

Fig. 1 Structures of ferrocene containing imidazolium salts (1–3, previous work), their corresponding [Au(NHC)<sub>2</sub>]<sup>+</sup> complexes (4–6, this work), and auranofin.

of the enzyme.<sup>18–22</sup> This discovery resulted in the subsequent development of NHC ligated Au(I) complexes as anticancer therapeutic agents.<sup>23–30</sup>

While targeting antioxidant systems is a viable strategy for anticancer development, there are limited examples of complexes capable of affecting the cellular antioxidant system *via* multiple mechanisms.<sup>31–37</sup> To explore the possibility of multiple modes of pathway targeting, we sought to develop a complex capable of (1) TrxR inhibition and (2) redox cycling, both of which would affect intracellular levels of ROS. Previous reports of ferrocene-containing gold(I) complexes have indicated activity against screened cancer cell lines; however, no mechanistic investigations have been reported.<sup>38</sup>

It is known that ferrocene (Fc) complexes elevate ROS within cancer cells and such complexes have become an increasingly popular motif in the development of therapeutics for treating cancer.<sup>39–48</sup> One key feature of ferrocene is that it is capable of undergoing a one electron oxidation to form the corresponding ferrocenium cation (Fc<sup>2+</sup> → Fc<sup>3+</sup>), a process that precedes the reductive regeneration often implicated in its cytotoxicity.<sup>41,49,50</sup> These observations led us to hypothesize that a hybrid compound capable of inducing non-specific ROS (*via* ferrocene) in addition to selectively inhibiting TrxR (*via* binding to Au(I)) could override the ROS regulatory pathway in tumor models.<sup>51</sup> To test this hypothesis, compounds 4–6 (*cf.*, Fig. 1), which contain (1) an Au(I) carbene core to inhibit TrxR and (2) ferrocene units designed to increase intracellular ROS levels were prepared and tested *in vitro* against the A549, A2780, 2780CP, and PC-3 cell lines. The underlying mechanism of action was also explored.

## 2. Experimental

### 2.1 Materials and methods

The following compounds were prepared according to literature procedures: 1-mesitylimidazole,<sup>52</sup> [(Mes)(C<sub>3</sub>H<sub>3</sub>N<sub>2</sub>)(CH<sub>2</sub>C<sub>6</sub>H<sub>5</sub>)]<sup>+</sup>[Cl]<sup>−</sup> (Mes = mesityl),<sup>53,54</sup> 1-(ferrocenylmethyl)-3-mesitylimidazolium iodide,<sup>55</sup> 1,3-di(ferrocenylmethyl)imidazolium iodide,<sup>56</sup> and (C<sub>4</sub>H<sub>2</sub>S)AuCl.<sup>57</sup> All other reagents were purchased

