



Cite this: *J. Mater. Chem. A*, 2023, 11, 14067

## Simultaneous optimization of the electrical and thermal transport properties of LuNiSb via aliovalent doping†

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Rare earth-based half-Heusler compounds are potential thermoelectric materials with inherently low thermal conductivity. Here, LuNiSb-based compounds were successfully prepared and their electrical and thermal transport properties were simultaneously improved via aliovalent doping of Sn and Co. In addition to optimizing the carrier concentration, a huge suppression of lattice thermal conductivity  $\kappa_L$  (~40% at 300 K) was observed, despite the atomic mass and radius of Sn and Co having only small differences compared with those of the substituted matrix atoms. With the help of first-principles calculations, it is discovered that the suppression of  $\kappa_L$  mainly originates from the deceleration of optical phonons and the enhancement of phonon–phonon (p–p) scattering phase space. Compared with Co-doped LuNiSb-based compounds, Sn-doped ones exhibit better electrical performance, which is probably because of the weaker perturbation of the valence band. Finally, a peak  $zT$  of about 0.4 was obtained at 775 K for LuNiSb<sub>0.92</sub>Sn<sub>0.08</sub>. This work highlights the simultaneous optimization of electrical and thermal transport properties only by aliovalent doping, which helps develop high-performance thermoelectrics.

Received 8th May 2023  
Accepted 1st June 2023

DOI: 10.1039/d3ta02735j

rsc.li/materials-a

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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d3ta02735j>

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research interests include the understanding of the electron and phonon transport mechanism of thermoelectric materials, the development of new thermoelectric materials and devices, and anomalous transport in magnetic topological materials.

## Introduction

Thermoelectric (TE) materials allow direct conversion of heat into electrical energy.<sup>1</sup> The figure of merit,  $zT = S^2\sigma T/(\kappa_e + \kappa_L)$ , where  $S$ ,  $\sigma$ ,  $T$ ,  $\kappa_e$ , and  $\kappa_L$  are the Seebeck coefficient, the electrical conductivity, the absolute temperature, and the electronic and lattice components of thermal conductivity, respectively, is used to gauge the conversion efficiency of TE materials.<sup>2</sup> Aiming at improving the TE performance of new semiconductor materials, choosing a suitable dopant to optimize carrier concentration ( $n$ ) is usually the first and foremost step.<sup>2</sup> Further, enhancing the phonon scattering can be implemented to suppress the  $\kappa_L$ .<sup>3</sup>

Half-Heusler (HH) materials, which are thermally stable, non-toxic, and low cost, generally possess good electrical transport properties, but their high  $\kappa_L$  impedes the improvement of TE performance. Given this, numerous previous studies focused on the suppression of  $\kappa_L$ , by introducing point defect scattering,<sup>4,5</sup> phase separation,<sup>6,7</sup> and grain boundary scattering,<sup>8,9</sup> for instance. To date, several HH systems, including MNiSn ( $M = \text{Ti, Zr, Hf}$ ),<sup>10,11</sup> RFeSb ( $R = \text{V, Nb, Ta}$ ),<sup>12–14</sup> MCoSb<sup>15–17</sup> and  $R_{1-\delta}\text{CoSb}$ ,<sup>4</sup> have been discovered to be good TE materials.

ReNiSb-based (Re: rare earth elements) HH compounds, as another typical narrow-gap semiconductor system, were predicted to have inherently low  $\kappa_L$  and good electrical transport performance. Yang *et al.*<sup>18</sup> carried out the theoretical evaluation

of HH compounds as potential TE materials, in which n-type YNiSb was suggested to exhibit good electrical performance. Zhou *et al.*<sup>19</sup> predicted high carrier mobility in ReNi(Sb,Bi). In 2021, by comparing the phonon spectra of YNiBi and LuNiBi, Hong *et al.*<sup>20</sup> anticipated the latter which consists of “two heavy and one light” atom will exhibit ultralow  $\kappa_L$  ( $\sim 0.7 \text{ W m}^{-1} \text{ K}^{-1}$  at 300 K). All of this aroused our interest in studying the TE performance of ReNiSb-based HH compounds with probably inherently low  $\kappa_L$ . However, owing to the challenge of synthesis and complex intrinsic defects, Re-based HH compounds have been rarely studied experimentally.<sup>21</sup> In 2020, DyNiSb, ErNiSb, TmNiSb, and LuNiSb were successfully prepared by Ciesielski *et al.*,<sup>22</sup> of which the  $\kappa_L$  were reported to be about 2.0–5.0  $\text{W m}^{-1} \text{ K}^{-1}$  at 300 K. The  $\kappa_L$  of YbNiSb, prepared by Huang *et al.*,<sup>21</sup> was reported to be about 2.2  $\text{W m}^{-1} \text{ K}^{-1}$  at 300 K. Compared to other typical HH compounds ( $\kappa_L$ : 12  $\text{W m}^{-1} \text{ K}^{-1}$  for VFeSb,<sup>23</sup> 6  $\text{W m}^{-1} \text{ K}^{-1}$  for ZrNiSn,<sup>11</sup> 18  $\text{W m}^{-1} \text{ K}^{-1}$  for ZrCoSb<sup>24</sup>), the experimentally reported low  $\kappa_L$ s in ReNiSb-based HH compounds might be favorable for good TE performance. To verify this, more systematic studies on the optimization of TE performance should be carried out.

