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Concerted proton-electron transfer oxidation of phenols and hydrocarbons by a high-valent nickel complex†

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The high-valent nickel(III) complex Ni(pyalk)₂⁺ (**2**) was prepared by oxidation of a nickel(II) complex, Ni(pyalk)₂ (**1**) (pyalk = 2-pyridyl-2-propanoate). **2** and derivatives were fully characterized by mass spectrometry and X-ray crystallography. Electron paramagnetic resonance spectroscopy and X-ray photoelectron spectroscopy confirm that the oxidation is metal-centered. **2** was found to react with a variety of phenolic and hydrocarbon substrates. A linear correlation between the measured rate constant and the substrate bond dissociation enthalpy (BDE) was found for both phenolic and hydrocarbon substrates. Large H/D kinetic isotope effects were also observed for both sets of substrates. These results suggest that **2** reacts through concerted proton-electron transfer (CPET). Analysis of measured thermodynamic parameters allows us to calculate a bond dissociation free energy (BDFE) of ~91 kcal mol⁻¹ for the O–H bond of the bound pyalk ligand. These findings may shed light onto CPET steps in oxidative catalysis and have implications for ligand design in catalytic systems.

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Introduction

Reactions in which protons and electrons move in a single, concerted step (concerted proton-electron transfer, or CPET) play a significant role in many organic, inorganic, and bioinorganic catalytic systems. CPET at high-valent metal centers has been proposed or observed in the catalytic mechanisms ranging from enzymatic reactions^{1,2} to water-oxidation catalysis^{3,4} to organic synthesis.^{5,6} In many of these systems, the proton and electron are transferred to a high-valent metal-oxo species; however, another strategy involves the transfer of the proton to the ligand scaffold instead. This approach is also relevant to some bioinorganic systems. For instance, in nickel superoxide dismutase (NiSOD), a CPET step is proposed to occur at a highly oxidized nickel(III) intermediate in which a coordinated thiol or amide moiety acts as a proton donor.^{7–9}

Understanding the CPET reactivity of high-valent metal centers may give some insight into their reactivity in catalytic systems. In particular, understanding CPET steps in systems in which the proton is transferred to the ligand may provide insight into catalytic systems which do not or cannot go through

metal-oxo intermediates, such as copper- or nickel-containing water-oxidation catalysts or NiSOD.

In our previous studies, we found that the strongly donating ligand 2-pyridinyl-2-propanoate (pyalk) can stabilize metal centers in high oxidation states, including Ir(V).¹⁰ In addition, the pyalk alkoxide has been suggested to act as a proton shuttle in catalytic water oxidation.^{11,12} We reasoned that a high-valent metal compound stabilized by the pyalk ligand was a good candidate for fast CPET. We now describe the preparation of a stable Ni(III) species capable of reacting with a variety of O–H and C–H bonds *via* CPET.

Results and discussion

Characterization of Ni³⁺

We prepared **1**, a new square-planar nickel(II) complex with two pyalk ligands arranged in a *trans* orientation (Scheme 1), which was characterized by X-ray crystallography, ¹H NMR spectroscopy, and cyclic voltammetry (CV). The CV of **1** shows a reversible redox feature at 0.15 V *vs.* Fc/Fc⁺, suggesting that oxidation generates a stable Ni(III) species (Fig. 1).

In CH₂Cl₂, **1** was treated with [NO][BF₄] or [NO][PF₆](*E*⁰ = 0.6 V *vs.* Fc/Fc⁺) (Scheme 1). The solution immediately changed from light green to deep blue. UV-visible spectroscopy indicated the presence of two intense features in the absorption spectrum (λ_{max} = 340 nm and 610 nm, Fig. S1†). This change suggested the formation of an oxidized species. Such intense absorption features in the visible and NIR regions are consistent with the data from other Ni(III) compounds,¹³

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Scheme 1 Synthetic route for preparation of $\text{Ni}^{\text{II}}(\text{pyalk})_2$ (1) and $\text{Ni}^{\text{III}}(\text{pyalk})_2^+$ (2).

