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Manipulating charge transfer excited state relaxation and spin crossover in iron coordination complexes with ligand substitution†

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Developing light-harvesting and photocatalytic molecules made with iron could provide a cost effective, scalable, and environmentally benign path for solar energy conversion. To date these developments have been limited by the sub-picosecond metal-to-ligand charge transfer (MLCT) electronic excited state lifetime of iron based complexes due to spin crossover – the extremely fast intersystem crossing and internal conversion to high spin metal-centered excited states. We revitalize a 30 year old synthetic strategy for extending the MLCT excited state lifetimes of iron complexes by making mixed ligand iron complexes with four cyanide (CN^-) ligands and one 2,2'-bipyridine (bpy) ligand. This enables MLCT excited state and metal-centered excited state energies to be manipulated with partial independence and provides a path to suppressing spin crossover. We have combined X-ray Free-Electron Laser (XFEL) $\text{K}\beta$ hard X-ray fluorescence spectroscopy with femtosecond time-resolved UV-visible absorption spectroscopy to characterize the electronic excited state dynamics initiated by MLCT excitation of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$. The two experimental techniques are highly complementary; the time-resolved UV-visible measurement probes allowed electronic transitions between valence states making it sensitive to ligand-centered electronic states such as MLCT states, whereas the $\text{K}\beta$ fluorescence spectroscopy provides a sensitive measure of changes in the Fe spin state characteristic of metal-centered excited states. We conclude that the MLCT excited state of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ decays with roughly a 20 ps lifetime without undergoing spin crossover, exceeding the MLCT excited state lifetime of $[\text{Fe}(2,2'\text{-bipyridine})_3]^{2+}$ by more than two orders of magnitude.

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Introduction

Understanding how ground state molecular structure dictates the electronic excited state behavior of inorganic complexes has the potential to enhance the performance of molecular-based materials for solar energy applications. Inorganic complexes have multiple properties – potential for strong visible light absorption and photocatalytic activity – that have motivated investigations of their electronic excited state properties,^{1–3} but the current understanding of the interplay between ground state structure and electronic excited state dynamics remains rudimentary. The important role of metal-centered excited states, often termed ligand field excited states,⁴ distinguishes the electronic excited state properties of transition metal-based coordination complexes and organic dyes. While selection rules make the cross-section for direct optical excitation of ligand field excited states very small, they often control the non-



adiabatic relaxation dynamics of optically generated charge transfer excited states.^{4,5} While these general properties indicate that controlling the relative energy of charge transfer and ligand field excited states becomes an important means to influence the electronic excited state dynamics of coordination complexes, which can be achieved by changing the coordinating ligand field strength, additional issues must be considered. Thermodynamically favorable electronic states can be avoided if the internal conversion or intersystem crossing does not have an energetically accessible path from the Franck–Condon region of the optically generated excited state. Only through a clear understanding of all the relevant electronic excited state potential energy surfaces can we acquire a thorough understanding of the molecular properties that control excited state relaxation. This would set the stage for controlling these excited state dynamics, either through the suppression of internal conversion and intersystem crossing in solar applications^{6–11} or accelerating spin crossover in data storage applications.^{12–14}

Characterizing the relaxation dynamics of charge transfer excited states with mechanistic detail represents an important initial step to determine how ground state molecular structure influences the dynamics of excited state internal conversion and intersystem crossing. A wide array of pump-probe measurements in pseudo-octahedral polypyridal iron coordination complexes shows that metal-to-ligand charge transfer (MLCT) excited states undergo internal conversion and intersystem crossing from the MLCT state to the metal-centered high spin quintet (⁵MC) excited state on a sub-picosecond time scale.^{7,15–29} This photo-excited spin crossover involves two electronic transitions and two electronic spin flips, a surprisingly large number of charge and spin density changes to occur so promptly. Nonetheless, the weight of experimental support for ultrafast spin crossover has eliminated all doubt about the ultrafast rate of spin crossover.^{7,15,18,19,21,22} More recent measurements and theoretical calculations have focused on the mechanism of spin crossover. Though still debated,³⁰ ultrafast X-ray fluorescence and theoretical calculations provide support for a stepwise spin crossover mechanism,^{22,31–33} where the MLCT excited state transitions to the ⁵MC excited state through a metal-centered triplet state (³MC). Determining whether MLCT excited state properties and spin crossover can be controlled with ligand substitution provides the focus of this manuscript.

