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Modulation of phosphor luminescence performance by high concentration self-sensitization of Er and Ho–Yb ion co-doping under 1550 nm excitation

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In this paper, upconversion fluoride phosphors $\text{NaY}_{1-x-y-z}\text{F}_4:\text{Er}_x^{3+}, \text{Ho}_y^{3+}, \text{Yb}_z^{3+}$ were synthesized by the low-temperature combustion method. And the optimal ratio of lanthanide ion doping in the matrix lattice was determined by the control variable method. First of all, the experimental results show that some Er^{3+} -2 ions may be present in the samples doped with a high concentration of Er^{3+} ions, and the energy carried by the 1550 nm photons is absorbed by them and transferred to the remaining Er^{3+} -1 ions in the form of sensitizers, which leads to saturation excitation of these Er^{3+} -1 ions. Thus, the upconversion luminescence intensity of the Er^{3+} ion-doped samples was dramatically increased. Secondly, it was found that the singly doped Ho^{3+} ion samples also showed significant absorption of 1550 nm photons. In contrast, doping Yb^{3+} ions in samples singly doped with Ho^{3+} ions produces the opposite effect of Er^{3+} ions. The upconversion luminescence intensity of the Ho^{3+} ion-doped samples is significantly quenched. According to the above experimental phenomena, when a small amount of Ho^{3+} ions are doped into the matrix lattice of the sample doped with a high concentration of Er^{3+} ions, firstly, these Ho^{3+} ions can act as transient energy transition centers in the lattice. Secondly, they can also play the role of another self-absorption activation center in the matrix lattice. The upconversion luminescence performance of the Er^{3+} – Ho^{3+} ion co-doped samples is significantly enhanced, so the characteristic emissions of Er^{3+} and Ho^{3+} ions are highly overlapped in the visible region. A small amount of Yb^{3+} ions continue to be doped into the Er^{3+} – Ho^{3+} ion co-doped system, due to the significant quenching effect of the Yb^{3+} ions on the luminescence of the Ho^{3+} ions. The Yb^{3+} ions mainly play the role of reverse energy transfer centers between the Er^{3+} – Yb^{3+} ions in the crystal lattice. This results in the upconversion luminescence intensity of the triple-doped samples being enhanced significantly by increasing the utilization of the system for the 1550 nm photons. In this paper, the phase composition and morphology of the phosphors were studied by an X-ray diffractometer and scanning electron microscope. The upconversion luminescence mechanism of Er^{3+} – Ho^{3+} – Yb^{3+} ion triple-doped samples under 1550 nm excitation and the sensitization interactions between the ions were systematically investigated by upconversion emission spectra and fluorescence lifetime. This work provides a new idea for the design of high-color purity upconversion luminescent phosphors under 1550 nm excitation, and the prepared phosphors can be applied in the field of display lighting.

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1. Introduction

Upconversion luminescence is an anti-Stokes process by which low-energy infrared light can be converted into high-energy visible light.¹ Therefore, it has been widely studied in infrared detection, optical anti-counterfeiting, and bio-detection.^{2–4} Currently, the research on upconversion luminescence is mainly concentrated in the 980 nm band,⁵ but the research

on the 1550 nm band is relatively rare. This is mainly due to the lack of effective sensitizer ions in the 1550 nm band.⁶ However, compared with the 980 nm band, the 1550 nm band has strong anti-interference ability, strong anti-light scattering ability, and safety for living organisms.⁷ Hence, the 1550 nm band has better application prospects. Among lanthanide ions, Er^{3+} ions have the largest absorption cross-section for 1550 nm photons, so Er^{3+} ions have potential as sensitizer ions in this band.⁶ However, if only relying on the doping of Er^{3+} ions to achieve a good response of the matrix lattice to 1550 nm photons, it will inevitably lead to an increase in the doping concentration of

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Er^{3+} ions.⁸ In addition, the upconversion luminescence intensity of the samples under 1550 nm excitation is also limited by the maximum doping concentration of activator ions in the matrix lattice. Hence, the upconversion luminescence of samples in this band is generally weak. Therefore, it is crucial that the cross-relaxation and concentration quenching chances induced by high-concentration doping of Er^{3+} ions are attenuated. In this paper, it is found that high-concentration doping of Er^{3+} ions can be realized in the NaYF_4 matrix lattice. It is also found that at low-concentration doping, Er^{3+} ions mainly play the role of unsaturated luminescent centers in the lattice. As the doping concentration of Er^{3+} ions continued to increase, firstly, some of the Er^{3+} ions can act as sensitizers to absorb and transfer energy. This causes the remaining Er^{3+} ions to be saturated with excitation so that the upconversion luminescence of the sample is significantly enhanced. Secondly, 1550 nm photons can be well responded by the Ho^{3+} ions in lanthanide activator ions, so mutual sensitization between the Er^{3+} and Ho^{3+} ions can be present. Since the characteristic emissions of Er^{3+} and Ho^{3+} ions are highly overlapping in the visible region, the upconversion luminescence intensity of the sample is significantly enhanced by the additive effect of the two characteristic emissions. At this time, Ho^{3+} ions mainly play the roles of transient energy trapping centers and new luminescence centers in the matrix lattice. On this basis, we also find that the upconversion luminescence intensity of the samples is further enhanced by doping a small amount of Yb^{3+} ions into the above two co-doping systems. At this time, the Yb^{3+} ions mainly play the role of the reverse energy transfer center in the matrix lattice. The energy that should have been dissipated in the supersaturated excitation is stored in the excited state energy level of the Yb^{3+} ions through the energy transfer process. Then, the Er^{3+} ions are secondarily excited by the reverse energy transfer process. Due to this process, the utilization of photons by the sample is drastically increased. As a result, the upconversion luminescence intensity of the triple-doped system is significantly enhanced.

In this paper, upconversion fluoride phosphors $\text{NaY}_{1-x-y-z}\text{F}_4:\text{Er}_x^{3+}, \text{Ho}_y^{3+}, \text{Yb}_z^{3+}$ were synthesized by the low temperature combustion method. The inter-sensitization interaction between $\text{Er}^{3+}-\text{Ho}^{3+}-\text{Yb}^{3+}$ ions was investigated in detail. This study not only provides a new idea for the design of upconversion luminescent materials, but also further improves the energy transfer mechanism between the upconversion multi-doped lanthanide activator and sensitizer ions under 1550 nm excitation.

