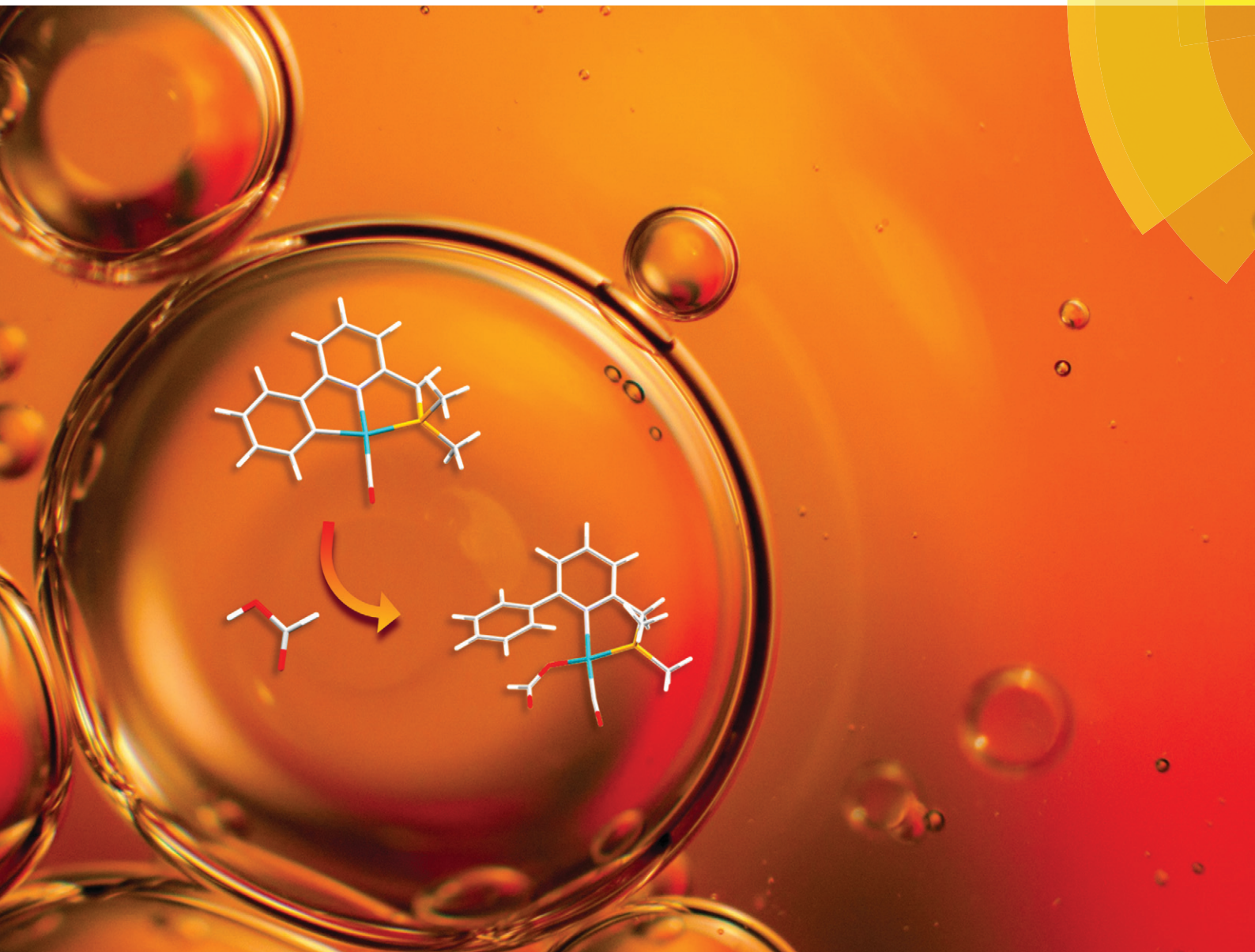


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PAPER

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# Reversible cyclometalation at Rh<sup>I</sup> as a motif for metal–ligand bifunctional bond activation and base-free formic acid dehydrogenation†

