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Advances in protein solubility and thermodynamics: quantification, instrumentation, and perspectives

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While protein crystallization has broadly been applied to determine the 3D structure of proteins, it has recently drawn great attention to replace the traditional downstream processing for protein-based biopharmaceuticals due to its advantages in stability, storage, and delivery. However, establishing the crystallization conditions of a protein remains a challenge because of the limited understanding of the underlying phenomena. This highlight provides a critical review of the advanced experimental approaches to measure thermodynamic parameters (e.g. solubility) that can help in establishing the necessary conditions to perform a protein crystallization trial. Firstly, methods and techniques to assess protein crystallizability and solution quality are presented. Next, methodologies to measure the main thermodynamic parameters are revised (with the respective advantages, limitations, and studied proteins). Later, protocols and set-ups (with a focus on microfluidic devices) used to quantify solubility parameters are highlighted (involved apparatus capabilities, solubility screening details, and studied proteins). Lastly, future directions and outlook of this critical review are approached by covering new trends in the research field.

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1. Introduction

Protein crystallization has had an extraordinary impact on the determination of 3D protein structures through X-ray crystallography.^{1,2} Over 85% of the protein structures deposited in the Protein Data Bank (PDB) are from crystal-based structural methods.³ Although other techniques have emerged (*e.g.* Cryo-EM, NMR), X-ray crystallography remains the predominant method to obtain information on protein structures.⁴ The information obtained is used for understanding disease mechanisms, identifying drug targets, and optimizing pharmaceutical drug design.⁵ Moreover, the pharmaceutical industry has increased efforts for the development of protein crystal-based therapeutics. On the one hand, crystallization can represent a cost-effective alternative to the conventional chromatographic steps in the downstream processing of therapeutic proteins.⁶ On the other hand, protein crystals offer advantages in terms of formulation and drug delivery.^{7,8} The outstanding impact of protein crystallization over the last decades can be seen from the high number and exponential increase on the number of publications in this research field as shown in Fig. 1.

Regardless of the ultimate purpose, the first step consists in establishing the protein crystallization conditions.⁹ This is a challenging task due to the size, complexity and dynamic behaviour of proteins, as well as the intricacy of all the occurring interactions (*i.e.* protein–protein interactions, protein–solvent interactions, *etc.*).¹⁰ Additionally, the unavailability of generalized strategies to crystallize proteins makes the process of identifying crystallization conditions time consuming, fastidious, and costly. Despite the advances of protein screening methods [*i.e.* high-throughput crystallization screening (HTS)], the task of finding crystallization conditions for a specific protein still largely relies on empirical results rather than on theoretical perspectives.⁶ A significant number of experiments, where numerous chemical and physical parameters are tested, are mostly conducted. Moreover, it must be done on a recurring basis for each protein under study. According to Newman

et al. (2012),¹¹ only 0.2% of individual crystallization screening conditions performed in HTS laboratory yield a crystal.

Considering the limited understanding of the underlying phenomena, limited guidance is available to systematically identify conditions that may lead to protein crystals. Added to this is the lack of consistency in the reported crystallization conditions, and the limited quantitative experimental data available in the literature. For the crystallization process to take place, the protein must be crystallizable, the quality of the protein solution must be high, and the supersaturation must be achieved.¹² Therefore, the measurement of parameters related to the protein itself (*i.e.* amino acid sequence, folding, *etc.*) and the protein solution (*i.e.* purity, homogeneity, *etc.*), as well as the protein thermodynamics (*i.e.* solubility) system under study is a critical step towards a more rational approach for the establishment of protein crystallization conditions. This rational approach will enable researchers to enhance the crystallization success rate and process controllability, thus drastically reducing screening time and associated costs. In terms of industrial relevance, this will also benefit drug development and crystal-based therapeutics.

Solubility is probably the most important parameter when studying a solid compound since it governs the crystallization process¹³ and is a prerequisite to any meaningful crystallization measurement.¹⁴ Saridakis & Chayen (2003)¹⁵ stated that the solubility curve derivation requires at least two conditions (one of which is typically the protein concentration) within a time range around 3–4 weeks. As far as we know, this highlight merges, for the first time, a critical review of the main experimental methodologies and analytical models, as well as applied protocols and instrumentation to determine protein solubility and other thermodynamic parameters that assess protein–protein interactions. This contribution will serve as a guideline for young researchers and academics to identify thermodynamically possible conditions for crystallizing proteins. The covered methods and techniques are focused on soluble proteins.

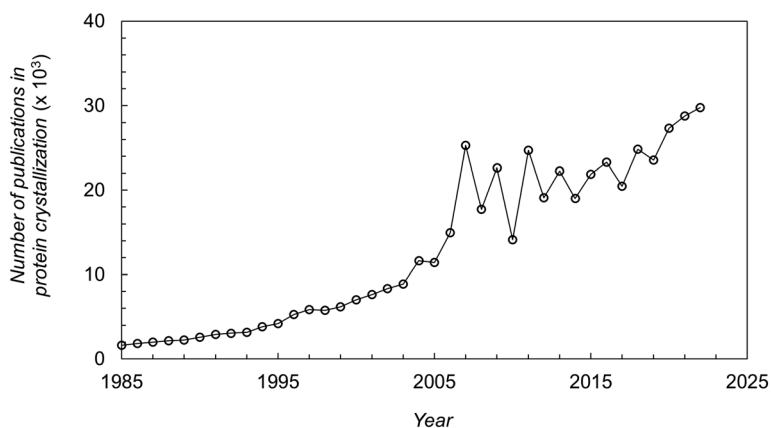


Fig. 1 Number of publications (papers, books, chapters, *etc.*) in protein crystallization reported in the literature over the last decades.¹⁶



2. Protein crystallizability

The protein itself and the protein solution are the most important variables when attempting to perform a crystallization trial.^{12,17} Concerning the ability of a protein to bind to partners, the molecular surface structure (e.g. hydrophobicity, charge, amino acid residues) dictates the molecular interactions.¹⁰ According to Derewenda (2010),¹⁸ on the one hand, the surface properties must enable the dissolution of the protein to reach supersaturation, and on the other hand, mediate viable intermolecular contacts. Further, the “quality” of the protein solution is critical to increase the chances of yielding crystals. In general, pure, stable, soluble and monodisperse (i.e. uniform population of molecular species) proteins in high concentrations (5–15 mg mL⁻¹) are easier to crystallize.¹⁹

2.1. Protein ability to crystallize

The ability of a protein to self-assemble into a crystal is the first requirement for protein crystallization.^{12,20} This is specific for each protein under a particular set of conditions and is related to its amino acid sequence and structure. Protein–protein interactions promoting crystal contacts are mainly governed by hydrogen bonds, hydrophobic interactions/van der Waals and electrostatic bonds (Fig. 2). Nevertheless, those interactions are complex, particularly due to protein anisotropy (i.e. non-spherical shape, non-uniform charge distribution, rough local topography, and heterogeneous functionality on the protein surface) (Fig. 3), and it is difficult to determine the contribution from each type of interaction.^{21,22} One approach to predict the intermolecular interactions of a protein that are likely to be crystal contacts is to use information from protein structure. There are three major techniques to determine the structure of a protein: X-Ray crystallography (XRD), nuclear magnetic resonance (NMR), and electron microscopy (EM). However, knowledge of the crystallization conditions is required.

