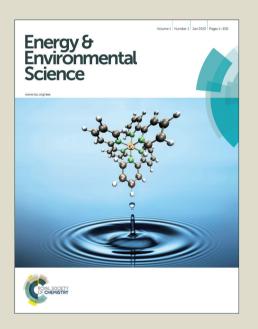
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# **Dual Nature of the Excited State in Organic-Inorganic Lead Halide Perovskites**

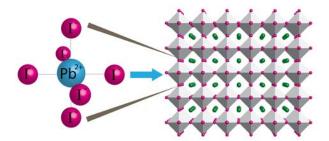
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# **TOC Graphic**



A dual nature of the excited state of CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> perovskites is discovered, which fully identifies the spectral features in transient absorption spectroscopy.

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### Abstract

The rapid increase in efficiency of methylammonium lead halide perovskite solar cells necessitates further investigation into the nature of perovskite absorption features and optical properties. Films obtained from the deposition of solutions containing lead halides and the CH<sub>3</sub>NH<sub>3</sub><sup>+</sup> organic cation is known to yield the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> perovskite structure upon annealing. In examining the precursor solution used in the processing of CH₃NH₃PbI₃ solar cells, we find that Pb²+ readily forms plumbate complexes in the presence of excess iodide ions and exhibits characteristic absorption bands at 370 (Pbl<sub>3</sub>-) and 425 nm (Pbl<sub>4</sub><sup>2</sup>-). Through comparative spectral analysis of the absorption features of charge transfer complexes in the solution phase and the final solid-state perovskite films, we are able to fully classify the absorption features in the excited state of CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> across the transient absorption spectrum recorded following laser pulse excitation. In particular, we attribute the broad photoinduced absorption to a charge-transfer excited state, and show correlation between the photoinduced absorption and 480 nm bleach signals. These observations lead us to propose a band structure composed of two distinct transitions that is consistent with the various spectral features and kinetic behavior of the CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> excited state. Characterization of this unique dual excited state nature provides further insight into the optoelectronic behavior of hybrid lead halide perovskite films and thus aids in elucidating their exceptional photovoltaic properties.

Keywords: organic-inorganic perovskites, iodoplumbate complex, transient absorption spectroscopy, PbI<sub>2</sub>, CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>, annealing effects

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The development of thin film solar cells with efficiencies comparable to commercial PV technology is attractive due to simplicity of fabrication and lower carbon footprint. The recent breakthroughs in developing organic-inorganic metal halide perovskite-based solar cells have delivered efficiencies in the range of 17-19%. Whereas the majority of recent efforts have focused towards fabrication of high-efficiency perovskite solar cells, less is known about the nature of the excited state that leads to charge separation and ultimately charge extraction. Using femtosecond transient absorption spectroscopy, we have now identified the dual nature of the CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> excited state. We find that both a charge separated state and charge transfer state explain the overall behavior of the excited state. The spectral characterization and kinetic profiles presented here provide insight into the excited state behavior of CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> films. These results have

important implications in understanding the photoinduced processes in perovskite solar cells.

The unusually high photovoltaic performance of methylammonium lead halide perovskites has drawn considerable attention in understanding the primary photoinduced events that lead to charge separation. The excited state properties of these hybrid materials are of particular interest considering their efficacy when coupled with both electron accepting and insulating metal oxides and in planar thin film photovoltaic architectures. Additionally, ambipolar carrier diffusion within lead halide perovskites further highlights the unique excited state character of this peculiar class of semiconductors. The quest to design high efficiency (>20%) perovskite solar cells demands better understanding of the nature of interactions in the ground state as well as the excited state behavior leading to efficient charge separation and extraction. The quest interaction is the ground state as well as the excited state behavior leading to efficient charge separation and extraction.

One of the most promising attributes of hybrid perovskites for device applications is their ability to be processed from solution and at low temperatures (~100 °C). Despite these low-energy requirements, organic-inorganic perovskites display surprisingly excellent semiconductor properties, as evidenced by the limited contribution from trap states to the overall excited state dynamics and the significant deviation from simple Langevin recombination kinetics. A wide variety of methods for depositing high-quality perovskite thin films have been developed, including solution-based sequential deposition and coevaporation of the solid precursor components. However, the most facile technique involves a single-step deposition of the perovskite precursors from solution. This method entails dissolving both the PbX2 and RNH3X compounds in a common solvent such as dimethylformamide, dimethyl sulfoxide, and/or

γ-butyrolactone. The solution is then cast onto a planar or mesostructured film by spin coating followed by drying/annealing, during which time the precursor components self-assemble into the final perovskite phase with the general AMX<sub>3</sub> stoichiometry.<sup>1, 19-22</sup> For the 3-dimensional hybrid perovskites discussed here, A is a small organic cation (e.g., CH<sub>3</sub>NH<sub>3</sub><sup>+</sup>), M is a divalent group 14 metal (Sn<sup>2+</sup>, Pb<sup>2+</sup>), and X is a halide ion (I<sup>-</sup>, Br<sup>-</sup>, Cl<sup>-</sup>).

