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Chemical approaches for the enhancement of 5-aminolevulinic acid-based photodynamic therapy and photodiagnosis

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The administration of 5-aminolevulinic acid (ALA) to generate enhanced intracellular levels of endogenous porphyrins is currently one of the most important approaches for photodynamic therapy (PDT) and photodiagnosis (PDD). Despite the great promise of ALA-based PDT, the physicochemical behaviour and chemical reactivity of ALA are problematic, and a variety of chemical approaches have been brought to bear to improve cellular delivery, enhance porphyrin production, and generate ALA prodrugs that have appropriate stability for convenient clinical use, as well as selectivity for cancerous tissues. While there has been considerable success, there are still a number of challenges to be addressed and opportunities to be exploited through application of chemical insight in this area.

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Introduction

In the last 40 years, 5-aminolevulinic acid (ALA) has attracted tremendous interest in the field of PDT and photobiology.^{1–5} Alongside the emergence of clinical applications and approvals for ALA-PDT for the treatment of cancerous and precancerous

conditions, as well as in photodiagnosis (PDD) and fluorescence-guided surgery, it has become apparent that there is a real need for better ways to administer ALA and also to manipulate its bioconversion to protoporphyrin IX (PpIX) *via* the heme cycle (Scheme 1).^{6,7} The aim of this review is to cast a focus on some of the chemical strategies that have been developed to improve the performance of ALA in photo-medicine, and the opportunities that exist for future exploration by medicinal chemists.

ALA is an early intermediate in the heme biosynthetic pathway and is not a photosensitiser itself (Scheme 1).

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Kunal Tewari received his PhD from University of Bath, Bath, UK in 2016 under the supervision of Dr Ian Eggleston. His research involved development of novel strategies for enhancement of delivery of 5-aminolevulinic acid (5-ALA) to specific cell types using targeted ALA dendrimeric prodrugs. He is currently working as a research associate at the University of Strathclyde and his current focus is centred around biomaterials science, especially the development of peptoid nanosheets.



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Ian Eggleston received his D.Phil. in Chemistry in 1990 from the University of Oxford, UK, and carried out postdoctoral work at the University of Wisconsin–Madison (USA), CNRS-INSERM Montpellier (France), and the University of Lausanne. In 1997 he joined the University of Dundee as Lecturer in Synthetic Organic Chemistry and moved to the University of Bath in 2006, where he is now Reader in Medicinal Chemistry. His research interests include the development of peptide-targeted agents for photodynamic therapy and light-triggered drug delivery, especially the synthesis of novel peptide-based prodrugs of 5-aminolevulinic acid.

