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# Micro-Raman spectroscopy of lipid halo and dense-core amyloid plaques: aging process characterization in the Alzheimer's disease APPswePS1ΔE9 mouse model†

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The deposition of amyloid plaques is considered one of the main microscopic features of Alzheimer's disease (AD). Since plaque formation can precede extensive neurodegeneration and it is the main clinical manifestation of AD, it constitutes a relevant target for new treatment and diagnostic approaches. Micro-Raman spectroscopy, a label-free technique, is an accurate method for amyloid plaque identification and characterization. Here, we present a high spatial resolution micro-Raman hyperspectral study in transgenic APPswePS1ΔE9 mouse brains, showing details of AD tissue biochemical and histological changes without staining. First we used stimulated micro-Raman scattering to identify the lipid-rich halo surrounding the amyloid plaque, and then proceeded with spontaneous (conventional) micro-Raman spectral mapping, which shows a cholesterol and sphingomyelin lipid-rich halo structure around dense-core amyloid plaques. The detailed images of this lipid halo relate morphologically well with dystrophic neurites surrounding plaques. Principal Component Analysis (PCA) of the micro-Raman hyperspectral data indicates the feasibility of the optical biomarkers of AD progression with the potential for discriminating transgenic groups of young adult mice (6-month-old) from older ones (12-month-old). Frequency-specific PCA suggests that plaque-related neurodegeneration is the predominant change captured by Raman spectroscopy, and the main differences are highlighted by vibrational modes associated with cholesterol located majorly in the lipid halo.

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## Introduction

In 1906, Alois Alzheimer first described neurofibrillary tangles and neuritic plaques (or amyloid plaques) in the brain of a 55-year-old woman with cognitive impairment, as well as

complementary signs and symptoms associated with dementia. From this point, researchers around the world have sought to understand and characterize the biochemical and structural changes that would become the hallmarks of Alzheimer's Disease (AD).<sup>1</sup> Currently, amyloid peptide aggregation is considered one of the main biochemical features of the AD pathological process and a relevant target for new treatment and diagnostic approaches, since amyloid plaque formation seems to precede further morphological alterations, with extensive neurodegeneration, and it represents the main clinical aspects of AD.<sup>2</sup>

Amyloid plaques are composed of misfolded  $\beta$  amyloid (A $\beta$ ) peptides. According to the amyloid hypothesis, the cascade of events leads to a complex process of A $\beta$  aggregation involving intermediate neurotoxic oligomeric structures which form protofibrils, finally evolving into mature fibrils that aggregate and become packed in the core. The whole pathway is supposed to culminate in AD neurodegeneration.<sup>3,4</sup>

At the cellular level, when oligomers and protofibrils come in contact with neurons, it leads to axon and dendrite (neurites) dystrophy, with the loss of dendritic spines and withering of den-

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driftic shafts and sprouting, microtubule disruption, and impairment of axonal transport.<sup>2,5–9</sup> The microglia form a physical barrier around the plaque to prevent its radial growth and neuronal damage,<sup>10</sup> phagocytizing the oligomers and protofibrils.<sup>11,12</sup> The astrocytes engulf presynaptic dystrophies and phagocytize them.<sup>13,14</sup> Both microglia and astrocyte cells undergo morphological transformations acquiring an activated phenotype and all cells in the microenvironment display metabolic changes.<sup>15</sup>

Immunostaining techniques are the usual methods to microscopically access the information contained in this plaque-related neurodegeneration complex process. There are several studies reporting each of the previously described events using antibody markers and specific chemical dyes,<sup>16–18</sup> and sophisticated approaches using transgenic mice expressing fluorescent cell specific proteins.<sup>2,5,19</sup>

Raman spectroscopy (RS) is another microscopy technique that has aroused interest with regard to several pathological conditions. Currently several histopathological diagnoses have been proposed based on RS protocols.<sup>20–25</sup> Raman scattering is the inelastic light scattering by matter, where the frequency of the incident light is modified due to the vibrational modes of the system, generating scattered light beams of different energy values with respect to the incident beam. This energy displacement, available as Raman shifts, is unique according to specific physical and chemical structures.<sup>26</sup> Thus, RS has been used to characterize vibrational fingerprints of chemical species from different sample sources.<sup>27</sup>

There is a set of vibrational modes that can be used as a fingerprint of the amyloid plaque.<sup>21,28</sup> At least in the mouse model, the mature amyloid plaque presents a core that is rich in  $\beta$  sheet conformation, surrounded by protofibrillar A $\beta$  aggregates in diffuse plaques and diffuse A $\beta$  halo areas.<sup>10,29</sup> The  $\beta$  sheet secondary protein structure displays the specific Raman Amide I (AmI) frequency at  $\sim 1670\text{ cm}^{-1}$ . In addition, several other vibrational modes enable the identification of the dense-core A $\beta$  plaques, such as Amide III (AmIII), which is also related to the  $\beta$  sheet conformation, and phenylalanine (Phe). The A $\beta$  primary sequence presents two Phe residues showing an intense and characteristic vibrational mode at  $\sim 1007\text{ cm}^{-1}$ , which is attributed to their aromatic ring breathing mode.<sup>21,30,31</sup> There has been an extensive effort to characterize the amyloid plaques directly in the brain tissue using RS.<sup>32–35</sup> Summers *et al.* conducted a laborious study based on multi-modal spectroscopy, including RS, to acquire images of AD brain tissue and amyloid plaques.<sup>34</sup> However, the RS results did not show any vibrational mode related to the amyloid plaque or even the Raman image of the plaque. Michael *et al.* showed hyperspectral Raman imaging of amyloid plaques, but they were also not able to demonstrate the related Raman shifts, and the correlation between the tissue analyzed by Raman spectroscopy and the image confirmation by immunostaining was not clear.<sup>35</sup> Recently, Palombo *et al.* presented a hyperspectral Raman image of an amyloid plaque followed later by staining confirmation.<sup>36</sup>

Spectroscopy studies using Raman or Fourier transform infrared spectroscopy (FTIR) generally show lipid-rich struc-

tures surrounding the dense-core plaque.<sup>29,36–39</sup> This halo was related to degenerated neurites and myelin sheaths, as reported by Ji *et al.* using Stimulated Raman Scattering,<sup>28</sup> whilst Palombo *et al.* suggested astrogliosis as its main origin.<sup>36</sup> A recent study, using human brain tissue, was not able to find the Raman fingerprint for neither A $\beta$  accumulation,<sup>40</sup> nor the lipid halo that has been documented before.<sup>21,28,36</sup>

