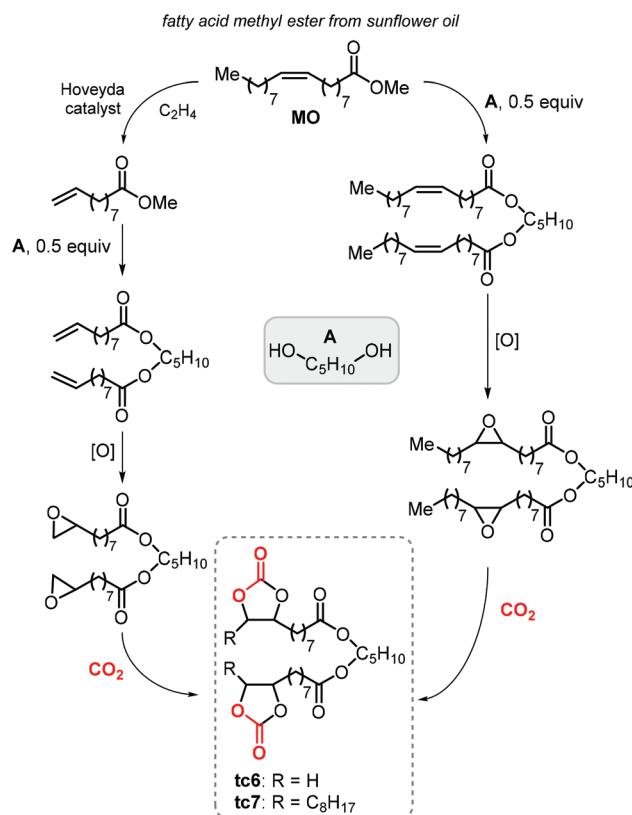
More recently, the *in situ* complexation of calcium halides by crown ethers allows to prepare soluble calcium-based catalysts (**4**, Scheme 22 and Fig. 4).²⁰⁹ This system was able to convert several internal and terminal epoxides to their corres-

ponding CCs under ambient or very mild conditions without the need for additional quaternary salts. Later on, **4**/PPh₃ was applied for the conversion of several bio-based epoxides including epoxidized methyl 10-undecenoate (Scheme 22).¹¹⁸ Whereas the calcium based complex **4**, formed by the reaction of CaI₂ with dicyclohexyl-functionalized 18-crown-6 ether (DCFCE), performed well in the carbonation of a benchmark substrate (*i.e.*, methyl oleate), the addition of triphenylphosphine (PPh₃, 5 mol%) allowed for reducing the CO₂ pressure from 20 to 5 bar and the reaction temperature from 60 to 45 °C. Under such conditions, epoxidized methyl 10-undecenoate was efficiently converted into **tc1** in 6 h.

Bis-carbonates containing two terminal cyclic carbonate moieties are useful synthons for the preparation of non-isocyanate based polyurethanes (NIPUs) by step-growth polymerization using diamine reagents.^{185,186,210,211} Leitner *et al.* studied the carbonation of bis-epoxidized hex-5-enyl undec-10-enoate (route b, Scheme 21) to afford **tc2**, and this process was carried out under relatively harsh conditions using supercritical CO₂ (scCO₂) at 100 °C.²¹² In this case, the authors chose tetraheptylammonium silicotungstate containing chromium (a polyoxometalate, POM, abbreviated as THA-Cr-Si-POM) in combination with TBAB as the catalyst. The authors proposed that the POM is capable of activating CO₂ by binding it to the catalyst surface. However, this aspect was not experimentally proven and it should be considered that the metal atoms (W, Cr) on the surface of the POM could, alternatively, accelerate the cycloaddition reaction by acting as Lewis acids. This could facilitate the ring-opening of the epoxide in a similar way as observed in MOFs,^{213,214} and metalated porous polymers.^{215,216} Despite the harsh reaction conditions, the advantage of the binary, heterogeneous system THA-Cr-Si-POM/TBAB was its simple separation from the products and the potential implementation of a flow process.

Cramail *et al.* carried out the coupling between GC, a versatile biobased building block,^{217,218} with 10-undecenoyl chloride leading to the preparation of CC **tc3** (route c, Scheme 21) bearing both terminal carbonate and alkene moieties.²¹⁹ The same CC (**tc3**) was also prepared by Plasseraud *et al.* using a different strategy that involves the ring opening of glycidol (Gly) by undecylenic acid followed by carbonation of the obtained diol with diethyl carbonate (route d, Scheme 21).²²⁰ Dimerization of **tc3** *via* self-metathesis using Grubbs catalyst gave access to bis-carbonate **tc4** that could be used to produce NIPUs by treatment with diamines. Cramail *et al.* also utilized 6-membered bis CCs derived from undecylenic acid using a procedure similar to route d giving other types of NIPUs precursors.²²¹

A different strategy to advance the synthesis of cyclic bis-carbonates for NIPUs using undecylenic acid derivatives was followed by Cramail and coworkers (route e, Scheme 21). This strategy consists of bridging two undecylenic acid units by flexible “diamino or diol” linkers *via* transamidation or transesterification reactions.²²² Following epoxidation of the terminal alkenes to afford a bis-epoxide precursor, the bis-carbonate product (**tc5**) was produced quantitatively under harsh reaction conditions (80–140 °C, 50–60 bar of CO₂) using TBAB as the catalyst.



Scheme 23 Synthesis of terminal carbonated fatty acid diester (tc6) and internal carbonated fatty acid diester (tc7).

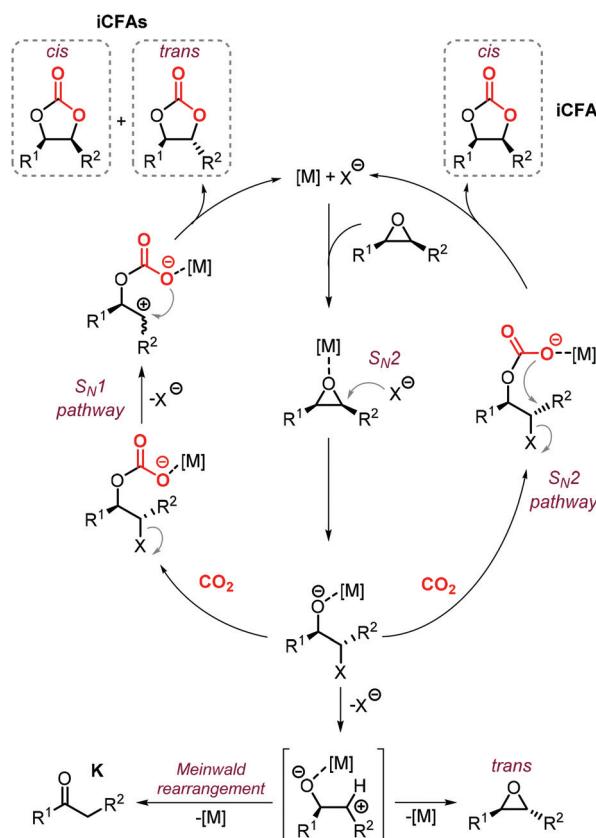
Finally, terminal cyclic bis-carbonates were prepared also from the methyl ester of oleic acid (**MO**, Scheme 23). The ethylene addition of the latter is known to produce useful synthons such as 9-decanoic acid methyl ester (9-DAME) and 1-decene.¹⁷³ Cramail *et al.* coupled two molecules of 9-DAME by transesterification with pentanediol catalyzed by Zn(OAc)₂ at 140 °C. Alternatively, parent **MO** was directly transesterified with pentanediol to afford an intermediate with internal double bonds.²²³ Epoxidation of both compounds led to bis-epoxides serving as precursors for their respective CCs, which was carried out under close-to-supercritical or supercritical conditions using TBAB as a catalyst. Terminal bis-carbonate **tc6** was found to be more reactive than the bis-internal one **tc7** despite the latter being more soluble in the liquid CO₂ phase.

5.2 Internal carbonates from EFA derivatives

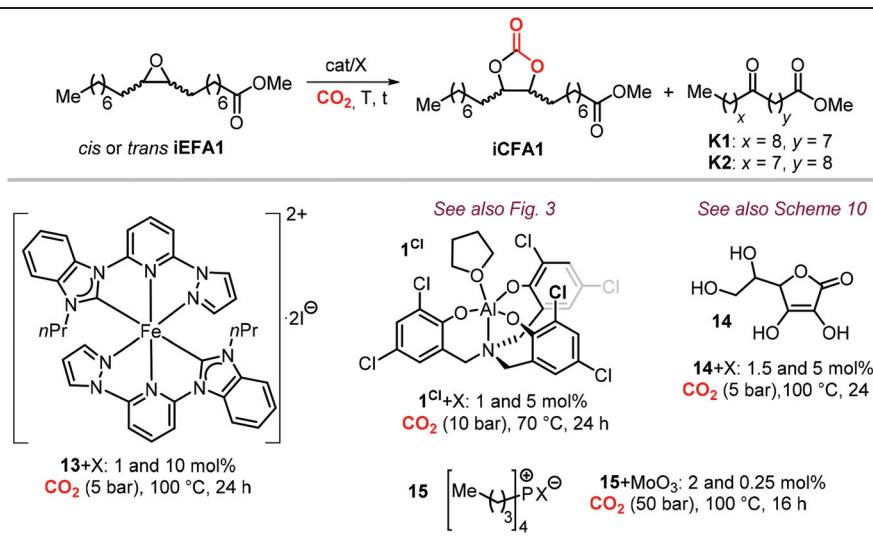
The synthesis of cyclic carbonates from epoxidized fatty acids with internal epoxy groups (**iEFAs**) is more complex than from terminal ones (**tEFAs**) because of the increased steric hindrance around the epoxy groups and the occurrence of rearrangement and/or isomerization processes compromising the selectivity and thus the yield of the targeted product. In the case of **iEFAs**, the internal epoxides are generally available in the stereochemically pure *cis*-configuration, and an attractive challenge is to perform the carbonation reaction with

control over the stereoselectivity to obtain the fatty acid based cyclic carbonates as pure *cis*- or *trans*-isomers.

