



**Extending Surface Enhanced Raman Spectroscopy (SERS) of  
Atmospheric Aerosol Particles to the Accumulation Mode  
(150-800 nm)**

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### Environmental Significance Statement

Aerosols act as a surface for heterogeneous reactions and multiphase processes in the atmosphere, which impacts their climate-relevant properties (scattering, absorption, and cloud droplet and ice crystal nucleation). Raman microspectroscopy is able to probe organic and inorganic functional groups at ambient temperature and pressure, but is challenging to apply to aerosols critical to climate since the most abundant sizes by number in the atmosphere (~100 nm) are smaller than the diffraction limit of visible light. We show that surface enhanced Raman spectroscopy (SERS) can extend analysis of atmospheric particles down to 150 nm, revealing new chemical detail about accumulation mode particles in the atmosphere and the potential to enable future studies of the complex chemistry occurring at aerosol surfaces.

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3 **1 Extending Surface Enhanced Raman Spectroscopy (SERS) of Atmospheric Aerosol**  
4 **2 Particles to the Accumulation Mode (150-800 nm)**  
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3 14 **Abstract (50-250 words)**  
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5 15 Due to their small size, measurements of the complex composition of atmospheric  
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7 16 aerosol particles and their surfaces are analytically challenging. This is particularly true for  
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9 17 microspectroscopic methods, where it can be difficult to optically identify individual particles  
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11 18 smaller than the diffraction limit of visible light (~350 nm) and measure their vibrational modes.  
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13 19 Recently, surface enhanced Raman spectroscopy (SERS) has been applied to the study of aerosol  
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15 20 particles, allowing for detection and characterization of previously undistinguishable vibrational  
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17 21 modes. However, atmospheric particles analyzed via SERS have primarily been > 1  $\mu\text{m}$  to date,  
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19 22 much larger than the diameter of the most abundant atmospheric aerosols (~100 nm). To push  
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21 23 SERS towards more relevant particle sizes, a simplified approach involving Ag foil substrates  
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23 24 was developed. Both ambient particles and several laboratory-generated model aerosol systems  
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25 25 (polystyrene latex spheres (PSLs), ammonium sulfate, and sodium nitrate) were investigated to  
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27 26 determine SERS enhancements. SERS spectra of monodisperse, model aerosols between 400-  
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29 27 800 nm were compared with non-SERS enhanced spectra, yielding average enhancement factors  
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31 28 of  $10^2$  for both inorganic and organic vibrational modes. Additionally, SERS-enabled detection  
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33 29 of 150 nm size-selected ambient particles represent the smallest individual aerosol particles  
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35 30 analyzed by Raman microspectroscopy to date, and the first time atmospheric particles have been  
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37 31 measured at sizes approaching the atmospheric number size distribution mode. SERS-enabled  
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39 32 detection and identification of vibrational modes in smaller, more atmospherically-relevant,  
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41 33 particles has the potential to improve understanding of aerosol composition and surface  
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43 34 properties, as well as their impact on heterogeneous and multiphase reactions involving aerosol  
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## 1 Introduction

Atmospheric aerosol particles impact climate by scattering and absorbing solar radiation and acting as cloud condensation and ice nuclei, which modify cloud properties and precipitation.<sup>1-8</sup> However, these impacts are difficult to quantify due to the complex physicochemical properties of aerosols,<sup>8-10</sup> particularly in terms of chemical composition and mixing state.<sup>9,11-19</sup> This is complicated by the fact that individual particles can contain hundreds to thousands of different chemical species from different sources and atmospheric aging.<sup>8</sup> An important example of aerosol chemical complexity is secondary organic aerosol (SOA), which forms when low volatility oxidation products of volatile organic compounds (VOCs) condense onto or heterogeneously react with existing aerosol particles containing inorganic salts (e.g. ammonium sulfate).<sup>20-22</sup> Though it is known that these particles contain both organic and inorganic components, particle-to-particle variability in chemical composition and mixing state due to different multiphase processes in the atmosphere are not well understood.<sup>20,23,24</sup> In addition, aerosols can have intraparticle chemical variability through processes such as liquid-liquid phase separations.<sup>24-28</sup> More detailed investigations of particle chemical composition and surface properties are needed to improve understanding of multiphase processes,<sup>29,30</sup> such as heterogeneous reactions occurring on surfaces,<sup>31-33</sup> water uptake,<sup>34,35</sup> viscous particles,<sup>36-38</sup> phase transitions,<sup>39,40</sup> and gas-particle partitioning.<sup>41,42</sup>

Over the past decade, Raman microspectroscopy has been increasingly applied as an analytical technique for chemical characterization of aerosol particles.<sup>9,43-46</sup> This technique uses inelastically scattered light to detect vibrational modes present within a sample, which can then be used to identify functional groups and chemical species. Raman microspectroscopy has been applied to characterize many different particle types, such as sea spray and other marine