2. Experimental

2.1 Preparation

The experiments started with sodium fluoride (NaF), rare earth oxides Ln_2O_3 ($\text{Ln} = \text{Er}, \text{Y}, \text{Ho}$ and Yb) as raw materials, ammonium hydrogen fluoride (NH_4HF_2), urea [$\text{CO}(\text{NH}_2)_2$], and ammonium nitrate (NH_4NO_3). The up-conversion fluoride phosphors $\text{NaY}_{1-x-y-z}\text{F}_4:\text{Er}_x^{3+}, \text{Ho}_y^{3+}, \text{Yb}_z^{3+}$ were synthesized by the low-temperature synthesized combustion (LCS) method.

First, the rare earth oxides Ln_2O_3 were dissolved in dilute nitric acid to make a solution of rare earth nitrates [$\text{Ln}(\text{NO}_3)_3$]. The coprecipitation method was adopted to convert rare-earth nitrates into solid rare-earth fluorides (LnF_3). Then the prepared fluoride raw materials were mixed with oxidizer [NH_4NO_3], reductant [$\text{CO}(\text{NH}_2)_2$] and NH_4HF_2 by adding alcohol to grind them well to obtain prefabricated combustion rods. Finally, they were ignited in a muffle furnace at 650 °C. 10 min later, the crucible was removed, and a fluffy pink powder was obtained. After the samples were cooled, they were gently ground and used for subsequent tests.

2.2 Measurements

The X-ray diffraction (XRD) patterns of the samples were tested with a Rigaku/Ultima IV X-ray diffractometer at 40 kV, 20 mA, with Cu K radiation ($\lambda = 1.5405 \text{ \AA}$) and a diffraction angle range of 10 to 80 (2θ). The morphology of the samples was tested using a JSM-6701F scanning electron microscope (SEM) at an accelerating voltage of 10 kV. The upconversion emission spectra of the samples were tested by a Shimadzu RF-5301PC spectrometer and a power-tunable 1550 nm laser at room temperature, the spectrometer's test slit was 1.5 μm and the excitation power was 1.57 mW cm^{-2} .

3. Results and discussion

3.1 Crystal structure analysis

Fig. 1 and 2 show the XRD patterns of the samples doped with different kinds of lanthanides, respectively. Table 1 shows the ionic radius of the lanthanide ions doped in this sample. It is well known that when other lanthanide ions are doped in the matrix

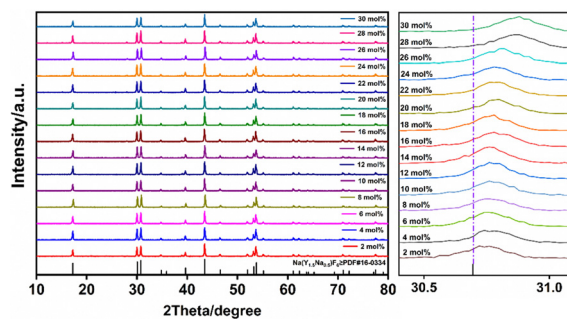


Fig. 1 XRD patterns of doping with different concentrations of Er^{3+} ions.

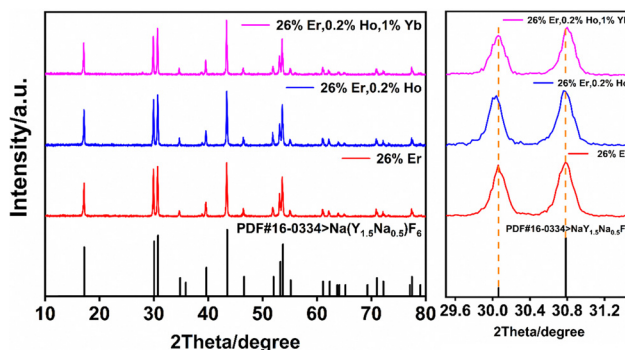


Fig. 2 XRD patterns of samples with different kinds of ion doping.

Table 1 Ionic size of elements

Elements	Y	Er	Ho	Yb
Ionic size [Å]	0.9	0.89	0.901	0.868

lattice, the difference in ion radius will cause the contraction or expansion of the cell of the matrix lattice, thus influencing the sample's up-conversion luminescence characteristics.^{9,10} The variation law of the matrix lattice can be described by the interplanar spacing of the hexagonal crystal system and Bragg's law.^{11,12}

$$d = \frac{1}{\sqrt{\frac{4}{3} \frac{(h^2 + k^2 + hk)}{a^2} + \frac{l^2}{c^2}}} \quad (1)$$

$$2d \sin \theta = n\lambda \quad (2)$$

where h , k and l are the crystal indices of the crystal cell, a and c are the prism lengths of the crystal cell, d is the interplanar spacing, θ is the half diffraction angle of the crystal, λ is the excitation wavelength, and n is an integer that indicates the number of diffraction levels of the crystal. Since the phosphor samples are based on NaYF₄ doping, the other lanthanide ions have replaced the Y³⁺ ion lattice locations in the matrix lattice. Therefore, when Er³⁺/Yb³⁺ ions are doped into the units of the matrix lattice, the ionic radii of all these ions are smaller than those of Y³⁺ ions.^{13,14} Hence, a certain degree of contraction occurs in the matrix lattice structure. According to eqn (1), h , k and l is constant for a specific family of crystal planes. When the cell parameters become smaller owing to the doping of rare earth ions, the interplanar spacing d decreases accordingly, so the cell's crystal plane spacing decreases. And then, according to eqn (2), when the crystal plane spacing d decreases, the diffraction angle of the sample should be shifted to a large angle direction.⁹ This is consistent with the shift of the diffraction peak in the XRD local magnification of Fig. 2. Then, Ho³⁺ ions replace the lattice sites of Y³⁺ ions by doping the matrix lattice, as the radius of these ions is greater than that of Y³⁺ ions.¹⁵ Therefore, according to the above eqn (1) and (2), the XRD diffraction peak of the sample should be shifted in an appropriate angular direction.^{10,16} However, due to the minimal doping of Ho³⁺ ions in the sample, the diffraction peak shift of the samples is minimized, which can be further explained by the XRD local magnification pattern in the above figure. Fig. 3 shows a schematic representation of the crystal structure doped with several lanthanide

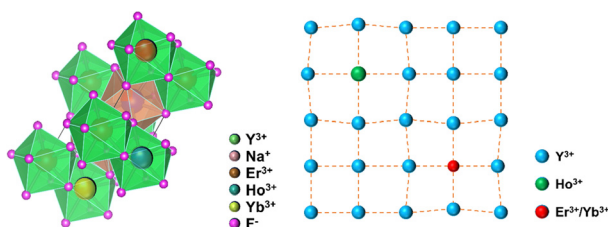


Fig. 3 Schematic diagram of the crystal structure of the sample doped with different types of ions.