from commercial sources and used as received, including: [(CH<sub>3</sub>)<sub>3</sub>Si]<sub>2</sub>N]Na (NaHMDS) and [(Cp<sub>2</sub>Fe)CH<sub>2</sub>N(CH<sub>3</sub>)<sub>3</sub>][I] (Cp = cyclopentadienyl). CDCl<sub>3</sub>, CD<sub>2</sub>Cl<sub>2</sub>, and DMSO-*d*<sub>6</sub> (99.9%) were purchased from Cambridge Isotope Laboratories, dried over 3 Å molecular sieves, and degassed using three consecutive freeze-pump-thaw cycles prior to use. Solvents were either dried with a solvent purification system from the Vacuum Atmosphere Company (CH<sub>2</sub>Cl<sub>2</sub>, Et<sub>2</sub>O, hexanes and toluene) or freshly distilled over 3 Å molecular sieves and degassed using three consecutive freeze-pump-thaw cycles prior to use. All reactions and manipulations were conducted under an atmosphere of nitrogen unless otherwise indicated. UV-vis spectra were obtained at ambient temperature with a Hewlett-Packard 8452A diode array spectrophotometer. Molar absorptivities are reported in M<sup>−1</sup> cm<sup>−1</sup>. <sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded on JEOL 400 MHz spectrometer. Spectra were referenced to the residual solvent as an internal standard, for <sup>1</sup>H NMR: CD<sub>2</sub>Cl<sub>2</sub>, 5.32 ppm; CDCl<sub>3</sub>, 7.24 ppm; DMSO-*d*<sub>6</sub>, 2.50 ppm; for <sup>13</sup>C NMR: CD<sub>2</sub>Cl<sub>2</sub>, 54.00 ppm; CDCl<sub>3</sub>, 77.0 ppm, and DMSO-*d*<sub>6</sub>, 39.5 ppm. Coupling constants (*J*) are expressed in hertz (Hz). High-resolution mass spectra (HRMS) were obtained using a VG analytical ZAB2-E or a Karatos MS9 instrument (ESI or CI) and are reported as *m/z* (relative intensity). Electrochemical measurements were performed on a CHI660D or Pine Wavenow electrochemical workstation using a silver wire quasi-reference electrode, a platinum disk working electrode, and a Pt wire auxiliary electrode in a gas tight three-electrode cell under an atmosphere of nitrogen. Unless specified otherwise, the measurements were performed using 1.0 mM solutions of the analyte in dry DMSO with 0.1 M [N(*n*Bu)<sub>4</sub>][PF<sub>6</sub>] as the electrolyte and decamethylferrocene (Fc\*) as the internal standard. Differential pulse voltammetry measurements were performed with 50 mV pulse amplitudes and 2 mV data intervals. All potentials listed herein were determined by cyclic voltammetry at 100 mV s<sup>−1</sup> scan rates and referenced to a saturated calomel electrode (SCE) by shifting decamethylferrocene<sup>0/+</sup> to −0.030 V (DMSO).<sup>58,59</sup> Elemental analyses were performed by Midwest Microlab, LLC in Indianapolis, IN. Cell culture media consisted of RPMI 1640 with 2 mM glutamine and 25 mM HEPES (Corning 10041CV) with 10% heat-inactivated fetal bovine serum (Sigma f6178) and 1X penicillin-streptomycin (Sigma p4333). Trypsin (Hyclone SH30236.01) and Dulbecco's Phosphate Buffer Saline (Sigma d8537) were used for general cell maintenance and harvesting. Cell lines were obtained from the ATCC (A549 and PC-3) and Prof. Zahid Siddik at MD Anderson (A2780 and A2780CP). Thiazolyl Blue tetrazolium bromide (Alfa Aesar L11939) was used for cell proliferation assays. Cell culture plastic ware consisted of generic T-75 flasks, 80.5 mm diameter culture dishes, and treated 96-well plates.

### 2.2 Syntheses

**Bis(1-benzyl-3-mesitylimidazol-2-ylidene)-gold(I) chloride (4).** An 8 mL screw cap vial equipped with a stir bar was charged with [Mes(C<sub>3</sub>H<sub>3</sub>N<sub>2</sub>)CH<sub>2</sub>Ph]<sup>+</sup>[Cl]<sup>−</sup> (100 mg, 0.320 mmol) and NaN(SiMe<sub>3</sub>)<sub>2</sub> (64.5 mg, 0.035 mmol). Dry toluene (2 mL) was added to the vial and the resulting mixture was stirred at 25 °C







centrifuge tubes. The cells were centrifuged for 5 min at 1000 rpm in an Eppendorf 5804 centrifuge. The supernatant was removed and cells were re-suspended in 380  $\mu\text{L}$  PBS. Each sample was then treated with 900  $\mu\text{L}$  QIAzol Lysis Reagent, and homogenized by vigorous vortexing and shaking. The QIAGEN RNeasy Plus Universal Mini Total RNA protocol was then picked up at Step 4. RNA was eluted in two volumes of 30  $\mu\text{L}$  each for a final volume of 60  $\mu\text{L}$  RNA. The centrifuges used include an Eppendorf 5804R for steps performed at 4  $^{\circ}\text{C}$  and an Eppendorf MiniSpin Plus for steps performed at room-temperature. RNA was stored at  $-80^{\circ}\text{C}$ . The RNA concentration was measured using a Thermo Scientific NanoDrop 2000c Spectrophotometer. A gel of 1% agarose with in TAE was cast and RNA was run at 125 V for 60 min to ensure the integrity of the RNA through visualization of ribosomal subunits. The ladder used was the Thermo SM 1331 Generuler 1 kb + dsDNA ladder. Each sample (400 ng + 3  $\mu\text{L}$ ) was submitted for microarray analysis to the Interdisciplinary Center for Biotechnology Research at the University of Florida.