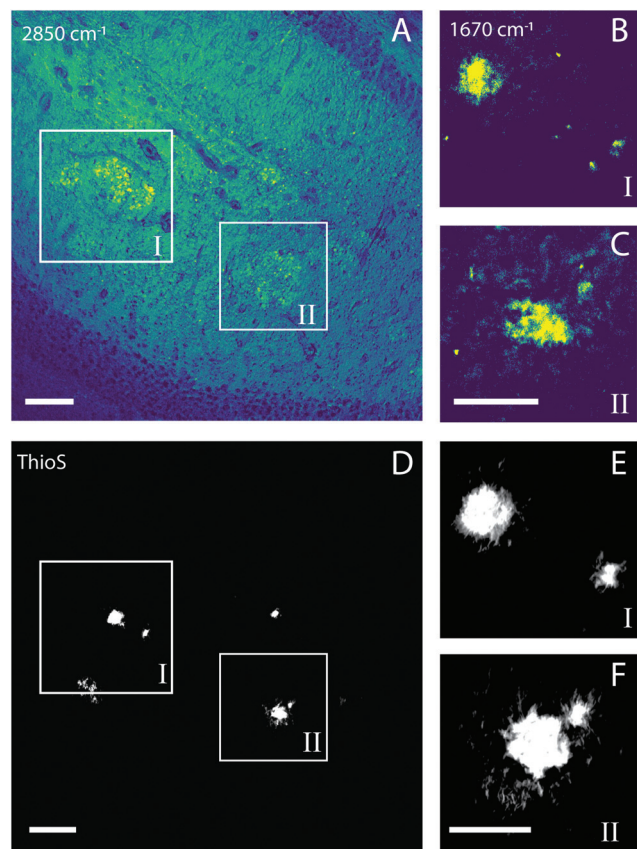
The objective of this work is to take a step further in the use of RS for histological diagnosis and also as an effective tool aiming at the characterization of biochemical and histological changes related to amyloid plaque formation. The past studies have been conducted at spatial resolutions of  $0.47\text{ }\mu\text{m}$ ,<sup>35</sup>  $1.4\text{ }\mu\text{m}$ ,<sup>36</sup> and  $6\text{ }\mu\text{m}$ .<sup>41</sup> In this study, we build on the established literature by performing sub-micron imaging, which has provided new insights at the cellular and sub-cellular levels. We characterized the lipid-rich structures surrounding the dense-core A $\beta$  plaque using micro-Raman imaging reaching the diffraction limit (spatial resolution of  $\sim 0.3\text{ }\mu\text{m}$ ), with mapping steps ranging between  $0.25\text{ }\mu\text{m}$  and  $0.35\text{ }\mu\text{m}$ .

First, we carried out label-free imaging of dense-core plaques using stimulated Raman scattering (SRS) microscopy to locate plaque and lipid-rich halo structures in few second scans. Subsequently, the same AD tissue was characterized with spontaneous (conventional) micro-Raman hyperspectroscopy imaging, to identify the chemical and histological characteristics in the AD tissue in brain samples of 6 and 12 months old transgenic mice. Our data clearly demonstrate the contribution of sphingomyelin (SM), apart from cholesterol (Chol), in the lipid halo structure. Secondly, we used a multivariate principal component analysis (PCA) to carry out the cross-sectional study using the hyperspectral scanning of a set of young adult (6 months) and aged (12 months) transgenic mice, as well as wild-type mice. PCA showed lipid molecules as a principal component to differentiate young adults from elderly mice.

## Results and discussion

### A $\beta$ plaque imaging by stimulated micro-Raman scattering

The amyloid plaque is not visible in bright field microscopy, making the use of some specific markers necessary, such as Congo red, silver, Thioflavin S (ThioS), curcumin, antibody and others.<sup>42–45</sup> In a previous study, we used two-photon-excited fluorescence prior to acquiring autofluorescence images of tissue and plaques to help us to locate the samples.<sup>21</sup> In this work, we use SRS microscopy to achieve fast and label-free identification of the A $\beta$  plaques before spontaneous Raman hyperspectral scanning.<sup>46</sup> First, we acquired a histological and anatomical image of the tissue by SRS in the lipid vibrational mode at  $2850\text{ cm}^{-1}$ . Using this frequency, we were able to identify lipid-rich halo structures distributed around the cortex and hippocampus (Fig. 1A). This frequency has an intense peak, useful for the fast acquisition of histological images of tissues, showing lipid accumulation regions, normally harboring a dense-core A $\beta$  plaque. Once the lipid



**Fig. 1** Amyloid plaque image by SRS and ThioS fluorescence imaging. The SRS image of the tissue was captured for (A) the lipid (frequency  $2850\text{ cm}^{-1}$ ) and (B and C) locations of amyloid plaques ( $1670\text{ cm}^{-1}$ ) corresponding to squares I and II in (A), respectively. ThioS images (D–F). Scale bars in (A and D) indicate  $30\text{ }\mu\text{m}$ ; in (C and F) indicate  $15\text{ }\mu\text{m}$ .

halo was identified, we performed amyloid plaque imaging by SRS at the frequency of the  $\beta$  sheet protein secondary structure (AmI,  $\sim 1670\text{ cm}^{-1}$ ) (Fig. 1B and C). For all lipid haloes identified, we found amyloid plaques inside them. AmI imaging takes  $\sim 4.2$  minutes, while lipid halo imaging takes  $\sim 50$  seconds. Therefore, searching the lipid halo as a way to discover the possible distribution of amyloid plaques in tissue proved useful. ThioS staining utilized to validate the SRS method is shown in Fig. 1D–F.

### Biochemical mapping of Alzheimer's tissue

Fig. 2 shows the result of a hyperspectral micro-Raman analysis of two A $\beta$  plaques. By plotting the Raman intensities at different spectral ranges, the images show different structures. As shown in Fig. 3, the frequency values were able to identify *in situ* the core of the amyloid plaque: Phe ( $1007\text{ cm}^{-1}$ ,  $1022\text{ cm}^{-1}$ ,  $1033\text{ cm}^{-1}$ ), Phe and tyrosine ( $1610\text{ cm}^{-1}$ ), amide III  $\beta$  sheet (centered at  $1233\text{ cm}^{-1}$ ), amide I  $\beta$  sheet ( $1670\text{ cm}^{-1}$ ), and amide B ( $3070\text{ cm}^{-1}$ ). Several other frequencies are related to lipids that form the lipid halo.

The dense-core A $\beta$  plaque spectrum shows the AmI ( $1670\text{ cm}^{-1}$ ) blue shift and the AmIII ( $1233\text{ cm}^{-1}$ ) red shift, as

well the Phe peak ( $1007\text{ cm}^{-1}$ ) intensity increases, and a change in the relative intensities of CH stretching modes (CH<sub>2</sub> at  $2850\text{ cm}^{-1}$  and CH<sub>3</sub> at  $2930\text{ cm}^{-1}$ ). The lipid halo spectrum presents an increase in Chol ( $702\text{ cm}^{-1}$ ), SM ( $721\text{ cm}^{-1}$ ) and CH<sub>2</sub> stretching mode related to lipid ( $2850\text{ cm}^{-1}$ ), as compared with surrounding tissue (Fig. 2).

