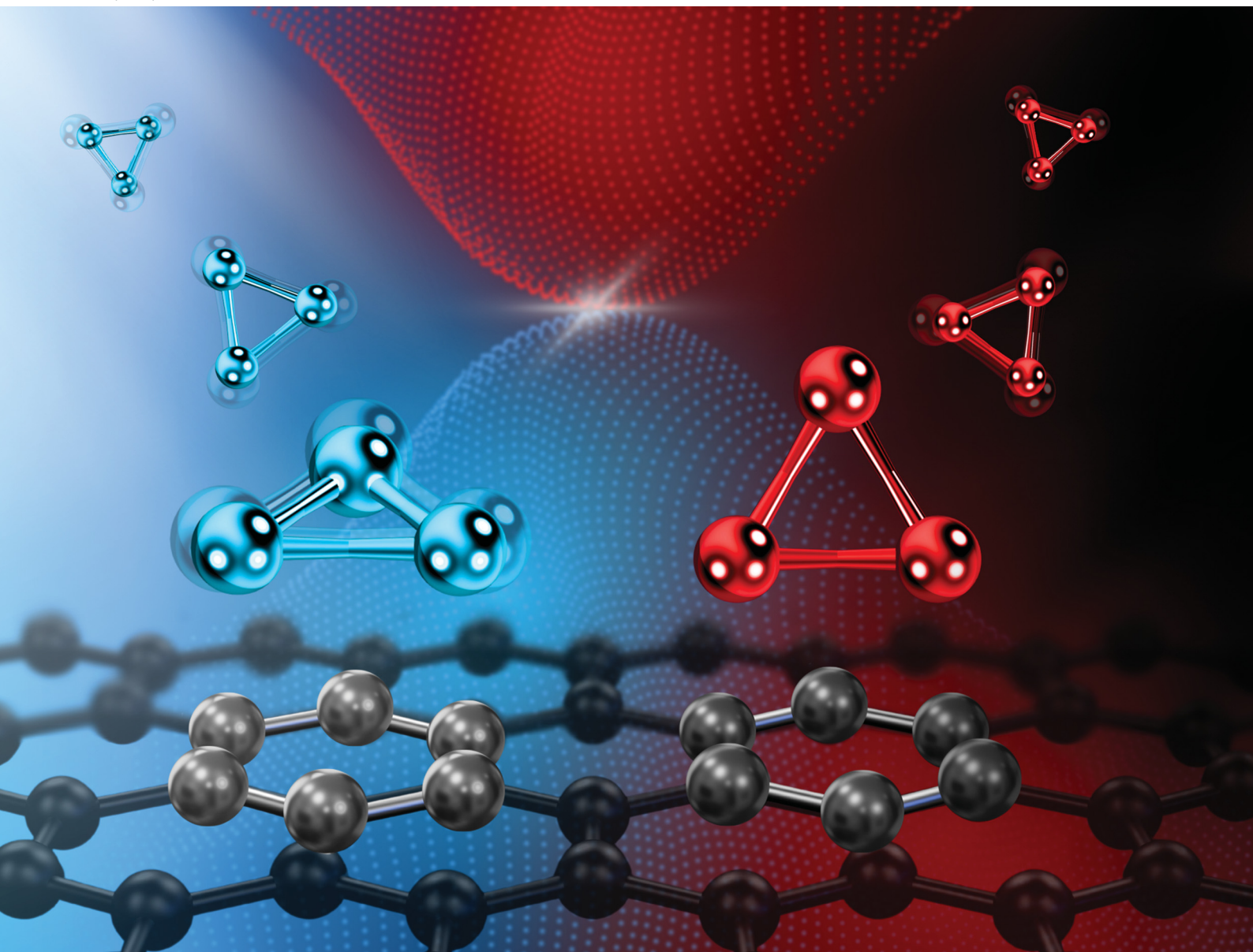


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Support effects on conical intersections of Jahn–Teller fluxional metal clusters on the sub-nanoscale†