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3 59 aerosol,<sup>44,47-49</sup> soot and elemental carbon (EC) particles,<sup>50-53</sup> mineral dust,<sup>53-56</sup> and SOA,<sup>46</sup> as  
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5 60 well as specific compounds commonly found in aerosols, including biological molecules<sup>57</sup> and  
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7 61 various organic compounds like organic nitrates,<sup>58</sup> organosulfates,<sup>59</sup> and glyoxal oligomers.<sup>60</sup>  
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10 62 Raman analysis has also been used to study hygroscopic properties,<sup>61-65</sup> phase separations,<sup>66-68</sup>  
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12 63 heterogeneous reactions,<sup>49,69,70</sup> ice nucleation,<sup>71</sup> and acidity of aerosols.<sup>72,73</sup> Advantages of this  
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14 64 technique include minimal sample preparation and non-destructive analysis under ambient  
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16 65 temperature and relative humidity (RH) conditions. However, detection limits in terms of both  
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18 66 particle size and analyte concentrations can make Raman microspectroscopic studies of aerosol  
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20 67 particles challenging. The majority of aerosol particles, particularly those with long atmospheric  
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22 68 lifetimes that react and undergo atmospheric processing, are smaller than 1  $\mu\text{m}$ , but Raman  
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24 69 microspectroscopy has been applied mostly to the study of individual particles larger than 1  $\mu\text{m}$ ,  
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26 70 often 10-30  $\mu\text{m}$ , because it is difficult to optically distinguish smaller particles due to the  
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28 71 wavelengths commonly used for Raman analysis (532 or 640 nm) and the diffraction limit of  
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30 72 optical microscopy (300-400 nm). Furthermore, even in supermicron atmospheric particles,  
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32 73 chemical species are often present in very low concentration, making it difficult to detect Raman  
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34 74 signal with enough intensity to determine the identity of vibrational modes and corresponding  
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36 75 functional groups. Lastly, important particle properties, such as deliquescence relative humidity  
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38 76 can be size-dependent, limiting the ability to translate studies on large particles to  
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40 77 atmospherically relevant sizes.<sup>74</sup> If SERS can be used to overcome the detection limit challenges  
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42 78 associated with small particle sizes, the potential for Raman microspectroscopic analysis of  
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44 79 aerosol particles to improve understanding of chemical composition and mixing state will greatly  
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46 80 increase.  
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3 81 Surface enhanced Raman spectroscopy (SERS) has been used to improve the limit of  
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5 82 detection of low concentration chemical species,<sup>75</sup> all the way to single molecules.<sup>76</sup> Through  
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8 83 SERS, weak Raman signals are enhanced via interactions with localized surface plasmon  
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10 84 resonances (LSPRs), which are generated by excited electrons in metallic substrates.<sup>75,77–80</sup> The  
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12 85 metallic substrates are often silver, gold, or copper and can be in the form of foils, geometric  
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14 86 nanoparticles, and colloids.<sup>78,80,81</sup> SERS has applications in many different fields, from  
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17 87 biosensing to art preservation, and enhancement factors (EFs) for vibrational intensities of  
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19 88 analytes are reported that range from  $10^2 - 10^{10}$ .<sup>75,77–80,82,83</sup> The volumes that experience SERS  
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21 89 enhancements are small and typically located less than 5-10 nm from a hotspot, which shows the  
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24 90 potential to measure submicron particles that are challenging to identify optically, since a  
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26 91 detected SERS-enhanced spectrum originates from a such a small area, it most likely  
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28 92 corresponds to an individual particle at low substrate loadings (e.g. no particle overlap). In the  
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31 93 future, SERS hotspots could be used to probe phenomena localized near aerosol surfaces. With  
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33 94 SERS, limitations of optically distinguishing particles for analysis and detection of chemical  
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35 95 species present in trace amounts within aerosol particles can be overcome.

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38 96 Prior to 2015, only a few preliminary, qualitative studies had used SERS for aerosol  
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40 97 analysis, with a focus on bioaerosols.<sup>84–86</sup> Since 2015, SERS has been applied to the study of  
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42 98 aerosol particles more broadly and in a more quantitative manner. In 2015, Craig et al. used  
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44 99 silver nanoparticle coated quartz substrates to investigate both ammonium sulfate and sodium  
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47 100 nitrate aerosol particle standards as well as ambient aerosol.<sup>87</sup> In 2016, Fu et al. used Klarite, a  
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49 101 commercially available Au substrate of structured gold inverted pyramids, to study mixed  
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51 102 ammonium sulfate and naphthalene particles.<sup>88</sup> A few variations of SERS, such as tip-enhanced  
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54 103 Raman spectroscopy (TERS),<sup>89</sup> electrospray SERS (ES-SERS),<sup>90</sup> and surface-enhanced

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3 104 resonance Raman spectroscopy (SERRS) of trapped and suspended particles,<sup>91</sup> have also been  
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5 105 applied to study aerosol particles. Reported EFs ranged from 2.0 – 70 for  $\nu_s(\text{SO}_4^{2-})$ ,  $\nu_s(\text{NO}_3^-)$ ,  
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7 106  $\nu(\text{C-H})$ ,  $\nu(\text{O-H})$ , and  $\delta(\text{C-C})$  vibrational modes<sup>87,88,90</sup> and  $10^5$  for vibrational modes of  
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10 107 Rhodamine 590 chloride (R6G), a dye with a large scattering cross-section commonly used for  
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12 108 SERS studies.<sup>91</sup> However, most particles probed in these studies were supermicron, not in the  
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14 109 submicron size range most abundant for ambient aerosol, and further work is needed to increase  
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16 110 EFs for vibrational modes corresponding to more atmospherically-relevant chemical compounds.  
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19 111 In this study, silver SERS substrates, including Ag nanoparticles and commercial Ag foil,  
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21 112 were tested with both organic and inorganic species commonly observed in aerosols.  
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23 113 Additionally, laboratory-generated and ambient aerosol particles  $<1 \mu\text{m}$  were analyzed to explore  
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25 114 the lower limit in terms of particle size for SERS using these simple methods. The results of this  
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27 115 study highlight the potential for SERS analysis of aerosol particles with atmospherically-relevant  
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29 116 sizes (down to 150 nm) to improve understanding of chemical composition, mixing state, and  
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31 117 reactions occurring on aerosol surfaces that impact aerosol climate effects.  
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## 34 118 **2 Experimental**

### 35 119 **2.1 Materials and Reagents**

36 120 Quartz slides (Ted Pella, Inc.) and silver foil (ESPI Metals) were purchased and used as  
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38 121 substrates. Silver nitrate ( $\text{Ag}(\text{NO}_3)_2$ ) (Sigma-Aldrich), hydroxylamine hydrochloride (Sigma-  
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40 122 Aldrich), and sodium hydroxide (Fischer) were used for silver nanoparticle (AgNP) synthesis  
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42 123 (described below). Polystyrene latex sphere (PSL) standards (Polysciences, Inc.), ammonium  
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44 124 sulfate ( $(\text{NH}_4)_2\text{SO}_4$ ) (Alfa Aesar), and sodium nitrate (Sigma Aldrich) were used as model  
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46 125 aerosol systems. All chemicals were  $>98\%$  purity and used without further purification.  
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### 53 126 **2.2 Substrate Preparation**



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3 127 Quartz coverslips were cut and cleaned prior to use as either directly as substrates or as a  
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5 128 base for a silver nanoparticle (AgNP) SERS substrates. AgNPs were synthesized by reducing  
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7 129 silver nitrate with hydroxylamine hydrochloride, according to the method by Leopold and  
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10 130 Lendl.<sup>92</sup> The resulting colloidal solution of AgNPs was drop-coated onto clean quartz slides and  
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12 131 dried in a desiccator to create SERS substrates in the same manner as Craig et al.<sup>87</sup> Size  
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14 132 distribution data collected via Nanoparticle Tracking Analysis (NTA)<sup>93–95</sup> for the AgNPs prior to  
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17 133 dropcoating is included in the Supplementary Information. Silver foil (0.002” thick, ESPI  
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19 134 Metals) was cut for use as SERS substrates. Microscopy characterization of the Ag foil is  
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21 135 included in the Supplementary Information.