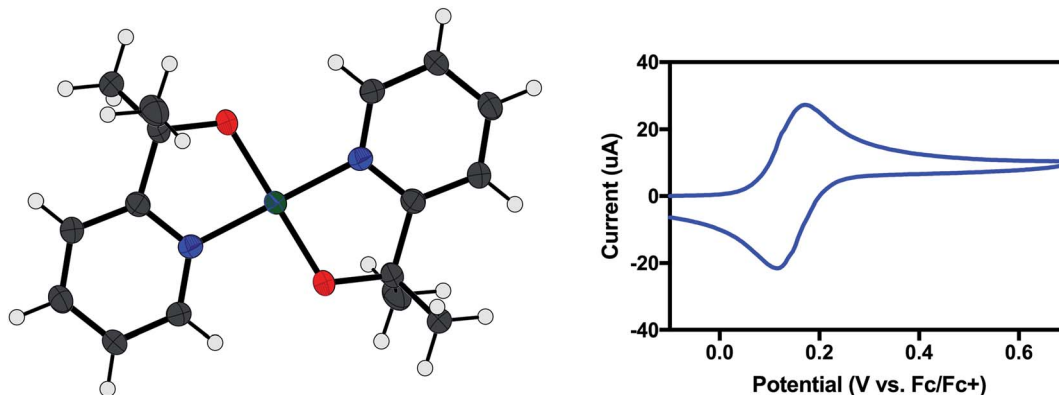


Fig. 1 (Left) X-ray crystal structure of $\text{Ni}(\text{pyalk})_2$ (1). Atoms are shown at the 50% probability level (1). (Right) Cyclic voltammogram of 1 in MeCN . The reversible wave at 0.15 V vs. Fc/Fc^+ is assigned as a $\text{Ni}^{\text{II/III}}$ couple.

which led us to suspect that the Ni center had been oxidized. In addition, ^1H NMR of 2 gave a broad paramagnetic spectrum, in contrast to the diamagnetic spectrum of 1 (Fig. S3[†]). Measurement of the solution magnetic susceptibility by the Evans method¹⁴ at room temperature gave a μ_{eff} of 1.87 for 2, consistent with the presence of one unpaired electron, as expected for a square-planar Ni^{III} compound.

Electron paramagnetic resonance (EPR) spectroscopy showed the presence of a single $S = \frac{1}{2}$ species (Fig. 2). A ligand centered oxidation would be expected to show an isotropic signal near $g = 2.0$. However, the observed rhombic spectrum

had g values of $g_x = 2.077$, $g_y = 2.091$, $g_z = 2.274$ (Fig. 2, left). These g values, as well as $g_{\text{ave}} = 2.145$, are consistent with a low-spin square-planar d^7 Ni^{III} complex.¹⁵

As demonstrated for a variety of iridium complexes,^{16–19} X-ray photoelectron spectroscopy (XPS) provides another method for identifying a metal-centered oxidation. For metal-centered redox events with no change to the ligand set, the binding energy is expected to increase with oxidation state. Between 1 and 2, the Ni 2p binding energy increases by 1.4 eV, consistent with a metal-centered oxidation from Ni^{II} to Ni^{III} (Fig. 2, right).



Fig. 2 (Left) Experimental (blue) and simulated (red) EPR spectra of 2 taken in $\text{CH}_2\text{Cl}_2/\text{toluene}$ at 77 K. (Right) XPS spectrum of 2 (top) and 1 (bottom). The ~ 1 eV shift is consistent with a one-electron oxidation of the nickel center.



Electrospray ionization (ESI) mass spectrometry confirmed the molecular formula of **2**. A solution of **2** in CH_2Cl_2 gave a peak at $m/z = 330.09$ with the expected isotopic pattern for nickel (Fig. S2a[†]). A mass spectrum of the parent compound, **1**, was also taken, which showed a peak at $m/z = 331.09$ (Fig. S2b[†]), corresponding to $[\mathbf{1} + \text{H}^+]$. Despite having the same elemental formula, **1** is uncharged, and thus is observed as the positively charged protonated species, whereas **2** bears a positive charge already, and so is observed without any associated ions. This result confirms that **2** is the one-electron oxidation product of **1**. The ESI mass spectrum of **2** does show a small signal at $m/z = 331.09$, which may indicate that some reduction of **2** occurs during the mass spectral analysis or over time in CH_2Cl_2 .