The need to extend the excited state lifetime of iron-based dye sensitizers has long been appreciated, but progress has been slow. Winkler and Sutin investigated mixed ligand Fe complexes based on 2,2'-bipyridine and cyanide ligands.^{34,35} Substituting 2,2'-bipyridine ligands with larger ligand field strength cyanide anions enables the destabilization of the ligand field excited states while stabilizing the MLCT excited state. Initial studies indicated that MLCT excited state lifetimes could be extended, but the time resolution of the measurements made the result inconclusive. Ferrere and Gregg,^{10,11} and later Yang *et al.*,^{8,9} used these mixed ligand complexes as photo-sensitizers in dye-sensitized solar cells. The performance of these cells failed to compete with Ru dye sensitized cells, though the cause of the poorer performance remains unclear.

More recently, Sundström, Wärnmark and co-workers synthesized and characterized the excited state dynamics of a series of iron carbene complexes.^{36–38} These iron carbene sensitized cells showed high charge injection yields, but low power conversion due to fast electron–hole recombination.

We investigate the relaxation dynamics of MLCT excitations in $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ to determine the impact of ligand field strength on the relative energy of MLCT and ligand field excited states. From an electronic structure perspective, we need to robustly distinguish the relevant charge transfer and metal-centered electronic excited states and the rate with which they interconvert. We have achieved this goal by using two complementary methods to probe the ultrafast electronic relaxation dynamics initiated by a visible pump pulse. Using the X-ray Pump-Probe (XPP) endstation³⁹ of the Linear Coherent Light Source (LCLS) we have conducted femtosecond resolution iron 3p–1s ($\text{K}\beta$) X-ray fluorescence measurements. The transient $\text{K}\beta$ X-ray fluorescence allows us to track the time evolution of the Fe spin moment,^{40–44} as recently demonstrated for the spin crossover mechanism in $[\text{Fe}(\text{bpy})_3]^{2+}$.^{22,27,28} This technique provides a robust means of characterizing the role of ligand field excited states. We also use femtosecond UV-visible spectroscopy to track the decay dynamics for the MLCT excited state *via* the 2,2'-bipyridine anion excited state absorption and electronic ground state bleach. The characteristic excited state absorption of the 2,2'-bipyridine radical anion has proven to be a useful indicator of the MLCT excited state.^{7,18,38} These X-ray and optical probes complement one another and lead to a robust interpretation of the observed dynamics. From these measurements we demonstrate that spin crossover is suppressed for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ which leads to more than a hundred-fold increase in the MLCT excited state lifetime compared to $[\text{Fe}(\text{bpy})_3]^{2+}$.

Results and discussion

Fig. 1 shows the UV-visible absorption spectrum of $[\text{Fe}(\text{bpy})_3]^{2+}$ and $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$. Note the large red-shift of the MLCT excited state absorption features of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ relative to $[\text{Fe}(\text{bpy})_3]^{2+}$ indicative of MLCT excited state stabilization in $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ when dissolved in non-protic polar solvents.⁴⁵ We have photo-excited the molecule in the lowest energy MLCT excited state absorption band.

Fig. 2(A) shows the spin-dependent $\text{Fe K}\beta$ fluorescence spectra of Fe complexes with spin moments mirroring that of the ground state, and the possible excited states of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$. The $\text{Fe K}\beta$ fluorescence involves 3p filling of the 1s hole. The strong exchange interaction between the 3d electrons and the hole in the 3p level created by fluorescence makes the $\text{K}\beta$ fluorescence spectrum sensitive to the 3d spin moment.^{40,42–44,46} The $\text{K}\beta$ spectrum thus reflects the Fe contribution to the spin moment and shows little sensitivity to molecular symmetry for equal spin states,^{42,43} while the covalency of the metal-ligand bond does impact the $\text{K}\beta$ spectrum.⁴⁶ For this reason we construct reference spectra from complexes with 2,2'-bipyridine, porphyrin, phthalocyanine, and cyanide ligands to ensure similar bonding characteristics to $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ for use in the analysis as described in the ESI.† Subtracting the ground state reference spectrum