The solution-based processing of hybrid perovskites necessarily leads to an intriguing question: What is the nature and identity of the species that comprise the precursor solution, and can characterizing their properties help us better understand the perovskite excited state? It is well established that transition metals with an s² electron configuration (i.e. Ti⁺, Sn²⁺, Pb²⁺, Sb³⁺, Bi³⁺, Te⁴⁺) form labile complexes with halogens in a range of solvents.²³ For Pb²⁺, these complexes are referred to as 'plumbates' (e.g., triiodoplumbate (PbI₃⁻) and tetraiodoplumbate (PbI₄²⁻)) and are investigated herein.²³ It is difficult to isolate complexes with an exact number of halogens for these metals due to equilibrium between multiple halogenated complexes. Though these complexes are kinetically labile, varying the concentration of I⁻ allows one to spectroscopically characterize different forms of the iodoplumbate complex.²³

Initial investigations into the methylammonium lead halide excited state focused primarily on charge mobility and transfer rates to selective electron- and hole-accepting materials.<sup>24-26</sup> Since then, additional pump-probe spectroscopic studies in both the visible and terahertz spectral regions have revealed the intrinsic nature of photoinduced charge carriers and their recombination mechanisms within these materials.<sup>14, 15, 25, 27-30</sup> These studies have provided insight into the optoelectronic properties of hybrid perovskites, including the large fraction of free carriers generated under non-resonant (E<sub>excitation</sub> > E<sub>gap</sub>) room temperature photoexcitation. This observation, of course, is important for photovoltaic applications. Yet given this growing body of spectroscopic information, full characterization of the broad and complex spectral features across the visible region of the lead halide perovskite excited state remains elusive. In particular, questions remain regarding the transient photoinduced bleaching at 480 nm and induced absorption extending from approximately 550 to 680 nm.

Initially, Xing, et al. attributed the two photoinduced bleaches that arise following laser pulse excitation of CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> to a dual valence band structure,<sup>25</sup> although they recognized that this type of configuration could not fully account for the broad photoinduced absorption. Recently it has been suggested that the bleaching at 480 nm stems from a Pbl<sub>2</sub> passivation layer at the interface between oxide support and lead halide perovskite.<sup>31</sup> In both the methylammonium lead iodide structure and the Pbl<sub>2</sub> rhombus structure (i.e. plumbous iodide), Pb<sup>2+</sup> is coordinated to six I<sup>-</sup> ligands. The obvious question is how the excited state chemistry of the lead iodide influences the overall excited state behavior of the organic-

inorganic metal halide framework under visible excitation. Using both steady-state and time-resolved absorption spectroscopy, we have now probed the formation of iodoplumbate complexes in the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> perovskite precursor solution and established the role of charge transfer states in dictating the net photoinduced charge separation in CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>.

## **Results and Discussion**

# Complexation between PbI2 and Iodide Ions

Pbl<sub>2</sub> is a well-known semiconductor with limited solubility in water, <sup>32-34</sup> and colloidal particles of Pbl<sub>2</sub> have been shown to exhibit semiconducting properties. Interestingly, in the presence of excess iodide ions, Pbl<sub>2</sub> undergoes complexation to produce soluble Pbl<sub>3</sub>-, Pbl<sub>4</sub><sup>2--</sup>, and higher coordinated plumbate species. In order to probe the complexation between Pbl<sub>2</sub> and CH<sub>3</sub>NH<sub>3</sub>I, we monitored the changes in the absorption spectra of a Pbl<sub>2</sub> solution at different concentrations of CH<sub>3</sub>NH<sub>3</sub>I (Figure 1A). With increasing concentration of CH<sub>3</sub>NH<sub>3</sub>I, two new absorption bands appear with maxima at 370 and 425 nm, respectively. The growth of absorbance monitored at these two maxima (Figure 1B) show different dependence on the methylammonium iodide concentration. The growth of absorption at 425 nm saturates at lower concentration than at 370 nm. The appearance of concentration dependent absorption bands suggests the presence of two separate complexation equilibria. These observations are consistent with the reported complexation between Pbl<sub>2</sub> and I<sup>-</sup> and calculated absorption spectra for each of the triand tetra-iodoplumbate complexes (Equilibria 1 and 2).<sup>23</sup>

$$Pbl_2 + l^- \leftarrow K_1 \Rightarrow Pbl_3^- \tag{1}$$

$$Pbl_3^- + l^- \leftarrow K_2 \Rightarrow Pbl_4^{2-}$$
 (2)