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Reversible cyclometalation is demonstrated as a strategy for the activation of small protic molecules, with a proof-of-principle catalytic application in the dehydrogenation of formic acid in the absence of an exogenous base. The well-defined Rh<sup>I</sup> complex Rh(CO)(L) **1**, bearing the reactive cyclometalated PN(C) ligand L (L<sup>H</sup> = PNC<sup>H</sup> = 2-di(*tert*-butylphosphinomethyl)-6-phenylpyridine), undergoes protonolysis of the Rh–C<sub>Ph</sub> bond with weak protic reagents, such as thiols and trifluoromethanesulfonamide. This system also displays bifunctional metal–ligand protonolysis reactivity with formic acid and subsequent decarboxylation of the formate complex. Density functional theory (DFT) calculations show that H<sub>2</sub> evolution from putative Rh(CO)(H)(L<sup>H</sup>) complex **A** is very facile, proposedly encompassing formal C–H oxidative addition at Rh to give **C** via agostic intermediate **B** and subsequent reductive elimination of H<sub>2</sub>. Complex **1** is a catalytically competent species for base-free formic acid dehydrogenation, with the intermediacy of formate complex **4**. DFT calculations reveal accessible barriers for involvement of a flanking phenyl group for both initial activation of formic acid and release of H<sub>2</sub>, supporting a cooperative pathway. Reversible C–H activation is thus a viable mechanism for metal–ligand bifunctional catalysis.

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## Introduction

The application of reactive ligands for metal–ligand bifunctional bond activation and subsequent cooperative catalysis receives much attention.<sup>1</sup> Among the different reactive ligand designs, systems bearing a proton-responsive group (showing reversible deprotonation activity) are particularly attractive and versatile. Generally, two strategies to incorporate such a fragment (an ‘internal base’) within the ligand structure that can easily activate substrates co-exist: i) a site in the coordination sphere of a metal center and ii) a site at a location not directly connected to the metal center (2nd coordination sphere). Well-known designs implementing the latter strategy operate *via* reversible dearomatization by deprotonation of functionalized picoline,<sup>2</sup> aminopyridine,<sup>3</sup> or pyridone fragments.<sup>4</sup> Regarding the strategy involving proton-responsive groups in the coordination sphere of a transition metal, reversible deprotonation of metal-bound functionalized amines<sup>5</sup> has been successfully applied in a variety of catalytic transformations.

Metal–carbon bonds are typically rather strong, but their bond energy can be influenced by *e.g.* strain or non-ideal orbital overlap, as present in cyclometalated species. Reversible cyclometalation at late transition metals using strong acids has been well-documented for stoichiometric scenarios,<sup>6–8</sup> but examples with low-valent metal ions such as Rh<sup>I</sup> and applications of this type of reactivity in catalytic turnover are rare, to the best of our knowledge. Metal–ligand bifunctional catalysis by reversible cyclometalation has been postulated as a possible mechanism with a few systems (Fig. 1). Mashima *et al.* discussed this strategy for the dehydrogenative silylation of phenylpyridines catalyzed by a cyclometalated iridium complex.<sup>9</sup> A similar ‘roll-over’ mechanism was suggested for base-free transfer hydrogenation with a ruthenium catalyst.<sup>10</sup> The cooperativity of a cyclometalated fragment in the ligand structure has also been proposed, on the basis of

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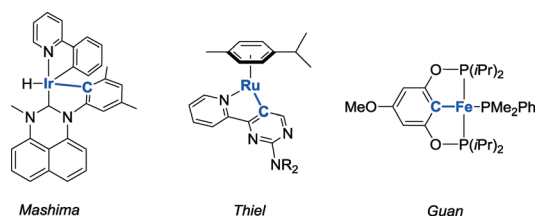
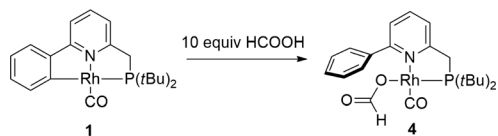


Fig. 1 Complexes that have been proposed to act as cooperative catalysts for different types of transformations *via* reversible cyclometalation.