In this work, LuNiSb polycrystalline samples were successfully synthesized *via* levitation melting and high-energy mechanical alloying, followed by the spark plasma sintering (SPS) process. Considering the doping efficiency and solubility, Co and Sn, as the adjacent elements to the matrix Ni and Sb, respectively, were initially chosen to optimize the electrical performance of LuNiSb while it was surprisingly found that the  $\kappa_L$  was reduced effectively as well. First-principles calculations were further carried out and reveal that the reduction of group velocities of phonons in the high-energy range plays a key role in suppressing the  $\kappa_L$ . Meanwhile, it is found that the optimized power factor of Sn-doped LuNiSb compounds is better than that of the Co-doped ones. A peak  $zT$  of 0.4 was obtained at 775 K for LuNiSb<sub>0.92</sub>Sn<sub>0.08</sub>. This work highlights the simultaneous optimization of electrical and thermal transport properties *via* aliovalent doping.

## Experimental and computational methods

### Synthesis

Ingots with a nominal composition of LuNiSb<sub>1-x</sub>Sn<sub>x</sub> and LuNi<sub>1-y</sub>Co<sub>y</sub>Sb ( $x, y = 0, 0.02, 0.04, 0.06, 0.08, 0.12$ ) were prepared by levitation melting of the stoichiometric amount of Lu (block, 99.99%), Ni (block, 99.99%), Sb (block, 99.99%), Sn (block, 99.99%), and Co (block, 99.99%) under an argon atmosphere for 3 minutes and excess Sb and Sn blocks of about 2% were added considering possible loss during the melting process. Each ingot was remelted three times to ensure homogeneity and no raw material remained. The ingots were manually ground into powders and mechanically milled (SPX-8000D, PYN Corporation) for an hour under argon protection. The fine powders were compacted by SPS (LABOX-650F, Sinter Land Inc.) at 1173 K under 65 MPa under vacuum for 15 minutes. Besides, LuNiSb<sub>1-z</sub>Bi<sub>z</sub> ( $z = 0.2, 0.4, 0.6, 0.8, 1.0$ )

ingots were prepared in two steps. The stoichiometric Lu (block, 99.99%) and Ni (block, 99.99%) were first weighed and melted to obtain the LuNi ingot, which was then cracked by hand milling. Then, stoichiometric Sb (powder, 99.99%) and Bi (powder, 99.99%) were subjected to the process of mechanical milling for 4 hours to obtain fine powders, and the following sintering steps are the same as those of LuNiSb<sub>1-x</sub>Sn<sub>x</sub>.

### Measurements

The phase structure of the powders and sintered bulks was studied by X-ray diffraction (XRD) on a PANalytical/Areis diffractometer using Cu K $\alpha$  radiation ( $\lambda = 1.5406 \text{ \AA}$ ). The Seebeck coefficient and electrical conductivity from 300 to 900 K were measured on a commercial Linseis LSR-3 system with an accuracy of  $\pm 5\%$  and  $\pm 3\%$ , respectively. The thermal conductivity  $\kappa$  was calculated by using  $\kappa = D\rho C_p$ , where  $\rho$  is the sample density estimated by the Archimedes method and  $D$  is the thermal diffusivity measured by a laser flash method on a Netzsch LFA457 instrument (the  $D$  of LuNiSb<sub>1-x</sub>Sn<sub>x</sub>, LuNi<sub>1-y</sub>Co<sub>y</sub>Sb, and LuNiSb<sub>1-z</sub>Bi<sub>z</sub> are shown in Fig. S1†). The specific heat at constant pressure  $C_p$  was calculated from the measured sound velocity and density.<sup>16</sup> Normal and shear ultrasonic measurements were performed at room temperature using input from a Panametrics 5052 pulser/receiver with a filter at 0.03 MHz. The response was recorded *via* a Tektronix TDS5054B-NV digital oscilloscope. The room temperature Hall coefficients were measured in a Mini Cryogen Free Measurement System (Cryogenic Limited, UK) under a variable magnetic field of  $\pm 4 \text{ T}$ . The Hall carrier concentration ( $p_H$ ) was calculated by  $p_H = 1/eR_H$ , where  $e$  is the unit charge and  $R_H$  is the Hall coefficient. The estimated error of the Hall coefficient is within  $\pm 10\%$ . The carrier mobility  $\mu_H$  was calculated by  $\mu_H = \sigma R_H$ . The chemical compositions of all sintered samples were checked using an electron probe microanalyzer (EPMA, JEOL JXA-8100) with a wavelength dispersive spectrometer (WDS). Raman active vibration modes were identified by Raman spectroscopy at room temperature with a solid-state argon laser at  $\lambda = 532 \text{ nm}$  using a LabRAM HR (UV) system equipped with a CCD detector. The spectral resolution is around  $1 \text{ cm}^{-1}$ .

