# Chemical Science

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## Introduction

 $\alpha$ -Substituted carbonyl compounds are ubiquitous in natural products, agrochemicals, and pharmaceuticals. Therefore, synthetic methodologies able to construct such privileged motifs in a selective and catalytic manner are amongst the most fundamental transformations in organic chemistry.1 Unlike other parent carbonyl compounds, such as aldehydes and carboxylic acids,<sup>2</sup> the asymmetric  $\alpha$ -allylation of ketones still represents an unmet challenge in organic synthesis.3 In this regard, asymmetric allylic alkylation (AAA) reactions represent a powerful tool for the stereoselective insertion of allyl groups.<sup>4</sup> Several catalytic protocols are known under transition-metal<sup>5</sup> and organic Lewis-base6 catalysis, encompassing a wide array of nucleophiles.7 Indeed, the implementation of non-stabilised ketone enolates into transition-metal-catalysed AAA schemes embody an alternative approach for stereoselective  $\alpha$ -allylation of ketones.8 Specifically, transformations employing silvl enol ethers (SEEs) as ketone surrogates are mainly catalysed by chiral iridium complexes and are restricted to linear, non-substituted substrates (Fig. 1A).9 As a result, the corresponding allylated ketones feature a single asymmetric carbon formed at the  $\beta$  position, while the challenging carbonyl  $\alpha$  position does not exhibit stereogenicity (Fig. 1A).

# Catalytic asymmetric defluorinative allylation of silyl enol ethers†

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The stereocontrolled installation of alkyl fragments at the alpha position of ketones is a fundamental yet unresolved transformation in organic chemistry. Herein we report a new catalytic methodology able to construct  $\alpha$ -allyl ketones *via* defluorinative allylation of silyl enol ethers in a regio-, diastereo- and enantioselective manner. The protocol leverages the unique features of the fluorine atom to simultaneously act as a leaving group and to activate the fluorophilic nucleophile *via* a Si–F interaction. A series of spectroscopic, electroanalytic and kinetic experiments demonstrate the crucial interplay of the Si–F interaction for successful reactivity and selectivity. The generality of the transformation is demonstrated by synthesising a wide set of structurally diverse  $\alpha$ -allylated ketones bearing two contiguous stereocenters. Remarkably, the catalytic protocol is amenable for the allylation of biologically significant natural products.

The activation and cleavage of strong C–F bonds is currently attracting significant attention, providing novel alternative electrophiles.<sup>10</sup> As the C–F bond is the strongest single bond that carbon forms (BDE( $C_{sp^3}$ –F) = up to 112 kcal mol<sup>-1</sup>), defluorinative reactions need to overcome high thermodynamic barriers.<sup>11</sup> Thus, leveraging the ability of these highly polarized bonds to act as electrophilic counterparts in mild, controllable and selective catalytic transformations is becoming a central topic in modern organic chemistry.<sup>12</sup> Shibata pioneered the use

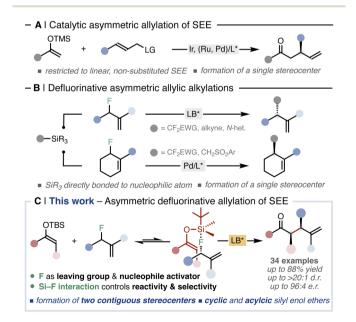


Fig. 1 (A) Precedents in the allylation of SEE and (B) in defluorinative AAAs. (C) Catalytic defluorinative construction of  $\alpha$ -allyl ketones.

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#### **Chemical Science**

of allyl fluorides as unconventional electrophiles in AAA reactions with different silylated C-nucleophiles under Lewis-base catalysis (Fig. 1B, up).<sup>13</sup> Subsequently, Vilotijevic expanded this strategy using N-silylated heterocycles as latent nucleophiles.<sup>14</sup> Recently, Trost and Hartwig have implemented allyl fluorides in transition-metal catalysed defluorinative AAAs (Fig. 1B, bottom).<sup>15</sup>

The common feature of all these defluorinative transformations<sup>13-15</sup> is that the silylated nucleophilic moieties do not comprise a prochiral center. Consequently, the corresponding allylated products bear only one stereogenic carbon (Fig. 1B). As a result, the simultaneous, catalytic formation of two or more asymmetric carbons *via* defluorinative allylation has not been addressed yet.