A general mechanism along with competitive side-reactions is shown in Scheme 24 for a reaction catalyzed by a binary catalyst comprising of a Lewis acid [M] and a nucleophilic halide(x).²²⁴ Starting from a pure *cis*-epoxide, both *cis* and *trans* configured CCs can be formed after an initial S_N2-type epoxide ring-opening step mediated by the halide that affords a metal alkoxide. In the subsequent step, a hemicarbonate intermediate is formed after CO₂ insertion into the metal-alkoxide bond. This linear carbonate species undergoes a second, intramolecular nucleophilic substitution having either S_N1 or S_N2 character that strongly depends on the choice of the halide nucleophiles.^{227–229} Halides possessing excellent leaving group ability (*i.e.*, Br and I) may provide under suitable reaction conditions S_N1 type chemistry with the intermediacy of a carbocation. In this case, ring-closure of the carbocation affords either the *cis*- or *trans*-carbonates with the latter being typically the thermodynamically favored product. Conversely, an anion with reduced leaving group ability (*i.e.*, Cl) is less likely to provide an *in situ* generated carbocation. In this case, a second S_N2 at the same carbon atom of the



Scheme 24 General mechanism of the coupling reaction between a fatty acid containing internal epoxide units and CO₂ catalyzed by a binary system [M]/X. X is a halide source such as an ammonium or phosphonium salt. iCFA stands for internal carbonated fatty acid, K for a ketone byproduct.

Table 3 The catalytic comparison of the cycloaddition of CO_2 to **iEFA1** producing **iCFA1** depending on the metal complex/halide combinations


Entry	iEFA1	Cat.	Conv.	Selectivity iCFA1^a	Selectivity		Conv.	Selectivity iCFA1^a	Conv.	Selectivity iCFA1^a	<i>cis</i> : <i>trans</i> ^b	Ref.	
					<i>cis</i>	<i>trans</i>							
1	<i>cis</i>	13	91 ^c	<i>d</i>	<1	99	85 ^c	<i>d</i>	15 : 85	72 ^c	<i>d</i>	76 : 24	230
2	<i>cis</i>	1^{Cl}	<i>d</i>	<i>d</i>	<i>d</i>	94	>99	76 : 24	>99	>99	>99	95 : 5	187
3	<i>cis</i>	14	>99	19	17 : 83	98	74	24 : 76	69	>99	>99	85 : 15	231
4	<i>cis</i>	15	89	79	39 : 61	95	91	64 : 36	64	92	94 : 6	224	
5	<i>trans</i>	1^{Cl}	<i>d</i>	<i>d</i>	<i>d</i>	95	<i>d</i>	<i>d</i>	65	99	<1 : 99	187	
6	<i>trans</i>	13	78 ^c	<i>d</i>	17 : 83	81 ^c	<i>d</i>	11 : 89	79 ^c	<i>d</i>	7 : 93	230	

^a Determined by ^1H NMR from the integration of the peaks corresponding to the carbonates (*cis* **iCFA1** + *trans* **iCFA1**) and ketone (**K**) by-product.
^b *Cis* : *trans* ratio determined by ^1H NMR by integration of the corresponding signals of *cis* and *trans* carbonate products.
^c Isolated yield. *d* Not reported.

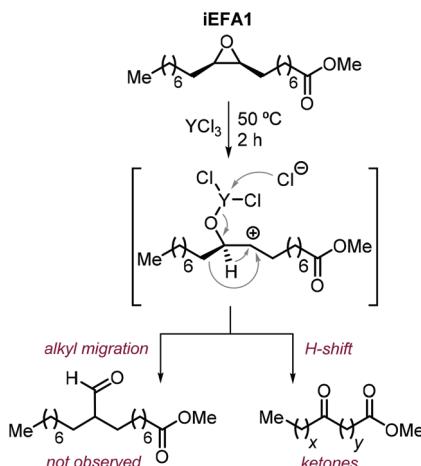
metallo-hemicarbonate will undergo ring-closure while restoring the initial configuration leading thus to a *cis* CC product.

To further substantiate these concepts, the catalytic performance of several binary/bifunctional catalysts (**1^{Cl}** and **13–15**) incorporating different nucleophilic halides(*x*) is compared in Table 3. For all catalysts featuring chloride nucleophiles, the *cis*-**iCFA1** was observed as the main product (entries 1–4). Furthermore, the use of **13**/TBAI allowed the formation of *trans*-**iCFA1 with nearly complete diastereoselectivity.²³⁰ In agreement with the mechanistic picture of Scheme 24, the use of bromide or iodide as the nucleophilic anion should lead to a decreased selectivity for the *cis*-isomer and thus increased selectivity for the *trans* isomer by partially favoring the $\text{S}_{\text{N}}1$ pathway (entries 1–4), and this appears to be generally the case.**

Among the catalysts, simple and readily available L-ascorbic acid **14**/TBAC allowed the synthesis of the *cis*-**iCFA1** from *cis*-**iEFA1** with high diastereoselectivity (*cis* : *trans* = 85 : 15).²³¹ The system **14**/TBAC showed (expectedly) lower substrate conversion than **14**/TBAB and **14**/TBAI but higher chemoselectivity towards *cis*-**iCFA1** (>99%), whereas in the other two cases a significant amount of the side products were formed (entry 3). When **13**/TBAI or **14**/TBAI were selected as catalysts, *trans*-**iCFA1** was observed as the main carbonate product (entries 1

and 3), whereas **iCFA1** was preferentially formed when utilizing bifunctional catalyst **15** regardless of the nature of the halide (entry 4) though with the lowest stereocontrol towards *cis*-**iCFA1** in the presence of iodide. These results suggest that the use of iodide based catalysts have higher preference for the *trans*-carbonate product by favoring an $\text{S}_{\text{N}}1$ pathway.^{229,230}

The nature of the halide has thus a clear impact on the overall selectivity of the process, and ketones **K** are typical by-products in the formation of **iCFAs**.^{24,116,118,196,212,224,231,232} Ketone formation is attributed to Meinwald rearrangement *via* a 1,2-hydride shift in the presence of Lewis- or Brønsted acids (Scheme 24, below).^{124,233} For example, the formation mechanism of **K** proposed for YCl_3 is shown in Scheme 25.^{234–236} In the case of CO_2 cycloaddition to internal epoxides catalyzed by Lewis acids in the presence of halides as nucleophiles, the carbocation species (precursor of the ketone *via* hydride shift) may be formed by dissociation of the halide from the alkoxide intermediate similar to what discussed for the $\text{S}_{\text{N}}1$ mechanism. Therefore, catalysts with halides that can serve as good leaving groups (Br and I) are expected to favor the formation of a ketone by-product (**K**), whereas catalysts delivering a chloride nucleophile should suppress this side-product formation.



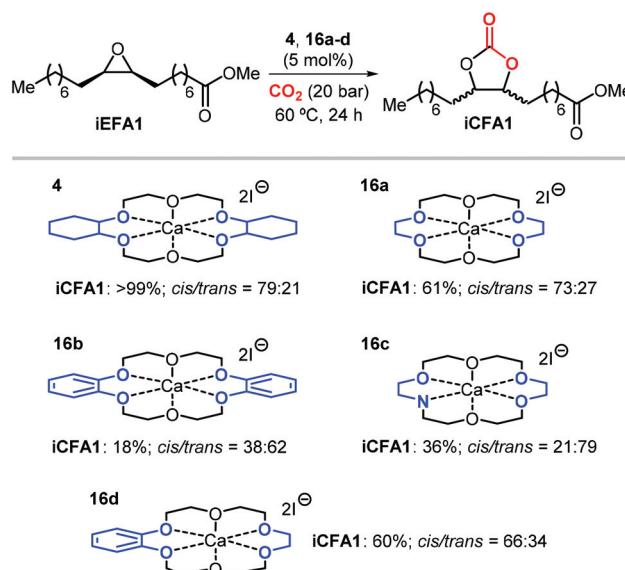
Scheme 25 Plausible formation of ketone side-products via Meinwald rearrangement in the presence of YCl_3 .²³⁴

This is in agreement with the observations when using catalysts **14**,²³¹ and **15**,²²⁴ with the selectivity for the carbonate product *cis*-**iCFA1** progressively decreasing in the series $\text{Cl} > \text{Br} > \text{I}$ (entries 3 and 4) in line with the ability of Cl-derived catalysts to suppress the occurrence of undesired Meinwald rearrangement.

Interestingly, the use of Lewis acid complex **1**^{Cl} led preferentially to carbonate *cis*-**iCFA1** as the major product independent of the use of chloride or bromide anions as nucleophiles (entry 2). Finally, the formation of a carbocation from the crucial alkoxide intermediate can also lead to *cis*-to-*trans* isomerization of the epoxide (Scheme 24, below). Indeed, *trans*-**iEFA1** is often observed as minor by-product along with the formation of *trans*-**iCFA1** and ketones **K**.²²⁴

Apart from the nature of the nucleophilic halide anion, modifications of the (Lewis acid) structure can also play a role in controlling the stereoselectivity and kinetics of the carbonation reaction. In the case of calcium-based crown ether complexes, the use of complexes **4** and **16a** (Scheme 26) containing fully aliphatic crown ether ligands and iodide as co-catalyst principally led to carbonate product **iCFA1** with high *cis*-selectivity, which is somehow different from the results obtained using catalysts **13** and **14** in the presence of **TBAI** where the principal product was the *trans* isomer of **iCFA1**.¹¹⁸ When part of the bridging groups were aromatic (**16b**), the selectivity switched from mostly *cis* to *trans* product though the overall yield (18%) of **iCFA1** was low, likely due to the low solubility of **16c** in the reaction mixture. Similarly, by replacing one oxygen for a nitrogen atom (**16c**) largely the formation of *trans*-**iCFA1** was noted. Finally, compound **16d** with a single aromatic bridging unit displayed a catalytic performance similar to that observed for **16a**. All these results combined indicate that, beside the choice of the nucleophilic halide anion, other structural factors can contribute to the overall efficacy and stereo-outcome of the process.

Some authors examined the cycloaddition of CO_2 to *trans*-**iEFA1** (Table 3) as a way to confirm the occurrence of a double



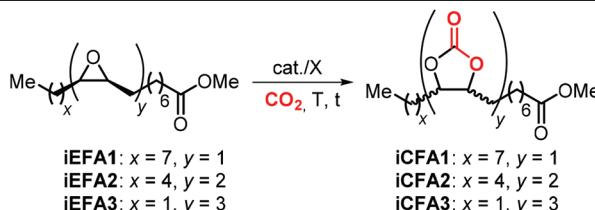
Scheme 26 Dependency of catalytic activity and stereocontrol on the macrocyclic ligand structure in calcium-based crown ether complexes in the cycloaddition of CO_2 to **iEFA1**.¹¹⁸

inversion (or: double $\text{S}_{\text{N}}2$) pathway in the formation of **iCFAs**. The binary catalytic system **1**^{Cl}/PPNCl, that converts *cis*-**iEFA1** mostly to its corresponding carbonate *cis*-**iCFA1** (entry 2, Table 3), led to almost total diastereoselective formation of *trans*-**iCFA1** from *trans*-**iEFA1** (entry 5, Table 3) in agreement with a double inversion pathway. Interestingly, the application of complex **13** for the carbonation of *trans*-**iEFA1** led principally to *trans*-**iCFA1** as the thermodynamically most stable isomer regardless of the type of halide employed (entry 6). This observation is somewhat in contrast with that observed for the carbonation of *cis*-**iEFA1** where the use of iodide and bromide as nucleophiles led to substantial degrees of inversion of configuration *via* a *pseudo-S*₁ mechanism (entry 1). These results suggest that the outcome of the cycloaddition process may be subject to a more complex set of interactions between the catalyst components and the substrate.