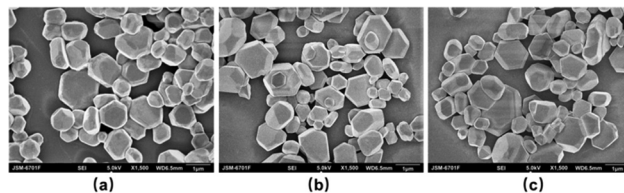


Fig. 4 SEM images of the matrix lattice doped with different kinds of ions: (a) doped Er³⁺ ions, (b) doped Ho³⁺ ions and (c) doped Yb³⁺ ions.

ions. Fig. 4 shows the SEM images of different lanthanide ions doped in the matrix lattice. It is evident from the graph that the majority of grains in the SEM of the sample are hexagonal phase crystals, which is consistent with the crystal phase shown in the XRD standard card of the sample, and the size of the grains mostly remains around 1 μm with a more uniform grain size.

3.2 Modulating effect of the Er³⁺ ion dopant on the upconversion luminescence properties

Er³⁺ ions are often used as activator ions in the 1550 nm band due to their significant absorption of 1550 nm photons.^{17,18} Still, they are limited by the cross-relaxation between ions when doped with a high concentration of Er³⁺ ions.^{16,19} This has led to the fact that doping with high concentrations of Er³⁺ ions at 1550 nm excitation has been poorly studied.¹⁹ Therefore, the mechanism of the role played by Er³⁺ ions in the upconversion luminescence on the lattice at high doping concentrations is imperfectly studied. Hence, it is necessary to systematically study the mechanism of upconversion luminescence on Er³⁺ ions doped with high concentration.

In this paper, NaYF₄:Er³⁺ upconversion fluoride phosphors with different Er³⁺ ion doping concentrations were synthesized by the LCS method. The upconversion emission spectra were determined for different Er³⁺ ion doping concentrations, as shown in Fig. 5 and 6, and a schematic diagram of the energy transfer between Er³⁺ ion single-doped sample ions is also shown. As can be seen from the figure, the doping concentration of Er³⁺ ions gradually increases. The upconversion luminescence intensity of the sample increased first, then decreased, and then increased and then decreased. And thus, two inflection points of high and low upconversion luminescence intensity are generated, which

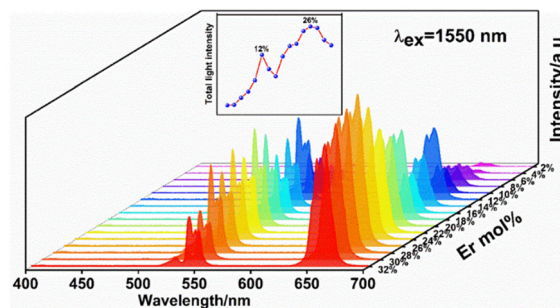


Fig. 5 Upconversion emission spectra from the Er³⁺-doped samples under 1550 nm excitation.

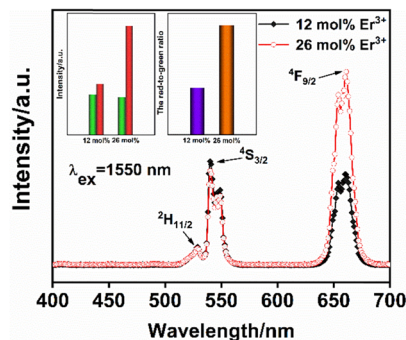


Fig. 6 Emission spectra of Er ions doped with low and high concentration under 1550 nm excitation.

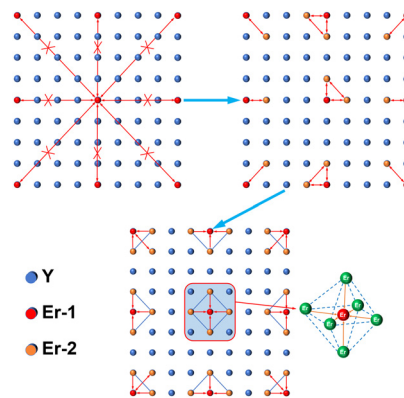


Fig. 7 Schematic diagram of Er³⁺ ion doping energy transfer.

correspond to the doping concentration of Er³⁺ ions of 12 mol% and 26 mol% respectively. The upconversion emission spectrum of the sample consists of 521 nm for green light, 544 nm for red light and 660 nm for red light. For the green emission of the sample, it corresponds to the ⁴I_{15/2} → ²H_{11/2} and ⁴I_{15/2} → ⁴S_{3/2} energy level transitions of Er³⁺ ions, and for the red emission of the sample, it corresponds to the ⁴I_{15/2} → ⁴F_{9/2} energy level transitions of Er³⁺ ions. For the red emission of the sample, it corresponds to the energy level transitions of the ⁴I_{15/2} → ⁴F_{9/2} energy levels of Er³⁺ ions. For Er³⁺ ions doped with a high concentration of 26 mol% and low concentration of 12 mol%, the total luminous intensity is increased by 1.62 times, and the red-green ratio is increased by 2.31 times. This is mainly due to the strong response of Er³⁺ ions to 1550 nm photons.⁶ The Er³⁺-1 ions are uniformly distributed in the NaYF₄ matrix lattice for the inflection point appearing at low-concentration doping. The probability of the ions undergoing cross-relaxation is small because of the long energy transfer distance between neighboring Er³⁺ ions.²⁰ In addition, due to the influence of the excitation power, the Er³⁺ ions are in the unsaturated excitation state at this time. With the increase of the Er³⁺ ion doping concentration, the upconversion luminescence intensity of the sample was increased steadily. Afterward, as the doping concentration of Er³⁺ ions continues to be increased, the lattice sites of Y³⁺ ions around the uniformly distributed Er³⁺-1 ions in the matrix lattice are gradually replaced by Er³⁺-2 ions. The energy transfer distance between the Er³⁺-1–Er³⁺-2 ions is reduced,²¹ which results in the probability of cross-relaxation between neighboring ions being dramatically increased. The upconversion luminescence intensity of the sample is gradually reduced because the probability of non-radiative transitions in the sample is increased, and the probability of radiative transitions is decreased. Afterward, the Y³⁺ ion lattice sites around the Er³⁺-1 ions are gradually and uniformly occupied by more Er³⁺-2 ions as the Er³⁺ ion doping concentration continues to increase. At this point, the upconversion luminescence intensity of the samples begins to be gradually increased because the energy transfer effect between the Er³⁺-1–Er³⁺-2 ions begins to outweigh the cross-relaxation between Er³⁺-2–Er³⁺-1 ions. This will make the sample upconversion luminescence intensity begin to increase gradually, so the Er³⁺-1 ions are gradually saturating excited. When the doping concentration of