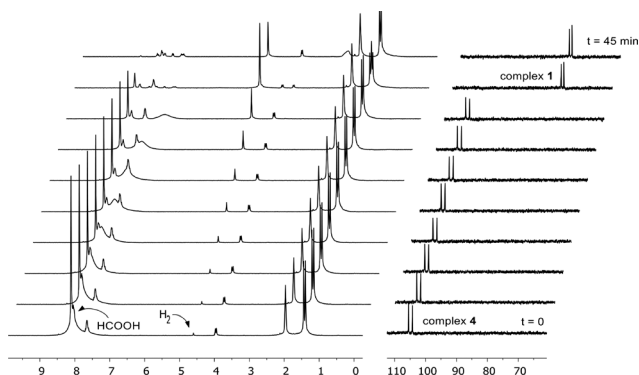




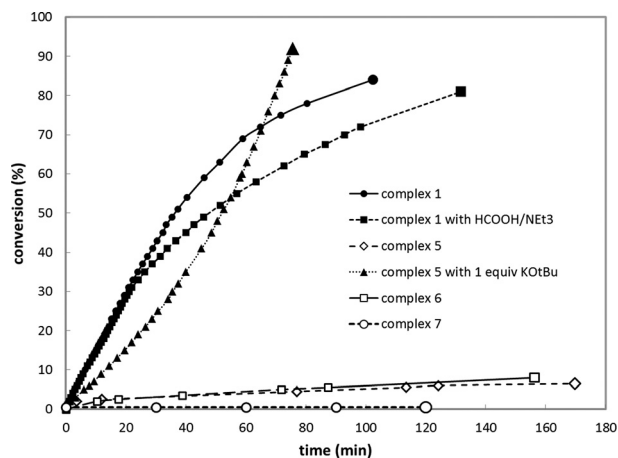




**Scheme 3** Reactivity of Rh<sup>I</sup> complex **1** toward 10 molar equiv. of HCOOH.



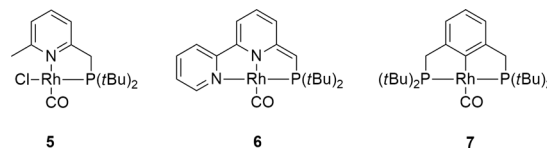
**Fig. 4** Catalytic experiment (0.02 mmol of cat. **1**, 0.4 mmol of HCOOH, 2 mL of MeCN, 55 °C → 60 °C) in a 10 mm HP-NMR tube, monitored by <sup>1</sup>H NMR (left) and <sup>31</sup>P NMR spectroscopy (right) over a time-span of 45 min. The NMR spectra are stacked under an angle of 15°.



**Fig. 5** Catalytic dehydrogenation curves.

of 1024). The gaseous fraction produced during reaction was analyzed by GC and no CO was found within the detection limit ( $\delta = 10$  ppm). Although the TOF achieved is still moderate under these (unoptimized) conditions, this represents the first example of base-free formic acid dehydrogenation using a Rh<sup>I</sup> complex.<sup>27</sup>

Control experiments using complex **5** ([Rh(Cl)(CO)(PN<sup>H</sup>)] bearing a bidentate PN<sup>H</sup> ligand, that lacks the flanking phenyl arm (Fig. 6)<sup>20,21</sup> showed very low conversion in the absence of a base, likely due to blocking of the fourth coordination site by the chloride ligand. Upon addition of one equivalent of strong base to deprotonate the PN<sup>H</sup> ligand, the system showed a similar TOF but a different reaction profile including significant substrate inhibition, suggesting a

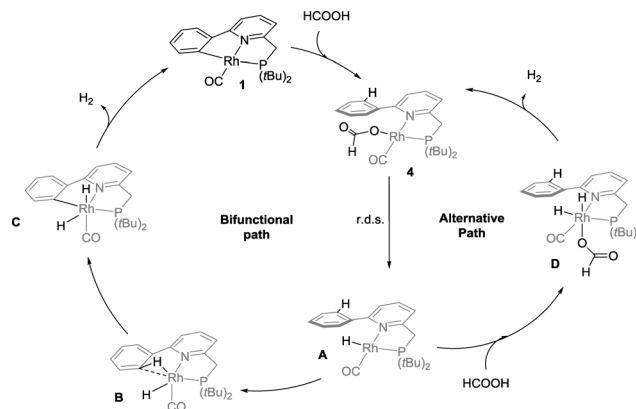


**Fig. 6** Reference complexes that have been included in this study on Rh<sup>I</sup> mediated dehydrogenation of formic acid.