Derewenda (2010)¹⁸ reported two main criteria that proteins must meet to crystallize: ability to dissolve and ability to enable crystal contacts. The molecular surface of the protein should allow adequate solubility to achieve the requisite supersaturation levels. The residues exposed on the protein surface should promote the compatibility with the environment under study.²⁵ For instance, polar residues on a protein surface promote the protein's solubility in a polar aqueous solvent. As illustrated in Fig. 3, HEWL has a rather hydrophilic surface which contributes to HEWL's solubility in water. Concerning crystal contacts, studies have related protein surface properties to crystallization propensity.^{26–30} Price *et al.* (2010)³⁰ analyzed the relationship between the frequency of each amino acid, mean hydrophobicity, mean sidechain entropy, total and net electrostatic charge, isoelectric point (pI), the fraction of disordered residues and chain length, and successful protein crystallization (Fig. 4). The results showed that the frequency of certain amino acids

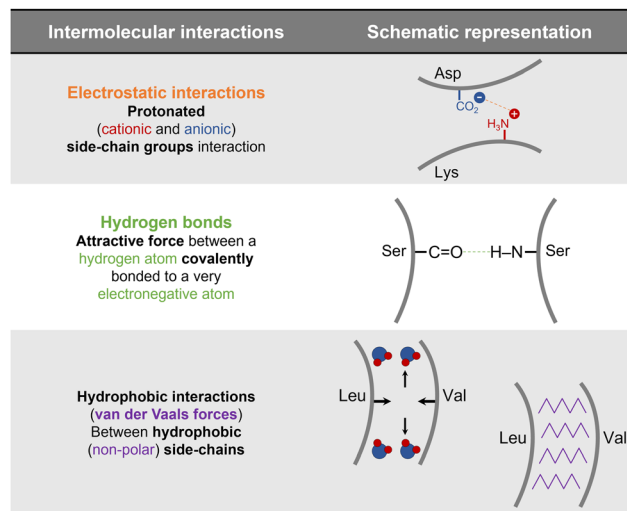


Fig. 2 Schematic representation of the main protein–protein interactions involved in crystal contacts with examples of amino acid residues that can be involved in each of those.^{17,21,23}

such as *Ala* and *Phe* was positively correlated to a successful crystallization outcome, while *Lys* and *Glu* negatively correlated.³⁰ Furthermore, it was verified that mean hydrophobicity promoted crystallization, while mean sidechain entropy, fractional positive or negative charge and disordered regions tended to hinder crystallization.³⁰ In terms of protein pI and chain length, both demonstrated bimodal effects, with the rate of success initially increasing and later decreasing with increasing parameter values. Examples of proteins that are easy targets for crystallization are soluble and globular proteins (e.g. lysozyme, insulin). Globular proteins consist of a hydrophobic core surrounded by a hydrophilic external surface which interacts with water (Fig. 3). In the specific case of lysozyme, the polar character of *Asp* and *Glu* residues is responsible for hydrogen bonding involved in crystal contacts.³¹

Overall, studies have suggested that crystal contacts correspond to non-randomly selected regions of the protein surface.¹⁰ Therefore, it should be possible to control the protein–protein interactions involved in crystal contacts by tuning the solution conditions or by mutating certain surface residues.²³

2.2. Protein solution quality

The purity, homogeneity, and stability of the protein solution considerably impact the likelihood of success in crystal formation.¹² There are plenty of possibilities concerning the assessment of the protein solution “quality”.³² Herein, an overview of the most common techniques is presented (Table 1). Firstly, it is important to characterize the purity of the protein solution that should be as pure as possible (minimum of 90%). This is routinely achieved by sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE), which separates proteins based on their molecular weight. Samples as low as 100 ng of protein can be detected



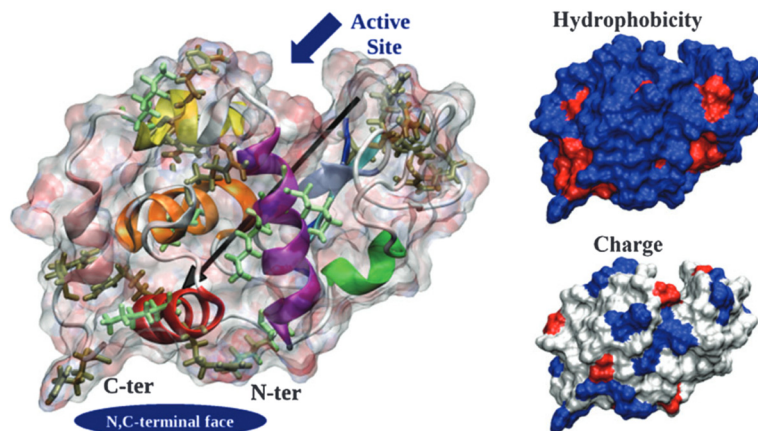


Fig. 3 Hen egg-white lysozyme (HEWL) structure. Protein surface is shown as a ghost surface colored by partial charge with protein secondary structure elements indicated as a cartoon and colored: red – α -helix A, orange – α -helix B, purple – α -helix C, yellow – α -helix D, pink – C-terminal α -helix 3_{10} from domain α , green – middle α -helix 3_{10} from domain β , blue – sheet β_1 , cyan – sheet β_2 , gray – sheet β_3 , and white – other structures including loops, turns and β -bridges. *Arg* and *Lys* residues are shown as licorice and colored in tan and light green, respectively. The black arrow indicates the protein dipole moment. Protein termini, N,C-terminal face and active site location are shown by navy objects and annotated. Figures on the right-hand side show the distribution of charged (positive – blue, negative – red, and neutral – white) and hydrophilic (hydrophilic – blue and hydrophobic – red) residues. [Reproduced from ref. 24 with permission from The Royal Society of Chemistry].

