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Molecular design of near-infrared fluorescent Pdots for tumor targeting: aggregation-induced emission *versus* anti-aggregation-caused quenching†

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Semiconducting polymer dots (Pdots) have recently emerged as a new type of ultrabright fluorescent probe that has been proved to be very useful for biomedical imaging. However, Pdots often suffer from serious fluorescence aggregation-caused quenching (ACQ) especially for near-infrared (NIR) fluorescent Pdots. This article compared two strategies to overcome the ACQ effect in near-infrared emissive Pdot systems: aggregation-induced emission (AIE) and anti-aggregation-caused quenching (anti-ACQ). The results show that the anti-ACQ platform outperforms the AIE system. The fluorescence quantum yield of anti-ACQ-based Pdots can be over 50% and the average per-particle brightness of the Pdots is about 5 times higher than that of the commercially available quantum dots. To help understand why the monomer conformations could greatly affect the optical properties of Pdots, molecular dynamics simulations were performed for the first time in such complicated Pdot systems. To demonstrate applications for *in vivo* fluorescence imaging, both microangiography imaging on living zebrafish embryos and specific tumor targeting on mice were performed. We anticipate that these studies will pave the way for the design of new highly fluorescent Pdot systems.

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Introduction

In vivo biomedical fluorescence imaging has been extensively used to study physiological and pathological models of human diseases, with advantages of its real-time/wide-field acquisition ability, exceptional spatial resolution, and non-invasive visualization. Over the past years, fluorescence imaging has been successfully translated into a clinical imaging modality due to its exciting advances in the development of fluorescence-guided surgery systems in human patients, including the fluorescence-assisted resection and exploration (FLARE) imaging system and

commercialized SurgVision platform.^{1–4} Despite the fast-growing progress in *in vivo* fluorescence imaging, traditional excitation wavelengths in the visible region (400–700 nm) have hindered its clinical use due to the significant photon attenuation by endogenous molecules.^{5,6} Compared with the visible light spectrum, the near-infrared (NIR) window (700–1700 nm) can travel several millimetres to centimeters in most mammalian tissues due to less light scattering/absorption, and reduced interference from tissue autofluorescence. However, the currently FDA-approved NIR fluorophores still have major limitations. For example, indocyanine green and methylene blue have no specific targeting ability to specific tissues and their net charges on the chemical structures often cause non-specific adsorption to proteins. Therefore, an increasing amount of research has been devoted to the development of functional NIR fluorescent agents including nanoparticle-based probes.^{7–15}

Recently, semiconducting polymer dots (Pdots) have emerged as a new type of organic fluorescent nanoparticle with extraordinary fluorescence brightness, high photostability, large Stokes shift, and enhanced energy-transfer properties.^{16–28} As compared to other nanoparticle-based fluorescent probes such as dye-doped polymeric nanoparticles or semiconductor quantum dots, the advantages of Pdots include facile surface functionalization, excellent biocompatibility (surfactant free

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and no leaching issue of heavy metal elements), and superior absorption cross-sections, making them extremely suitable for biological and medical applications.^{26,29–41} Despite the many advantages, the exploitation of NIR-emitting Pdots for *in vivo* bioimaging has been hampered by their low fluorescence quantum yields due to the serious fluorescence aggregation-caused quenching (ACQ) in Pdot form.^{42,43} Chiu's and our groups have focused on ameliorating the ACQ effect by either reducing the molar concentrations of NIR monomers or introducing steric hindrance on the polymer backbones.^{44–47} While the low molar concentration of NIR units compromises the photostability of the resulting Pdots,⁴⁷ the incorporation of bulky π -bridges into the polymer backbones^{45,47} or the design of hyperbranched/dendronized architectures on the polymers^{44,46} in an effort to suppress self-quenching remains the main strategy to obtain NIR fluorescent Pdots with both high brightness and good photostability.