### 24 136 **2.3 Laboratory-Generated Aerosol Particle Samples**

26 137 Organic particle standards were generated by aerosolizing colloidal solutions of PSLs of  
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28 138 varying size (400 nm, 600 nm, and 800 nm). Prior studies have analyzed PSLs with SERS going  
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30 139 back to the 1990’s,<sup>96</sup> providing useful reference points for Raman studies focused on aerosol  
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33 140 particles. Inorganic aerosol particle standards were generated by aerosolizing solutions of 30 mM  
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35 141 (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> or 30 mM NaNO<sub>3</sub>. All solutions were made with 18.3 MΩ Milli-Q water.  
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37 142 Aerosolization was conducted with a Collison nebulizer operated with HEPA-filtered air and the  
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39 143 generated aerosol was passed through two diffusion dryers to remove excess water before being  
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42 144 impacted onto substrates with a microanalysis particle sampler (MPS-3, California Instruments,  
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44 145 Inc.). Prior to impaction, the inorganic standard particles were size-selected at 400 nm, 600 nm,  
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46 146 or 800 nm (electrical mobility diameter) with an electrostatic classifier (Model 3080, TSI  
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48 147 Corporation) equipped with a long differential mobility analyzer (Model 3082, TSI Corporation)  
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50 148 at sample to sheath flow ratio of 1:8.3 (0.3 to 2.5 lpm)

### 54 149 **2.4 Ambient Aerosol Particle Samples**

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3 150 Ambient samples were collected from outside the University of Michigan Chemistry  
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5 151 Building (42.2783° N, 83.7372° W). Samples were size selected at 150 nm (electrical mobility  
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7 152 diameter) with an electrostatic classifier (Model 3080, TSI Corporation) and then collected on  
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9 153 Ag foil via impaction with the MPS-3. Each sample was collected for ~1 hour.

## 12 154 **2.5 Raman Microspectroscopy**

14 155 Raman analysis was performed with a Horiba Labram HR Evolution Raman spectrometer  
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16 156 (Horiba Scientific) coupled to a confocal optical microscope (100x Olympus objective, 0.9  
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18 157 N.A.). The spectrometer was equipped with a Nd:YAG laser source (50mW, 532nm) and a CCD  
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20 158 detector. A 600 groove/mm diffraction grating yielded spectral resolution of 1.8 cm<sup>-1</sup>.  
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22 159 Calibration was carried out daily against the Stokes Raman signal of a pure silicon standard at  
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24 160 520 cm<sup>-1</sup>. Laser power was attenuated with neutral density (ND) filters ranging from 1 to 100 to  
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26 161 prevent sample damage. Spectra were collected for the range of 500 to 4000 cm<sup>-1</sup> for 3  
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28 162 accumulations with 15 s acquisition time. 8-15 particles were analyzed for each sample. Raman  
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30 163 maps were collected with computer-controlled XY Raman mapping, with spectra recorded using  
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32 164 a point-by-point scanning mode with a 0.25 μm step size. Map spectra were also collected from  
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34 165 500 to 4000 cm<sup>-1</sup> for 3 accumulations with 15 s acquisition time. Each spectrum collected during  
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36 166 a map acquisition was matched to its corresponding location in an optical image to determine if it  
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38 167 represented an aerosol particle. Samples were exposed to ambient relative humidity and  
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40 168 temperature conditions during Raman analysis (~23°C and 40-60% RH).

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42 169 SERS EFs were calculated for vibrational modes of interest according to Equation 1,  
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44 170  $I_{\text{SERS}}$  and  $I_{\text{RS}}$  are the Raman signal under SERS and non-SERS conditions, respectively, and  $c_{\text{SERS}}$   
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46 171 and  $c_{\text{RS}}$  are the analyte concentration under SERS and non-SERS conditions, respectively.<sup>97</sup>

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54 172 Eq. 1 
$$\text{EF} = \frac{I_{\text{SERS}}/c_{\text{SERS}}}{I_{\text{RS}}/c_{\text{RS}}} = \frac{I_{\text{SERS}}}{I_{\text{RS}}}$$