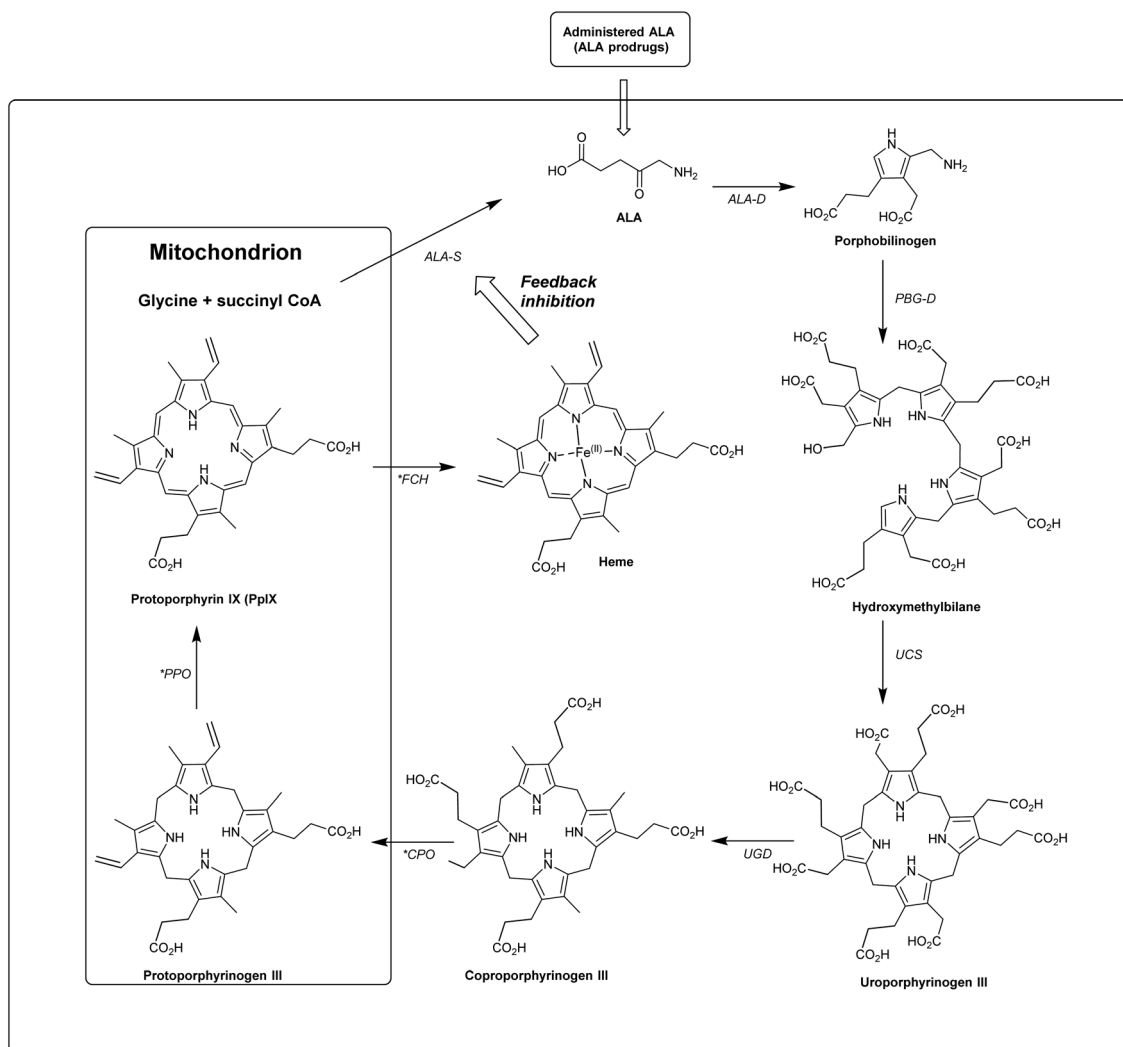




Scheme 1 5-Aminolevulinic acid (ALA) and its bioconversion to protoporphyrin IX (PpIX).

ALA-PDT and related techniques exploit instead the downstream generation of the active sensitizer protoporphyrin IX (PpIX), which is the penultimate species that is converted to heme. ALA can therefore be regarded as a prodrug for both PDT and photodiagnosis. The heme biosynthetic pathway is shown in detail in Scheme 2 and begins with a condensation

reaction between glycine and succinyl-CoA in the mitochondrion to form ALA which becomes the source of C and N atoms for the construction of the heme macrocycle. This reaction is catalysed by ALA synthase (ALA-S), and the synthesized ALA then enters the cytosol where a condensation reaction between two units of ALA, mediated by ALA dehydratase (ALAD), yields the pyrrole derivative, porphobilinogen (PBG). Four units of PBG are combined to generate a linear tetrapyrrole intermediate under the influence of porphobilin deaminase (PBG-D), followed by cyclisation by the enzyme uroporphyrinogen III cosynthase (UCS) to give the cyclic tetrapyrrole intermediate, uroporphyrinogen III. This intermediate now undergoes a decarboxylation of the four acetate side chains to methyl groups (catalysed by uroporphyrinogen decarboxylase, UGD) to give coproporphyrinogen III, which is then carried to the mitochondrion. Further oxidative steps catalysed by coproporphyrinogen oxidase (CPO) and protoporphyrinogen oxidase (PPO) then lead to formation of PpIX. Insertion of Fe^{2+}



Scheme 2 The heme biosynthetic pathway. 5-Aminolevulinic acid (ALA). Key steps that may be targeted chemically to maximise PpIX production are highlighted (*). Modified from Thunshelle *et al.*⁷



into PpIX, mediated by ferrochelatase (FC), ultimately completes the heme cycle, and under normal physiological conditions, the whole pathway and thus the production of porphyrins is tightly controlled by negative feedback by heme itself which thus controls ALA synthesis. In a clinical setting, the administration of exogenous ALA or a suitable derivative bypasses this control mechanism and the rate-limiting step in the pathway becomes the final insertion of iron into PpIX by ferrochelatase. The net result is the temporary overproduction and accumulation of excess PpIX in cells which then renders them photosensitive and susceptible to PDT. The preferential accumulation of PpIX in rapidly dividing tumour cells relative to healthy cells not only provides ALA-PDT with an inherent selectivity relative to PDT with other photosensitisers, but also presents the means to accurately image the location of tumour tissue for PDD. From a medicinal chemistry perspective, the challenge is to develop ways to optimise the amount of ALA that is available to enter into the heme cycle and its subsequent conversion into PpIX within selected tissues. This can be tackled in one of two ways: either by manipulating a specific enzyme-catalysed reaction in the heme cycle with small molecules in order to maximise PpIX production from administered ALA, or by enhancing the delivery of ALA across biological barriers to the cells of interest by incorporating ALA into derivatives with more suitable physicochemical and targeting properties and from which ALA is then released (ALA prodrugs).

Drawbacks of ALA-PDT/PDD and strategies to improve its effectiveness

ALA is a zwitterion at physiological pH, and as such its hydrophilic nature severely impairs its passage through biological barriers to ultimately reach and enter the cells of interest.³ This is a significant challenge for topical application of ALA in dermatology where penetration through the lipophilic stratum corneum^{7,8} must be achieved, and has motivated many chemical and non-chemical approaches to overcome issues of low penetration and non-homogeneous distribution in the targeted tissues. The bioavailability of ALA itself is also limited when administered parenterally, and has been associated with significant adverse effects.³

A variety of formulation-based chemical penetration enhancers have been investigated for topical ALA administration including, dimethyl sulfoxide (DMSO),⁹ 1-[2-(decylthio)-ethyl] azacyclopentan-2-one (HPE-101),¹⁰ glycerol monooleate,¹¹ 6-ketocholestanol (2% w/w)¹² and oleic acid.¹³ Recently, nanoemulsion-based formulations of ALA such as BF-200 ALA¹⁴ have begun to show very promising clinical applications, particularly for the treatment of actinic keratoses (AK), and can not only enhance penetration of ALA through the stratum corneum, but also can improve the long term stability of the prodrug.¹⁵