Er³⁺ ions reaches 26 mol%, the energy transfer between Er³⁺-2 ions to Er³⁺-1 ions is greater than the cross-relaxation effect between the ions, reaching the maximum value, and the Er³⁺-1 ions in the energy receptor exhibit completely saturated excitation. Afterward, as the doping concentration of Er³⁺ ions continues to be increased, the cross-relaxation effect between neighboring ions begins to dominate so that the upconversion luminescence intensity of the sample is gradually reduced.^{22–24} This process is shown in Fig. 7.

As shown in Fig. 5, with the gradual increase in the doping concentration of Er³⁺ ions, the red light emission of the single-doped samples with Er³⁺ ions was substantially enhanced, and the green light emission was significantly reduced. Therefore, it is necessary to further analyze the upconversion of red emission and green emission of the samples from the perspective of energy level transition.

Fig. 8(a) shows the energy level transition of Er³⁺ ions under 1550 nm excitation, and Fig. 8(b) shows the IP curve under 1550 nm excitation, from which it can be seen that the energy level transition of Er³⁺ ions under 1550 nm excitation is a three-photon summation process. As for the self-absorption green light emission of Er³⁺ ions, the specific process is that the electrons of Er³⁺ ions in the ground state absorb three photons in succession to transit to the ²H_{11/2} energy level, after which some of the electrons in the ²H_{11/2} energy level directly relax to the ground state and produce green light emission at 525 nm. Secondly, some of the other electrons firstly relax to the ⁴S_{3/2}

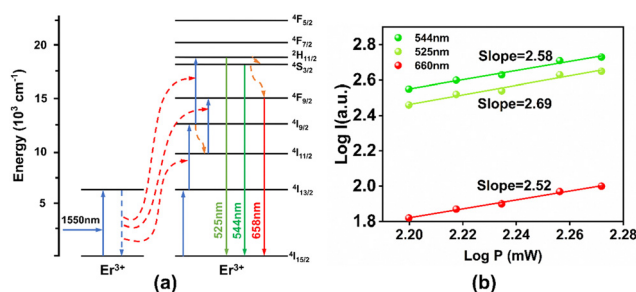


Fig. 8 (a) Energy level transition of Er³⁺ ions under 1550 nm excitation; (b) IP curve of Er³⁺ ions under 1550 nm excitation.

energy level and then return to the ground state by the radiative transition process, producing green light emission of 540 nm.

The reason for the self-absorbed red light emission for Er^{3+} ions is specified as follows. The electrons in the ground state of Er^{3+} ions absorb two photons consecutively to transit to the $^4\text{I}_{9/2}$ energy level. Next, these electrons relax to the $^4\text{I}_{11/2}$ energy level and then they absorb another photon and transit to the $^4\text{F}_{9/2}$ energy level. Finally, these electrons relax to the ground state and produce red light emission at 660 nm. Meanwhile, the electrons of the Er^{3+} ions in the ground state absorb three photons directly to transit to the $^2\text{H}_{11/2}$ energy level. Then they first relax to the $^4\text{S}_{3/2}$ energy level and then relax to the $^4\text{F}_{9/2}$ energy level. They eventually return to the ground state by the radiation transition process and produce the red light emission of the Er^{3+} ions.^{6,19,25,26}

In summary, the Er^{3+} ions do not play a single role in the upconversion luminescence of the matrix lattice in the concentration-sequence doping experiments of the Er^{3+} ions. At low-concentration doping, the Er^{3+} ions mainly play the role of the energy acceptor of unsaturated excitation and the luminescence center of the matrix lattice, which leads to the first inflection point of concentration sequence doping. When the doping concentration of Er^{3+} ions is increased, some of the Er^{3+} ions gradually play the roles of energy carriers and energy transfer centers. However, the other part of the Er^{3+} ions play the role of an energy acceptor and saturation excitation center. This creates a second inflection point in the ion concentration doped series of samples. Therefore, we will use the saturation excitation of the Er^{3+} ion concentration as the basis for subsequent studies.

3.3 Modulating effect of the Er^{3+} - Ho^{3+} ion dopant on the upconversion luminescence properties

Currently, studies on the 1550 nm band are mainly focused on the Er^{3+} ion single-doped samples, and there are fewer studies on the response of other ions to the 1550 nm band.^{27–29} However, in recent years, it has been found that in the matrix lattice doped with Er^{3+} ions, the upconversion luminescence intensity of the samples can be greatly enhanced by doping with an appropriate amount of other ions.^{29,30} In this section, based on the above Er^{3+} ion doping of 26 mol%, the effect of this ion doping on the upconversion luminescence performance of the samples was investigated. As shown in Fig. 9(a), the upconversion luminescence intensity of the samples increased and then decreased when Ho^{3+} ions were doped into

the matrix lattice of single-doped Er^{3+} ions. When the doping concentration of Ho^{3+} ions is 0.2 mol%, the upconversion luminescence intensity of the Er^{3+} - Ho^{3+} ion co-doped system reaches the optimum, which is enhanced 1.23 times compared with the undoped Ho^{3+} ion sample, as shown in Fig. 9(b). When the Ho^{3+} ion doping concentration is further increased, the red light emission of the samples gradually dominated, and the green light emission of the samples was significantly quenched. This phenomenon is mainly caused by the different roles played by Ho^{3+} ions in the Er^{3+} - Ho^{3+} co-doped system.