### 3. Results and discussion

#### 3.1 Syntheses and characterization

As shown in Fig. 2, compounds **4**, **5**, and **6** were synthesized using a modified literature procedure by independently treating free carbenes generated *in situ* with  $(\text{C}_4\text{H}_8\text{S})\text{AuCl}$ .<sup>64</sup> The resulting complexes were isolated as microcrystals after titration of the corresponding saturated  $\text{CH}_2\text{Cl}_2$  solutions with *n*-hexanes, followed by series of washes with *n*-hexanes and diethyl ether. The complexes were subjected to a variety of characterization techniques, including  $^1\text{H}$  NMR,  $^{13}\text{C}$  NMR and ultraviolet-visible spectroscopy. The appearance of diagnostic  $^{13}\text{C}$  NMR signals ( $C_{\text{carbene}}$ ) at 184.18 ppm ( $\text{CDCl}_3$ ), 183.20 ppm ( $\text{CDCl}_3$ ) and 182.13 ppm ( $\text{CDCl}_3$  and  $\text{CD}_3\text{OD}$ ) for **4**, **5** and **6**, respectively, were consistent with the values reported for analogous Au–N-heterocyclic carbene (NHC) complexes.<sup>38,65</sup> Compounds **5** and **6** displayed a dipole-forbidden absorption band around 440 nm and a shoulder at 528 nm, consistent with the values reported in the literature for analogous ferrocene containing species (see the ESI†). The aforementioned absorption bands were absent for compound **4**, consistent with the aforementioned assignment. Single crystals of **5** suitable for X-ray diffraction analysis were grown by slowly diffusing diethyl ether into a concentrated  $\text{CH}_2\text{Cl}_2$  solution. Similarly, single crystals of **6** were grown by slowly diffusing methyl *tert*-butyl ether into a concentrated 1,2-dichloroethane solution. Thermal ellipsoid plots of **5** and **6** are presented in Fig. S1† and 2b, respectively. Compound **6** crystallizes in the triclinic space group  $P\bar{1}$ , while compound **5** crystallizes in the monoclinic space group  $C2/c$ . Compounds **5** and **6** adopted a linear geometry with C–Au–C bond angle  $\sim 177^{\circ}$ . The N–C–N and C–Au–C bond angles were in accordance with data reported for other analogous  $[\text{Au}(\text{NHC})_2]^+$  gold(i) complexes.<sup>38,65</sup> All Au– $C_{\text{carbene}}$  bonds distances were in the range 2.00 (7)–2.03 (1)  $\text{\AA}$ .

To elucidate the electronic properties of compounds **2**, **3**, **5**, and **6**, a series of electrochemical measurements were carried out in DMSO with  $[\text{N}(\text{nBu}_4)][\text{PF}_6]$  as the electrolyte; key data are