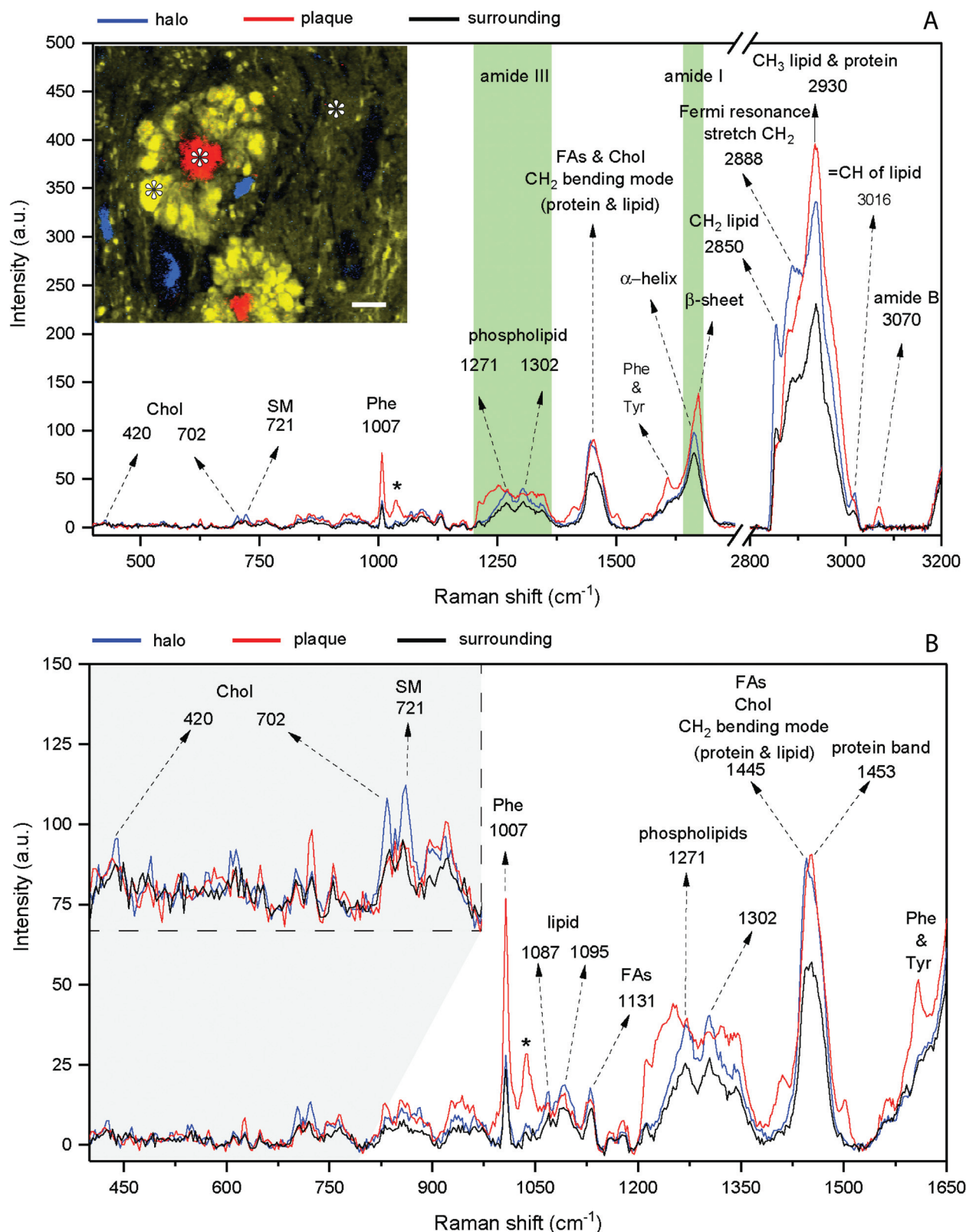
To show histological details at the cellular or sub-cellular level, and to analyze the biochemical AD tissue in different developmental stages, we first performed high spatial resolution micro-Raman mapping of the lipids and a dense-core A $\beta$  plaque distribution in the brain slices of transgenic (Tg) mice (Fig. 3, 4 and ESI†). The spatial resolution is enough to enable visualization of nuclei of cells surrounding the lipid halo, suggesting glial cells (Fig. 5). As the resident macrophages of the brain, microglial cells become responsive to microenvironmental variations and, once they are activated, they play a crucial role either in the clearance of A $\beta$  fibrils and oligomers, or act as a physical barrier around the plaques.<sup>10,12,19</sup> Reactive astrocytes are also part of the process, engulfing and digesting presynaptic dystrophic neurites and contributing to AD neuroinflammatory processes along with microglia.<sup>13</sup>

The representative hyperspectral images shown in Fig. 4 did not reveal significantly different morphology in young adults and aged mice, with the dense lipid halo surrounding the dense-core A $\beta$  plaques observed in both. The Raman frequency of lipid ( $2850\text{ cm}^{-1}$ ), AmI frequency of  $\beta$  sheet structure ( $1670\text{ cm}^{-1}$ ) and DNA phosphodiester bond ( $791\text{ cm}^{-1}$ ) always provide an overview of the complex cellular changes occurring in the AD brain. It is interesting to note that the lipid halo is larger than the dense-core plaque (Fig. 3, 4, 5 and the ESI†). Morphologically, these lipid halos related well with dystrophic neurites<sup>5,8,10</sup> more than astrogliosis, as previously suggested.<sup>36</sup> The axonal transport and metabolism of neurons around the amyloid plaques were affected, showing dystrophy.<sup>2,47–51</sup> However, more studies are necessary to confirm this hypothesis.

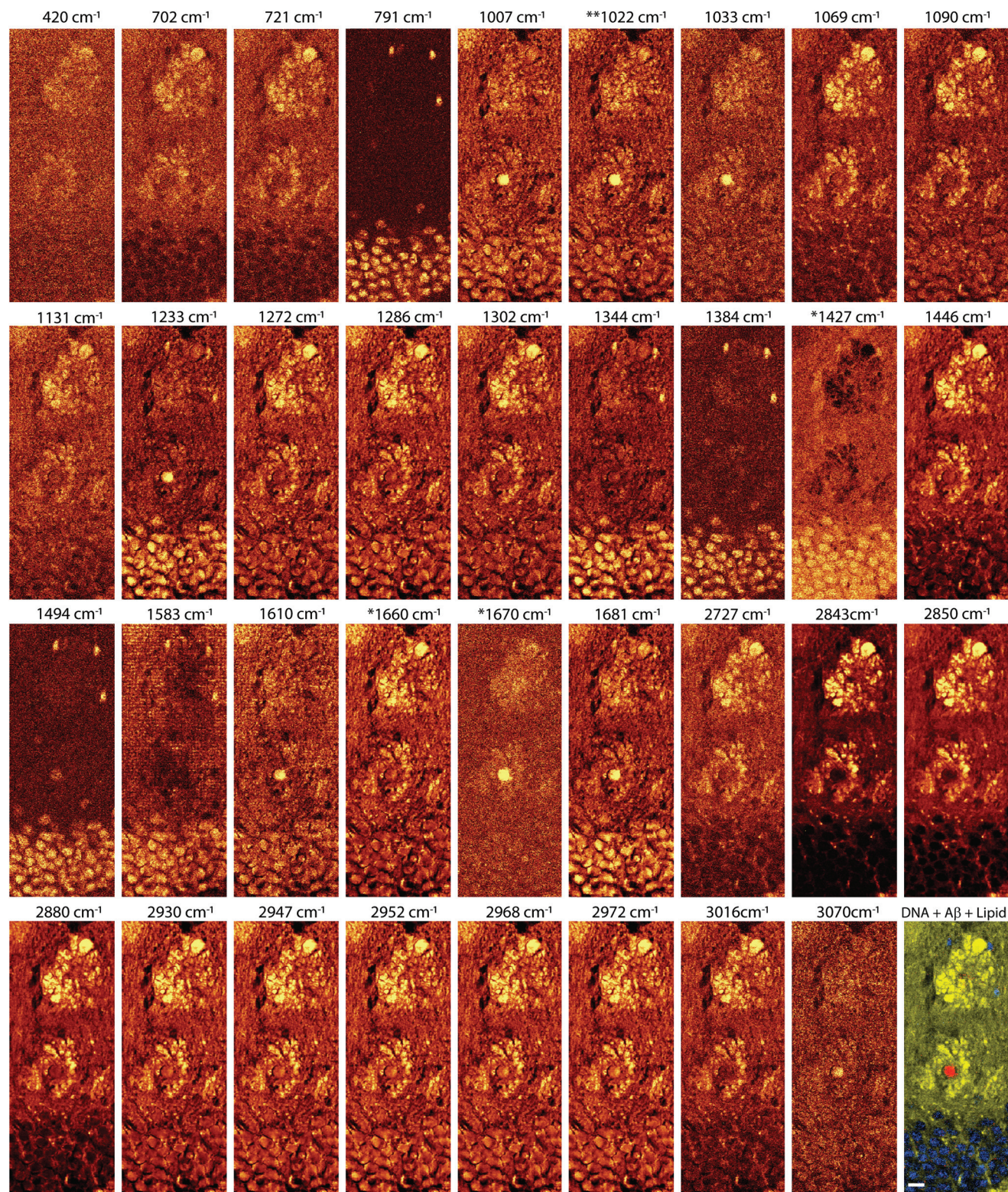
Since the differences between the plaques and the lipid-rich structures of different developmental stages of mice were not visually evident, we used PCA to discriminate the differences between our hyperspectral data, as discussed below.