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The concept of fluxionality has been invoked to explain the enhanced catalytic properties of atomically precise metal clusters of subnanometer size. Cu_3 isolated in the gas phase is a classical case of a fluxional metal cluster where a conical intersection leads to a Jahn–Teller (JT) distortion resulting in a potential energy landscape with close-lying multim minima and, ultimately, fluxional behavior. In spite of the role of conical intersections in the (photo)stability and (photo)catalytic properties of surface-supported atomic metal clusters, they have been largely unexplored. In this work, by applying a high-level multi-reference *ab initio* method aided with dispersion corrections, we analyze support effects on the conical intersection of Cu_3 considering benzene as a model support molecule of carbon-based surfaces. We verify that the region around the conical intersection and the associated Jahn–Teller (JT) distortion is very slightly perturbed by the support when the Cu_3 cluster approaches it in a parallel orientation: Two electronic states remain degenerate for a structure with C_3 symmetry consistent with the D_{3h} symmetry of unsupported Cu_3 at the conical intersection. It extends over a one-dimensional seam that characterizes a physisorption minimum of the Cu_3 –benzene complex. The fluxionality of the Cu_3 cluster, reflected in large fluctuations of relaxed Cu–Cu distances as a function of the active JT mode, is kept unperturbed upon complexation with benzene as well. In stark contrast, for the energetically favored perpendicular orientation of the Cu_3 plane to the benzene ring plane, the conical intersection (CI) is located $12\,100\text{ cm}^{-1}$ ($\sim 1.5\text{ eV}$) above the chemisorption minimum, with the fluxionality being kept at the CI's nearby and lost at the chemisorption well. The first excited state at the perpendicular orientation has a deep well ($>4000\text{ cm}^{-1}$), being energetically closer to the CI. The transition dipole moment between ground and excited states has a significant magnitude, suggesting that the excited state can be observed through direct photo-excitation from the ground state. Besides demonstrating that the identity of an isolated Jahn–Teller metal cluster can be preserved against support effects at a physisorption state and lifted out at a chemisorption state, our results indicate that a correlation exists between conical intersection topography and fluxionality in the metal cluster's Cu–Cu motifs.

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1 Introduction

Present-day advances in experimental techniques allow the synthesis of atomically precise metal clusters down to the

subnanometer scale (referred to as AMCs) in various environments (gas-phase, solution, within helium nanodroplets, and interacting with biologically relevant molecules), over a wide temperature range, and exposed to various gas-phase molecules at controlled pressure and temperature (see, *e.g.*, ref. 1–3 and references cited therein). Having quantized ‘molecule-like’ structures differing largely from those of metallic nanoparticles and bulk materials, AMCs exhibit unexpected stability⁴ and ‘special’ physical and chemical properties potentially impacting fields such as sensing,⁵ catalysis,^{6–11} bio-imaging,^{12–14} energy conversion,¹⁵ luminescence,¹⁶ photo-catalysis,^{2,17–20} and therapeutics,^{21–23} and bringing AMCs to the bottom scale of nanotechnology.²⁴ For instance, novel aspects have been provided by copper and silver pentamer clusters such as an unexpected resistivity to deep oxidation in spite of their tiny size;²⁵ their

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† Electronic supplementary information (ESI) available: Cartesian coordinates of Cu_3 –benzene and benzene structures, numerical values of Cu_3 –benzene uncoupled dispersion ($E_{\text{disp}}^{\text{UHF}}$) and coupled dispersion ($E_{\text{disp}}^{\text{TD-UHF}}$) contributions, values of the square of the coefficient of the main configuration in the reference wavefunctions (CI^2), Python code delivering a two-dimensional representation of relaxed potential energy surface scans of the Cu_3 –benzene complex and data containing θ angles (in degrees), Z distances (in Å) and relative energies (in Hartree) for two crossing electronic states. See DOI: <https://doi.org/10.1039/d4cp03271c>



We have considered two collision energy pathways in which the Cu₃-complex is maintained at symmetries C_3 and C_{2v} . In the