This highlights a further challenge for both the clinical application of ALA and also strategies for chemical modification. At physiological or alkaline pH, ALA and simple ester

derivatives (see below), are unstable with respect to self-condensation through formation of a dimeric Schiff base. Buffered solutions of ALA at physiological pH discolour even at low temperature due to decomposition of ALA, and thus stock solutions for clinical use would typically need to be freshly prepared.¹⁶ The instability of ALA under neutral and alkaline conditions has been investigated in some detail, and under alkaline conditions, it has been shown that ALA readily undergoes dimerization to form 2,5-dicarboxyethyl-3,6-dihydropyrazine (DHPY), which oxidises spontaneously to 2,5-dicarboxyethylpyrazine (PY, Scheme 3).^{17,18} Other transformations of the ALA dimer to form porphobilinogen (PBG) and pseudoporphobilinogen have also been proposed.^{18–20}

In addition to the drawbacks associated with the physical properties of ALA and its chemical stability, a further problem that needs to be addressed for both topical and systemic ALA delivery is to ensure an adequate discrimination between normal and diseased (*i.e.* tumour) cells. In this case, the challenge for the chemist is to design ALA derivatives which are either selectively targeted towards particular cell types, or which release ALA only in the right biological context in the presence of a suitable enzyme activity. Many of the chemical strategies that have been devised to enhance ALA-induced PpIX production do indeed rely on this kind of prodrug approach,⁶ and this therefore forms a major part of the rest of this review.

Alkyl ester prodrugs

The synthesis of ALA esters has so far proved to be the most effective and straightforward prodrug approach. The methyl ester of ALA (Metvix or MAL) **1a** is indeed now a mainstay for the treatment of AK by both conventional and daylight PDT, as well as being clinically approved for the treatment of basal cell carcinomas (BCC). The hexyl ester **1f** has been approved for fluorescence diagnosis in the bladder as Hexvix (now Cysview).⁷

For these clinically approved examples, and also for many other esters of ALA, it has been shown that their enhanced lipophilicity compared to ALA itself gives rise to better cellular permeability and thus quicker, more homogeneous, and more effective PpIX production in a variety of cell models. Many ALA ester prodrugs have been synthesized with linear or branched alkyl ester groups, and a selection of these are shown in Fig. 1.³ The preparation of such derivatives from the corresponding alcohols is straightforward and easily scaled-up to multi-gram quantities. Like ALA itself, simple esters have limited stability at neutral pH, although they may be stored in solution at pH 4 without significant degradation.²¹

Peng *et al.* were the first to report a comparative study on the effectiveness of a series of ALA esters against ALA. This showed that the methyl, ethyl and propyl esters **1a–c** produced more PpIX fluorescence in both murine and human tissues compared to free ALA.²² Similar observations were made by Kloek *et al.*^{23,24} and Gaullier *et al.*²⁵ with straight-chain ALA





Scheme 3 Instability of ALA at physiological pH and chemical conversion to non-heme precursors.



Fig. 1 Aliphatic ester prodrugs of ALA **1a–i** and **2a–i** with a linear or branched alkyl component (reviewed by Fotinos *et al.*³).

esters up to the octyl ester **1h**. Gaullier reported that for the lipophilic ALA esters **1f–h**, PpIX production was 30–150 times more efficient than for free ALA, thus suggesting faster cellular uptake of the prodrugs and ALA-induced PpIX formation, with the maximum benefit being observed for the hexyl ester **1f**. The improved performance of shorter chain esters compared to ALA upon topical administration to the skin is therefore more consistent with increased penetration through tissue towards the target cells, rather than enhanced cellular uptake as a result of a greater lipophilicity.²⁵ Several studies have confirmed that simply changing the lipophilicity of aliphatic ester prodrugs of ALA is not the only factor that must be considered

when seeking to optimise delivery and PpIX production. For example, Uehlinger *et al.*²⁶ noted that the hexyl ester **1f** produced an order of magnitude greater PpIX fluorescence than the corresponding cyclohexyl ester **3e** (see Fig. 2) even though both the conjugates had similar log *P* values. More recently, DiVenosa *et al.*²⁷ reported the evaluation of the undecyl ester **1i** of ALA which accumulates rapidly in LM3 breast cancer cells to generate PpIX, by virtue of its high lipophilicity, but is much less effective when applied topically to the skin due to slow diffusion across the stratum corneum.

The utility of ALA ester prodrugs of course depends critically upon the efficient release of ALA by cellular esterases upon internalisation. For most simple aliphatic esters, this is not problematic, however a comprehensive study by Whitaker *et al.* on the synthesis and *in vitro* biological evaluation of various linear and branched-ALA esters highlights the impact that ester structure may have on PpIX production from such prodrugs.²⁸ Here, significantly lower PpIX production was



Fig. 2 Ethylene glycol-based ester prodrugs of ALA **6a–f**.



observed in pancreatic cells for **2a**, **2d**, **2g** and **2h** compared to their linear counterparts which do not contain branching adjacent to the ester carbonyl group.