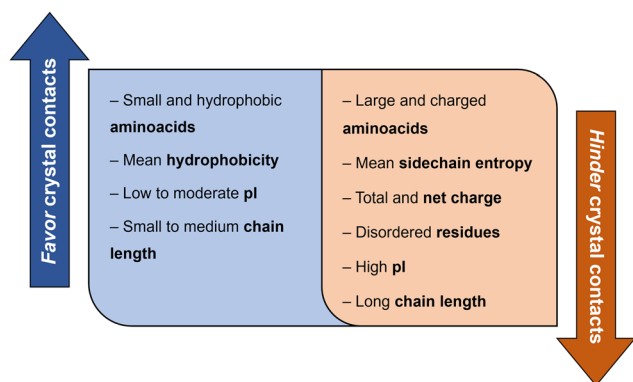


Fig. 4 Correlation between protein surface properties favoring and hindering crystal contacts towards a successful crystallization trial.³⁰

in a few hours.³² Besides the purity of the protein solution, homogeneity and stability should also be checked. Dynamic light scattering (DLS) allows simultaneous determination of the monodispersity of the species of interest and the presence of soluble high-order assemblies and aggregates.³³ When performed over time and/or at different temperatures, the stability of the protein solution in different buffers can

also be tested. Likewise, the thermal stability of the protein in different buffers and in the presence of different ligands can be evaluated by differential scanning fluorometry (DSF).³² In addition, information on parameters such as protein activity, integrity and folding can be used to assess the quality of the protein solution.^{32,34} Activity assays are target-specific and have the additional benefit of measuring the fraction of active protein in a purified sample. Mass spectroscopy (MS) can be used to get detailed information about the protein primary structure, and thus on protein integrity.³² Regarding protein folding, it can be checked by NMR spectroscopy.³⁵

3. Measurement of the solubilized protein concentration in solution

Crystallization requires the creation of a supersaturated state for nucleation and subsequent protein crystal growth,³⁶ *i.e.* the quantity of the macromolecule present in solution must be higher than the solubility limit. The driving force of crystallization from solution ($\Delta\mu$) arises thus from the difference between the chemical potential of the protein

Table 1 Overview of the important steps in the assessment of protein solution quality

Analysis type	Measured parameter	First-line methods	Ref.
Protein solution quality	Purity	Separate and distinguish the protein of interest from other proteins (SDS-PAGE)	32
	Homogeneity	Determine the monodispersity of the species of interest and the presence of aggregates (DLS)	33
	Stability	Evaluate the stability over time (DLS) and the thermal stability (DFS) of the solution	32
Additional analysis	Activity	Measure the active and total concentration (UV-Vis spectroscopy)	32
	Integrity	Analyze protein primary structure (MS)	32
	Folding	Detect the folded and unfolded state (NMR)	35



Highlight

molecules in the supersaturated (μ) and saturated (μ_s) states as exhibited by eqn (1)³⁶

$$\Delta\mu = \mu - \mu_s = R_g T (\ln a - \ln a_s), \quad (1)$$

where R_g is the gas constant, and T the absolute temperature. a and a_s are the protein activity in solution and the protein activity in solution in equilibrium with the crystals, respectively. Thus, this driving force can be expressed as a ratio of activities, which can be related to the concentration as defined by eqn (2)³⁶

$$\frac{a}{a_s} = \frac{\gamma C}{\gamma_{eq} C_{eq}}, \quad (2)$$

where γ and γ_{eq} are the activity coefficient and activity coefficient at equilibrium, respectively. C is the molar protein concentration in solution, while C_{eq} is the molar protein concentration in solution at equilibrium (*i.e.* solubility). The ratio of activity coefficients in the supersaturated and saturated solutions (γ/γ_{eq}) is often assumed to be equal to 1 ($\gamma/\gamma_{eq} \approx 1$). Thereby, the driving force for crystallization can be expressed as the supersaturation ratio (S)³⁶

$$S = \frac{C}{C_{eq}}. \quad (3)$$

Estimated values of supersaturation rely on the protein solubility and concentration measurements in solution. The main methods employed to measure protein solubility are presented in Table 3 and Fig. 5. Concerning the measurement of the protein concentration in solution, Table 2 compiles the principal methodologies used. UV-Vis spectroscopy at 280 nm is the most widely employed technique.³² The method allows for direct measurement of protein concentration with no further reagents needed to be added and total recovery of the protein sample. However, its application is limited to cases where the extinction coefficient of the protein under study is known or calculated from its amino acid composition.³⁷ Additionally, the protein of interest must contain a known amount of tryptophans and tyrosines, since UV absorption at 280 nm is predominately from those aromatic amino acids.^{32,38} Dye-binding assays such as biuret [*e.g.* bicinchoninic acid (BCA), Lowry],³⁹ colorimetric (*e.g.* Bradford),³⁸ and fluorescent⁴⁰ methods (Table 2) can be employed. Those assays involve the addition of a component that will directly or indirectly alter the color of the protein solution, whose intensity is proportional to the content of protein in the sample. Fourier transform infrared spectroscopy (FTIR)⁴¹ is another technique that can be used to quantify protein concentration. From the FTIR spectrum, it is possible to determine the concentration of amine bonds from amide band I and II, and then calculate the protein concentration. Limitations include the equipment requisites (*e.g.* minimal and maximal concentrations) and the incompatibility of several amine-containing buffers (*e.g.* HEPES, Tris) or additives (*e.g.* EDTA).

4. Solubility measurement methodologies

Protein solubility is defined as the concentration of soluble protein that is in equilibrium with its crystal form under specific chemical and physical conditions (*e.g.* pH, temperature, additives).²¹ In fact, it is crucial to establish reliable protein solubility data in each system to design crystallization assays. Trevino *et al.* (2008)⁴⁸ reviewed the different methodologies for measuring protein solubility, which include thermodynamic and apparent solubility experimentation. Thermodynamic solubility is defined as the concentration of soluble protein that is in equilibrium with a crystalline solid phase, while apparent solubility is defined as the concentration of soluble protein that is in equilibrium with an amorphous solid phase.

4.1. Thermodynamic solubility

Thermodynamic solubility is measured through equilibration of a protein solution with a crystalline solid phase^{42,48–58} (Table 3 and Fig. 5). The solubility is then equal to the protein concentration in the equilibrated solution, which can be assayed by a suitable analytical technique (see Table 2). Two approaches have been used:⁴⁸ (1) dissolve crystals into an undersaturated solution until saturation is reached or (2) expose crystals to a supersaturated solution and allow crystal growth to bring the system to equilibrium (Fig. 5A). However, this methodology requires that crystallization conditions of the target protein must be known, and measurements can be laborious and time-consuming. Efforts have been made to reduce the required time and sample amount: Pusey & Gernert (1988)⁵² and later Cacioppo *et al.* (1991)⁵³ developed a technique based upon maximization of the area for solute transfer, and minimization of the required solution volume to be brought to equilibrium. Nakazato *et al.* (2004)⁵⁴ observed concentration gradients around a lysozyme crystal *via* two-beam interferometry identifying dissolution or growth conditions. The authors derived the solubility curve of lysozyme in function of precipitant in two days using 7 mg of protein. More recently, Adawy *et al.* (2013)⁵⁹ employed phase shifting interferometry coupled to an image processing software program to study the concentration gradients developed around a lysozyme crystal during its growth and/or dissolution. Haire & Blow (2001)⁵⁵ reported the measurement of protein solubility through a spin filter method, where speed and simplicity of the method stand out, but around 20 mg of protein per filter is required.