The intrinsic mechanism of upconversion luminescence enhancement and energy transfer is analyzed in more detail. It is first necessary to determine whether the Ho^{3+} ions can act as an independent 1550 nm response upconversion absorption and emission center in the Er^{3+} - Ho^{3+} ion co-doped system. Fig. 10(a) is the upconversion emission spectrum of the Ho^{3+} ion single-doped and Ho^{3+} - Yb^{3+} ion co-doped samples under 1550 nm excitation. Fig. 10(b) shows the absorption spectra of mono-doped with Ho^{3+} ions and mono-doped samples with different concentrations of Er^{3+} ions. As can be seen from the figure, 1550 nm photons are significantly absorbed by the Ho^{3+} ion single-doped samples. The upconversion luminescence of the samples is composed of red and green emission, and the total luminescence intensity is dominated by red emission. For the Ho^{3+} - Yb^{3+} ion co-doped samples, the luminescence of the samples still consists of red and green emission, whereas the total luminescence intensity is still dominated by red emission. Both the red and green light emission of the sample is reduced by doping with Yb^{3+} ions compared to the single-doped samples with Ho^{3+} ions. However, since there are almost no studies on the 1550 nm band that can be well responded to by other lanthanide activator ions, the current mechanism of upconversion energy level transition of Ho^{3+} ions under 1550 nm excitation is not well developed. In this section, the energy of each spectral term of Ho^{3+} ions was determined by reviewing the relevant literature, as shown in Table 2. After that, the energy difference between each spectral term was calculated: $\Delta E_1 = 5049 \text{ cm}^{-1}$ ($^5\text{I}_8 \rightarrow ^5\text{I}_7$), $\Delta E_2 = 6061 \text{ cm}^{-1}$ ($^5\text{I}_7 \rightarrow ^5\text{I}_5$), $\Delta E_3 = 4264 \text{ cm}^{-1}$ ($^5\text{F}_5 \rightarrow ^5\text{I}_5$), $\Delta E_4 = 5289 \text{ cm}^{-1}$ ($^5\text{F}_4 \rightarrow ^5\text{I}_4$).³¹ By comparing with the energy carried by 1550 nm photons ($\Delta E_{1550 \text{ nm}} = 6452 \text{ cm}^{-1}$) and combining with the IP curves of 1550 nm excitation of single-doped Ho^{3+} ions, as shown in Fig. 11(a), the upconversion energy level transition

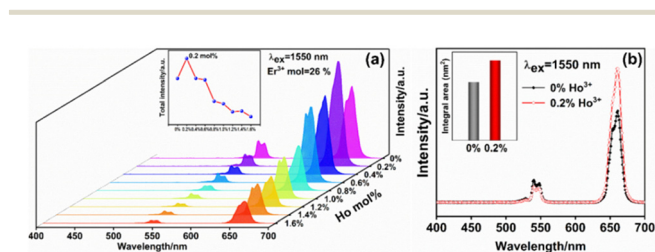


Fig. 9 (a) Upconversion emission spectra of Er^{3+} - Ho^{3+} co-doped samples under 1550 nm excitation. (b) Upconversion emission spectra of the optimally doped sample with Ho^{3+} ions and undoped sample with Ho^{3+} ions.

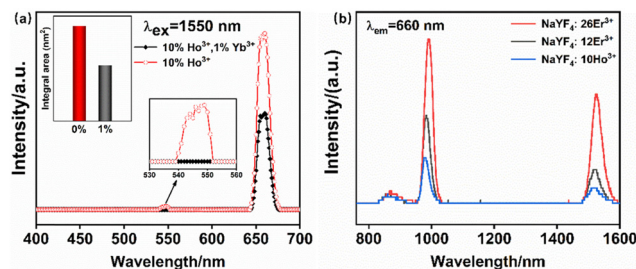


Fig. 10 (a) Upconversion emission spectra of the single-doped sample with Ho^{3+} ions and co-doped sample with Ho^{3+} - Yb^{3+} under 1550 nm excitation. (b) Absorption spectra of the samples singly doped with Ho^{3+} ions and singly doped with Er^{3+} ions.

Table 2 Energy difference of each spectral term for Ho³⁺ ions

Energy level	⁵ I ₇	⁵ I ₆	⁵ I ₅	⁵ I ₄	⁵ F ₅	⁵ S ₂	⁵ F ₄
ΔE (cm ⁻¹)	5049	8550	11110	13155	15374	18325	18444

diagrams of the Ho³⁺ ions excited at 1550 nm were determined, as shown in Fig. 11(b). The absorption of 1550 nm photons by Ho³⁺ ions makes Ho³⁺ ions play more roles in the Er³⁺-Ho³⁺ ion co-doping system. Firstly Ho³⁺ ions can be self-absorbing emission centers in the matrix lattice. Since the characteristic emissions of Er³⁺ ions and Ho³⁺ ions are highly overlapped in the visible region,²⁹ the upconversion emission spectra of Er³⁺-Ho³⁺ ion co-doped samples are generated by the superposition of the characteristic emissions of both, which enhances the upconversion luminescence of the samples.²⁹ Secondly, Ho³⁺ ions can also act as a reverse energy transfer center in the matrix lattice. The photon energy absorbed by the Er³⁺ ions is first temporarily stored by the Ho³⁺ ions. Then, the energy is transferred back to the Er³⁺ ions again by the reverse energy transfer process. Through this process, some of the Er³⁺ ions are excited twice, and the upconversion luminescence of the sample is enhanced.^{28,32} Finally, the Ho³⁺ ions can also act as an energy receptor for the Er³⁺ ions. The energy transferred from the Er³⁺ ions is absorbed by these Ho³⁺ ions and produces their characteristic emission, causing the upconversion luminescence of the Er³⁺-Ho³⁺ ion co-doped sample to be enhanced.

In summary, combining the upconversion emission spectra of the samples of the Er³⁺-Ho³⁺ ion co-doped system, it can be seen that the addition of the green emission of Er³⁺ ions and Ho³⁺ ions in the visible region produces the upconversion green emission of the samples. Fig. 12(a) shows the energy level transition of the Er³⁺-Ho³⁺ ion co-doped sample under 1550 nm excitation, and Fig. 12(b) shows the IP curve of the Er³⁺-Ho³⁺ ion co-doped sample under 1550 nm excitation. It can be seen that the energy level transition of the Er³⁺-Ho³⁺ ion co-doping system under 1550 nm excitation is a three-photon summation process. For the green emission of Er³⁺ ions of the samples, due to the doping of Ho³⁺ ions, the green emission pathway of Er³⁺ ions is increased by the reverse energy transfer process between Er³⁺-Ho³⁺ ions. The process is that the electrons of the Er³⁺ ions in the ⁴S_{3/2} energy level transfer to the neighboring Ho³⁺ ions by the energy transfer process. Then, these electrons are stored on the ⁵F₄ energy level of the Ho³⁺ ions,