Despite our best efforts, we were unable to obtain a crystal structure of **2**. While attempting to use pyridine as a co-solvent for crystallization, however, we observed that the solution changed from deep blue to bright orange ($\lambda_{\text{max}} = 420$ nm, Fig. S1[†]). Crystals of this new complex, **3**, were successfully grown from CH_2Cl_2 /pentanes (Fig. 3, left). **3** proved to be an octahedral complex, with two equatorial pyalk ligands and two axial pyridines. **3** contains a PF_6^- anion, and a comparison of Ni–O bond lengths (Table S3[†]) indicates that the alkoxide arms of the pyalk ligands on **3** remain deprotonated, suggesting that **3** remains in the Ni(III) oxidation state. The EPR spectrum of **3** (Fig. 3, right) exhibits an axial signal with $g_x = 2.202$, $g_y = 2.163$, and $g_z = 2.030$. A five-line hyperfine pattern is observed on the g_z turning point, indicating coupling of the unpaired electron to the pyridyl nitrogens.²⁰ We found that the pyridine ligands do not remain bound in solution unless excess pyridine is present; when crystals of **3** were dissolved in CH_2Cl_2 , **3** immediately reverted to **2**, as monitored by UV-visible spectroscopy (Fig. S1[†]).

Upon addition of excess pyridine, the absorbance spectrum of **3** was re-established.

Remarkably, **2** and **3** are stable at room temperature, both as solids and in solution; this is rare for Ni(III) compounds, which tend to be stable only between -80 °C and -20 °C.^{13,21,22}

Oxidation of phenols

With the oxidation state of **2** established, we sought to test its oxidative reactivity. We had shown in previous studies that the pyalk ligand could be reversibly protonated while coordinated to first row transition metals;²³ therefore, we hypothesized that **2** could undergo proton-coupled electron transfer (PCET) through the reaction proposed in Scheme 2.

To test **2** for PCET reactivity, **2** was treated with 100 equivalents of 2,4,6-tri-*tert*-butylphenol (TTBP), a common substrate for PCET reactions. When the colorless solution of TTBP was added to the deep blue solution of **2** in CH_2Cl_2 , the color changed immediately to bright blue. The appearance of the characteristic peaks at 383 nm and 400 nm in the absorbance spectrum of this solution (Fig. 4) indicated that the tri-*tert*-butylphenoxy radical had been formed, causing the color change,²⁴ and the disappearance of the features at 340 nm and 610 nm indicated that **2** had been consumed. In a similar reaction between **2** and 2,6-di-*tert*-butyl-phenol (DTBP), the radical coupling product 3,3',5,5'-tetra-*tert*-butyl-[1,1'-bi(cyclohexylidene)]-2,2',5,5'-tetraene-4,4'-dione was detected by gas chromatography-mass spectrometry (GC-MS, Fig. S4[†]). These results suggested that a PCET process was occurring.

For reactions of highly oxidized metal species with phenols, proton transfer followed by electron transfer (PT-ET), electron transfer followed by proton transfer (ET-PT), and concerted



Fig. 3 (Left) X-ray crystal structure of **3**. Atoms are shown at the 50% probability level. A non-coordinated pyridine has been omitted for clarity. (Right) Experimental (black) and simulated (red) EPR spectra of **3** in CH_2Cl_2 /pyridine at -80 °C.



Scheme 2 Proposed PCET pathway for oxidation of phenols.



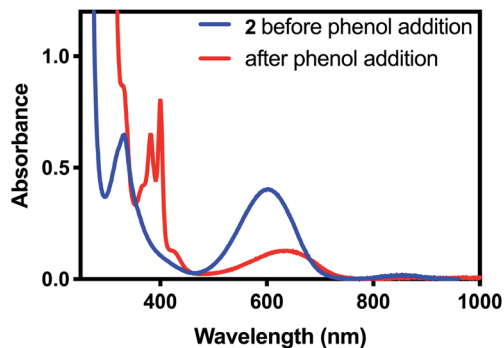


Fig. 4 UV-visible spectrum of 2 before (blue) and after (red) addition of excess tri-*tert*-butylphenol. The red trace is consistent with the published UV-visible spectrum of the tri-*tert*-butylphenoxy radical.²⁴

proton-electron transfer (CPET)/hydrogen atom transfer (HAT) mechanisms have all been observed.^{25–27} Analysis of kinetic measurements can be used to differentiate between these mechanisms. Therefore, the kinetics of the reaction of 2 with a series of *para*-substituted 2,6-di-*t*-butylphenols (4-*X*-2,6-DTBP) was studied by stopped-flow spectrophotometry in order to further investigate the mechanism of oxidation by 2. For these reactions, excess substrate was used to ensure pseudo-first order conditions (10–100 equivalents 4-*X*-2,6-DTBP). Representative UV-visible spectra as a function of time can be found in Fig. 5 and representative time traces can be found in Fig. S5 and S6.† Reactions with all substrates under these conditions fit well to a single exponential decay at a single wavelength ($\lambda = 610$ nm), for which global analysis gave k_{obs} values. Plots of k_{obs} vs. initial substrate concentration displayed a linear dependence (Fig. S7–S13†), allowing us to determine the second-order rate constant (k_2) for each substrate (Table S1†).