Herein, we report the first asymmetric allylation of SEEs able to form a-allylated ketones bearing two contiguous stereocenters in a single catalytic event (Fig. 1C). We demonstrate that a defluorinative strategy using allyl fluorides and Si-derived fluorophiles represents an effective approach to unlock the  $\alpha$ allylation of ketones in a regio-, diastereo- and enantiocontrolled manner, without the need of external, stoichiometric fluoride sources. The methodology leverages the unique features of fluorine to act in a concerted way both as a leaving group and as an activator of the fluorophilic SEE through Si-F interaction, overcoming thus the high thermodynamic demand for C-F bond cleavage. The exploitation of such interaction allows the formation of a highly organised transition state which is crucial for the regio- and the diastereochemical outcome. The reaction proceeds through a dynamic kinetic resolution of the racemic allyl fluorides where both the racemisation of the starting material and the enantioselectivity of the transformation are controlled by the chiral catalyst. Altogether this methodology provides a general catalytic protocol enabling the allylation of a wide set of cyclic and acyclic ketones, including complex natural products, in good yields and with excellent regio- and stereoselectivities.

### Results and discussion

#### Reaction design and optimisation

We focused our initial studies by testing the direct allylation of cyclohexanone 1a with the classical allyl carbonate 2a catalysed by the achiral Lewis base DABCO (5a).<sup>6</sup> Although the ionisation of the leaving group generates tert-butoxide anion that should be able to enolise 1a, no product was observed after 18 h (Fig. 2A, entry 1). Next, we decided to pre-form the SEE 1b of cyclohexanone to avoid the enolisation step, but no reactivity was observed either (Fig. 2B, entry 2). The use of an external fluoride source to activate 1b yielded only cyclohexanone with unreacted 2a (Fig. 2C, entry 3). We then hypothesised that the combination of a fluorinated allylating agent (2b) with SEE 1b could be a convenient alternative (Fig. 2D, entry 4). Gratifyingly, the use of allyl fluoride 2b enabled the formation of the  $\alpha$ -allylated product 3a with 82% yield and with excellent regio- and diastereocontrol. This result points out that the simultaneous presence of the silicon moiety on the nucleophile and the fluorine atom on the electrophile is crucial for reactivity and

selectivity. Next, we surveyed a wide set of chiral Lewis bases in different reaction conditions.<sup>16</sup> This screening revealed  $(DHQD)_2PHAL$  (**5b**) as the best catalyst in a THF/CH<sub>2</sub>Cl<sub>2</sub> mixture, affording product **3a** in 75% yield, good regiocontrol (14:1 branched *vs.* linear), complete *syn* diastereoselectivity (>20:1) and 95:5 e.r. after 72 h (Fig. 2D, entry 5). In an attempt to accelerate the asymmetric catalytic process, we tested the reaction in the presence of  $(nBu)_4NF$  as additive. Despite full conversion of **2b** was reached in 18 h, only the linear allyl ketone **4a** was formed in 33% yield (Fig. 2C, entry 6). Hence, while the activation of **1b** is crucial for reactivity, the uncontrolled formation of its enolate is detrimental for the desired selectivity.<sup>17</sup>

#### **Mechanistic studies**

Compelled by the excellent regio- and diastereoselectivity of the reaction, we studied its mechanism. We initially focused our attention on the exquisite diastereocontrol, as none of the catalytic defluorinative AAA reported to date<sup>13-15</sup> generate more than one stereogenic carbon. First, we questioned whether the ammonium intermediate **A** could be detected (Fig. 3A), as it is commonly invoked as the competent electrophilic catalytic species in Lewis-base catalysed AAAs.<sup>6</sup> Upon mixing **2b** with 20 mol% of DABCO in CD<sub>2</sub>Cl<sub>2</sub>, **A** was quantitatively formed as a 75 : 25 *E/Z* mixture in 4 h. The release of fluoride anion was confirmed by <sup>19</sup>F-NMR.<sup>16</sup> Conversely, **A** was not formed after 24 h under the effect of (DHQD)<sub>2</sub>PHAL (Fig. 3A). Upon monitoring the reaction course by *in situ* NMR, the free catalyst **5b** was identified as the catalyst resting state while no traces of the corresponding ammonium species **A** could be detected.<sup>16</sup>