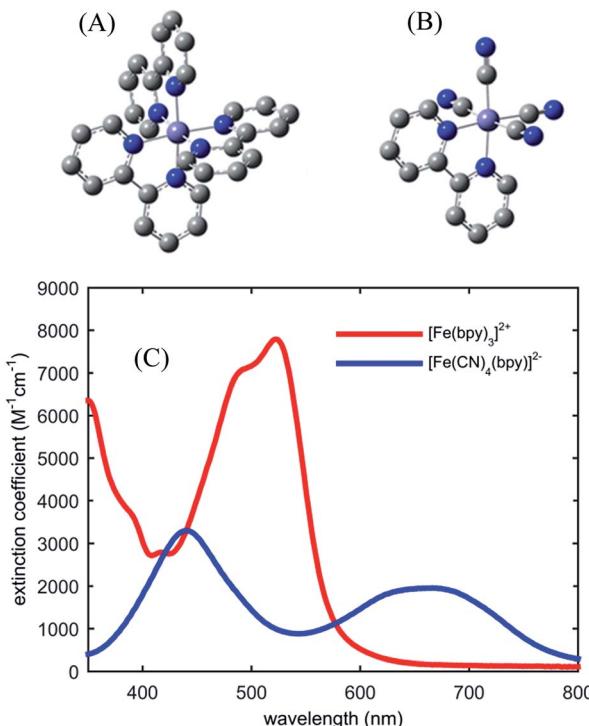


Fig. 1 Molecular structure of investigated iron coordination complexes (A) $[\text{Fe}(\text{bpy})_3]^{2+}$, (B) $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$. Hydrogen atoms are not shown. (C) The UV-visible absorption spectra of $[\text{Fe}(\text{bpy})_3]^{2+}$ (red) and $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ (blue) in dimethylsulfoxide.

from the spectra recorded for complexes with higher spin moment results in the reference difference spectra presented in Fig. 2(B). The amplitude and shape of the reference difference spectra provide key signatures for the ${}^1,{}^3\text{MLCT}$ ($\text{Fe } S = 1/2$), ${}^3\text{MC}$ ($\text{Fe } S = 1$), ${}^5\text{MLCT}$ ($\text{Fe } S = 3/2$), and ${}^5\text{MC}$ ($\text{Fe } S = 2$) excited states. Since the formal spin moment of the Fe center in both ${}^1\text{MLCT}$ and ${}^3\text{MLCT}$ states is a doublet ($\text{Fe } S = 1/2$) these two states are indistinguishable in the $\text{K}\beta$ spectrum. Furthermore, as the difference between ${}^1,{}^3\text{MLCT}$ and ${}^3\text{MC}$ reference spectra is mainly one of signal amplitude, additional information on the excited state cascade has to be established to robustly separate these two states. Thus for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$, where ${}^1\text{MLCT}$ excitation could lead to ${}^3\text{MLCT}$, ${}^3\text{MC}$ or ${}^5\text{MC}$ formation with a yet to be determined quantum yield, the joint application of both UV-visible and Fe $\text{K}\beta$ fluorescence spectroscopy proves crucial for a robust interpretation.

UV-visible absorption spectroscopy tracks changes in excited state populations and spectral densities through changes in optically allowed electronic transitions. The characteristic absorption of the 2,2'-bipyridine radical anion in the near UV proves particularly valuable for the present study.^{18,38,47} The appearance of this excited state absorption spectral signature indicates the presence of the MLCT excited state and allows the relaxation dynamics of the MLCT state to be measured. By simultaneously measuring the ground state bleach recovery, UV-visible pump-probe spectroscopy can be used to differentiate MLCT excited state decay to metal-centered excited states *versus* decay to the electronic ground state.