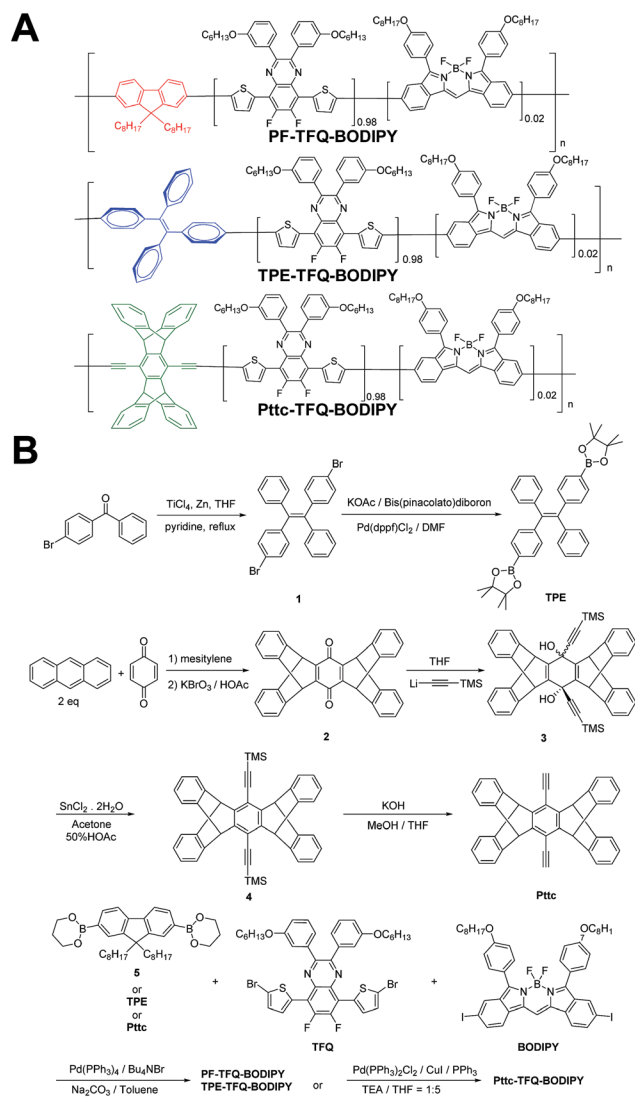
Almost all of the aforementioned studies employed light-harvesting fluorene segments as the energy donor in semiconducting polymers but the absorption of polyfluorene (350–400 nm) in the ultraviolet region is less friendly for biological imaging. In our previous studies, we have used fluorene as a bridge extender rather than an energy donor and at the same time tailored fluorene with carboxyl groups on its side chains for specific cellular labeling.⁴⁷ In this scenario, however, fluorene simply serves as the polymer linker and functional group but barely contributes to steric hindrance. Herein, we designed and synthesized two donor-type monomers with opposite characteristics, a tetraphenylethene (TPE)-based aggregation induced emission (AIE)-active fluorogen and a rigid three-dimensional pentiptycene (Ptcc)-based moiety; and then compared their optical performance with the fluorene counterparts. We further performed molecular dynamics simulations on our Pdot systems and then compared them with our experimental results.

Results and discussion

Our aim was to tackle the commonly encountered ACQ problem of Pdots, especially for NIR-fluorescent Pdots due to the rigid and flat scaffolds of NIR semiconducting polymers. We would like to obtain NIR-emitting Pdots with high fluorescence quantum yields by altering the chemical conformations of the donors (*i.e.* bridge extenders in this work) to circumvent π - π stacking as shown in Scheme 1. We also conducted theoretical calculations of these molecules to understand how the donors affect the optical properties of the resulting Pdots. Their biological applications including *in vitro* specific cellular imaging and *in vivo* tumor targeting were also demonstrated.

Design and synthesis of narrow-band and NIR fluorescent Pdots with different donors

The general synthetic procedures for the monomers and polymers are described in Scheme 1. Herein, we selected BODIPY as our acceptor because of its narrow-band and NIR fluorescence properties, while the monomer TFQ served as our π -bridge owing to the substantial spectral overlap between the TFQ



Scheme 1 Schematic showing (A) the chemical structures of three NIR semiconducting polymers with different donors and (B) their synthetic routes.

emission (~ 660 nm) and the BODIPY absorption (~ 700 nm) for efficient energy transfer.^{45,48} For the choice of donors, fluorene served as the control because it is most commonly used in Pdot-based systems. AIE-active TPE and sterically bulky three-dimensional Ptcc donors were synthesized to compare with fluorene. The three donors (*i.e.* fluorene, TPE, and Ptcc) were synthesized according to previously reported studies with modified procedures,^{42,49–53} while the π -bridge (TFQ) and the NIR acceptor (BODIPY) were synthesized based on our reported methods.^{45,47} Finally, monomers were polymerized through palladium-catalyzed Suzuki or Sonogashira coupling, yielding three NIR fluorescent semiconducting polymers, PF-TFQ-BODIPY, TPE-TFQ-BODIPY, and Ptcc-TFQ-BODIPY.