5.3 Catalytic performances in the cycloaddition of CO_2 to various **iEFAs** and **EVOs**: the role of quaternary salts

Initial attempts to carry out the carbonation of **EFAs** where carried out using quaternary ammonium, phosphonium or other salts in the absence of Lewis acidic catalysts or HBDs. An overview of quaternary salts applied under various reaction conditions for the carbonation of **iEFAs** 1–3 derived from mono-unsaturated **MO** (**iEFA1**), bis-unsaturated methyl linoleate (**iEFA2**) and, more rarely, tris-unsaturated methyl linoleate (**iEFA3**) is given in Table 4.²²³

The obvious advantage of using quaternary salts as catalysts is that they are metal-free, generally inexpensive, and commercially available. In addition, Doll and Erhan found that **TBAB** can be conveniently removed from the product mixture by thermal breakdown into volatile compounds at 190 °C by

Table 4 The catalytic performance of quaternary salts in the cycloaddition of CO₂ to iEFA1–3

Entry	iEFA	cat. (mol%)	Reaction conditions T (°C), CO ₂ (bar), time (h)	Conversion ^a (%)	Selectivity for iCFA	Selectivity (cis : trans) ^b	Ref.
1	1	TBAB (5)	100, 103, 15	93 ^c	^d	^d	238
2	1	TBAF (5)	100, 117, 24	62	0	^d	212
3	1	TBAC (5)	100, 117, 24	21	95	77 : 23	212
4	1	TBAB (5)	100, 117, 24	97	≥99	72 : 28	212
5	1	TBAI (5)	100, 117, 17	80	92	20 : 80	212
6	1	NH ₄ Br	100, 117, 24	4	75	^d	212
7	1	((n-C ₇ H ₁₅) ₄ N)Br	100, 117, 24	99	≥99	70 : 30	212
8	1	(C ¹⁴ mim)Br ^e	100, 117, 24	97	96	74 : 26	212
9	1	((n-C ₁₄ H ₂₉)(n-C ₆ H ₁₃) ₃ P)Br	100, 117, 24	97	97	69 : 31	212
10	2	TBAB (5)	100, 117, 24	71	95	^d	212
11	3	TBAB (5)	100, 117, 24	68	89	^d	212
12	1	TBAB (2)	100, 50, 16	39	82	46 : 56	224
13	1	15-Br (2)	100, 50, 16	49	94	71 : 29	224
14	1	15-Cl (2)	100, 50, 16	39	99	90 : 10	224
15	1	15-I (2)	100, 50, 16	35	71	57 : 43	224
16	1	TBAB (7)	130, 30, 8	99	^d	^d	239
17	1	TBAB (5)	70, 10, 24	>99	>99	51 : 49	187
18	1	TBAC (5)	70, 10, 24	6	>99	>99 : 1	187
19	1	PPNCl (5)	70, 10, 24	53	>99	96 : 4	187
20	2	PPNCl (5)	85, 10, 24	95	>99	95 : 5	187
21	3	PPNCl (5)	70, 10, 24	75	>99	90 : 10	187
22	1	TBAI (5)	100, 5, 24	70	59	22 : 78	231
23	1	TBAB (5)	100, 5, 24	83	87	36 : 64	231
24	1	TBAC (5)	100, 5, 24	44	>99	90 : 10	231

^a Conversion determined by titration and/or ¹H NMR. ^b *Cis* : *trans* ratio determined by ¹H NMR by integration of the corresponding signals of *cis* and *trans* carbonate products. ^c Isolated yield. ^d Not reported. ^e (C¹⁴mim)Br = 1-*n*-tetradecyl-3-methylimidazolium bromide.

Hofmann elimination.²³⁷ In different studies, the use of halide salts often required harsh reaction conditions such as the use of scCO₂ (entries 1–11, Table 4) or temperatures above 100 °C (entry 16) to convert iEFA1–3 to their corresponding carbonates iCFA1–3 in reasonable to high yields.

Based on the earlier report by Doll and Erhan highlighting the catalytic competence of TBAB in the carbonation of iEFA1 under supercritical conditions (entry 1),²³⁸ Leitner *et al.* showed that the employment of several quaternary ammonium halides for the cycloaddition of CO₂ to iEFA1 under scCO₂ conditions generally provided the target carbonate with good conversion rates and selectivities (entries 2–9). Exceptions in this series were NH₄Br and tetra-*n*-butylammonium fluoride (TBAF) because of the poor leaving group character of the fluoride anion (see entries 2 and 6) and the tighter ion pair when using ammonium cations.²¹² In line with earlier results discussed in section 5, all salts employed provided *cis*-iEFA1 as the main stereoisomer with the exception of TBAI for which the *trans*-isomer was found to be the main product (entry 5). The use of ammonium or phosphonium salts bearing longer alkyl chains compared to TBAB did not lead to any significant improvement of the catalytic activity (entries 7–9). Therefore, TBAB was

selected as catalyst for the conversion of other substrates such as *cis*-iEFA2 and *cis*-iEFA3, obtaining satisfactory performances despite a slight drop in conversion and carbonate selectivity (entries 10 and 11).

Similar results under comparable reaction conditions were found for substrate *cis*-iEFA2 in a later study by Buchholz *et al.*²⁴ However, Werner and coworkers demonstrated that the catalytic performance is strongly reduced together with some loss of selectivity for iCFA1 when using TBAB for the carbonation of *cis*-iEFA1 at 100 °C but lower CO₂ pressure (50 bar) and lower catalyst loading (2 mol%; *cf.*, entries 4 and 12).²²⁴ In the same study it was observed that the use of tetrabutylphosphonium halides, in particular 15-Br and 15-Cl, leads to slight improvement in terms of epoxide conversion and iCFA selectivity compared to TBAB under identical conditions (*cf.*, entry 12 and 13–15). In addition, Levener *et al.* showed that the use of a slightly higher TBAB loading (7 mol%) at 130 °C allowed complete conversion of *cis*-iEFA1 under 30 bar CO₂ pressure as an alternative for supercritical conditions, though the selectivity towards iCFA1 was not reported (entry 16).²³⁹

Recently, the group of Kleij studied the performance of various halide salts in an attempt to develop catalysts able to

operate under milder conditions.¹⁸⁷ They found that TBAB converts *cis*-iEFA1 quantitatively into iCFA1 at 70 °C and 10 bar of CO₂, although without any observable stereocontrol (entry 17). The use of TBAC, however, provided *cis*-iCFA1 selectively but only at very low epoxide conversion, while the use of PPNCl as chloride source led to higher conversion of *cis*-iEFA1 maintaining very high selectivity for *cis*-iCFA1 (cf., entries 18 and 19). PPNCl served also as an efficient and stereoselective catalyst for carbonation of *cis*-iEFA2 and *cis*-iEFA3 at 70–85 °C and 10 bar of CO₂ (entries 20 and 21).

Recently, it was shown that quaternary ammonium salts give appreciable conversion levels of *cis*-iEFA1 at 5 bar of CO₂ and 100 °C. Under these conditions, the stereocontrol exerted by TBAI in the preparation of *cis*-iCFA1 is moderately high, and similar results are obtained switching to TBAB though with significantly higher chemoselectivity for iCFA1. The use of TBAC led to moderate epoxide conversion but with very high overall selectivity for *cis*-iCFA1 (cf., entries 22–24).

A further expansion of the portfolio of fatty acid-derived CCs can be realized by using epoxidized estolides as starting point. This class of compounds is generated by the reaction between a carboxylic acid of a fatty acid with a double bond or hydroxyl moiety of another one.^{232,240} The estolide compounds are attractive functional fluids when compared to standard vegetable oils because of a higher stability towards oxidation and lower pour points.²⁴¹ The epoxidation and carbonation of estolides can be used to further tune crucial properties of these compounds such as viscosity.²⁴²

In an initial study, Isbell *et al.* used 2-ethylhexyl estolide esters of oleic acids constituted by a complex mixture of oligomers generated by the addition of the carboxylic acid of oleic acid to the double bond of other oleate chains.²⁴² Following epoxidation using *in situ* generated performic acid, the epoxidized estolide was successfully carbonated using TBAB as the catalyst under scCO₂ conditions at ~100 bar of CO₂ and 100 °C. The viscosity of the carbonated estolide was substantially higher than that of the parent epoxidized estolide. The same group developed the synthesis of estolide esters obtained through the reaction between oleic acid and the hydroxyl group of saturated and unsaturated alkyl esters of castor oil (Scheme 27).²³² The resulting compounds were epoxidized and

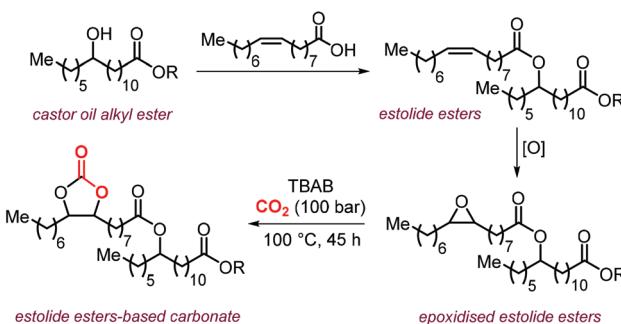
carbonated using TBAB as catalyst under supercritical conditions as described in the previous example. The resulting carbonated estolides displayed increased viscosity and higher oxidation stability (oxidation onset around 200 °C) compared to the non-functionalized estolides and its epoxy derivatives, thus offering good candidates for application as industrial fluids.

