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## Marker-free automated histopathological annotation of lung tumour subtypes by FTIR imaging

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By integration of FTIR imaging and a novel trained random forest classifier, lung tumour classes and subtypes of adenocarcinoma are identified in fresh-frozen tissue slides automated and marker-free. The tissue slices are collected under standard operation procedures within our consortium and characterized by current gold standards in histopathology. In addition, meta data of the patients are taken. The improved standards on sample collection and characterization results in higher accuracy and reproducibility as compared to former studies and allows here for the first time the identification of adenocarcinoma subtypes by this approach. The differentiation of subtypes is especially important for prognosis and therapeutic decision.

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### Introduction

Lung cancer is a major cause of cancer deaths worldwide.<sup>1</sup> It was the most common cancer type in the world for several decades with an estimated 1.8 million new cases in 2012 (both sexes).<sup>2</sup> The 5-year overall survival rate is 16.8% between 2004 and 2010 in the United States.<sup>3</sup> There are two major types of lung cancer: small cell lung cancer (SCLC) and the non-small cell lung cancer types (NSCLC), with the main components being adenocarcinoma (ADC) and squamous cell carcinoma (SqCC). Semi-malignant carcinoid tumours with the typical and atypical variants and the benign hamartochondroma and thymoma were also investigated. Epidemiologic data clearly established cigarette smoking as the major cause of lung cancer.<sup>2,8</sup> NSCLCs are mainly caused by tobacco consumption.<sup>10</sup> SCLC is the fastest growing, most aggressive tumour and is treated in Germany according to the guidelines of the German Respiratory Society and the German Cancer Society with chemotherapy and radiation.<sup>4</sup> The NSCLCs show, besides the main components adenocarcinoma and squamous cell carcinoma,

also a third class, namely the large-cell carcinoma.<sup>5</sup> A large challenge for pathologists is the inherent histological heterogeneity in the subsets of NSCLC.<sup>6,7</sup> Exemplarily, adenocarcinoma shows several subtypes, with prognostic relevance for overall survival of patients.<sup>8</sup> The subtypes of adenocarcinoma have different kinds of prognosis: poor (solid and micropapillary), favourable (nonmucinous lepidic), and intermediate (papillary and acinar).<sup>5,9,10</sup> For the improvement of the classification of these subtypes immunohistochemical, histochemical and molecular diagnostic procedures can be used.<sup>11,12</sup> But these characterizations are often not applied. They are time-consuming and expensive and often the low sample amount does not allow further studies.<sup>10</sup>

In addition to the lung tumour classes diffuse malignant mesothelioma (DMM) caused mostly by asbestos is identified, which can also be differentiated into subclasses.<sup>13</sup> The DMM is a tumour of the pleura, but as the tumour grows, it replaces the pleural space, and the lung becomes entrapped. The gold standard for diagnosis is histology, including immunohistochemical panels.<sup>14</sup>

Since the newly proposed classification of adenocarcinoma of the lung might have a great impact on therapeutic decisions – even intraoperative – a fast, marker-free and automated – annotation would provide a large input in the healthcare system. FTIR imaging of tissue biopsies is a very promising emerging tool. The amount of tissue needed is very low as compared to conventional surgery; therefore endoscopic and minimally invasive techniques could be applied more frequently. In FTIR imaging these tissue slides of a biopsy are

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measured by an IR microscope spatially resolved. The spatially resolved spectra are assigned each to different index colours by unsupervised clustering algorithms in our hands, e.g. hierarchical cluster analysis (HCA).<sup>15</sup> These result in index colour images of the tissue which are then compared to the later H&E stained tissue and annotated with the help of a pathologist. Thereby a database of characteristic spectral classes for the tissue components and especially for the tumour classes is implemented. Based on the established database, supervised algorithms – here the random forests – are trained. The random forest used here provides high robustness and excellent performance.<sup>16</sup> Tumours are identified, thereby marker-free and automated in lung tissue slices from biopsies.

Spectral histopathology was applied recently to differentiate lung tumour classes.<sup>17</sup> This approach was also applied to other entities, which have shown the broad potential of FTIR imaging for clinical diagnostics.<sup>18–22</sup> However, most of these studies in early times have not used the methodical standards of clinical or epidemiological studies (e.g. study design, standard operating procedures – SOP, sample history). Many of the mentioned studies used tissue micro arrays (TMA), which are sometimes too small to provide an accurate diagnosis for highly heterogenic tumours.<sup>10,29</sup> In the clinical epidemiological study presented here the tissue slices are well documented and minimized to the smallest possible variances in clinical everyday business. Meta data, for example, pre-treatment and smoking status, were recorded and regarded in the analysis. The meta data together with the highly accurate clinical histopathology used for the annotation is crucial for the next step after identification of cancer classes, the exact subtyping of lung cancer. This is challenging due to the high inherent histological heterogeneity of lung cancer subtypes.<sup>6,7</sup>