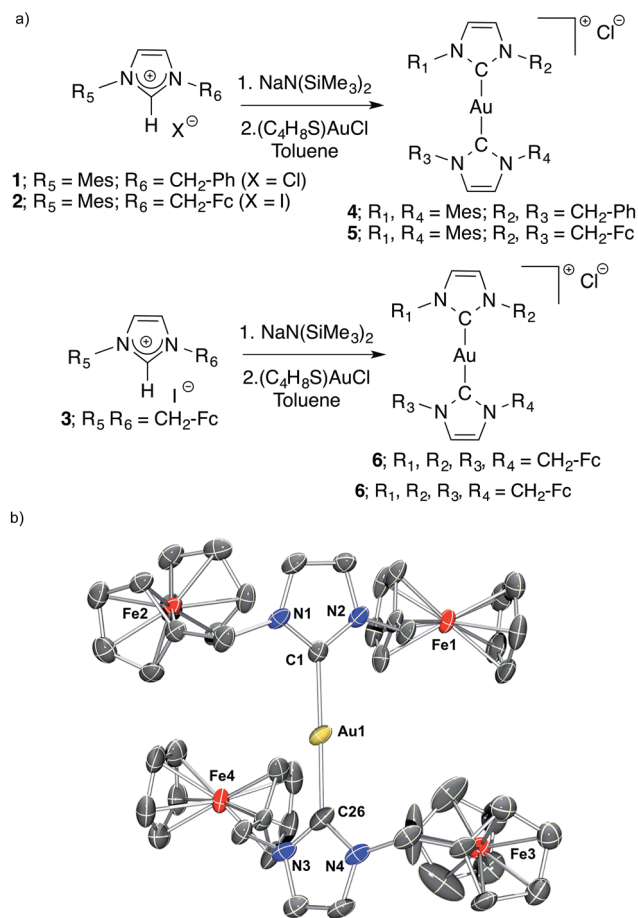


Fig. 2 (a) Synthesis of ferrocene containing Au(I) carbene complexes. (b) ORTEP diagram of **6** rendered using POV-Ray. Thermal ellipsoid plots are drawn at the 50% probability level. Hydrogen atoms and counter anion are omitted for clarity. Selected bond lengths ( $\text{\AA}$ ) and angles (deg): C1–N1, 1.37(1); C1–N2, 1.35(2); C1–Au1, 2.021(9); C26–Au1, 2.028(8); C26–N3, 1.33(1); C26–N4, 1.35(1); N1–C1–N2, 105.2(8); N3–C26–N4, 105.1(7); C1–Au1–C26, 176.5(3).

summarized in Table 1. Compounds **2**, **3**, **5**, and **6** all displayed an iron centered ( $\text{Fe}^{2+} \rightarrow \text{Fe}^{3+}$ ) reversible oxidations. One electron oxidations for **2** and **3** was observed at  $\sim 0.59$  V (*vs.* SCE), whereas the relatively electron rich  $[\text{Au}(\text{NHC})_2]^+$  complexes underwent oxidation at  $\sim 0.56$  V. No gold oxidation was observed under the experimental conditions employed.

Table 1 Electrochemical data recorded for various ferrocenylated complexes<sup>a</sup>

| Compound | $E_{1/2}$ <sup>a</sup> (V) |
|----------|----------------------------|
| <b>2</b> | 0.58 (r)                   |
| <b>3</b> | 0.59 (r)                   |
| <b>5</b> | 0.56 (r)                   |
| <b>6</b> | 0.57 (r)                   |

<sup>a</sup> The potentials shown were obtained *via* differential pulse voltammetry measurements in DMSO with 0.1 M  $[\text{N}(\text{nBu}_4)]^+[\text{PF}_6]^-$  electrolyte, 0.1 mM analyte, and referenced *vs.* SCE. See the ESI for the corresponding cyclic voltammograms and differential pulse voltammograms. r = reversible.







Fig. 4 ICP-MS detection of intracellular Fe and Au levels from A549 cells treated with compounds 3 and 6. Error bars represent one standard deviation. Although a biological trend is evident, statistical analysis (*i.e.*, one-way ANOVA) resulted in a *P* value of 0.09. This result may be explained by our small sample size (*N* = 2).

general ROS fluctuations. Due to the relatively high potencies of the  $[\text{Au}(\text{NHC})_2]^+$  complexes studied, low drug incubation concentrations were needed to avoid cellular stress. A549 cells were thus exposed to variable concentrations of complex 5 for 4 hours, collected and treated with propidium iodide (PI) to assess cytotoxicity. It was found that a concentration of 2.5  $\mu\text{M}$  of  $[\text{Au}(\text{NHC})_2]^+$  was sufficient to allow for exposure without killing cells within the 4 hour incubation period (see the ESI<sup>†</sup>).

Complexes 4, 5, 6 and auranofin were independently added to A549 cells and their ability to increase ROS was examined (*cf.* Fig. 5). It was found that while all of the complexes induced an ROS increase relative to control samples, complexes 4, 5, and 6 provided higher levels than that observed when auranofin was utilized. The greatest increase in ROS was detected in cells exposed to complex 6, which provided a 14-fold increase in ROS relative to cells treated with vehicle.