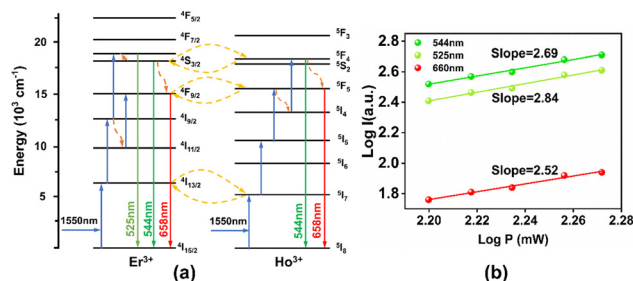


Fig. 12 (a) Energy level transition of Er³⁺-Ho³⁺ ions co-doped under 1550 nm excitation. (b) IP curve of Er³⁺-Ho³⁺ ions co-doped under 1550 nm excitation.

after which they return to the ⁴S_{3/2} energy level of the Er³⁺ ions by the reverse energy transfer process. Finally, these electrons relax to the ground state and produce green light at 544 nm.^{28,29,33}

As for the upconversion green light emission of Ho³⁺ ions, it mainly consists of three parts, the first part is the self-absorption green light emission of Ho³⁺ ions, and the second part is the green light emission generated by the reverse energy transfer process between Ho³⁺-Er³⁺ ions. The third is the green light emission generated by the energy transfer process between Er³⁺-Ho³⁺.

For the self-absorbed green light emission of Ho³⁺ ions, the main process is that the electrons of the Ho³⁺ ions in the ground state absorb three photons consecutively to transit to the ⁵F₅ energy level, after which they relax to the ⁵I₄ energy level. Then these electrons absorb another photon to transit to the ⁵F₄ energy level. Finally, they return to the ground state by a radiative transition process and produce the green light emission of the Ho³⁺ ions at 544 nm, and this process is shown in Fig. 13(a).

For the green light emission of the Ho³⁺ ions generated by the reverse energy transfer process between Ho³⁺-Er³⁺, the specific process is that the electrons in the ⁵F₅ energy level of the Ho³⁺ ions transfer to the ⁴F_{9/2}/⁴S_{3/2} energy level of the neighboring Er³⁺ ions by an energy transfer process. These electrons return to the ⁵F₅ energy level of the Ho³⁺ ions by the reverse energy transfer process and further relax to the ⁵I₄ energy level. Next these electrons absorb a photon to transit to the ⁵F₄ energy level. Finally, they return to the ground state by the radiative transition process and produce green light emission of the Ho³⁺ ions. On the other hand, the electrons in the ⁵F₄ energy level of the Ho³⁺ ions can also be transferred to the ⁴S_{3/2} energy level of the

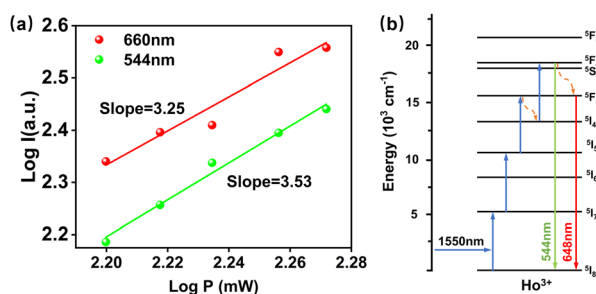


Fig. 11 (a) IP curves of singly doped samples with Ho³⁺ ions under 1550 nm excitation. (b) Upconversion energy level transition diagram of Ho³⁺ ions under 1550 nm excitation.

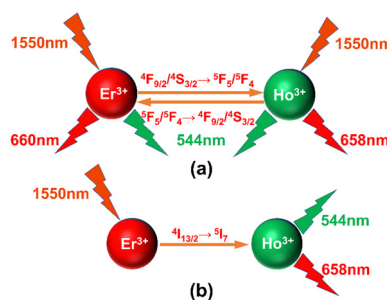


Fig. 13 (a) Schematic diagram of the reverse energy transfer of Er³⁺-Ho³⁺ ions. (b) Schematic diagram of the energy transfer of Er³⁺-Ho³⁺ ions.

neighboring Er^{3+} ions through an energy transfer process and return to the ${}^5\text{F}_4$ energy level of the Ho^{3+} ions by the reverse energy transfer process. They eventually relax to the ground state and produce green light emission of the Ho^{3+} ions, and this process is shown in Fig. 13(a).^{28,29}

The reason for the green light emission generated by the energy transfer process between Er^{3+} – Ho^{3+} ions is specified as follows, the electrons in the ${}^4\text{I}_{13/2}$ energy level of Er^{3+} ions transfer to the neighboring Ho^{3+} ions by the energy transfer process, keeping these electrons in the ${}^5\text{I}_7$ energy level of Ho^{3+} ions. Meanwhile, the electrons at the ${}^5\text{I}_7$ energy level absorb two photons consecutively to transit to the ${}^5\text{F}_5$ energy level, and they relax to the ${}^5\text{I}_4$ energy level. And then, these electrons absorb a photon to transit to the ${}^5\text{F}_4$ energy level. Finally, they return to the ground state by the radiative transition process and produce green light emission of Ho^{3+} ions, and this process is shown in Fig. 13(b).^{28,29,34}

For the Er^{3+} – Ho^{3+} ion co-doped system under 1550 nm excitation, the red light emission is also generated by summing the red light emission of Er^{3+} ions and the Ho^{3+} ions in the visible region. For the red emission of Er^{3+} ions of the samples, due to the doping of Ho^{3+} ions, the red emission pathway of Er^{3+} ions is increased by the reverse energy transfer process between Er^{3+} – Ho^{3+} ions. The specific process is that the electrons of the Er^{3+} ions in the ${}^4\text{S}_{3/2}$ energy level transfer to the neighboring Ho^{3+} ions by the energy transfer process, and these electrons return to the ${}^4\text{S}_{3/2}$ energy level of the Er^{3+} ions by the reverse energy transfer process. Then these electrons relax to the ${}^4\text{F}_{9/2}$ energy level. Finally, they return to the ground state by the radiative transition process and produce red light emission of the Er^{3+} ions. On the other hand, the electrons in the ${}^4\text{F}_{9/2}$ energy level of the Er^{3+} ions can also transfer to the ${}^5\text{F}_5$ energy level of the neighboring Ho^{3+} ions by the energy transfer process, and these electrons return to the ${}^4\text{F}_{9/2}$ energy level by the reverse energy transfer process. Finally, they relax to the ground state and produce the red light emission of the Er^{3+} ions, and this process is shown in Fig. 13(a).^{28,29,35}

As for the upconversion red light emission of Ho^{3+} ions, it mainly consists of three parts, the first is the self-absorption red light emission of Ho^{3+} ions, and the second is the red light emission generated by the reverse energy transfer process between Ho^{3+} – Er^{3+} ions. The third is the red light emission generated by the energy transfer process between Er^{3+} – Ho^{3+} ions.