### Principal component analysis (PCA) for AD progression differentiation

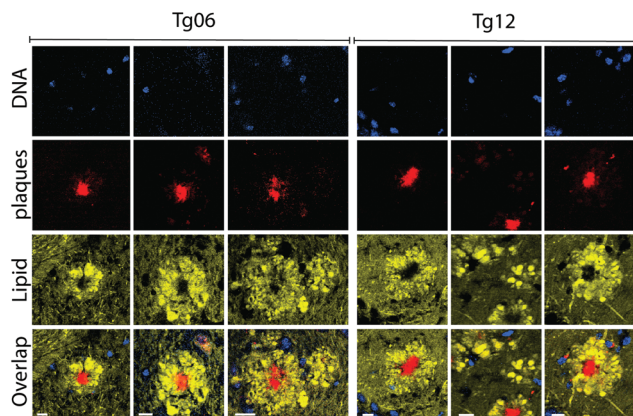
PCA was previously used to determine the biochemical differences in the tissue samples according to hyperspectral data.<sup>52</sup> We applied PCA to our hyperspectral data to determine spectral differences among 12-month-old wild type (WT) and Tg mice, and between Tg6 and Tg12 mice. We first performed PCA in the scanned spectral range ( $300\text{ cm}^{-1}$  to  $3800\text{ cm}^{-1}$ ) of plaques of eight adult mice (4 from Tg6, and 4 from Tg12), and one hyperspectral mapping for a WT mouse. Fig. 6A shows the mean spectra of each group, WT, Tg6 and Tg12. Fig. 6B presents the distribution of the first principal component (PC1), responsible for  $\sim 44.59\%$  of the total spectral variance for the Tg and WT mice, showing significant differences among them. To find which vibrational modes across



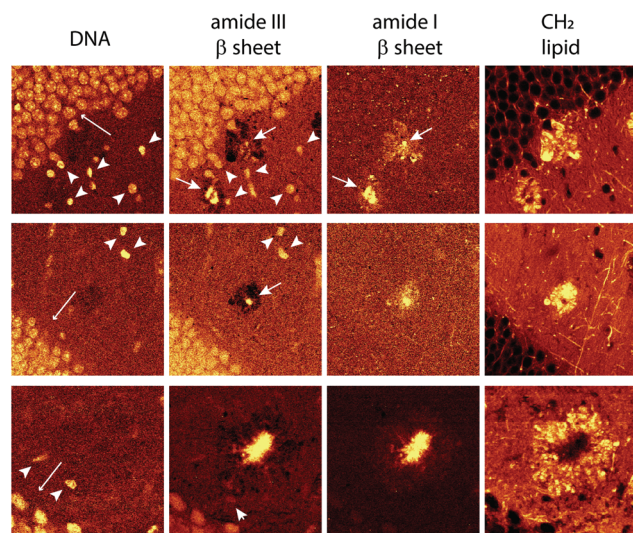
**Fig. 2** Representative spectra of the amyloid plaque and lipid halo of the 12 month old transgenic mouse brain. (A) Entire spectral range (400  $\text{cm}^{-1}$  to 3200  $\text{cm}^{-1}$ ). Amide I and III bands are highlighted in green. The inset shows the Raman image of the dense-core plaque (red – Aml vibration), DNA vibration at 791  $\text{cm}^{-1}$  (blue), and  $\text{CH}_2$  stretching of lipid (yellow). Asterisks show the points corresponding to the spectra. Scale bar indicates 10  $\mu\text{m}$ . (B) The same spectra focusing on the range of 400 to 1650  $\text{cm}^{-1}$ . Note the cholesterol peaks (420  $\text{cm}^{-1}$  and 702  $\text{cm}^{-1}$ ) and sphingomyelin (721  $\text{cm}^{-1}$ ) in the lipid halo spectrum (inset). The asterisk shows the phenylalanine band at 1033  $\text{cm}^{-1}$ . Chol: cholesterol; SM: sphingomyelin; FAs: fatty acids; Phe: phenylalanine; Tyr: tyrosine.



**Fig. 3** High spatial resolution hyperspectral images of a 12 month old AD mouse brain section. The hyperspectral images had their background removed using the Project FIVE 5.0 WITec software, then the images were generated by selecting filters for each frequency value in the software. The asterisk indicates that the images ( $1427\text{ cm}^{-1}$ ,  $1660\text{ cm}^{-1}$  amide I  $\alpha$  helix and  $1670\text{ cm}^{-1}$   $\beta$  sheet) had their background subtracted using pixels at both sides from the filter range. Double asterisks \*\*, indicate that the image was formed centered at  $1022\text{ cm}^{-1}$  in the range of  $40\text{ cm}^{-1}$  ( $1022/40\text{ cm}^{-1}$ ) to cover the two assigned vibrational modes of Phe,  $1007\text{ cm}^{-1}$  and  $1033\text{ cm}^{-1}$ . The panel (bottom-right): DNA + dense-core amyloid plaque + lipid generated by the  $791\text{ cm}^{-1}$ ,  $1670\text{ cm}^{-1}$ , and  $2850\text{ cm}^{-1}$  spectra, respectively. Scale bar indicates  $10\text{ }\mu\text{m}$ .