Preparation and characterization of Pdots

The preparation of Pdots and the subsequent surface functionalization for bioconjugation are illustrated in Fig. 1A. We



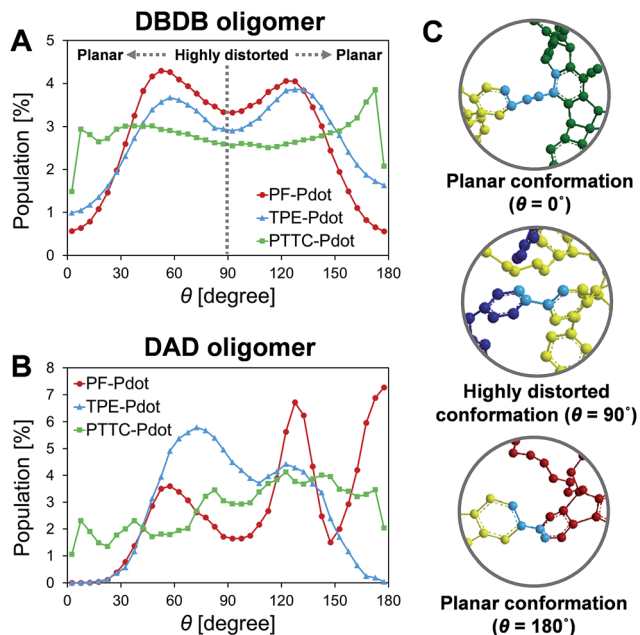


Fig. 4 Distributions of the torsional angle between (A) the donor and bridge on the DBDB oligomers, and between (B) the donor and acceptor on the DAD oligomers; (C) the representative planar conformations ($\theta \sim 0^\circ$ or $\sim 180^\circ$) and highly distorted conformation ($\theta \sim 90^\circ$), where the torsional angles are marked in light blue color and hydrogen atoms are not shown for clarity.

would break down the energy transfer pathway. Thus, even though the DAD oligomer in PF-TFQ-BODIPY has the least population of the highly distorted conformation, most of the energy transfer is interrupted on the pathway from donor to bridge fragments due to the distorted DBDB oligomers. Similarly, for TPE-TFQ-BODIPY, the interruption of energy transfer may occur frequently in the region between the donor and acceptor. In the case of Pttc-TFQ-BODIPY, the energy transfer pathway would be the most effective due to the good population in near planar geometry in both torsional angle statistics. The relatively flat distribution of the torsional angle for Pttc-TFQ-BODIPY is due to the triple bonds connecting donor fragments with acceptor or bridge fragments, as one such example is shown in the top panel of Fig. 4C.

Another important factor affecting the fluorescence quantum yield is the competition between the non-radiative quenching process and light-emitting process. Recently, it has been found that a deformed BODIPY (the acceptor, emitting fragment) promotes a non-radiative quenching process, but a perfect planar BODIPY follows a light-emitting process.^{66,67} To characterize the structural features, we defined a parameter, D_{GD} , for evaluating the deformation of BODIPY deviating from

a perfectly planar BODIPY, where $D_{GD} = \sum_i \sqrt{(R_i - R_i^{\text{planar}})^2}$,

and R_i and R_i^{planar} are the position of the i_{th} atom on a deformed and a perfectly planar BODIPY, respectively. The atoms used to assess D_{GD} only include the conjugated moiety of BODIPY as shown in the inset of Fig. 5A. A small value of D_{GD} indicates