We further evaluated the complexation process by analyzing the absorption change using Benesi-Hildebrand plots. The linear relationship of the double reciprocal plot  $(1/\Delta A \text{ vs } 1/[I^-])$  (Figure S1 in the supporting information) for two separate concentration ranges of iodide confirms the presence of the two equilibria illustrated in (1) and (2). From the slope and intercept of the linear plot we obtain equilibrium constants of 54 M<sup>-1</sup> for K<sub>1</sub> and 6 M<sup>-1</sup> for K<sub>2</sub>. The average association constant for the tri- and tetra-iodoplumbate in acetonitrile observed in the present experiments agrees well with the qualitative estimate of 27 M<sup>-1</sup> obtained as a combined value for the two equilibria. The present study enables determination of two separate equilibrium constants.

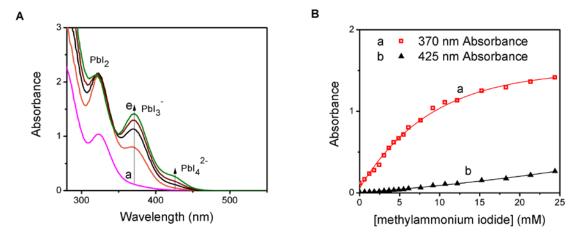


Figure 1. A) Absorption spectra of 250  $\mu$ M PbI<sub>2</sub> solution in dimethylformamide (a) with increasing concentration of MAI from 6 mM (b) to 24 mM (e), and B) the change in absorbance monitored at the 370 nm peak for PbI<sub>3</sub><sup>-</sup> and 425 nm for PbI<sub>4</sub><sup>2-</sup> as a function of the MAI/Pb<sup>2+</sup> ratio.

The presence of the organic cation (*viz.*, CH<sub>3</sub>NH<sub>3</sub>\*) is integral in determining the final hybrid perovskite structure upon drying and annealing of the iodoplumbate film.<sup>27</sup> Geometries ranging from 1-D polymeric chains to 3-D isotropic crystal structures can form depending on the size and identity of the organic component.<sup>38</sup> The obvious question is whether the organic cation plays a role in the initial complexation process. We probed the cation effect by repeating the experiment in Figure 1, replacing CH<sub>3</sub>NH<sub>3</sub>I with KI. The absorption changes seen with increasing KI concentration are shown in Figure S2 (supporting information). The appearance of absorption bands at 370 nm and 425 nm, similar to Figures 1A and B, confirms that PbI<sub>2</sub> undergoes complexation with iodide ions irrespective of the nature of the cation. Thus, the yellow-colored precursor solution employed in the preparation of organometal halide perovskite films for solar cell devices is essentially a lead halide complex (PbI<sub>x</sub><sup>n-</sup>). The ratio of PbI<sub>2</sub>:I<sup>-</sup> determines the type of initial complex formed in this system and hence careful control of this complex is important to obtain perovskite structure in the annealed films. Given its ability to coordinate with six ligands, we can expect up to six I<sup>-</sup> ions to associate with a single Pb<sup>2+</sup> ion in the film. Because of the solubility limitation of iodide, it is not possible to probe higher order complexes in solution.

Excited State Behavior of  $Pbl_x^{n-}$  Complex in Solution. The photochemical activity of  $Pbl_2$  semiconductor colloids as well as lead halide complexes is well documented in the literature.<sup>34</sup> The absorption properties of plumbate complexes are determined by the octahedrally coordinated Pb and have been associated with the halide-to-metal charge transfer absorptions.<sup>23, 39</sup> Despite the intense efforts to fabricate high efficiency solar cells with varying composition of lead and halide counterparts, relatively few studies have

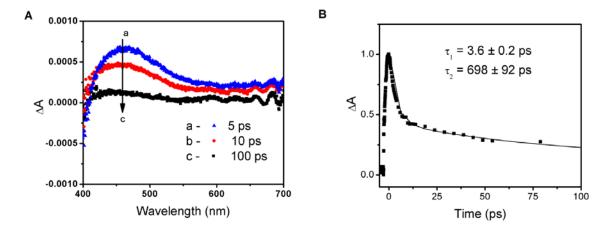


Figure 2. A) Time-resolved transient absorption spectra recorded following 387 nm laser pulse excitation of methylammonium lead iodide precursor solution with 250  $\mu$ M PbI<sub>2</sub> and 24 mM MAI in dimethylformamide and B) Absorption—time profile of the induced absorption for the same precursor solution monitored at 500 nm (the difference absorption maximum is normalized to value 1).

focused on elucidation of the fundamental properties of these perovskite films in the excited state. 14, 24-26, 39