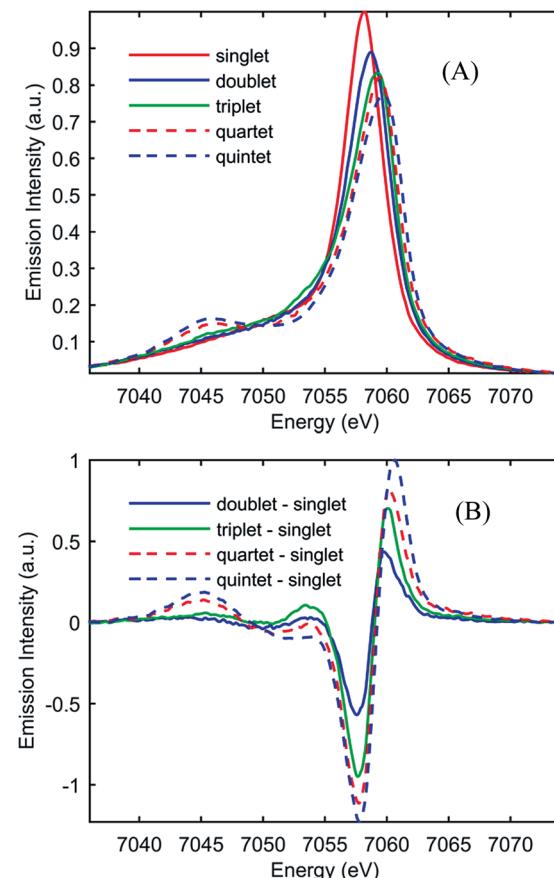


Fig. 2 (A) Model $\text{K}\beta$ fluorescence spectra for the ground-state and ${}^1\text{MLCT}$, ${}^3\text{MC}$, ${}^5\text{MLCT}$, and ${}^5\text{MC}$ excited states of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$. The model spectra are constructed from ground-state iron complexes with different spin moments: singlet: linear combination of $[\text{Fe}(\text{bpy})_3]^{2+}$ and $[\text{Fe}(\text{CN})_6]^{4-}$ (red), doublet: linear combination of $[\text{Fe}(\text{bpy})_3]^{3+}$ and $[\text{Fe}(\text{CN})_6]^{3-}$ (blue), triplet: iron(II)phthalocyanine (green), quartet: iron(III) phthalocyanine (dashed red), and quintet $[\text{Fe}(\text{phenanthroline})_2(\text{NCS})_2]$ (dashed blue). (B) Reference difference $\text{K}\beta$ spectra for the ${}^1\text{MLCT}$, ${}^3\text{MC}$, ${}^5\text{MLCT}$, and ${}^5\text{MC}$ excited states constructed from the ground-state model spectra by subtracting the singlet spectrum. For detailed discussion of the modeling of the difference spectra, see the ESI.†

We use a principle component analysis framework based on singular value decomposition for the UV-visible difference spectra.⁴⁸ Details of the data analysis can be found in the ESI.† Global analysis of the principle components returns decay associated spectra (DAS). When the DAS can be assigned to specific molecular species or excited states the time dependent amplitudes of the DAS provide a powerful means of characterizing excited state kinetics. While distinguishing between spectral dynamics associated with changes in population from those associated with intramolecular vibrational redistribution and solvation can prove challenging, we mitigate this weakness with the time-resolved $\text{K}\beta$ fluorescence measurements.

Fig. 3(A) shows the $\text{K}\beta$ difference spectra for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ dissolved in dimethyl sulfoxide and photo-excited at 650 nm, measured at 50 fs and 1.0 ps, while Fig. 3(B) shows a contour plot of the time dependent $\text{K}\beta$ difference spectra out to 1.5 ps. Within the signal to noise of our measurements, only the

