

Cite this: *Chem. Sci.*, 2021, 12, 709

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# Unusual light-driven amplification through unexpected regioselective photogeneration of five-membered azaheterocyclic AIEgen†

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Developing versatile synthetic methodologies with merits of simplicity, efficiency, and environment friendliness for five-membered heterocycles is of incredible importance to pharmaceutical and material science, as well as a huge challenge to synthetic chemistry. Herein, an unexpected regioselective photoreaction to construct a fused five-membered azaheterocycle with an aggregation-induced emission (AIE) characteristic is developed under mild conditions. The formation of the five-membered ring is both thermodynamically and kinetically favored, as justified by theoretical calculation and experimental evidence. Markedly, a light-driven amplification strategy is proposed and applied in selective mitochondria-targeted cancer cell recognition and fluorescent photopattern fabrication with improved resolution. The work not only delivers the first report on efficiently generating a fused five-membered azaheterocyclic AIE luminogen under mild conditions *via* photoreaction, but also offers deep insight into the essence of the photosynthesis of fused five-membered azaheterocyclic compounds.

Received 27th August 2020  
Accepted 17th October 2020

DOI: 10.1039/d0sc04725b

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## Introduction

The five-membered ring compounds are widely distributed in nature, and are essential to life. In particular, those with N atoms possess significant structural features in many naturally occurring bioactive products, such as amino acids like tryptophan and proline (Scheme 1).<sup>1,2</sup> Except for their vast distribution in natural products, five-membered azaheterocycles possess improved pharmacological activity.<sup>3,4</sup> The superior

antipsychotic activity and selectivity of DU 122290 compared to its lead compound (called sultopride) is a classic representative (Scheme 1).<sup>5</sup> In particular, the fused five-membered azaheterocycles display remarkable biological activities.<sup>6–8</sup> For example, dictyodendrins A and B isolated from the Japanese marine sponge, namely *Dictyodendrilla verongiformis*, show potent anti-telomerase activity, while Lamellarin H is an effective inhibitor towards both HeLa cells and HIV-1 integrase (Scheme 1).<sup>9,10</sup> In addition, compounds containing five-membered azaheterocycles have found potential applications in various areas, including catalysis, agriculture, and electronics.<sup>11–18</sup> Considering their great value in industrial applications and academic research, it is far from enough to obtain them by simple separation from natural products. Therefore, great effort has been put into exploring artificial synthetic methods for five-membered heterocycles, particularly the fused ones.<sup>19–28</sup> During their development, one critical issue is that the metallic catalysts are often adopted for mediating an efficient synthesis, greatly raising the cost. Moreover, a time-consuming purification step needs to be performed to remove the remaining metal catalyst residue, as their trace presence may deteriorate the optoelectronic properties and cause cytotoxicity in the biological system.<sup>29</sup> Another dilemma is that an oxygenic atmosphere is often required in the preparation to achieve better performance, inevitably resulting in byproducts at all kinds of levels, which result in poor selectivity and low efficiency. It is thus highly desirable to develop a versatile synthetic methodology

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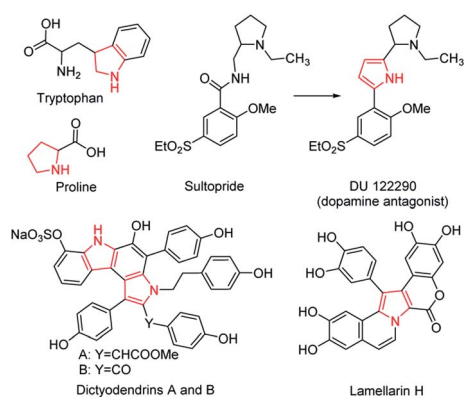
† Electronic supplementary information (ESI) available. CCDC 2008533, 2008534, 2035826, 2035839 and 2035827. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/d0sc04725b

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with catalyst-free characteristics and high selectivity for the five-membered azaheterocyclic compounds.