Beside the case of EFAs, the carbonation of EVOs (Table 5) such as epoxidized vernonia, castor, soybean, linseed, sunflower, cottonseed and olive oils has been extensively studied.²⁴³ The resulting CVOs (Table 5) are attractive synthons for the synthesis of NIPUs by reaction with diamines,^{23,185,211,244–251} and find applications in paints, coatings, and bio-based materials.^{252,253} Remarkably, some properties such as the thermal stability and oxidative stability of polyurethanes (PUs) derived from soybean oil were found to rival those of PUs derived from poly(propylene oxide).²⁵⁴

The synthesis of CVOs has been principally carried out on epoxidized linseed, sunflower and soybean oils due to the relatively high epoxy content that can be introduced in the fatty acid alkyl chains. The cycloaddition reaction of CO₂ to such EVOs has often been carried out using TBAB as the catalyst (Table 5). As in the case of EFAs (see entries Table 4), these reactions are conveniently performed at high pressure (CO₂ ≥ 50 bar) or under sc-CO₂ conditions (entries 1–10, Table 5) often in combination with high reaction temperatures (120–140 °C). However, the CO₂ pressure can be reduced to 4 bar when the carbonation reaction is carried out at ≥110 °C (entries 11–15). Under these conditions, high to nearly quantitative conversion of the epoxide groups was generally observed, however the selectivities for the carbonate products have seldom been reported. Leitner *et al.* observed a relatively low selectivity (73%) for carbonate formation in the cycloaddition of CO₂ to epoxidized soybean oil (ESBO) under supercritical conditions (entry 5).²¹² Further attempts were carried out for the carbonation of EVOs under atmospheric pressure at $T \geq 110$ °C using TBAB or TBAI as catalyst by extending the reaction time to 40–70 h (entries 16–19). In some of these cases, despite the disappearance of epoxide and the appearance of IR-bands for the carbonate C=O stretching were observed, the actual chemoselectivity for the CC was not reported.^{255,256}

Mazo and Rios observed that the addition of water (about 33 mol%) significantly accelerates the carbonation of ESBO thus obtaining the corresponding CVO under atmospheric pressure with good conversion and selectivity in 70 h (entry 18).²⁵⁷ This result is in line with the known ability of water to serve as a HBD in the cycloaddition of CO₂ to epoxides.²⁵⁸ Additionally, the same authors have shown that a further acceleration of the reaction rate can be achieved by combining the addition of water to the use of a microwave reactor resulting in a reduction of the reaction time to 40 h without affecting the selectivity for the carbonated product (entry 19).²⁵⁹

Finally, several examples of comprehensive physico-chemical studies on the carbonation of EVOs in the presence of TBAB have been carried out. These studies concern reaction kinetics modelling, the role of mass transfer, CO₂ solubility,



Scheme 27 The synthesis of estolides from oleic acid and castor oil alkyl esters.

Table 5 Catalytic comparison of cycloaddition of CO₂ to EVOs catalyzed by TBAB

Entry	EVO	TBAB (mol%)	Reaction conditions T (°C), CO ₂ (bar), time (h)	Conversion ^a (%)	Selectivity ^a for CVOs	Ref.
1	Soybean	5 ^b	140, 152, 18	96 ^c	d	263
2	Sucrose soyate	5 ^b	140, 131, 20	70 ^c	d	263
3	Soybean	16.6	100, 138, 46	96	d	264
4	Soybean	4.5	140, 124, 54	99	d	265
5	Soybean	5	100, 117, 24	47	73	212
6	Soybean	2.7 ⁱ	120, 100, 9	100	d	266
7	Soybean	5	100, 100, 20	94	d	237
8	Soybean	0.18	140, 56.5, 22	98	d	267
9	Cottonseed	3.5	130, 50, 7	94	d	268
10	Sunflower	3.5	120, 50, 12	86	d	269
11	Cottonseed	5	140, 30, 24	99.9	d	270
12	Linseed	3	140, 30, 20	100	d	271
13	Soybean	3.4 ⁱ	140, 10, 23	97	d	272
14	Castor	5	130, 5, 8	93	d	273
15	Soybean	5	110, 4, 12	100 ^e	d	274
16	Soybean	5 ^f	110, 1, 70	100	d	255
17	Linseed	5	100, 1, 72	100 ^g	d	256
18	Soybean ^h	5	120, 1, 70	77	89	257
19	Soybean ^h	5	120, 1, 40	80	92	259

^a Conversion/selectivity determined by titration and/or ¹H NMR. ^b Weight percent (wt%) loading. ^c Weight percent (wt%), epoxide conversion determined by epoxy titration according to ASTM D 1652. ^d Not Reported. ^e Conversion determined by FTIR. ^f TBAI loading in mol%. ^g Conversion determined by FTIR analysis of the reaction mixture at intervals of 24 h until completion. ^h Addition of water, with a molar ratio H₂O:EVO of 1:3. ⁱ Weight percent.

substrate viscosity, the differences between **EFAs** and **EVOs** and the effect of microwave irradiation on the process kinetics, and were carried out by Levener *et al.*^{260–262} These important, but rather technical studies are not described in detail in this section.

5.4 Catalytic performance of binary catalytic systems in the cycloaddition of CO₂ to **iEFA1**: metal salts and coordination compounds

The vast majority of catalytic systems for the cycloaddition of CO₂ to epoxides are binary systems. These systems typically involve one component (Lewis acid or HBD) coordinating or better activating the epoxide substrate, and a nucleophilic component that serves to ring-open the activated epoxide.^{30,183,184} These bicomponent catalysts often allow for the cycloaddition reactions to take place under relatively mild or even ambient conditions when compared to the exclusive use of (quaternary) halide salts.¹

In recent years, several examples of binary catalytic systems suitable for the mild and stereoselective carbonation of **EFAs** and **EVOs** have been developed and are discussed in this and following sections, by taking **iEFA1** as a model substrate, according to the kind of epoxide-activator including metal coordination compounds, metal–organic catalysts and organocatalysts.

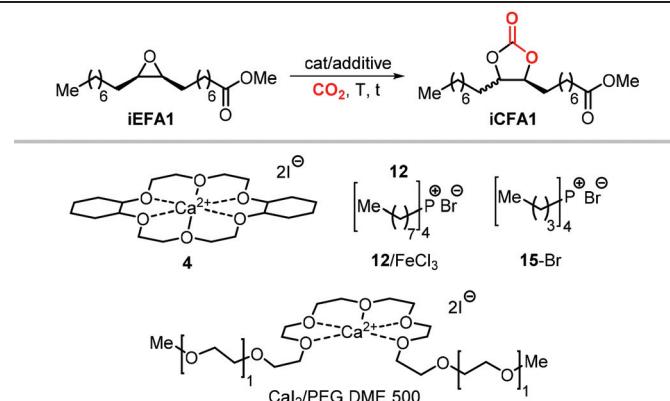
Coordination compounds and metal salts represent readily available and inexpensive compounds for the cycloaddition of

CO₂ to epoxides.^{202,203,229} They are usually commercially available and can be easily immobilized onto silica supports.^{225,275,276} However, in the case of metal halide salts, their large-scale application could be limited by their relatively low moisture stability and by the risk of reactor corrosion.²⁰⁷

Polyoxometalates (POMs) are clusters of metal atoms connected by oxo-bridges and terminated by anionic MO moieties. Such compounds are able to activate CO₂/epoxides *via* interaction with the basic oxygen atoms and Lewis acidic metal centers of the POM.²⁷⁷ Based on this dual activation ability, the halogen-free cycloaddition of CO₂ to epoxides catalyzed by transition-metal-substituted silicotungstates $[(n\text{-C}_7\text{H}_{15})_4\text{N}]_5[\alpha\text{-SiW}_{11}\text{O}_{39}\text{M}(\text{II})]$, with M = Mn, Co was demonstrated by Sakakura *et al.* already in 2005.²⁷⁸ The sustainable character of this approach was limited by the harsh reaction conditions (150 °C, 35 bar of CO₂) and the need for a reaction solvent.

Later in 2013, Leitner *et al.* employed $[(n\text{-C}_7\text{H}_{15})_4\text{N}]_5[\alpha\text{-SiW}_{11}\text{O}_{39}\text{Cr}(\text{III})]$ (THA-Cr-Si-POM) for the carbonation of **iEFAs** in scCO₂.²¹² As expected, under harsh conditions (100 °C, ~130 bar of CO₂), THA-Cr-Si-POM (2 mol%) was able to catalyze the quantitative conversion of **iEFA1** to *cis*-**iCFA1** in 20 h. However, the authors also observed that the addition of equimolar, catalytic amounts of TBAB could accelerate the reaction that was complete in 6 h (entry 1, Table 6).

More recent, the use of coordination compounds and metal salts for the carbonation of fatty acids has led to the discovery

Table 6 The comparison of the cycloaddition of CO₂ to epoxidized MO (iEFA1) catalyzed by coordination compounds and metal salts

Entry	Cat/add. (mol%)	T, p, t (°C, bar, h)	Yield iCFA1 (%)	Sel. ^a iCFA1 (%)	Sel. ^a cis vs trans	Ref.
1	THA-Cr-Si-POM (2.0) TBAB (2.0)	100, 130, 6	95	98	96 : 4	212
2	15-Br (2.0) MoO ₃ (0.25)	100, 50 20	98 96	98 96	77 : 23 68 : 32	224 196
3	12 (2.0) FeCl ₃ (0.25)	100, 50 24	96 91	c	53 : 47	280
4	CaI ₂ PEG-DME 500 (5.0) ^b	90, 50 48	91	c	84 : 16	118
5	4 (5.0) Ph ₃ P (5.0)	45, 5 24	86	c	c	281
6	KI (2.0) TEA (2/2)	100, 50 16	8 ^d	c	c	

^a *Cis* : *trans* ratios and selectivity towards iCFA1 determined by ¹H NMR from the integration of the corresponding signals of *cis* and *trans* carbonate products. ^b PEG DME 500: oligo(ethyleneglycol) dimethyl ether with $M_n \sim 400 \text{ g mol}^{-1}$. ^c Not reported. ^d Conversion determined by GC analysis. THA = tetra-*n*-heptyl ammonium, *p* is the partial pressure of CO₂ and TEA is triethanolamine.

of binary systems operating under milder conditions than the THA-Cr-Si-POM reported by Leitner. Werner *et al.* extensively studied the application of coordination compounds as additives for the cycloaddition of CO₂ to iEFA1. Initial studies involved the application of phosphonium salts **12** and **15** in combination with commercially available coordination metal compounds of transition metals such as MoO₃ and FeCl₃ under relatively harsh conditions (100 °C, 50 bar of CO₂; entries 2 and 3 in Table 6).^{196,224} The presence of MoO₃ appeared as a more convenient choice for iEFA1 conversion and carbonate selectivity in a wider screening of metal salts (mostly Al- and Mo-based) in the presence of **15-Br** (see also Table 3) as the nucleophile.