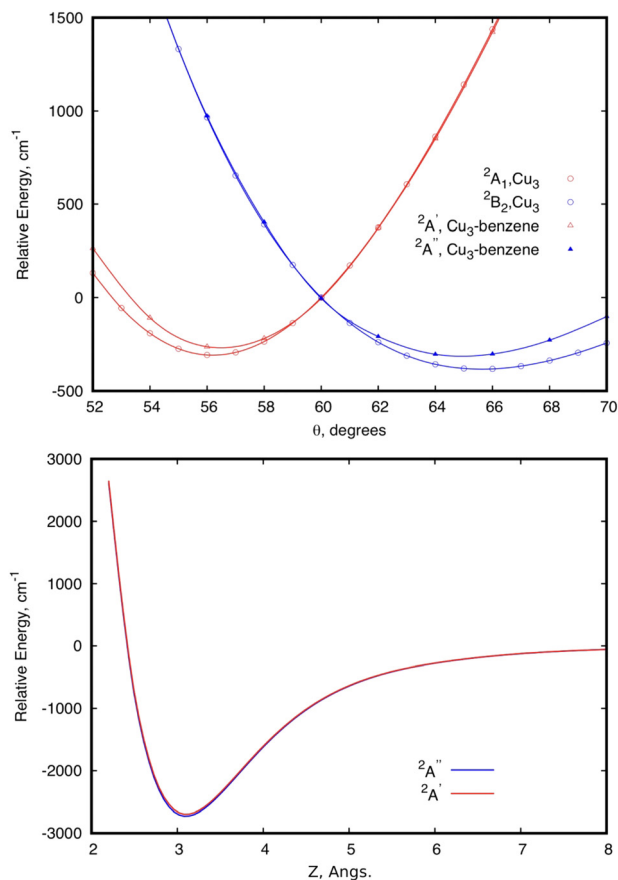


Fig. 2 Scans of the potential energy surface of a Cu_3 -benzene complex in the region of the conical intersection (CI) of symmetry C_3 ($^2E'$ state), correlating with the $^2E'$ state of the isolated Cu_3 cluster.⁴⁵ The upper panel shows scans of the relative energy as a function of the Jahn-Teller active mode at the equilibrium intermolecular Cu_3 -benzene distance. The scan for unsupported Cu_3 is also shown. The zero of energy corresponds to the energy at the conical intersection in both Cu_3 and Cu_3 -benzene. The lower panel shows the relative energy of the two states as a function of the distance between the centers of mass of Cu_3 and benzene (Z), with r having been optimized at each Z value, and θ having been fixed to 60° . The zero of energy defined as the energy at the asymptotic limit of separated fragments.

just slightly perturbed by a model support molecule. This can be observed from the upper panel of Fig. 2. Thus, the JT stabilization energy and the energy barrier from the global minimum to the transition state decrease from 384 and 80 cm^{-1} for the isolated Cu_3 cluster, respectively, to 310 and 32 cm^{-1} for the Cu_3 -benzene complex. Note also that the positions of the minima and the transition state for unsupported Cu_3 become almost unperturbed upon complexation with benzene. The conical intersection is located at 60° in structures of symmetry D_{3h} and C_3 for unsupported and benzene-supported Cu_3 clusters, respectively. The symmetry of the equilateral triangular structure of the Cu_3 cluster (D_{3h}) is, in fact, consistent with the C_3 symmetry of the Cu_3 -benzene complex along the parallel collision pathway.

Naturally, the conservation of threefold symmetry keeps the Jahn-Teller effect. However, consideration of only symmetry

does not explain why the JT distortion is so mildly perturbed upon complexation of Cu_3 with benzene. The preservation of the identity of the Cu_3 cluster is also reflected in its stabilization on the physisorption state of the Cu_3 -benzene complex along the parallel collision energy pathway (see the lower panel of Fig. 2). Thus, both the equilibrium distance (3.1 Å) and the interaction well (2714 cm^{-1}) in the potential energy surface (PES) are in the range expected for physisorption species. When the coupled dispersion correction is applied with the RS2CC scheme (see the methods section), the estimated value of the potential well decreases from 2714 to 1385 cm^{-1} (see Table 1). This outcome is even with a significant overestimation of the contribution of dispersion in van der Waals-dominated cluster support interactions at the MP2 level.^{3,63,64} The accuracy of the dispersion corrections included in the RS2CC scheme has been well assessed in ref. 63 and 64 by correcting single-reference MP2 interaction energies. The estimated values of potential minima with the CCSD(T) approach are about 10% smaller than the RS2CC ones (see Table 1).

It can also be observed from Fig. 2 that the two relevant electronic states $^2A'$ and $^2A''$ (2A_1 and 2B_2 states for unsupported Cu_3) remain degenerate at 60° over the one-dimensional seam characterizing the conical intersection of the Cu_3 -benzene complex in the physisorption state. Moreover, as can be seen in the two-dimensional (2D) representation in Fig. 3, the Z -dependence of the PES is essentially the same for all θ values. The same holds for the θ -dependence, which is not modified by the Z intermolecular distance. Furthermore, the optimized values of r differ by less than 0.002 Å (0.05 Å) for $Z \geq Z_{\min}$ ($Z \leq Z_{\min}$) from those calculated for the unsupported Cu_3 cluster. On its turn, the significantly pronounced fluctuation in the values of the relaxed r distance as a function of θ (from 2.45 Å for $\theta = 52^\circ$ to 2.23 Å for $\theta = 70^\circ$) clearly indicates fluxional motion in the Cu-Cu motifs of the Cu_3 structures at the conical intersection region.

