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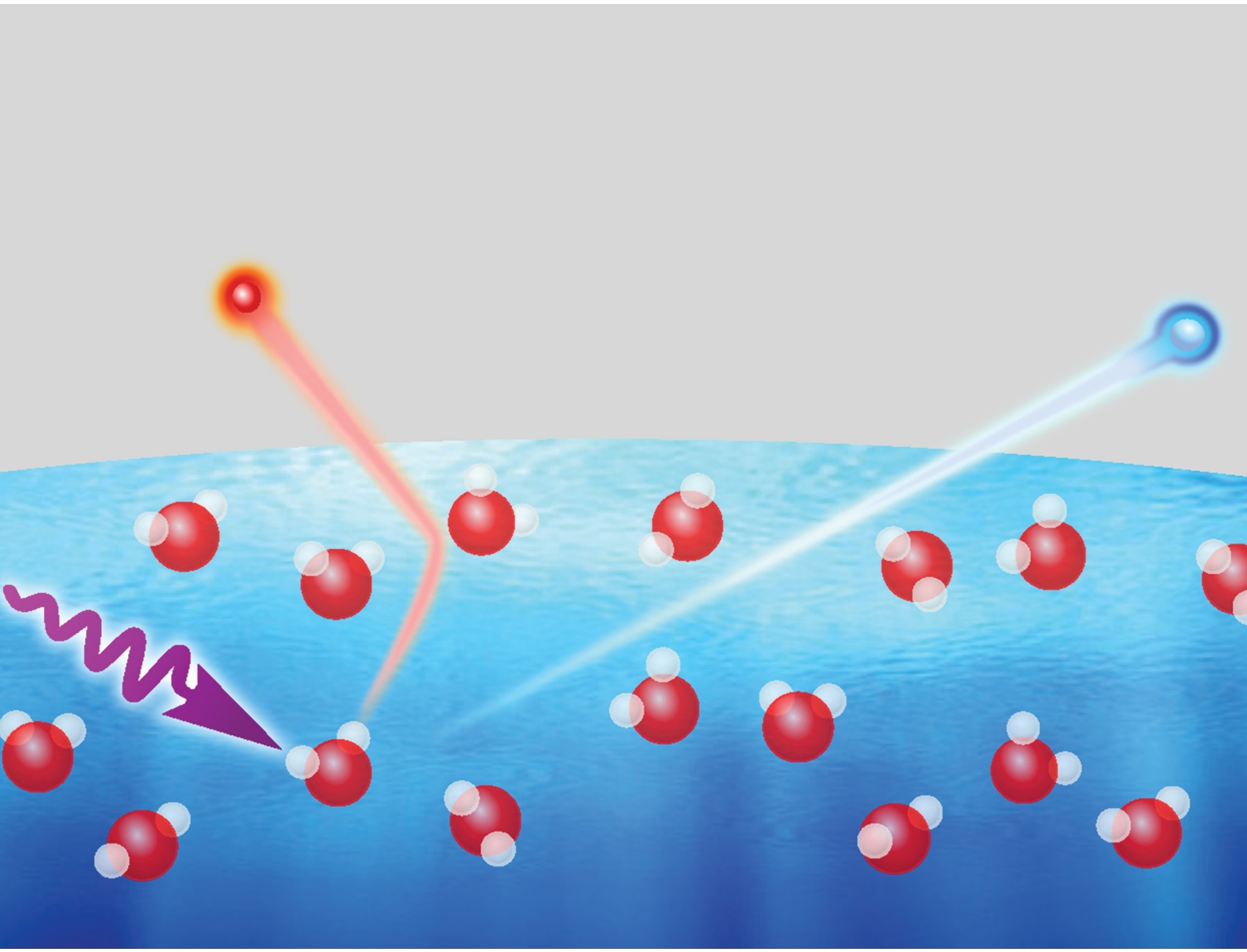

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PERSPECTIVE

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Photoionization of the aqueous phase: clusters, droplets and liquid jets

Ruth Signorell [a] and Bernd Winter [b]

This perspective article reviews specific challenges associated with photoemission spectroscopy of bulk liquid water, aqueous solutions, water droplets and water clusters. The main focus lies on retrieving accurate energetics and photoelectron angular information from measured photoemission spectra, and on the question how these quantities differ in different aqueous environments. Measured photoelectron band shapes, vertical binding energies (ionization energies), and photoelectron angular distributions are influenced by various phenomena. We discuss the influences of multiple energy-dependent electron scattering in aqueous environments, and we discuss different energy referencing methods, including the application of a bias voltage to access absolute energetics of solvent and solute. Recommendations how to account for or minimize the influence of electron scattering are provided. The example of the hydrated electron in different aqueous environments illustrates how one can account for electron scattering, while reliable methods addressing parasitic potentials and proper energy referencing are demonstrated for ionization from the outermost valence orbital of neat liquid water.

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Introduction

Continued interest in the properties of liquid water mirrors water's ubiquitous presence on Earth, its indispensable role in the chemical and biological processes of life and in the environment, and its involvement in technological processes. Although the structure of a single water molecule is so simple, it is the ability to form hydrogen bonds and the associated polarizability that makes water unique and multifaceted. Water acts as a solvent, electrolyte or reaction catalyst. It naturally exists in very different aqueous-phase environments – neat liquid water, ice, aqueous solutions – and sample dimensions, including microscopic clusters, droplets, or macroscopic liquid jets (LJ). A correspondingly large number of experimental techniques has been developed aiming at the detailed understanding of the properties of these objects on the molecular level. This includes chemical and physical processes, such as hydration, intermolecular/atomic energy and charge transfer between water and solute, encompassing chemical reactivity, with the expectation that properties typically differ between the respective interfaces and the bulk/interior.

The present article focusses on the electronic structure of liquid water, also touching upon aqueous solutions in liquid jets, clusters, and aerosols, as accessed by (linear) photoemission spectroscopy. Time-resolved studies will not be explicitly considered. We use the term 'photoemission' to distinguish between the emission of a direct photoelectron (this is usually referred to as photoelectron spectroscopy, PES,[1] and relates to probing the system's electronic ground-state) and the emission of electrons generated by some second-order relaxation process. Direct PES in the aqueous phase has been extensively reviewed.[2–4] Regarding the relaxation channels usually following core-level ionization, we distinguish between (local) Auger decay and non-local autoionization decays, observed for weakly bound systems, such as hydrogen-bonding systems.[5–8] While the Auger process leads to a 2+ final state with the charges localized at the initially ionized species, there is also a special type of local decay, proton-transfer-mediated charge separation, involving proton dynamics.[5–8] In the case of non-local autoionization the resulting 2-hole state is delocalized over the initially ionized (molecular) species and the solvation shell, stabilized by the Coulomb energy resulting from the separation of the two positive charges. Interatomic/intermolecular Coulombic Decay (ICD) processes in liquid water and clusters, as well as other non-local processes, and their role in determining electronic and even geometric structure details of aqueous solutions have recently been comprehensively reviewed.[9]

Our particular focus in the present work lies on an aspect not considered in most previous studies, namely the contribution to the photoemission spectrum arising from the multiple energy-dependent electron-scattering processes in solution, mostly electron–water collisions.[10–13] Especially low-energy electron scattering can severely distort the true ("genuine") photoelectron spectrum to the point that energetics (electron binding energies)

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are only accessible by way of complex modelling of electron scattering in liquid water. We discuss the fate of an electron born upon photoionization within the aqueous phase, until it crosses the aqueous phase–vacuum interface and is eventually detected in vacuum, and we explain where caution must be taken when measuring PES spectra. Retrieving the genuine photoelectron spectrum from measured spectra distorted by electron scattering will be discussed in detail for the smallest solute, the hydrated electron.[14,15] At high enough electron kinetic energies (and correspondingly high enough photon energies) the influence of electron scattering is typically less pronounced, whereas processes near the ionization threshold are difficult to detect, posing a great challenge to several novel applications of LJ-PES. Prime examples are post-collision interactions (PCI[16,17]) and photoelectron circular dichroism (PECD[18–21]) in aqueous phase, both of which will be discussed here.

Our other main focus in this article is on recent advancements in liquid-jet PES that provide access to accurate energetics of liquid water and aqueous solutions, by applying condensed-matter concepts instead of relying on the usually ill-defined gas-phase energy referencing method.[22,23] We discuss major implications for liquid-phase PES, including a potential extension to explicitly access solution interfacial properties, which is conceptually difficult to accomplish within the commonly used purely molecular description of liquid water.

Experiments described in this article use different devices for sample preparation, different ranges of photon energies and hence different light sources, and different electron detectors. Fig. 1a presents a schematic setup of the cluster/particle/droplet photoemission imaging experiment.[24–27] Particles/droplets are produced with various particle/droplet generators and size-selected using commercial aerosol instrumentation outside vacuum, prior to the transfer into vacuum by different air–vacuum interfaces. For volatile droplets, size selection can be achieved by special air–vacuum interfaces. Clusters are generated by supersonic expansions into vacuum using various expansion nozzles (not shown in Fig. 1a). The clusters/particles/droplets are excited/ionized using radiation from the infrared (IR) to X-ray range, and detected with a velocity map imaging (VMI) photoelectron spectrometer. VMI allows one to record electron kinetic energies and photoelectron angular distributions with high collection efficiency and angular multiplexing. Fig. 1b sketches a typical LJ-PES experiment,[28] equipped with a vacuum liquid microjet, the laminar phase of which is photoionized at a distance of a few millimeters from the entrance of a hemispherical electron analyzer (HEA); see the inset photo for the actual arrangement. Unlike the particle experiment, capable of covering an electron detection angle of 4π, only a very small fraction of all emitted electrons is detected in the present LJ experiment, but HEAs maintain a constant and very high resolution up to high kinetic energies. This detector is typically used in conjunction with soft-X-ray radiation as it is capable of detecting electrons with kinetic energies >1000 eV,[29] but may also be used in conjunction with a typical laboratory ultraviolet (UV) source such as a plasma discharge lamp. Other LJ-PES studies often use magnetic-bottle time-of-flight (MB-ToF) detection

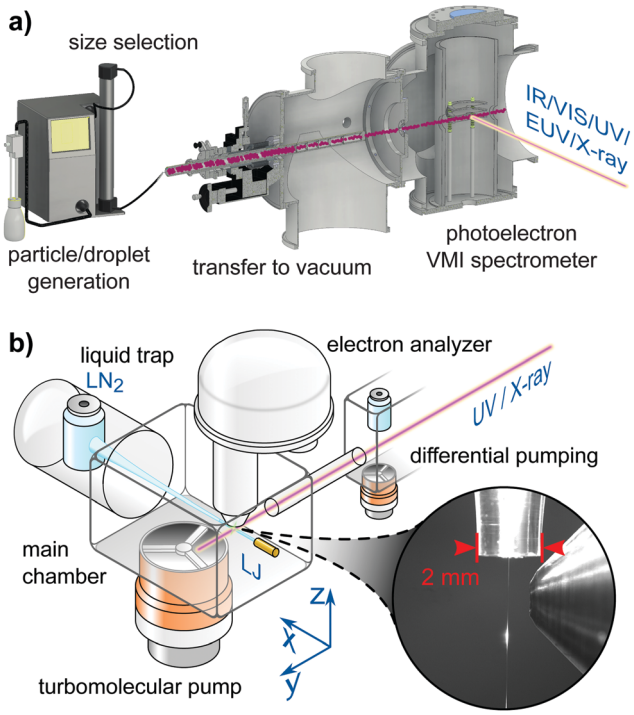

Fig. 1 (a) Schematic of a cluster/particle/droplet velocity map imaging (VMI) photoelectron spectrometer.[26] Particles/droplets are generated in a particle/droplet generation unit and transferred into the VMI photoelectron spectrometer through an air–vacuum transfer device. The latter usually consists of aerodynamic lenses[135] or aerodynamic-mechanical selectors.[24] Size selection is performed before transfer into vacuum for non-volatile particles/droplets or in the air–vacuum transfer device for volatile particles/droplets. Clusters are formed by supersonic expansion into vacuum (not shown) using different types of expansion nozzles. The clusters/particles/droplets are excited and ionized with various light sources ranging from the infrared (IR) to the X-ray regime. The generated photoelectrons are projected onto an electron detector with the help of a set of electrostatic lenses operated in the VMI mode.[136] (a) is reproduced from Fig. 2 in ref. 26 with permission from the Annual Review of Physical Chemistry, Volume 71 ©2020 by Annual Reviews, **https://www.annualreviews.org/**. (b) Schematic of a LJ-PES setup used in conjunction with soft-X-ray ionization. In this particular arrangement the jet propagates horizontally, hitting a liquid-nitrogen cold trap at some tens centimeter distance from the jet-forming capillary. Additional cold traps in the main chamber assist with pumping the vapor from the liquid jet (LJ). The laminar part of the LJ is ionized at <1 mm distance from the typically 500–800 μm diameter entrance cone of the analyzer, depicted in the photograph. The LJ is mounted onto a precise *XYZ*-manipulator to optimize positioning on a micrometer scale. The detection axis of the hemispherical electron analyzer (HEA) is usually perpendicular to the LJ propagation direction. The X-ray or UV light is introduced through a pinhole from a differential pumping stage as last element of a beamline connection or a capillary from a plasma discharge lamp. The angle of the light propagation direction and polarization vector is often variable by means of using different ports or a rotation mechanism to realize different experimental configurations depending on the measurement goal. The main chamber, differential pumping stage and lens elements of the HEA are equipped with multiple high-throughput turbomolecular pumps to effectively reduce the pressure from the evaporating liquid jet. Modern near-ambient pressure HEAs feature three to four differential pumping stages until the detection unit. Together with the short distance to the detector orifice the pressure is quickly reduced, which enables the passthrough of photoelectrons for detection. (b) is adapted from Fig. 2 of ref. 28.

instead, but typically applying photon energies <100 eV from lab-based laser systems, and usually aiming at time-resolved LJ-PES measurements. MB-ToFs feature a high collection efficiency, but have poorer energy resolution, particularly at higher energies.