From the information mentioned above, an ideal synthetic method should meet the following criteria: (1) as the multi-component reaction may suffer from the tedious separation of various reactants and products, a single-component reaction without any additive is preferred. (2) The reaction can be carried out in aqueous medium under mild conditions with simple operation, which not only conforms to the postulation of green chemistry, but also is conducive to expanding applications of five-membered azaheterocycles. (3) The prepared products can be obtained with atom economy, in accordance with the sustainable development. In this regard, the photoreaction serves as a powerful protocol to construct various polycyclic aromatic hydrocarbons, attracting growing attention. Although impressive progress has been made, there are still two major challenges to overcome. Compared to the five-membered counterparts, products with six-membered rings are commonly reported due to the lesser strain required.<sup>20</sup> In particular, cyclic compounds with a heteroatom are rarely prepared, and synthetic problems (including low yield and generation of various by-products) are often encountered. These challenges are mainly attributed to the fact that excited states are usually involved in the photoreaction. In addition, the intermediates in excited states are often not stable and easily react with oxygen or other species, giving undesired impurities.<sup>30</sup> To solve the problems mentioned above and expand the application of five-membered azaheterocycles in living systems, much work has been done on the photogeneration of tetraphenylethylene (TPE) and its derivatives, which typically use aggregation-induced emission luminogens (AIEgens) with potential applications in bioimaging and theranostics.<sup>31–36</sup> Although the resulting cyclic products were successfully employed in various fields including super-resolution imaging, organic optoelectronic devices and self-assemble materials, the N-containing fused five-membered heterocycle with AIE feature is rarely reported.<sup>37–45</sup> Thus, generating AIE-based compounds with fused five-membered azaheterocycles *via* efficient and facile photoreaction is not only exceedingly challenging, but also exceptionally appealing.



Scheme 1 Representative examples of the bioactive five-membered azaheterocyclic compounds.

In this contribution, we obtained an unexpected five-membered azaheterocyclic AIEgen, namely *c*<sub>5</sub>-TPBQ, with multiple functions *via* a clean, efficient and regioselective photoreaction. When *o*-TPBQ was merely irradiated by a hand-held UV lamp in the absence of any additive, it readily underwent photocyclization and afforded *c*<sub>5</sub>-TPBQ at high yield. Both compounds exhibited typical AIE behavior owing to the anion- $\pi^+$  interactions. The photogeneration of *c*<sub>5</sub>-TPBQ could take place in aqueous media and common organic solvents. Specific mitochondrial imaging and selective cancer cell targeting were achieved at an ultralow nanomolar dye working concentration with unusual light-driven amplification, which was superior to commercial bioagents. Furthermore, making use of the light-driven amplification, a 2D fluorescent pattern with enhanced contrast was fabricated in both solution and solid state under mild conditions.

## Results and discussion

As shown in Scheme S1,<sup>†</sup> *o*-TPBQ was readily achieved *via* a facile one-step synthetic route, according to the previously reported literature.<sup>46,47</sup> Details of the experimental procedures are provided in the ESI.<sup>†</sup> The structure of *o*-TPBQ was well characterized, and confirmed by NMR and high-resolution mass spectroscopies (Fig. S1–S3<sup>†</sup>). Single crystals of *o*-TPBQ were successfully obtained in chloroform/MeOH mixtures by slow vapor diffusion (Table S1<sup>†</sup>).

After structural characterization, the emission behavior of *o*-TPBQ was investigated in DMSO/water mixtures by photoluminescence (PL) spectroscopy. As expected, *o*-TPBQ exhibited a typical AIE feature. As shown in Fig. 1A and B, *o*-TPBQ was