Altogether, our results for the Cu_3 -complex in a physisorption state indicate that the identity of the Jahn-Teller fluxional Cu_3 cluster is slightly perturbed by a support molecule (benzene) in a C_3 structure dominated by a van der Waals-type intermolecular interaction. In fact, for a physisorption system, it is reasonable to find a small effect due to the relatively weak nature of the intermolecular cluster-support interaction. However, previous research on $(\text{Cu}_5-\text{Cu}_5)_2$ has shown that preserving the identity in covalent bonding systems is possible.⁷⁷

Table 1 Cu_3 -benzene interaction energies for the 2A_1 and 2B_2 electronic states in the orthogonal configuration ($^2A'$ and $^2A''$ states in the parallel orientation). The tabulated values have been calculated for the two geometries corresponding to the minima at orthogonal and parallel configurations at RS2C, RS2CC, RS2CC weighted, and CCSD(T) levels (see the methods section)

	RS2C	RS2CC	RS2CC weighted	CCSD(T)
$E_o(^2A_1)$, cm^{-1}	-17 313	-13 885	-14 289	-12 665
$E_o(^2B_2)$, cm^{-1}	-8114	-4686	-5090	-4132
$E_p(^2A'')$, cm^{-1}	-2714	-1385	-1521	-1261
$E_p(^2A')$, cm^{-1}	-2682	-1353	-1489	-1221



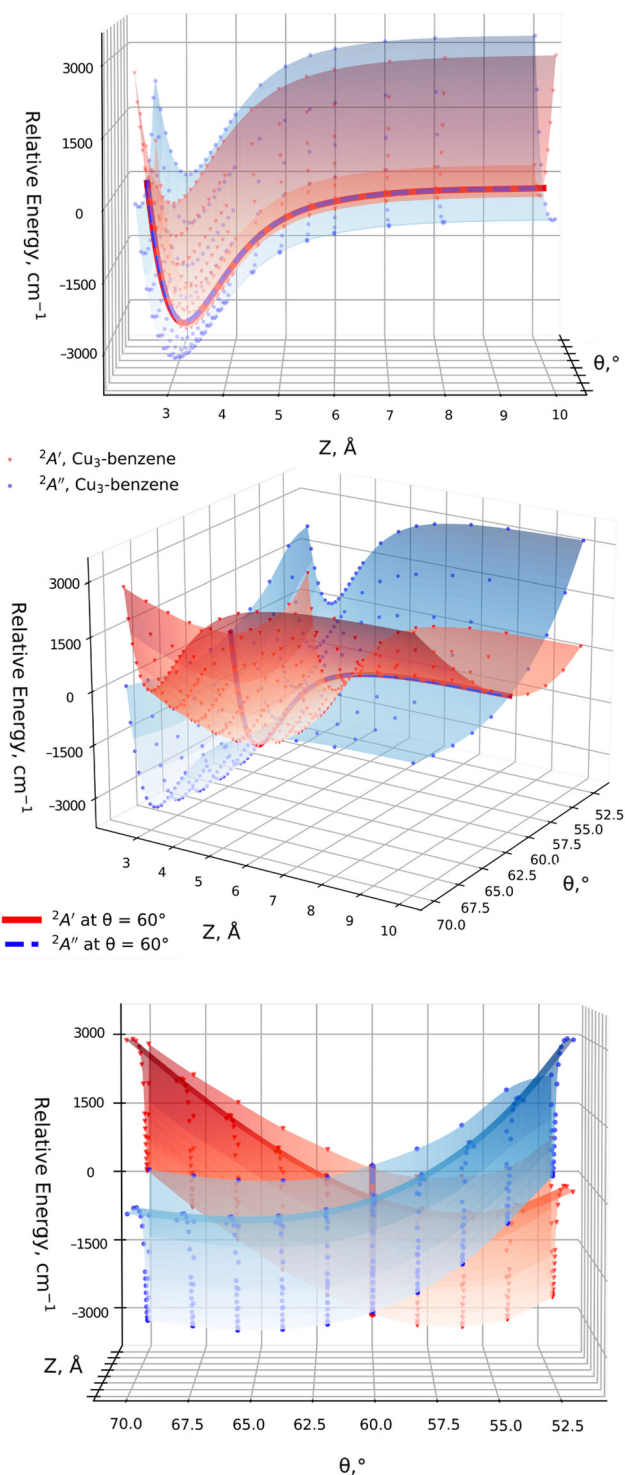


Fig. 3 Two-dimensional representation of the two electronic states $^2A'$ and $^2A''$ of a Cu_3 -benzene complex (2A_1 and 2B_2 for unsupported Cu_3) as a function of Z and θ , with the zero of energy corresponding to the separated fragments with $\theta = 60^\circ$.