As previously mentioned, *L*-buthionine-(*S,R*)-sulfoximine (BSO) acts as a selective inhibitor of GSH synthesis.<sup>25,68,69</sup> The down regulation of GSH, a ROS scavenger, could potentiate the effects of the gold complexes of this study, thus providing support for the proposed mode of action.<sup>70</sup> To test this hypothesis, A549 cells were exposed to BSO for a 24 h period

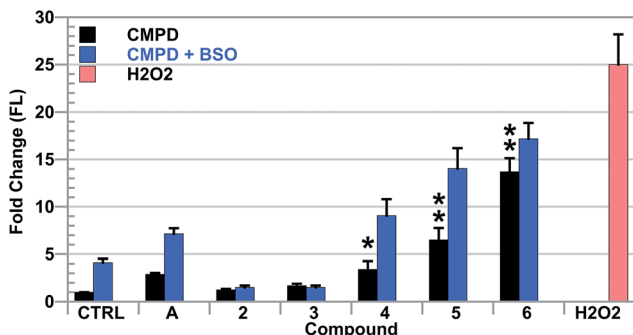


Fig. 5 Reactive oxygen species detected by fluorescent signal increases of DCF *via* flow cytometric analysis in live A549 cells treated with various complexes.  $\text{H}_2\text{O}_2$  was used as a positive control. Error bars represent one standard deviation. A one-way ANOVA with Dunnett's *post-hoc* test was used to compare each compound with the vehicle control (\**P* < 0.05; \*\**P* < 0.01).

before being independently treated with  $[\text{Au}(\text{NHC})_2]^+$  complexes 4, 5, 6 or auranofin (*cf.* Fig. 5). It was observed that cells with reduced levels of GSH provided increased levels of ROS upon exposure to the various Au(I)-containing complexes of this study.

### 3.5 Inhibition of thioredoxin reductase

It has previously been reported that auranofin and  $[\text{Au}(\text{NHC})_2]^+$  are able to bind to and inhibit TrxR, a feature considered integral to their mode of cytotoxic action.<sup>23–26</sup> This literature suggestion, combined with our findings that *L*-buthionine-(*S,R*)-sulfoximine (BSO) treatments (a GSH inhibitor) sensitizes A549 cells towards increases in ROS production when independently exposed to 4, 5, or 6, led us to investigate whether these complexes would also have an effect on the thioredoxin pathway. The live cell measurement of thioredoxin reductase activity may be accomplished by monitoring the reduction of the oxidized form of the cell-permeable cofactor lipoate to its reduced form, dihydroliipoate. To this effect, the plateau phase A549 cells were independently exposed to 2.5  $\mu\text{M}$  treatments of  $[\text{Au}(\text{NHC})_2]^+$  4–6, auranofin and 2 or 3 for 6 h. After treatment, the cells were then monitored colorimetrically for their ability to reduce lipoate (*cf.* Fig. 6). It was observed that the A549 cells treated with auranofin provided a 70% reduction in TrxR activity relative to samples treated with vehicle. Thioredoxin reductase inhibition in cells independently treated with  $[\text{Au}(\text{NHC})_2]^+$  complexes 4–6 was found to also be significant and ranged from 55–60% inhibition. A more modest (*i.e.*, 10–15%) inhibition was observed in cells treated with 2 or 3. These results were taken as evidence that complexes 4–6 are capable of TrxR inhibition similar to that of auranofin (a positive control). This mechanism is thought to contribute, in part, to the potency observed in complexes containing both  $[\text{Au}(\text{NHC})_2]^+$  and ferrocene.



Fig. 6 Time-dependent inhibition of thioredoxin reductase (TrxR) *via* the reduction of lipoate. Error bars represent standard deviation. For clarity, statistical symbols were not included in this figure. A one-way ANOVA with Dunnett's *post-hoc* test was used to compare TrxR activity at the 180 minute time point. At this time point, all compounds were statistically different from the control (*P* < 0.05: 2 and 3; *P* < 0.001: auranofin, 4, 5, and 6) and auranofin (*P* < 0.05: 5 and 6; *P* < 0.001: 2, 3, and 4) in their ability to inhibit TrxR.