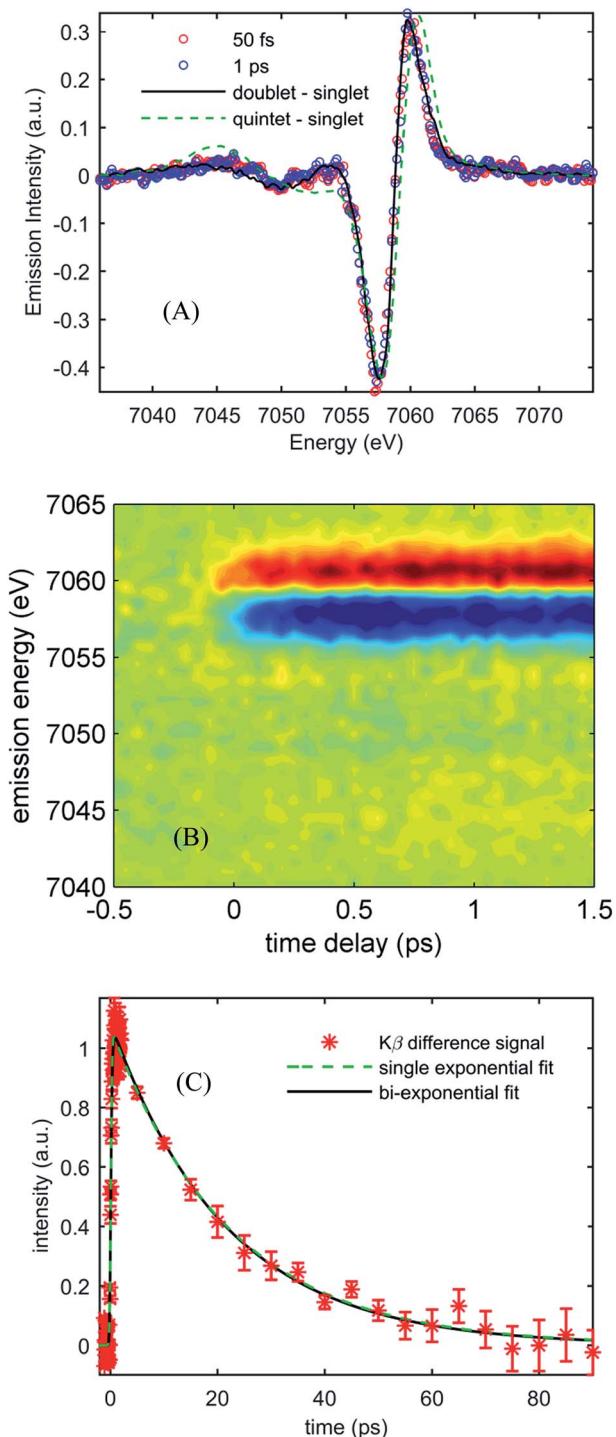


Fig. 3 (A) $\text{K}\beta$ transient difference spectra for 50 mM $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ in dimethyl sulfoxide obtained at 50 fs time delay (red circles) and 1 ps time delay (blue circles), fitted by the $^{1,3}\text{MLCT}$ reference spectrum (black curve) and the ^5MC reference spectrum (dashed green curve). (B) Contour plot of time-dependent optically-induced changes in $\text{K}\beta$ fluorescence difference spectra for 50 mM $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ in dimethyl sulfoxide for time delays up to 1.5 ps. (C) The integrated absolute value of the $\text{K}\beta$ fluorescence difference spectra as a function of time delay for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ in dimethyl sulfoxide, as well as single and bi-exponential fits to the data. The single exponential fit returns a 19 ± 2 ps lifetime.

amplitude, not the shape, of the difference spectra changes with time delay. The absence of changes in the $\text{K}\beta$ difference spectral shape makes the extraction of kinetics straight forward. Fig. 3(C) plots the time dependent absolute value of the difference spectra along with fits to a single- and bi-exponential decay (dashed green and black lines respectively). The single exponential decay fit has a lifetime of 19 ± 2 ps. The bi-exponential does not provide a statistically significant improvement to this fit, and the analysis shows that any secondary exponential component account for <10% of the observed dynamics. The observations that the shape of the $\text{K}\beta$ difference signal does not change, and that the difference signal amplitude is well-described by a single-exponential decay, strongly suggest that the excited state cascade is dominated by a single excited state species formed within the instrument response of our measurement. Fitting the transient spectra in Fig. 3(A) with the model difference spectra in Fig. 2 returns reduced χ^2 values of 1.4, 2.7, 4.6, and 9.8 for the $^{1,3}\text{MLCT}$ ($\text{Fe } S = 1/2$, black curve), ^3MC ($\text{Fe } S = 1$), $^5\text{MLCT}$ ($\text{Fe } S = 3/2$) and ^5MC ($\text{Fe } S = 2$, dashed green curve) reference difference spectra. The large χ^2 for the fits to the $^5\text{MLCT}$ and ^5MC difference spectra enable these excited states to be ruled out. While assigning the excited state population to a $^{1,3}\text{MLCT}$ excited state provides the best fit to the experimental findings, the ^3MC excited state also provides a viable fit to the experiment given the limitations associated with an analysis based on model difference spectra. Given the potential for extremely fast intersystem crossing between $^{1,3}\text{MLCT}$ and ^3MC excited states, we may not be temporally resolving the $^{1,3}\text{MLCT}$ to ^3MC transition. Consequently, the ability of a single exponential decay to fit the data cannot be used to rule out the presence of a ^3MC excited state.