In this way, it has been predicted that the lowest-energy structure of the $(\text{Cu}_5)_2$ dimer consists of two (strongly covalently bonded) bipyramidal Cu_5 geometries rotated by 90° to each other, both at 0 K in vacuum,⁷⁷ and supported on a graphene surface at a broad range of temperatures.⁶¹

3.2 Conical intersection topography: Orthogonal and parallel collision energy pathways

Let us now focus on a collision energy pathway with C_{2v} symmetry, where the plane of the Cu_3 cluster is orthogonal to the benzene ring plane. As can be observed in Fig. 4, the PES profiles in the 2A_1 and 2B_2 state are completely different to those shown for the parallel configuration. Specifically: (1) the lowest-energy minimum is much deeper (see Table 1) and the Z_{min} distance is shorter (ca. 2.6 vs. 3.1 Å); (2) its symmetry is different (2A_1 vs. 2B_2); (3) the minimum is located at the θ value (60°) of the conical intersection featured by unsupported Cu_3 and benzene-supported Cu_3 in the parallel orientation (see the upper panel of Fig. 2); (4) the energy at the conical intersection location at $\theta = 60^\circ$ is $12\,100\text{ cm}^{-1}$ ($\sim 1.5\text{ eV}$) higher than the energy at the minimum (see the lower panel of Fig. 4); and (5) the fluctuations in the optimized r distances in the minimum are much less pronounced than those occurring in the

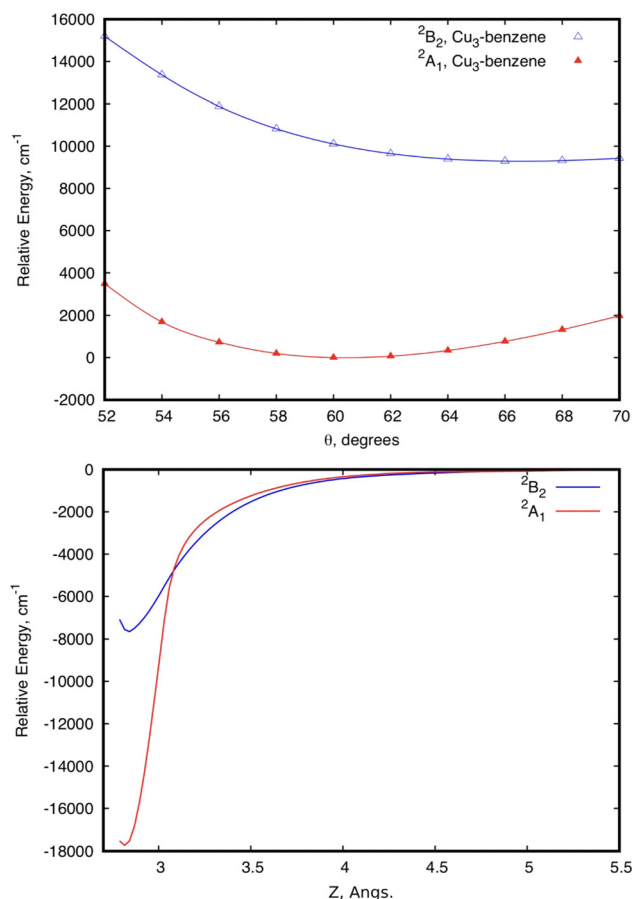


Fig. 4 Scans of the potential energy surfaces of 2A_1 and 2B_2 states of the Cu -benzene complex as a function of θ in the region of the conical intersection (CI) of isolated Cu_3 . Bond Cu - Cu distances are optimized at each θ value.⁷⁹ The θ -dependence of the potential energy curves at the equilibrium intermolecular Cu_3 -benzene distance is depicted in the upper panel. The lower panel shows the energy of the two states as a function of the intermolecular distance Z , with r having been optimized at each Z value, and θ having been fixed to 60° . In the upper panel, the zero of energy is the energy at $\theta = 60^\circ$. In the lower panel, the zero of energy is the energy at the asymptotic limit of separated Cu_3 and benzene fragments.