Measured k_2 values were plotted against the Hammett parameter σ_p^+ (Fig. 6, left). The σ_p^+ constant was chosen rather than σ_p because σ_p^+ is suggested to more accurately represent the electronic structure of the transition state for CPET from phenols²⁸ and a strong negative correlation between σ_p^+ and reaction rate is well known for this class of reactions.²⁹ Hammett



Fig. 5 Representative UV-visible spectra as a function of time for the reaction of 2 with 4-*X*-2,6-DTBP in CH₂Cl₂.

analysis showed that k_2 decreases with the electron-withdrawing capabilities of the *para*-substituent of the substrate ($\rho = -2.19$). This result is inconsistent with a rate-limiting proton transfer followed by electron transfer (PT-ET) mechanism, which would show a positive linear correlation in the Hammett plot.³⁰ The observed strong negative association is consistent with the formation of the electron deficient phenoxy radical intermediate.^{31,32} These results suggest a CPET mechanism for the reaction of 4-*X*-2,6-DTBP substrates with 2. Similarly, the plot of $\log(k_2)$ vs. BDE_{O-H} of the phenol substrates also demonstrated a strong linear correlation (Fig. 6, right).³³ In contrast, the plots of k_2 vs. substrate pK_a or $E_{1/2}$ showed a much poorer correlation (Fig. S14†). This linear dependence also suggests a CPET mechanism and is consistent with similar plots constructed for other metal-based oxidants.^{21,34,35} It should be noted that this mechanism could also be described as hydrogen atom transfer (HAT), which also operates through a concerted mechanism, and is frequently referred to as such in the literature.³⁶

H/D kinetic isotope effects ($k_2(\text{H})/k_2(\text{D})$, KIE) were also used to differentiate between the possible mechanisms. When the phenolic proton of 2,6-DTBP was replaced with a deuterium atom, the measured k_2 value decreased dramatically, and a KIE of ~ 4 was calculated (Fig. 7). A primary KIE of this magnitude implies that the cleavage of an O–H bond occurs in the rate-determining step, ruling out pathways involving rate-limiting electron transfer. This value for the KIE is also in good agreement with KIEs reported for the reaction of other metal-based oxidants with 2,6-DTBP that react *via* CPET.^{22,31}

It should be noted that pK_a and BDE values used in our analysis were measured in dimethylsulfoxide (DMSO) rather than CH₂Cl₂, due to the lack of an absolute pK_a scale in CH₂Cl₂.³⁷ The general linear trend, however, is expected to remain the same regardless of solvent, as relative BDEs and BDFEs (bond dissociation free energies) do not change significantly with solvent. To test this assumption, the BDFEs in CH₂Cl₂ of several phenol substrates were estimated by converting from DMSO values using Abraham's empirical model.^{38–40} Calculating BDFEs in this manner relies on a number of assumptions, which are discussed in more detail in the ESI (p. S20†), so the BDFE values should be treated as estimates only. Even so, we still see a strong linear correlation between $\log(k_2)$ and BDFE_{CH₂Cl₂} (Fig. S15†). In a similar fashion, we also used Ingold's kinetic solvent effect relationship to calculate the expected rate constants in DMSO,⁴¹ which we then plotted against known BDFEs and BDEs measured in DMSO (Fig. S16†). Once again, we saw a strong linear correlation, further supporting our conclusion of a CPET mechanism.

Oxidation of hydrocarbons

To further probe its CPET reactivity, 2 was also treated with a number of hydrocarbon substrates. Initial reactions of 2 with 1,4-cyclohexadiene and 9,10-dihydroanthracene produced the expected products of benzene and anthracene, as determined by gas chromatography and ¹H-NMR (Fig. S17a†). To determine the extent of reactivity of 2 with hydrocarbons, 2 was treated with a number of substrates having a range of C–H bond strengths.