**Fig. 4** Hyperspectral Raman Image of 6 and 12 month-old transgenic mice (Tg6 and Tg12, respectively). The images were generated using DNA phosphodiester bond frequency ( $791\text{ cm}^{-1}$ , blue), amide I vibrational modes ( $1670\text{ cm}^{-1}$ , red), total lipid by  $\text{CH}_2$  stretching vibration ( $2850\text{ cm}^{-1}$ , yellow). In all images, the lipid halo (artificially colored in yellow here) surrounds the amyloid plaque and some cell nuclei surround the halo (see the last frame, overlap). Visually, there is no difference between the groups. Scale bars indicate  $10\text{ }\mu\text{m}$ . Artificially colored.



**Fig. 5** Hyperspectral images of cell nuclei surrounding the lipid halo of 12 month old transgenic mice. Nuclei of cells with respect to DNA frequency ( $791\text{ cm}^{-1}$ , left-most images) amide III  $\beta$  sheet (centered at  $1233\text{ cm}^{-1}$ , center-left images) amide I  $\beta$  sheet ( $1670\text{ cm}^{-1}$ , right-most images), stretching of the total lipid ( $2850\text{ cm}^{-1}$ ) forming the lipid halo. At amide III  $\beta$  sheet, nuclei are highlighted probably due to overlapping frequencies of protein and DNA in these ranges (see the frequency table in the ESI†). Note in DNA images nuclei of the cells of the neurons in the dentate gyrus (long arrow), smaller nuclei showing greater intensity (arrowhead) and different morphology, possibly glial cells. Amide I and III, arrows showing the core plaques.

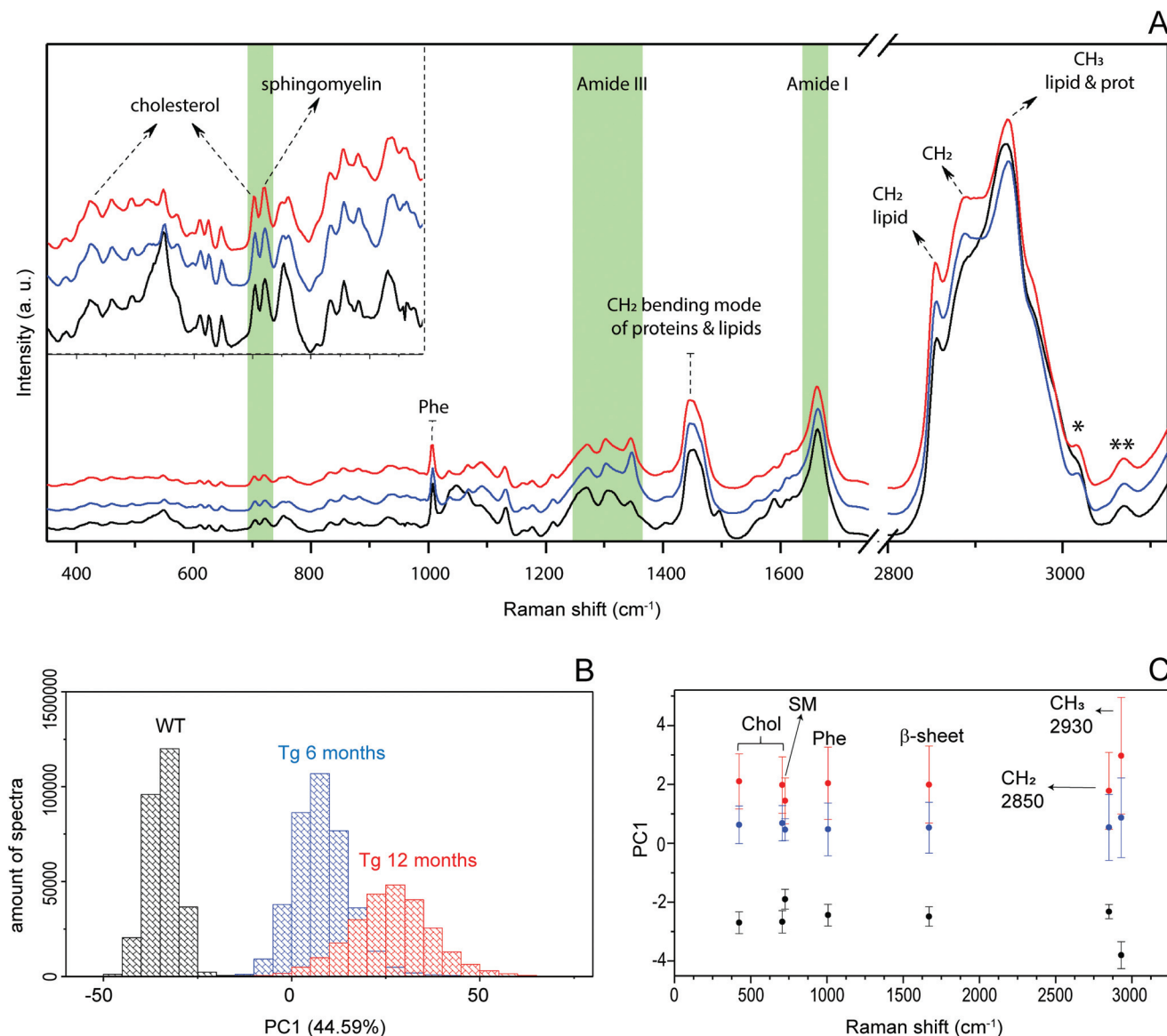
the spectrum have the most relevant contribution to differentiate the groups of mice, we selected a spectral region ( $10\text{ cm}^{-1}$  width) based on seven distinct frequencies:  $420\text{ cm}^{-1}$  and  $702\text{ cm}^{-1}$  (Chol),  $721\text{ cm}^{-1}$  (SM),  $1007\text{ cm}^{-1}$  (Phe),  $1670\text{ cm}^{-1}$  ( $\beta$  sheet structure),  $2850\text{ cm}^{-1}$  ( $\text{CH}_2$  stretching of the total

lipid) and  $2930\text{ cm}^{-1}$  ( $\text{CH}_3$  stretching of the total lipid and protein). All the frequencies described above exhibited a clear trend for enabling the differentiation of the experimental groups. As shown in Fig. 6C, the PC1 difference between WT and Tg12 is larger than the difference between WT and Tg6. Therefore, although there is no clear pathophysiological relationship between cholesterol and the amyloid plaque formation, the PCA showed that the vibrational modes at  $420\text{ cm}^{-1}$  and  $702\text{ cm}^{-1}$ , both related to Chol, were able to significantly differentiate the Tg 6 and Tg 12 mice.