Bird *et al.* have shown on Biomax TMAs that spectral histopathology (SHP) is able to separate the major classes (adenocarcinoma – ADC, squamous cell carcinoma – SqCC, small cell carcinoma – SCLC and bronchioloalveolar carcinoma – BAC (no longer used by WHO)) of lung cancer with high sensitivity, specificity and accuracy for small cell carcinoma *vs.* non-small cell carcinoma (91.2%, 98.0% and 94.6%) and quite low sensitivity, specificity and accuracy for adenocarcinoma *vs.* bronchioloalveolar carcinoma (88.8%, 47.2% and 68.0%).<sup>17</sup> This work inspired us to not only develop a SHP-based classifier that annotates the five tumour classes, but also go a step further and characterize also histopathological subtypes. Here, we focus on the subtypes of adenocarcinoma. The aim of the presented study is to provide a marker-free, automated diagnosis of lung cancer subtypes of adenocarcinoma, which agrees with the latest pathological gold standard.<sup>8</sup> Based on these feasibility data, FTIR might be able to fasten diagnosis at the point of care and to optimize therapy decisions in personalized medicine.

The fresh frozen tissue samples taken during surgery and biopsy are characterized by a pathologist, all within our consortium PURE. Based on the annotation of the pathologists, representative spectra for the different classes and subtypes are selected. These spectra were used for the training of a super-

vised classifier, random forest (RF). To account for the high heterogeneity of lung cancer, it is necessary to create a hierarchical decision tree of several random forests. In the first level of decision, healthy and pathologically relevant is differentiated. The pathologically relevant regions are annotated in the second level of decision to the five tumour classes. In the third level of decision, subtypes for each tumour class are annotated. This study shows for the first time the automated and marker-free subtyping of a lung tumour class based on FTIR imaging.

## Methods

### Sample acquisition

The tissue samples are harvested within the context of surgical or bronchoscopic interventions following standard operating procedures (SOP). The SOP was developed together with the clinicians in the starting phase of the presented study to fit the best quality of fresh frozen samples. Bird *et al.* (2012)<sup>17</sup> used paraffinized commercially available tissue microarray samples from Biomax. We decided to use larger fresh frozen tissue samples because they provide an improved pathological annotation, a recorded clinical history of the patient, and the corresponding meta data (for example, sex, age, smoker *etc.*) and enables further analysis by proteomics and next generation sequencing. After harvesting the tissue samples were cooled at 4 °C as fast as possible and transported within about 10 minutes to the pathologist, who decided which tissue material is to be used for diagnostics (priority) or this study. The tissue is washed with isotonic saline and afterwards frozen for cutting in the cryostat. The largest allowed time up to this point is 30 minutes. The thin tissue slices were deposited on LowE slides (Kevley, Chesterland, OH, USA). The LowE slides were chosen because of low costs and because they are rather similar to the clinically used glass slides. For every new sample the cryostat was cleaned and the blade was changed to reduce the carryover of cells from different specimens. Afterwards the samples are stored at –80 °C till measurement. All steps were done as fast as possible and are well documented. The SOP guarantees high quality samples with very low degradation of the tissue and its proteins, DNA and RNA. It was developed under consideration of molecular biological standards.

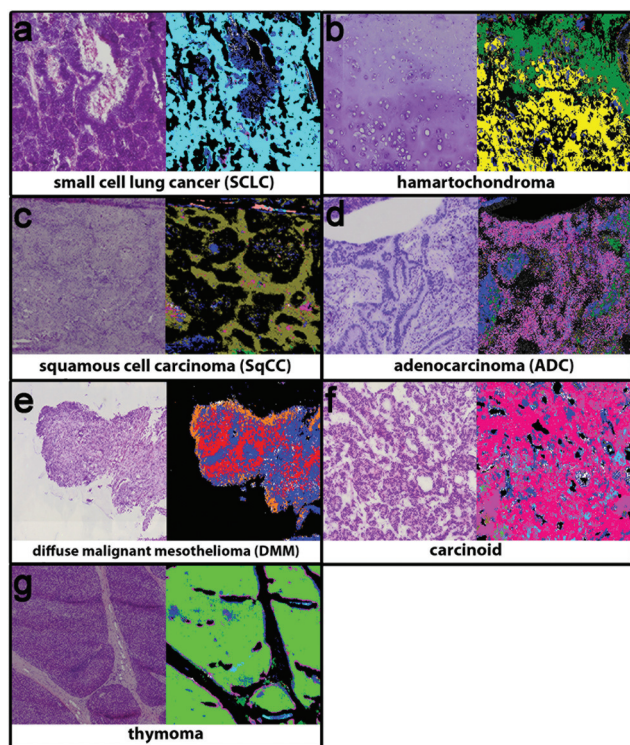
### Histological staining

The tissue samples were stained with Hematoxylin and Eosin (H&E) after FTIR-spectroscopic measurement. The use of the same samples allows more precise overlays between the spectral image and the classical stained image. For the staining the tissue samples were washed with Milli-Q water, stained for 50 seconds with Harris Hematoxylin (VWR, Germany), washed with water, counterstained with eosin (Merck, Germany), dehydrated with increasing gradients of alcohol, and mounted with Euparal (ROTH, Germany). The stained sections were imaged automatically with an Olympus BX43 microscope.