Fig. 4(A) shows the UV-visible difference spectra measured at time delays of 50 fs and 1.0 ps for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ dissolved in dimethyl sulfoxide and photo-excited at 650 nm. These spectra show an excited state absorption at 370 nm associated with the 2,2'-bipyridine radical anion, which we assign to a MLCT excited state. Unlike $[\text{Fe}(\text{bpy})_3]^{2+}$, the signature absorption of the 2,2'-bipyridine radical anion does not disappear on the sub-picosecond time scale, but persists throughout the excited state lifetime. The persistence of the 370 nm excited state absorption feature is reflected in the two decay associated spectra resulting from the global analysis, shown in Fig. 4(B). The lifetimes associated with the decay associated spectra are 2.4 ± 0.4 ps and 19.0 ± 1.1 ps for DAS1 and DAS2 respectively. Fig. 4(C) presents the kinetics of the excited state absorption at 370 nm and the ground state bleach at 440 nm fit with single- and bi-exponential decays (dashed green and black lines respectively). The bi-exponential yields significantly better fits with 2.5 ± 0.5 ps and 18.5 ± 0.9 ps time constants, similar to the time constants extracted from the global analysis.

Robust assignment of the observed dynamics requires consideration of both measurements. First, the $\text{K}\beta$ fluorescence measurements show no dynamics on the few ps time scale. The insensitivity of $\text{K}\beta$ fluorescence spectra to molecular geometry strongly supports the conclusion that the 2.5 ± 0.5 ps dynamics result from spectral changes due to intra- and inter-molecular vibrational redistribution and solvation dynamics, not from