	μ , D	T_v , cm $^{-1}$ (eV)	τ , ns
Orthogonal	1.20	9199 (1.1)	6.25

Fig. 6 shows a comparison of the frontier natural orbitals at the conical intersection at the asymptotic region ($Z = 10 \text{ \AA}$), at the parallel configuration with $Z_{\min} = 3.1 \text{ \AA}$, and at the orthogonal configuration with $Z = Z_{\min} + 0.65 \text{ \AA}$ (see also Fig. 5). The degenerate e-type orbital, which serves as the singly occupied molecular orbital (SOMO), is composed of contributions from the s and p orbitals of the copper atoms. The highest occupied

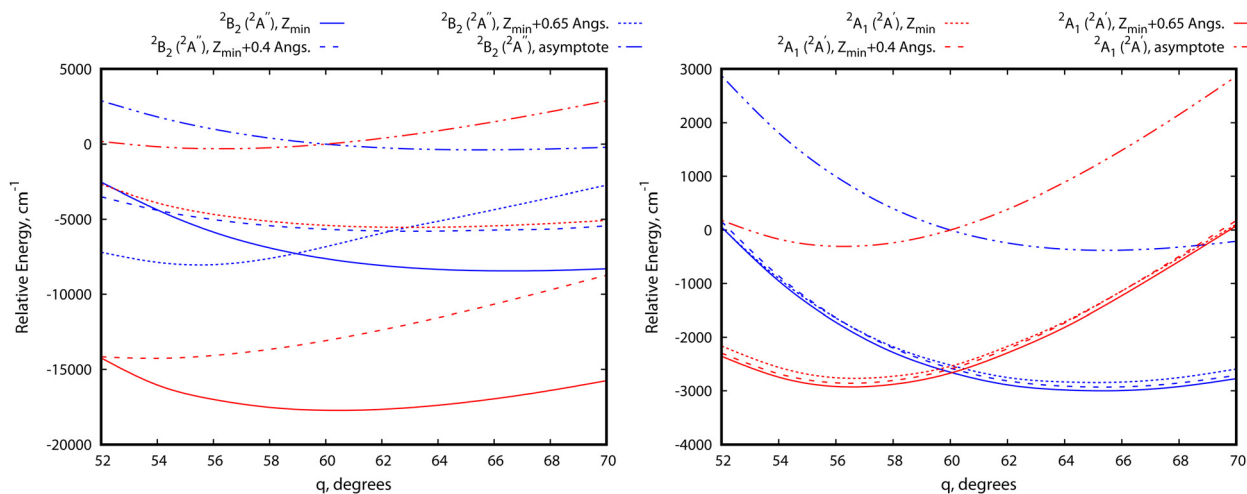


Fig. 5 Figure comparing potential energy curves for the two relevant electronic states of the Cu_3 -benzene complex in the region of the conical intersection (the $^2\text{B}_2$ and $^2\text{A}_1$ states for unsupported Cu_3). The bond Cu-Cu distance is optimized for each value of θ and considered values of the intermolecular Cu_3 -benzene distance Z . The left-hand (right-hand) panel corresponds to the orthogonal (parallel) Cu_3 -benzene configurations with symmetry C_{2v} (C_3) (see Fig. 1). The spin and spatial symmetry labelling of the states without (with) parenthesis correspond to the orthogonal (parallel) orientation.

molecular orbital (HOMO) is predominantly formed by the s orbitals of Cu atoms, while the HOMO-1, identified as the lowest-energy frontier natural orbital, is characterized by delocalized π -type orbitals of the benzene molecule. Interestingly,

there is no significant overlap between the orbitals of the Cu_3 cluster and the benzene molecule. The degenerate e-type orbital, which is associated with the Jahn-Teller distortion and fluxional behavior, preserves its properties upon adsorption on