A range of ALA prodrugs have also been synthesized based on alicyclic or substituted benzyl esters which in some cases also display promising levels of PpIX compared to equimolar ALA (Fig. 3). Again, the need for a balance between lipophilicity and ease of ester hydrolysis is apparent among such compounds, and while they have not so far gained clinical approval, they provide further valuable indications to be inputted into the design of more elaborate ALA prodrug systems.

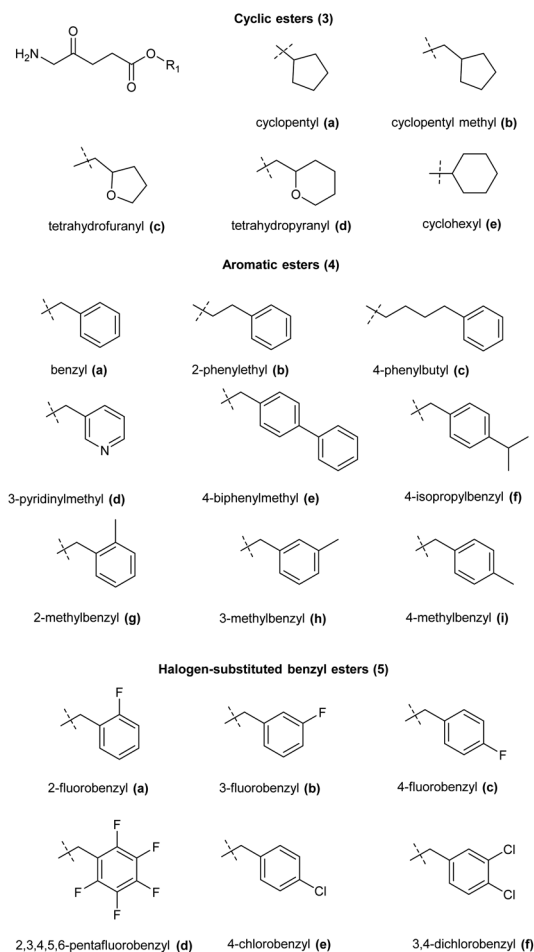


Fig. 3 Cyclic ester prodrugs of ALA **3a–e** and various substituted benzyl ester derivatives **4a–i** and **5a–f**.

For example, Kloek *et al.* reported the synthesis and biological evaluation of tetrahydrofuranyl **3c** and tetrahydropyranyl **3d** esters of ALA which both showed enhanced PpIX fluorescence compared to ALA *in vitro*.²³ Godal *et al.* reported the synthesis of the cyclohexyl ester of ALA **4e** along with a variety of substituted benzylic and arylalkyl esters **4a–f** of ALA, and biological evaluation *in vitro/in vivo* showed significantly enhanced PpIX fluorescence compared to ALA for aromatic esters, especially the benzyl and ring-alkylated benzyl esters **4a**, **4b** and **4g–i**. In contrast, the cyclohexyl ester **4e** produced the least PpIX fluorescence amongst all the prodrugs tested and was only as effective as ALA itself. Halogen-substituted benzyl esters **5a–f** showed intermediate PpIX fluorescence, and amongst them the mono-halogenated esters showed higher PpIX fluorescence than the di- or penta-substituted esters.²⁹

Although aliphatic ALA esters have been shown to induce higher PpIX production with an increase in carbon chain length, as already noted increasing ALA prodrug lipophilicity in this way can be counter-productive, bringing the limitations of slower tissue penetration as well as lower water solubility for esters which are derived from long-chain alcohols.²⁷ In contrast, poly(ethylene glycol) derivatives, which have been used for a large number of pharmaceutical applications, potentially offer the advantage of both improved water and lipid solubility, as well as giving rise to a benign promoeity upon ester hydrolysis.³⁰ To this end, Berger *et al.* reported the synthesis of ethylene glycol esters of ALA **6a–c** (Fig. 4) and tested their biological efficacy in human and rat cell lines of carcinoma and endothelial origin. It was found that a high PpIX fluorescence compared to free ALA was observed in endothelial cells in comparison to tumour cells, especially for long-chain derivatives.³¹ Godal *et al.* also reported the synthesis of ethylene glycol esters **6d** and **6e**, but biological evaluation *in vivo* showed that a lower PpIX fluorescence was produced compared to the simple hexyl ester **1f**.²⁹

As already noted, the use of ALA esters can result in increase PpIX production in various cell types, but with a greater enhancement in tumour cells. In this context, Brunner *et al.*³² observed that the simple hexyl ester **1f** and benzyl ester **4a** prodrugs which lack any specific targeting motif in their structures showed a significantly higher accumulation of PpIX in tumour cells compared to ALA, when applied at low concentrations and short incubation times. In a clinical setting, this means that appropriate PpIX levels for either PDT or PDD can be attained more rapidly and with less side effects. Preferential

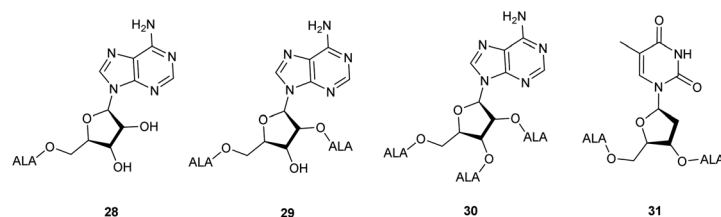


Fig. 4 ALA-nucleoside bioconjugate prodrug **28–31** bearing up to three ALA units.