Next, we turned our attention to the silicon-assisted activation of the fluorine atom in 2b.10 By using electroanalytical and spectroscopic techniques, we uncovered the existence of a Si-F interaction (Fig. 3B).18 The oxidation potential of SEE 1b undergoes an anodic shift upon sequential additions of 2b (Fig. 3B(i)) in CV measurements using  $(nBu)_4NClO_4$  as supporting electrolyte. This observation is consistent with the formation of the pentacoordinated, trigonal bipyramid intermediate B, whose central silicon atom becomes inherently electron-deficient due to hypervalent bonding.19 In such configuration, the most electronegative fluorine atom occupies an apical position while the enol ether is located in an equatorial position that facilitates back  $\pi$ -bonding with the electrondepleted silicon atom.16 When the CV titration was performed with LiClO<sub>4</sub> as supporting electrolyte, the oxidation potential of 1b remained constant upon increasing concentrations of 2b (Fig. 3B(ii)). Considering the ability of lithium ions to activate C-F bonds towards nucleophilic displacements<sup>15c,20</sup> with its strong Lewis acidity,21 the presence of lithium in solution prevents the formation of intermediate B. These trends are also observed by <sup>19</sup>F-NMR in the actual reaction conditions (Fig. 3B(iii)). Successive addition of controlled amounts of 1b to a solution of 2b shifts the fluorine resonance towards higher fields, coherently with the formation of the pentacoordinated intermediate B with concomitant increase of electron density on the apical ligands. However, the 2b fluorine signal is deshielded

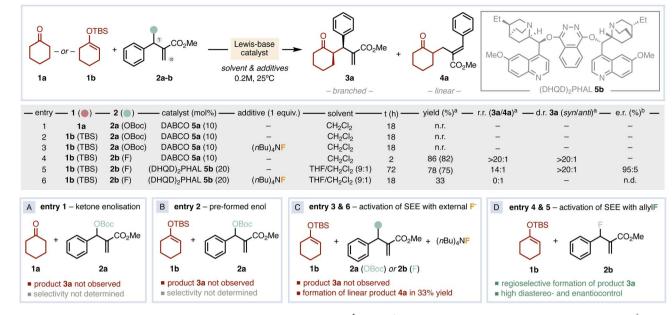


Fig. 2 Reaction design and optimization. Selected results determined by <sup>1</sup>H-NMR<sup>a</sup> (in parenthesis the isolated yield) and chiral HPLC.<sup>b</sup> n.d.: not determined.

upon addition of LiClO<sub>4</sub> in THF\_d<sub>8</sub>/CD<sub>2</sub>Cl<sub>2</sub>, indicating a net charge transfer from F to Li atoms (Fig. 3B(iii), right).<sup>16</sup> Indeed, the reactivity is completely suppressed in the presence of 1 equiv. of LiClO<sub>4</sub>. According to the acquired spectroscopic information, we propose that the high regio- and diastereoselectivity of the reaction is governed by the aforementioned Si–F interaction.

Next, we monitored the kinetic and stereochemical reaction profiles to prove the feasibility of our proposed model (Fig. 4). The reaction exhibits an initial fast regime where only (*S*)-**2b** is consumed (Fig. 4A(i), black traces). Around 50% of conversion, the remaining allyl fluoride **2b** is essentially in its (*R*) form (Fig. 4A(i), green traces). At this point, the reaction kinetics shifts to a second, slower regime. Indeed, an enantiopure sample of (*S*)-**2b** was completely racemised in the presence of 20 mol% of **5b** after 72 h.<sup>16</sup> These observations indicate that the asymmetric reaction proceeds through dynamic kinetic resolution of the allyl fluoride **2b**, where the attack of the catalyst to **B** is likely the rate-determining step in the first regime whereas the catalyst-promoted racemisation of **2b** determines the reaction rate during the second regime.