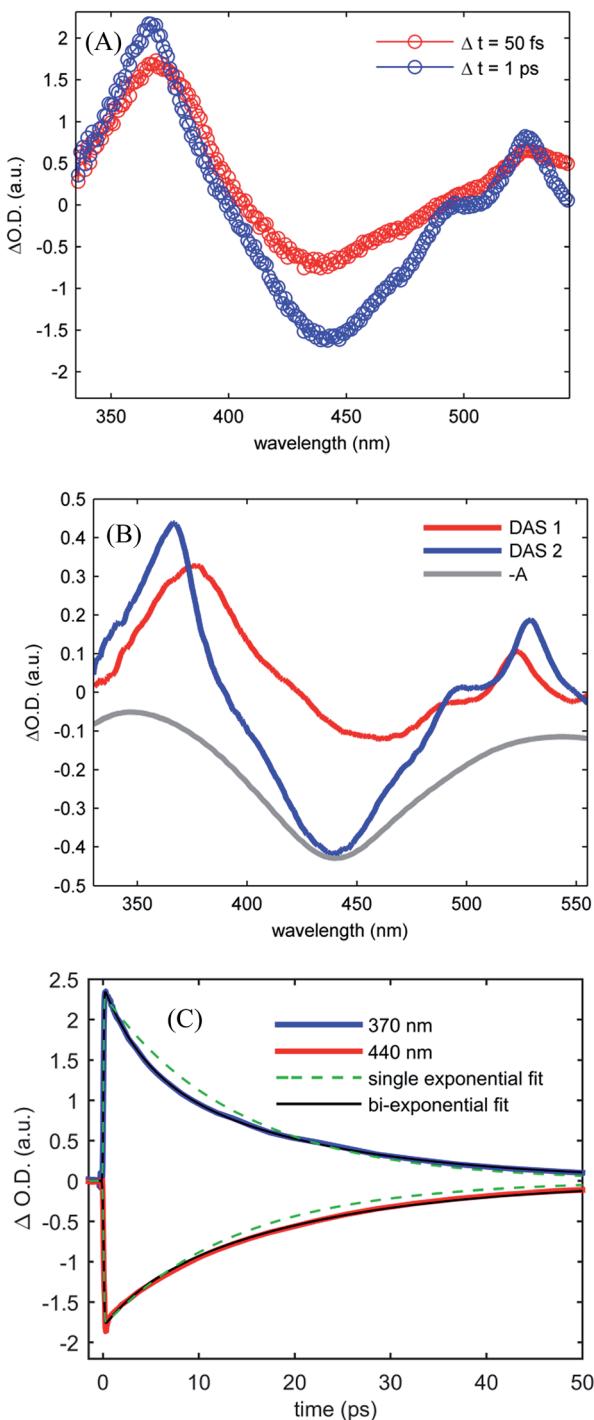


Fig. 4 (A) Transient UV-visible absorption spectra obtained at 50 fs time delay (red curve) and 1 ps time delay (blue curve) for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ in dimethyl sulfoxide. (B) The two decay associated spectra returned by global analysis of the data (red and blue curves) with lifetimes of 2.4 ± 0.4 ps and 19.0 ± 1.1 ps, are shown with the inverted ground state UV visible absorption spectrum (gray curve). (C) Kinetics of the UV visible pump-probe data at 370 nm and 440 nm (red and blue curves) with single- and bi-exponential fits (green dashed and black curves respectively) returning 14 ps lifetime for the single exponential decay and 2.5 ± 0.5 ps and 18.5 ± 0.9 ps lifetimes for the double exponential decay.

excited electronic state population dynamics. Both measurements show a complete recovery of the ground state signal with a 19 ps lifetime, meaning that the excited state cascade is dominated by the decay of a single species. This slower decay has the characteristic absorption at 370 nm associated with the MLCT excited state and leads to a full recovery of the ground state bleach. These observations support the conclusion that the MLCT excited state decay does not lead to the formation of metal-centered excited states, a conclusion consistent with the spectral shape of the $\text{K}\beta$ fluorescence difference spectrum. Taken together, we conclude that the MLCT excited state of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ has a 19 ps lifetime.

Density functional theory (DFT) calculations were carried out to map the excited state potentials for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ using Gaussian 09 (ref. 49) with PBE0/6-311G(d,p) and a complete DMSO polarizable continuum model (PCM) using the procedure described elsewhere.³⁸ The energy levels of cyano containing transition metal complexes are influenced by the Lewis acidity of the solvent (quantified by its Gutmann acceptor number).⁵⁰ While such specific solvent interactions are not accounted for within PCM, the relatively low Gutmann acceptor number of the DMSO solvent minimizes the impact of this approximation. The projected potential energy surfaces (PPES) of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ appear in Fig. 5. Comparison to the potential energy surfaces calculated⁵¹ for $[\text{Fe}(\text{bpy})_3]^{2+}$ with methods yielding similar results to the ones employed here shows that the substitution of 2,2'-bipyridine with the stronger ligand field CN^- groups effectively increases the energy of the both the ground state and Fe-based ligand field excited states relative to the $^3\text{MLCT}$ excited state. The 1 eV energy difference between the ground state and $^3\text{MLCT}$ state calculated for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ is considerably smaller than the 2.5 eV difference of $[\text{Fe}(\text{bpy})_3]^{2+}$, consistent with the red-shift observed in the optical absorption spectrum. The calculations show ^3MC and ^5MC states with