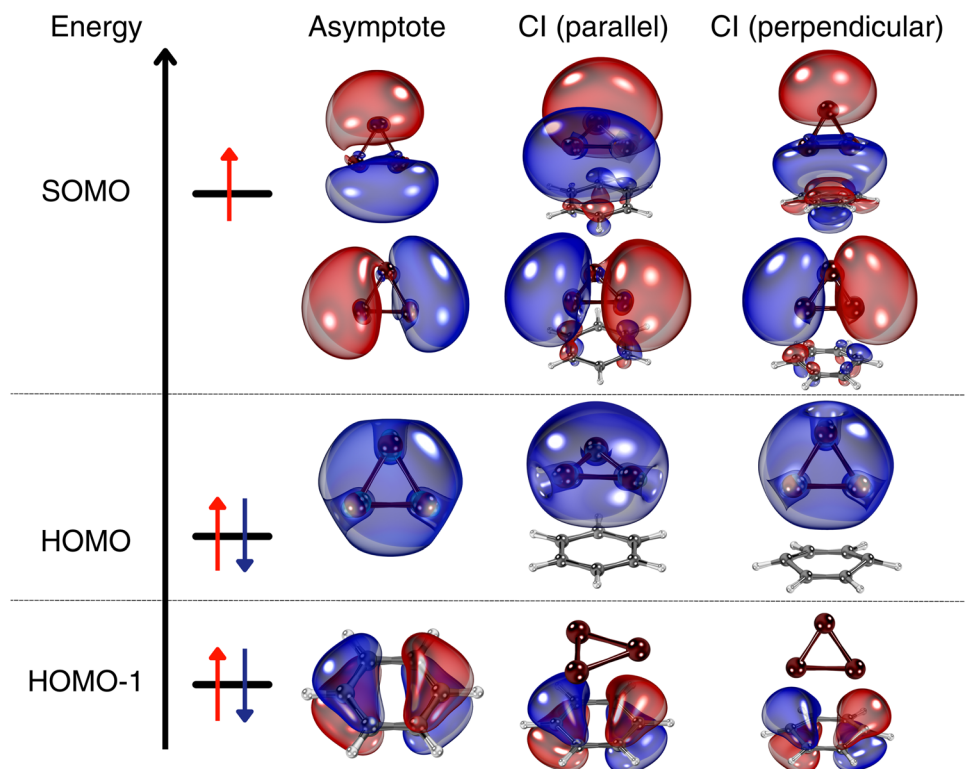


Fig. 6 Frontier natural orbitals of the Cu_3 -benzene complex at the conical intersection associated to: (1) the asymptotic limit of separated Cu_3 and benzene fragments; (2) the equilibrium Cu_3 -benzene structure, $Z_{\min} = 3.1 \text{ \AA}$ for the parallel orientation (see Fig. 2); (3) $Z = Z_{\min} + 0.65 \text{ \AA}$ for the orthogonal orientation (see Fig. 5). The picture shows isosurfaces of the frontier 'singly-occupied' (or occupied only by a single spin component) molecular orbital (referred to as the SOMO) as well as the highest-energy and second 'doubly-occupied' (or occupied by two spin components) molecular orbitals (referred to as the HOMO and HOMO-1, respectively).



Before concluding this section, it is important to stress that, since the energy at the conical intersection is about $12\,100\text{ cm}^{-1}$ ($\sim 1.5\text{ eV}$) higher than the energy at the chemisorption minimum of the Cu_3 -benzene complex, single-reference electronic structure descriptions of the PES of the adiabatic ground state might suffice for the calculation of the ro-vibrational energy levels in applications such as the determination of spectra features in the infrared region. In fact, the adequacy of a single-reference CCST(T) approach at the structure of the chemisorption minimum has been ensured through coupled cluster diagnostics⁸⁰ (see also ref. 63. In contrast, the characterization of complex spectral features in the visible and near-infrared of the system might consider at least the two electronic states becoming degenerate at a C_3 -symmetry structure, especially considering the large magnitude of the transition dipole moment at the most stable orthogonal configuration (see Table 2). In order to illustrate the importance of using multi-reference electronic structure descriptions for the Jahn–Teller distortion, we have carried out test calculations using the single-reference (restricted open-shell) RMP2 method for C_3 -symmetric Cu_3 -benzene. The JT stabilization energy is overestimated by a factor of 1.4 at the RMP2 level ($422\text{ vs. }310\text{ cm}^{-1}$) but the ‘exact’ degeneracy of the $^2A'$ and $^2A''$ states at the CI is recovered at the CASSCF level using a minimal (1,2) active space (*i.e.*, involving just the unpaired electron and the SOMO and LUMO).