different catalytic pathway for this catalyst compared to complex **1** (Fig. 4). This species likely follows a pathway involving ligand ‘dearomatization’. The known Rh<sup>I</sup>-pincer complexes [Rh(CO)(PNN\*)] (**6**) and [Rh(CO)(PCP)] (**7**) (PNN\* = 6-di(*tert*-butyl)phosphinomethine-2,2'-bipyridine; see Fig. 5)<sup>28,29</sup> barely exhibited activity, suggesting that low-coordinate geometries and the presence of a ligand with adaptable denticity are important.

### Mechanistic considerations

Based on these catalytic results and supported by DFT calculations, two catalytic cycles are conceivable (Scheme 4). The first intramolecular path involves reversible cyclometalation as the key element. The cooperative activation of formic acid over the reactive Rh–C fragment to form formate species **4** proceeds with a moderate barrier of 17.4 kcal mol<sup>-1</sup>. The transition state for a concerted hydride–proton-transfer step<sup>30</sup> could not be found, most likely because the hydride would be located in an unfavourable axial position (filled d<sub>z2</sub> orbital) at Rh. Alternatively, HCOOH could also oxidatively add to form a Rh<sup>III</sup> intermediate that can undergo reductive elimination of the C<sub>Ph</sub>–H bond. This option could not be ruled out by DFT calculations, as charged species cannot be compared to neutral species in gas phase calculations (see the ESI†). The resting state **4**, which lies –1.9 kcal mol<sup>-1</sup> lower in energy than **1**, converts to monohydride **A** *via* rate-limiting β-H elimination (18.2 kcal mol<sup>-1</sup> relative to **4**) concomitant with CO<sub>2</sub> release. Subsequent C–H oxidative addition *via* the Rh<sup>I</sup>(C–H) agostic species **B** (a close analogue of a previously isolated cationic derivative<sup>19</sup>) and facile release of H<sub>2</sub> from Rh<sup>III</sup>



**Scheme 4** Proposed mechanism for the base-free cooperative dehydrogenation of formic acid using **1** as catalyst. The DFT calculated values for the relative transition state barriers are shown in kcal mol<sup>-1</sup>.



intermediate **C** regenerate **1** as the active catalyst. The reversible C–H metalation pathway, providing a hemilabile aryl moiety, is also proposed to stabilize the Rh-species between turnovers.

A second, non-cooperative path has very similar reaction barriers and shares the same rate-limiting step (from **4** to **A**), followed by oxidative addition of a second molecule of HCOOH to form dihydride intermediate **D**, which lies 0.8 kcal mol<sup>-1</sup> higher in energy than **A**. Dihydride **D** generates H<sub>2</sub> *via* reductive elimination with a TS barrier of 5.3 kcal mol<sup>-1</sup>. Given the near-identical overall reaction profiles (with a shared rate limiting step with a barrier of ~18 kcal mol<sup>-1</sup>), both mechanisms are likely catalytically competent and thus co-exist under catalytic conditions, regenerating red species **1** during and/or after catalysis. The involvement of the cooperative path is supported by selective deuteration experiments, the isolation of an agostic C–H model complex as a relevant intermediate<sup>19</sup> and the spectroscopic observation of **4** in the presence of 10 equivalents of formic acid, followed by the regeneration of **1** with the conversion of HCOOH and release of H<sub>2</sub>.