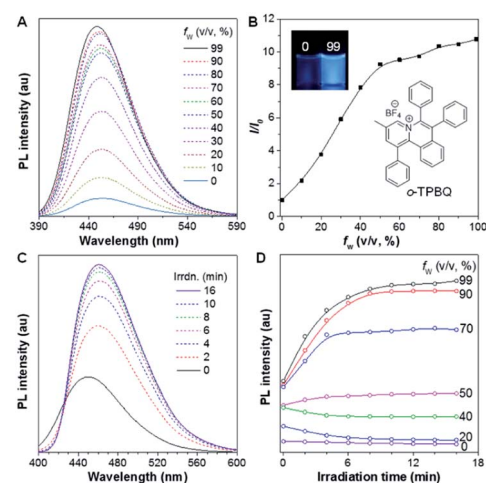


Fig. 1 (A) PL spectra of *o*-TPBQ in DMSO/water mixtures with different water fractions ( $f_w$ ). (B) Plot of the relative emission intensity ( $I/I_0$ ) versus  $f_w$ . Inset: fluorescence images of *o*-TPBQ in solution ( $f_w = 0\%$ ) and aggregate ( $f_w = 99\%$ ) states taken under 365 nm UV lamp. (C) PL spectra of *o*-TPBQ in DMSO/water mixtures with  $f_w = 99\%$  at different irradiation times (irradn.). (D) Plot of the emission peak of *o*-TPBQ in DMSO/water mixtures with different  $f_w$  and irradiation times. Excitation wavelength: 380 nm, concentration: 10  $\mu$ M. Irradiation source: hand-held UV lamp at 365 nm.



weakly emissive in DMSO solution due to the consumption of the excited state energy by the active rotation of the phenyl rings. Upon gradually increasing the water fraction ( $f_w$ ), the emission intensity enhanced progressively owing to the restriction of molecular motion (RIM). Interestingly, a notable phenomenon was found wherein upon irradiating *o*-TPBQ in aggregate state ( $f_w = 99\%$ ) by a hand-held UV lamp, a brighter light blue fluorescence was observed by naked eye. As presented in Fig. 1C and D, with increased exposure time, the emission peak gradually redshifted from 450 nm to 460 nm, accompanied with significantly increased emission intensity. The emission became stronger with increasing irradiation time. To get a clearer map, we further measured the PL spectra of *o*-TPBQ at different  $f_w$  values under 365 nm UV irradiation (Fig. 1D). It was found that by prolonging the irradiation time, the emission intensity slightly weakened in mixtures with  $f_w$  below 50%. Afterward, the emission was enhanced gradually under UV irradiation. The emission was increasingly boosted with increasing  $f_w$ . The absorption of *o*-TPBQ also varied with UV irradiation (Fig. S4†). Before irradiation, *o*-TPBQ exhibited a maximum absorption at 380 nm. Then, the absorption gradually shifted to a longer wavelength at 390 nm by prolonging the exposure time. Combining the results from the PL and UV-Vis measurements, we speculated that a product with greater conjugation was generated by the photoreaction. Taking the particular location of the N atom in *o*-TPBQ and the reported literature on the photoreaction into consideration, we proposed all of the possible products, as suggested in Fig. 2A. Basically, these compounds can be divided into two categories: the five-membered ring product ( $c_5$ -TPBQ), although the chance is quite low; and the common six-membered ones,  $c_6$ -TPBQ' and  $c_6$ -TPBQ''.

To verify our hypothesis, HRMS and NMR spectra were utilized to determine the exact structure of the resultant product. After UV irradiation, the original peak at  $m/z$  422.1930 (assigned to the mass of *o*-TPBQ minus the weight of tetrafluoroborate fragment) disappeared. Alternatively, a new peak

at  $m/z$  420.1736 emerged, indicating the loss of two hydrogen atoms (Fig. 2B). Subsequently, *in situ* dynamic NMR analysis was performed for better observation, as shown in Fig. 2C. Notably, by lengthening the exposure time, a new signal at  $\delta$  3.35 ppm (red arrow) appeared, while the signal at  $\delta$  2.50 ppm (black arrow) assigned to the methyl group of *o*-TPBQ gradually decreased. Meanwhile, a new double peak at  $\delta$  8.66 ppm emerged. A longer irradiation time (30 min) afforded the almost complete conversion of the unreacted signals. Although the results from the *in situ* HRMS and NMR analysis offered solid evidence of the occurrence of a photoreaction, we were still not able to tell the exact structure until the single crystal was achieved by slow vapor diffusion in chloroform/MeOH mixtures and analyzed crystallographically (Fig. 2D and Table S2†). Surprisingly, it turned out that only the five-membered cyclized product,  $c_5$ -TPBQ, was obtained instead of the typical six-membered one ( $c_6$ -TPBQ' or  $c_6$ -TPBQ''). In addition, the structure of  $c_5$ -TPBQ was confirmed by NMR and high-resolution mass spectroscopies (Fig. S5–S7†).