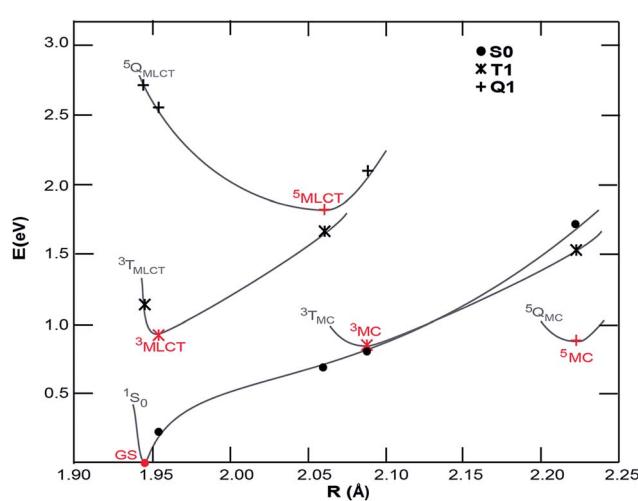


Fig. 5 Projected potential energy surfaces (PPESs) versus the average Fe–ligand bond distances, R , for $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$. Red points are optimized minima of the ground state, and potential excited state configurations. Black points are single-point energies calculated at the minimum geometries (S_0 , singlet state; T_1 , triplet states; Q_1 , quintet states). The gray lines schematically show the PPESs.



similar minimum energies which are significantly geometrically different to the MLCT state, making the excited state PPES qualitatively similar to those calculated for the iron carbene systems reported to have picosecond MLCT lifetimes^{37,38} and distinct from those calculated for $[\text{Fe}(\text{bpy})_3]^{2+}$ for which the minimum energy of the ^3MC and ^5MC states are significantly lower than the MLCT states.^{31,32} The PPES of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ thus provide a potential explanation for the observed relaxation dynamics; the destabilization of the ^3MC and ^5MC states relative to the $^3\text{MLCT}$ state significantly extends the $^3\text{MLCT}$ lifetime, meanwhile the very similar energies of the GS and ^3MC at the ^3MC minimum results in fast deactivation from the ^3MC state to the ground state, inhibiting build-up of any significant fraction of excited ^3MC and ^5MC states in $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$.

Conclusions

A combination of femtosecond resolution UV-visible and K β fluorescence spectroscopy has allowed the robust characterization of the electronic excited state dynamics of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$. Based on the experimental data and analysis, we conclude ligand substitution increases the MLCT excited state lifetime of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ by more than two orders of magnitude compared to $[\text{Fe}(\text{bpy})_3]^{2+}$. In addition to the extension of the MLCT excited state lifetime, we observe no experimental evidence for ^3MC or ^5MC excited state formation in the time-resolved UV-visible or K β fluorescence spectra. Density functional theory (DFT) calculations provide a rationale for both the lengthening of the MLCT excited state lifetime and the absence of long lived metal-centered excited states, as arising from a significant destabilization of the metal-centered excited states relative to the $^3\text{MLCT}$ state.

With a lifetime of roughly 20 ps, intramolecular electronic excited state relaxation will not compete with typical rates of interfacial charge injection in dye sensitized solar cells.⁵²⁻⁵⁶ While the origin of the relatively poor performance of $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ sensitized solar cells remains uncertain, our results rule out ultrafast spin crossover as an explanation. Yang *et al.*^{8,9} demonstrated charge injection from $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ into TiO_2 , ruling out thermodynamic driving force as a potential explanation. Perhaps fast charge recombination limits the performance, as seen for iron-carbene sensitized solar cells.⁵⁷

In summary, our study presents $[\text{Fe}(\text{CN})_4(\text{bpy})]^{2-}$ as a bench mark molecule for interpreting the excited state dynamics of iron-centered systems designed for solar energy applications, as well as an intuitive illustration of how the manipulation of excited state levels can extend the MLCT lifetime of such systems by orders of magnitude. More importantly, we show how the joint application of femtosecond resolution optical and X-ray spectroscopies can provide a general, detailed and robust characterization of electronic excited state dynamics in complex molecular systems. Such robust characterization is of key importance for the evaluation of novel molecular systems for photosensitization and photocatalysis where UV-visible pump-probe measurements struggle to robustly characterize the nature of

the involved excited states.³⁸ Furthermore such detailed characterization can act as verification of quantum chemical simulations of excited electronic state phenomena.

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