1.5-fold) than equimolar ALA in most cell lines, which supports the idea that it may be possible to develop ALA prodrugs that carry multiple copies of ALA and which can be targeted to specific tumour cells.

Cyclodextrins are well-known nanocarriers of biological origin that present multiple hydroxyl functions for attachment of drug entities such as ALA. In a preliminary investigation by Aggelidou *et al.*,³⁹ beta-cyclodextrin which disposes seven

primary hydroxyl functions, could be esterified with up to three ALA units *via* the azido derivative **10**, using DCC/DMAP chemistry. The water-soluble ALA prodrug **32** that was obtained (Fig. 5) was found to effectively produce PpIX in MCF7 cells in comparison to equimolar ALA, which suggests that such compounds may have significant potential for the delivery of enhanced payloads of ALA, if effective functionalisation of all primary hydroxyls can be achieved.

The use of other polyvalent drug carriers such as dendrimers have shown significant promise for the delivery of high payloads of photosensitisers in PDT. This approach has been explored in some depth as a means of overcoming the poor systemic bioavailability of ALA in both PDT and PDD. Battah *et al.* in 2001 first reported the synthesis of a series of novel ALA-containing dendrimers bearing 6 to 18 ALA residues in which ALA residues are attached to the periphery of the dendrimer structure *via* ester linkages.⁴⁰ First-generation dendrimers **34–36** with 6 or 9 ALA units were prepared by attachment of a tris(Boc-ALA) derivative **33** to a di- or tripodal aromatic or tripodal aliphatic core *via* amide bond formation (Fig. 6). Second generation dendrimers **37** and **38** with 18 ALA units were then prepared by amplification of the building block (dendron) **33** and coupling to the tripodal aromatic cores used previously thus providing derivatives with an increased distance between the dendrimer core and the ALA units. This convergent growth approach has the advantage of ensuring the formation of prodrugs of defined size and loading, which is more challenging to achieve *via* a divergent strategy starting from a multifunctional core unit (see above), and potentially provides a route to ALA dendrimers of sufficient size to result

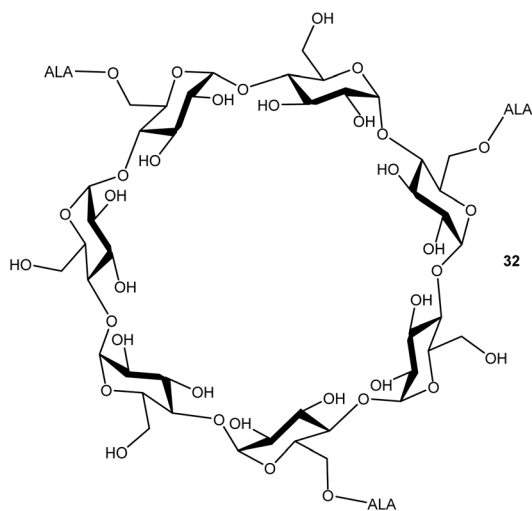


Fig. 5 Cyclodextrin-based trivalent ALA prodrug ester **32**. The conjugate obtained presents three ALA units which are presumably randomly distributed over the upper (primary hydroxyl) face of the scaffold.

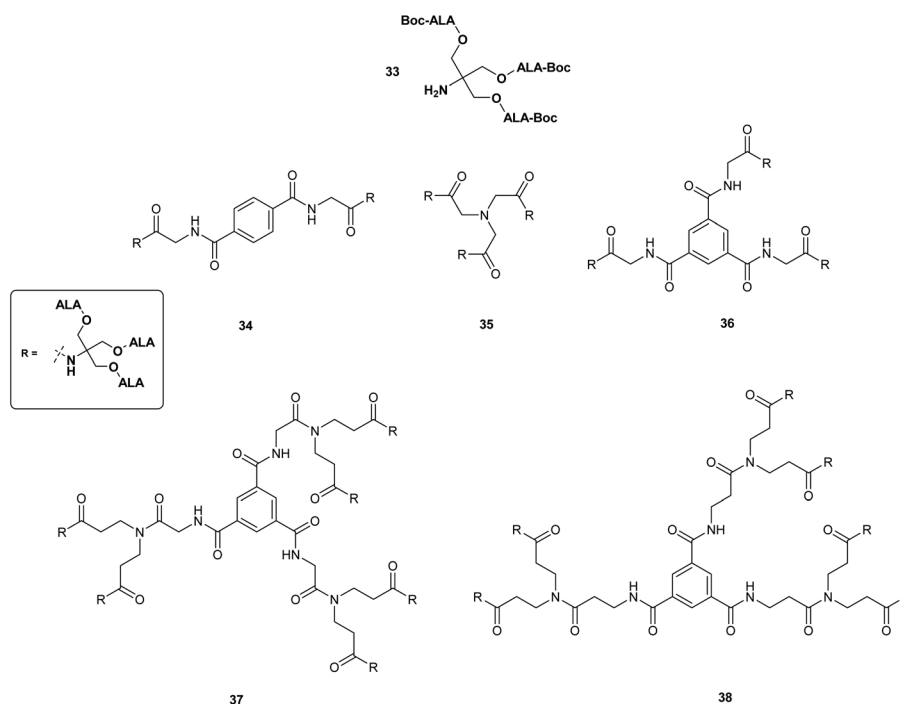


Fig. 6 First and second generation dendrimeric ALA prodrug esters **34–38**.