1. Electron scattering in water

1.1 Influence on binding energy spectra and photoelectron angular distributions

Photoemission spectra and images can be pronouncedly influenced by elastic and inelastic electron transport scattering – an important factor that has only recently received the attention it deserves.[10,12,14,24–26,30–37] Photoionization of liquid water, water droplets and water clusters, or of solutes in these aqueous environments results in the formation of quasi-free electrons (e^- in Fig. 2a), which have different electron kinetic energies (eKEs) depending on the binding energy (eBE) of the bound state of origin and on the photon energy ($h\nu$) used for ionization. To be detected, these electrons have to travel from their point of origin to the water–vacuum interface and then escape into vacuum (e_{vac}^-). On the way, the electrons' kinetic energies and trajectories (''linear momentum'') are modified by elastic and inelastic scattering of the electrons at the water molecules that lie along their path (thick blue arrow). As a result of these so-called transport scattering processes, the genuine (also referred to as intrinsic or nascent) binding energy spectrum, eBE^{gen}, and the genuine photoelectron angular distribution, PAD^{gen}, *i.e.*, the quantities at the point of origin, can differ from the corresponding quantities that are measured at the electron detector after escape of the electrons into vacuum (eBE and PAD, respectively). Often, the true interest lies in eBE^{gen} and PAD^{gen} and not in the measured eBE and PAD because the former contains the physically relevant information about the orbital from which the electron arises. In particular for low-kinetic energy electrons scattering can hinder direct experimental access to eBE^{gen} and PAD^{gen} since it can falsify the information on these genuine quantities (see later). This is because energy losses will be on the order of <100 meV and thus generating signal right at the base of the initial photoelectron peak with peak widths typically 1.0–1.5 eV in aqueous phase. In these cases, corrections for the influence of scattering are required for the retrieval of eBE^{gen} and PAD^{gen}. Yet, electron scattering can also be helpful in applications of PES to condensed matter. For example, in the so-called depth-profiling, the kinetic energy dependence of the electron's escape depth is exploited to probe at different depths from the surface simply by varying the photon energy.[38,39] However, the lack of detailed understanding of scattering processes in liquid water still limits the accurate quantification of the depth scale. Another promising future application may be to utilize the scattering (background) signal itself as a probe, *e.g.*, to observe the layered interface structure of surface-active species *via* changes in the scattering behavior. Such techniques have been successful in solid-state applications to reveal detailed surface structures.[40–42]

Scattering contributions depend in a non-trivial way on the kinetic energy of the electrons, and thus on $h\nu$, and on the type and dimension of the aqueous environment (*e.g.*, liquid bulk water, water clusters). The eKE dependence leads to an explicitly energy-dependent scattering correction term, E_{sca}, that

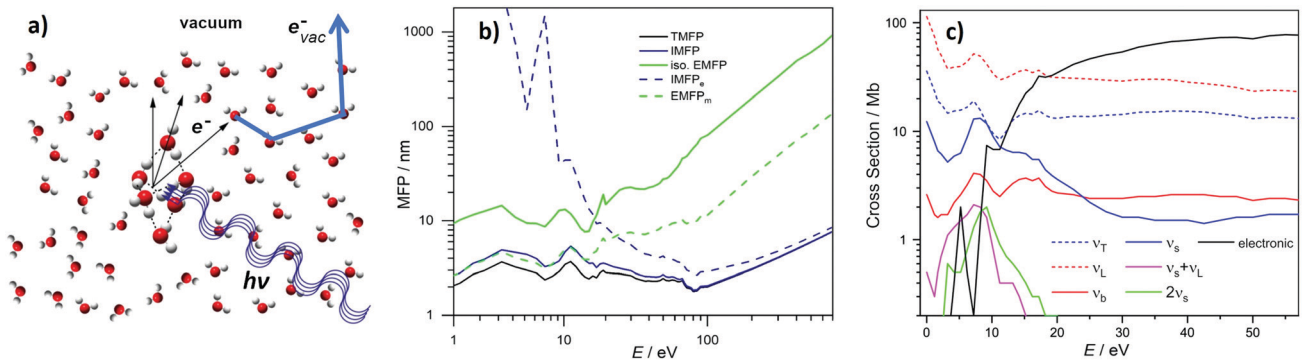

Fig. 2 (a) Formation of a quasi-free electron, e^-, in liquid water, water droplets or water clusters by photoionization with light of photon energy $h\nu$. This photoelectron is formed with a characteristic genuine kinetic energy (eKE^{gen}) and angular distribution (PAD^{gen}). On its way from its point of origin into vacuum, where it is detected as e_{vac}^-, inelastic and elastic scattering at the water molecules (electron transport scattering, blue arrow), have changed both the electron's kinetic energy and its direction of travel. The measured kinetic energy (eKE) and angular distribution (PAD) thus differ from the corresponding genuine quantities. (b) Mean free paths (MFPs) for electrons in liquid bulk water retrieved in ref. 10 (see also ref. 11). TMFP is the total MFP, which contains the contributions from all inelastic and isotropic elastic scattering channels. IMFP is the total inelastic MFP. $IMFP_e$ is the electronically inelastic MFP, which contains all inelastic channels associated with electronic scattering. iso. EMFP is the isotropic contribution to the elastic MFP. $EMFP_m$ is the quasi-elastic momentum transfer MFP. It describes the propensity of the photoelectron to change its direction in a quasi-elastic scattering event (*i.e.*, with no or only small changes of the eKE). E is the kinetic energy outside the sample (as measured by the detector and as defined by Michaud *et al.*[11]). The kinetic energy inside the sample is E + 1 eV (because of the escape barrier of 1 eV). (b) Is reproduced from Fig. S2 in the SM of ref. 10 with permission, Copyright ©2011 by American Physical Society. (c) Scattering cross sections for electrons in liquid bulk water retrieved in ref. 10 (see also ref. 11). T = translational phonons combined, L = librational phonons combined, b = OH-bend, s = OH-stretches combined, electronic = all electronic channels combined (incl. dissociative attachment). The figure illustrates the strongly varying contributions of the various inelastic scattering channels, in particular below kinetic energies of 20 eV. 1 Mb = 10^{-18} cm^2. E is the kinetic energy outside the sample (as measured by the detector and as defined by Michaud *et al.*[11]). The kinetic energy inside the sample is E + 1eV (because of the escape barrier of 1eV).

also depends on the sample/detection geometry and needs to be taken into account when relating measured eBE to genuine eBE^{gen} values

$$eBE^{gen} = h\nu - eKE - E_{sca} = eBE - E_{sca}, \quad (1)$$

where eKE is the electron kinetic energy measured after the escape of the electron into vacuum. E_{sca} accounts for the energy loss of the photoelectrons detected within a given band of the photoelectron spectrum. In high-eKE applications (above ~100 eV), E_{sca} is expected to be small for photoelectrons that are detected within the widths of a given photoelectron band. However, this term can become substantial for low-eKE applications, especially near the ionization threshold where it may cause a significant change of the band shape. This difference in behavior between the low- and high-eKE regime is due to the nature of the various energy-dependent scattering processes, as will be discussed below. We are particularly concerned with processes near ionization thresholds (*i.e.*, low eKE) which are almost impossible to quantify from the experiment alone. Instead, detailed modelling of the ionization process at the point of origin, the various scattering processes and the detection process (see below and ref. 10 and references therein) is required. The energy-dependence of electron scattering influences the shape of a photoelectron band in a complicated way, as we will show. Generally (*e.g.*, neglecting thermal energy gain processes[11]) inelastic electron scattering decreases the measured eKE compared with eKE^{gen}, which is equivalent to an increase of the measured eBE compared with eBE^{gen} so that measured solvent and solute binding energies tend to be too high compared with genuine binding energies. In the present work, our focus is on the vertical binding energy (VBE), which is the most probable binding energy (see Section 4 for more details), and which is determined as the binding energy value at the maximum of a photoelectron band. In the following, we will use VBE interchangeably with vertical ionization energy (VIE; see particularly Section 4). The effect on the shape of a photoelectron band is strongest for low photon energies, no more than ~10–15 eV above the VBE of the species that is ionized, *i.e.*, for initial electron kinetic energies eKE^{gen} <10–15 eV. This is shown in Fig. 3 presenting a series of valence photoemission spectra from a liquid water microjet, measured at photon energies 20–60 eV, adapted from Malerz *et al.*[34] The valence peaks shift to lower eKEs with decreasing photon energy according to eKE = $h\nu$ − eBE, where the eBEs pertain to the measured binding energies of the respective water molecular orbitals. Unlike previously reported valence spectra from liquid water, the spectra extend from the low-energy cutoff, E_{cut}, at the onset of the large signal tail of the valence emission feature, up to the lowest-ionization-energy (highest eKE) which corresponds to ionization of the water $1b_1$ molecular orbital, with $VIE_{water,1b1}$ = 11.34 eV[22] (see Section 4). The low-energy tail, LET, characteristic for condensed-phase photoemission, appearing at eKE of <10 eV, results from photoelectrons that lost almost all of their initial energy in various scattering processes. All those electrons have sufficient energy to overcome the surface barrier of the sample, and electrons with the lowest energies (quasi-zero kinetic energy) give rise to the steep signal edge at the cutoff, associated with E_{cut}. The LET spectrum typically includes contributions from primary electrons which have lost energy due to various inelastic scattering processes (inelastically scattered primary electrons), as well as electrons formed in impact-ionization cascades that generate secondary electrons. In order to experimentally access the full LET distribution, including E_{cut}, measurements must be performed from an electrically biased liquid jet, as will be explained in Section 4. The spectra in Fig. 3 were obtained using a −55 V bias voltage, and the resulting shift in the measured eKEs has been subtracted such that the PES spectra appear as if being measured from a grounded jet; however, the position of E_{cut} and all PE features in the spectrum is of no particular relevance here. Note that application of a sufficiently high bias voltage effectively removes, or more precisely smears out, spectral contributions from the gas phase which otherwise perturb the liquid PES (if the gas-phase signal does not completely dominate the spectrum to begin with).[34]

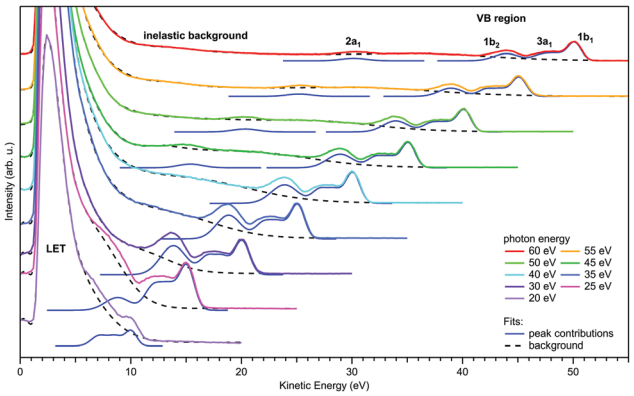

Fig. 3 Valence photoemission spectra from a negatively-biased liquid water ionized at photon energies between 20 and 60 eV; the nominally applied voltage of −50 V has been subtracted from the measured eKEs to produce the eKE scale shown here. Photoelectron peaks due to ionization of the water $1b_1$, $3a_1$, $1b_2$, and $2a_1$ orbitals are labeled. Individual contributions are shown by the respective Gaussians. LET denotes the low-energy spectral tail, with intensity maxima clipped here. The dashed black curves represent the contribution from the background signal and the dark blue curves are fits of Gaussians to the peak features. For more details of the fitting procedure, including the description of the background signal we refer to Malerz *et al.*[34] It is apparent that the peaks are suppressed when the eKE decreases below the critical threshold of ~10 eV, while at the same time the inelastic background below the peak increases drastically. Figure is reproduced from Fig. 1 of ref. 34 with permission from the PCCP Owner Societies.