Methodologies based on temperature variation^{42,60–62} have also been described (Table 3 and Fig. 5B). They are based on the determination of the temperature at which a crystalline suspension becomes a clear solution during heating with a certain rate. Consequently, it consists in establishing “a clear point”, which can be determined by light scattering,⁶¹ image analysis^{42,60,63} or refractive index.⁶²



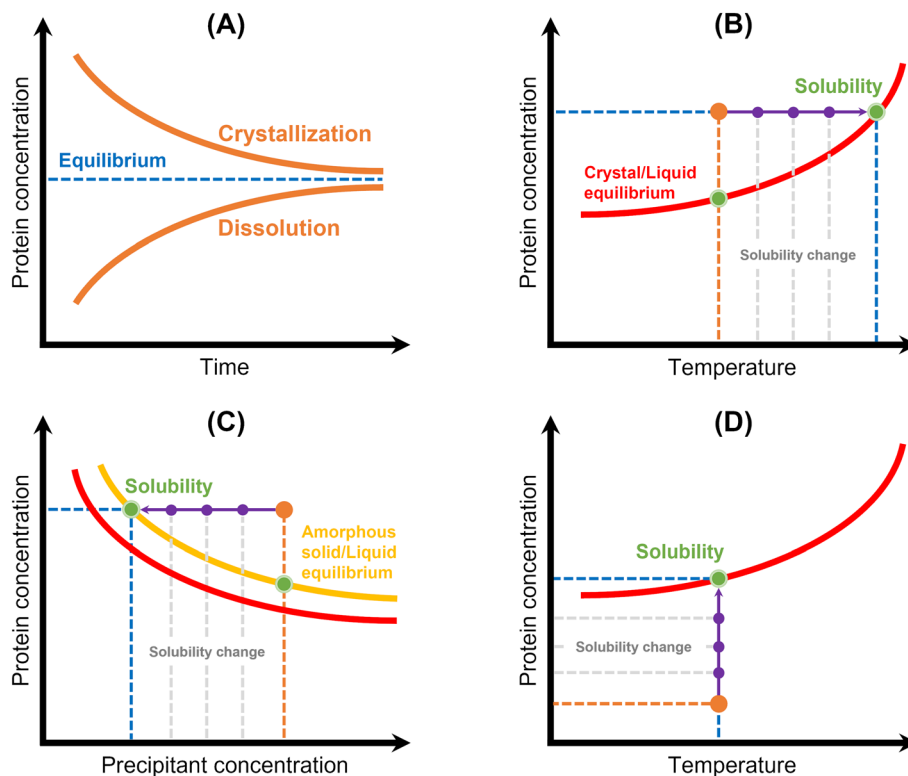


Fig. 5 Schematic representation of the principles of the main methods used for protein solubility measurement: (A) equilibrium method, (B) temperature variation, (C) precipitation method, and (D) lyophilized protein addition and ultrafiltration/centrifugation. Red lines correspond to the crystal/liquid equilibrium curves, yellow line corresponds to the amorphous solid/liquid equilibrium curve, and protein concentration is represented in orange. Solubility is represented by a green spot, while solubility and/or protein concentration changes are represented by a purple arrow.¹²

Ferreira *et al.* (2020)⁶⁰ implemented an approach based on the temperature variation method to measure the solubility of lysozyme. First, the authors formed protein crystals in small crystallization drops by cooling. Then, temperature was increased, and an excess of saturated protein solution was added to the drop. Temperature was slowly increased until crystal dissolution was observed by optical microscopy. A similar methodology was employed by van Driessche *et al.* (2009).⁶³ The authors measured the *in situ* growth and dissolution of crystal surfaces with a laser confocal differential interference contrast microscope to determine the equilibrium temperature of glucose isomerase and lysozyme. In both cases,^{60,63} equilibrium temperatures were determined within a short-range time and using a low amount of sample. However, this methodology is probably limited to model proteins (or well-known proteins), where it is necessary to know *a priori* the crystallization conditions and have some clues on the solubility of the system under study. Furthermore, the high costs and low accessibility of the instrumentation used in⁶³ as well as the expertise required to design a cell with high optical access and with only a few crystals should be noted. Feeling-Taylor *et al.* (1999)⁶¹ reported a miniaturized optical scintillation technique ($\sim 100 \mu\text{L}$), where temperature dependence of solubility for human hemoglobin was measured. Firstly, crystals are formed and detected by light scattering. Then, dissolution of the crystals is promoted by temperature increases.

4.2. Apparent solubility

Owing to both the experimental time and the sample amount required to measure the thermodynamic solubility, more readily methods have been employed. Precipitation has been frequently used to measure protein solubility^{44,45,48,49,60,64–68} (Table 3 and Fig. 5). This methodology consists in inducing protein precipitation through the addition of polymers (*e.g.* PEG) or salts (*e.g.* ammonium sulphate) and then measuring the concentration of protein in solution using an analytical technique (see Table 3) (Fig. 5C). Some studies reported turbidity measurements as a primary detection for the formation of a precipitate.^{66,67} Precipitation allows faster solubility measurements, but only apparent solubility can be determined. The amorphous phase has different characteristics than the crystalline phase, and the control of the phase transition is rather difficult. For instance, protein solutions containing PEG can originate different protein phase behaviors (precipitates, crystals, or liquid–liquid phase separation) depending on the PEG concentration⁶⁹ (Fig. 5C). Liquid–liquid phase separation is commonly observed because of the high supersaturations required for crystallizing proteins.⁷⁰ Further, solubility values in the presence of an amorphous solid depend on the initial protein concentration, whereas solubility values in the presence of a crystalline solid phase do not.⁷¹ In fact, it is known that amorphous solids are significantly more soluble than their



Table 2 Main methodologies to measure the protein concentration in solution and respective studied proteins

Methodology	Advantages	Limitations	Studied protein	Ref.
UV-Vis spectroscopy Direct measurement of protein concentration	– Simple – Fast	– Depends on the composition of proteins' amino acids – Limited application	– BSA and IgG mAb – Insulin – Lysozyme	42 43 44–46 22*
Biuret methods Protein-copper chelation and secondary detection of reduced copper (BCA, Lowry)	– Compatibility with most surfactants – No dependency on the composition of amino acids of the protein	– Incompatibility with certain substances (<i>e.g.</i> components that reduce copper, reducing agents)	– Serum total protein	39
Colorimetric dye methods Protein-dye binding and direct detection of the color change (Bradford)	– Fast – Compatibility with most components from the crystallization mixture	– Incompatibility with surfactants – Dependency on the composition of amino acids	– BSA and IgG mAb – Insulin – Ref2NM, MAGOH, WW34, Y14, OFR57, and TAP	38 43 47
Fluorescence dye methods Protein-dye binding and direct detection of increase in fluorescence associated with the bound dye	– High sensitivity	– Specialized equipment – Low compatibility with certain components from the crystallization mixture (<i>e.g.</i> detergents, solvent)	– Proteins from tissue lysates	40
FTIR Determination of the concentration of amine bonds	– No dependency on the composition of amino acids of the protein	– Equipment specificities – Incompatibility with buffers and additive containing amine	– Proteins from raw milk	41

Note: *the referred paper is a review.

crystalline counterparts.⁷² Ferreira *et al.* (2020)⁶⁰ used both precipitation and crystallization methods to measure lysozyme solubility and verified significant differences. The authors mentioned that structurally different lysozyme aggregates were probably produced during precipitation. Hofmann *et al.* (2018)⁶⁵ verified that PEG-induced precipitation was not adequate to predict the solubility of a single-domain antibody construct. Possible explanations included conformational changes of the protein, volume exclusion effects of PEG and high protein concentration, which led to specific protein phase behavior when compared to diluted protein solutions.