Fig. 9 ALA dendron derivatives with a more sterically accessible ester components.

for the design of an effective polyvalent ALA prodrug derivative.

Based on these promising features, François *et al.*⁴⁵ evaluated **39** for fluorescence diagnosis of bladder cancer, wherein a sustained release of PpIX was observed even after 24 h post-administration of the prodrug intravesically. A significantly lower dose of the prodrug was required to produce the same effect in comparison to free ALA (0.7 vs. 180 mM) and ALA hexyl ester **1f** (0.7 vs. 8 mM). Significant tumour specificity vs. muscle and normal urothelium was also observed as compared to **1f** making it a potentially viable tool for use in fluorescence-guided cystoscopy.

Most recently Rodriguez *et al.*⁴⁶ have investigated ALA dendrimers **43** (six ALA units) and **44** (nine ALA units) (Fig. 9) for PDT in mammary LM3 carcinoma cells. *In vitro* studies showed enhanced PpIX production (*ca.* four times) for both **43** and **44** at a lower concentration as compared to free ALA. The presence of the extended propyl spacer in the ester units of these derivatives would be expected to facilitate ALA release and PpIX production compared to previously reported compounds. Topical application of **43** and **44** on the skin overlying a subcutaneous LM3 implanted tumour showed lower amounts of PpIX in distant skin as compared to free ALA, which induced similar profiles of PpIX in distant skin and skin overlying tumour. This suggests a promising use of these dendrimeric ALA prodrugs in superficial cancer models. A possible application in vascular PDT was also identified for **43** and **44** by assessing their selectivity towards macrophages over endothelial cells. Evaluation in Raw 264.7 macrophages and HMEC-1 microvasculature cells showed enhanced porphyrin synthesis by **44** (6 fold) and **43** (4.6 fold) in macrophages as compared to endothelial cells. Free ALA in contrast had only a slight selectivity towards macrophages (1.7 fold).

Miscellaneous ester prodrugs

With the growing recognition of the clinical possibilities offered by some of the ester derivatives described above, chemists have been challenged to design more novel ALA prodrugs with bespoke properties for PDT, as well as to come up with new methods for their synthesis. A few brief examples

can be highlighted here. Pavani *et al.*⁴⁷ have utilised a hydroxy-functionalised zinc phthalocyanine derivative as a template for attachment of four ALA units, thereby producing a system for intracellular ALA delivery with the potential to provide two synergistic photosensitisers. The phthalocyanine unit here provides a functional promoity, which is a feature that has been deliberately designed into acyloxyalkyl ester prodrugs of ALA by Rephaeli and coworkers⁴⁸ as a means to deliver a potential histone deacetylase inhibitor (*e.g.* butyric acid) alongside ALA to provide an additional non-light induced cell killing mechanism. Gola *et al.*⁴⁹ have recently reported the use of the multi-component Passerini reaction to produce structurally diverse esters of ALA instead of applying a classic acylation approach. By combining a protected ALA derivative with various isocyanides and formaldehyde, various novel ALA esters with tailored lipophilicity could be prepared in good yield. Some of these compounds gave significantly higher levels of porphyrin production than ALA in more than one cell line, and the most promising derivative had comparable PDT efficiency to the hexyl ester **1f** in LM2 mammary adenocarcinoma cells, at a concentration where ALA itself produced no cell death. Lastly, as has already been noted, the release of ALA from various ester prodrug derivatives may be strongly influenced by the structure of the pro-moiety, as well as different expression levels of esterases in normal compared to tumour cells. Soares *et al.*⁵⁰ have demonstrated that ALA may be released from lipophilic coumarin ester derivatives by application of an external light source. This removes the dependence of ALA release upon steric effects in an enzyme-mediated hydrolysis reaction, and could provide an interesting way of triggering ALA release on demand in a time and spatio-dependent fashion.

Modulators of the heme pathway: iron chelators

As has already been noted, targeting key steps in the heme cycle with appropriate small molecules is a potential chemical strategy by which the conversion of administered ALA to PpIX can be optimised. Moreover, it has been observed that differences in the accumulation of PpIX in healthy cells and malignant cells are due to differences in the activity of enzymes in the haem biosynthetic pathway such as porphobilinogen deaminase and ferrochelatase.⁵¹ Molecules such as 2-allyl-2-isopropylacetamide are known to stimulate ALA synthase and ALA dehydratase activities,⁵² while molecules that inhibit protoporphyrinogen oxidase⁵³ or upregulate coproporphyrinogen oxidase⁵⁴ have been identified which show positive results in ALA-PDT. While this highlights the potential for targeting various steps in the heme cycle in order to enhance intracellular PpIX production most attention has been paid to targeting the insertion of ferrous iron (Fe^{2+}) into the PpIX core, which is catalysed by ferrochelatase in the last step of heme biosynthesis. This forms the basis of a therapy combining iron chelators with ALA PDT where cells are thus deprived of Fe^{2+} in the last step of heme synthesis, leading to higher levels of PpIX.^{55,56}





Fig. 13 Novel thiosemicarbazones studied for synergistic iron chelation and ALA-PDT.

produced from the TSC-iron complexes *via* the Fenton reaction. This suggests an interesting new chemical approach for improved ALA-PDT that might be exploited further through the synthesis of novel combined ALA-TSC prodrugs.