Taking benzene as a model support molecule, it is demonstrated that the conical intersection region is preserved to a large extent in a parallel orientation of the plane of the Cu_3 cluster with respect to the benzene ring plane. This configuration is associated with a physisorption minimum, with the best estimation of the deep well being 1385 cm^{-1} (see Table 1). The symmetry at the conical intersection of the complex (C_3) is consistent with the D_{3h} symmetry of the conical intersection at the equilateral triangle-shaped structure of the Cu_3 cluster isolated in the gas-phase.⁴⁵ However, symmetry considerations only do not explain the slight modification of the Jahn-Teller stabilization energy and the energy barrier between the minimum and the transition state upon complexation with benzene. The multidimensional conical intersection of the Cu_3 -benzene complex is shifted by $12\,100\text{ cm}^{-1}$ ($\sim 1.5\text{ eV}$) from the location of a chemisorption minimum, corresponding to the energetically much more stable perpendicular orientation of the Cu_3 cluster in C_{2v} symmetry. It is also shown that the fluctuations in

The selection of the Cu₃-benzene complex in this work was also motivated by the role that polycyclic aromatic hydrocarbons (PAHs) could play in interstellar chemistry by forming complexes with other atoms, molecules, or clusters.⁸¹ In fact, PAHs have been suggested as carriers of a number of diffuse interstellar bands in the visible and near-infrared as well as unidentified infrared bands in the mid-infrared. The influence of PAHs on the conical intersections of astrochemically relevant molecules such as H₃⁺, the interstellar molecule most abundantly produced,⁸² is thus important. Having triangular structures, Cu₃ and H₃⁺ in the excited (quartet spin) electronic state share the common feature of having one electron in a degenerate orbital made of s-type atomic orbitals. Complex spectra features in interstellar bands in the visible and near-infrared might come from conical intersections and Jahn–Teller distortions of molecules forming complexes with PAHs having commensurable structures. However, our results also indicate that the spectra in the mid-infrared region might be well characterized considering the most stable chemisorption state only.

A future prospect is to extend our detailed study to coronene as a target PAH, also serving as a good molecular model of graphene, and starting with the C_3 -symmetric Cu_3 -coronene complex. The preservation of the characteristics of Jahn–Teller distortions of AMCs in spite of support effects at physisorption states is expected to be a general motive in PAHs bearing consistent symmetries. Even in PAHs lacking them, avoided crossings between the relevant electronic states associated to Jahn–Teller distortions of AMCs might happen and their exploration is worthwhile, starting with the Cu_3 -naphthalene complex. At room temperature, the large energy difference between the potential wells for parallel and orthogonal configurations [$> 12\,100\text{ cm}^{-1}$ ($\sim 1.5\text{ eV}$) for Cu_3 -benzene] indicates that the complexes stick to the most stable ‘non-fluxional’

In conclusion, there is no doubt that conical intersections play a relevant role in the (photo)stability, (photo)reactivity, and structural fluxionality of supported metal clusters on the sub-nanoscale. In particular, the adsorption properties of reaction intermediates are greatly influenced by the ‘floppy’ character of catalysts’ structures near to conical intersections, making them more prone to exhibit non-adiabatic effects in the reactivity too. Conical intersections of open-shell metal clusters isolated in the gas-phase have been well characterized.^{45,52–59} However, support effects on these conical intersections have been largely unexplored. With this article, by applying a high-level multi-reference *ab initio* approach, and improving it with dispersion corrections, to the benzene-supported Cu_3 cluster, we have aimed to start filling the gap. Naturally, the description of conical intersections of supported metal (photo)catalysts represents a grand challenge for the current state-of-the-art in theoretical-computational modeling.³ However, the topic is evolving rapidly, with the development of algorithms such as the variational quantum eigensolver (VQE) enabling cost-efficient handling of multiple electronic states. Moreover, recent methodological developments have allowed conical intersections to be located more efficiently without employing derivative couplings (DC).⁸⁶ There are also significant developments in the efficient and accurate description of nonadiabatic effects in chemical processes, such as the oxidation of Cu_5 clusters. A state-of-the-art approach uses a Landau–Zener (LZ) semiclassical description,^{87,88} optimizing orbitals separately for ground and excited states.^{43,89,90} This strategy delivers quasi-diabatic electronic states in a natural way, avoiding large configuration-interaction expansions. In the limit of single-reference configurations derived through separate Hartree–Fock calculations for the crossing electronic states, aided with dynamical correlation contributions, this approach has been successfully applied to describe a LZ-based harpoon-type electron transfer between a metal dimer (Cs_2) and a fullerene molecule.^{91–93} Work is underway to make it possible for conical intersections of AMCs to be routinely described with multistate *ab initio* theory followed by multistate quantum⁹⁴ or semi-classical^{83–85,95} non-adiabatic descriptions of the underlying dynamics. We hope that

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