This unconventionally yet highly regioselective photocyclization greatly aroused our interest. Thus, we would like to have a deeper understanding of the mechanism. Here, a possible reaction pathway was proposed in forming the two kinds of products, as presented in Fig. 3A. After excitation, *o*-TPBQ generated a spin-polarized singlet excitation state. The exact spin-population distribution might lead the reaction to different products. TS  $c_5$  displayed one possibility: the spins mainly accumulated on the left benzene ring of the quino-lininium structure and another neighboring free benzene ring, displaying a diradical behaviour. The TS  $c_5$  led to the intermediate  $c_5$  with a formed five-membered ring under dehydrogenation. The TS  $c_6$  revealed another possibility: the spins mainly accumulated on the two adjacent free benzene rings, which may yield intermediate  $c_6$ , which is one of the six-membered ring structures. The reaction pathway of  $c_6$ -TPBQ'' was analogous to that of  $c_6$ -TPBQ'. To ascertain the likelihood of the two proposed scenarios in the photocyclization, density functional theory (DFT) calculations were performed based on both the ground and excited states. Fortunately, all encountered structures were confirmed, and their Gibbs free energies were calculated, respectively. As depicted in Fig. 3B and S8,† the free energy in the S1 excited state of *o*-TPBQ (84.47 kcal mol<sup>-1</sup>) is higher than that of TS  $c_5$  (71.05 kcal mol<sup>-1</sup>) and lower than that of TS  $c_6$  (97.49 kcal mol<sup>-1</sup>), indicative of it favoring the five-membered ring pathway. Thus, the formation of the five-membered cyclic product is kinetically preferred. In addition,  $c_5$ -TPBQ has a significantly lower free energy (−13.55 kcal mol<sup>-1</sup>) in the ground state than  $c_6$ -TPBQ' (2.95 kcal mol<sup>-1</sup>), suggesting that the highly selective formation of compound  $c_5$ -TPBQ is thermodynamically controlled. Consequently, although the five-membered ring product appears impossible to form, in fact, it corresponds to the thermodynamics and kinetics. Except for the energy results obtained from the calculation, the preference for the five-membered ring formation can also be enlightened from the view of the molecular structure. For TS  $c_5$ , one of the spins is stabilized by delocalization in a large conjugated core, thus reducing the total Gibbs free energy. Furthermore, calculations

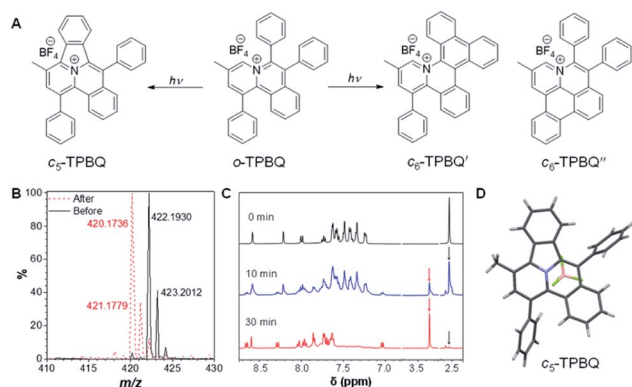


Fig. 2 (A) Possible photogenerated products. (B) High-resolution mass spectra of *o*-TPBQ before and after UV irradiation from a hand-held UV lamp in DMSO solution. (C) <sup>1</sup>H NMR spectrum of *o*-TPBQ under at different irradiation times. (D) Obtained single crystal structure of the photocyclized product (note:  $c_5$ -TPBQ, instead of  $c_6$ -TPBQ or  $c_6$ -TPBQ', was generated in the photoreaction).