The addition of lyophilized protein to solvent and concentration of a protein solution by ultrafiltration have also been employed^{48,68} (Table 3 and Fig. 5). Both methods require that the concentration of protein in solution be increased until saturation is reached (Fig. 5D). However, it can be difficult to carry out with highly soluble proteins, once gel-like or supersaturated solutions may form,⁶⁸ hampering the determination of solubility accurately. In addition, lyophilized protein contains additional components such as water and salt, which can significantly impact solubility measurement.^{73,74}

5. Measurement of other thermodynamic parameters that quantify protein binding

The second virial coefficient (B_{22}), enthalpy (ΔH), and entropy (ΔS) are thermodynamic parameters enabling the measurement of the binding ability of a given protein in solution. Protein interactions are typically expressed in terms of the second virial coefficient,⁸⁰ while the

measurement of enthalpy and entropy changes provides a quantitative description of the forces governing molecular associations.⁸¹

5.1. Protein-protein interaction measurement – second virial coefficient

The second virial coefficient (B_{22}) provides a measure of the protein-protein interactions, including contributions from excluded volume, electrostatic factors (attractive and repulsive) and hydrophobic interactions, which has been extensively reviewed by Wilson & Delucas (2014).⁸⁰ For relatively weak interactions, B_{22} quantifies the deviation of a dilute solution from thermodynamic ideality. Thus, the osmotic virial coefficient (Π) can be defined by eqn (4)^{82,83}

$$\Pi = R_g T C_p \left(\frac{1}{M_w} + B_{22} C_p + \dots \right), \quad (4)$$

where C_p is the protein mass concentration and M_w the protein molecular weight. Considering protein molecules as spheres, the second virial coefficient can be expressed by eqn (5)^{82,83}

$$B_{22} = -\frac{2\pi}{M_w^2} \int_0^\infty \left[\exp\left(-\frac{W}{k_B T}\right) - 1 \right] r^2 dr, \quad (5)$$

where W is the potential mean force and k_B the Boltzmann constant, while r corresponds to the radial direction. B_{22} reflects the deviation degree of the osmotic pressure of a protein solution from an ideal solution, *i.e.* when $B_{22} = 0$. Therefore, positive and negative values of B_{22} indicate predominantly repulsive and attractive interactions, respectively. Following this approach, the determination of B_{22} can be useful for the selection of solution conditions favorable for protein



Table 3 Summary of the main methodologies used to measure protein solubilities (thermodynamic and apparent) and respective studied proteins

Methodology	Advantages	Limitations	Studied protein	Ref.	
Thermodynamic solubility	Equilibrium Protein crystals are either grown or dissolved until equilibrium is reached	– Widely accepted – Accurate	– ETI and glucose isomerase – Insulin – Lysozyme – Ribonuclease A and bacteriorhodopsin – Thaumatin	49 43, 75 and 76 46, 49, 50, 52–56, 58 and 77 50 57 48 and 51*	
	Temperature variation Temperature of a crystalline suspension is slowly increased until a clear solution is achieved	– Fast – Automation feasibility	– Protein crystals are required – Heating rate	– Equine serum albumin – Glucose isomerase – Hemoglobin – Lysozyme	62 63 61 60, 62 and 63
Apparent solubility	Precipitation Protein solution is mixed with a precipitant to induce precipitation	– Fast – Protein crystals are not required	– Low accuracy due to phase transition control – α -Chymotrypsin, human serum albumin, RNase Sa, α -lactalbumin, fibrinogen, and ovalbumin – Alcohol dehydrogenases and D-serine dehydratase – Bovine serum albumin – ETI and glucose isomerase – FC fusion protein and single domain antibody construct – Insulin – Lysozyme	68 64 66 49 65 78 44, 45, 49, 50, 53, 60, 68 and 78 65–67 48*	
	Dissolution of lyophilized protein Lyophilized protein (powder) is slowly added to the solvent until saturation is reached	– Protein crystals are not required	– Low accuracy due to highly concentrated protein solution and lyophilized protein composition	– Lysozyme, zein, and casein	79 48*
	Ultrafiltration/centrifugation Protein is separated and concentrated from the rest of the solution based on the size	– Protein crystals are not required	– Low accuracy due to highly concentrated protein solution	– Lysozyme, zein, and casein	79 48*

Note: *the referred papers are reviews or focused on methodologies.

aggregation (thus, crystallization propensity) or stable protein solution.^{80,84} Moreover, studies have shown the correlation between B_{22} and solubility for different proteins.^{84–86} B_{22} can be experimentally determined using static light scattering (SLS),⁸⁷ self-interaction chromatography (SIC),⁸⁸ size exclusion chromatography (SEC),⁸⁹ membrane osmometry (MO),^{84,90} and sedimentation velocity analytical ultracentrifugation (SV-AUC)^{91–93} (Table 4). For the definition of a crystallization window, MO and SV-AUC are not practical owing to the time and the protein amount required. Also, MO is prone to experimental issues, such as membrane fouling and adsorption. Despite the advantage size separation, SV-AUC may be one of the lowest throughput methods.

SLS has been most widely used to determine B_{22} . Measurement of B_{22} via SLS has been valuable to define a crystallization window.^{87,94} However, negative values of B_{22} denoting attractive interaction do not guarantee the formation of protein crystals. Pantuso *et al.* (2020)⁹⁵ studied the aggregation mechanism of the monoclonal antibody anti-CD20 and verified no correlation between B_{22} and protein aggregation propensity. It is important to note that according to B_{22} description, it would be expected to apply at low protein concentrations, while crystallization experiments are usually conducted at high protein concentrations. Under such conditions, protein phase behavior can differ from that observed in dilute solutions due to crowding effects, deviation from thermodynamic ideality and higher-order



combined with spectrophotometric measurement of protein concentration in solution to assess the fraction of crystallized protein ($\Delta C_p V$) (Table 5). There are few reports on the measurement of ΔH due to experimental difficulties. For instance, it is important to ensure that the onset and offset of the crystallization process is neither too fast compared to the initial thermalization of the sample and instrument nor too slow to allow for a significant signal. Besides, the value of ΔH is typically less than 100 kJ mol^{-1} ,^{43,81,99} which is difficult to measure. Recently, Hentschel *et al.* (2021)⁸¹ measured the ΔH of lysozyme solutions by combining microcalorimetry with UV-Vis spectroscopy. The authors verified that the measured values agree with the ones determined by the van 't Hoff equation based on solubility data.