For the self-absorbed red light emission of Ho^{3+} ions, the main process is that the electrons of the Ho^{3+} ions in the ground state absorb three photons consecutively to transit to the ${}^5\text{F}_5$ energy level. Then they immediately relax to the ground state and produce the red light emission of the Ho^{3+} ions. On the other hand, the electrons of the Ho^{3+} ions in the ${}^5\text{F}_5$ energy level relax to the ${}^5\text{I}_4$ energy level, and absorb another photon to transit to the ${}^5\text{F}_4$ energy level. Finally, they return to the ground state by a radiative transition process and produce the red light emission of the Ho^{3+} ions.

For the red light emission of the Ho^{3+} ions generated by the reverse energy transfer process between Ho^{3+} – Er^{3+} , the specific process is that the electrons in the ${}^5\text{F}_5$ energy level of the Ho^{3+} ions can transfer to the ${}^4\text{F}_{9/2}$ energy level of the neighboring Er^{3+} ions by an energy transfer process. After that, these electrons

return to the ${}^5\text{F}_5$ energy level of the Ho^{3+} ions by the reverse energy transfer process. Then, they return to the ground state by the radiative transition process and produce red light emission of the Ho^{3+} ions, and this process is shown in Fig. 13(a).^{28,29,35}

The reason for the red light emission generated by the energy transfer process between Er^{3+} – Ho^{3+} ions is specified as follows. The electrons in the ${}^4\text{I}_{13/2}$ energy level of Er^{3+} ions transfer to the neighboring Ho^{3+} ions by the energy transfer process, keeping these electrons in the ${}^5\text{I}_7$ energy level of Ho^{3+} ions. Then, these electrons at the ${}^5\text{I}_7$ energy level absorb two photons consecutively to transit to the ${}^5\text{F}_5$ energy level. They relax to the ground state and produce red light emission of Ho^{3+} ions. On the other hand, the electrons of the Er^{3+} ions in the ${}^4\text{F}_{9/2}$ energy level transfer to the ${}^5\text{F}_5$ energy level of the neighboring Ho^{3+} ions by an energy transfer process, and then they return to the ground state by a radiative transition process and produce the red light emission of the Ho^{3+} ions; this process is shown Fig. 13(b).^{28,29,35}

Fig. 14 shows the fluorescence lifetime decay curves of the Er^{3+} – Ho^{3+} ion co-doped samples under 980 nm excitation. The graph shows that the co-doped Er^{3+} – Ho^{3+} will lead to a certain degree of enhancing the fluorescence lifetime of 540 nm. This is mainly due to the doping of Ho^{3+} ions, which makes the electrons on the ${}^2\text{H}_{11/2}$ and ${}^4\text{S}_{3/2}$ energy levels of the Er^{3+} ions sustain a longer period. This decreases the chance of electrons at this energy level returning to the ground state. Therefore, the fluorescence weakening of 540 nm will appear in the upconversion emission spectrum. On the contrary, doping the Er^{3+} ions with the appropriate amount of Ho^{3+} ions will result in a substantial enhancement of the red emission of the samples. And the fluorescence lifetime of 660 nm is reduced after being doped with Ho^{3+} ions. This is mainly due to many electrons preferentially returning to the ground state and producing the red light emission of 660 nm. Therefore, all these factors increase the red lifetime of Er^{3+} – Ho^{3+} ion co-doped samples. The reduction of 660 nm lifetime also corroborates the significant enhancement of the red emission presented by the emission spectrum.³⁶

The fluorescence decay curves are experimental evidence of energy transfer between rare earths. In order to further clarify the energy transfer mechanism of Ho^{3+} ion doping, we calculated the energy transfer efficiency of Er^{3+} ions co-doped with Er – Ho samples as shown in eqn (3):

$$\eta_{\text{ET}} = 1 - \frac{\tau_{\text{Er-Ho}}}{\tau_{\text{Er}}} \quad (3)$$

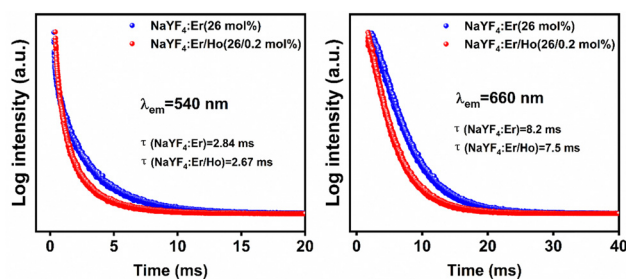


Fig. 14 Fluorescence lifetime of the sample when excited in the 980 nm band.

where $\tau_{\text{Er-Ho}}$ is the fluorescence lifetime of Er^{3+} ions in the Er^{3+} - Ho^{3+} co-doped sample, and τ_{Er} is the fluorescence lifetime of Er^{3+} ions in the Er ion mono-doped sample. From the above equations and combining the fluorescence lifetimes of the Er^{3+} ion mono-doped with the Er^{3+} - Ho^{3+} co-doped at 540 nm and 660 nm, it can be seen that the energy transfer efficiency of the sample is in the range of 5.99–8.53%. The above results indicate that there is a cross-relaxation process between Er^{3+} ions and Ho^{3+} ions, which leads to a positive trend in the population size. This leads to a positive trend in population accumulation at the $^4\text{F}_{9/2}$ energy level of the Er^{3+} ions, which promotes the emission of the sample at 660 nm.