Regarding the explicit effect of scattering on peak energy and shape, we see in Fig. 3 that for high enough photon energies the valence spectrum resides on top of a flat background of inelastically scattered electrons. Here, the primary peaks are still largely unaffected by inelastic scattering so that the correction term, E_{sca}, is still relatively small. With decreasing $h\nu$, and hence decreasing eKE, the peaks sit atop a larger and larger background of increasing slope. This means that E_{sca} has contributions small enough to keep the scattered electrons approximately within the energy range of the peak, affecting its shape. Eventually, near $h\nu$ = 20 eV, water's $1b_1$ emission can

only be detected as a small shoulder near 10 eV eKE. This is accompanied by a sudden decline of the primary direct peak intensities, counter-balanced by the relative contribution of the inelastically scattered background signal which rises steeply for eKEs below 10–14 eV.[34] In other words, small-energy loss contributions also increase in probability here. In the energy range below 10 eV, electron scattering in liquid water is mostly accompanied by the excitation of inter- and intramolecular vibrations as the kinetic energy hardly suffices to cause (known) electronic excitation. This is reflected in the different contributions to the mean free path (MFP, *i.e.*, the average distance between consecutive scattering events) and scattering cross sections[10,11] shown in Fig. 2b and c, respectively. Up to kinetic energies of ~20 eV vibrational inelastic scattering events dominate the total inelastic MPF (IMFP) because electronically inelastic scattering events are far less likely to occur ($IMFP_e$ » IMFP in Fig. 2b) due to the much higher minimal energy requirements for these processes; see below. Fig. 2c illustrates the strong variation of the vibrationally inelastic cross sections and their dominance over the electronically inelastic contributions for kinetic energies below ~20 eV. We refer to this dominance of vibrational scattering over electronic scattering as ''pure vibrational scattering''. For clarity, we have combined the individual scattering cross sections from ref. 10 (see also ref. 11) into seven different classes: T refers to the translational phonons, L to the librational phonons, b to the OH-bend vibration, s to the two OH-stretch vibrations, and ''electronic'' combines all channels involving electronic excitation including dissociative attachment. Pure vibrational scattering has a pronounced influence on the shape of a photoelectron band because the typical bandwidth of a few eV exceeds the small kinetic energy losses on the order of 0.01–0.9 eV accompanying a vibrational scattering event. Thus, the measured kinetic energy of electrons (eKE) stays relatively close to their initial kinetic energy (eKE^{gen}), so that the scattered electrons are detected within the width of the photoelectron band. But different vibrational scattering channels open up at different energies (roughly corresponding to the typical average energy loss per scattering event of that channel) and the values of their scattering cross section vary pronouncedly with energy[10] (Fig. 2c). The resulting uneven redistribution of scattered electrons on the energy scale can significantly distort and broaden the measured band shape compared with the genuine band shape (see Section 2).

The situation changes with increasing eKE^{gen}, *i.e.*, for increasing $h\nu$. As the vibrational cross sections gradually decrease, they tend to vary much more slowly with energy so that the distorting effect of vibrational scattering on the genuine band shape gradually fades away. At the same time, electronically inelastic channels start to take over for eKE^{gen} above the lowest ionization energy of water (~10 eV) as the probability of electronic inelastic excitations increases ($IMFP_e$ decreases), shown in Fig. 2b and c. Typical kinetic energy losses per scattering event associated with an electronic excitation of water exceed several eV (except for the total loss of the photoelectron through dissociative attachment in the eKE range around 5eV,[11] the smallest energy for electronic excitation is approximately 7 eV[43]). Consequently, electronically scattered electrons appear at eKEs well below the original PE peak and thus barely affect the shape of photoelectron bands. The majority of these electronically scattered electrons appears at kinetic energies outside the considered photoelectron band, on the low kinetic energy side of the band. Lower-lying bands will often sit atop a background of inelastically scattered electrons from the higher ones, which is however mostly flat and featureless, and thus does not affect the peak shape. While electronically inelastic processes do not directly affect the observed band shape, they do have an indirect effect by reducing the escape depth of electrons, as explained before. An electronic scattering event is the more likely to happen the more scattering events an electron has to undergo before reaching the vacuum, *i.e.*, the further away an electron starts from the surface. As cross sections for electronic scattering events rise, only electrons formed closer to the surface have a chance to reach the vacuum with eKE within the range of the photoelectron band (*i.e.*, with only elastic or vibrational scattering). In other words, the so-called escape depth of the electrons detected in a given band decreases. The trajectories of electrons detected in a given band are shorter, so that they undergo fewer (vibrational) scattering events before they escape into vacuum. Fewer vibrational scattering events produce less distortion of the genuine band shape. At even higher eKEs beyond 100–200 eV the cross-sections for electronic processes decrease again, increasing the mean free path (Fig. 2b). Here, the cross section for vibrational excitations is very small, nearly negligible. Thus, distortions of the band shape due to scattering is only of concern for low eKEs.

The above considerations illustrate the complicated interplay of various energy-dependent processes behind the influence of transport scattering on binding energy spectra. Section 2 discusses the resulting energy-dependent trends for the hydrated electron.[14,15,44–47] The conclusions to be drawn can be summarized as follows: Binding energy spectra recorded at photon energies less than ~15–20 eV above the VBE of a given photoelectron band are strongly influenced by electron scattering. The measured binding energy spectrum deviates pronouncedly from the genuine spectrum. The influence of electron scattering on binding energy spectra can be minimized by choosing higher photon energies for ionization, at least ~20 eV above the VBE of the photoelectron band of interest. Such photon energies yield a measured binding energy spectrum that resembles the genuine spectrum.

This strategy, however, is not successful for the photoelectron angular distribution (PAD) because scattering has a pronounced influence on the angular distribution at all electron kinetic energies in the sub-keV range, necessary for exploring different probing depths into solution. Scattering processes change the propagation direction of the electrons, resulting in a measured PAD that is more isotropic than the genuine one (PAD^{gen}).[10,14,15,30,33,48] Two main factors contribute to the generally strong influence of scattering on the PAD. At all photon energies, a photoelectron band contains a certain fraction of elastically-scattered electrons (Fig. 2c), in addition to the purely

vibrationally-scattered electrons discussed above. Only these two classes (together with electrons that have not been scattered) contribute to a given photoelectron band. Elastic scattering does not change the kinetic energy, but it strongly modifies the PAD. Typically, the change in the propagation direction of an electron is already very pronounced for only a single scattering event. This holds especially at lower kinetic energies, where scattering tends to be isotropic, while it tends to become more forward directed at higher energies.[11,24,30,49] The propensity of a scattering event to change the direction of the electron, while keeping its eKE within a given photoelectron band, can be described by the (quasi-elastic) momentum transfer cross-section σ_m, given by

$$\sigma_m = \int (1 - \cos\,\theta)\sigma_{quasielastic}(\Omega)\mathrm{d}\Omega. \quad (2)$$

$\sigma_{quasielastic}$ is the cross section for all elastic and vibrational scattering events combined, Ω is the solid angle, and θ is the polar angle of deflection. The ratio of σ_m to the electronically inelastic scattering cross-section (equivalently the inverse ratio of the corresponding MFPs, *i.e.*, $IMFP_e$: $EMFP_m$, see Fig. 2b) largely determines the photoemission anisotropy beyond the sub-excitation range. Even for aqueous systems, there is only a limited number of photoelectron studies to report PADs,[10,15,24,25,30–33,45–48,50–55] and only very few of them provide an analysis of electron scattering.[10,24,25,30–33,51–53] In these studies, the PAD is described by a single anisotropy parameter, β, which is defined for linearly polarized light by[56,57]

$$I(\varphi) \propto 1 + \beta P_2(\cos\varphi) = 1 + \beta/2\,(3\cos^2\varphi - 1). \quad (3)$$

φ is the angle between the linear polarization vector of the light and the direction of photoelectron emission, and P_2 is the second-order Legendre polynomial which provides the non-isotropic part of the overall distribution. $I(\varphi)$ is the electron signal detected at angle φ. β is constrained to values $-1 \leq \beta \leq 2$, with $\beta = 0$ indicating a completely isotropic distribution. A similar expression holds for circularly polarized light.[58,59] Both are special cases of a more general expression (see eqn (4) in Section 3), which also allows one to describe the influence of chiral effects on the PAD, such as photoelectron circular dichroism (PECD) in aqueous solutions briefly discussed in Section 3.

Nowadays, detailed electron scattering simulations allow us to predict measured β parameters when the genuine parameters, β^{gen}, are known. Fig. 4a illustrates this for the ionization from the O 1s orbital and the valence bands (inset) of neat liquid water; for details see ref.10 and for experimental liquid-phase data of O 1s and the valence region refs. 30 and 50. The weak intermolecular interaction in the liquid phase leaves inner-shell atomic orbitals of the molecules involved virtually unaffected. Therefore, the orbital character of O 1s in liquid water is essentially the same as in gas-phase water. Since the genuine PAD largely reflects the orbital character, the genuine anisotropy parameter β^{gen} in the liquid is well approximated by the measured anisotropy parameter for ionization from the O 1s orbital of gas-phase water (values given in ref. 30 note that in the gas phase measured and genuine PADs are the same). These gas-phase values were used as β^{gen} values for the liquid simulations in Fig. 4a. Simulation and experiment for the liquid show almost perfect agreement, demonstrating that for O 1s ionization the decrease of β in the liquid compared to the gas phase (β^{gen}) arises from electron transport scattering. For eKEs above ~100eV, β^{gen} reaches the maximum value of 2, as expected for ionization from an s-orbital at sufficiently high energies where interference effects can be neglected.[30] In the liquid, however, this maximum value is never reached for the measured β because of the influence of electron transport scattering. The inset of Fig. 4a illustrates the analogous approach for the valence bands ($1b_1$, $3a_1$, $1b_2$) of liquid water. The measured β values are in excellent agreement with scattering simulations. In the case of the valence orbitals the influence of the solvation shell is no longer negligible. This effect was accounted for by using β^{gen} values retrieved from independent photoelectron studies on small neutral water clusters as described in ref. 32. The small clusters mimic the local solvation motif of the liquid, while essentially retaining the PAD^{gen} as electron transport scattering is negligible on the length scale of the cluster dimension. For the eKE range shown in the inset of Fig. 4a, β^{gen} lies between ~0.5 and ~1. This again highlights the strong influence of electron-transport scattering, which reduces the genuine values to the measured values between ~0.1 and 0.3. This effect remains active even at much higher eKE values, as confirmed by a recent valence ionization study of liquid water reporting β parameters over a very broad energy range up to eKEs of 700 eV.[33] The comparison of measured and computed anisotropies leads to the conclusion that the reduction in β at high kinetic energies is mostly due to scattering rather than rehybridization due to solvation.[33] β parameters in the valence region have also been reported for large neutral water clusters as a function of cluster size[25] (Fig. 4b). As we discuss below (Section 1.2), electron scattering cross sections in large water clusters exceed those in liquid water.

The two cases reported in Fig. 4a, however, are an exception in terms of the availability of independent information on β^{gen}. In most cases, β^{gen} is not accessible from another independent measurement, but has to be determined from measured β values instead. This requires correcting the measured β values for the contributions of electron-transport scattering. A corresponding procedure is further outlined in Section 2 for the example of the hydrated electron in different aqueous environments. As explained above, such a correction is always required when information about genuine photoelectron distributions (*e.g.*, β^{gen}) is to be retrieved, because scattering strongly modifies the latter at all electron kinetic energies. The same holds for genuine electron binding energies in situations when they are strongly influenced by scattering, *i.e.*, usually when the photon energy used for ionization does not exceed the VBE by more than ~20 eV, as discussed above.