## Conclusions

We have shown that reversible cyclometalation may be successfully employed as a motif for cooperative bond activation processes. Complex **1** readily reacts with thiols and activated amines, which leads to the protonation of the anionic carbon of the reactive flexidentate<sup>31</sup> ligand **L**. DFT calculations show that the release of dihydrogen is facile from putative monohydride complex **A**. The reaction of cyclometalated complex **1** with a small excess of formic acid results in formate adduct **4**. To demonstrate the potential of reversible cyclometalation in metal–ligand bifunctional catalysis, we have successfully employed Rh<sup>I</sup> catalyst **1** in the base-free dehydrogenation of formic acid. Experimental observations in combination with DFT studies support the cooperative mode of action based on reversible cyclometalation as a feasible mechanism.

## Experimental

### General considerations

All reactions were carried out under an atmosphere of nitrogen using standard Schlenk techniques. The reagents were purchased from commercial suppliers and used without further purification. THF, pentane, hexane and Et<sub>2</sub>O were distilled from sodium benzophenone ketyl. CH<sub>2</sub>Cl<sub>2</sub> was distilled from CaH<sub>2</sub>, and toluene from sodium under nitrogen. The NMR spectra (<sup>1</sup>H, <sup>1</sup>H{<sup>31</sup>P}, <sup>31</sup>P, <sup>31</sup>P{<sup>1</sup>H}, <sup>31</sup>P-<sup>1</sup>H and <sup>13</sup>C{<sup>1</sup>H}) were measured on a Bruker DRX 500, Bruker AV 400, Bruker DRX 300 or on a Bruker AV 300 spectrometer. The IR spectra (ATR mode) were recorded with a Bruker Alpha-p FT-IR spectrometer. The high-resolution mass spectra were recorded on a JMS-T100GCV mass spectrometer using field desorption (FD).

### Complex 2, Rh<sub>2</sub>(SCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>S)(CO)<sub>2</sub>(κ<sup>1</sup>-P-1<sup>H</sup>)<sub>2</sub>

To a solution of **1** (10 mg, 23 μmol) in CH<sub>2</sub>Cl<sub>2</sub> (1 mL) was added 1,3-propanedithiol (1.1 μL, 23 μmol), resulting in an immediate color change from red to dark yellow. The solvent was evaporated to yield **2** in quantitative yield (11 mg). <sup>1</sup>H NMR (300 MHz, 298 K, CD<sub>2</sub>Cl<sub>2</sub>, ppm): δ 8.44 (d, *J* = 6.3 Hz, 2H), 8.14–8.07 (m, 4H), 7.63–7.40 (m, 10H), 4.21–3.82 (m, 4H, CH<sub>2</sub>P), 2.95–2.69 (m, 4H), 2.42–2.30 (m, 2H), 1.53 (d, <sup>3</sup>*J*<sub>PH</sub> = 12.7 Hz, 18H, PtBu<sub>2</sub>), 1.41 (d, <sup>3</sup>*J*<sub>PH</sub> = 12.9 Hz, 18H, PtBu<sub>2</sub>). <sup>31</sup>P NMR (121 MHz, 298 K, CD<sub>2</sub>Cl<sub>2</sub>, ppm): δ 69.75 (d, <sup>1</sup>*J*<sub>RhP</sub> = 151.7 Hz). <sup>13</sup>C NMR (75 MHz, 298 K, CD<sub>2</sub>Cl<sub>2</sub>, ppm): δ 190.58 (dd, *J*<sub>RhC</sub> = 73.4 Hz, *J*<sub>CP</sub> = 14.9 Hz, CO), 157.28 (s, Py-C), 155.81 (s, Py-C), 139.48 (s, Ph-C), 136.22 (s, Py-CH), 128.71 (s, Ph-CH), 128.58 (s, 2C, Ph-CH), 126.75 (s, 2C, Ph-CH), 124.71 (d, *J* = 2.8 Hz, Py-CH), 117.89 (s, Py-CH), 38.67 (s, SCH<sub>2</sub>CH<sub>2</sub>), 37.31 (d, *J* = 16.2 Hz, PC(CH<sub>3</sub>)<sub>3</sub>), 36.93 (dd, *J* = 15.7, 1.3 Hz, CH<sub>2</sub>P), 31.71 (d, *J* = 13.0 Hz, SCH<sub>2</sub>CH<sub>2</sub>), 30.16 (dd, *J* = 17.4, 3.8 Hz, PC(CH<sub>3</sub>)<sub>3</sub>). IR (ATR, cm<sup>-1</sup>): ν<sub>CO</sub> 1938. HRMS (FD): *m/z* calcd for C<sub>44</sub>H<sub>62</sub>N<sub>2</sub>OP<sub>2</sub>Rh<sub>2</sub>S<sub>2</sub>: 966.18888 [M-CO]<sup>+</sup>; found: 966.18386.