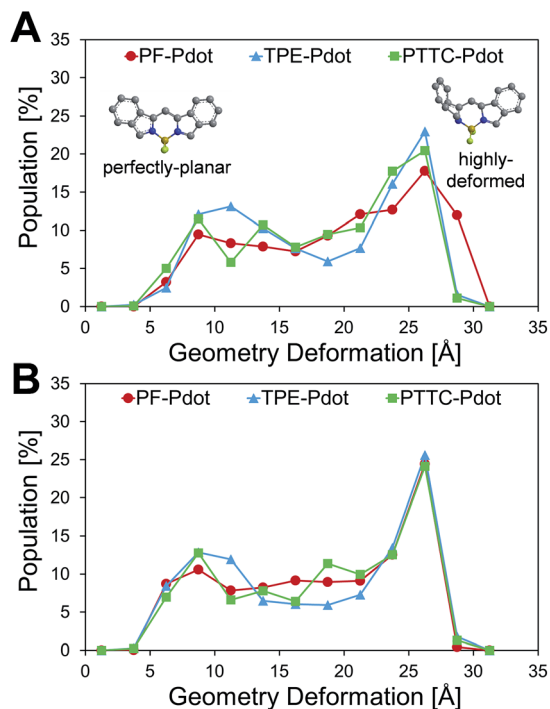


Fig. 5 Distributions of the geometry deformation of BODIPY (D_{GD}): (A) oligomer models and (B) monomer models, where the inset shows the perfectly planar conformation and the highly deformed conformation of the conjugated moiety of BODIPY.

a planar structure, and a high value indicates a highly deformed case. In Table 2, the average deformation parameter (D_{GD}) in PF-TFQ-BODIPY, TPE-TFQ-BODIPY, and Pttc-TFQ-BODIPY is 19.6 ± 6.7 , 18.4 ± 6.9 , and 18.6 ± 6.6 , respectively. In Fig. 5A, a further inspection of D_{GD} distribution shows that BODIPY in PF-TFQ-BODIPY bears more highly deformed conformations ($D_{GD} > 30$) and fewer less-deformed conformations ($D_{GD} < 15$) in comparison with TPE-TFQ-BODIPY and Pttc-TFQ-BODIPY. These results imply that BODIPY in PF-TFQ-BODIPY may follow a non-radiative quenching process more easily than TPE-TFQ-BODIPY and Pttc-TFQ-BODIPY. We have also performed a series of calculations with monomer systems where donor, bridge, or acceptor molecules were not linked. The averages and distribution profiles of D_{GD} are almost the same for the three monomer-Pdot systems (see Fig. 5B and Table 2). Our result indicates that the deformation of acceptor BODIPY depends on the linkage with donor molecules. And we believe that the discrepancy between the three Pdot systems becomes more notable with increasing polymer chain length.

Table 2 Average Geometry Deformation (D_{GD}) of the BODIPY fragment for the oligomer systems and monomer systems

	Monomer	Oligomer
PF-TFQ-BODIPY	18.1 ± 7.0	19.6 ± 6.7
TPE-TFQ-BODIPY	18.0 ± 7.4	18.4 ± 6.9
Pttc-TFQ-BODIPY	18.4 ± 6.9	18.6 ± 6.6



Therefore, the high quantum yield of Pttc-TFQ-BODIPY is likely due to easier energy transfer among fragments, and a less deformed structure of BODIPY, while the structural characters for PF-TFQ-BODIPY are opposite, leading to a low energy transfer rate and high probability of quenching in BODIPY. The structural characters in the simulations for TPE-TFQ-BODIPY are in between. The structural features from MD simulations allow us to understand the trend of fluorescence quantum yield for the three Pdots in Table 1.

The red-shift in absorption spectra takes place around 500 nm, which is an overlapped region for all donors, bridges and acceptors. In Fig. 6, it is seen that the chromophore core of PF is a planar moiety with two dangling side chains, which allow strong π - π stacking. In contrast, Pttc is a rather bulky 3-dimensional structure, while TPE has a twisted conformation. The steric hindrances avoid the formation of π - π stacking. Therefore, TPE-TFQ-BODIPY and Pttc-TFQ-BODIPY systems have a similar red-shift from the solution state to the thin film state (*i.e.*, 16 nm and 14 nm, respectively), while the PF-TFQ-BODIPY system experiences the largest red-shift (*i.e.*, 24 nm) in the absorption.

With the aid of MD simulations, we are now able to elucidate the emission data shown in Fig. 2D. For PF-TFQ-BODIPY and Pttc-TFQ-BODIPY under good solvent conditions (0% volume fraction of water), a polymer undergoes dynamic intramolecular motions, such as rotation and vibration, which provides a possible way to non-radiatively quench its excited states and results in the absence of light-emission at 700–800 nm. As the fraction of water is increased to 60%, it may approach the theta condition for both systems, where the polymers behave like ideal chains, which slows down intramolecular motions and promotes the fluorescence quantum yield to the maximum.