At this point, one should mention that the concept of genuine quantities is a heuristic approach implying a sequence of separate steps on different time and length scales from the (local) ionization event *via* the transport through the sample to

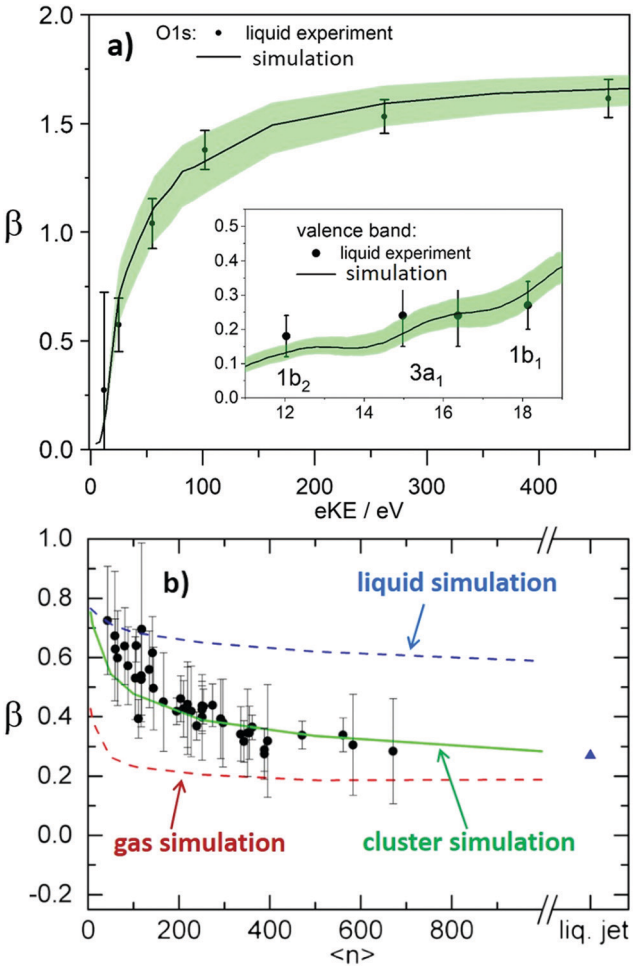

Fig. 4 (a) Comparison of experimental and calculated anisotropy parameters β in liquid water.[10] The black dots show experimental β values for ionization from the O 1s orbital of liquid water from ref. 30. The full black line shows a prediction for the measured β based on electron transport scattering calculations. As explained in ref. 10, these calculations used measured gas phase values from ref. 30 as input for the genuine anisotropy parameters of the liquid phase, β^{gen}, and an electron scattering calculation to account for the influence of electron scattering on measured values. The shaded green area represents the uncertainty of the calculation. The good agreement between experiment and simulation demonstrates how well detailed electron transport scattering calculations can predict experimental liquid phase anisotropies. The same holds for ionization from the valence orbitals ($1b_2$, $3a_1$, and $1b_1$) of liquid water. The corresponding comparison between measurements (black dots, from ref. 50) and simulation (full black line[10]) is shown in the inset of Fig. 4a. The input values β^{gen} used in the simulations were taken from photoelectron studies on small neutral water clusters described in ref. 32. Fig. 4a is reproduced from Fig. 2 of ref. 10 with permission, Copyright ©2011 by American Physical Society. (b) Anisotropy parameters β of water clusters.[25] The black dots show measured β values for water clusters as a function of the cluster size $\langle n \rangle$ for ionization from the $1b_1$ orbital of water. High harmonic radiation at a photon energy of 26.5 eV was used for ionization. $\langle n \rangle$ is the average number of H_2O molecules in the clusters. The red, green and blue lines show predictions for β of water clusters as a function of $\langle n \rangle$ from electron transport scattering calculations using gas phase, cluster and liquid phase scattering cross sections, respectively. The comparison with the experimental data clearly reveals that only the simulation using the cluster cross sections (green line) reproduces the cluster experiment, while the simulations with gas phase (red line) and liquid (blue line) cross sections predict too small and too high values, respectively. This visualizes on the level of anisotropy parameters that electron scattering cross section in water clusters differ both from those in the gas and from those in the liquid phase. The blue triangle is a prediction for β in a liquid water microjet (bulk liquid) for ionization at 26.5 eV using the liquid cross sections. The fact that this liquid β is similar to β of the largest clusters is accidental since convergence to infinite cluster size (bulk) is not yet reached for the largest clusters.[25] Fig. 4b is reproduced from Fig. 4 of ref. 25 with permission from the PCCP Owner Societies.

the final detection. It is not *a priori* given that such a concept (similar to the subdivision of complex chemical processes into separate elementary reaction steps) is always applicable. It remains the subject of future studies to establish the conditions under which genuine properties can be defined. In this context it is important to keep in mind that the genuine properties themselves depend on the photon energy used for ionization. They can be particularly affected for ionization close to threshold because the final state depends on the energy in terms of the wave function both of the remaining ion core and the outgoing electron. Hence, a genuine photoelectron spectrum close to threshold can differ from the genuine spectrum obtained at high photon energies. For related reasons explicit near-threshold ionization effects exist, such as in the case of PECD (Section 3).

1.2 Scattering cross section for bulk liquid and clusters

The procedure to retrieve genuine binding energies and anisotropies generally requires an explicit model to describe the transport of electrons from their point of origin in the sample to the point of detection. With this model, the genuine spatial and energy distribution of electrons can be fitted to the measured data recorded by the detector (see *e.g.*, procedure described in ref. 14). The fitted genuine spatial and energy distribution provide genuine binding energies and anisotropies. The modelling of the electron transport within the sample requires detailed knowledge of energy- and angle-dependent scattering cross sections (or equivalently MFPs) for all different scattering channels (all vibrationally inelastic, all electronically inelastic and elastic channels). For liquid water, the derivation of accurate scattering cross sections has proven to be extremely challenging in the sub-keV range. This holds for both theory and experiment. Theoretical models are usually based on different treatments of the dielectric response (ref. 60–67 and references therein). The various models differ markedly, in particular in the kinetic energy range below a few 10 eV, where their appropriateness is disputed. In the sub-excitation range (eKEs $\leq$ 7 eV), models are largely missing. A major reason for the uncertainty of the models in the eKE range below a few 10 eV is their difficulty to describe the complex contribution from vibrational excitations. However, as we have seen above, exactly these vibrational processes are the most important for a proper description of the influence of scattering on photoemission spectra. A further issue is the adequate description of the angular dependence of scattering parameters. For all these reasons, scattering parameters from current theoretical models are not sufficient for the accurate retrieval of genuine quantities from measured ones.

For a long time, the major issue with retrieving scattering parameters for liquid bulk water from experiments was the incompatibility of the high vapor pressure of water with the requisite vacuum conditions. To work around this problem, Sanche and coworkers determined detailed scattering cross sections in the kinetic energy range below 100 eV from electron-energy loss experiments using amorphous ice as a proxy for liquid water.[11,49] The use of these ice data as a substitute for liquid cross sections has been discussed controversially, even though general physical considerations clearly hint at a very similar scattering behavior in the two phases.[10,11,49] Photoelectron studies on liquid water have only become possible in combination with water microjets and more recently with water droplets,[2,4,24,26,28,55,68–70] (compare Fig. 1) because these two samples can deal with the issue of the high vapor pressure of water. So far, however, liquid microjet studies have only reported electron attenuation lengths (EALs),[30,31,33,38,71] but no detailed scattering parameters. One reason for this is that the information content of many microjet studies is limited, largely because angle-dependent information was often not recorded. The undefined (averaged) surface orientation of a cylindrical liquid jet, with the surface normal having all orientations between 0 and 90° along the curvature with respect to the detection axis, is one issue here. A more defined flat surface (no variation of surface normal relative to detection) may be prepared with a flat jet,[29] which would make angle-dependent measurements more consistent. The combination of droplets with photoelectron VMI provides decisive advantages because the retrieval of angle-dependent and droplet size dependent information is straightforward and optical confinement can be exploited.[24,26,51] VMI enables angular multiplexing, and the variation of size and related tuning of optical confinement effects maximizes the information content of electron scattering by modifying the point of origin of the electrons with respect to the droplet's surface.[24,26] We have used this method to determine detailed scattering cross sections for liquid water in the sub-excitation range,[10,14,24] *i.e.*, in a region where scattering corrections are very important. These studies showed that the liquid and amorphous ice cross sections are identical within uncertainties.[10,11] The same should also apply at higher kinetic energies.[11,49] From a comparison of available liquid water photoemission data with amorphous ice simulations using an extension of the model of ref. 11 and 49, we could indeed confirm that liquid water and amorphous ice have very similar scattering properties in the entire sub-keV range, *i.e.*, that the same scattering parameters apply to both phases.[10] The scattering cross sections for liquid water over the entire sub-keV range recommended by us are available from the SI of ref. 10, with a selection of different MFPs and cross sections retrieved from these data shown in Fig. 2b and c, respectively. This set of data corresponds to the most detailed scattering data for liquid water available to date. As we demonstrate in Section 2, they allow correcting measured photoelectron spectra and anisotropies for the influence of scattering contributions to retrieve genuine quantities. We would like to point out that currently available experimental data for liquid water do not contain sufficiently reliable information on certain scattering processes (*e.g.*, secondary electron formation). Further refinement of the corresponding scattering parameters thus has to await improved experimental data. It should be emphasized that detailed electron scattering cross sections are not only important for the analysis of photoemission data but are also crucial ingredients for modelling radiation-damage processes in aqueous systems.[72,73]

Recent photoemission studies on large water clusters have shown that electron-transport scattering cross sections in confined systems can differ from those in the extended condensed phase.[25] We found that the cross sections in large water clusters exceed those in liquid water, but are smaller than those in the gas phase. This is illustrated in Fig. 4b, which shows β parameters for ionization from the $1b_1$ valence orbital of water as a function of the average cluster size $\langle n \rangle$. The experimental β values (circles) decrease with increasing cluster size because more scattering events take place with increasing cluster size. This behavior is well reproduced by simulations using cluster cross sections from ref. 25 to describe transport scattering (green line). The blue and red lines, however, show that this is not the case when liquid or gas phase cross sections are used for the simulation, respectively. The liquid-phase cross sections are too small and the gas-phase cross sections are too large to properly describe scattering in clusters. This can be

rationalized by the different dielectric screening in the cluster compared with the liquid and the gas phase. The interaction between electron and water is electromagnetic in nature, and thus scales inversely with the dielectric constant. The cross sections scale with the square of the interaction matrix elements and thus with the inverse square of the dielectric constant. Adding the dielectric screening to the gas phase scattering leads to the cluster cross sections of ref. 25.

2. Hydrated electron in water clusters and liquid water

Solvated electrons in molecular liquids, especially in water, have sparked broad interest because of their widespread occurrence and their fundamental properties (ref. 14, 15, 44–47, 51, 54, 74–87 and references therein). The hydrated electron is one of the simplest quantum solutes. Such solvated excess electrons play an important role in chemistry and radiation damage of biological materials, where they appear as intermediates in various charge-induced, charge-transfer and reactive chemical and biological processes. The hydrated electron is one of the very few solutes for which photoemission studies have been performed in different aqueous environments, including liquid water microjets (bulk water), neutral water clusters, anion water clusters and Na-doped water clusters.[14,15,44–47,51,54,74,80–86] Furthermore, it has also received broad attention from theory (ref. 75–79 and references therein). It is therefore a unique system to compare the genuine properties of the same solute in different environments, and with results from theory.

Hydrated electrons are inter-band, trapped states, which lie energetically between the top of the valence band and the bottom of the conduction band, depicted in Fig. 5. This representation relies on the description of liquid water as a large-band-gap semiconductor, with an associated valence and conduction band.[88–90] We will come back to that description in Section 4 when addressing explicit surface properties of liquid water and aqueous solutions. In this section, we focus on the properties of the energetically lowest lying s-state (e_{solv}^- in Fig. 5), *i.e.*, on the electronic ground state of the hydrated electron. A generally accepted picture is that the ground-state structure corresponds to a cavity with an s-like orbital character.[75,77] There are various possibilities to experimentally produce hydrated electrons in aqueous environments. One consists of light excitation either above or below the vacuum level (*e.g.*, extreme ultraviolet (EUV) pump laser in Fig. 5) and subsequent relaxation into the s-state[51,81,91–93] (and references therein). Different mechanisms for solvated electron formation involving hydronium cation formation have been proposed, *e.g.*, "hot H atom mechanism" or "proton-coupled electron transfer"[94–97] (and references therein). Other possibilities are the formation *via* a charge-transfer state,[45,71,86,98,99] or an electron attachment process in the case of water anion clusters.[46] In Na-doped water clusters, hydrated electrons form spontaneously by charge separation of the Na s-electron and the Na^+ ion.[47,74] Photoemission spectra or images are then recorded

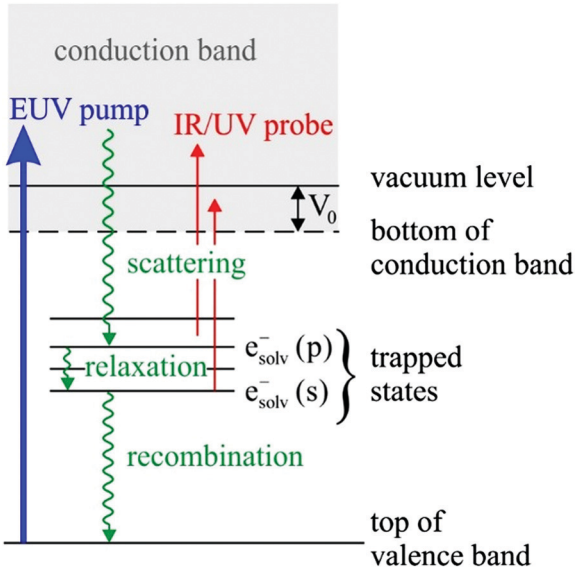

Fig. 5 Schematic energy diagram of solvated electrons in water, e_{solv}^-. Hydrated electrons are trapped interband states. Two electronic states are indicated in the figure, the electronic ground state, e_{solv}^-(s), and the first electronically excited state e_{solv}^-(p). Binding energies and photoelectron anisotropies of the former are discussed in the present work (see also Tables 1 and 2). The wiggly lines indicate different relaxation processes that occur after formation of the hydrated electron with a EUV pump laser pulse, which can be probed with a time-delayed IR/UV probe pulse. These processes include deactivation by electron scattering processes, solvent relaxation and geminate recombination (see references in ref. 85). V_0 correspond to the escape barrier mentioned in the caption of Fig. 2b and c.