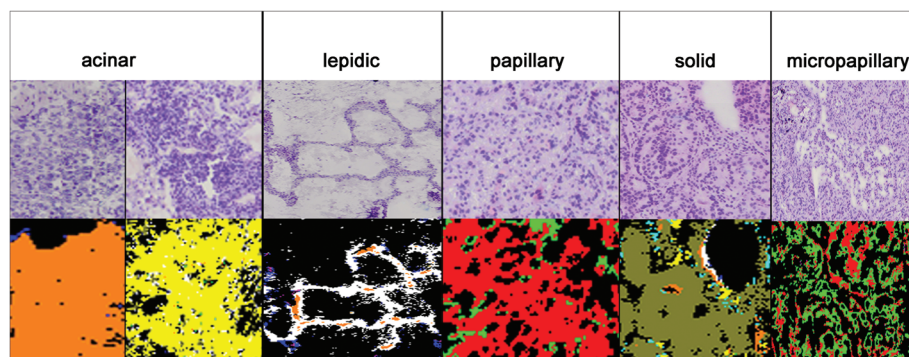
**Fig. 3** 2nd level rf: tumour class decision. (a) Small cell cancer (cyan), (b) hamartochondroma (yellow), (c) squamous cell carcinoma (olive), (d) carcinoid (magenta), (e) pleura mesothelioma (red), (f) adenocarcinoma (pink), and (g) thymoma (light green), and inflammation/necrosis (blue and dark green).

have a false positive assignment to tumour classes different from SCLC.

Hamartochondroma shown in Fig. 3b in yellow is a benign lung tumour whose origin is mesenchymal. Two of the seven measured samples were used for the training, one smoker and one non-smoker. The spectra of this class differ clearly from the other tumour classes. The five validation samples are identified correctly by our system. The comparison of the H&E-stained tissue with the index colour image supports this. For precise diagnosis of NSCLC in the first step SqCC and ADC have to be differentiated. Samples of eight patients with SqCC

were measured (two as reference spectra and the remaining six for validation). All patients are smokers or ex-smokers. This is expected, because SqCCs are usually associated with tobacco abuses. The SqCC (olive, Fig. 3c) annotation is supported by the H&E stained image. The SqCC is clearly distinguished from ADCs and carcinoids. Only 8% of all measured spectra deviate and are assigned to ADC and carcinoid, whereas the pathologist assigned it to SqCC. 40% of all lung cancers are ADCs, mostly associated with smoking. Among all ADC patients ( $n = 52$ ) in the study, only 3 (5.8%) non-smokers were detected. Eight of the patients were used as reference data (smokers, ex- and non-smokers). 49 samples were used for validation. The ADC, shown in Fig. 3d, is represented in magenta and shows precise agreement with the H&E image. All validation patients for ADC are correctly identified. The remaining tumour classes are DMM, carcinoid and thymoma (Fig. 3e–g). The DMM class shown in red relies on 2 reference samples and is validated by 10. Even if for thymoma only two samples were available, the index colour image (thymoma – light green) agrees nicely with the H&E image. In order to regard all tumour classes, thymoma is included on the 2<sup>nd</sup> level even if the patient number is low. Summing up, the implemented RF based classifiers identify all lung cancer classes except large cell neuroendocrine carcinoma, because only one patient was included in this analysis. The tumour classes can be identified with a high accuracy of 96% as compared to the final diagnosis of the pathologist. Bird *et al.* (2012)<sup>17</sup> provided sensitivity and specificity values for their lung cancer classifier. They used binary classifiers but our data show that even for the healthy/pathologic decision the results improve largely if we use more than two classes besides healthy and pathologically relevant as shown in Fig. 1. For multiclass classifiers the sensitivity and specificity cannot be calculated and so it cannot be presented here.

However, today, for the prognosis of the cancer and for the therapeutic decision, the accurate subtyping of the tumour classes is important. In principle, immunohistochemical and molecular biological diagnostics can be used for subtyping. But these methods are time demanding, expensive and often not as precise as recommended.<sup>10</sup> Therefore, here a third level RF is applied to distinguish exemplarily between subtypes of adenocarcinomas.



**Fig. 4** 3rd level rf: adenocarcinoma subtyping. Presented here, starting with the lowest up to the highest mortality, are acinar (orange and yellow), lepidic (white), papillary (red), solid (olive), and micropapillary (green).