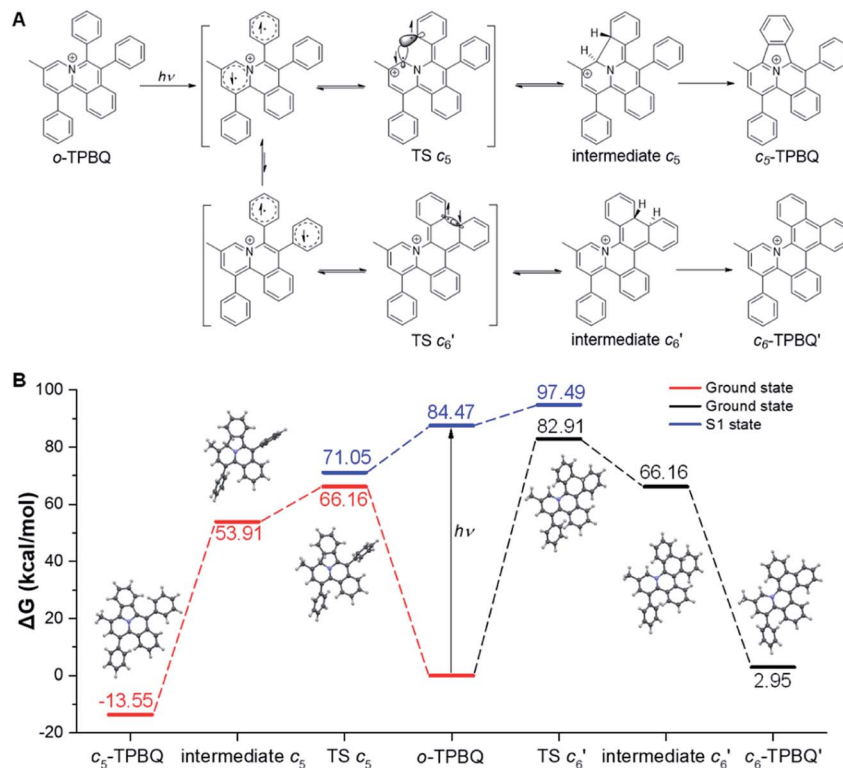


Fig. 3 Proposed photoreaction mechanism of *o*-TPBQ. (A) Possible reaction pathway. (B) Gibbs free energy of the ground and S1 state of the five-membered ring product ( $c_5$ -TPBQ) and six-membered ring product ( $c_6$ -TPBQ') formation process. The counteranions are omitted for clarity.

on the spin population analysis, which proved the radical character of the transition state, were consistent with the proposed reaction process (Fig. S9<sup>†</sup>). Formation of  $c_6$ -TPBQ' is also unrealistic because this structure is unstable, and evolves back to the reactant *o*-TPBQ according to the DFT calculations. Thus, according to the calculation results and analysis above, *o*-TPBQ would go to TS  $c_5$  after excitation because of its lower free energy. It would then undoubtedly follow the five-membered ring path.

Subsequent experimental evidence gave further support for the reaction mechanism. The radical inhibitor 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO) was added to the reaction system, and *in situ* NMR analysis was used to follow the reaction process. Here, the yield from *o*-TPBQ to  $c_5$ -TPBQ was evaluated by the calculation of the conversion yield of the methyl peak of *o*-TPBQ at 2.50 ppm to that of  $c_5$ -TPBQ at 3.35 ppm. As Fig. S10<sup>†</sup> shows, under 15 min UV exposure, compared to the control group with a yield of 45%, the TEMPO group had a much lower yield of 21%. When lengthening the irradiation time to 50 min, 47% yield of the TEMPO group was obtained compared to 85% of the Control group. From this observation, we can conclude that the reaction probably involves a radical process, in agreement with the proposed reaction mechanism. In addition, it is worth mentioning that many reported photoreactions required extra oxidant as the catalyst (such as iodine) for complete conversion, while our photocyclization is free of any additional catalyst or additive. Furthermore, we would like to explore whether the reaction