### Complex 3, Rh(NHSO<sub>2</sub>CF<sub>3</sub>)(CO)(κ<sup>2</sup>-P,N-1<sup>H</sup>)

To a solution of **1** (12 mg, 27 μmol) in CH<sub>2</sub>Cl<sub>2</sub> (1 mL) was added trifluoromethylsulfonamide (4 mg, 27 μmol), resulting in a color change from red to orange within 5 min at room temperature. The solvent was evaporated to yield **3** in quantitative yield (16 mg). <sup>1</sup>H NMR (300 MHz, 298 K, CD<sub>2</sub>Cl<sub>2</sub>, ppm): δ 8.20–8.12 (m, 2H, Ph), 7.90 (t, *J* = 7.8 Hz, 1H, Py), 7.68–7.59 (m, 3H, Ph), 7.52 (t, *J* = 8.3 Hz, 2H, Py), 3.75 (d, <sup>2</sup>*J*<sub>PH</sub> = 9.3 Hz, 2H, CH<sub>2</sub>P), 1.41 (d, <sup>3</sup>*J*<sub>PH</sub> = 14.1 Hz, 18H, PtBu<sub>2</sub>), 1.14 (s, 1H, NH). <sup>31</sup>P NMR (121 MHz, 298 K, CD<sub>2</sub>Cl<sub>2</sub>, ppm): δ 103.70 (d, <sup>1</sup>*J*<sub>RhP</sub> = 152.0 Hz). <sup>19</sup>F NMR (282 MHz, 298 K, CD<sub>2</sub>Cl<sub>2</sub>, ppm): δ -78.68. <sup>13</sup>C NMR (75 MHz, 298 K, CD<sub>2</sub>Cl<sub>2</sub>, ppm): δ 189.59 (dd, *J*<sub>RhC</sub> = 75.5 Hz, *J*<sub>CP</sub> = 17.7 Hz, CO), 161.58 (s, Py-C), 161.50 (dd, *J* = 4.7, 1.8 Hz, Py-C), 139.03 (s, Py-CH and Ph-C), 130.57 (s, Ph-CH), 128.62 (s, Ph-CH), 128.52 (s, Ph-CH), 124.17 (s, Py-CH), 121.48 (d, *J* = 9.2 Hz, Py-CH), 120.88 (q, *J*<sub>CF</sub> = 325.5 Hz, CF<sub>3</sub>), 35.32 (dd, *J* = 20.8, 2.3 Hz, CH<sub>2</sub>P), 34.78 (d, *J* = 20.1 Hz, PC(CH<sub>3</sub>)<sub>3</sub>), 28.92 (d, *J* = 4.2 Hz, PC(CH<sub>3</sub>)<sub>3</sub>). IR (ATR, cm<sup>-1</sup>): ν<sub>CO</sub> 1973. HRMS (FD): *m/z* calcd for C<sub>22</sub>H<sub>30</sub>F<sub>3</sub>N<sub>2</sub>O<sub>3</sub>PrhS: 593.07219 [M]<sup>+</sup>; found: 593.07219.