Further increase of the water fraction leads to the so-called ACQ effect in PF-TFQ-BODIPY. However, when Pttc-TFQ-BODIPY begins to form aggregates, its bulky steric hindrance prevents a strong face-to-face π - π stacking interaction, which is regarded as the anti-ACQ effect.^{68,69} For TPE-TFQ-BODIPY, on the other hand, the fluorescence quantum yield remains at the same level with a minor fluctuation as the solvent quantity is changed. It is worth noting that the monomer TPE has a special property of AIE.^{70,71} Therefore, the moderate emission quantum yield of TPE-TFQ-BODIPY at a high water content is understandable. The relatively insensitive quantum yield with water content in solution seemingly indicates that the photophysics of TPE is altered in the polymer such that the rotation of the free phenyl groups may still be somewhat hindered in the solvated polymer, leading to relatively good emission with low water content in the solvent.

Single-particle fluorescence brightness comparison

Single-particle brightness is a significant parameter to assess the performance of a new type of fluorescent probe. In theory, the fluorescence brightness can be estimated by the product of the fluorescence quantum yield and peak absorption cross-section. Thus, we summarized the related optical properties of the Pdots in Table 3 and then compared them with commonly used NIR fluorescent dyes and inorganic quantum dots. The per-particle absorption cross-sections (σ) of the Pdots were determined from the UV-visible absorbance of known Pdot concentrations (Beer's law), while their absolute fluorescence quantum yields (Φ) were measured directly by using an integrating sphere unit. The data shown in Table 3 indicate that the Pdots exhibit extraordinary fluorescence brightness, that is 1–4 orders of magnitude higher than that of conventional organic NIR dyes. As compared to inorganic quantum dots, our Pdots reveal fluorescence brightness 1.5–7 times higher than that of Qdot705 quantum dots. Among these three Pdot systems, Pttc-TFQ-BODIPY Pdots show the highest brightness due to their remarkable fluorescence quantum yield. Besides, these Pdots possess a very narrow emission bandwidth with a full width at half-maximum of ~ 44 nm, which is comparable to those of small organic dyes. In case the probes are excited with a conventional 473 nm laser, Pttc-TFQ-BODIPY Pdots are

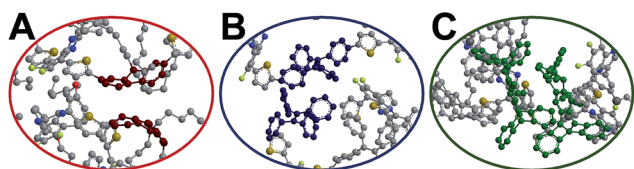


Fig. 6 Snapshot of the chromophore core of the oligomer pairs in (A) PF-TFQ-BODIPY, (B) TPE-TFQ-BODIPY, and (C) Pttc-TFQ-BODIPY, where hydrogen atoms are not shown for clarity.

Table 3 Photophysical data of BODIPY-based NIR Pdots in water compared with other water-soluble typical NIR dyes

Fluorescent probes	$\lambda_{\max}^{\text{abs}}$ (nm)	$\lambda_{\max}^{\text{em}}$ (nm)	f_{whm}^e (nm)	ϵ_{\max}^f ($\text{M}^{-1} \text{cm}^{-1}$)	σ^g (cm^2)	Φ (%)	Brightness ^h ($\sigma \times \Phi$) (cm^2)
ATTO740 ^a	740	764	43	1.20×10^5	4.58×10^{-16}	10	4.58×10^{-17}
Cy 5.5 ^b	674	694	44	1.95×10^5	7.45×10^{-16}	23	1.71×10^{-16}
NIR7.0–2 ^b	777	798	50	1.20×10^5	4.58×10^{-16}	2.5	1.15×10^{-17}
Qdot 705 ^c	405 (473)	707	72	$8.30 (3.60) \times 10^6$	$3.17 (1.38) \times 10^{-14}$	82	$2.60 (1.13) \times 10^{-14}$
PF-TFQ-BODIPY	512 (473)	723	45	$1.43 (1.14) \times 10^8$	$5.46 (4.35) \times 10^{-13}$	7	$3.82 (3.05) \times 10^{-14}$
TPE-TFQ-BODIPY ^d	493 (473)	727	44	$1.01 (0.95) \times 10^8$	$3.86 (3.61) \times 10^{-13}$	37	$1.43 (1.33) \times 10^{-13}$
Pttc-TFQ-BODIPY ^d	490 (473)	724	44	$1.01 (0.97) \times 10^8$	$3.86 (3.71) \times 10^{-13}$	51	$1.97 (1.89) \times 10^{-13}$