would take place even without oxygen support (Fig. S11<sup>†</sup>). It was found that the conversion yield of the N<sub>2</sub> group is negligibly lower than that of the control group, suggesting that the reaction could be carried out even under N<sub>2</sub> atmosphere. Additionally, to evaluate the stability of the five-membered cyclized product, we intensified the irradiation conditions: a 500 W mercury lamp served as the irradiation source with added iodine as the oxidant, and the irradiation time was extended to 30 h (Fig. S12<sup>†</sup>). Much to our surprise, even under such highly intensive UV irradiation, the treated sample showed no spectral change compared to the pure  $c_5$ -TPBQ. It turned out that no further cyclization occurred, and the product was not damaged at all. This suggested the extremely high regioselectivity of the photocyclization and the superb stability of the five-membered azaheterocyclic compound. Additionally, in order to prove that the synthesis of the five-membered azaheterocycles is a general strategy *via* photocyclization reaction, another two compounds, *o*-I and *o*-II, were prepared by removing the methyl group and changing the counterion, respectively (Fig. S13–S18, Tables S3 and S4<sup>†</sup>). After photoreaction under the same condition, the five-membered ring products,  $c_5$ -I and  $c_5$ -II were obtained, further indicating that the photoreaction may serve as the platform for five-membered azaheterocycle construction (Fig. S19–S22 and Table S5<sup>†</sup>).

After full elucidation of the mechanism of generating  $c_5$ -TPBQ, we studied and compared the photophysical properties of the two compounds by UV-Vis and PL spectroscopies. The data are summarized in Table S6.<sup>†</sup> The absorption peaks of the







to the N atom of pyridinium. Thus, if the spatial structure permits, introducing a nitrogen cation into an aromatic system can increase the possibility of five-membered ring formation. In addition, it is delightful to find that the reactive-site principle is supported by previous work.<sup>37</sup> In this way, under the guidance of the reactive-site principle, photoreaction may serve as a platform for constructing five-membered azaheterocyclic compounds.

## Conclusion

In summary, an unexpected multifunctional five-membered azaheterocycle, *c*<sub>5</sub>-TPBQ, was photogenerated with unconventional but highly specific regioselectivity. The yield can reach up to unity without the participation of an oxidant or catalyst, conforming to the atom economy principle. Except for organic solvents, the photoreaction can proceed equally in aqueous medium, meeting the requirements of green chemistry. A possible reaction mechanism for the formation of the five-membered ring product instead of six-membered counterparts was proposed, which was clearly rationalized by theoretical and experimental studies. The physical and optical properties of the *o*-TPBQ and *c*<sub>5</sub>-TPBQ were fully investigated by photoluminescence, UV-Vis absorption, single crystal X-ray, theoretical calculation and SEM analysis. Notably, the resultant *c*<sub>5</sub>-TPBQ displayed an enhanced AIE feature compared to its reactant *o*-TPBQ. Working as an attractive bioimaging agent, *o*-TPBQ exhibited several exceptional merits, including satisfied biocompatibility, specific mitochondria-targeting, nanomolar level working concentration, and selective cancer cell differentiation. Notably, a light-driven amplification phenomenon was observed as a result of photoreaction upon laser excitation in bioimaging, suggestive of its strong resistance to photobleaching. Taking advantage of the simple and mild reaction conditions, as well as the unique light-driven amplification, fluorescent 2D photopatterns were fabricated in the solution and solid state, respectively. Finally, we creatively put forward a simple reactive-site principle for photogenerating five-membered azaheterocycles. Therefore, this work paves the way toward efficiently constructing fused five-membered azaheterocyclic compounds with unique fluorescent properties under simple and mild conditions, which can find an array of applications in biological and optoelectronic fields.

## Experimental section

### Materials and instrumentation

All chemicals were purchased from J&K Chemistry, Sigma-Aldrich and TCI, and used directly without further purification. Cells were obtained from the American Type Culture Collection.

<sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded with a Bruker ARX 400 NMR spectrometer. High-resolution mass spectra (HRMS) were recorded on a GCT premier CAB048 mass spectrometer operating in a MALDI-TOF mode. UV-Vis absorption spectra were recorded on a PerkinElmer Lambda 365 Spectrophotometer. Photoluminescence (PL) spectra were recorded on

a Fluorolog®-3 Spectrofluorometer. The absolute fluorescence quantum yield was measured using a Hamamatsu quantum yield spectrometer C11347 Quantaaurus QY. The lifetime was measured on an Edinburgh FLS980 fluorescence spectrophotometer equipped with a xenon arc lamp (Xe900). Single crystal X-ray diffraction was performed on a D/max-2550 PC X-ray diffractometer (XRD; Rigaku, Cu-K $\alpha$  radiation). The crystal data were collected on an Oxford Diffraction Xcalibur Atlas Gemini ultra instrument. The scanning electron microscope image was taken using a JSM-6390 scanning electron microscope. The fluorescence images were taken by confocal laser scanning microscope (CLSM) (Zeiss, Germany).

### Synthesis of *c*<sub>5</sub>-TPBQ

To a round-bottom flask was added *o*-TPBQ (30 mg) dissolved in CH<sub>3</sub>CN solution. The resulting solution was stirred under irradiation from a 500 W high-pressure mercury vapor lamp for 1 h for complete reaction. The crude product was purified by silica gel column chromatography with DCM : MeOH (5 : 1, v/v) in 94% yield. <sup>1</sup>H NMR (400 MHz, CD<sub>2</sub>Cl<sub>2</sub>),  $\delta$  (ppm): 8.66 (d, *J* = 8.0 Hz, 1H), 8.60 (s, 1H), 8.29 (d, *J* = 8.7 Hz, 1H), 8.07–7.92 (m, 3H), 7.85 (d, *J* = 6.5 Hz, 3H), 7.77–7.68 (m, 5H), 7.66–7.60 (m, 4H), 7.04 (d, *J* = 8.2 Hz, 1H), 3.37 (s, 3H). <sup>13</sup>C NMR (100 MHz, CD<sub>2</sub>Cl<sub>2</sub>),  $\delta$  (ppm): 145.01, 139.68, 138.36, 138.24, 137.16, 135.73, 133.44, 132.84, 132.57, 132.30, 132.27, 131.79, 131.36, 130.82, 130.60, 130.29, 130.17, 130.05, 129.99, 129.32, 128.91, 128.84, 128.29, 125.13, 124.84, 124.56, 19.71. HRMS (MALDI-TOF): *m/z*: [M – BF<sub>4</sub>]<sup>+</sup> calcd for C<sub>32</sub>H<sub>22</sub>N<sup>+</sup>: 420.1747; found: 420.1774.

### Theoretical calculation

All calculations were done on the quantum mechanics package ORCA 4.1. (1) The initial structures were generated from the crystal diffraction data with optimized proton coordination under BLYP/def2-SVP level with density fitting approximation. The transition states (TS) were found with QST (Berny) algorithm with initial guesses generated by Prof. Grimme's XTBB software. (2) The single point energies of all encountered geometries were calculated by adding the transition energy from the TD-DFT calculation (PBE0/def2-SVP) to the ground state Gibbs free energy (PBE0/def2-SVP). The spin population analysis was performed on the multifunctional wavefunction analysis software Multiwfn 3.6. (3).

## Author contributions

B. Z. T., J. W. and Q. L. conceived the original idea for this study. Q. L. synthesized and characterized the molecules. Q. L. did the photoreaction. J. Z. and H. Y. provided the photoreactor. J. G. performed theoretical calculations. Y. L. and R. Z. did the cell imaging experiments. H. W. did the photopattern. H. H. Y. S. and I. D. W. did the crystal analysis. B. Z. T. supervised the whole process. B. Z. T., J. W., Q. L., J. G., R. T. K. K and M. H. L. analyzed the data and participated in the discussion. Q. L., J. W. and J. W. Y. L. revised the manuscript. Q. L. and J. W. wrote the manuscript with comments from all authors.







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