usually after laser ionization in the UV range (*e.g.*, UV probe in Fig. 5), from which measured binding energy spectra and photoelectron anisotropies are deduced. Fig. 6 presents photoemission spectra of the hydrated electron in a liquid microjet as a function of $h\nu$ (see legend) exhibiting large spectral changes indicative of severe distortions of the genuine photoelectron spectrum.[14] The measured VBE (eBE at the band maxima) varies over a broad range from ~3.3 to 4.5eV.[44] In the past, measured values of VBE and β obtained from different measurements of the hydrated electron in the same or in different environments were directly compared with each other. However, this comparison of measured quantities is not meaningful because VBE and β are influenced by electron transport scattering:

VBE^{gen}, β^{gen} → electron transport scattering → VBE, β

Because of the different influence of electron scattering depending on the conditions under which VBE and β were measured, a meaningful comparison can only be made at the level of the genuine values, VBE^{gen} and β^{gen}, which are not influenced by transport scattering. As mentioned in Section 1, VBE^{gen} and β^{gen} can in principle be retrieved from VBE and β by correcting the latter for the effects of electron scattering:

VBE, β → correction for electron transport scattering → VBE^{gen}, β^{gen}

Such a correction is complicated by the fact that the degree of electron scattering depends not only on the photon energy used

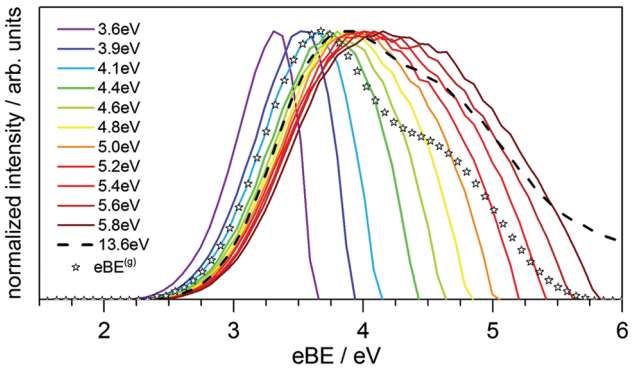

Fig. 6 (B) Electron binding energy (eBE) spectra of e_{solv}^-(s) in liquid water at twelve different photon energies, $h\nu$, of the ionizing laser indicated in the legend.[14] The colored lines correspond to measured spectra, and the eBE values at the maxima of the bands correspond to measured vertical binding energies (VBE), which vary between ~3.3 and 4.5 eV. The stars represent the genuine binding energy spectrum, eBE^{gen}, with a VBE^{gen} of 3.7 eV. It represents the binding energy spectrum of e_{solv}^-(s) that has been corrected for the influence of electron transport scattering. This genuine spectrum was retrieved from a simultaneous fit to the spectra measured at different $h\nu$, employing detailed electron scattering simulations based on a Monte Carlo solution of the transport equation.[14] Figure reproduced from Fig. 3 of ref. 14 with permission from Science Advances under Creative Commons Attribution-NonCommercial International License (CC BY-NC 4.0; https://creativecommons.org/licenses/by-nc/4.0/).

for ionization (*e.g.*, Fig. 2b, c, 3 and 6) but also on the type of environment (*e.g.*, clusters *versus* liquid; see Section 2, Fig. 4b). To account properly for electron scattering therefore requires detailed knowledge of how electron scattering cross sections depend on energy and on the specific solvent environment. While this information is not yet available for most solvents, the situation is more favorable in the case of water. The detailed cross sections recently proposed for electron scattering in the liquid and in clusters[10,11] make it possible to retrieve VBE^{gen} and β^{gen} for the ground state of the hydrated electron.[14,15,51] This was achieved by fitting a common genuine eBE spectrum to the experimental spectra recorded at different $h\nu$, using a probabilistic transport scattering model and the scattering cross sections for water.[14] The stars in Fig. 6 indicate the retrieved genuine binding energy spectrum which narrows down the broad range of measured VBE values to a single genuine value, yielding VBE^{gen} = 3.7 ± 0.1eV. Results are summarized in Tables 1 and 2, and briefly discussed in the following.

Table 1 compares VBE^{gen} of the ground-state hydrated electron in the different environments, *i.e.*, liquid water, neutral water clusters, anion–water clusters and neutral Na-doped water clusters. Surprisingly good agreement is found in all four different environments,[14,15,46,47,83,100] and also fairly good agreement with the theoretical predictions.[101–103] Three points are worth mentioning here: (i) Since the polarization shift between the neutral ground state and the anionic state is cluster-size dependent,[46,83,100] vertical binding energies for clusters must first be extrapolated to infinite cluster size so that they can be compared with bulk liquid values. Table 1 thus quotes extrapolated values for anionic water clusters. For neutral and Na-doped clusters, by contrast, such an extrapolation is not required. It has been shown that the VBE of $Na(H_2O)_n$ clusters already reaches the liquid bulk value at a cluster size of $n \sim 6$.[47,74] This can be rationalized by assuming that in these systems the charge-separated ground state (e_{solv}^-(s) and H^+(aq) in $(H_2O)_n$ clusters, and e_{solv}^- and Na^+(aq) in $Na(H_2O)_n$ clusters) experiences the same polarization shift as the cationic final state; *i.e.*, by a cluster-size independent polarization shift. (ii) Several different isomers of the hydrated electron (internally-solvated and surface-solvated electrons[46,82,83,100]) with distinct binding energies were identified for anion water clusters, while the spectra of the hydrated electron in the neutral water clusters do not contain any signatures that would hint at the coexistence of different isomers (*e.g.*, surface electrons with distinct energetics). The latter also seems to hold for solvated electrons in liquid water.[71,76] (iii) The smallest observed neutral cluster that can

Table 1 Comparison of the genuine vertical binding energy VBE^{gen} of the hydrated electron in different aqueous environments: liquid water microjet: $(H_2O)_{liquid}$; neutral water clusters: $(H_2O)_n$; Na-doped water clusters: $Na(H_2O)_n$; anion water clusters: $(H_2O)_n^-$

Environment	VBE^{gen} [eV]
Experiment e_{solv}^- in $(H_2O)_{liquid}$	3.70 ± 0.10[14]
Experiment e_{solv}^- in $(H_2O)_n$ ($n \sim 300$)	3.70 ± 0.15[15]
Experiment e_{solv}^- in $Na(H_2O)_n$ (for $n > 6$)	~3.7[47]
Extrapolated experiment e_{solv}^- in $(H_2O)_n^-$ (internally-solvated electron)	~3.6[46 a]
Theory e_{solv}^- in $(H_2O)_{liquid}$	3.38–3.75[101–103]

[a] Earliest reported anion value 3.3 eV in ref. 100. Another recent value is 3.9 eV from ref. 83.

Table 2 Comparison of measured and genuine anisotropy parameters, β and β^{gen}, respectively, of the hydrated electron in different aqueous environments: liquid water microjet: $(H_2O)_{liquid}$; neutral water clusters: $(H_2O)_n$; Na-doped water clusters: $Na(H_2O)_n$; anion water clusters: $(H_2O)_n^-$

Environment	β^{gen}	β
Experiment e_{solv}^- in $(H_2O)_{liquid}$	0.60 ± 0.20[14]	~0.03[45]
Experiment e_{solv}^- in $(H_2O)_n$	0.58 ± 0.20[15]	~0.19 ± 0.1[15]
Experiment e_{solv}^- in $Na(H_2O)_n$ for $n \sim 50$	~0.5 ± 0.1	~0.5 ± 0.1[47]
Experiment e_{solv}^- in $(H_2O)_n^-$ for $n \sim 50$	~0.7 ± 0.1	~0.7 ± 0.1[54]

sustain a solvated electron contains about 14 water molecules.[85] How this compares with anion clusters remains unclear since the binding motif of the excess electron in small water clusters also includes dipole-bound states and electrons bound to specific water molecules.[100,104,105]

The influence of electron scattering on the photoelectron anisotropy is particularly pronounced because even a single scattering event can significantly change the trajectory of the electron. Table 2 lists values of the measured β and the genuine β^{gen} for the hydrated electron in the different environments. For the relatively small anionic $(H_2O)_n^-$ and neutral $Na(H_2O)_n$ clusters, which contained less than a few tens of water molecules, β^{gen} [47,54] and β are identical. The influence of transport scattering is negligible in such small clusters.[25] This, however, changes completely for systems that contain more than a few hundred molecules, *i.e.*, in the large, neutral $(H_2O)_n$ clusters[15] and in bulk liquid water[45] in Table 2. The biggest effect is observed in the latter because the electron undergoes many scattering events before it escapes into vacuum where it is detected. As a result, the measured PAD becomes almost isotropic and β almost vanishes, in spite of a fairly high value of β^{gen} of 0.6. The neutral $(H_2O)_n$ clusters show a similar behavior, although the difference between β and β^{gen} is less pronounced. At first glance, this seems surprising because the scattering cross sections in clusters are actually larger than those in the bulk liquid[25] (see also Section 1.2). But, the trajectories in the cluster are shorter than in the liquid, evidently out-weighing the effects of the larger cluster-scattering cross sections. As for the VBE^{gen} (Table 1), we find surprisingly good agreement for the β^{gen} values in the different environments, which all lie around 0.6. The relatively high β^{gen} value is consistent with the computed s-like cavity structure of the ground-state structure of the hydrated electron. The small measured β of the large neutral $(H_2O)_n$ clusters, *i.e.*, without correcting for scattering, would not have been consistent with an s-like character of the ground state.

The above examples show how important it is to account for the influence of electron transport scattering (*i.e.*, to retrieve genuine values) when comparing binding energies and anisotropies in different solvent environments or from different experiments. For the hydrated electron, we find very similar values for VBE^{gen} and β^{gen} in the four different aqueous environments, and in addition good agreement with theoretical predictions. Furthermore, we and others[51] (and references therein) have recently shown that the relaxation dynamics of excited-state solvated electrons into the s-ground state, for below and above band-gap excitation, is also similar in large neutral clusters and in liquid water. It would be tempting to conclude that the nature of the ground-state solvated electron must be identical in the different aqueous environments. This might be rash, however, since neither binding energies nor anisotropies contain sufficient information to draw an unequivocal conclusion. To give an example, the cavity in which the solvated electron resides could differ in the various aqueous environments, while the photoelectron spectra might still look very similar. A hypothesized long-lived surface electron in liquid water with considerably lower binding energy has not been confirmed.[71,76]

3. Near-threshold ionization processes in liquid water and aqueous solution

In Sections 1 and 2 we have seen that low eKEs cause distortions of genuine photoelectron band shapes which makes the determination of absolute energies either elusive or requires a complex spectral analysis by taking into account all electron scattering processes to retrieve the original undisturbed spectrum. Note that retrieving the genuine spectrum of a concentrated aqueous solution is even more challenging since electron scattering processes will differ from those in neat liquid water.[36] Such problems and the intricate and laborious analysis they necessitate can be effectively circumvented though, when using sufficiently high photon energies, readily available from synchrotron-light facilities, resulting in correspondingly high eKEs (>12–15 eV (compare Fig. 3). This is rather straight-forward for retrieving water eBEs, but detection of e_{solv} from liquid jets is more complicated.[93] It requires femtosecond pump-probe schemes, *i.e.*, UV excitation pulses in conjunction with sufficiently large probe photon energies, EUV and higher, *e.g.*, based on high-harmonic generation. In addition, pulse repetition rates need to be on the order of 10 kHz and higher, so as to keep the pulse energies low enough to avoid the formation of space charges on the liquid-jet surface. Surface charging of aqueous jets will be detailed in Section 4. An associated current technical challenge is to extend the photon-energy range into the soft X-ray region, up to 600 eV, while maintaining sufficiently high repetition rates and pulse power to ionize the water oxygen 1s core level (such as currently developed by the Wilkinson group[106]).

On the other hand, several phenomena, other than those considered this far, produce sufficiently large effects only in near-threshold ionization, *i.e.*, they are ''intrinsic'' near-threshold phenomena, for which the above-mentioned issues in the liquid phase cannot be circumvented by using higher photon energies. Perhaps the most familiar example is post-collision interaction (PCI).[16,17] Core-level ionization usually leads to a short-lived, highly excited and positively-charged species (for instance H_2O^{+*}) which interacts with the outgoing photoelectron. Depending on the photoelectron's KE, it may have traveled only a short distance when the decay and subsequent emission of a secondary (Auger) electron occurs. Both electrons then interact with each other in the Coulomb field of the then doubly-charged ion. This effect will be strong when the eKE of the photoelectron, adjustable by the photon energy, is much smaller than that of the Auger electron. Within a most simple classical picture, this can be viewed as the Auger electron overtaking the photoelectron, and the latter thus sensing a 'change of charge state' (*i.e.*, the ion is screened less after the Auger electron has passed), *e.g.*, H_2O^+ into H_2O^{2+}. Coulomb interaction of the two electrons with the ion then leads to a decrease of the eKE of the photoelectron, associated

with a small eBE shift. The opposite is true for the Auger electron, which will speed up and exhibit a positive energy shift from the screening of the ion by the photoelectron. No such measurements have been reported for solutions. Arguably, PCI might provide a tool to directly determine core-level lifetimes in aqueous solution, and even probe (photo)electron scattering in solution. Such routes are explored jointly with the Thürmer[107] and Slavíček[108] groups.