There are several studies investigating the association between neurodegeneration,  $\text{A}\beta$  aggregation and lipid deposition, especially Chol, in AD. The brain is the organ that has the highest concentration of this lipid, approximately 25% of all Chol in the body.<sup>53</sup> However, according to the literature, changes in the Chol content in AD, as well as their co-localization within the amyloid plaque, remain controversial.<sup>54–56</sup> The main analytical approach used to measure Chol and other lipids in amyloid plaques and AD brains is by homogenate analysis using liquid chromatography and mass spectrometry.<sup>57,58</sup> In addition, these are multi-step approaches that involve solvent extraction and may change the sample. The spatial location of biomolecules in the tissue is not defined by these techniques. Recently, a more sophisticated chemical imaging combining matrix assisted laser desorption/ionization imaging mass spectrometry has been presented.<sup>59</sup> However, RS is effective at mapping the distribution of Chol and other molecules without previous preparation of tissue, keeping the histological structures intact. Here we show the hyperspectral Raman images of the dense-core amyloid plaques and the lipid-rich halo structures and we explored the contribution of Chol in these structures by RS. Fig. 7 shows hyperspectral images of Chol, SM and  $\text{CH}_2$  total lipid for Tg6 and Tg12. According to the results, the structures in the hyperspectral image formed by Chol- and SM-related peak frequencies co-localizes with the structures formed in the hyperspectral image of frequencies related to total lipids ( $2850\text{ cm}^{-1}$ ) in the halo, *i.e.* they do not co-localize with the observed dense-core  $\text{A}\beta$  plaques. Both Chol and SM form the lipid-rich structures surrounding the dense-core. The main hyperspectral images of the dense-core  $\text{A}\beta$  plaque related frequencies, DNA and lipid for Tg6 and Tg12 are shown in Fig. S1.†

These data should be interpreted as a measure of the lipid distribution in neural tissue. The human brain is basically made up of 30% protein and 70% lipid, where Chol represents 27.7% and SM 7.9%.<sup>60</sup> Most of the Chol is found in the membranes of neuronal and glial cells, whilst SM accounts for approximately 10% of mammalian cellular lipids and it is highly enriched in myelin sheets.<sup>61</sup> These two biomolecules constitute an important class of membrane lipids. SM is anchored in the membrane bilayer, together with Chol, representing a major component of lipid raft membrane microdomains.<sup>62</sup>

In this sense, RS is a valuable tool for identifying features related to lipid distribution, especially the  $2850\text{ cm}^{-1}$  Raman shift of the lipid halo, as a picture of the AD neurodegeneration process and associated neuroinflammation.



**Fig. 6** Differentiation of young adults and aged APP/PS1 Tg mice by PCA. (A) Mean Raman spectra of the brain tissue. The hyperspectral means of each group are presented, WT (black), Tg6 (blue) and Tg12 (red). Green vertical areas highlight regions of cholesterol/sphingomyelin, amide III and amide I. (B) Histogram showing the results from the PCA of the full spectral windows from 300  $\text{cm}^{-1}$  to 3800  $\text{cm}^{-1}$ . (C) PC1 distributions at different frequencies (420  $\text{cm}^{-1}$ , 702  $\text{cm}^{-1}$ , 721  $\text{cm}^{-1}$ , 1007  $\text{cm}^{-1}$ , 1670  $\text{cm}^{-1}$ , 2850  $\text{cm}^{-1}$ , 2930  $\text{cm}^{-1}$ ). Error bars indicated the PC1 variance. WT (black), Tg6 (blue), and Tg12 (red). For all frequency values, there is a clear trend of separation between the animals of each group. Chol: cholesterol; SM: sphingomyelin; Phe: phenylalanine; PC1: principal component1.

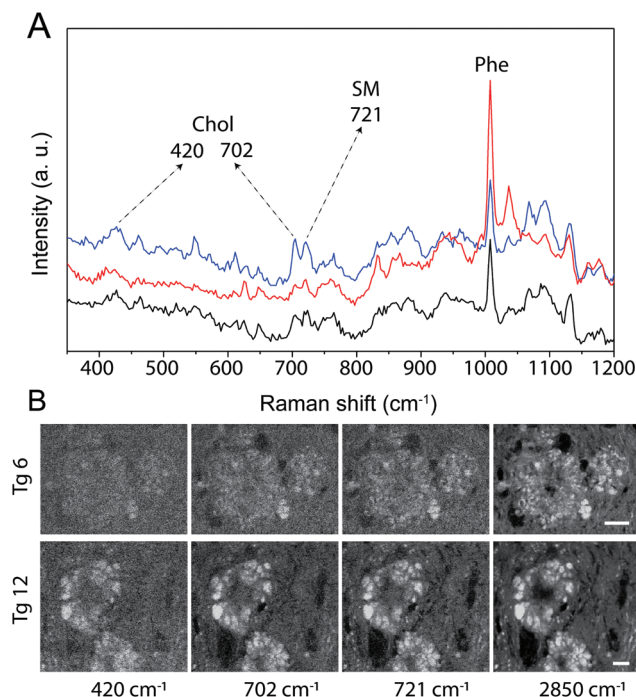
This result suggests that changes in the region of the dense-core A $\beta$  plaque captured by the RS are not exclusively related to the presence of A $\beta$ . The presence of Chol and SM vibrational modes in the halo indicates that neurodegeneration and neuroinflammation should be the contributing factors to the differentiation by RS, as much as the dense-core A $\beta$  plaques alone, *i.e.* that the changes that differentiate animals according to the stage of the disease are not limited to the plaque itself.

Although the presence of amyloid plaques is frequently related to AD, their presence does not fully explain the progression of the disease. A post mortem analysis of the brains of AD patients

shows that neurofibrillary tangles, rather amyloid plaques, are predictive of the cognitive status in AD.<sup>63</sup> Patients with similar amounts of amyloid plaques in the brain show a difference in glucose metabolism, suggesting that neurodegeneration, and therefore, disease progression, does not depend exclusively on the plaque burden.<sup>64</sup> In this sense, our findings are in accordance with the studies on neurodegeneration in AD and they open a new perspective for the use of RS in the AD study.

#### Cluster maps

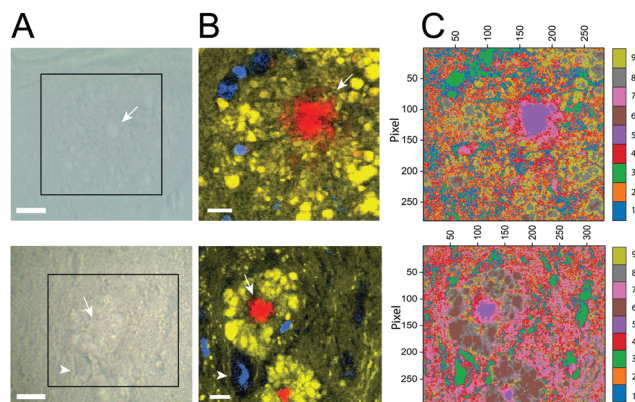
In the human brain, the amyloid plaques show different morphologies, (i) diffuse, (ii) fibrillar and (iii) dense-core type.<sup>65</sup> In



**Fig. 7** Hyperspectral image of cholesterol, sphingomyelin and total lipid. (A) Representative spectra (Tg12) of the amyloid plaque (red), lipid halo (blue) and surrounding tissue (black) showing the Chol, SM, and Phe peaks. (B) Sequence of images showing Chol frequencies ( $420\text{ cm}^{-1}$ ,  $702\text{ cm}^{-1}$ ), SM ( $721\text{ cm}^{-1}$ ), and  $\text{CH}_2$  lipid ( $2850\text{ cm}^{-1}$ ) for Tg6 and Tg12. The Chol and SM frequencies form the lipid-rich structure, as well as the total lipid frequency ( $2850\text{ cm}^{-1}$ ). Note the lipid halo limits are more perceptible in Tg12 than Tg6. Scale bars,  $10\text{ }\mu\text{m}$ . Chol: cholesterol; SM: sphingomyelin; Phe: phenylalanine.

a recent study, Röhr *et al.* presented a differentiation of these three types of human amyloid plaques, using FTIR.<sup>39</sup> Their cluster analysis showed a dense-core A $\beta$  surrounded by a “corona” of A $\beta$  aggregates.