The kinetic profiles using enantioenriched allyl fluorides further confirm this hypothesis (Fig. 4A(ii)). While the reaction using highly-enantioenriched (R)-**2b** (R/S = 98:2) proceeds through a single, slow regime controlled by substrate racemisation (Fig. 4A(ii), blue traces), the kinetic profile employing a scalemic mixture of **2b** (R/S = 12:88) features a faster initial regime up to 70% conversion (Fig. 4A(ii), yellow traces). Based on the observed Si–F interaction, the impossibility to detect any charged species, the acquired mechanistic information together with crucial control experiments, as well as key literature precedents,<sup>13c,d</sup> the stereochemical outcome of the reaction can be rationalised through transition state **C** *via* S<sub>N</sub>i substitution (Fig. 4B).<sup>22</sup> In this scenario, the C–F bond activation takes place by simultaneous Si/F and Lewis-base/allyl moiety interactions, leading to the chair-like, six-membered transition state without formation of fully charged species. The bulkiest acrylate/catalyst residue, located in equatorial position, shields the upper face of the allyl fluoride. Thus, the nucleophilic methine of the SEE attacks the electrophile by the lower face in C, substituting the fluorine with retention of configuration and affording product **3a** with *syn* diastereoselectivity.

#### Generality of the catalytic methodology

Having identified the optimal catalytic conditions and disclosed a coherent reaction mechanism, we next evaluated the generality and limitations of the asymmetric defluorinative allylation of SEE (Fig. 5). A series of six-membered SEEs reacted smoothly under these conditions. The corresponding allylated ketones adorned with different functional groups, such as a gemdimethyl (3b), an acetal (3c), an ether (3d), and a tertiary amine (3e), as well as fused aromatic rings (3i and 3k), were isolated in good yields and excellent stereoselectivities. Cyclic SEEs with other ring sizes were also successfully allylated (3f-h). Next, we studied the reactivity with acyclic nucleophiles. The SEE derived from acetophenone afforded the allylated products (3n-3p) in excellent yields end enantioselectivities. Acyclic substituted SEEs could also be allylated under the optimised conditions. Despite products 3l and 3m are formed in good yields (66% and 78% respectively), the stereoselectivity is substantially eroded. Subsequently, we investigated how the electronic nature of the allyl fluoride influenced the reaction outcome. Allyl fluorides decorated with halogens at the para position of the aryl ring are well tolerated (3q, 3r, 3w). Strong electron-withdrawing groups, including trifluoromethyl (3s), cyano (3t) and methyl ester (3u) groups, are also competent

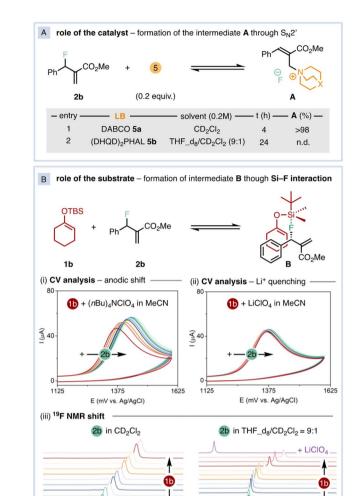


Fig. 3 (A) Role of the catalyst. *In situ* formation of the ammonium intermediate **A**. (B) Role of the substrate: formation of the hypervalent intermediate **B** through Si–F interaction. (i and ii) CV titration of **1b** (5 mM) adding aliquots of **2b** (0–50 mM) using either  $(nBu)_4$ NClO<sub>4</sub> (0.1 M) or LiClO<sub>4</sub> (0.1 M) as supporting electrolyte in MeCN. (iii) <sup>19</sup>F-NMR titration of **2b** (0.2 M) adding aliquots of **1b** (0–1.4 M). n.d.: not detected.