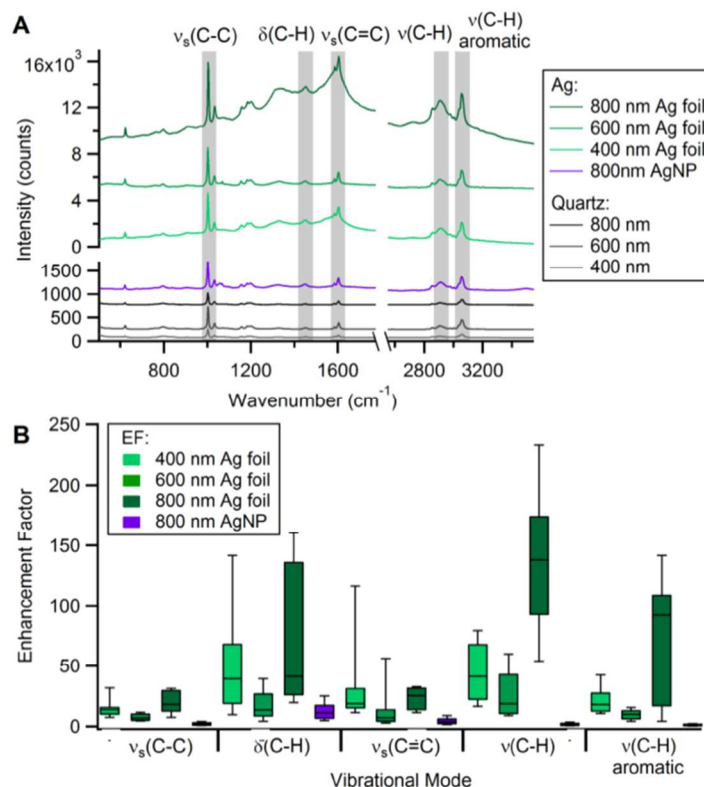
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3 173 Since sample preparation for SERS and non-SERS conditions was identical, the concentration  
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5 174  $c_{\text{SERS}}$  can be assumed to be equal to  $c_{\text{RS}}$  and the equation to calculate EFs can be simplified to a  
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8 175 comparison of  $I_{\text{SERS}}$  and  $I_{\text{RC}}$ .  $I_{\text{SERS}}$  and  $I_{\text{RC}}$  are represented by the integrated peak area for the  
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10 176 respective vibrational modes. Integrated peak areas were determined along the natural baseline  
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12 177 of the spectra using a multipeak fitting software package (Igor Pro, WaveMetrics). The average  
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14 178 integrated peak area for Raman spectra collected under non-SERS conditions was used for  $I_{\text{RC}}$ .

### 179 3 Results and Discussion

180 Laboratory-generated aerosol particle standards were used to investigate enhancement  
181 from various Ag SERS substrates, including AgNP coated quartz and Ag foil, and their  
182 application to aerosol particle studies, specifically particles  $<1 \mu\text{m}$ . Raman spectra of standard  
183 PSL particles sized 400 nm, 600 nm, and 800 nm collected from each substrate showed that,

184 while both Ag SERS substrates  
185 enhanced the Raman signal across  
186 all vibrational modes, Ag foil  
187 yielded significantly higher EFs  
188 (Figure 1). Raman spectra were  
189 successfully collected for PSL

190 particles of all sizes on the Ag foil  
191 and quartz substrates, but due to low  
192 intensity and the resulting small  
193 enhancement, only the 800 nm PSLs  
194 were tested with the AgNP  
195 substrate. Even though Raman



**Figure 1.** (A) Average Raman spectra for 400 nm, 600 nm, and 800 nm PSL particles on quartz, Ag foil, and AgNP substrates. (B) Box and whisker plot of calculated EFs for vibrational modes of interest for all particle sizes. The center line represents the median, the box outlines the inner quartiles, and the whiskers represent the 10<sup>th</sup> and 90<sup>th</sup> percentile.

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3 196 signal was detected for PSL particles on plain quartz substrate, intensity was very low and  
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5 197 vibrational modes were difficult to distinguish from background noise, particularly for the 400  
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8 198 nm sized particles. For the 800 nm PSL particles, EFs for the symmetric ring stretching modes,  
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10 199  $\nu_s(\text{C-C})^{98-100}$  at  $1000\text{ cm}^{-1}$  and  $\nu_s(\text{C=C})^{98-100}$  at  $1602\text{ cm}^{-1}$ , ranged for 7 to 32 and 11 to 33,  
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12 200 respectively, for the Ag foil and 1 to 4 and 2 to 9 for the AgNP substrate, respectively. In  
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15 201 comparison to the ring stretching modes, C-H bending and stretching modes showed greater  
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17 202 enhancement in response to the SERS effect. EFs for the C-H bending mode ( $\delta(\text{C-H})$ ,  $1452\text{ cm}^{-1}$ ),  
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19 203  $\nu_s(\text{C-C})^{98,99}$ , C-H stretching mode ( $\nu(\text{C-H})$ ,  $2908\text{ cm}^{-1}$ ),<sup>98,99</sup> and aromatic C-H stretching mode ( $\nu(\text{C-H})$   
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21 204 aromatic,  $3054\text{ cm}^{-1}$ )<sup>98,99</sup> ranged from 20 to 161, 54 to 233, and 4 to 142, respectively, for the Ag  
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24 205 foil and 5 to 26, 1 to 4, and 1 to 3, respectively, for the AgNP substrate. The EF values for the  
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26 206 AgNP substrate samples are consistent with previously reported EF values for  $(\text{NH}_4)_2\text{SO}_4$  and  
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28 207  $\text{NaNO}_3$  standard particles analyzed with SERS using similar substrates.<sup>87</sup> SERS enhancement  
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30 208 was observed for the 400 and 600 nm sized particles on Ag foil and EFs for the five PSL  
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33 209 vibrational modes ranged from 4 to 151, with the  $\delta(\text{C-H})$  and  $\nu(\text{C-H})$  modes again exhibiting the  
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36 210 largest enhancements. All Raman spectra, for PSL particles on both quartz and Ag foil, are  
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38 211 provided in the Supplementary Information. It should be noted that both the 400 nm and 600 nm  
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40 212 particles are smaller than the 721 nm diameter of the laser spot (for a 532 nm laser with 0.9 N.A.  
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42 213 objective).