Since in the Er^{3+} - Ho^{3+} ion co-doped system, the doping amount of Ho^{3+} ions is extremely small compared to the optimal doping concentration of Er^{3+} ions, the contribution of the characteristic emission of Ho^{3+} ions to the upconversion luminescence of the sample is extremely weak. Therefore, the upconversion luminescence of the sample is still dominated by the luminescence of Er^{3+} ions. As compared to the increase in the green emission pathway of the Er^{3+} - Ho^{3+} ion co-doped sample, the red light emission pathway is significantly more than the green light emission. Due to the combined effect of cross-relaxation and energy transfer between the ions, the chance of the electron population to the green light energy level is greatly reduced. So the green light emission of the Er^{3+} - Ho^{3+} co-doped samples is significantly quenched, while the red light emission is substantially enhanced.

3.4 Modulating the effect of Er^{3+} - Ho^{3+} - Yb^{3+} ion dopants on the upconversion luminescence properties

In the above study, the role played by Ho^{3+} ions in the Er^{3+} - Ho^{3+} co-doping system and the enhancement of upconversion luminescence was investigated. Afterward, it was found that the upconversion luminescence of the samples doped with Er^{3+} ions was significantly enhanced after doping with Yb^{3+} ions. Combined with the above Ho^{3+} - Yb^{3+} ion co-doping experiment, the doping of Yb^{3+} ions can make the Ho^{3+} ions continue to produce the characteristic red and green light emission, but the upconversion luminescence of Ho^{3+} ions is reduced under the excitation at 1550 nm. This may be due to the large energy difference between the $^2\text{F}_{5/2}$ energy level of the Yb^{3+} ion and the $^5\text{I}_6$ energy level of the Ho^{3+} ions.³⁷ The reverse energy transfer process between the Ho^{3+} - Yb^{3+} ion was difficult to occur. Therefore, the doping of Yb^{3+} ions depletes the energy absorbed by Ho^{3+} ions, the upconversion luminescence intensity of the Ho^{3+} - Yb^{3+} co-doped system was reduced. Consequently, in this section, the appropriate amount of Yb^{3+} ions based on the optimal doping concentration of Er^{3+} - Ho^{3+} ions mentioned above was doped, and the role of Yb^{3+} ions in the multi-ion co-doped system and the effect on the upconversion luminescence performance of the samples was investigated.

Fig. 15 shows the upconversion emission spectra of the Er^{3+} - Ho^{3+} - Yb^{3+} ion triple-doped samples under 1550 nm excitation. As can be seen from the figure, as the doping concentration of Yb^{3+} ions was increased, the upconversion luminescence intensity of the samples increases firstly and then decreases. When

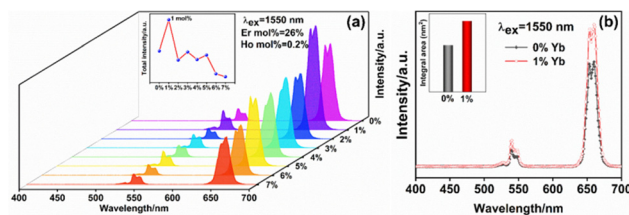


Fig. 15 (a) Upconversion emission spectra of Er^{3+} - Ho^{3+} - Yb^{3+} triple-doped samples under 1550 nm excitation. (b) Upconversion emission spectra of the optimally doped sample with Yb^{3+} ions and the undoped sample with Yb^{3+} ions.

the Yb^{3+} ion doping concentration is 1 mol%, the upconversion luminescence intensity of the sample reaches the best value. The red and green emission intensities of the samples are enhanced to a certain extent after the doping of Yb^{3+} ions in the matrix lattice. Since the photons of 1550 nm are not absorbed by Yb^{3+} ions, the photons in the Er^{3+} - Ho^{3+} - Yb^{3+} ion doped samples are mainly accomplished by Er^{3+} ions, and a small portion of the photons are absorbed by Ho^{3+} ions.^{29,30} By reviewing the relevant literature and combining the experimental phenomena, it can be seen that Yb^{3+} ions for the Er^{3+} - Ho^{3+} - Yb^{3+} triple-doped system of upconversion luminescence mainly plays the role of a reverse energy transfer center of Er^{3+} - Yb^{3+} ions. This process means that the utilization rate of the Er^{3+} ions for the photons is increased so that the intensity of the upconversion luminescence is significantly increased. The energy transfer between the Ho^{3+} - Yb^{3+} ions leads to rapid energy depletion, so the upconversion luminescence intensity of the Ho^{3+} - Yb^{3+} co-doped samples is significantly quenched.

Fig. 16(a) shows the energy level transition of the Er^{3+} - Ho^{3+} - Yb^{3+} ion triple-doped sample under 1550 nm excitation, and Fig. 16(b) shows the IP curve of the Er^{3+} - Ho^{3+} - Yb^{3+} ion triple-doped sample under 1550 nm excitation, from which it can be seen that the energy level transition of the Er^{3+} - Ho^{3+} - Yb^{3+} ion triple-doped system under 1550 nm excitation is a three-photon summation process. For the Er^{3+} - Ho^{3+} - Yb^{3+} triple-doped system under 1550 nm excitation, the increased green emission pathway due to the doping of Yb^{3+} ions mainly consists of the green emission of Er^{3+} ions. The specific process is that the electrons of the Er^{3+} ions in the ground state absorb two photons in succession to transit to the $^4\text{I}_{9/2}$ energy level, after

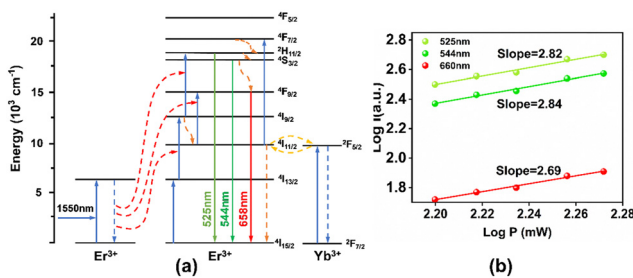


Fig. 16 (a) Energy level transition of Er^{3+} - Ho^{3+} - Yb^{3+} ion triple-doping under 1550 nm excitation. (b) IP curve of Er^{3+} - Ho^{3+} - Yb^{3+} ion triple-doping under 1550 nm excitation.

mainly play the role of the reverse energy transfer center between Er^{3+} - Yb^{3+} ion pairs in the matrix lattice. This study has significant applications in enhancing the upconversion luminescence of the samples and displaying high color purity in the 1550 nm band.

Author contributions

Bohan Lei: conceptualization, data curation, formal analysis, investigation, writing original draft. Liping Lu: supervision, writing – review & editing. Haiying Sun: formal analysis, investigation.

Conflicts of interest

There are no conflicts of interest to declare.

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