To improve our spectroscopy study of amyloid plaques, after analyzing the PC1 distribution, we performed cluster analysis by PCA using all frequencies for Tg6 and Tg12, considering the first nine principal components and nine clusters. Nine clusters was a good rational considering our images always depict a clear overview of three components (lipid, beta sheet and DNA). The results are presented in Fig. 8. All frequency values and their assignments are given in the ESI Table.<sup>†</sup> For PCA, values related with lipids ( $420\text{ cm}^{-1}$ ,  $702\text{ cm}^{-1}$ ,  $721\text{ cm}^{-1}$ ,  $763\text{ cm}^{-1}$ ,  $876\text{ cm}^{-1}$ ,  $1104\text{ cm}^{-1}$ ,  $1267\text{ cm}^{-1}$ ,  $1295\text{ cm}^{-1}$ ,  $1428\text{ cm}^{-1}$ ,  $1437\text{ cm}^{-1}$ , and  $1464\text{ cm}^{-1}$ ), lipid total ( $2850\text{ cm}^{-1}$ ), total lipid and protein content ( $2930\text{ cm}^{-1}$ ) and the A $\beta$  structure ( $1233\text{ cm}^{-1}$ ,  $1670\text{ cm}^{-1}$ , and  $3070\text{ cm}^{-1}$ ), as well phenylalanine ( $1007\text{ cm}^{-1}$ ) were chosen, and a screeplot (Fig. S5<sup>†</sup>) showing PC importance and PC loading (Fig. S6<sup>†</sup>) is presented in the ESI.<sup>†</sup> As can be seen in the cluster maps, the dense-core amyloid plaque is well defined for both animals, Tg6 and Tg12, mostly by clusters 5 and 7. On the other hand, the lipid halo showed significant differences in intensities of points belonging to each



**Fig. 8** Cluster Maps of AD mouse brain tissues for Tg6 (upper panel) and Tg12 (lower panel) mice. (A) Bright field optical images. (B) Respective hyperspectral Raman images from the black-square area. Dense-core amyloid plaques (red), lipid (yellow), and DNA (blue). Scale bars,  $10\text{ }\mu\text{m}$ . (C) PCA cluster maps considering all frequencies of young and aged mice.

cluster. Note that clusters 6 and 8 clearly define a region of the halo structure in Tg12, which is not well defined in Tg6. Cluster 9 also showed a significant difference between adult young and aged mice, which in Tg6 is much more abundant, dispersed and forms an edge of the cluster 8. There seems to be a temporal relationship between clusters 6, 8 and 9. As cluster 9 decreases, the contribution of clusters 6 and 8 localized around the plaque core increases, as seen in the elderly animal. Interestingly, there is a specific cluster (8) that describes a region of the halo's edge, thinner in aged mice.

This result suggested the chemical heterogeneity in the AD tissue analyzed by PCA of RS, which is able to discriminate the young and aged transgenic mice. The most important contribution for differentiating the two groups lies in the surrounding lipid halo rather than in the plaque core, as demonstrated. Similar results are observed in other plaques, as shown in Fig. S6.<sup>†</sup>

## Conclusion

In summary, we have studied the differences between AD Tg (6 and 12 months) and WT mice by hyperspectral micro-Raman spectroscopy, including principal component analysis. First, we used stimulated Raman scattering microscopy to locate the dense-core amyloid plaques using amide I  $\beta$  sheet vibrational mode, as well as the lipid halo, using  $\text{CH}_2$  lipid stretching vibrational mode. These two modes gave us a picture of the brain tissue showing the lipid-rich structure surrounding the dense-core plaque. Through this prior visualization, we subsequently performed the hyperspectral spontaneous micro-Raman mapping to differentiate WT 12 month old, and Tg (6 and 12 month old) mice by PCA. In this sense, we demonstrated the difference between the groups of mice based on lipid, protein and amide I vibrational modes. The main differ-

ence between Tg6 and Tg12 is given by the vibrational modes attributed to lipid. High spatial resolution Raman mapping showed cholesterol with the pool of lipids that comprise the halo around the dense-core amyloid plaque, in addition to sphingomyelin. The detailed images of the lipid halo are morphologically related to dystrophic neurites observed surrounding amyloid plaques by immunofluorescence, according to the literature.<sup>8,10,66</sup> Frequency specific PCA suggests that the plaque-related neurodegeneration is the main change captured by RS, the main difference being seen in vibrational modes related to cholesterol grouping around the plaque core.

As we have shown, Raman imaging is a powerful tool for the characterization of dense-core plaques and their relevance in AD-related histological changes. The technique captures details such as the nuclei of the cells around the lipid halo and the dense-core amyloid plaque, without a staining procedure. Interestingly, the cell nuclei surrounding the lipid halo present different intensities and morphology in comparison to neuron nuclei of the gyrus dentatus. These results suggest that they may be glial cells, but further studies must be performed to confirm this assumption.

## Methods

### Transgenic animal models of AD

Double transgenic (Tg) mice APPswePS1ΔE9 used in this study were purchased from Jackson Laboratory. These are double transgenic mice that express a chimeric mouse/human amyloid precursor protein (Mo/HuAPP695swe) and a mutant human presenilin 1 (PS1ΔE9) protein. The Swedish FAD mutation comprises K595N/M596L and Delta E9 mutation is the human presenilin with the deletion of exon 9, both associated with early-onset AD. These Tg mice show early Aβ deposition in the brain starting at the age of 4 months.<sup>67</sup> Our investigation was in accordance with the Guide for the Care and Use of Laboratory Animals and approved by the Ethics Committee for Animal Utilization in Research (CEUA) of the Federal University of Minas Gerais (protocol 225/2014), under the criteria of the National Animal Experimentation Control Council (CONCEA).

### Tissue preparation

6-month-old Tg mice (Tg6,  $n = 3$ ), 12-month-old Tg mice (Tg12,  $n = 3$ ), and a 12-month C57BL/6 littermate controls (WT,  $n = 1$ ) were anesthetized (i.p.) with ketamine (80 mg kg<sup>-1</sup>) and xylazine (8 mg kg<sup>-1</sup>) and intracardially perfused with ice-cold phosphate-buffered saline (PBS) followed by 4% paraformaldehyde (PFA) in PBS (pH 7.4). Then, their brains were removed and kept overnight in 4% PFA, and subsequently moved to a 30% sucrose solution. The brains were then embedded in the Optimal Cutting Temperature (OCT) compound (Fisher Healthcare) to obtain frozen sections (30 μm) on a cryostat. Raman spectroscopy studies have already proven that OCT does not contaminate the brain tissue.<sup>68,69</sup> Sequential tissue sections were washed three times for 15 minutes with PBS to move the OCT compound completely, avoiding spectral interference.

### Thioflavin S staining

After spectroscopy analysis tissue sections were stained using 0.05% thioflavin S (w/v) in 50% ethanol (v/v) (Sigma-Aldrich). Samples were stained for 8 minutes in ThioS solution, immediately dipped three times in 50% ethanol, and washed with PBS. Subsequently, the slices were seeded directly on coverslips for fluorescence imaging.