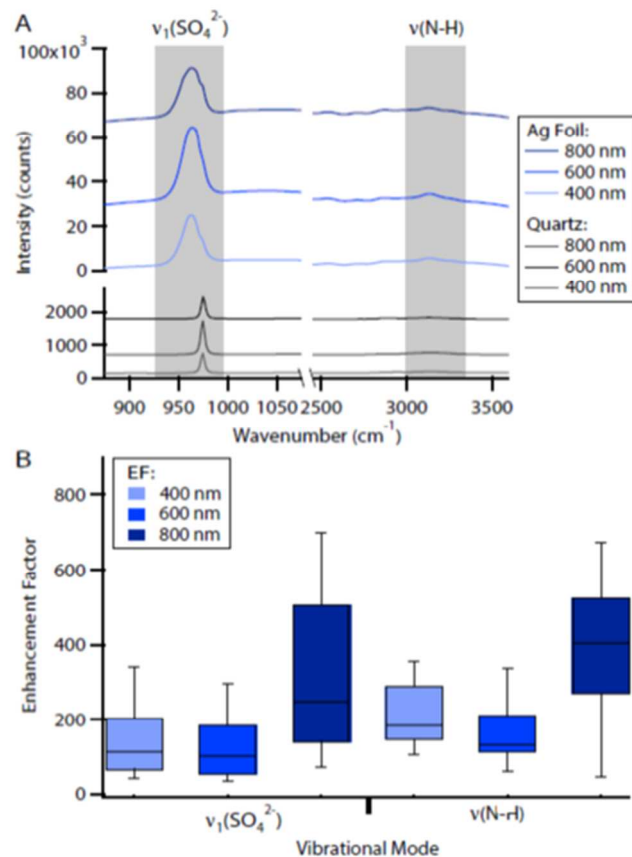
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45 214 The large variability in EFs, particularly evident for the Ag foil samples, could be  
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47 215 attributed to varying degrees of coupling between LSPRs with analytes depending on location of  
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49 216 the particle with respect to the SERS-enhanced volume on the rough surface of the Ag foil or  
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51 217 inconsistent distribution of AgNPs. Preliminary work testing several other Ag SERS substrates is  
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53 218 included in the Supplementary Information and results were, at best, comparable to the AgNP  
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3 219 enhancement shown here. Despite the variability in enhancement, the Ag foil substrate yielded  
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5 220 the highest EFs and thus, was used as the SERS substrate for all subsequent experiments in this  
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8 221 work.

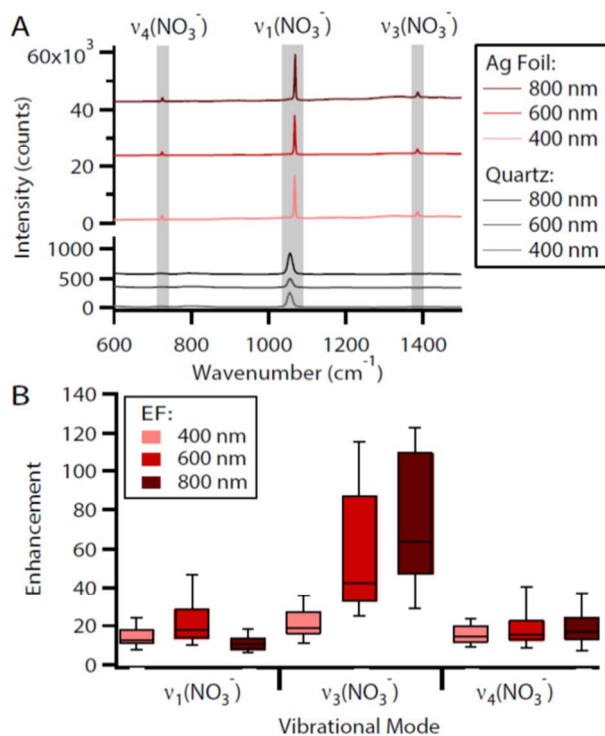
9  
10 222 Across all vibrational modes, there was no clear relationship between particle size and  
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12 223 enhancement. Enhancement was expected to increase with increasing particle size, as the higher  
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14 224 number of analyte molecules present in the larger particles could enable more opportunities for  
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17 225 coupling to LSPRs and lead to greater enhancement of the Raman signal. This was observed  
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19 226 somewhat for the largest and smallest sized PSL particles analyzed, as the 800 nm particles were  
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21 227 consistently more enhanced than the 400 nm particles, but the 600 nm particles exhibited the  
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24 228 lowest levels of enhancement. The cause for the low enhancement observed for the 600 nm PSL  
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26 229 particles is unclear at this time, but could possibly be due to PSL quality, crowding effects, or the  
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28 230 arrangement of PSL molecules hindering coupling between LSPRs and analyte molecules. The  
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31 231 cause of the low enhancement for the 600 nm PSL particles remains unclear, as the inorganic  
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33 232 particles investigated as part of this study (discussed below) were more consistent with the 400  
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35 233 nm and 800 nm PSL particles, with larger sized particles yielding more enhanced spectra.

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37 234 In addition to PSL particles, which are primarily organic,  $(\text{NH}_4)_2\text{SO}_4$  and  $\text{NaNO}_3$   
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39 235 particles were also tested since atmospheric aerosol particles often contain these inorganic  
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42 236 components.<sup>101</sup>  $(\text{NH}_4)_2\text{SO}_4$  and  $\text{NaNO}_3$  particles were generated from solution and size-selected  
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44 237 at 400 nm, 600 nm, and 800 nm for SERS analysis. For  $(\text{NH}_4)_2\text{SO}_4$ , the  $\nu(\text{SO}_4^{2-})$  and the  $\nu(\text{N-H})$   
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47 238 stretching mode of  $\text{NH}_4^+$  were studied (Figure 2). The  $\nu_1(\text{SO}_4^{2-})$ <sup>44,46,47,102,103</sup> mode at  $963\text{ cm}^{-1}$   
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49 239 had EFs ranging from 30 to 841, which is up to ~420 times greater than the average EFs  
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51 240 reported by Craig et al. using AgNP SERS substrates.<sup>87</sup> There was a red shift in peak location for  
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54 241 the SERS enhanced  $\nu_1(\text{SO}_4^{2-})$  mode, shifting from  $975\text{ cm}^{-1}$  to  $963\text{ cm}^{-1}$ , along with an increase

242 in peak broadness. This shift is possibly  
 243 attributed to charge-transfer interactions  
 244 between Ag and the  $(\text{NH}_4)_2\text{SO}_4$  molecules  
 245 and is consistent with earlier work studying  
 246 SERS enhancement of  $(\text{NH}_4)_2\text{SO}_4$ /sucrose  
 247 particles.<sup>90</sup> The  $\nu(\text{N-H})$ <sup>102,103</sup> mode centered  
 248 at  $3130\text{ cm}^{-1}$  had EFs ranging from 33 to  
 249 730. It should be noted that  $\nu(\text{N-H})$  is  
 250 broader due to hydrogen bonding and can  
 251 be difficult to quantify in low-intensity  
 252 spectra. For  $\text{NaNO}_3$ , three stretches  
 253 corresponding to  $\text{NO}_3^-$  were studied (Figure  
 254 3).  $\nu_1(\text{NO}_3^-)$ <sup>47,103,104</sup> at  $1067\text{ cm}^{-1}$  had EFs  
 255 ranging from 8 to 48, which is up to ~16  
 256 times greater than the average EFs reported  
 257 by Craig et al. using AgNP SERS