Another ionization-threshold effect that has more recently attracted much attention is chirality-sensitive and large-cross section Photoelectron Circular Dichroism (PECD)[21,109] of chiral molecules, uniquely connecting molecular electronic structure to chirality. In addition, it is site-specific and sensitive to chemical environment and especially to the conformation of small (bio-)molecules.[110,111] Besides a large chiral asymmetry, core- and valence-shell studies have shown that PECD is a strongly dynamic (final-state) effect showing a rich photon energy dependence and also that it clearly depends on the ionized orbital (initial-state effect).[21,112,113] PECD leads to a forward–backward asymmetry in the photoelectron emission intensity relative to the light propagation axis. In such an experiment a chiral molecule is ionized by circularly polarized light (CPL), and the sign of the asymmetry depends on the enantiomer that is probed and on the helicity of the ionizing radiation, left or right-, l-CPL or r-CPL. That is, the asymmetry can be flipped when either replacing a given enantiomer by its other form, or upon changing the light helicity. Liquid-jet PECD studies require to be performed solely in the backward-detection geometry (with respective variation of enantiomer or light polarization) though, depicted in Fig. 7, *i.e.*, the convenient simultaneous detection of the forward-backward asymmetry as for gas-phase samples is not possible since electrons cannot be probed from the far side of the liquid-jet target. This is due to the combination of strong light absorption in the dense liquid and the small electron escape depth,[29] the latter making PES distinctively surface sensitive. And most noticeable, the PECD effect exceeds more conventional circular dichroism processes by orders of magnitude as its mechanism

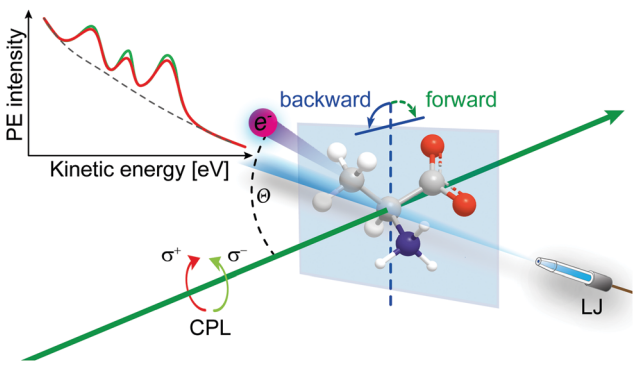

Fig. 7 Depiction of the liquid-jet PECD experiment with backward electron detection relative to the light propagation (green arrow); CPL denotes circularly polarized light. Inset: Fictive carbon 1s PECD spectrum on top of the LET. Illustrated intensity differences correspond to measurements with left- and right-CPL from the same enantiomer in water.

is solely based on the larger electric dipole transition amplitudes. However, asymmetries are largest for photon energies within only a few electron volts above ionization threshold. PECD has been intensively explored for isolated chiral molecules, clusters and nanoparticles, including single-photon ionization and multi-photon processes and associated time-resolved ultrafast studies.[114–119] But measurements of PECD effects in aqueous solution have not been reported even though chiral complexes are highly relevant to life sciences, where hydration and chiral recognition are fundamental biochemical processes, typically occurring at aqueous interfaces.

The PECD magnitude is expressed *via* the chiral anisotropy parameter b_1. It is given by the more general expression:

$$I_p(\theta) \propto 1 + b_1^p P_1(\cos\theta) + b_2^p P_2(\cos\theta), \qquad (4)$$

of which eqn (3) of Section 1 is a sub-case. The equation is written with the understanding that the variable θ is replaced by φ in the linearly polarized case; see ref. 58 and 59. The coefficients b_n^p are determined by the photoionization dynamics and depend on the photon polarization state p and the radial dipole amplitudes between the molecular initial and ionized state. For the $P_2(x)$ terms, this leads to the relation $\beta = b_2^0 = -2b_2^{\pm 1}$. Moreover, $b_2^0 = 0$ while $b_1^{\pm}$ also vanishes for achiral molecules; in such circumstances, this general expression (4) reduces to the well-known forms of eqn (3) for linear polarization and $I(\theta) \propto 1 - \frac{\beta}{2} P_2(\cos\theta)$ for the case of CPL. Particularly relevant for the present work is that for the specific case of a chiral molecule ionized with CPL, the $P_1(x)$ (first-order Legendre polynomial) coefficients no longer vanish for symmetry reasons. Furthermore, they switch signs with respect to a change of light polarization: $b_1^{+1} = -b_1^{-1}$. The same change in sign of the $b_1^{\pm}$ coefficient is also encountered upon changing the enantiomer. As $P_1(\cos b_1^{\pm}\theta) = \cos\theta$, the largest asymmetry (largest PECD effect) can be observed at $\theta = 0°$ (or 180°). In present-day liquid-jet PECD experiments a single detection angle of 50° in the backward direction has been realized.[29] This angle is close enough to the magic angle ($\theta = 54.7°$; $P_2(\cos 54.7°) = 0$) largely to suppress the angular dependence of the electron intensity on the dipolar parameter β. Note that the PECD asymmetry vanishes in the dipole plane (at $\theta = 90°$), which is the standard (and only) electron detection arrangement realized in previous LJ-PES setups.[29]

Aqueous-phase PECD is a yet untouched field of research, with literally no results reported from aqueous solution but a single pioneering study on liquid fenchone has been reported.[120] Detection and quantification of PECD in aqueous environment would indeed be a major accomplishment for several reasons. It potentially enables the characterization of the effect of hydrogen-bonding and of the interaction with solvation-shell ions on PECD, by way of comparison with measurements for a suitable reference molecule performed in the gas phase. Another intriguing question is whether a chiral molecule induces a chiral imprint on its solvation shell. In the longer run and within a yet larger context, there is a potential to unravel asymmetric (stereo-selective) chemical reaction

mechanisms in the aqueous phase. This encompasses both relatively slow ground-state stereo-selective chemical reactions of chiral species/reactants dissolved in water, and ultrafast asymmetric photochemical reactions, where the chirality of the irradiating light is transformed into chiral molecular structural information in the solution.

From the discussion in Sectionss 1 and 2 we expect that such near-threshold ionization measurements are very challenging. Even the mere extraction and quantification of the associated genuine PES signal arising from the chiral center will be complicated by the large LET overlapping with the photoelectron peaks of interest for eKEs $<$ 12–15 eV. Indeed, electron scattering, which cannot be switched of, prohibits any easy access to liquid-phase PECD. And yet, there is a demand for significant instrumentation developments that would permit the recording of PECD images, using some sophisticated VMI spectrometer compatible with liquid jets. One major obstacle to the realization of such a device is that it cannot image the full photoelectron angular distribution (PAD) from a cylindrical jet since photoelectrons born inside the solution and heading away from the detector will not turn around due to the electron-scattering processes. Moreover, the large background vapor pressure in a liquid-jet experiment ($\sim 10^{-3}$ mbar to 10^{-5} mbar range water pressure, depending on setup and type of liquid jet),[29] necessitates some efficient pumping for electron detection but excludes typical differential pumping to be used.

In the meantime, ongoing measurements with liquid jets are restricted to detecting the PECD signal solely into the backward-emission direction. This is realized by a dedicated experimental setup, equipped with a HEA with micron-sized entrance orifice, and very small effective acceptance angle.[29] Current studies, exploring liquid-jet core-level PECD from amino acids in solution, indicate that the effect can indeed be detected for not too small eKEs. Although, these measurements are performed under conditions where PECD cross sections are arguably very small, existing experimental instrumentation seems sufficient to make several promising observations. One aspect would be the effect of the charge state of an amino acid, tuned by varying the solution pH, on PECD. Note that unlike in the gas phase, anionic, zwitterionic, and cationic chiral molecules can be readily produced in sufficient quantities. Overall, these studies promise to mark the beginning of a new research area, accompanied by a better understanding of electron scattering processes in aqueous solution.

4. Accurate vertical ionization energy and condensed-matter approach to LJ-PES from liquid water and aqueous solutions

Valence electronic structure, and in particular the lowest vertical ionization energy, VIE (or equivalently vertical binding energy, VBE; compare our comment in Section 1), is intimately connected with chemical reactivity.[121] It is the most probable energy associated with the vertical promotion of an electron into vacuum, *i.e.*, without giving it any excess energy, and with no nuclear rearrangement being involved. Experimentally, the value is determined from the energetic position of maximum intensity of the respective photoelectron peaks. Liquid water's lowest $\mathrm{VIE}_{1b_1(l)}$ is 11.33 eV which corresponds to the ionization of the highest occupied molecular orbital, $1b_1$, referenced to a yet to be specified vacuum level. With that notation, water is described within the common molecular orbital formalism. The effect of condensation, when going from gas to liquid phase, is then considered as a weak perturbation of the orbital structure upon hydrogen bonding. This has been the description of liquid water adopted since the very first LJ-PES measurements.[68,122] A major deficiency, however, is that the simple molecular-physics picture does not explicitly account for the liquid-water and aqueous-solution surface. In fact, water is a large-band-gap semiconductor which calls for a conceptional inclusion of a surface. Associated with that is the question how a condensed-matter concept can help to characterize several previously inaccessible quantities of liquid water and aqueous solutions, the solution's work function being one of them. Before addressing the implementation of these descriptors, we return to above-stated value of liquid water's lowest ionization energy of 11.33 eV. This is useful in order to appreciate the need of an advanced LJ-PES technique, not only for accessing additional solution properties; here we follow the presentation by Thürmer *et al.*[22]

We begin with a discussion of $\mathrm{VIE}_{1b_1(l)}$ = 11.33 eV itself. The exact value has been debated for more than a decade since the very first measurements about 20 years ago,[68,122] reporting an energy range between 11.16 eV[68] and 11.31 eV,[123] with one recently reported outlier of 11.67 eV[124] which, however, results from improper energy calibration.[22,125] Ironically, though, all these measurements have used the same unreliable minimalistic method, in the following simply referred to as 'Gas-Phase Method', which is dubious for the determination of an accurate value of $\mathrm{VIE}_{1b_1(l)}$. Aside from very few exceptions, previous LJ-PES measurements have detected a narrow photoelectron KE range which just includes the leading liquid and gas-phase water signals, $1b_1(l)$ and $1b_1(g)$; the latter arising from ionizing the gaseous water molecules surrounding the liquid jet. Then with $\mathrm{VIE}_{1b_1(g)}$ accurately known (12.621 $\pm$ 0.008 eV[126]), it has been common practice to determine $\mathrm{VIE}_{1b_1(l)}$ from the difference of the measured peak positions, $\Delta E_{g\text{-}l} = \mathrm{VIE}_{1b_1(g)} - \mathrm{VIE}_{1b_1(l)}$. Conveniently, a determination of the exact photon energy used is not required. However, $\Delta E_{g\text{-}l}$, was found to vary from experiment to experiment, and between different laboratories, which is attributed to multiple sample charging effects and contact-potential differences that occur in liquid-jet spectrometer systems, and causes an electric field gradient between the jet and the electron detector. This is sketched in the inset of Fig. 8a, where electrons experience a different field strength from the parasitic potential depending on their origin of creation. Liquid jet charging can be of different origin, arising from electrokinetic charging by the liquid flow, from uncompensated charges upon ionization of low-conductivity samples,