-172 10

-172 20

-172 30

δ (ppm)

-172 40

-170.95

substrates. Strong electron-donating groups, such as methoxy in 3v, hampered the reactivity, affording the allylated ketone in a low 34% yield but with good stereocontrol (>20:1 d.r. and 92: 8 e.r.). Substitution can also be allocated in other positions of the aryl ring. While the meta-substituted derivative 3y was formed in 43% yield, the corresponding para and ortho derivatives 3x and 3z were isolated in 75% and 60% yield respectively, with e.r. ranging from 88:12 to 96:4 and >20:1 d.r. Allyl fluorides bearing fused aromatic (3aa and 3ab) and heteroaromatic (3ac) rings also engage in the catalytic asymmetric allylation. Finally, we investigated the impact of the ester group on the allyl fluoride. We observed that the bulkier is the ester alkyl group, the slower is the catalytic process. While ethyl and n-hexyl-derived substrates furnished ketones 3ad and 3ae in good results, the tert-butyl derivative 3af could only be obtained in trace amounts. Remarkably, the catalytic methodology can be

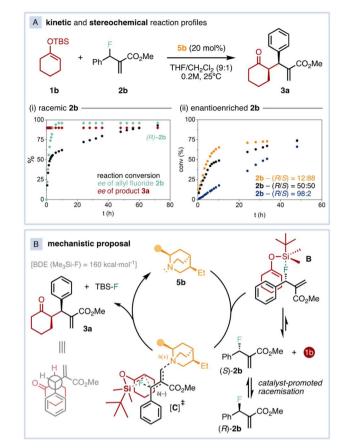


Fig. 4 (A) Kinetic and stereochemical reaction profiles (i) starting from a *rac-2b* sample, and (ii) starting from enantioenriched **2b** samples. (B) Mechanistic proposal.

successfully scaled up to the gram scale without compromising neither the yields nor the selectivity (3a and 3w). The absolute configuration was ascertained by anomalous X-ray diffraction of the brominated ketone 3w. Attempts to expand the generality of the catalytic transformation to other reaction partners, such as α,α-disubstituted SEE to construct a quaternary stereocenter or aliphatic allyl fluorides, were unsuccessful.<sup>16</sup> Under the optimised asymmetric conditions, the former mainly afforded the linear regioisomer 4, while the latter provided sluggish reactivity and complex reaction mixtures. Finally, we tested the catalytic defluorinative transformation in the challenging latestage allylation of chiral biorelevant compounds. The  $\alpha$ -allylated ketones derived from (+)-camphor (3ag), pregnenolone (3ah) and estrone (3ai) were isolated in 6-52% of yield and 2:1-10:1:0:0 d.r. The three allylated natural products encompass structurally distinct ketone functionalities, including a six- and a five-membered as well as a linear ketone, thus demonstrating the generality and versatility of the defluorinative allylation. It is worth to note that an increase of the steric encumbrance on the nucleophilic atom of the SEE favours the formation of the linear regioisomer, as in the case of substrates 3l and 3ag.16

To further validate the synthetic utility of the method, we developed a series of diastereoselective manipulations of **3a** for the construction of complex relevant products bearing multiple

-170.85

170 90

δ (ppm)