### Two-photon-excited fluorescence

Two-photon-excited fluorescence (TPEF) microscopy was performed in a modified inverted Nikon laser scanning microscope (Lavision Biotech) with an 80 MHz, 5–6 picosecond laser (APE PicoEmerald). ThioS was excited at 830 nm, and a GaAsP photomultiplier collected the emission after passing through a bandpass filter at 525/40 nm. Images were obtained using objective Nikon Plan Apo 20×/NA 0.75 air, and 60×/NA 1.40 oil immersion.

### Spontaneous (conventional) micro-Raman spectroscopy

A commercial confocal Raman spectrometer equipped with a microscope (WITec Alpha 300 SAR) was used for hyperspectroscopy map acquisition. All measurements were performed with 532 nm laser excitation, 25 mW focused on the sample with an objective Nikon Plan Apo 20×/NA 0.75 air, and 60×/NA 1.40 oil immersion. Data were collected with 0.2 s dwell time per pixel, spectral range from 0 to 3800 cm<sup>-1</sup> and steps ranging between 0.25 μm and 0.35 μm. Regions of the hippocampal (dentate gyrus – DG, pyramidal cells of CA3 and CA1) were selected for hyperspectral scanning. The confocal Raman microscope used in this work shows a spectral resolution of 3.5 cm<sup>-1</sup>, and the equipment can reach lateral resolutions comparable to the Rayleigh criterion expressed by the formula  $0.61 \times \lambda/NA$ , obtained without deconvolution.<sup>70,71</sup>

### Stimulated micro-Raman scattering microscopy

Stimulated Raman scattering (SRS) microscopy was performed with an inverted microscope (Nikon Eclipse), coupled to a laser scanning system (Lavision Biotech), with the light being focused on the sample with Nikon Plan Apo 60×/NA 1.40 oil immersion objective. A picoEMERALD laser supplied a synchronized pulsed pump (with 5–6 ps pulse width, 80 MHz repetition rate, and tunable wavelength between 750–950 nm) and the Stokes beams (7 ps pulse width, 80 MHz repetition rate, and with fixed wavelength at 1064 nm). The Stokes beam was modulated at 10 MHz using an electro-optical modulator. The pump and Stokes power after the microscope objective were 40 mW and 100 mW, respectively. SRS images were acquired at 5 s per frame with 1000 × 1000 pixels, dwell time was ~4.7 μs per pixel, ~50 s was needed for 10 acquisitions, for lipid measurement (2850 cm<sup>-1</sup>), for amide I (1670 cm<sup>-1</sup>), we used 50 acquisitions at ~4.2 min per image. The spectral resolution for the SRS measurement is ~5 cm<sup>-1</sup>. The spatial resolution of SRS can be given by  $\frac{0.61\lambda_p}{NA\sqrt{2}}$ , where  $\lambda_p$  denotes the pump wavelength of the SRS excitation laser, NA is the numerical aperture

of the objective, and the factor of  $\sqrt{2}$  in the denominator comes from the fact that SRS is a multiphoton technique as shown in Yali *et al.*<sup>72</sup> In our experiments we used a pump wavelength ( $\lambda_p$ ) of 816 nm for lipids, and 903 nm for amide I, therefore the spatial resolution for lipids is expected to be  $\sim 250$  nm, and for amide I,  $\sim 280$  nm. In our setup, the resolution was better than  $0.3 \mu\text{m}$ . Transmission of the forward-moving pump and Stokes beams after passing through the sample was collected by a condenser. A bandpass filter (850/310, Semrock) was used to block the Stokes beam completely and transmit the pump beam only onto a large area Si photodiode for the detection of the stimulated Raman loss signal. The output current from the photodiode was terminated, filtered, and demodulated by a lock-in amplifier at 10 MHz to ensure shot-noise-limited detection sensitivity.

### Data analysis

The hyperspectral images were generated using the Project FIVE 5.0 software after data pre-processing, where the intensities within the selected spectral ranges are displayed. We measured nine plaques of Tg6, and nine plaques of Tg12 mice (three per animal). The hyperspectral Raman images with higher definition and resolution and accumulation times were selected for the PCA analysis, as described below. The SRS and fluorescence images were edited using ImageJ software. Before applying the PCA, all spectra were subjected to pre-processing, which consisted of removing baseline and spikes from cosmic rays. Spectra with anomalies not related to the cosmic ray or the baseline were removed from the data set. Cosmic rays were identified using a method based on a discrete wavelet transform with four levels of decomposition.<sup>73</sup> After identification, the spikes were removed using weighted linear interpolation. The same method used to identify cosmic ray spikes was used to identify anomalous peaks in the spectra. In this case, the spectrum received a tag to avoid being considered in the analysis. To remove the baseline from the spectra, the asymmetric least-squares (ALS) method<sup>74</sup> was used. The desired parameters were obtained by an optimization process, to obtain the best parameters for the smoothing parameter ( $\lambda = 6$ ) and asymmetry ( $p = 0.01$ ). All analyses were performed on a DELL EMC PowerEdge R540 machine with two Intel Xeon Silver 4116 processors with 256 GB RAM running the RStudio program.

### Principal component analysis (PCA)

Principal component analysis (PCA) was performed on a data set composed of a wild type (WT) hyperspectral map with 277 636 spectra, four Tg6 maps with 375 463 spectra and four Tg12 maps with 245 568 spectra in total. All three data sets (WT, Tg6 and Tg12) were combined to build a single one that was used to perform PCA analysis. Four analysis sets were made: one using the entire spectrum (between  $300 \text{ cm}^{-1}$  and  $3800 \text{ cm}^{-1}$ ), and three analyses using different regions (between  $350 \text{ cm}^{-1}$  and  $1750 \text{ cm}^{-1}$ , and between  $2670 \text{ cm}^{-1}$  and  $3650 \text{ cm}^{-1}$ ) and their combinations. Of the eighteen scanned plaques, the PCA could process only eight (four Tg6

and four Tg12 plaques) due to artifacts and noise in the source files. More technical details are given in the ESI.†

### Cluster maps

For each analyzed region, a cluster analysis (K-means) of the first principal component (PC1) was performed, PC1 represents between 45% and 85% of the data variance, depending on the region analyzed. After those analyses, a set of frequencies (420, 702, 721, 763, 876, 1007, 1104, 1233, 1267, 1295, 1428, 1437, 1464, 1670, 2850, 2930 and  $3070 \text{ cm}^{-1}$ ), representative of the possible differences between the samples, was chosen. These values were analyzed individually as central points of intervals of approximately  $10 \text{ cm}^{-1}$ . After analyzing each frequency individually, PCA analysis was performed using all frequencies together. More technical details are given in the ESI.†

## Author contributions

E. A. F. helped in designing the research, performed data acquisition, interpreted the data and wrote the paper. L. L. performed SRS and two-photon spectroscopy measurements. J. C. performed PCA. M. A. R. S. contributed to the establishment of the colony of mice and project design. R. C., A. B. and R. V. contributed to the scientific interpretation of the data and significantly revised the article. L. M. M. and A. J. were responsible for the conception and design of the work and significantly revised the article. All authors read and approved the submitted version of the article.

## Conflicts of interest

The authors declare no competing interest.

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