Interestingly, compared to the exclusive use of phosphonium salts as catalysts, the addition of coordination compounds accelerate iEFA1 conversion at the cost of a slight drop in carbonate selectivity.²²⁴ Under the same reaction conditions, the use of FeCl₃ in the presence of phosphonium salts (**12** or **15-Br**) led to a similar result as MoO₃.¹⁹⁶ The use of iron-based catalysts is considered advantageous, since Fe is an earth-abundant, non-toxic and non-endangered metal.^{2,7,205,279}

Similarly, the application of calcium may be seen as more sustainable compared to the use of transition metals in catalyst systems. Whereas most coordination compounds of

calcium are generally insoluble in most reaction media, Werner *et al.* showed that the use of chelating ligands (crown ethers) in combination with calcium halides leads to soluble and highly active Lewis acids for CO₂/epoxide cycloaddition.^{118,209,280-282} The *in situ* complexation of CaI₂ by poly(ethylene glycol)dimethyl ether (PEG-DME-500) further promotes the nucleophilicity of the iodide anion leading to a system able to mediate the cycloaddition of CO₂ to terminal and internal epoxides including iEFA1 (entry 4, Table 6).²⁸⁰ Alternatively, crown ethers are effective complexing agents for CaI₂ leading to highly active calcium catalysts such as **4** for the cycloaddition of CO₂ to terminal epoxides under ambient conditions.²⁰⁹ Catalyst **4** can be successfully applied for the carbonation of iEFA1 under relatively mild conditions (60 °C, 20 bar of CO₂). In addition, it was found to work under even milder conditions (45 °C, 5 bar of CO₂, entry 5) when used in the presence of a relatively high loading (5 mol%) of PPh₃ obtaining iCFA1 mostly as the *cis*-isomer.¹¹⁸ In a previous study, the same group showed that KI, a frequently used source of nucleophilic iodide,²⁸³ forms an efficient catalyst for the carbonation of terminal epoxides when combined with triethanolamine as HBD, although this catalyst was virtually inactive for the conversion of iEFA1 (entry 6),²⁸¹ justifying the design of more sophisticated binary catalyst architectures.

5.5 Catalytic performance of binary catalytic systems in the CO₂ cycloaddition to iEFA1: metal complexes

Metal complexes based on Schiff-base ligands are among the most studied metal-organic compounds often displaying remarkable catalytic activity for the cycloaddition of CO₂ to terminal epoxides.^{121,284,285} Thus, it is not surprising that these complexes have also been studied for the carbonation of EFAs.

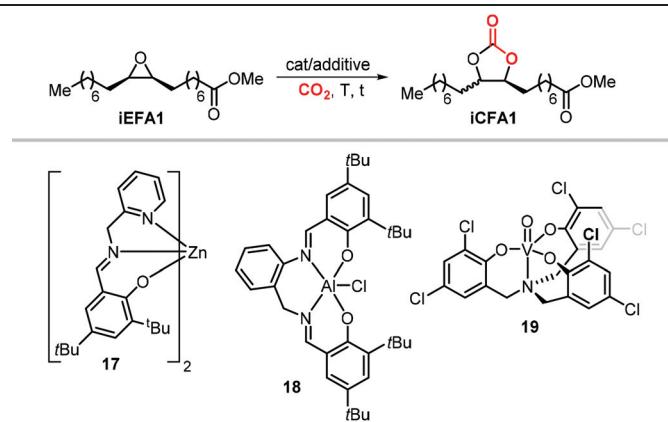
Masdeu-Bultó *et al.* prepared NN'O- and N₂O₂-type Schiff-base ligands that were used for the complexation of earth-abundant metals such as zinc,²⁸⁶ and aluminum, respectively (complexes **17** and **18**, Table 7).²⁸⁷ These complexes were found to be active Lewis acidic catalysts for the cycloaddition of CO₂ to terminal epoxides, but their application for the carbonation of **iEFA1** required harsh reaction conditions (100 °C, 100 bar of CO₂) to afford only moderate yields of **iCFA1** (entries 1 and 2). Under these conditions, catalyst **18**/TBAB proved to be much faster with reaction times as short as 30 min.²⁸⁷

Aminotriphenolates (TPA) complexes, extensively studied by the group of Kleij,^{206,288–291} are a different class of highly efficient and strongly Lewis acidic metal complexes for the cycloaddition of CO₂ to internal epoxides. In particular, TPA

complexes derived from aluminum (**1^R**, Table 3) and vanadium (**19**, Table 7) are highly chemo- and stereoselective Lewis acids for the carbonation of **iEFA1** under comparatively mild conditions (75–80 °C, 10 bar of CO₂) in the presence of TBAB, obtaining *cis*-**iCFA1** (entries 3 and 4), with the V-based binary catalyst **19**/TBAB only providing moderate conversion.

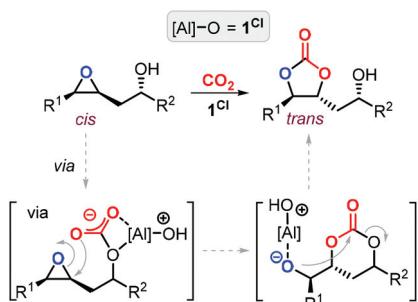
One peculiar feature of Al-aminotriphenolate complexes is their capability to catalyze the conversion of CO₂ and epoxy alcohols such as glycidol and its derivative, to cyclic carbonates in the absence of nucleophilic additives. The conversion of epoxy alcohols has been proposed to take place by formation of a carbonic acid hemi-ester stabilized by the metal-organic Lewis acid.^{17,18,75} A similar mechanism was proposed when epoxidized methyl ricinoleate was combined with CO₂ in the presence of **1^{Cl}**.¹⁸⁷ Despite the initial *cis*-configuration of the epoxide precursor, in the absence of halide nucleophiles the *trans*-isomer of carbonate product was obtained in high yield and selectivity indicating effective inversion of configuration (entry 5, Table 7). To explain this observation and in line with their previous findings,^{17,75} the authors postulated a mechanism in which an Al-stabilized carbonic acid-like intermediate, formed by reaction of the substrate with CO₂, first evolves into a six-membered carbonate intermediate by nucleophilic attack on the nearest epoxide carbon (Scheme 28). A

Table 7 Comparison of the catalytic cycloaddition of CO₂ to epoxidized MO (**iEFA1**) in the presence of various Schiff base and aminotriphenolate metal complexes



Entry	Cat/add. (mol%)	T, p, t (°C, bar, h)	Yield/sel. ^a for iCFA1 (%)	Sel. ^a <i>cis</i> : <i>trans</i>	Ref.
1	17 (2.0) TBAB (2.0)	100, 100, 24	53, ^b	95 : 5	286
2	18 (2.0) TBAB (2.0)	100, 100, 0.5	63, ^b	52 : 48	287
3	19 (0.5) TBAB (5.0)	85, 10, 18	46, >99	>99 : 1	291
4	1^{Cl} (0.5) PPNCl (3.0)	70, 10, 24	99 ^d , >99	97 : 3	187
5 ^c	1^{Cl} (1.0)	70, 10, 24	99 ^d , 99	< 1 : 99	187
6	13 (1.0) TBAI (10)	100, 5, 24	91, ^b	< 1 : 99	230

^a *Cis* : *trans* ratio and selectivity for **iCFA1** determined by ¹H NMR from the integration of the corresponding signals of *cis* and *trans* carbonate products, and substrate/side-products. ^b Not reported. ^c Using epoxidized methyl ricinoleate (*cis*) as the substrate. Note that *p* is the partial pressure of CO₂. ^d Conversion, determined by ¹H NMR.



Scheme 28 Proposed mechanism for the conversion of CO_2 to epoxidized methyl ricinoleate catalyzed by Al-complex **1**^{Cl}.

subsequent nucleophilic attack of the produced oxanion on the electrophilic carbon center of the latter carbonate would then lead to formation of the final *trans*-carbonate with stereo-inversion.

Recently, Liu and coworkers reported the cycloaddition of CO_2 to **iEFA**s catalyzed by the iron bis-pincer complex **13** (Table 3).²³⁰ By using a large amount of TBAI (10 mol%, entry 6, Table 7) at 100 °C and low CO_2 pressure (5 bar), **iEFA1** was fully converted to *trans*-**iCFA1** (entry 6, Table 7) according to an $\text{S}_{\text{N}}1$ pathway described in Scheme 24. Independent from the substrate, the authors managed to control the stereochemistry of the final carbonate **iCFA1** by proper selection of a suitable nucleophilic halide as described in section 5.2.

Based on previous investigations, the authors proposed that **13** acts as a precatalyst in this cycloaddition process by generating an iron-based Lewis acid (LA) and a (free) N-heterocyclic carbene (NHC), see Scheme 29.²⁹²

In the presence of TBAB, the Lewis acid is supposed to evolve into hexa-coordinated tri-halide -ate complexes of type $[\text{Fe}(\text{CNN})\text{X}_3]$ (structures A–C in Scheme 29). According to DFT calculations, compound C is the most stable one. After dissociation of one of the halide anions, a coordination site on

the Fe-center becomes available for the coordination (activation) of the epoxide prior to nucleophilic attack by the liberated halide. In principle, the free NHC fragment stemming from **13** can also play a role in the reaction by capturing and activating CO_2 ,²⁹³ but in this specific case this was not discussed or proven.

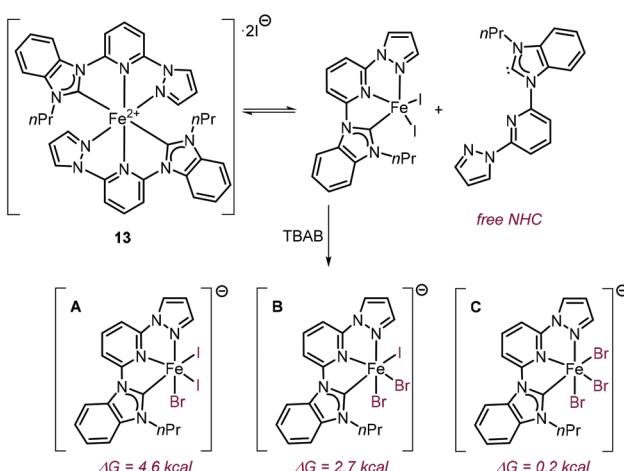
5.6 Catalytic performance of organocatalysts in the cycloaddition of CO_2 to **iEFA1**

Organocatalysts have received considerable attention as relatively nontoxic, readily available, and in most cases moisture-insensitive compounds.^{294–297} In the cycloaddition of CO_2 to epoxides, organocatalysts are often applied as single-component nucleophilic species, such as DBU, TBD and 4-dimethylamino-pyridine (DMAP), or as HBDs in the presence of nucleophilic halide sources.^{1,5,31,298} Thus far, the organocatalyzed carbonation of fatty acids has been generally carried out using both binary and bifunctional catalytic systems, with the second category embedding halide nucleophiles and HBD moieties within the same molecule.