### Complex 4, Rh(OCH(O)(CO)(κ<sup>2</sup>-P,N-1<sup>H</sup>))

To a solution of **1** (4.4 mg, 10 μmol) in CDCl<sub>3</sub> (0.6 mL) was added formic acid (9.2 mg, 200 μmol), resulting in an immediate color change from red to yellow at room temperature. Due to its unstable nature, this species was only characterized *in situ* using NMR spectroscopy. <sup>1</sup>H NMR (400 MHz, 298 K, CDCl<sub>3</sub>, ppm): δ 8.01–7.94 (m, 2H, *o*-Ph), 7.82 (ddd, *J* = 7.8, 7.8, 1.0 Hz, 1H, Py), 7.57–7.39 (m, 5H, 2Py, *m*-Ph, *p*-Ph), 3.73 (d, <sup>2</sup>*J*<sub>PH</sub> = 9.6 Hz, 2H, CH<sub>2</sub>P), 1.38 (d, <sup>3</sup>*J*<sub>PH</sub> = 14.3 Hz, 18H, PtBu<sub>2</sub>). <sup>31</sup>P NMR (162 MHz, 298 K, CDCl<sub>3</sub>, ppm): δ 105.29 (d, <sup>1</sup>*J*<sub>RhP</sub> = 166.8 Hz).



### Complex 6, Rh(Cl)(CO)(κ<sup>2</sup>-*P,N*-2-methyl-6-((di-*tert*-butylphosphino)-methyl)pyridine)

To a solution of 2-methyl-6-((di-*tert*-butylphosphino)methyl)pyridine (0.025 g, 0.010 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (0.5 mL) was added a solution of [Rh(CO)<sub>2</sub>(μ-Cl)]<sub>2</sub> (0.019 g, 0.005 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (2 mL) and the reaction mixture was stirred overnight. After evaporation of the solvent, the product was washed with pentane (1 mL), yielding the desired complex as yellow powder (0.038 g, 0.092 mmol, 92%). <sup>1</sup>H NMR (300 MHz, 298 K, acetone-*d*<sub>6</sub>, ppm): δ 7.77 (virtual t, *J* = 7.7 Hz, 1H, Py), 7.46 (d, *J* = 7.7 Hz, 1H, Py), 7.23 (d, *J* = 7.7 Hz, 1H, Py), 3.93 (d, <sup>2</sup>*J*<sub>PH</sub> = 9.6 Hz, 2H, CH<sub>2</sub>P), 3.10 (s, 3H, Py-CH<sub>3</sub>), 1.32 (d, <sup>3</sup>*J*<sub>PH</sub> = 13.9 Hz, 18H, *t*Bu<sub>2</sub>). <sup>31</sup>P NMR (121 MHz, 298 K, CDCl<sub>3</sub>, ppm): δ 106.12 (d, <sup>1</sup>*J*<sub>RhP</sub> = 165.0 Hz). <sup>13</sup>C NMR (75 MHz, 298 K, acetone-*d*<sub>6</sub>, ppm): δ 191.85 (dd, <sup>1</sup>*J*<sub>RhC</sub> = 73.4, <sup>2</sup>*J*<sub>CP</sub> = 14.5 Hz, CO), 163.78 (s, Py-C), 162.52 (d, *J* = 3.9 Hz, Py-C), 139.74 (s, Py-CH), 124.56 (Py-CH), 121.46 (d, *J* = 9.0 Hz, Py-CH), 36.05 (dd, <sup>1</sup>*J*<sub>CP</sub> = 20.3 Hz, <sup>2</sup>*J*<sub>RhC</sub> = 2.3 Hz, CH<sub>2</sub>P), 35.42 (d, <sup>1</sup>*J*<sub>CP</sub> = 20.7 Hz, PC(CH<sub>3</sub>)<sub>3</sub>), 29.48 (d, <sup>2</sup>*J*<sub>CP</sub> = 4.5 Hz, PC(CH<sub>3</sub>)<sub>3</sub>), 28.19 (s, Py-CH<sub>3</sub>). IR (ATR, cm<sup>-1</sup>): ν<sub>CO</sub> 1958. HRMS(FD): *m/z* calcd C<sub>16</sub>H<sub>26</sub>ClNOPRh: 417.04956 [M]<sup>+</sup>; found: 417.04984.