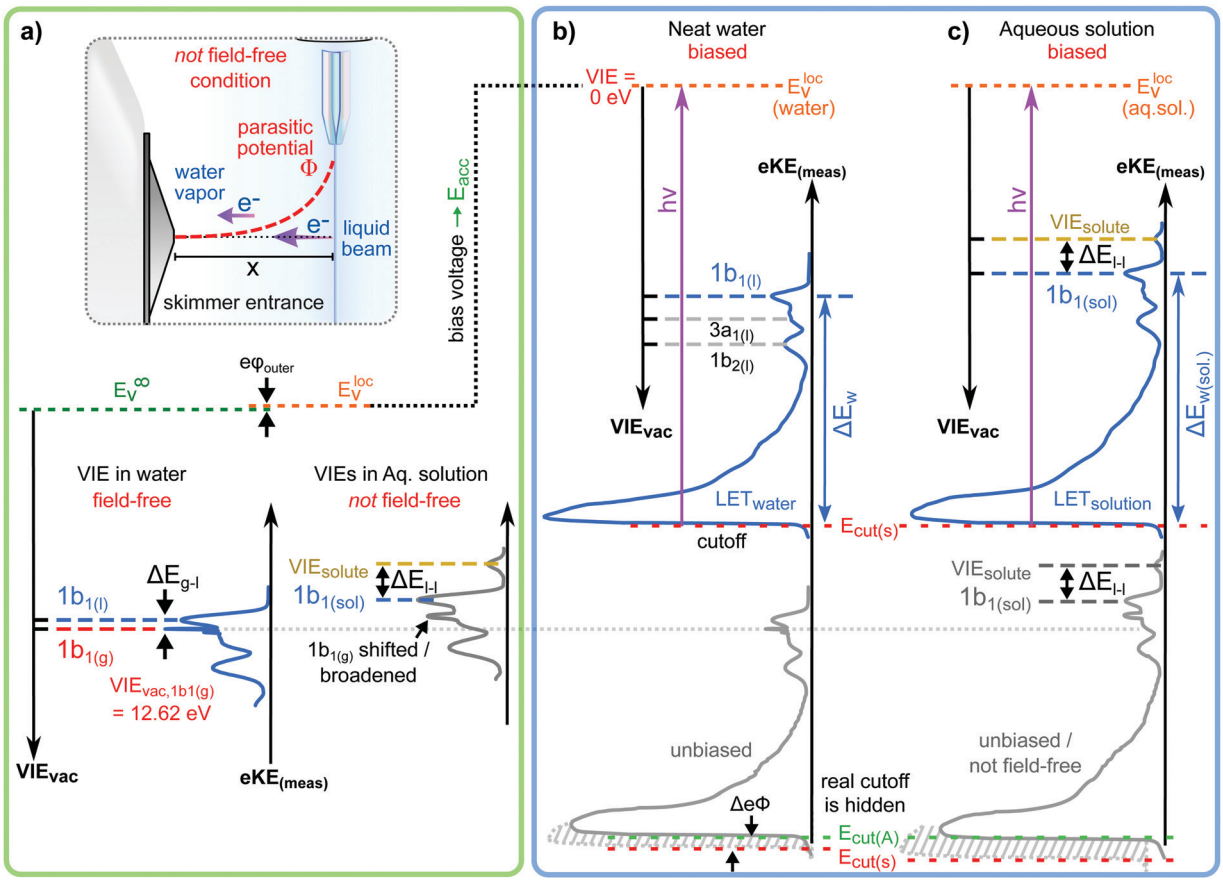

Fig. 8 (a) Top: Depiction of potential drop between charged liquid jet (LJ) and grounded electron detector. Horizontal arrows indicates that photoelectrons from water gas-phase ionization gain different energy in the resulting electric field gradient than photoelectrons from the liquid surface, resulting in different measured eKEs depending on the distance of the point of origin from detector/jet. Bottom, right: Valence liquid-water jet photoelectron spectrum measured with the 'Gas-Phase Method' (see main text) under non-field-free conditions, *i.e.*, in presence of parasitic potentials, which is usually the case. Under these conditions $VIE_{1b_1(g)}$ is not a reliable energy reference. Bottom, left: Valence liquid-water jet photoelectron spectrum measured with the 'Gas-Phase Method' under field-free conditions, *i.e.*, where the solution and experimental conditions have been precisely tuned to eliminate all potentials. This leads to a sharp water $1b_1$ gas-phase peak, and correct peak separation from the liquid-phase $1b_1$ peak, such that $\Delta E = VIE_{1b_1(g)} - VIE_{1b_1(l)}$ yields the accurate $VIE_{1b_1(l)}$ value, and $VIE_{1b_1(g)}$ being the known literature value; see main text. (b) Left: A bias voltage applied to the LJ shifts all liquid features under the influence of an accelerating field, E_{acc} (blue spectrum); the gas-phase PE signal is smeared out and does not appear here. Biasing reveals the full LET curve and cutoff energy of the sample spectrum. Without bias (grey spectrum), the real cutoff is obscured by the work-function difference between the liquid and analyzer, $\Delta e\Phi$. E_{cut} constitutes a low-energy limit for photoelectrons to still overcome the liquid–surface barrier, and is thus connected to the local vacuum level above the LJ surface, $E_{loc,v}$. The precisely known photon energy, $h\nu$ (vertical purple arrow) is used to map $E_{loc,v}$ onto the measured spectrum and define the VIE_{vac} scale. Thus, with the knowledge of $h\nu$ and the energetic distance of a peak feature to the cutoff, ΔE_w, the accurate VIE of this feature can be determined. (c) As for (b) but for an arbitrary aqueous solution; here, the spectra are arbitrarily aligned to the cutoff, which at the same time aligns $E_{loc,v}$. Changes in ΔE_w directly translate to changes in the VIE. The lower part of this panel shows the full unbiased spectrum (compare to the spectra shown in panel A and bottom part of panel B). Fig. 8 is adapted from Fig. 1 of ref. 22

and change or evolution of the solution surface potential due to a molecular dipole layer.[127] A quantitative elimination of each individual contribution is illusive and an overall compensation of the sum of all effects is very challenging to accomplish experimentally, as detailed by Thürmer *et al.*;[22] most commonly electrokinetic charging is mitigated by adding a well-determined small amount of salt,[123] which, however, is extremely impractical and may be even impossible for an arbitrary aqueous solution. From the inset of Fig. 8a it is inferred that gaseous water molecules ionized at different distance from the liquid jet will take up different energies in the electric field between liquid jet and analyzer which leads to a broadening of the gas-phase water $1b_1$ peak and to associated energy shifts. Thürmer *et al.*[22] discussed the implications when measuring PES spectra under non-field-free conditions. The main conclusions are that (1) accurate liquid water BEs cannot be determined, and (2) the seemingly unobjectionable procedure to use an inaccurate $VIE_{1b_1(l)}$ to find solute BEs is very problematic and not justified. To explain the former point, we consider water valence PES spectra measured under field-free and non-field-free conditions, respectively. Both are shown in column (a) of Fig. 8, with the displayed spectral range covering water $1b_1$, $3a_1$, and $1b_2$ orbitals; see Fig. 3 for peak labels. One clearly sees the broadened gas-phase peak in the case of an electric field (not field-free; right), while at zero field the water gas-phase peak is sharp and occurs at its undisturbed energy position (left). As mentioned, it is possible

to achieve conditions where the gas-phase peak becomes sharp by tuning electrokinetic charging through the addition of empirically determined amounts of salt to precisely compensate for other potentials such as the contact potential (see also Fig. 8 below). Hence, the field-free condition is a balance of all acting fields to yield a zero effective potential, which, however, hinges on the precise experimental conditions. This procedure, however, is very time-consuming, as it has to be repeated on a day-to-day basis for each particular experimental condition. Furthermore, it only achieves a net compensation of the sum of all charge contributions. Note that field-free conditions are not achievable though for most aqueous solutions, owed to the different electrokinetic properties and surface propensities of differently charged solute species. With its small (yet experimentally to be determined) surface dipole, water is rather an exception.

We next discuss the issues arising from inaccurate values of the water $1b_1$ BE in aqueous solution, $VIE_{1b_1(sol)}$, as derived *via* the Gas-Phase Method. In previous works, this quantity has been determined under the generally erroneous assumption that $VIE_{1b_1(sol)} = VIE_{1b_1(l)}$, *i.e.*, with reference to the BE of neat liquid water, in the absence of a more suitable value. Only a handful of very recent studies[22,23,128] have been in the position to determine accurate $VIE_{1b_1(sol)}$ as well as solute values, VIE_{solute}, by applying the novel strategy which we describe in the following. This also implies that in almost all previous LJ-PES studies it was impossible, by experimental concept, to access solute-induced changes of the water electronic structure, which also prevented the determination of accurate VIE_{solute} values. Note though that in some cases, exemplified for low and high-concentration aqueous solutions of NaI,[127] even the Gas-Phase Method enabled a reasonable estimate of solute-induced binding-energy effects. It is useful to begin by comparing the two different approaches with the help of Fig. 8a. Here, the spectrum shown to the right depicts a valence PES spectrum from an aqueous solution, representative of the many short-range solution spectra that have been previously measured, and based on which inaccurate $VIE_{1b_1(sol)}$ as well as inaccurate VIE_{solute} values were inevitably inferred. Here, VIE_{solute} corresponds to a fictive (matching iodide) solute PES peak, obtained as the energy difference $VIE_{solute} - VIE_{1b_1(sol)}$, taken as the maxima of the respective liquid-phase photoelectron peaks. In that approach VIE_{solute} is referenced to $VIE_{1b_1(sol)}$, which is unknown. To illustrates the problem further we also consider the short-range PES spectrum from neat liquid water measured under field-free conditions, presented to the left in Fig. 8a. This spectrum exhibits a noticeable sharp gas-phase peak and a considerably smaller $\Delta E_{g\text{-}l}$ compared with the spectrum on the right. The sharp gas-phase peak is a distinct signature of zero field between jet and analyzer, and hence the associated $\Delta E_{g\text{-}l}$ will yield a $VIE_{1b_1(l)}$ value close to the accurate value of 11.33 eV. By contrast, the broader shifted gas-phase peak in the spectrum on the right is a clear signature of non-field-free conditions, which prevent the determination of meaningful binding energies.

In the following we describe the recent advances in LJ-PES benchmarking which permit to access accurate absolute energetics of liquid water and aqueous solutions. This includes the determination of $VIE_{1b_1(l)}$ and the justification of its value of $VIE_{1b_1(l)} = 11.33$ eV. The above discussion has demonstrated the requirement for a novel and robust experimental procedure that relies on an energy reference other than $VIE_{1b_1(g)}$. The concept for that, exploiting the low-energy photoelectron signal cutoff, E_{cut}, introduced along with Fig. 3, has been widely applied in solid-state PES. Although the first measurement of E_{cut} from a liquid jet was reported as early as 2003[129] the approach was only recently re-introduced[130] and accurately applied.[22,131] With E_{cut} experimentally determined, a given eBE of liquid water or of an aqueous solution can then be unequivocally assigned, as depicted in Fig. 8b (for neat water) and in c (for an arbitrary aqueous solution). We will explain this figure step-by-step. The spectrum (light grey) at the bottom is an extended version of the bottom spectrum of Fig. 8a, now including the LET and associated E_{cut}. However, the LET arising from liquid water overlaps with the energy cut-off of the HEA spectrometer (and often enough a diminishing photoelectron transmission at very low measured energies inside the HEA distorts the cutoff shape), making an accurate determination of the solution E_{cut} impossible. These contributions can be separated though upon applying a negative bias voltage to the liquid jet; the latter requires that the solution is sufficiently electrically conductive to support the applied bias, as discussed in detail in ref. 22; note that the exact value of the applied bias potential, and in fact other (parasitic) potentials present in the experiment are completely irrelevant for this method. The effect of the bias voltage is that photoelectrons from the aqueous phase are accelerated towards the grounded detector which results in a rigid shift of the entire liquid-phase PES spectrum to higher eKEs, and most important, to a separation of the two cutoff energies. This leads to the top (blue) spectrum in Fig. 8b. It is seen that the eKE of the water $1b_1(l)$ peak can be accurately determined *via* its energy separation from E_{cut}, *i.e.*, the spectral width, ΔE_w. The associated VIE is correspondingly determined as $VIE_{1b_1(l)} = h\nu - eKE_{1b1(l)} + E_{cut}$ where it is implied that the photon energy is precisely known. The water gas-phase peak is no longer visible as it is massively broadened in the presence of a large enough bias voltage (see the discussion of Fig. 3). Note further that field-free conditions are no longer required with this approach, which will be referred to as the 'Cutoff Method' in the following. Thürmer *et al.*[22] have applied this approach to neat liquid water, using a large range of exactly calibrated photon energies, spanning the (vacuum) ionization threshold region up to more than 900 eV above it, to explore if $VIE_{1b_1(l)}$ is the same for the very surface region and bulk liquid water. We refer back to Fig. 2b for the expected ranges of probing depths into solution. $VIE_{1b_1(l)} = 11.33 \pm 0.03$ eV was found for photon energies between approximately 25–300 eV (yielding 12 eV < eKEs < 290 eV), followed by a slight increase however on the order of the experimental error bars for $h\nu > 300$ eV. Until here we have been quite vague about the vacuum level the energies discussed here are referenced to. To address this, we refer to the distinction made in Fig. 8. The molecular approach, assuming ionization of gaseous species, refers to the transfer of a photoelectron to an infinite distance from the jet, which

necessarily corresponds to the vacuum level at infinity, E_v^{∞}. In the case of a (liquid) surface, ionization energies refer to the local vacuum level, E_v^{loc}, but not necessarily to E_v^{∞}. This difference, corresponding to the small surface dipole of liquid water, related to the outer (Volta) potential $e\varphi_{outer}$,[132] is shown in Fig. 8a. In simple terms, an electron 'just outside the surface' is in general still affected by the surface potential, which originates mostly from present surface dipoles (and possibly surface charges), and thus the experienced 'local' vacuum level must differ from the theoretical vacuum level at infinity.[133] For this reason, the local vacuum level is somewhat dependent on the surface condition. For example, in an aqueous solution, which poses a strong solute-induced surface potential may have a local vacuum level which differs considerably from the vacuum level at infinity. The transition between both vacuum levels, as the distance from the surface increases, can be understood as the attenuation of the dipole field. Even neat water possesses a small surface dipole and thus non-zero $e\varphi_{outer}$. Its value for the aqueous–gas interface is expected to be on the millivolt-to-volt scale depending on solution properties. The exact value is yet to be experimentally determined, and should be possible with the new tools available.