**Figure 2.** (A) Average Raman spectra for 400 nm, 600 nm, and 800 nm  $(\text{NH}_4)_2\text{SO}_4$  particles on quartz and Ag foil substrates. (B) Box and whisker plot of calculated EFs for vibrational modes of interest for all particle sizes. The center line represents the median, the box outlines the inner quartiles, and the whiskers represent the 10<sup>th</sup> and 90<sup>th</sup> percentile.



**Figure 3.** (A) Average Raman spectra for 400 nm, 600 nm, and 800 nm  $\text{NaNO}_3$  particles on quartz and Ag foil substrates. (B) Box and whisker plot of calculated EFs for vibrational modes of interest for all particle sizes. The center line represents the median, the box outlines the inner quartiles, and the whiskers represent the 10<sup>th</sup> and 90<sup>th</sup> percentile.

substrates.<sup>87</sup> There was a slight blue shift in peak location for the SERS enhanced  $\nu_1(\text{NO}_3^-)$  mode, shifting from  $1056 \text{ cm}^{-1}$  to  $1067 \text{ cm}^{-1}$ , along with an increase in peak sharpness. The  $1054 \text{ cm}^{-1}$  mode corresponds to aqueous, free  $\text{NO}_3^-$ , while the  $1067 \text{ cm}^{-1}$  mode corresponds to  $\text{Na}^+$ -bound  $\text{NO}_3^-$ .<sup>69,103</sup> Interestingly, this result is inconsistent with previous work that observed a red shift from  $1067 \text{ cm}^{-1}$  to  $1054 \text{ cm}^{-1}$  for  $\nu_1(\text{NO}_3^-)$ .<sup>87,105</sup> Previous work

proposed that  $\text{NaNO}_3$  cannot couple as effectively as  $\text{NO}_3^-$  with the Ag substrate due to interaction with sodium or incorporation into a  $\text{NaNO}_3$  amorphous solid or crystal, leading to a red shift occurring with increased

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enhancement.<sup>87</sup> Experimental conditions, such as RH impacts on particle phase, could play a role,

274 but further work is needed to characterize this phenomenon and reconcile the discrepancy in

275 observed peak shifting. Other modes,  $\nu_3(\text{NO}_3^-)$ <sup>103,104</sup> at  $1386 \text{ cm}^{-1}$  and  $\nu_4(\text{NO}_3^-)$ <sup>103,104</sup> at  $725 \text{ cm}^{-1}$ ,

276 had larger EFs, ranging from 5 to 116, and no observable peak shifts. All Raman spectra, for

277  $(\text{NH}_4)_2\text{SO}_4$  and  $\text{NaNO}_3$  particles on both quartz and Ag foil, are provided in the Supplementary

278 Information.

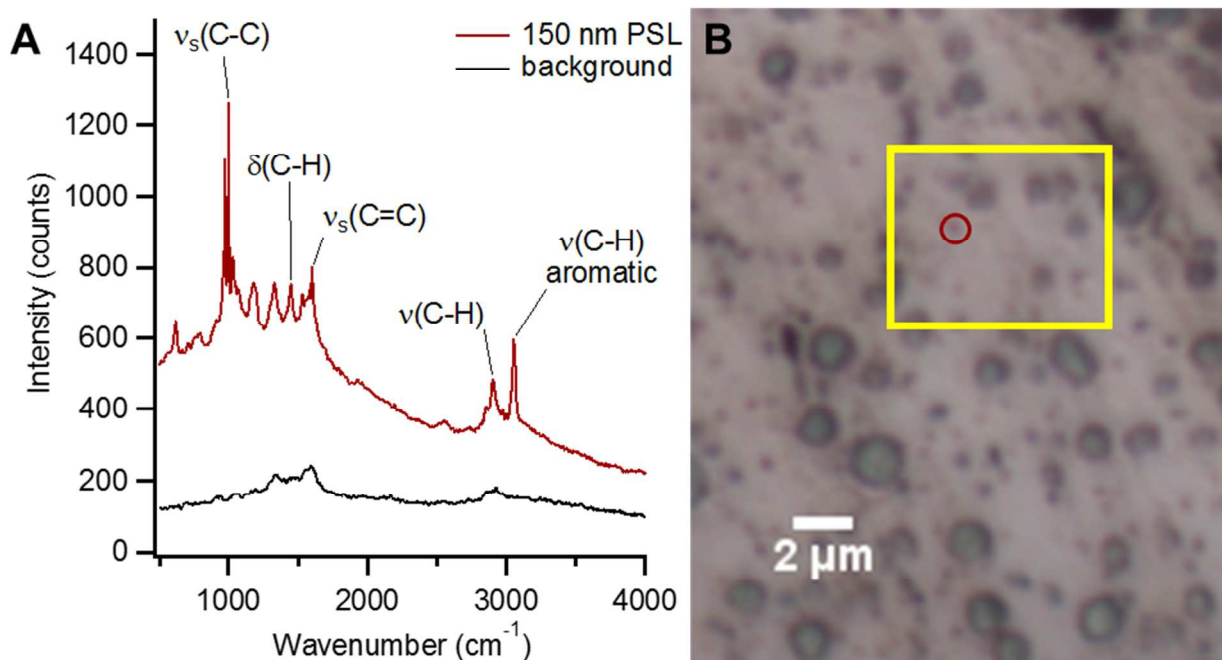
279 Qualitative observation shows that EFs increased with increasing particle size for the

280 vibrational modes studied for the 400 nm and 800 nm  $(\text{NH}_4)_2\text{SO}_4$  particles. As discussed