### First-principles calculations

The *ab initio* calculations were performed by using the projector augmented wave method, as implemented in the Vienna *ab initio* simulation package (VASP).<sup>25</sup> The generalized gradient approximation<sup>26</sup> was used for the exchange–correlation function, and a plane-wave energy cutoff of 400 eV was adopted. The phonon density of states (DOS) and dispersions of LuNiSb<sub>1-x</sub>Sn<sub>x</sub> ( $x = 0.00$ – $0.12$ ) were calculated by using the frozen phonon method, as implemented in the Phonopy package.<sup>27</sup> The phonon scattering rates and  $\kappa_L$ s were obtained using the shengBTE<sup>28</sup> package, in which we considered the interactions between atoms to their fourth nearest neighbors. A  $2 \times 2 \times 2$  LuNiSb primitive cell, with 24 atoms in total, was adopted to accommodate 1/8 content of dopants in this work. For the structural optimization of the unit cell, the  $k$ -points were chosen as  $4 \times 4 \times 4$ , and the convergence accuracy of force was  $10^{-5} \text{ eV}$

$\text{\AA}^{-1}$ . For the supercells with displacements ( $2 \times 2 \times 2$  of the 24-atom-cell, 192 atoms in total), which were used in the calculations of second- and third-order force constants, only  $\Gamma$  point was considered. The energy convergence criterion was  $10^{-7}$  eV throughout the work. Furthermore, the Quantum ESPRESSO<sup>29</sup> package and EPW<sup>30</sup> package with norm-conserving pseudopotentials<sup>31</sup> and the Perdew–Burke–Ernzerhof exchange and correlation functional<sup>26</sup> were used to perform the electron–phonon (e–p) interaction of the 24-atom-cell ( $\text{LuNiSn}_{0.875}\text{Sb}_{0.125}$ ). A plane wave cutoff of 100 Ry was employed, and the initial  $\mathbf{k}$  and  $\mathbf{q}$  meshes were both  $4 \times 4 \times 4$ , which were interpolated to  $15 \times 15 \times 15$  meshes to calculate the e–p interaction matrix. Finally, we used a homemade code<sup>32–35</sup> to combine the phonon–phonon (p–p) and e–p scattering rates and calculate the lattice thermal conductivity.

## Results and discussion

### Crystal structure and thermal transport properties

The powder XRD patterns of  $\text{LuNiSb}_{1-x}\text{Sn}_x$  and  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$  samples are presented in Fig. 1a and b, respectively. Their main phases are indexed to the cubic MgAgAs-type half-Heusler structure. Owing to the similar atomic radius of matrix and dopant atoms (Sn in the Sb site and Co in the Ni site), the diffraction peaks of these samples do not shift noticeably. Additional tiny diffraction peaks, which are indexed to  $\text{Lu}_2\text{O}_3$  (about 1.7 wt% according to the refinement result), are observed in the range of  $30\text{--}50^\circ$ . The Rietveld refinement (Fig. 1c) indicates the crystalline structure of LuNiSb corresponds to space group 216 with the Lu atom located at  $4a$  (0,0,0), Ni at  $4c$  ( $1/4, 1/4, 1/4$ ), and Sb at  $4d$  ( $1/2, 1/2, 1/2$ ) Wyckoff positions. The lattice parameter of LuNiSb at room temperature was estimated to be  $6.2256 \text{ \AA}$ , matching with the literature data.<sup>36</sup> The secondary

electron morphology of the fracture surface was analyzed for LuNiSb and  $\text{LuNiSb}_{0.88}\text{Sn}_{0.12}$ , as shown in Fig. 1d and e, respectively. The analysis shows that the sample of LuNiSb has a grain size of a few micrometres, which is several times larger than that of the sample doped with 12% Sn. After the measurement of the Hall coefficient, it is found that  $n_{\text{H}}$  increases positively with the introduction of the dopant (Fig. 1f), which suggests that Sn and Co can adjust carrier concentration ( $n$ ) effectively. Compared with Sn, the doping of Co gives a higher doping efficiency, and thus higher  $n_{\text{H}}$  is achieved at the same nominal doping concentration.

The temperature dependence of total thermal conductivity  $\kappa$  and lattice thermal conductivity  $\kappa_{\text{L}}$  of  $\text{LuNiSb}_{1-x}\text{Sn}_x$  is presented in Fig. 2a and b, respectively. The electronic thermal conductivity  $\kappa_{\text{e}}$  is obtained by the Wiedemann–Franz law:  $\kappa_{\text{e}} = L\sigma T$  (Fig. S2†), where  $L$  is the Lorenz number calculated using the single parabolic band (SPB) model.<sup>37</sup> The  $\kappa_{\text{L}}$  of LuNiSb at room temperature is about  $5.9 \text{ W m}^{-1} \text{ K}^{-1}$ , which is relatively lower compared with other typical 18-electron HHs. With increasing temperature, the  $\kappa_{\text{L}}$  decreases and approaches a minimum value of about  $3.9 \text{ W m}^{-1} \text{ K}^{-1}$  at 575 K. By increasing Sn doping from 0 to 12%, a sharp suppression of the room temperature  $\kappa_{\text{L}}$  by 39% is observed. A similar suppression in the  $\kappa_{\text{L}}$  occurs in the Co-doped  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$  (Fig. 2c). For instance, a drop of 42% is observed for  $\text{LuNi}_{0.88}\text{Co}_{0.12}\text{Sb}$ , resulting in a  $\kappa_{\text{L}}$  of about  $3.4 \text{ W m}^{-1} \text{ K}^{-1}$  at 300 K.