### Catalytic dehydrogenation experiments

In a typical experiment, compound 1 (10 μmol) was added to the solvent (1 mL) in a 5 mL Schlenk tube equipped with a condenser and connected to a water replacement set-up. The reaction mixture was heated to the desired temperature (e.g. 75 °C) and stirred for 10 minutes. Formic acid (75 μL, 2 mmol) or the azeotrope HCOOH/NEt<sub>3</sub> (187 μL, 2 mmol HCOOH) was added to the reaction mixture and the evolved gas was collected. In the case of complex [RhCl(CO)(PN<sup>H</sup>)], one equivalent of potassium *tert*-butoxide in THF (1 M) was added at r.t. to abstract the chloride ligand. After stirring this mixture for 5 min, 75 μL HCOOH was added. The mixture was rapidly heated to 75 °C and the evolved gas was collected. The set-up was calibrated with a Brooks flow meter type 1054-3C and the evolved gases were analyzed with a G-A-S Compact GC (Rt-MSieve 5A 20 m × 0.32 mm + Rt-Q-bond 2 m × 0.32 mm).

### X-ray crystal structure determination of complex 2

C<sub>45</sub>H<sub>62</sub>N<sub>2</sub>O<sub>2</sub>P<sub>2</sub>RhS<sub>2</sub>, *F*<sub>w</sub> = 994.85, yellow block, 0.25 × 0.19 × 0.09 mm<sup>3</sup>, monoclinic, *P*<sub>2</sub><sub>1</sub>/*n* (no. 14), *a* = 12.7487(4), *b* = 19.7725(6), *c* = 18.4361(5) Å, β = 103.046(1)°, *V* = 4527.3(2) Å<sup>3</sup>, *Z* = 4, *D*<sub>x</sub> = 1.460 g cm<sup>-3</sup>, μ = 0.93 mm<sup>-1</sup>. 60 826 reflections were measured on a Bruker Kappa ApexII diffractometer with a sealed tube and a Triumph monochromator (λ = 0.71073 Å) at a temperature of 150(2) K up to a resolution of (sin θ/λ)<sub>max</sub> = 0.65 Å<sup>-1</sup>. The X-ray intensities were measured on a Bruker Kappa ApexII diffractometer with a sealed tube and a Triumph monochromator (λ = 0.71073 Å) at a temperature of 150(2) K. The intensities were integrated with the Eval15 software.<sup>32</sup> Multi-scan absorption correction and scaling was performed with SADABS<sup>33</sup> (correction range 0.67–0.75). 10 392 reflections were unique (*R*<sub>int</sub> = 0.039), of which 8330

were observed [*I* > 2σ(*I*)]. The structure was solved with Patterson superposition methods using SHELXT.<sup>34</sup> Least-squares refinement was performed with SHELXL-97 (ref. 35) against *F*<sup>2</sup> of all reflections. Non-hydrogen atoms were refined freely with anisotropic displacement parameters. All hydrogen atoms were located in difference Fourier maps and refined using a riding model. 508 parameters were refined with no restraints. *R*<sub>1</sub>/*wR*<sub>2</sub> [*I* > 2σ(*I*): 0.0255/0.0542. *R*<sub>1</sub>/*wR*<sub>2</sub> [all refl.]: 0.0396/0.0580. *S* = 1.023. Residual electron density between -0.32 and 0.32 e Å<sup>-3</sup>. CCDC 1422009 contains the supplementary crystallographic data for this paper.

### DFT calculations

Geometry optimizations were carried out with the Turbomole program package<sup>36</sup> coupled to the PQS Baker optimizer<sup>37</sup> via the BOpt package,<sup>38</sup> at the ri-DFT level using the BP86 (ref. 39) functional and the resolution-of-identity (ri) method.<sup>40</sup> We optimized the geometries of all stationary points at the def2-TZVP basis set level,<sup>41</sup> using Grimme's dispersion corrections (disp3 version)<sup>42</sup> and a tight energy grid (m5). The identity of the transition states was confirmed by following the imaginary frequency in both directions (IRC). All minima (no imaginary frequencies) and transition states (one imaginary frequency) were characterized by calculating the Hessian matrix. ZPE and gas-phase thermal corrections (entropy and enthalpy, 298 K, 1 bar) from these analyses were calculated using standard thermodynamics.

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