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3 281 previously, this could be attributed to the higher number of analyte molecules present in the  
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5 282 larger particles and the greater probability that a portion of the particle would be within the  
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8 283 enhanced region of a LSPR after impaction leading to greater observed enhancement. An  
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10 284 alternate explanation for increased enhancement is the accumulation of crystals or ions at the foil  
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12 285 interface as aqueous particles spread upon impaction. This effect applies only to the  $(\text{NH}_4)_2\text{SO}_4$   
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14 286 and  $\text{NaNO}_3$  particles, as the PSL particles are solid. Similar to the PSL particles, the 600 nm  
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17 287  $(\text{NH}_4)_2\text{SO}_4$  particles exhibited the lowest enhancement. There is no apparent trend for the EFs for  
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19 288 the vibrational modes studied for all sized  $\text{NaNO}_3$  particles. Also, as with the PSL particles, there  
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21 289 was a high level of variability in enhancement for all of the  $(\text{NH}_4)_2\text{SO}_4$  and  $\text{NaNO}_3$  vibrational  
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24 290 modes. Further testing with more samples, both in terms of particle sizes studied and number of  
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26 291 particles analyzed, is necessary to resolve any trends or relationships between particle size and  
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28 292 enhancement.

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31 293 Thus far in this study, through SERS, the smallest particle size shown has been 400 nm,  
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33 294 which is about 2-3 times smaller than the size of aerosol particles typically characterized through  
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35 295 Raman analysis. However, SERS is capable of single molecule detection and so, should allow  
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37  
38 296 for Raman analysis of aerosol particles < 400 nm. To test this limit, 150 nm PSL particles were  
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40 297 collected on Ag foil. It should be noted that a 150 nm sized particle is well below to the  
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42 298 diffraction limit of visible light and the Raman spectrometer used in this study (300-400 nm and  
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44 299 361 nm, respectively), making individual particle identification challenging. Automated point-  
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47 300 by-point mapping with a step size of 0.25  $\mu\text{m}$  was used over a larger region of the substrate,  
48  
49 301 enabling spectra to be collected for the 150 nm particles that were difficult to distinguish  
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51 302 optically due to spatial resolution limitations. A representative spectrum for a 150 nm PSL  
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54 303 particle and its corresponding location are shown in Figure 4. The five vibrational modes focused

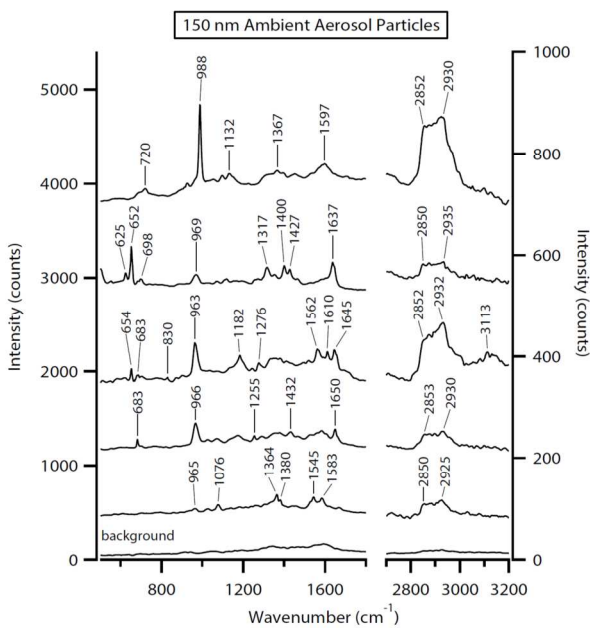




**Figure 4.** (A) SERS spectrum collected via automated point-by-point mapping of a 150 nm PSL particle on Ag foil substrate. (B) Optical image of 150 nm PSL sample on Ag foil, with the yellow box highlighting the mapped area and the red circle indicating the location of the Raman spectrum shown in (A).

on in the earlier analysis are indicated, though other vibrational modes were also enhanced and present. In the optical image, the yellow box highlights the mapped region, while the red circle indicates the point corresponding to the SERS PSL spectrum. The small, dark spots are individual 150 nm PSL particles, while the larger spots are most likely agglomerates and were avoided for this analysis. It should also be noted that marks and scratches to the Ag foil can lead to intensity in the Raman spectra that make it difficult to identify vibrational modes corresponding to the particles. To reduce substrate interference, smooth regions of the Ag foil were selected for mapping analysis.

In addition to testing SERS with laboratory-generated aerosol standards below the diffraction limit, ambient aerosol particles were also sampled, size-selected at 150 nm, and impacted in a same manner (Figure 5). Based on the sampling time and aerosol concentrations, the substrate has primarily individual particles with minimal overlap. While it is difficult to



**Figure 5.** SERS-enhanced spectra obtained from Raman mapping of 150 nm size-selected ambient aerosol particles.

316 definitively identify vibrational modes in  
 317 ambient aerosol due to their complex  
 318 chemical composition and overlapping  
 319 regions where modes can be present, tentative  
 320 assignments are proposed. Peaks in the 500-  
 321 900  $\text{cm}^{-1}$  region could correspond to  $\nu(\text{Si-O-Si})$ ,  $\delta(\text{Si-O-Al})$ , and other lattice vibrations of  
 322 fly ash or silicon and aluminosilicate  
 323 minerals,<sup>54,98,106-108</sup>  $\delta(\text{C-O})$  of carbonates and  
 324 carboxylic acids,<sup>54,87,98</sup> or “breathing” modes  
 325 of aromatic rings.<sup>57</sup> The peaks at 963 – 988