5.2.2. Indirect methods. ΔH and ΔS can be determined indirectly based on the van 't Hoff analysis of solubility data⁸¹ (Table 5). For simplicity, the relationship between the equilibrium constant and temperature can be represented by the van 't Hoff equation, which is derived from the relationship between equilibrium constants and Gibbs free energy as expressed by eqn (7)^{43,81,98}

$$\ln K = -\frac{\Delta G}{R_g T}, \quad (7)$$

where K is the equilibrium constant, which can be represented by eqn (8)^{37,73}

$$K = \frac{1}{a_{\text{eq}}} = \frac{1}{\gamma_{\text{eq}} C_{\text{eq}}}, \quad (8)$$

where C^0 is the molar protein concentration in a hypothetical solution standard state (1 M). By combining eqn (7) and (8) and assuming a solution close to ideality ($\gamma_{\text{eq}} \approx 1$), eqn (9) can be derived

$$\Delta G = -R_g T \ln a_{\text{eq}} \cong R_g T \ln \left(\frac{C_{\text{eq}}}{C^0} \right). \quad (9)$$

6. Instrumentation for solubility measurements

After revising the employed methodologies and techniques for protein solubility measurements, this section introduces the platforms and protocols that are currently available in the literature for this purpose. In fact, the reported literature is especially focused on APIs (active pharmaceutical ingredients) (*e.g.* paracetamol, adipic acid). However, the increasing interest in proteins has contributed to the appearance of several protein-oriented devices. Stura *et al.* (1992)¹⁰¹ designed a simple standard screen (*footprint*) for the comparison of protein solubilities, which was aided by SDS-PAGE and isoelectric focusing data to monitor and relate crystallization results to biochemical analysis. This screening strategy revealed a high success rate in crystal production for both proteins (*e.g.* glycoproteins) and more complex biological systems. The set-up consisted of a temperature controlled multiwell sitting-drop vapor diffusion tray. Later, Santesson *et al.* (2003)⁶⁴ followed the *Stura footprinting* screening strategy for D-serine dehydratase.

6.1. Thermodynamic solubility experimentation

During the last decades, several protocols were reported in the literature by covering distinct protocols and set-ups. Pusey & Gernert (1988)⁵² developed a column packed with protein microcrystals, which was fed by a solution below and above the solubility limit to enable dissolution or crystal growth, respectively. The authors were able to measure lysozyme

Table 5 Methods to determine enthalpic variables (ΔH and ΔS) and the respective studied proteins

	Technique	Equation	Studied protein & thermodynamic values	Ref.
Direct	Calorimetry	$Q = \int_{t_i}^{t_f} \Delta P(t) dt$ $\Delta H = \frac{M_w}{\Delta C_p V} Q$	- Lysozyme $\Delta H \approx 65 \text{ kJ mol}^{-1}$	81
Indirect	van't Hoff analysis of solubility data	$\Delta H = T\Delta S - R_g T \ln \left(\frac{C_{\text{eq}}}{C^0} \right)$	- Chymotrypsinogen A $\Delta H \in [-79.8, -27.2] \text{ kJ mol}^{-1}$	90
			- Glucose isomerase $\Delta H \in [-174, -144] \text{ kJ mol}^{-1}$ $\Delta S \in [-462, -370] \text{ J mol}^{-1} \text{ K}^{-1}$	63
			- Hemoglobin $\Delta H = 155 \text{ kJ mol}^{-1}$	61
			- Insulin $\Delta H \in [-55, -20] \text{ kJ mol}^{-1}$ $\Delta S \in [-110, -35] \text{ J mol}^{-1} \text{ K}^{-1}$	43
			- Orthorhombic lysozyme $\Delta H = 22 \text{ kJ mol}^{-1}$	45
			- Tetragonal lysozyme $\Delta H \in [-129, -31] \text{ kJ mol}^{-1}$ $\Delta S \in [-10, 241] \text{ J mol}^{-1} \text{ K}^{-1}$	45, 63, 81, 90, 93 and 100

Note: Q is the heat released upon crystallization, ΔP the differential microcalorimetric power signal, V the sample volume, and t_i and t_f the initial and final times, respectively.



Highlight

solubility within a time frame of 24 h using a column volume between 1 and 5 mL. Later, the methodology was improved by Cacioppo *et al.* (1991),⁵³ where the required column volume was reduced to a range between 75 and 900 μL .

Haire & Blow (2002)⁵⁵ used a spin filter method to measure protein solubility. It consisted of equilibrating a crystal slurry with protein solution and then centrifuging through a filter to assay the protein concentration. More recently, Nakazato *et al.* (2004)⁵⁴ developed a dialysis cell for both direct optical measurement of protein concentration and observation of concentration gradients around a crystal *via* two-beam interferometry. Chen *et al.* (2005)⁵⁷ reported one of the first droplet-based microfluidic devices for crystallizing proteins. However, the authors measured the solubility of thaumatin in Eppendorf tubes by both dissolution of protein crystals and crystallization of thaumatin solution. Table 6 summarizes the reported works where thermodynamic solubility experiments were performed.

6.2. Apparent solubility experimentation

Guilloteau *et al.* (1992)⁴⁴ revealed that information about solubility data allows the determination of optimum supersaturation conditions, thus contributing to a better experimental reproducibility. Moreover, the authors concluded that the temperature influence on the protein (lysozyme) solubility and crystal form was modulated by the salt nature. Table 7 summarizes the reported works where apparent solubility experiments were performed.

6.3. Solubility screening in microfluidic devices

The conventional protein crystallization techniques such as vapor diffusion (hanging-drop or sitting-drop), microbatch, *etc.* rely on testing many potential crystallization conditions, while consuming large amounts of the protein of interest, without any theoretical background guidance. Therefore, microfluidic devices constitute a high-throughput methodology to systematically screen crystallization conditions and, simultaneously, limit the protein volume consumption. Moreover, microfluidics allows complex protocols to be carried out on a single chip (Lab-on-a-Chip devices) for fluid-handling and processing. These devices offer attractive advantages over conventional macroscale instruments, such as shorter operation times, higher mixing and heat transfer efficiencies, lower energy consumption, *etc.*^{102,103} Sommer & Larsen (2005)¹⁰⁴ highlighted that the developed protocol based on tailor-made microbatch crystallization screening resulted in around 50% crystallization probability per experiment for the studied proteins (SERCA and UMP kinase). Most of the reported works in microfluidic devices consist in implementing the microbatch technique, but this is not the only implemented traditional crystallization technique. Leng & Salmon (2009)¹³ revised the existent microfluidic devices for crystallization applications more focused on lab-on-a-chip instrumentation for protein crystallization condition screening. More recently, Candoni *et al.* (2019)¹⁰⁵ published a review paper highlighting

microfluidic devices developed by the research team for solubility and crystallization experimentation, mostly covering APIs (*e.g.* paracetamol, sulfathiazole, glyclazide) and a few protein examples (*e.g.* lysozyme, rasburicase, QR2). Microfluidic devices were also applied for measuring thermodynamic parameters as reported elsewhere.^{100,106,107} Table 8 and Fig. 6 present an overview of the reported microfluidic devices used to screen protein solubility conditions.