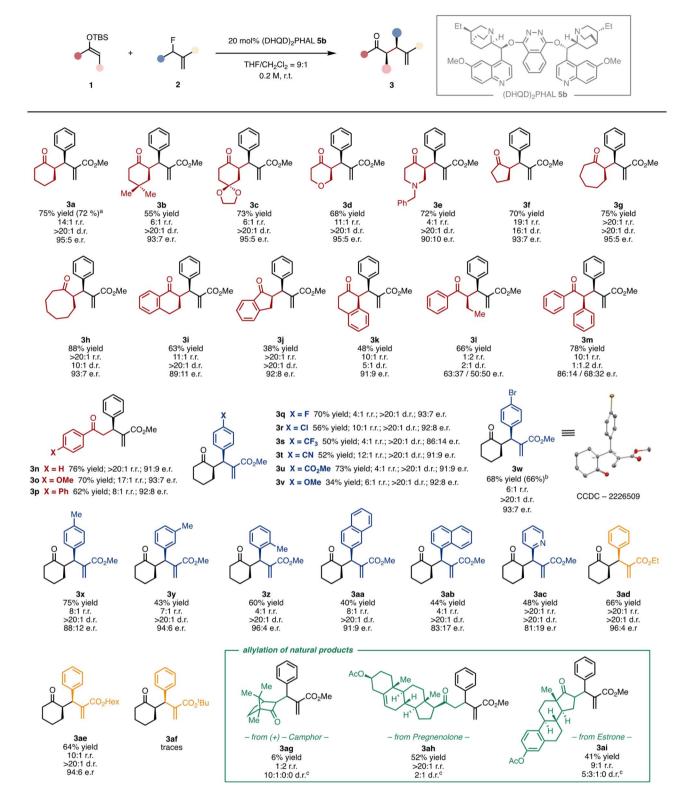
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**Fig. 5** Reaction scope. General conditions: an ordinary vial equipped with a magnetic stirring bar is charged with 0.2 mmol of **2**, 0.6 mmol of **1**, 1 mL of THF/CH<sub>2</sub>Cl<sub>2</sub> (9 : 1) and 0.04 mmol of catalyst **5b**, and the resulting solution is stirred at room temperature until full conversion. Reaction performed at 5 mmol<sup>a</sup> and 1 mmol<sup>b</sup> scale. Relative configuration not determined.<sup>c</sup>

contiguous stereocenters (Fig. 6). While alkene hydrogenation of **3a** catalysed by Pd/C afforded product (R,R,R)-**6a** in quantitative yield in favour of the compound with *anti*-configuration of

the newly formed stereocenter (2:1 d.r.), the hydrogenation mediated by the Crabtree catalyst selectively furnished the all*syn* ketone (*R*,*R*,*S*)-**6b** with high diastereocontrol (1:10 d.r.) and

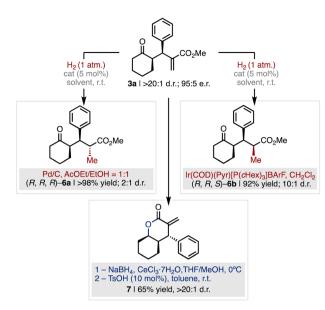


Fig. 6 The diastereoselective manipulation of product **3a** enables to increase chemical complexity for the synthesis of relevant scaffolds.

excellent yield (92% yield, Fig. 6 red). On the other hand, Luchetype reduction of the ketone moiety of **3a** and subsequent acidcatalysed cyclisation afforded the  $\alpha$ -methylene- $\delta$ -lactone 7 in 65% yield over the two steps and complete diastereocontrol (>20:1 d.r., Fig. 6 blue). The  $\alpha$ -methylenelactone motif is present in several natural products with broad spectrum of biologically relevant activities as well as in anti-cancer drug candidates.<sup>23</sup>

## Conclusions

In conclusion, we have developed a general, robust, and versatile methodology for the construction of  $\alpha$ -allyl ketones in highly regio-, diastereo- and enantioselective fashion. The formation of a supramolecular intermediate through Si–F interaction between the two reaction partners is essential to accomplish the challenging C–F bond-cleavage with concomitant activation of the SEE, as well as to master the selectivity of the whole process. We envisage that the exploitation of such interactions will overcome common pitfalls in AAA reactions while unlocking novel reactivity in asymmetric catalysis.<sup>24</sup>

## Data availability

All the supplementary data associated with this article is already in the ESI.†

## Author contributions

J. D. and J. M. performed the experiments. J. D., J. M., A. M. and X. C. discussed the results. X. C. directed the project. X. C. wrote the manuscript with assistance from all the authors. All authors contributed to the manuscript and have approved its final version.

## Conflicts of interest

There are no conflicts to declare.

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