As the atomic mass and radius between the matrix and the dopants (Sb in the Sn site and Co in the Ni site) are similar, the significant reduction of  $\kappa_{\text{L}}$  in LuNiSb caused by doping is surprising. For  $\text{LuNiSb}_{1-x}\text{Sn}_x$ , the  $\kappa_{\text{L}}$  of all the samples maintains a temperature dependence of  $T^{-0.75}$ , which suggests the point defect scattering brought about by Sn doping might not be serious.<sup>38,39</sup> A series of  $\text{LuNiSb}_{1-x}\text{Bi}_x$  were further prepared to

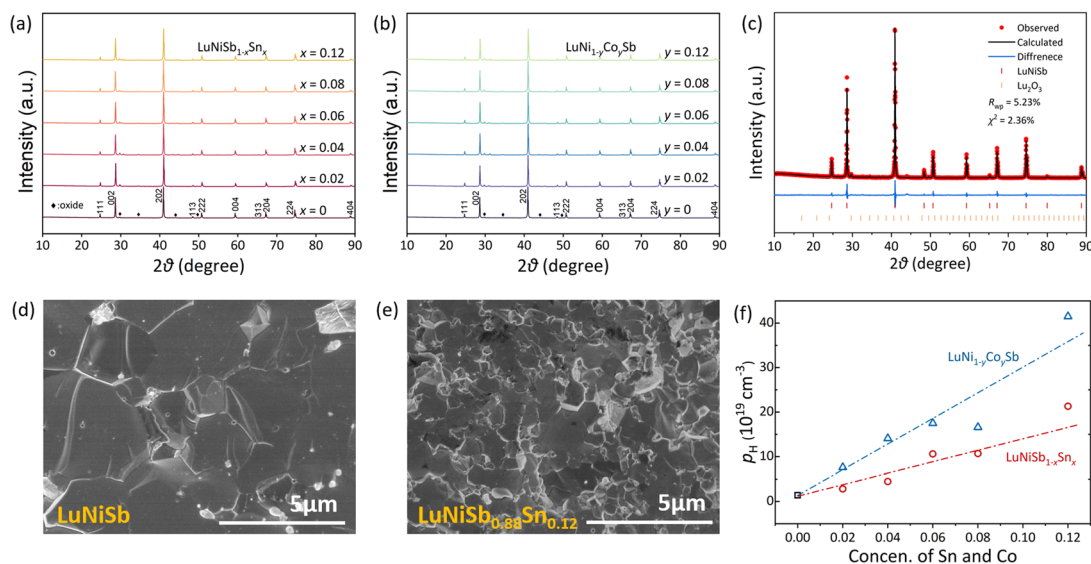


Fig. 1 The indexed laboratory XRD patterns of (a)  $\text{LuNiSb}_{1-x}\text{Sn}_x$  and (b)  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$ . (c) Rietveld refinement of XRD patterns at room temperature with experimental (red dots), calculated (dark line), difference (blue line), and Bragg reflections (red and orange segment) of LuNiSb, and the indexed laboratory XRD patterns of  $\text{LuNiSb}_{1-x}\text{Sn}_x$ . The secondary electron morphology of the fracture surface of (d) LuNiSb and (e)  $\text{LuNiSb}_{0.88}\text{Sn}_{0.12}$ . (f) The  $p_{\text{H}}$  of  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$  represented by empty blue triangles and empty red circles for  $\text{LuNiSb}_{1-x}\text{Sn}_x$ .



Fig. 2 Temperature dependence of the  $\kappa$  (a) and  $\kappa_L$  (b) for  $\text{LuNiSb}_{1-x}\text{Sn}_x$ . The temperature-dependent  $\kappa_L$  of (c)  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$  and (d)  $\text{LuNiSb}_{1-z}\text{Bi}_z$ . (e) Nominal doping of alloying concentration dependence of  $\kappa_L$  for  $\text{LuNiSb}_{1-x}\text{Sn}_x$ ,  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$  and  $\text{LuNiSb}_{1-z}\text{Bi}_z$ .

elucidate the effect of point defect scattering on the  $\kappa_L$  of  $\text{LuNiSb}$ ,<sup>40,41</sup> and the phase characterization is presented in Fig. S3.† With increasing Bi alloying content, the  $\kappa_L$  is suppressed and the temperature dependence changes from  $T^{-0.75}$  to  $T^{-0.5}$  (Fig. 2d), which demonstrates the additional point defect scattering of phonons was induced. The  $\kappa_L$  of all the  $\text{LuNiSb}_{1-x}\text{Sn}_x$ ,  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$ , and  $\text{LuNiSb}_{1-z}\text{Bi}_z$  at room temperature are presented together in Fig. 2e, from which one can find that the aliovalent doping of Co and Sn is a more effective method to reduce  $\kappa_L$  than the isoelectronic alloying of Bi. Based on the model proposed by Klemens and Abeles (eqn S1†),<sup>42,43</sup> the normalized disorder of mass field brought about by Sn doping and Bi alloying is presented in Fig. S4,† where  $\text{LuNiSb}_{1-x}\text{Sn}_x$  can be found to have much lower mass fluctuations than those of  $\text{LuNiSb}_{1-z}\text{Bi}_z$ . This suggests the lower  $\kappa_L$  observed in  $\text{LuNiSb}_{1-x}\text{Sn}_x$  probably does not originate from the enhanced point defect scattering of phonons. The effect of grain boundary scattering was excluded as discussed in ESI Note 1 and Fig. S5 and S6.† Besides, it was recently found that aliovalent doping might play an important role in affecting the intrinsic phonon dispersion of the matrix compounds and thus their thermal transport.<sup>44</sup>