Fig. 7 Detection of intracellular zinc fluctuations by fluorescent signal increases of FluoZin-3 via flow cytometric analysis in live A549 cells treated with various complexes. Error bars represent one standard deviation. A one-way ANOVA with Dunnet's *post-hoc* test was used to compare each compound with the vehicle control (\*\* $P < 0.01$ ).

### 3.6 Intracellular free zinc elevation

The above findings suggested to us that the complexes 4–6 can weaken the antioxidant system in different ways. ROS increases could affect reducing species containing vicinal thiols bound to zinc, such as metallothionein. This, in turn, would produce intracellular zinc as an additional by-product of redox cycling. To test this hypothesis, cultures of A549 cells were independently incubated with  $[\text{Au}(\text{NHC})_2]^+$  complexes 4–6, auranofin, or

2 or 3 for 6 hours. At that point, FACS analysis was used to detect (chelatable) intracellular zinc with the ion-specific dye, FluoZin-3 (*cf.* Fig. 7).<sup>71</sup> It was found that complexes 4–6 induced the greatest amount of zinc increase, with 6 providing the most substantial elevation in free zinc (*i.e.*, a 5-fold increase). A moderate 2–3 fold increase in Zn was detected in live cells treated with 2 or 3, while no Zn increase was detected in samples treated with auranofin. Upon co-treatment with 100  $\mu\text{M}$  zinc acetate, a significant increase (*i.e.* 45-fold) in intracellular zinc was detected in cells treated with complexes 4–6, whereas little to no change was detected in auranofin, 2, or 3 (see the ESI<sup>†</sup>). In addition, upon further study, little to no synergistic effect between 6 and Zn was detected in cell proliferation assays (see the ESI<sup>†</sup>).

### 3.7 Gene expression in treated A549 cells

To assess the effects of the complexes on gene expression profiles, total cellular RNA was isolated from plateau phase A549 cultures treated with 2.5  $\mu\text{M}$  complex 6 for 6 h in triplicate and analyzed on RNA microarrays.<sup>72,73</sup> These conditions were chosen based on the consideration that no cell death by 6 was observed within 6 h of treatment. All 740 transcripts (including control and non-coding genes) that were differentially expressed (up-regulation:  $>1.4$ -fold, down-regulation:  $<0.7$ -fold, corrected  $P < 0.15$ ) in response to treatment with 6 are presented (see the ESI<sup>†</sup>). For clarity, a list of coding transcripts that were most differentially expressed is listed in Table 4. As one might anticipate, transcripts with cell death/growth/survival-related

Table 4 RNA microarray analysis: differential expression of select genes in A549 cells treated with 6