^a Data from the ATTO-TEC catalog. ^b Data from C. Bouteiller, G. Clavé, A. Bernardin, B. Chipon, M. Massoneau, P.-Y. Renard, A. Romieu. *Bioconjugate Chem.* 2007, **18**, 1303–1317. ^c Data from Invitrogen (Thermo Fisher Scientific). ^d Pdots in deionized water. ^e Full width at half maximum. ^f Mean extinction coefficient. ^g Mean absorption cross-section. ^h Mean fluorescence brightness.



~7 times brighter than Qdot 705 quantum dots (values are displayed inside the brackets in Table 3).

To experimentally confirm the above-mentioned values, we performed single-particle fluorescence imaging on both Pttc-TFQ-BODIPY Pdots and Qdot 705 quantum dots. The results in Fig. 7 reveal that the average per-particle brightness of Pttc-TFQ-BODIPY is about 5 times higher than that of Qdot 705, in which the intensity histograms are collected based on the analysis of hundreds to thousands of nanoparticles for both samples. Single-particle photobleaching trajectories (Fig. 7E) indicate that the Pdots are photostable under laser excitation (1 mW) for 150 s. For Qdot 705, no emission could be seen after 120 s. Moreover, Qdot 705 nanocrystals exhibit well-known fluorescence intermittency (blinking) behavior, while the Pdots emit stable and continuous fluorescence. The bright and nonblinking fluorescence is of importance for single-particle imaging applications.

Surface functionalization of the Pdots for specific cellular labeling

We further employed the Pdots for specific cellular labeling to demonstrate their biological uses. To endow the Pdots with

labeling ability, we first conjugated streptavidin with carboxyl-functionalized Pdots *via* 1-ethyl-3-[3-(dimethylamino)propyl]-carbodiimide hydrochloride (EDC)-catalyzed coupling (Scheme 1A). After bioconjugation, the Pdot-streptavidin conjugates together with biotinylated CD326 EpCAM antibodies were incubated with MCF-7 cells, allowing the Pdots to target the membrane proteins of MCF-7 cells. Fig. 8A shows that the Pdots could be specifically targeted onto the surfaces of MCF-7 cells in the presence of the biotinylated antibody. In contrast, minimal nonspecific adsorption could be detected for the negative control sample (Fig. 8B, in the absence of antibodies). Moreover, flow cytometry measurements were executed to further confirm the specificity of Pdot-streptavidin conjugates. As shown in Fig. 8C, a good separation between the Pdot-labeled cells (red line, in the presence of antibodies) and the negative control samples (black line, in the absence of antibodies) was clearly seen, in which the results are consistent with the images from confocal microscopy. In addition to specific cellular labeling, the cytotoxicity of Pdots is also an important criterion