The Werner group developed two families of bifunctional phosphonium halides (such as **9**, Scheme 22),¹⁹⁵ and ammonium halides (such as **20**, Table 8),²⁹⁹ bearing hydroxyl groups for the activation of epoxides. Such catalysts performed efficiently in the carbonation of terminal epoxides under mild conditions (45–90 °C, 5–10 bar of CO_2).

When using **iEFA1** as the substrate, **9** promotes its chemoselective carbonation in good yields under demanding reaction conditions (100 °C, 50 bar of CO_2), whereas **20** was less efficient under similar conditions providing only low yields of **iCFA1** (*cf.*, entries 1 and 2, Table 8). In both cases, the carbonate product was obtained as a mixture of *cis* and *trans* stereoisomers. Due to their higher acidity, phenolic hydroxyls are more active HBDs in the cycloaddition of CO_2 to epoxides than aliphatic hydroxyls.¹⁹⁹ Werner prepared bifunctional phenolic phosphonium salts (such as **10**; see also Scheme 22) and applied them for the cycloaddition of CO_2 to fatty acids. The carbonation of **iEFA1** proceeded quantitatively under significantly milder conditions than for aliphatic HBDs (entry 3) though without any stereocontrol.¹⁹⁸ The same group reported the immobilization of **10** either on a traditional support such as silica (*i.e.*, catalyst structure **11**; see also Scheme 22),¹⁹⁷ or on amorphous hydrogenated carbon coating through a plasma-assisted method leading to recyclable catalysts **21**.³⁰⁰ The application of these heterogeneous compounds for the carbonation of **iEFA1** at lower CO_2 pressure compared to the homogeneous catalyst **10** led to low yields of **iCFA1** (entries 4 and 5).

Dai *et al.* reported a multifunctional pincer-type organocatalyst (**22**) for the cycloaddition of CO_2 to **iEFA1**. This catalyst bears several active functionalities such as a nucleophilic imidazolium iodide, a pyridine moiety, –NH and –OH (carboxylic and phenolic) HBDs groups.³⁰¹ Higher loadings of **22** and TBAI were required to produce moderate yields of **iCFA1** mostly as the *trans*-isomer.



Scheme 29 Proposed catalytically competent species generated from **13** under the applied reaction conditions. Tetra-*n*-butylammonium cations (TBA) are omitted for clarity.

Table 8 The comparison of cycloaddition of CO₂ to epoxidized MO (iEFA1) catalyzed by various organocatalytic binary/bifunctional systems

Entry	Cat/add. (mol%)	T, p, t (°C, bar, h)	Yield/sel. of iCFA1 ^a (%)	Sel. ^a cis : trans	Ref.
1	9 (5.0)	100, 50, 24	65, >99	40 : 60	195
2	20 (2.0)	100, 50, 16	20 ^b , >99	48 : 52	299
3	10 (5.0)	80, 25, 24	98, ^c	54 : 46	198
4	21 (1.0)	90, 10, 24	30, ^c	28 : 72	300
5	11 (2.0)	90, 10, 24	26 ^{d,c}	43 : 57	197
6	22 (4.0)	100, 5, 24	51, ^c	19 : 81	301
	TBAI (12)				
7	14 (1.5)	100, 5, 48	90, >99	77 : 23	231
	TBAC (5.0)				

^a Cis : trans ratio determined by ¹H NMR by integration of the corresponding signals of cis and trans carbonate products, isolated yields of iCFA1 are given. ^b GC yield. ^c Not reported. ^d Yield determined by ¹H NMR using mesitylene as the internal standard. Note that p is the partial pressure of CO₂.

Despite its excellent catalytic activity, compound **10** was prepared from the reaction between expensive and toxic (2-hydroxyphenyl)-diphenylphosphine (hazard: GSH07) and carcinogenic 1-bromopropane (hazard: GSH08). Similarly, the synthesis of **22** required 2,6-dibromopyridine as the starting material and a two-step CuI-mediated coupling process.³⁰¹ In order to better exploit the generally assumed benefits of organocatalysis (lower catalyst cost and toxicity), the application of ubiquitous biobased compounds such as amino acids,^{302,303} peptides,^{304–306} sugars,³⁰⁷ and vitamins,³⁰⁸ would be highly attractive.³⁰⁹

In this context, L-ascorbic acid (**14**), a well-established bio-based HBD for the cycloaddition of heterocumulenes to epoxides under ambient or mild conditions,^{310,311} was applied in combination with TBAC for the cycloaddition of CO₂ to iEFAs.²³¹ Ascorbic acid was found to accelerate the quaternary ammonium salt-catalyzed cycloaddition of CO₂ to **iEFA1**, with the binary combination **14/TBAC** showing the best performance in terms of **iCFA1** selectivity (see also Table 3). Under similar reaction conditions as reported for **22/TBAI** apart from the longer reaction time (48 h), the use of a lower loading of

both catalyst components of **14/TBAC** led to quantitative **iEFA1** conversion at 5 bar CO₂ pressure at 100 °C (entry 7).

5.7 Catalytic performance of binary catalysts in the cycloaddition of CO₂ to polyunsaturated EFAs

Several of the catalytic systems discussed before were also applied for the carbonation of bis-unsaturated epoxidized linoleic acid methyl ester **iEFA2** (entries 1–10, Table 9). Generally, the reaction conditions optimized for the carbonation of **iEFA1** highlighted in the previous sections could be successfully applied to the conversion of **iEFA2**, therefore there will not be any detailed discussion of each example. For systems operating under supercritical conditions (entries 1 and 2), good epoxide conversion rates and **iCFA2** selectivities were obtained using alkali metals halides in combination with crown ethers.²⁴ The best results were obtained using KI/[18]crown-6 (entry 2). Whereas other catalytic systems could afford the carbonation of **iEFA2** under subcritical conditions (entries 3 and 4) or even at moderate CO₂ pressures (entries 5–10), the mildest conditions were reported for the *in situ* generated complex between CaI₂ and [18]crown-6 (**4**) combined with PPh₃ (entry 9).¹¹⁸ It is worth noting that the combination of complex **1^{Cl}** and PPNCl performed also well in the carbonation of **iEFA2** at slightly higher temperature providing a shorter reaction time and with high (chemo)selectivity for *cis*-**10b** (entry 7).¹⁸⁷

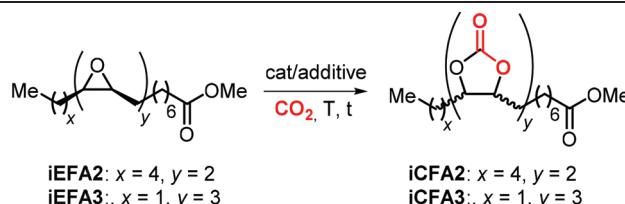
Finally, organocatalysts **10** (entry 5),¹⁹⁸ and **14/TBAC** (entry 6),²³¹ also performed well under different reaction conditions, with **14** achieving a selectivity for *cis*-**iCFA2** close to that observed with Al-based **1^{Cl}**.

Few catalytic systems have been reported to date that are able to mediate the cycloaddition of CO₂ to tris-epoxide **iEFA3** derived from triply unsaturated linolenic acid (entries 11–14). To note, under scCO₂ conditions, the binary system THA-Cr-Si-POM/TBAB afforded only poor yields of **iCFA3** because of a low chemoselectivity for the tris-carbonate product (entry 11).²¹² Under considerably milder conditions, the use of **1^{Cl}/PPNCl** provided **iCFA3** with excellent conversion and carbonate selectivity with an approximate and overall 2 : 1 *cis/trans* isomer ratio (entry 12).¹⁸⁷ However, excellent selectivity for all-*cis* **iCFA3** in appreciable yield was achieved by replacing the chlorine for *tert*-butyl substituents in complex **1** (entry 13). The organocatalytic pair **14/TBAC** achieved comparable results by applying similar reaction conditions but using a longer reaction time (48 h *versus* 24 h, entry 14).²³¹

5.8 Catalytic performance of binary catalytic systems in the cycloaddition of CO₂ to EVOs

Whereas the cycloaddition of CO₂ to EVOs produced from several vegetable oils (soybean, linseed, olive, sunflower *etc.*) has been reported, here we mostly focus on the carbonation of **ESBO** as a benchmark substrate. The catalytic performance of various catalyst systems for the carbonation of **EVOs** is summarized and discussed in Table 10.

Several catalytic systems already highlighted in Tables 7–9 could be successfully applied, under optimized conditions, for the conversion of **EVOs** into **CVOs** (entries 1, 4–6, 9–11),

Table 9 Comparison of the catalytic cycloaddition of CO₂ to epoxidized methyl linoleate (iEFA2) and epoxidized methyl linolenate (iEFA3)

Entry	iEFA	Cat/additive ^a (mol%)	T, p, t (°C, bar, h)	Conv. ^b (%)	Sel. For iCFA ^b	Yield (%)	Sel. (cis : trans) ^c	Ref.
1	iEFA2	THA-Cr-Si-POM (2.0) ^d TBAB (2.0)	100, 130, 6	85	50	e	e	212
2	iEFA2	KI (5.0 wt%) 18-C-6 (3.5 wt%)	100, 100, 17	90 ^f	97	e	e	24
3	iEFA2	12 (1.0) FeCl ₃ (0.13)	100, 50, 48	99	e	85	e	196
4	iEFA2	15-Br (1.0) MoO ₃ (0.13)	100, 50, 40	88	93	82	70 : 30	224
5	iEFA2	10 (2.5)	80, 25, 48	>99	>99	99	e	198
6	iEFA2	14 (0.75) TBAC (1.5)	100, 10, 48	>99	94	85	91 : 9	231
7	iEFA2	1 ^{Cl} (0.30) PPNCl (5.0)	70, 10, 24	>99	>99	e	97 : 3	187
8	iEFA2	22 (4.0) TBAI (12)	100, 5, 48	e	e	43	e	301
9	iEFA2	4 (10) PPh ₃ (10)	45, 5, 48	e	e	90	e	118
10	iEFA2	13 (1.0) TBAI (10)	100, 5, 24	e	e	84	16 : 84	230
11	iEFA3	THA-Cr-Si-POM (2.0) ^d TBAB (2.0)	100, 130, 6	71	12	e	e	212
12	iEFA3	1 ^{Cl} (0.20) PPNCl (5.0)	70, 10, 24	>99	>99	e	68 : 32	187
13	iEFA3	1 ^{Bu} (1.0) PPNCl (5.0)	70, 10, 24	92	>99	e	96 : 4	187
14	iEFA3	14 (1.5) TBAC (5.0)	80, 10, 48	94	93	75	93 : 7	231