Outlook and future perspectives

This highlight critically reviews the advances in experimental approaches to measure thermodynamic parameters able to assess protein solubility and, consequently, to enhance crystal formation propensity. Initially, protein interactions and protein solution quality are highlighted as the main variables to successfully crystallize a protein, which reveals the importance of being characterized before conducting any assay. Secondly, within the methodologies to measure protein solubilities (thermodynamic and apparent), efforts have been directed towards the reduction of the required sample amount and time. In what concerns the assessment of intermolecular interactions, discrepancies between second virial coefficient measurements and protein aggregation rates have been frequently observed. Lastly, experimental protocols and platforms are revised in terms of apparatus capabilities, experimental techniques, and studied proteins. Microfluidic devices offer unequalled conditions to conduct protein solubility experiments and are capable of answering both questions: sample amount and time.

Despite the significant developments in this research field, there is still room for improvement. In this context, one can learn from the strategies applied to small organic molecules (*e.g.* APIs), even though protein and small organic molecules have distinct behaviors. Traditional and advanced procedures and instrumentation commonly used to measure small organic molecules' solubility can be adapted to experimentally determine protein solubility. The solvent addition method consists in adding a solvent dropwise, at a constant temperature, to a known crystalline suspension until full dissolution of the material is observed. Reus *et al.* (2015)¹¹⁰ applied the solvent addition method in a multi-reactor crystallizer (*Crystal16*) for the measurement of *p*-hydroxybenzoic acid solubility. The authors determined a "clear point" through turbidity measurements, video recording, and FTIR concentration measurements. The results showed that the obtained solubility values agreed with the equilibrium method. This could represent an alternative to the equilibrium method as it enables more expeditious solubility measurements. Nevertheless, crystallization conditions of the protein under study must be known, and operating parameters such as the required volume and accuracy of the detection technique must be considered. Another important aspect to mention is the equipment used, *Crystal16*. It is a well-known commercialized apparatus to measure, not only the solubility, but also to screen a phase



Table 6 (continued)

Apparatus capabilities and associated techniques	Solubility screening details	Studied protein	Ref.
<ul style="list-style-type: none"> - Automated microbatch system - Complete sample mixing - Minimal evaporation - Application specific software - Low operation cost 	<ul style="list-style-type: none"> - Speed and ease of operation, and simplicity - Determination of broad protein solubility properties 	<ul style="list-style-type: none"> - Lysozyme - Glucose isomerase - ETI 	49

Table 7 Summary of the reported experimental protocols and respective platforms for apparent solubility experimentation and studied proteins

Apparatus capabilities and associated techniques	Solubility screening details	Studied protein	Ref.
<ul style="list-style-type: none"> - Set-up consists of drop jacketed glass cell - UV-Vis spectrophotometer for protein concentration measurements 	<ul style="list-style-type: none"> - Polymeric additives induce entropic variations while the structural integrity is preserved - Enthalpic variations induced by pH and ionic strength - Enhanced chemical activity evidenced by lower solubility values 	<ul style="list-style-type: none"> - Lysozyme 	60
<ul style="list-style-type: none"> - <i>In-house</i> acoustically levitated drops set-up - Commercial kits from Hampton research in standard vapor diffusion experiments for crystallization trials - Right-angle light scattering for protein concentration measurements 	<ul style="list-style-type: none"> - Several crystallizing agents are tested - Concentration measurements of all components in the drop at any time during the experiment - Derivation of solubility curves followed by crystallization condition optimization 	<ul style="list-style-type: none"> - Alcohol dehydrogenase - D-Serine dehydratase 	64
<ul style="list-style-type: none"> - Thermal denaturation experiments performed on AVIV spectrophotometers 	<ul style="list-style-type: none"> - Increased negative surface charge strongly correlates with increased solubility - No correlation between positive surface charge and solubility 	<ul style="list-style-type: none"> - α-Chymotrypsin - Lysozyme - Serum albumin - RNase Sa - Ovalbumin - α-Lactalbumin - Fibrinogen 	68
<ul style="list-style-type: none"> - Novel method using a high-throughput screening approach (robotic liquid handling section) - Photometric turbidity measurements and <i>in-line</i> concentration measurements - Controlled concentration of the solution while constantly analyzing the solution state 	<ul style="list-style-type: none"> - Determination of process relevant solubility limits - Analysis of relevant kinetic effects (buffer systems) 	<ul style="list-style-type: none"> - Lysozyme - Insulin 	78
<ul style="list-style-type: none"> - UV-Vis spectrophotometer for protein concentration measurements - Diffractometer for crystal lattice characterization 	<ul style="list-style-type: none"> - Solubility curve measurements - Slight influence of the cation nature on the solubility - Solubility and crystal form are affected differently by temperature changes - Salt nature determines the crystal form 	<ul style="list-style-type: none"> - Lysozyme 	44
<ul style="list-style-type: none"> - Automated microbatch system - Complete sample mixing - Minimal evaporation - Application specific software - Low operation cost 	<ul style="list-style-type: none"> - Speed and ease of operation, and simplicity - Determination of broad solubility properties 	<ul style="list-style-type: none"> - Lysozyme - Glucose isomerase - ETI 	49
<ul style="list-style-type: none"> - Batch set-up in polystyrene test tubes - Ultrafiltration crystallization method at controlled temperature - UV-Vis spectrophotometer for protein concentration measurements 	<ul style="list-style-type: none"> - Solubility examined as a function of temperature, pH, and salt concentration - Negative crystallization enthalpy for tetragonal crystal form - Positive crystallization enthalpy for orthorhombic crystal form 	<ul style="list-style-type: none"> - Lysozyme 	45

diagram. The system consists of a micro-vial set-up with an integrated transmissivity technology from *Technobis Crystallization Systems*.¹¹¹ The theoretical understanding behind the equipment was initially introduced by ter Hoort's

group.¹¹² More recently, Peybernès *et al.* (2018)⁷³ performed *in situ* solubility measurements of organic compounds directly from powder using small amounts of material (30 mg) and a time frame of a few hours. The authors



Table 8 Summary of the reported microfluidic devices for protein solubility screening and respective studied proteins