| Gene ID | Gene symbol | Gene description  | FC   | P-value                  |
|---------|-------------|---|------|--------------------------|
| 79094   | CHAC1       | ChaC, cation transport regulator homolog 1  | 5.56 | $1.19205 \times 10^{-7}$ |
| 1649    | DDIT3       | DNA-damage-inducible transcript 3   | 4.43 | $4.30297 \times 10^{-9}$ |
| 57761   | TRIB3       | Tribbles pseudokinase 3 (TRIB3)   | 4.41 | $6.5417 \times 10^{-8}$  |
| 440     | ASNS        | Asparagine synthetase (glutamine-hydrolyzing)   | 3.94 | $3.28201 \times 10^{-8}$ |
| 27063   | ANKRD1      | Ankyrin repeat domain 1 (cardiac muscle)  | 3.90 | 0.000102275              |
| 9518    | GDF15       | growth differentiation factor 15  | 2.90 | $9.19533 \times 10^{-6}$ |
| 7779    | SLC30A1     | Solute carrier family 30 (zinc transporter)   | 2.86 | 0.002992119              |
| 23645   | PPP1R15A    | Protein phosphatase 1, regulatory subunit 15A   | 2.79 | $7.03697 \times 10^{-7}$ |
| 7436    | VLDLR       | Very low density lipoprotein receptor   | 2.56 | $3.7443 \times 10^{-6}$  |
| 9709    | HERPUD1     | Homocysteine-inducible, endoplasmic reticulum stress-inducible, ubiquitin-like domain | 2.48 | $2.53382 \times 10^{-7}$ |
| 2081    | ERN1        | Endoplasmic reticulum to nucleus signaling 1  | 2.44 | $1.02427 \times 10^{-6}$ |
| 3162    | HMOX1       | Heme oxygenase (decycling) 1  | 2.41 | $6.63288 \times 10^{-5}$ |
| 4495    | MT1G        | Metallothionein 1G  | 2.24 | 0.034444693              |
| 467     | ATF3        | Activating transcription factor 3   | 2.10 | $1.231 \times 10^{-6}$   |
| 16      | AARS        | Alanyl-tRNA synthetase  | 2.03 | $3.39778 \times 10^{-6}$ |
| 2920    | CXCL2       | Chemokine (C-X-C motif) ligand 2  | 1.93 | 0.00014337               |
| 4490    | MT1B        | Metallothionein 1B  | 1.92 | 0.032952383              |
| 3576    | CXCL8       | Chemokine (C-X-C motif) ligand 8  | 1.92 | $1.10178 \times 10^{-5}$ |
| 7494    | XBP1        | X-box binding protein 1   | 1.87 | $3.60972 \times 10^{-5}$ |
| 4496    | MT1H        | Metallothionein 1H  | 1.77 | 0.025658976              |
| 3311    | HSPA7       | Heat shock 70 kDa protein 7   | 1.63 | 0.008645638              |
| 6782    | HSPA13      | Heat shock protein 70 kDa family, member 13   | 1.54 | 0.001471724              |
| 3309    | HSPA5       | Heat shock 70 kDa protein 5 (glucose-regulated protein, 78 kDa)                       | 1.51 | $2.83009 \times 10^{-5}$ |
| 29948   | OSGIN1      | Oxidative stress induced growth inhibitor 1   | 1.48 | 0.000144965              |
| 57181   | SLC39A10    | Solute carrier family 39 (zinc transporter), member 10                                | 0.63 | 0.016893383              |
| 3306    | HSPA2       | Heat shock 70 kDa protein 2   | 0.56 | $1.49155 \times 10^{-5}$ |
| 6347    | CCL2        | Chemokine (C-C motif)   | 0.39 | $1.53404 \times 10^{-5}$ |



functions (e.g., *DDIT3*, *SESN2*, and *GDF15*) were identified. The list also includes transcripts involved in endoplasmic reticulum stress/response to stress (i.e. *CHAC1*, *DDIT3*, *TRIB3*, *ASNS*, etc.), HIF-1 (i.e., *HMOX1*), zinc transport (*SLC30A1*), metallothioneins (MT; five metallothionein related transcripts), and heat shock transcription factors (*HSF*; e.g., four heat shock-related transcripts).

## 4. Discussion

A series of ferrocene containing  $[\text{Au}(\text{NHC})_2]^+$  complexes were designed as models for dual targeting of specific pathways. The ferrocene and  $[\text{Au}(\text{NHC})_2]^+$  moieties were specifically chosen to (1) generate intracellular ROS non-selectively and (2) selectively inhibit TrxR, an enzyme essential in the ROS response pathway. We chose to explore this binary approach as a novel way to target and overwhelm specific pathways. Disruption of ROS regulatory systems is attractive in the context of drug design due to the fact that cancers typically display elevated ROS levels.<sup>15</sup> While cancer selectivity (i.e., healthy tissue vs. tumors) has yet to be established in the present instance, it is evident that there is a positive correlation between ROS generation and the ability of a drug candidate to inhibit cell proliferation. We were able to successfully show an increase in ROS generation that was both positively correlated with the number of ferrocene subunits incorporated in the complex, and an ability to inhibit cell proliferation (i.e., for **6**,  $\text{IC}_{50} = 0.14 \mu\text{M}$ , 13.7-fold increase of intracellular ROS). This is a significant increase in potency relative to the control compound auranofin ( $\text{IC}_{50} = 1.67 \mu\text{M}$ , 2.7-fold increase in ROS) whose primary mode of action involves TrxR inhibition.<sup>18–20,22–26</sup> Downregulation of GSH by pre-treatment with BSO was found to potentiate the effects of all complexes, thus providing support for the proposed mode of action.<sup>70</sup>

A key finding to emerge from this study is that the potency of **6** is greater than the sum of its parts (i.e., its antiproliferative activity that is greater than that of **3** + **4**). It was experimentally confirmed *via* ICP-MS analyses that the intracellular uptake of **6** was greater than that of **3**, and may reflect increased ferrocene delivery through the action of the  $[\text{Au}(\text{NHC})_2]^+$  complex. The difference in uptake between **6** and **3** could also reflect the altered amphiphilicity of **6** relative to **3**. It may be inferred that the reduced potency of **3** (or any combination of complexes that include **3**) may be due to poor cellular uptake and not a lack of ferrocene activity. However, a key point is that the potency of complex **6** is multifactorial and cannot be accounted for solely in terms of the number of ferrocene units it contains.