^a The loading value in brackets is relative to the total amount of epoxides unit. ^b Conversion and selectivity determined by ¹H NMR. ^c Overall *cis* : *trans* ratio determined by ¹H NMR from the integration of the corresponding signals of *cis* and *trans* carbonate units. ^d THA = tetra-*n*-heptylammonium. ^e Not reported. ^f Conversion determined by ¹H NMR and GC. Note that *p* is the partial pressure of CO₂, 18-C-6 is short for 18-crown-6.

although in the case of THA-Cr-Si-POM/TBAB only a relatively modest yield of carbonated product was observed (entry 1).²¹² Therefore, these examples will not be discussed in detail to avoid overlap with previous sections. A different system able to efficiently carbonate **ESBO** under supercritical conditions was developed by Jerome, Detrembleur *et al.* in a study targeting the preparation of NIPU foams from CVOs.³¹² The authors used TBAB in the presence of fluorinated HBD [1,3-bis(2-hydroxyhexafluoroisopropyl)benzene, 23],³¹³ providing quantitative carbonation of **ESBO** in 10 h (entry 2).

Rokicki *et al.* reported the carbonation of **ESBO** at lower CO₂ pressure than the previous example but a higher reaction temperature and longer reaction time (5 d) were needed employing KI/18-crown-6 as a catalyst. In this case, the CVO was obtained in high yield in the form of *cis*- and *trans* mixture (entry 3).³¹⁴

Previously discussed coordination compounds (see also Table 6) displayed good performance in the carbonation of **EVOs** under relatively high pressure (entries 4–6, Table 10). A simple alkali salt (CaCl₂) displayed a catalytic activity comparable

able to these aforementioned Lewis acid based systems for the carbonation of **ESBO** in the presence of TBAB albeit at a significantly higher reaction temperature (entry 7).³¹⁵ In a related contribution, the same authors showed that CaCl₂/TBAB can be used for the synthesis of carbonated soybean oil (**CSBO**) under a flow of atmospheric CO₂ at 110 °C, although at the expense of the reaction time.²⁵⁴

A different, readily available and highly Lewis acidic compound, SnCl₄·5H₂O, used in combination with TBAB allowed for quantitative conversion of **ESBO** into **CSBO** under moderate CO₂ pressure but at a high temperature (entry 8).³¹⁶ Previously discussed organocatalytic systems **10** and **14**/TBAC (entries 9 and 10) and coordination complex **4** combined with PPh₃ (entry 11) had attractive catalytic activity for the synthesis of **CSBO** under milder temperatures and/or CO₂ pressures with performances comparable to those observed for fatty acids (see Tables 6 and 8). Thus, it appears that the type of ester and the presence of saturated fatty acid chains in the starting material has a negligible impact on the reactivity of the epoxide moieties. As a testament for this hypothesis, **14**/TBAC proved to be

Table 10 Comparison of the cycloaddition of CO_2 to EVOs catalyzed by various binary catalysts

Entry	EVO	Cat/additive (mol%)	T, p, t (°C, bar, h)	Yield (%)	Sel. ^a for CVO	Ref.
1	Soybean	THA-Cr-Si-POM (2.0) ^b TBAB (2.0)	100, 130, 24	41 ^c	60	212
2	Soybean	23 (1.0) TBAB (1.0)	100, 100, 10	100	^d	312
3	Soybean	KI (2.0) [18]crown-6 (1.0)	130, 60, 120	98 ^e	^d	314
4	Soybean	12 (2.0) FeCl ₃ (0.25)	100, 50, 24	94	>99	196
5	Soybean	15-Br (2.0) MoO ₃ (0.25)	100, 50, 20	89	90	224
6	Sunflower	CaI ₂ (5.0) PEG-DME-500 (5.0) ^f	90, 50, 120	83	^d	282
7	Soybean	CaCl ₂ (5.0) TBAB (2.5)	140, 40, 40	98	^d	315
8	Soybean	SnCl ₄ ·5H ₂ O (1.0) TBAB (3.0)	140, 15, 30	99 ^e	^b	316
9	Soybean	10 (5.0)	80, 25, 24	77	^b	198
10	Soybean	14 (1.5) TBAC (5.0)	100, 5, 48	81	89	231
11	Soybean	4 (5.0) PPh ₃ (5.0)	45, 5, 24	81	>99	118
12	Sunflower	24a (4.0) TBAB (4.0)	100, 1, 30	99 ^g	^d	320
13	Sunflower	24b (4.0) TBAB (4.0)	100, 1, 30	8 ^g	^d	320

^a Selectivity determined by ¹H NMR. ^b THA: tetra-*n*-heptylammonium. ^c Conversion determined by ¹H NMR. ^d Not reported. ^e Conversion determined by standard titration. ^f PEG DME 500: poly(ethyleneglycol) dimethyl ether with $M_n \sim 400 \text{ g mol}^{-1}$. ^g Yield determined by ¹H NMR.

an efficient catalyst for the carbonation of epoxidized FAME.²³¹

Finally, Lewis acidic metal complexes were tested for the carbonation of epoxidized sunflower oil (**ESFO**) under atmospheric pressure in the presence of TBAB. Metalloporphyrins are known as efficient catalysts for the cycloaddition of CO_2 to epoxides under ambient pressure.^{1,317–319} Safari *et al.* showed that the Mn(II) metalloporphyrin complex **24a** in the presence of TBAB permits full carbonation of **ESFO** under atmospheric pressure at 100 °C in 30 h, whereas the analogous Zn(II) complex **24b** is inactive under identical conditions (entries 12 and 13).³²⁰

5.9 Recyclable catalytic systems for the carbonation of EFAs and EVOs

Whereas most of the highlighted catalytic systems are homogeneous, the development of recoverable and recyclable heterogeneous catalysts is crucial for easier purification of the

products and for the sake of cost and sustainability, especially in the context of large-scale application. The development and communication of recyclable catalysts for the carbonation of fatty acids is rare.

In the case of TBAB as homogeneous catalyst for the carbonation of **ESFO**, Doll and Erhan were able to recover TBAB from the products mixture by liquid–liquid extraction using water followed by freeze-drying (96% recovery rate). However, they did not report the catalyst reuse for the same reaction.²³⁷ Similarly, D’Elia *et al.* attempted to recover **14**/TBAC from the crude reaction mixture containing **iCFA1** by extraction with water.²³¹ In this case, the recovered catalyst showed poor reusability as it converted only 38% of **iCFA1** into **iCFA1** without altering the chemoselectivity for the carbonate product.

Some homogeneous catalysts highlighted in the previous sections such as **20**,²⁹⁹ **24a**,³²⁰ CaI₂/PEG-DME-500,²⁸² and KI/hydroxyl-functionalized imidazoles,²⁸¹ can be recovered after the synthesis of the respective CCs by methods such as

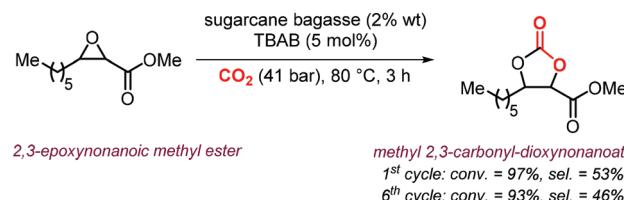
column chromatography, product distillation and liquid-liquid extraction, and reused for the same cycloaddition reaction. However, their recyclability for the synthesis of CFAs and CVOs has not been specifically addressed. Catalyst separation protocols that require evaporation of large volumes of water or distillation of high-boiling carbonates are likely not convenient or sustainable for commercial exploitation. Along similar lines, Werner *et al.* prepared some heterogeneous, reusable catalysts such as **11**,¹⁹⁷ and **21**,³⁰⁰ but their recyclability was not demonstrated for processes that focus on carbonated oleochemicals.

Bähr and Mülhaupt reported the application of silica-supported 4-pyrrolidinopyridinium iodide (previously developed by Motokura)³²¹ as a heterogeneous catalyst for the carbonation of **ESBO** and epoxidized linseed oils (**ELSO**) with full conversion of the respective **EVO** realized at high temperature and moderate pressure (140 °C, 30 bar of CO₂, 45 h).²⁷¹ Whereas the catalyst could be easily recovered by simple filtration after the reaction and thus avoid product purification by liquid-liquid extraction, the performance of the recycled catalyst for a new run of carbonation was not reported.

As a rare example of a recyclable catalyst for the coupling of CO₂ and epoxidized oleochemicals, Wang *et al.* reported a ZrO₂-supported heteropolyacid (H₃PW₁₂O₄₀/ZrO₂) that was successfully applied for the carbonation of **ESBO** at high temperature (150 °C) and moderate CO₂ pressure (10 bar) in the presence of DMF.³²² Its catalytic performance was attributed to the synergy between the strong acidic metal centers of the heteropolyacid and the basic zirconia surface providing sites for CO₂ adsorption and activation. Nonetheless, H₃PW₁₂O₄₀/ZrO₂ showed poor reuse features due to the strong adsorption of bulky reaction by-products at the active sites of the catalyst surface that could not be efficiently regenerated even after calcination.

To improve the regeneration of an active catalyst, the catalyst was modified by doping it with platinum (5%) *via* a co-impregnation approach. As expected, the addition of platinum increased the ability of the material to oxidize hydrocarbons, and the undesired adsorbed organic molecules could be removed below 300 °C.³²³ At the same time, the presence of platinum did not affect the efficiency of the carbonation reaction. The Pt-doped H₃PW₁₂O₄₀/ZrO₂ displayed significantly better recyclability despite the gradual decrease in catalytic activity upon reuse with the **ESBO** conversion dropping from 93 to 78% after four catalytic cycles. An obvious drawback of this catalytic system is the need for expensive noble metal dopant to achieve reusability.