Crystallization technique	Device capabilities	Solubility screening details	Studied protein	Ref.
Microbatch	<ul style="list-style-type: none"> – Versatility aspect: on-chip dialysis and <i>in situ</i> X-ray diffraction – Screening and optimization through concentration and temperature control – Tailoring crystal size, number, and quality 	<ul style="list-style-type: none"> – Micro-dialysis method – Crystallization and dissolution experiments based on phase behaviors 	<ul style="list-style-type: none"> – Lysozyme – Insulin – IspE 	108
Microbatch (dispersed microdroplets)	<ul style="list-style-type: none"> – Chemical library for non-specialists in microfluidics – Screening and optimization through concentration and temperature control – Concentration measurements <i>via in-line</i> UV-Vis – Crystals characterization <i>via</i> X-ray diffraction 	<ul style="list-style-type: none"> – Directly solubilizing powder (only for APIs) – Derivation of solubility curves 	<ul style="list-style-type: none"> – Lysozyme – Rasburicase – QR2 – <i>etc.</i> 	105
Reverse vapor diffusion	<ul style="list-style-type: none"> – Drop state is determined at any point in time – Efficient method to traverse phase space along a known path in the phase diagram – Possibility to decouple nucleation and growth events to enhance crystal size and quality 	<ul style="list-style-type: none"> – Evaporation-based method – Determination of solubility boundaries 	<ul style="list-style-type: none"> – Lysozyme – Ribonuclease A – bacteriorhodopsin 	50
Microbatch	<ul style="list-style-type: none"> – Tailor-made crystallization screening – High probability of yielding crystallization hits 	<ul style="list-style-type: none"> – Complete screening of phase behaviors 	<ul style="list-style-type: none"> – SERCA – UMP kinase 	104
Microbatch	<ul style="list-style-type: none"> – Fully automated – Formulation chip: rapidly generation of complex mixtures – Design of maximum likelihood crystallization trials 	<ul style="list-style-type: none"> – Complete mapping of phase behaviors 	<ul style="list-style-type: none"> – Lysozyme – Xylanase 	109

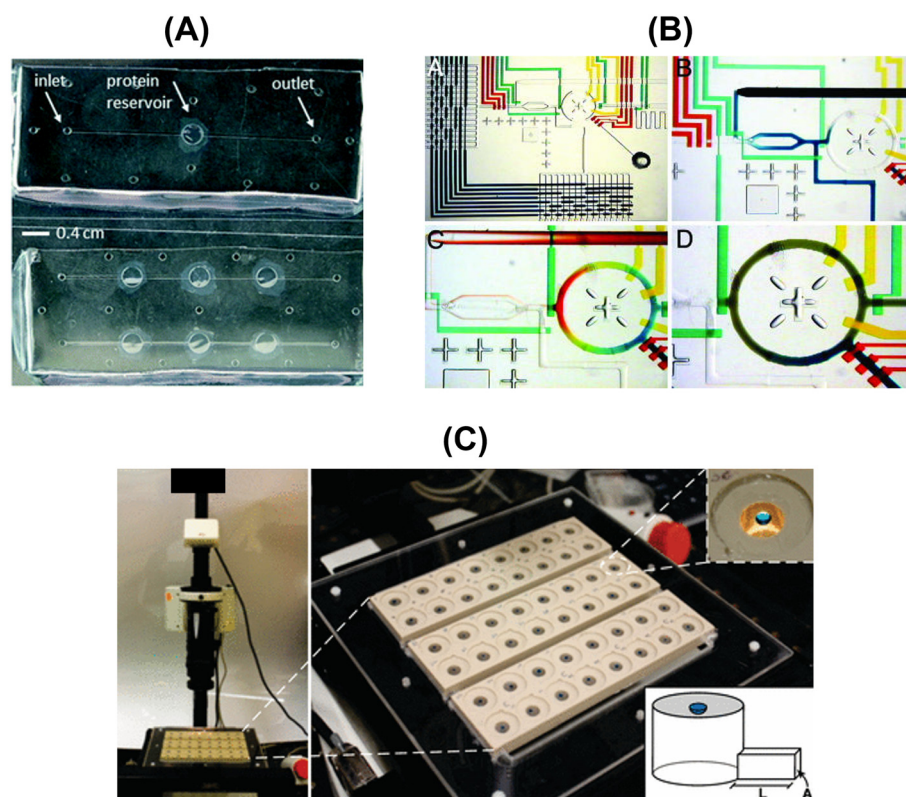


Fig. 6 Overview of the designed and fabricated microfluidic platforms for protein solubility measurements: (A) top-view of the microfluidic chips embedding the dialysis membrane for the on-chip crystallization of proteins and *in situ* X-ray measurements [adapted from ref. 108 with permission from The Royal Society of Chemistry], (B) optical micrographs showing the combinatorial mixing using a microfluidic formulator. [Adapted from ref. 109 with permission from the Proceedings of the National Academy of Sciences of the United States of America (copyright (2004) National Academy of Sciences, U.S.A.)], and (C) photograph of the automated data acquisition set-up: three 16-compartment evaporation-based crystallization platforms [adapted from ref. 50 (<https://pubs.acs.org/doi/full/10.1021/jp911780z>)]. Further permission related to the material excerpted should be directed to the American Chemical Society].



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developed a microfluidic set-up where the solvent flows through the powder bed blocked by a filter. At the outlet of the filter, due to the dissolution of the powder, the solution is saturated.

Regardless of all the experimental advances and employed methodologies and platforms, the task of measuring protein solubility can still be a costly and time-consuming process, frequently characterized by low success rates. Furthermore, the methods described along the highlight are often not readily amenable for high-throughput screening. Therefore, sequence-based computational tools have been used to predict protein solubility.^{113,114} However, these tools suffer from relatively low prediction accuracy and limited applicability for various classes of proteins, mostly only covering model proteins (e.g. lysozyme, thaumatin, insulin). Accuracy can be improved by using more advanced algorithms and incorporating additional information. For instance, protein 3D structure information can be used to provide more geometric information of each amino acid residual.¹¹⁵ It is also worth mentioning some computational tools (e.g. OBScore, ParCrys, CrystalP2, XtalPred, PPCPred, SCMCRY, SVMCRY, PredPPCrys I & II, CrysAlis I & II) that focus on feature extraction of protein sequences to predict crystallization propensity.^{2,116,117} Considerable developments are rapidly emerging alongside the recent advances in Artificial Intelligence (AI),^{118–121} which includes deep-learning models such as DeepSolUE,¹¹⁵ ProteinBERT,¹²² DSResSol,¹²³ PON-Sol2,¹²⁴ and DeepSol.¹²⁵

Author contributions

Joana Ferreira: conceptualization, methodology, investigation, writing – original draft. Filipa Castro: conceptualization, methodology, investigation, writing – original draft.

Conflicts of interest

There are no conflicts to declare.

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