In recent years, first-principles calculations of phonons have become an effective method for understanding the intrinsic phonon-phonon and electron-phonon interactions. For  $\text{PbTe}$ , the calculated thermal conductivity shows a six-times increase if the acoustic-optical scattering process was removed, which highlights the effect of the coupling between the low-lying transverse optical phonons and the longitudinal acoustic phonons.<sup>45</sup> In 2023, Dai *et al.*<sup>32</sup> showed the different contributions of e-p scattering for several HH systems by calculating their EP coupling matrix. By means of thermal transport measurement, inelastic neutron scattering measurements, and first-principles calculations, Han *et al.*<sup>44</sup> found that aliovalent

doping-induced optical phonon softening can significantly suppress phonon transport in  $\text{NbFeSb}$ . Herein, first-principles calculations are used to further analyze the intrinsic thermal transport characteristics for  $\text{LuNiSb}$ .

In polar materials, due to the long-range polarization field produced by the longitudinal optical (LO) phonon vibration, the degeneracy of the LO phonon and transverse optical (TO) phonon is lifted around the Brillouin zone center, corresponding to the LO-TO splitting.<sup>46</sup> For instance, free carriers brought by the Sb dopant in  $\text{ZrNiSn}$  screen the Coulomb interaction, resulting in the collapse of LO-TO splitting.<sup>47</sup> According to the calculated phonon dispersion (Fig. 3a), it is found that LO-TO splitting exists in  $\text{LuNiSb}$  as well. With 12.5% Sn doping into  $\text{LuNiSb}$ , high-energy optical phonon branches become flat and the collapse of LO-TO splitting appears (Fig. 3b). Moreover, a remarkable softening of the optical phonon branches occurs at the energy range of 15–25 meV which matches the movement of the two peaks (at  $\sim 18$  meV and  $\sim 23$  meV) presented in the total DOSs (Fig. 3c). At the same time, phonon softening is also captured by Raman spectroscopy, in which the redshift of the main Raman peaks with increasing Sn doping content for  $\text{LuNiSb}_{1-x}\text{Sn}_x$  can be observed. Based on the expression of  $\kappa_L = 1/3C_v v_g^2 \tau$ , where  $C_v$  is the heat capacity,  $v_g$  is the group velocity, and  $\tau$  is the relaxation time, the calculated phonon energy-dependent  $\kappa_L$  is presented in Fig. 3d.

With aliovalent Sn doping, the increased  $n$  ensures that the e-p scattering might not be ignored anymore.<sup>33,48</sup> Then, e-p scattering is further considered in the calculations of  $\text{LuNiSb}_{0.875}\text{Sn}_{0.125}$  (dotted line in Fig. 3d). For  $\text{LuNiSb}_{0.875}\text{Sn}_{0.125}$ , the scattering rate of p-p scattering being considered varies from  $\sim 10^{-2}$  to  $\sim 10^4$   $\text{ps}^{-1}$  while the counterpart of e-p just varies from  $\sim 10^{-4}$  to  $\sim 10^{-2}$   $\text{ps}^{-1}$  (Fig. S8†). The large difference in the scattering rate between these two processes further suggests additional e-p scattering with Sn doping is weak,