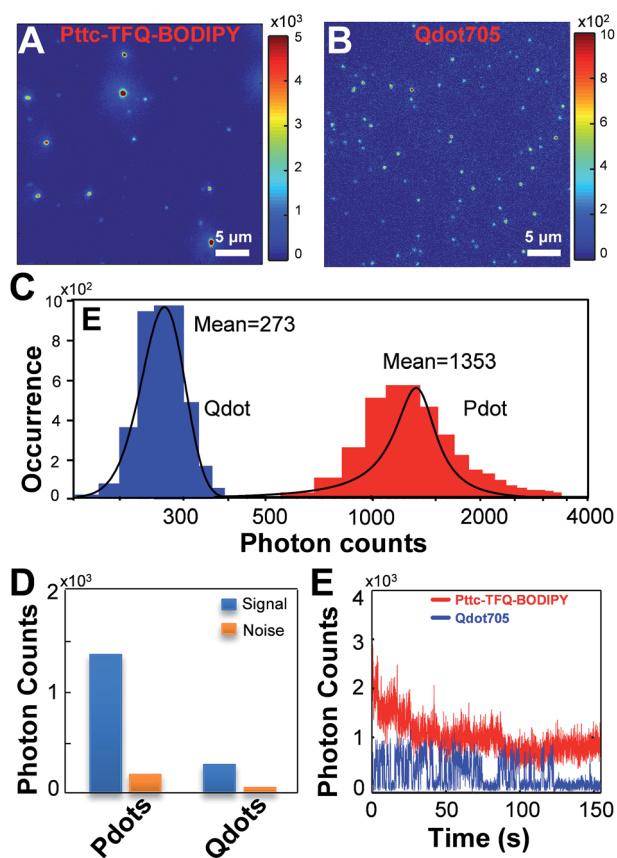


Fig. 7 Single-particle fluorescence images of (A) Pttc-TFQ-BODIPY Pdots and (B) commercial Qdot 705 quantum dots. Their corresponding (C) intensity distributions and (D) intensity histograms of signal to noise. (E) Single-particle photobleaching trajectories of Pttc-TFQ-BODIPY (red line) and Qdot 705 (blue line) under a 473 nm laser (1 mW). All of the images were acquired with a 473 nm laser under the same experimental conditions.

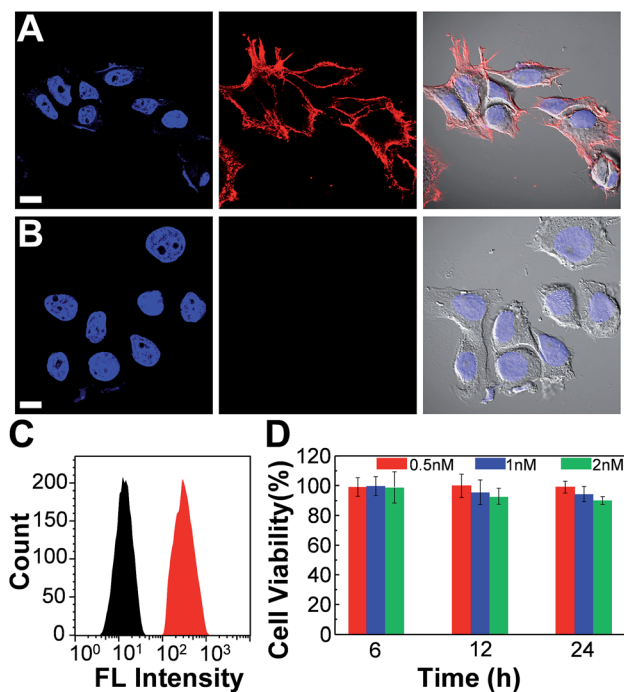


Fig. 8 Confocal fluorescence images of MCF-7 cells labeled with Pdot-streptavidin conjugates and the cytotoxicity along with flow cytometry results. (A) Blue fluorescence (left panel) is from nuclear counterstain Hoechst 34 580 and red fluorescence (middle panel) is from Pdot-streptavidin. The right panel displays the bright-view image overlaid with blue and red fluorescence. (B) Images of negative control samples in which the cells were incubated with Pdot-streptavidin conjugates but in the absence of biotinylated primary antibodies. The scale bars are 30 μm. (C) Flow cytometry measurements of Pdot-labeled MCF-7 cells. The black line shows the fluorescence intensity distribution of the negative control sample in which primary biotin anti-human CD326 EpCAM antibodies were absent. The red line displays the result of Pdot-streptavidin labeled cells in the presence of biotinylated antibodies. (D) The impact of the Pdots on the cell viability after 6, 12, and 24 h of incubation time at different concentrations. The results were determined by using MTT assays.



frameworks could efficiently suppress the ACQ effect, resulting in an improved quantum yield of over 7 times higher than that of the planar structure, or ~ 1.4 times higher than that of the AIE-based conformation. Molecular dynamics simulation suggests that the bulky steric hindrance prevents the strong face-to-face π - π stacking interaction of polymer backbones inside the Pdot matrix and outperforms the influence of AIE-active fluorogens. To demonstrate their bioconjugation ability, *in vitro* specific cellular imaging as well as *in vivo* tumor targeting in mice by using these Pdots were also realized. We believe that these results can provide valuable information for the future molecular design of semiconducting polymers in Pdot or nanoparticle-related fields.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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