N-Acylated ALA derivatives and ALA peptide prodrugs

A very obvious way to address the chemical stability issues that are associated with ALA and clinically used ester derivatives is to mask the primary amino function by the formation of an amide derivative. While this is attractive in principle, the effectiveness of such ALA prodrugs then depends upon the expression of a suitable protease activity in the targeted cells to liberate ALA. Indeed, while a number of simple *N*-acylated ALA derivatives have been reported, such as the formyl or acetyl derivatives **51** and **52** (Fig. 14), which are stable at physiological pH and also more lipophilic than ALA, *in vitro*

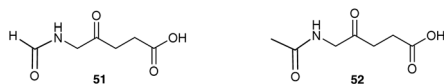


Fig. 14 Structures of formyl and acetyl ALA derivatives.

studies have in general revealed very low PpIX production, consistent with inefficient release of ALA.^{3,73}

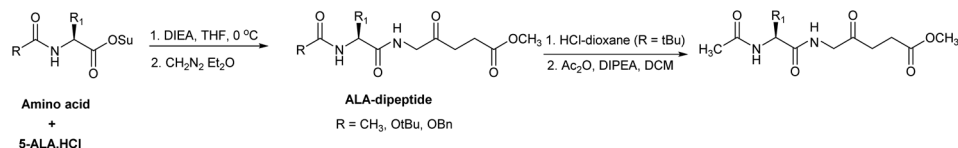
In response to the challenges posed by simple *N*-acylated ALA derivatives, Babic *et al.*⁷⁴ have developed ALA prodrugs in which the amino group of ALA is masked either by conversion to a phosphoramido group, or a novel amide where the pro-moiety contains a phosphate linkage that provides a trigger for cleavage and ALA release (Scheme 8). Both the compounds **53** and **54** were found to be chemically stable at acidic, basic, and physiological pH, however they were efficiently cleaved *in vitro* by alkaline phosphatase to liberate the hexyl ester of ALA. The activation of **53** and **54** is clearly structure-dependent, with complete conversion of **54** occurring in around 60 min, approximately ten times faster than for the phosphoramido derivative **53**. This provides the possibility to fine-tune the rate of ALA release from such compounds *in vivo*. To this end, activation by alkaline phosphatases is very attractive since they are ubiquitous enzymes that are present in all tissues and over-expressed in various tumours. This not only suggests a possible application for these prodrugs for selective PDT, but also PDD. Both compounds were shown to produce higher levels of PpIX as well as reduced toxicity compared to **1f** in U87MG glioblastoma cells over a 24 h time course, notwithstanding the slower rate of activation of **53**. Their clinical potential was further validated *in vivo* in a U87MG glioblastoma spheroid tumour model in chick embryos. Additional studies⁷⁵ with these prodrugs in a panel of different cancer cell lines revealed the level of PpIX production varied significantly, as might be expected based on known levels of phosphatase expression. As well as U87MG, the prodrugs performed best in PC3 and MCF7 cells, which points to their potential for future clinical development for applications in glioblastoma, prostate and breast cancer respectively.

In a further application of this approach, Babic and co-workers⁷⁶ have reported a prodrug of ALA **55** in which the amino function is masked by an acyl group that is susceptible to cleavage induced by β -glucuronidase activity. Such ALA prodrugs could again represent promising tools for tumour detection as elevated expression of β -glucuronidase activity has



Scheme 8 Bioconversion of phosphatase-sensitive ALA prodrugs **53** and **54** to release the hexyl ester of ALA **1f**.





Scheme 10 Synthesis of ALA peptide derivatives by Rogers *et al.* avoiding self-condensation of ALA during the coupling step.

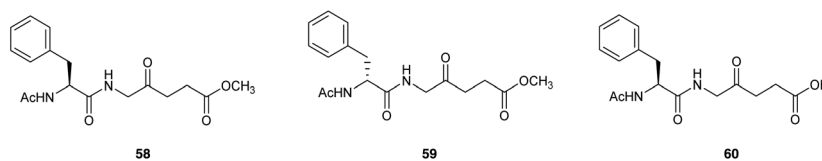


Fig. 16 Phenylalanine-derived ALA dipeptide derivatives **58–60**.

transformed PAM212 keratinocytes and generated greater levels of PpIX compared to equimolar ALA, although as anticipated better results were obtained with the neutral prodrug **58** (methyl ester) compared to **60** (free carboxy terminus). Significantly, no PpIX production was observed with the D-amino acid containing prodrug **59** consistent with the lack of a suitable intracellular enzyme with D-peptidase activity. All three dipeptide derivatives were stable in solution at physiological pH, in contrast to the corresponding dipeptides with a free N-terminus, presumably due to intermolecular Schiff base formation between the terminal amino acid amino function and the carbonyl function of an ALA residue, or possibly intramolecular cyclisation to generate a reactive six-membered Schiff base intermediate. PDT studies with both **58** and **60** in cells were in agreement with the results obtained from fluorescence pharmacokinetics, and the cell survival was significantly reduced in comparison to equimolar doses of ALA. **58** was also evaluated in a pig skin explant model in comparison to ALA and was found to produce higher porphyrin fluorescence by a factor of three demonstrating that **58** was capable of being transported into the skin and metabolised into PpIX in an *ex vivo* setting.