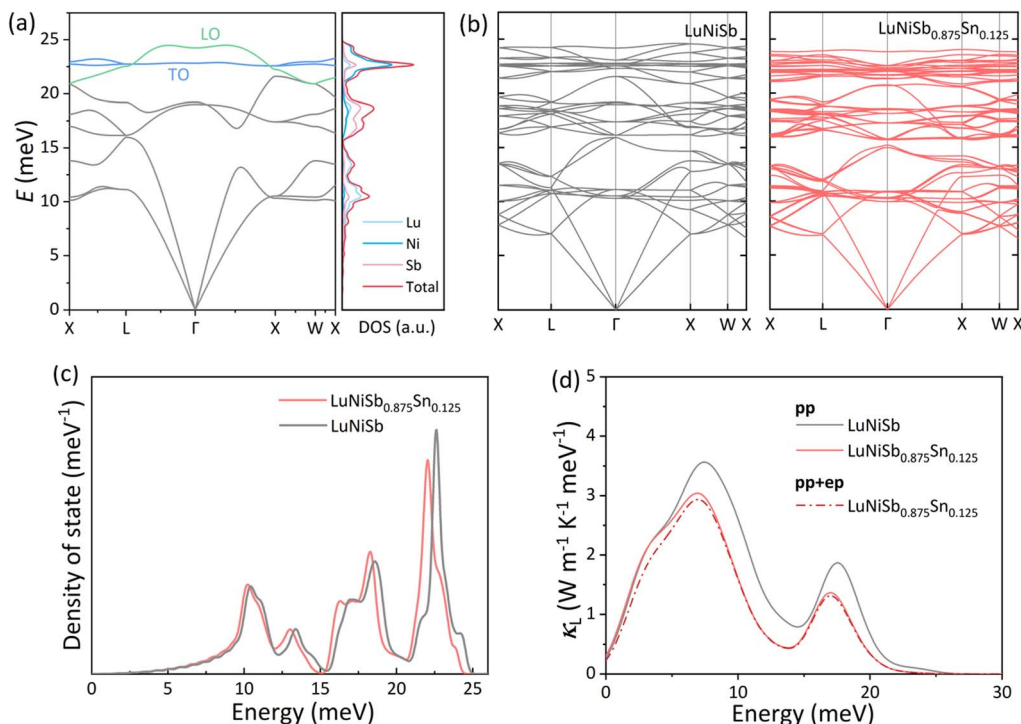


Fig. 3 (a) Calculated phonon dispersion of LuNiSb with total and partial DOS in a single cell. (b) Phonon dispersion of LuNiSb and LuNiSb<sub>0.875</sub>Sn<sub>0.125</sub> in a  $2 \times 2 \times 2$  supercell. (c) Energy-dependent total DOS and (d) room temperature  $\kappa_L$  of LuNiSb and LuNiSb<sub>0.875</sub>Sn<sub>0.125</sub>, where the solid lines were calculated only considering the p–p scattering event and the dotted line applies for both p–p and e–p scattering events.

which only results in a weak suppression of  $\kappa_L$  (Fig. S9†). Compared to other HH materials, the inapparent e–p scattering in this system may be caused by the small  $m_d^*$  and e–p coupling matrix.<sup>32</sup>

As discussed above, optical phonon softening is induced in LuNiSb with Sn doping, and this phenomenon will result in flattened optical branches at the same time. Owing to these two points, the optical phonons ( $v_g = d\omega/dk$ ) are decelerated inevitably. When the energy-dependent group velocity for both LuNiSb and LuNiSb<sub>0.875</sub>Sn<sub>0.125</sub> is presented in one figure, an obvious deceleration of the group velocity can be observed at the range of 10–15 meV and 17.5–25 meV (Fig. 4a), corresponding to the ranges where the  $\kappa_L$  declines significantly (Fig. 3d). Below 10 meV, the group velocity does not reduce obviously, corresponding to the negligible change of the measured sound velocities (Table S1†).

The three-phonon process usually dominates the thermal transport of TE materials at elevated temperatures. The behavior of two phonons colliding and creating a new phonon beyond their primary Brillouin zone will produce thermal resistance under the law of conservation of energy and momentum:  $\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3 + \mathbf{G}$  and  $\hbar\omega_{\mathbf{k}_1} + \hbar\omega_{\mathbf{k}_2} = \hbar\omega_{\mathbf{k}_3}$ , where  $\mathbf{G}$  is a reciprocal lattice vector.  $\mathbf{G} = 0$  refers to the normal (N) process with no direct generation of thermal resistance and  $\mathbf{G} \neq 0$  refers to the Umklapp (U) process with thermal resistance. Determined by the energy conservation, new phonon  $\mathbf{k}_3$  can stay at the higher energy and whether the U process can occur depends on whether a phonon state exists at the corresponding energy or

not. Therefore, with Sn doping in LuNiSb, phonon softening and optical branch flattening result in the rearrangement of phonon modes, which is going to change the probability of the three-phonon process. After calculating the energy-dependent p–p scattering rate of LuNiSb and LuNiSb<sub>0.875</sub>Sn<sub>0.125</sub>, the prominent variation of both scattering profiles is presented in Fig. 4b. What is hidden behind the variation of phonon scattering is the enhancement of phonon scattering phase space, which can be observed at almost all energy ranges (Fig. 4c). The p–p scattering is further resolved into two processes, *i.e.*, the absorption and emission processes. The enlargement of phase scattering space occurs prominently at the range of <10 meV for the absorption process (Fig. 4d) and >15 meV for the emission process (Fig. 4e). That is, the rearrangement of phonon modes enhances the probability of the three-phonon process.

In short, with the help of first-principles calculations, it is discovered that the reduced group velocity and enhanced three-phonon process play a key role in the suppression of  $\kappa_L$  for Sn-doped LuNiSb<sub>0.875</sub>Sn<sub>0.125</sub>.

### Electrical transport properties

The electrical transport properties of Sn- and Co-doped LuNiSb<sub>1-x</sub>Sn<sub>x</sub> and LuNi<sub>1-y</sub>Co<sub>y</sub>Sb are presented in Fig. 5. The positive  $S$  of pristine LuNiSb rises with increasing temperature and reaches the maximum at 475 K (Fig. 5a) while  $\sigma$  exhibits an opposite trend (Fig. 5b), which indicate that this compound is a typical p-type narrow-gap semiconductor. With the p-type