Table 1 Thermodynamic and kinetic parameters of high-valent metal compounds capable of performing CPET with hydrocarbon substrates

Compound	E_1^0 (V vs. Fc/Fc ⁺)	BDE (kcal mol ⁻¹)	log(k_2) DHA ^{a,b}	log(k_2) TTBP ^{a,b}	Ref.
[Ni ^{III} (pyalk) ₂] ⁺ (2)	0.15	94	0.74	2.48	This work
Ni ^{III} (^{Me} pyN ₂)(ONO ₂) ^f	0.43	—	0.91	—	21
Ni ^{III} (^{Me} pyN ₂)(Cl) ^f	0.56	—	—	0.394 ^d	22
[(MeAN)Cu ^{III} (μ-O ₂)Ni ^{III} (^{Me} Nacnac)] ^{†g}	—	—	—	-0.82	45
[Mn ^{III} (H ₃ buea)(O)] ^{2-h}	-2.0	77	-0.53	—	25
[Mn ^{IV} (H ₃ buea)(O)] ^{-h}	-1.0	89	-1.59	—	25
[Fe ^{III} (PY5)(OCH ₃) ₂] ²⁺ⁱ	0.73	84	-2.25	-0.22 ^e	46
Cu ^{III} (^{iPr} pyN ₂)(OH) ^j	-0.074	90	2.27	—	34
Ru ^{IV} (bpy) ₂ (py)(O)	0.48 ^c	84	2.09	—	35, 47, and 48

^a k_2 = second-order rate constant for reaction with the designated substrate, measured at 25 °C unless otherwise noted. ^b DHA = 9,10-dihydroanthracene, TTBP = tri-*tert*-butylphenol (4-*t*Bu-2,6-DTPB). ^c Vs. SCE. ^d Measured at -40 °C. ^e Measured at -50 °C. ^f ^{Me}pyN₂ = *N,N'*-(2,6-dimethylphenyl)-2,6-pyridinedicarboxamide. ^g MeAN = *N,N,N',N',N'*-pentamethyl-dipropylentriamine and ^{Me}Nacnac = [HC(CMeNC₆H₃(ⁱPr)₂)₂]. ^h H₃buea = tris[(*N'*-*tert*-butylureaylato)-*N*-ethylene]amine. ⁱ PY5 = 2,6-bis(bis(2-pyridyl)methoxymethane)pyridine. ^j ^{iPr}pyN₂ = *N,N'*-bis(2,6-diisopropylphenyl)-2,6-pyridinedicarboxamide.

taken as a model compound for free pyalkH, suggesting a modest O–H bond weakening on binding.

By cyclic voltammetry, **4** also showed a quasi-reversible redox feature in MeCN at $E_{1/2}^0 = 0.58$ V vs. Fc/Fc⁺ (Fig. 10). Using the BDFE calculated from eqn (1) and the square-scheme relationship, we can estimate the value for pK_{a2} to be ~18, 7 pK_a units below the calculated pK_{a1} of 25.

The reactivity of **2** with 2,6-DTBP and DHA compares favorably with other reported high-valent metal-oxo and metal-hydroxo complexes capable of CPET or HAT (Table 1). **2** reacts with DHA at a faster rate than several manganese- and iron-oxo complexes. **2** also compares extremely well with high-valent metal alkoxide and carboxylate compounds, including the only other reported Ni(III) systems, which tend to react with C–H bonds at slower rates than their metal-oxo counterparts. We hypothesize that the particularly strong BDE of the ligand O–H bond formed and the strong oxidizing power of **2** contribute to its high reactivity toward O–H and C–H bonds. This result demonstrates that fast CPET can be achieved in high-valent metal systems without necessarily going through a metal-oxo or metal-hydroxo intermediate, a result relevant to several proposed water-oxidation mechanisms in artificial photosynthetic systems of cobalt,⁴⁹ copper,¹¹ and nickel.⁵⁰ This result also demonstrates that high-valent metal-alkoxide systems are capable of attacking strong C–H and O–H bonds, which is particularly relevant to water-oxidation catalysis. The pyalk ligand thus proves particularly useful for catalytic oxidations of this type.

Conclusions

We have synthesized and characterized a Ni(III)-alkoxide compound capable of reacting with strong C–H and O–H bonds at appreciable rates. A strong linear dependence between the second-order rate constant, k_2 , and substrate bond dissociation enthalpy indicates a CPET mechanism. Large H/D kinetic isotope effects also support this assignment. We attribute the fast reactivity of **2** to the strong O–H BDE of the pyalk/pyalkH supporting ligand and the high oxidizing power of the

complex. This result demonstrates that fast PCET can occur in high-valent metal oxidants without a metal-oxo unit, which may be relevant to certain nickel-containing enzymes or water-oxidation catalysts of cobalt, copper, or nickel. This report also provides the first full thermodynamic analysis of CPET by a high-valent nickel complex. The value of pyalk as a ligand for catalytic oxidations is further supported.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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