Eggleston and coworkers⁸⁰ subsequently reported a series of 27 dipeptide prodrugs of ALA with the same general structure Ac-Xaa-ALA-OR (*i.e.* analogues of **58** and **59**) where Xaa was an L- or D- α -amino acid providing a varying level of lipophilicity and water solubility to the prodrug. The method of Rogers *et al.*⁷⁸ was simplified by simply slowly neutralising HCl-ALA-Me or other ALA esters in the presence of the appropriate Boc or Fmoc-protected amino acid active esters, to give an intermediate dipeptide ester which could then be converted to the required acetyl derivatives in excellent yield and on a gram scale. A significant observation from this study was that there was no clear correlation as might have been expected between the lipophilicity of the prodrugs and the level of PpIX production in PAM212 keratinocytes. Quantification of the amount of ALA internalised using an improved fluorescence-based method⁸¹ revealed that in fact all the ALA prodrugs were taken up efficiently compared to ALA itself, by a combination

of passive and active transport mechanisms, but predictably only the L-amino acid derivatives produced PpIX. This clearly demonstrated not only potential for designing peptide prodrugs of ALA which are cleaved by a disease-specific/cell-line specific enzyme activity, but also the importance of being able to independently assess PpIX production and ALA uptake when attempting to rationally design ALA prodrugs. In this study, further variation of the ester component of the lead L-Phe derivative to fine-tune lipophilicity also led to the identification of a dipeptide double prodrug whose photocytotoxicity was comparable to that of the hexyl ester of ALA **1f** at concentrations where ALA itself was completely ineffective.

In a further study by the same researchers,⁸² it was shown that compounds of this general structure which contain an L-amino acid are in fact substrates for the enzyme acylpeptide hydrolase (APEH), a member of the prolyl oligopeptidase family of serine proteases, and for which D-amino acid derivatives are not substrates, as is also the case for compounds where the terminal acetyl function is replaced by a benzyloxy-carbonyl group.⁷⁹ This corroborated the earlier finding that PpIX production is also limited for these compounds in cell lines with a low expression of this enzyme such as Caco-2 and AF549.⁸⁰ Further *in vivo* studies with these compounds has provided further evidence for their potential use in topical treatment of basal cell carcinomas and their effectiveness upon systemic administration compared to ALA or its hexyl ester **1f**.^{83,84}

Some similar dipeptide-based double prodrugs of ALA have recently been reported by Chen and coworkers,⁸⁵ again exploring the variation of the ester function. This theme has also been investigated in a somewhat different way by Zhu *et al.*,⁷¹ where two of the lead dipeptide motifs identified by Eggleston and coworkers⁷⁸ were esterified with an HPO iron chelator. Zhou *et al.*⁷⁰ on the other hand, have modified the same lead dipeptides by acylation of the N-terminal amino acid residue with a suitably functionalised HPO. In both cases, codelivery of ALA and an iron chelator in the form of a dipeptide prodrug could be shown to be more effective than either ALA alone, or coadministration of ALA with CP94 as a stand-alone chelator.



include the encapsulation of ALA into polymeric⁹⁰ or gold nanoparticles,⁹¹ and most recently the preparation of ALA-squalene nanoassemblies *via* esterification of ALA itself with squalene alcohol.⁹² Self-assembly of ALA squalene esters in water produces monodisperse systems with average diameter 70 nm, and a high ALA drug loading of 26%. These nanoassemblies show very promising PpIX production in human prostate PC3 and U87MG glioblastoma cells which is superior to that of the hexyl ester of ALA suggesting that they have great potential for PDD and tumour-selective PDT.

Several examples of peptide-targeted ALA nanosystems have also been recently reported that provide for both efficient targeting and context-dependent (*i.e.* pH-selective) ALA release.^{93,94}

Summary

Since the discovery of the clinical potential of ALA-mediated PDT considerable progress has been made in developing simple ALA derivatives that have improved bioavailability and stability compared to ALA itself, and also in identifying compounds that may be administered alongside ALA to maximise the production of PpIX in PDT and PDD applications. The methyl ester of ALA is now a mainstay for topical ALA-PDT in the clinic, while the hexyl ester is widely accepted for use in PDD. Challenges that remain to be solved are the development of effective platforms for systemic delivery of ALA, and also precise tumour targeting, particularly for applications in diagnosis and surgery. There are many opportunities for chemists to tackle these issues, drawing on the lessons from the wealth of knowledge already gathered about ALA and its derivatives and the application of modern methods of synthetic chemistry.

Conflicts of interest

There are no conflicts to declare.

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