As would be expected in light of the proposed dual targeting mode of action, the present  $[\text{Au}(\text{NHC})_2]^+$  complexes were found to inhibit TrxR. Complexes **4**, **5**, and **6** were found to inhibit ~55% of TrxR activity, while auranofin inhibited activity by 70%. This difference in inhibition may be due to differences in the coordination chemistry of  $[\text{Au}(\text{NHC})_2]^+$  carbene (i.e., **6**) and Au(I)-phosphine complexes (i.e. auranofin). The significant increase in ROS and inhibition of TrxR by the ferrocenylated  $[\text{Au}(\text{NHC})_2]^+$  complexes was further corroborated by

an intracellular increase in free zinc (also indicative of ROS increase/stress response).

RNA microarray gene expression was used to elucidate further the mechanism of **6**. Of the 279 genes that were differentially expressed, a significant number were associated with apoptosis and cell cycle arrest, as might be expected. Gene Ontology (GO) analyses of the transcripts that were differentially-regulated in response to exposure to compound **6** were performed to investigate cellular responses to this complex. Intriguingly, ER stress and oxidative stress response genes were found to be enriched in this analysis (see Table 4 and S2†). These data, coupled with the differential expression of *HMOX1* (containing an antioxidant response element in its promoter) and *OSGIN1* (an oxidative response protein that regulates cell death), were taken as an indication that the oxidative stress induced by **6** results in ER stress. The subsequent upregulation of *SLC30A1*, downregulation of *SLC39A10* (both Zn transporters) and upregulation of multiple metallothioneins are thought to reflect a response to ROS stress since they serve to attenuate an increase in intracellular zinc concentrations. The role intracellular free (non-protein bound) zinc plays in regulating cellular functions is of considerable relevance to cancer. For example, increased free zinc concentration has been proposed to stabilize hypoxia-inducible factor-1 (HIF-1) and thus influence processes such as glycolysis, apoptosis, and angiogenesis.<sup>74–77</sup> Moreover, free zinc inhibits thioredoxin reductase,<sup>72</sup> a key mediator in the cellular response to oxidative stress that is frequently overexpressed in cancer.<sup>78–80</sup>

The scope of activity of gold complex **6** was further evaluated within a limited panel of cancer cell lines PC3 prostate (p53 null), A2780 ovarian (wt-p53 platinum sensitive), and 2780CP (wt-p53 isogenic partner to A2780 displaying multidrug resistance (MDR)) displaying varying p53 status and drug resistance. From these results, it should be noted that there was no observed resistance in 2780CP relative to A2780 cell lines. This result is considered significant in that small molecular platinum containing species often display 2–27 fold resistance between this isogenic pair.<sup>66,67</sup>

## 5. Conclusions

Herein we report that ferrocenylated N-heterocyclic carbene supported Au(I) complexes are capable of targeting antioxidant pathways by regulating ROS *via* multiple mechanisms. The proposed incorporation of ROS-generating ferrocenes on a Au(I) platform capable of TrxR inhibition provided complexes with enhanced anti-proliferative properties relative to ferrocene or Au(I) alone (e.g., auranofin or **4**). It also provides initial “proof-of-principle” support for the suggestion that it is useful to address key cancer-related pathways *via* multiple modes of targeting. The utility of complex **6**, for example, in treating potential cross-resistance across a number of cell lines is also appealing. Accordingly, further mechanistic studies, tests of toxicity and efficacy in mammalian models, as well as efforts to prepare and test second-generation complexes that are able to accentuate ROS effects *via* multiple pathways are underway. The results of these efforts will be presented in due course.



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