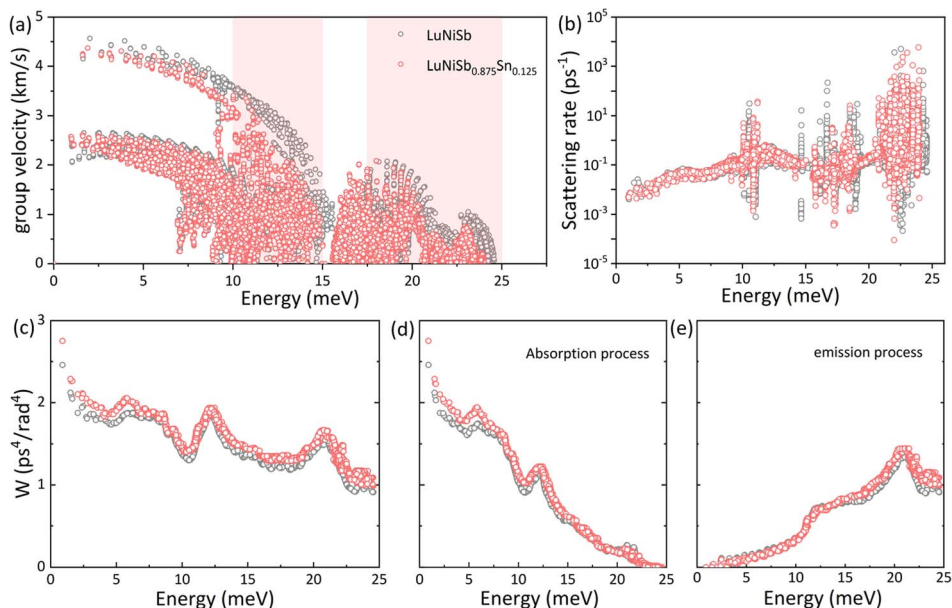


Fig. 4 Calculated group velocity (a) and scattering rate (b) versus the energy of phonon modes for LuNiSb and LuNiSb<sub>0.875</sub>Sn<sub>0.125</sub>. Energy dependence of p-p scattering phase space (c), the absorption process (d) and emission process (e).

dopant of Sn & Co,  $S$  shows a noticeable decrease while  $\sigma$  is enhanced (Fig. 5a, b, d and e), suggesting more holes are induced into the compounds. The power factor (PF =  $S^2\sigma$ ) for Sn- and Co-doped LuNiSb reaches a maximum value of about  $27 \times 10^{-4} \text{ W m}^{-1} \text{ K}^{-2}$  at 625 K (Fig. 5c) and about  $22 \times 10^{-4} \text{ W m}^{-1} \text{ K}^{-2}$  at 675 K (Fig. 5f), respectively. Compared with pristine LuNiSb ( $\sim 16 \times 10^{-4} \text{ W m}^{-1} \text{ K}^{-2}$  at 575 K), an enhancement of PF by 68% is brought through Sn doping and 37.5% for Co doping.

Hall measurements were carried out to further reveal the possible origin of the distinction in the electrical transport properties of Sn- and Co-doped LuNiSb. The  $\mu_{\text{H}}$  of pristine LuNiSb is about  $107 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  at 300 K. Compared to LuNiSb<sub>1-x</sub>Sn<sub>x</sub>, the  $\mu_{\text{H}}$  of LuNi<sub>1-y</sub>Co<sub>y</sub>Sb presents a larger drop (Fig. 6a), which finally results in the difference of their electrical PF (Fig. 5c and f). Generally, a lower  $\mu_{\text{H}}$  may be caused by heavier effective mass or increased carrier scattering. The relationship between  $S$  and  $p_{\text{H}}$  of Sn-doped and Co-doped samples is presented in Fig. 6b, where all the experimental data match

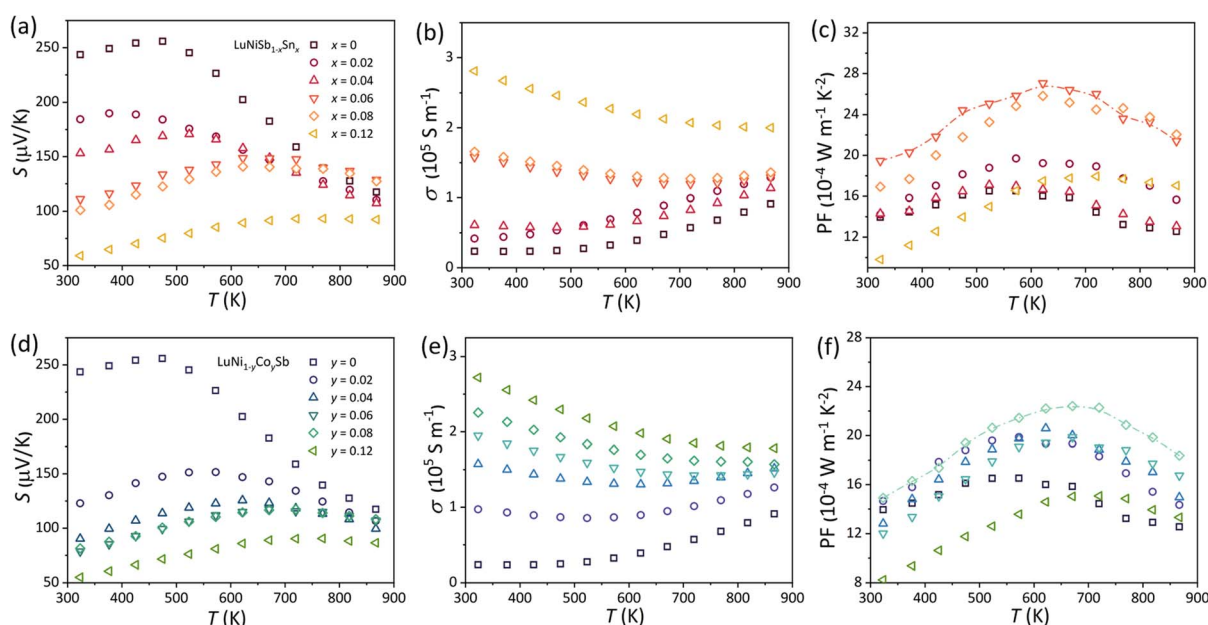


Fig. 5 Temperature-dependent (a and d)  $S$ , (b and e)  $\sigma$ , and (c and f) PF for LuNiSb<sub>1-x</sub>Sn<sub>x</sub> and LuNi<sub>1-y</sub>Co<sub>y</sub>Sb, respectively.