A different way to generate internal carbonates from fatty acids was reported by Cádiz *et al.* by using heptanal, a product of the thermal cracking of castor oil, as a novel precursor for NIPU synthesis.³²⁴ The Horner–Wadsworth–Emmons reaction between heptanal and trimethyl phosphonoacetate yielded methyl 2-nonenoate that was further oxidized to achieve the corresponding 2,3-epoxynonanoic methyl ester (see Scheme 30) as a short-chain epoxidized fatty acid derivative.



Scheme 30 The coupling of 2,3-epoxynonanoic methyl ester with CO₂ catalyzed by a recyclable sugarcane bagasse/TBAB binary catalyst.

Interestingly, the carbonation of the latter compound can be performed by employing sugarcane bagasse as a heterogeneous HBD in combination with TBAB at 80 °C and about 41 bar of CO₂ pressure (Scheme 30). The insolubility of sugarcane bagasse allows for easy separation from the crude reaction mixture by simple filtration. This catalyst component can be reused for at least six runs with only slight loss of activity. However, the chemoselectivity toward carbonate for this system was only moderate due to secondary reactions (such as hydrolysis) taking place involving the epoxy groups. Additionally, fresh TBAB, likely the most expensive catalyst component, had to be added in each consecutive cycle.

6. Conclusions and perspectives

This tutorial review demonstrates that the area of biobased carbonate synthesis has tremendously advanced over the last decade. Key to the success has been and will continue to be the development of suitable catalysts that, according to the principles of green chemistry and commercial applications, should preferentially be low-cost, readily available, scalable and sustainable in terms of their components. The incorporation of carbon dioxide in biobased feedstock such as terpenes, sugar-derived architectures, glycerol and fatty acids (including vegetable oils) offers a way to increase the application potential of low-value materials into high-value functional additives, solvents and polymer precursors for, *inter alia*, NIPUs.

There are several aspects that still deserve attention. Most of the catalytic processes developed to date are operated with purified and single-component substrates, whereas larger scale commercially available feedstock are often mixtures containing impurities that may affect the stability, activity and reuse of the involved catalyst system. Therefore, it is important to further develop catalysts that are not only able to combine high (chemo)selectivity and sufficient activity, but are also compatible with less defined mixtures of waste streams such as the case for fatty acids that are available from the biodiesel industry. As catalyst cost is paramount for scale up, cheap(er) catalyst design is a crucial aspect and particularly when bulk chemical applications are foreseen for biocarbonates attained by integration of CO₂ into biomolecules.

In the following sections we present a summary of key advances and future perspectives for each class of compounds.

6.1 Glycerol carbonate

Glycerol carbonate is a promising outlet for the valorization of waste glycerol from saponification reactions, and it is expected to represent a valuable bio-refinery product.³²⁵ The ideal route to prepare GC, *i.e.* the combination of two renewable substrates such as glycerol and CO₂, is affected by thermodynamic limitations that lead to low GC yields under typically harsh reaction conditions. Such limitations can be partially eased by the use of dehydrating agents or by the presence of additional reaction components that, however, unavoidably negatively affect the overall sustainability, cost, and purification requirements of the product. In this context, the atom-economic cycloaddition of CO₂ to glycidol appears as a convenient approach as it can be carried out under relatively mild conditions using molecular catalysts based on readily available organic compounds such as ascorbic acid. Moreover, recent advances in the synthesis of GC from glycidol and CO₂ *via* Payne rearrangement chemistry rather than the traditional cycloaddition mechanism,⁹⁹ demonstrate that this reaction can be carried out using single-component and halogen-free systems. Therefore, the development of bio-based homogeneous and heterogeneous catalysts exploiting such a reaction manifold for the synthesis of GC is highly promising. To note, the sustainability of the glycidol-based approach can be increased by the implementation of green routes to produce the latter compound; for instance using 2-chloro-1,3-propanediol, a waste product of the Epiceral process, as the substrate,³²⁶ or from glycerol deoxydehydration affording allyl alcohol.³²⁷

6.2 Terpene-derived carbonates

Terpene compounds have been used for a long time for the preparation of fragrances, flavors and pharmaceuticals. Some terpenes, such as pinene, carvone, myrcene and limonene are currently obtained from turpentine oil, paper pulping process and extraction from citrus fruits.³²⁸ Such terpenes are produced on million tons per year, and have been also proposed for the production of biofuels.³²⁹ Interesting, terpene-based cyclic carbonates have been isolated from natural sources with some of them showing biological activity. In comparison with other biobased feedstock, terpenes present an impressive structural diversity, which offers the possibility to produce complex cyclic carbonate structures by relatively easy transformations (*i.e.*, oxidation followed by coupling with CO₂). Consequently, the synthesis of terpene-based CCs has gained momentum, and future investigations could lead to the discovery of compounds with promising pharmaceutical activity. However, most of the current reports focus on limonene- and pinene-derived carbonates. Thus, future investigations are required to expand the portfolio of terpene-based carbonates. To date, different catalytic methodologies for the coupling of CO₂ with terpene oxides have been reported, with in several cases significant byproduct formation when more complex substrates were employed. This clearly calls for further development of more efficient and selective catalytic systems.

In recent years, the use of terpene oxides in the field of sustainable polymer chemistry has also emerged.¹¹⁰ In particular, polycarbonates such as poly(limonene carbonate) and poly(menth-2-ene carbonate) have been obtained by direct coupling with CO₂.^{111,330} Unfortunately, up to now these reactions can only be promoted by two types of catalytic systems. Alternatively, polycarbonates can be obtained *via* ROP of reactive cyclic carbonates in the presence of simple catalytic systems.^{331,332} We believe that further investigations should focus on the discovery of terpene-based cyclic carbonates that will serve as monomers for the production of polycarbonates by easy-to-tune ROP protocols. This will offer an alternative strategy for the production of polymeric materials with attractive properties.

6.3 Sugar-derived carbonates

Thanks to the ubiquity of sugars in nature, this class of compounds has been recognized as an attractive sustainable feedstock. However, in order to avoid competition with the food processing industry, a lot of research is still needed that should focus on sugar-based biofuels, chemicals and polymers obtained from widely-available cellulose and lignocellulose.³³³⁻³³⁵ Sugar structures are characterized by the presence of hydroxyl groups and, consequently, their transformation into cyclic carbonates has been mainly conducted by well-known stoichiometric procedures in the presence of non-ideal reactants such as phosgene. During the last few years, alternative methodologies have been reported for the carbonation of sugars under milder conditions. In general, these procedures are based on the reaction of low pressure carbon dioxide (1–10 bar) with an *in situ* formed activated alcohol species, followed by cyclization promoted by elimination of a suitable leaving group (*e.g.*, OTs and OMs). These reactions do avoid the use of phosgene-related compounds, though they still require the presence of stoichiometric amounts of base (*e.g.*, DBU and Et₃N) and halogenated reactants (*e.g.*, TsCl and CH₂Br₂). Future development of catalytic methodologies are likely needed to improve the potential application and scale up of this synthetic process. Interestingly, the possibility to control the stereochemistry during the synthesis of sugar-based CCs has also been demonstrated. This aspect is highly relevant, especially with respect to the use of such carbonates as monomers in polycarbonate synthesis. Indeed, the stereochemistry of bicyclic carbonates strongly influences their reactivity during the polymerization process, and the possibility to compare compounds with different stereochemistry will offer the possibility to further investigate structure-reactivity relationships. In addition, phosgene-free routes for sugar-based carbonates render these molecules as an attracting platform for the synthesis of bio-based NIPUs.

6.4 Fatty acid and vegetable oil-based carbonates

The attractive aspects of these feedstock is that they are available in large volumes either as-produced or from the recovery of spent cooking oils. Carbonated vegetable oils are versatile

substrates for the preparation of NIPUs *via* aminolysis reactions (*i.e.*, amine-promoted ring-opening of the cyclic carbonate). With NIPUs being regarded as ideal green polymers for the replacement of phosgene-based PUs, it is expected that the demand for these types of biocarbonates will considerably increase in the near future when current process issues such as low molecular weights, low aminolysis rates and side reactions can be adequately solved.³³⁶ Additionally we foresee that carbonated fatty acids could be suitable substrates for the preparation of additives to improve the anti-wear properties of group II base oils.³³⁷

In the last decade, the synthesis of fatty acid/vegetable oil based CCs has been characterized by a remarkable development of several binary homogeneous systems able to catalyze the carbonation reactions of oils under practical reaction conditions (45–100 °C, 5–10 bar). This is especially relevant when comparing to the exclusive use of quaternary ammonium or phosphonium salts and, often, binary systems provide better/improved control of the stereochemistry of the final products. As a drawback, and due to the low reactivity of the substrates, long reaction times (24–48 h) are generally required to reach high degrees of conversion (>80%). Therefore, substantial improvement of the performance of homogeneous (binary) catalysts for the carbonation of vegetable oil-derived epoxides is a future requisite. It can be anticipated that a substantial increase in the demand for carbonated vegetable oils should be met by the development of heterogeneous, or at least, recyclable catalysts with powerful reactivity profiles. As discussed in section 5.8, there is limited knowledge on the development of heterogeneous catalysts for the carbonation of epoxidized fatty acids, and up to now no viable catalyst has been developed for application in large-scale production. This may be related to the more challenging nature of internal epoxide conversion in the presence of CO₂ mediated by heterogeneous catalysts, making the latter much less efficient than their homogeneous counterparts.³³⁸ A different and promising approach to the development of recoverable and reusable catalysts for the carbonation of epoxidized fatty acids was recently proposed by Duguet *et al.* by using a thermomorphic polyethylene-supported catalyst.³³⁹ In this latter example, the polymeric catalyst is soluble in the reaction mixture ($T = 100$ °C) but insoluble in the product at room temperature. Therefore, it can essentially be recovered by filtration and its recyclability for the carbonation of epoxidized methyl oleate was indeed demonstrated. Whereas such polymeric catalysts are still limited by relatively modest molecular weights (~ 1000 g mol⁻¹) and low product stereoselectivity, the concept of using a catalyst that can be separated from the carbonated fatty acid after reaction is a promising molecular approach and could be further developed in the future.

An interesting direction for all the categories of biobased substrates presented in this account could be to merge flow catalysis approaches with biocarbonate synthesis, with catalyst recycling and a continuous operation mode helping to increase the overall sustainability. Parallel flow catalysis may help to scale up the preparation of any desired target, but market demands will likely determine which type of process will be

preferred to create critical amounts of the biocarbonate for eventual (commercial) use.

From the diversity and functionality of biobased structures that can be accessed *via* catalytic process, and the prospect of biocarbonates in various academic and commercial applications, a bright future is ahead of these CO₂ based cyclic carbonates.

Conflicts of interest

There are no conflicts to declare by the authors.

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