327  $\text{cm}^{-1}$  most likely correspond to  $\nu_1(\text{SO}_4^{2-})$ ,<sup>44,46,47,102,103</sup> and are exhibiting the same red shift  
 328 observed for the  $(\text{NH}_4)_2\text{SO}_4$  standard particles discussed earlier.<sup>90</sup> Peaks in the 1000-1700  $\text{cm}^{-1}$   
 329 region could correspond to a range of vibrational modes of organic functional groups, including  
 330 stretching modes, such as  $\nu(\text{C-O})$ ,  $\nu(\text{C-C})$ ,  $\nu(\text{C=C})$ ,  $\nu(\text{COO}^-)$ , and  $\nu(\text{C-OH})$ , and bending and  
 331 twisting modes, such as  $\delta(\text{CH}_2)$ ,  $\delta(\text{CH}_3)$ ,  $\delta(\text{C-C})$ ,  $\delta(\text{O-C-O})$ , and  $\delta(\text{O-H})$ .<sup>44,47,54,57-60,67,98,109,110</sup>  
 332 Peaks in the higher energy 2700-3000  $\text{cm}^{-1}$  region correspond to symmetric and asymmetric  
 333  $\nu(\text{CH}_2)$  and  $\nu(\text{CH}_3)$  modes.<sup>44,47,54,57,59,98</sup> Specific compounds present in the ambient aerosol  
 334 particles related to these functional groups could include long chain aliphatics, glyoxal  
 335 oligomers, peroxides, organic sulfates, and minerals associated with dust.<sup>47,54,59,60,70</sup> To our  
 336 knowledge, this is the first SERS-enhanced spectroscopic analysis of aerosol particles that have a  
 337 smaller diameter than the visible light diffraction limit, an important step towards measurements  
 338 of aerosol particles in a key size range for atmospheric surface chemistry. Additionally, for these

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3 339 ambient spectra, vibrational modes attributed to organic species exhibited greater enhancement  
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5 340 in the lower frequency region (1000 – 1800  $\text{cm}^{-1}$ ) than the higher frequency region (2700 – 3000  
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7 341  $\text{cm}^{-1}$ ), which is consistent with previous work with SERS of ambient particles.<sup>87</sup> This  
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10 342 demonstrates the potential for SERS to enable the study of vibrational modes that are not as well  
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12 343 characterized in the literature due to the difficulties associated with detecting them in aerosol  
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14 344 particles via traditional microspectroscopic methods.

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18 346 **4 Conclusions**

19  
20 347 SERS was applied to the study of atmospheric aerosol particles to improve the limit of  
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22 348 detection in terms of particles size. 400 nm, 600 nm, and 800 nm size-selected laboratory-  
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24 349 generated aerosol particle standards of PSLs, ammonium sulfate, and sodium nitrate were  
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27 350 collected on Ag foil SERS substrates and analyzed. Average enhancement factors for a range of  
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29 351 inorganic and organic vibrational modes were calculated to be on the order of  $10^2$  and as large as  
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31 352 530. SERS enhancements may increase with increasing particle size, as observed with the 400  
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33 353 nm and 800 nm PSL and  $(\text{NH}_4)_2\text{SO}_4$  standards, but the 600 nm PSL and  $(\text{NH}_4)_2\text{SO}_4$  had the  
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36 354 lowest EF values and there was no observed consistent trend between SERS enhancement and  
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38 355 particle size for the  $\text{NaNO}_3$  standard. These results are likely due to variability in LSPRs on the  
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40 356 foil surface and subsequent coupling with analyte molecules. Further testing with more particle  
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43 357 sizes and increased number of particles analyzed is necessary to resolve a definitive relationship  
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45 358 between particle size and enhancement. Ag foil substrates were also used for SERS analysis of  
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47 359 150 nm PSL and ambient aerosol particles via automated Raman mapping. All five PSL  
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49 360 vibrational modes characterized with the larger sized particles were identified in the SERS  
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51 361 enhanced spectra of the 150 nm particles. For the ambient aerosol, a range of inorganic and  
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54 362 organic vibrational modes were detected, and corresponding functional groups were proposed.

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3 363 To our knowledge, this is the first vibrational spectroscopic analysis of aerosol particles  
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5 364 approaching the mode of the atmospheric number size distribution (~100 nm). These results  
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8 365 show the potential for SERS to enable improved analysis of aerosol particle chemical  
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10 366 composition and mixing state for the most atmospherically abundant particle sizes. The ability to  
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12 367 detect chemical species in these small volume particles also shows the potential for future SERS  
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14 368 work to probe differences in composition at aerosol surfaces due to phase separation, the  
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17 369 presence of surfactants, or surface-level reactions. Overall, future SERS studies of atmospheric  
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19 370 aerosol composition could lead to improved understanding of multiphase atmospheric processing  
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22 371 and aerosol impacts on climate and human health.

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### 25 26 373 **Conflicts of Interest**

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28 374 There are no conflicts to declare.

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### 32 33 376 **Acknowledgments**

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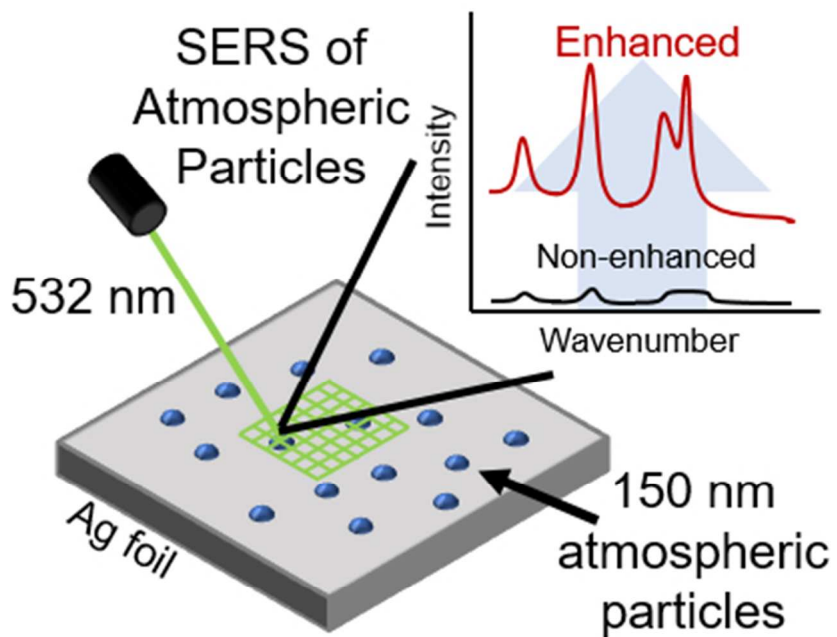


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