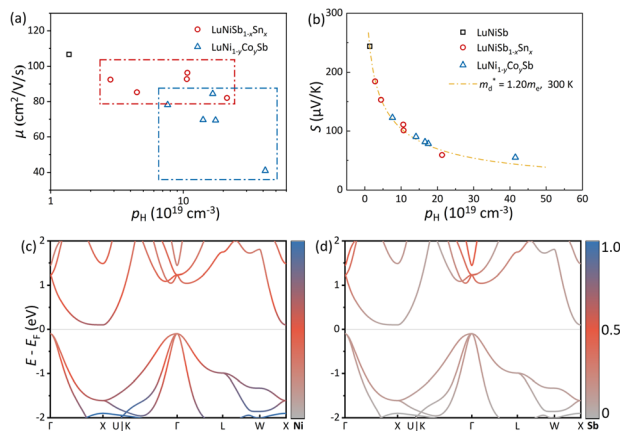


Fig. 6 (a)  $\mu_H$  as a function of  $\rho_H$  and (b) the Pisarenko curves with  $m_d^* \approx 1.2 m_e$  for  $\text{LuNiSb}_{1-x}\text{Sn}_x$  and  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$  at room temperature. The projected band structure of (c) Ni and (d) Sb for  $\text{LuNiSb}$ .

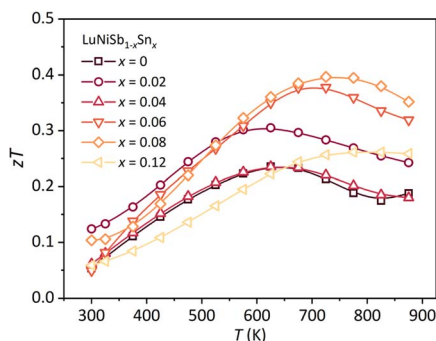


Fig. 7 Temperature dependence of  $zT$  for  $\text{LuNiSb}_{1-x}\text{Sn}_x$ .

well with the Pisarenko curve calculated using the SPB model with  $m_d^*$  of  $1.2 m_e$  at room temperature, suggesting the electrical structure might not change obviously with doping of both Sn and Co. Considering the almost unchanged  $m_d^*$ , the enhanced carrier scattering becomes the most probable factor that results in the difference of electrical transport performance. From the calculated partial DOS of  $\text{LuNiSb}$ , it is discovered that compared with the Sb atom, the Ni atom contributes more to the top of the valence band (Fig. 6c and d). When Co is doped at the site of Ni, the periodic lattice field will be disturbed more seriously,<sup>49</sup> which might result in additional alloy scattering.

For pristine  $\text{LuNiSb}$ , the peak  $zT$  of 0.23 is obtained at 625 K (Fig. 7). Benefiting from Sn doping, an enhanced peak  $zT$  of 0.4 is obtained at 775 K for  $\text{LuNiSb}_{0.92}\text{Sn}_{0.08}$ . The enhanced  $zT$  value for  $\text{LuNi}_{1-y}\text{Co}_y\text{Sb}$  is presented in Fig. S10.† These results suggest that the TE performance of  $\text{ReNiSb}$  can be optimized by doping with either Sn or Co, resulting from the suppression of  $\kappa_L$  and the optimization of PF at the same time.

## Conclusions

In summary, rare-earth-based HH compound  $\text{LuNiSb}$  has been successfully prepared *via* levitation melting and high-energy mechanical alloying followed by SPS. A relatively low  $\kappa_L$  of

$\sim 5.9 \text{ W m}^{-1} \text{ K}^{-1}$  at 300 K is obtained. Sn and Co dopants are found to simultaneously optimize the electrical performance and decrease  $\kappa_L$ . With first-principles calculations, it is found that the Sn doping induces the softening of optical phonons, resulting in reduced sound velocities and enhanced phonon scattering phase space, thereby suppressing  $\kappa_L$  effectively. Benefiting from the improved PF and reduced  $\kappa_L$  arising from Sn doping, a maximum  $zT$  of 0.4 is obtained at 775 K for  $\text{LuNiSb}_{0.92}\text{Sn}_{0.08}$ , a large improvement by 70% compared with that of  $\text{LuNiSb}$ . This work highlights the simultaneous optimization of the electrical and thermal transport properties of  $\text{LuNiSb}$  *via* aliovalent doping, which is probably also suitable for improving the thermoelectric performance of other rare-earth-based HH compounds.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

This work was supported by the National Natural Science Foundation of China (No. 52101275, 92163203, and 52172216). J. Yang acknowledges the support of the Key Research Project of Zhejiang Lab (No. 2021PE0AC02).

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