As concluded above, the Cutoff Method illustrated in Fig. 8b is readily applicable to aqueous solutions as well as non-aqueous solutions (Fig. 8c). That is, we can now in particular access solute-induced electronic structure changes of the water solvent, and even observe the dependence on solute concentration, as recently demonstrated for sodium-iodide and tetrabutyl-ammonium-iodide (TBAI) aqueous solutions.[22,23,134] Equally, concentration-dependent solute–solute interaction effects on solute electronic structure can be measured. A most recent work has demonstrated the potential of the new experimental approach for the determination of solvent and solute BEs of liquid jets from liquid ammonia, benzene in liquid ammonia, and liquid (non-polar) benzene.[128]

In the remainder of this section, we discuss the novel information on the solution–vacuum interface potentially provided by the Cutoff Method approach. For that it is useful to describe the aforementioned experiment on aqueous TBAI solutions in some greater detail. TBAI is a strong surfactant, expected to form a considerable molecular dipole moment at the solution surface, and is thus an appropriate system to illustrate our new ability to measure this quantity. In ref. 23 LJ-PES spectra of aqueous TBAI solutions were reported as a function of concentration. One result of these measurements is that the water $1b_1$ energy, $VIE_{1b_1(TBAI)}$, exhibits large energy shifts, up to 0.7 eV towards lower BE, with increasing concentration. This result is obtained with the Cutoff Method, fully analogous to the neat-water analysis, *i.e.*, based on a measurement of E_{cut} and subsequent determination of ΔE_w (see Fig. 8c). As discussed in ref. 22 and 23 the observed (large) energy shift could arise from electronic structure changes of the bulk solution, or from interfacial molecular dipoles, or from their combination. Several arguments have been made in favor of a considerable contribution from surface dipoles.[23] Qualitatively, the drop of the work function, *i.e.*, increase of the eKE of photoelectrons accelerated by the dipole field, is in line with the negative charge pointing into the solution and positive charge residing at the top surface. This corresponds to the commonly assumed structure of the TBA^+I^- segregation layer. A quantitative energy analysis would have to take into account the molecular and charge orientation at the interface, particularly the net normal surface dipole moment, and the solute concentration at the solution surface.[23] But this does not need to be detailed further here. We rather explain how the surface dipole, which correlates with the work function of the solution, can be experimentally verified. For that we refer to Fig. 9 which is adapted from ref. 22. The figure shows the $1b_1$ PES spectra of neat liquid water (in blue) and of a 25 mM aqueous TBAI solution (in green; corresponding to one single TBAI monolayer coverage); the latter spectrum also exhibits signal from iodide 5p ionization. Experimental details are described in the figure caption. The important point to note is that these spectra have been measured from grounded liquid jets, under special experimental conditions, where parasitic potentials other than the contact potential between the liquid jet and the detector have been eliminated; this will be referred to as Fermi-aligned condition for reasons explained below. This is a different situation than the one depicted in Fig. 8a, where the contact potential is compensated as well to achieve field-free conditions. Indeed, the gas-phase peak of the neat-water spectrum in Fig. 8 is slightly broadened which indicates the presence of the non-zero contact potential in this case. We note that neither zero-field nor Fermi-aligned conditions are achievable for aqueous solutions in general, though.[22] This condition is specifically prepared here to align the Fermi level of the liquid water/aqueous solution to that of the electron analyzer. Using the results of accurate VIE measurements explained above, we can affix VIE energy scales to the water $1b_1$ peak of each case, *i.e.*, so that $VIE_{1b_1(l)}$ = 11.33 eV and $VIE_{1b_1,TBAI}$ = 10.7 eV, as extracted from the Cutoff Method; these represent the top-most two energy scales in the figure. Under these circumstances, *i.e.*, when accomplishing conditions free of parasitic potentials (suppression of both the streaming potential and ionization-induced sample charging is given), one can make an attempt to introduce a Fermi energy of the solution which then connects to a (measurable) work function.[22] We then have a means to determine the solution work function in order to distinguish bulk solution electronic structure changes. Experimentally, this requires the simultaneous measurement of the system's Fermi energy and the solution spectra. This, however, is just an auxiliary (hypothetical) strategy since large-band-gap semiconductors like liquid water do not exhibit a measurable Fermi edge itself since the electron density at the Fermi level/electrochemical potential is zero. Thus, the Fermi-edge spectrum of an external (metallic) reference electrode in equilibrated electrical contact with the solution has to be measured separately, and yet relating this external (metallic) reference spectrum to the spectrum of the solution is not straight-forward. One associated problem is the fact that the photoelectrons emitted from the metallic sample, a gold wire in the present case mounted next to the liquid jet, even though measured under jet operational condition, do not cross the solution (water) interface, and do

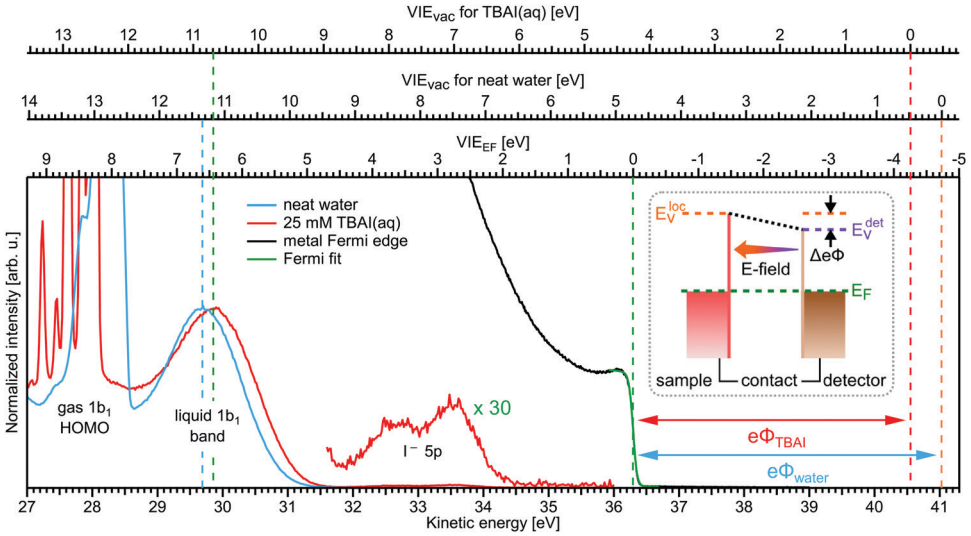

Fig. 9 Valence PES spectra from neat liquid water (blue) and 25 mM TBAI aqueous solution (red) from a grounded liquid jets measured at 40.814 eV (He IIα line) photon energy. This measurement is done specifically under conditions free of parasitic potentials other than the contact potential, originating from the difference in work functions between the liquid jet/reference sample and detector. As shown in the inset, this ensures that the Fermi level is aligned for all measurements, including the metallic reference used to determine the position of the Fermi edge. The spectral range covers the $1b_1$ emission from gas (sharper peaks to the far left), liquid phase and the emission resulting from ionization of the iodide 5p orbitals in case of the TBAI solution. The figure also presents the PES spectrum from gold measured under jet operation conditions, shown in black (only the Fermi edge is visible). The bottom axis shows the as-measured kinetic energy scale (of the detector). The Fermi edge was fitted with a Fermi function (green line), and its position defines the zero point of the VIE_{EF} energy scale in the spectrum (lowest energy scale at the top of the panel). This enables us to determine the $VIE_{EF,1b_1(l)}$ value of 6.60 eV for (almost) neat water. For $TBAI_{(aq)}$ we determine $VIE_{EF,1b_1(sol)}$ = 6.45 eV, and the solute features are found at $VIE_{EF,5p_{1/2}}$ = 3.80 eV and $VIE_{EF,5p_{3/2}}$ = 2.84 eV. Recalling that the VIEs of water's $1b_1$ orbital referenced to the (local) vacuum-level were $VIE_{vac,1b_1(l)}$ = 11.33 eV for neat water and $VIE_{vac,1b_1(sol)}$ = 10.70 eV for $TBAI_{(aq)}$, we can add a VIE_{vac} scale for both solutions using the liquid $1b_1$ peak as fixpoint; these are the center (neat water) and top (TBAI) energy axes at the top of the graph. The difference between VIE_{EF} and VIE_{vac} each then gives, per definition, the solutions work function, $e\Phi_{water}$ = 4.73 eV and $e\Phi_{TBAI}$ = 4.25 eV, respectively. Figure is adapted from Fig. 6 of ref. 22.

not experience the usually present parasitic potentials from the liquid jet. Thus, in general the measured metallic spectrum cannot be directly related to a measured solution spectrum as both spectra experience different energy shifts; only under the condition that parasitic potentials from the liquid jet have been compensated can a direct comparison be attempted. Hence, the described procedure, which we refer to as the "Fermi Method", arguably enables the measurement of rather accurate work functions of solutions. Coming back to Fig. 9, we see the measured Fermi edge and the respective spectral fit. Noticeably, the Fermi edge, defining the zero point of the VIE_{FE} (E_F) energy scale in the spectrum (bottom-most axis scale at the top of the panel), is the same for neat water and the TBAI aqueous solution under the sole assumption that both solutions were measured under conditions which are free of parasitic potentials. With $e\Phi$ being the difference between E_{vac} and the solution E_F, one finds $VIE_{FE,1b1(l)}$ = 6.60 eV and $e\Phi_{water}$ = 4.73 eV for (almost) neat water. The respective values for the TBAI solution are, $VIE_{FE,1b1,TBAI}$ = 6.45 eV and $e\Phi_{TBAI}$ = 4.25 eV.

Conclusions

We have reported on the current understanding of electron scattering processes in aqueous environments, ranging from bulk liquid water and aqueous solutions to water clusters, and its relevance to photoelectron spectroscopy of these systems in the sub-keV range. An important finding is that at electron kinetic energies lower than ~15 eV inelastic electron transport scattering (mainly phonon and vibronic scattering) can severely disturb the genuine (intrinsic, nascent, original) photoelectron band shape, and thus hinder the direct measurement of vertical binding energies (ionization energies). Whenever possible, this can largely be circumvented by using photon energies for ionization that lie ~15–20 eV above the ionization threshold of interest.[34] Since electron scattering at these higher kinetic energies is strongly influenced by scattering *via* electronic channels, genuine band shapes and vertical binding energies are hardly disturbed by electron scattering. Elastic and inelastic electron scattering also render it impossible to directly measure genuine photoelectron angular distributions, which holds over the entire kinetic energy range for the angular distributions in contrast to the situation for the band shapes and binding energies. The reason for this is the high sensitivity of the angular distribution to any single elastic or inelastic scattering event. For cases, where the genuine band shapes, binding energies and angular distributions cannot be measured directly, they can be retrieved from the measured photoelectron spectra by accounting for the influence of electron scattering using suitable electron scattering models. We have demonstrated this method for the example of the solvated electron in different aqueous environments,[14,15] including water microjets, neutral water clusters, Na-doped water clusters and

anion water clusters. For these retrievals, we recommend using the detailed electron scattering cross sections for bulk liquid water from ref. 10 and for water clusters from ref. 25 and 32. It is worth highlighting that scattering cross sections for water clusters lie in between those of the gas and the liquid phase – mainly an effect of the different dielectric screening.[25] We discussed two photoemission mechanisms, Post Collision Interaction (PCI) and Photoelectron Circular Dichroism (PECD), which occur only near the ionization threshold. Hence, the influence of electron scattering on band shapes, binding energies and photoelectron angular distributions are inevitably high. Both processes are expected to be fundamentally important in aqueous solution but currently their detection remains experimentally very challenging, requiring further technical development.

However, electron transport scattering is not the only phenomenon that makes the derivation of accurate binding (ionization) energies of neat water or solutes in water challenging. We also discussed how an advanced liquid-jet PES method enables the measurement of accurate absolute energetics of both solvent and solute, including solute-induced changes of solvent electronic structure.[22,23] Moreover, the novel method provides a means to access explicit surface properties of liquid water, such as solution surface dipoles and the related solution work function. The latter implies an introduction of the Fermi energy to solutions which for large-band-gap liquid water is not straightforward. Yet, concepts presented here will help to make a perspective connection between LJ-PES electrochemistry.

Conflicts of interest

There are no conflicts to declare.

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