The transient absorption spectra recorded following 387 nm laser pulse excitation of the  $Pbl_x^{n-}$  precursor solution are shown in Figure 3A. The transient bleaching below 410 nm is accompanied by an induced absorption with a maximum around 460 nm. The absorption-time profile recorded at 500 nm shows a quick decay of the transient with a lifetime of  $3.6 \pm 0.2$  ps, followed by a longer lived component with a lifetime of  $698 \pm 92$  ps. In order to establish the identity of the transient absorption peak at 460 nm, we compared the induced absorption spectrum with various iodide species ( $I^-$ ,  $I_3^-$ ,  $I_2$  etc.). It is interesting to note that the induced absorption has close spectral match with the spectrum of  $I_2$  in dimethylformamide solution (see Figure S3 in supporting information). Given this spectral similarity, we assign this transient formed following the excitation of the  $Pbl_x^{n-}$  complex to a species similar to  $I_2$ .

$$Pbl_{x}^{n-} + h\nu \longrightarrow (Pbl_{x-2}^{n-} \cdots l_{2})$$
(3)

As shown previously,  $^{23, 39}$  ligand-to-metal charge transfer in the excited state leads to transfer of electrons from  $I_3$  to  $Pb^{2+}$ . The formation of  $I_2$  species formed within the complex is short-lived, as it decays to regenerate the complex. The appearance of this transient following laser pulse excitation indicates that the excited state is photoactive and is capable of undergoing charge transfer within the complex. The charge transfer characteristics of  $PbI_x^{n-}$  and its ability to undergo photochemical charge separation remains an important feature of the unannealed perovskite films.

Excited State Behavior of Perovskite films. In designing CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> thin films, one usually casts a layer of the yellow-colored precursor solution prepared with PbI<sub>2</sub> and CH<sub>3</sub>NH<sub>3</sub>I on a conducting electrode surface or mesoscopic metal oxide film. Figure 3A shows the absorption spectra recorded following the deposition of the precursor solution onto a mesoporous alumina scaffold, along with the spectrum of the precursor solution. The wide band gap alumina support prevents unwanted charge transfer events that could convolute the intrinsic excited state properties of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> (see supporting information for experimental details on perovskite film preparation).

The absorption spectrum of the as-deposited film before annealing (spectrum b in Figure 3) shows absorption maxima at 365 and 420 nm that closely resemble the plumbate complexes seen in solution (spectrum a). Given the anionic nature of the complex, the cation (CH<sub>3</sub>NH<sub>3</sub>\*) is expected to be associated with the complex in the film as the solvent molecules are removed during the drying process. The absorption of the unannealed film extends into the visible as a result of partial conversion of the plumbate complex to the perovskite structure upon drying. The X-ray diffraction (XRD) pattern of the solid-state film before annealing indeed reveals reflections in good agreement with the tetragonal phase of CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> (see Figure S4 in Supporting Information), indicating some degree of perovskite crystallite formation within the mesoporous support.<sup>2, 40</sup> This initial perovskite formation prior to annealing is expected given the stoichiometric ratio of the precursor components (CH<sub>3</sub>NH<sub>3</sub>I:Pbl<sub>2</sub>) and the tendency of the precursors to rapidly crystallize when deposited from a relatively weak coordinating solvent such as DMF (compared to the stronger coordination between Pbl<sub>2</sub> and DMSO).<sup>7</sup> It has been reported previously that increasing

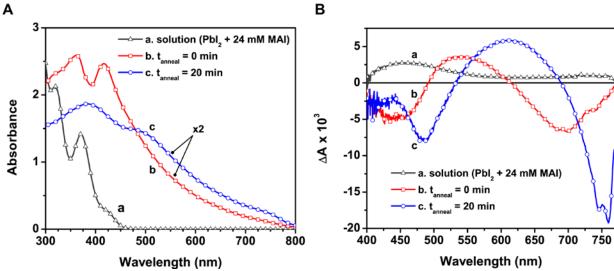


Figure 3. A) Ground state absorption spectra of (a) the perovskite precursor solution in DMF, (b) asdeposited film from a 1:1  $CH_3NH_3I:PbI_2$  solution on alumina, and (c) after annealing the deposited film at 100 °C for 20 min. B) Excited state spectra of the same samples recorded 5 ps after 387 nm femtosecond laser pulse excitation in an evacuated cell.

the CH<sub>3</sub>NH<sub>3</sub>I:PbI<sub>2</sub> molar ratio requires longer annealing times and/or higher temperatures to drive mass loss and initiate perovskite crystal formation.<sup>15, 41</sup>

Though we observe partial perovskite formation upon thin film deposition, the similarity of spectral features at wavelengths below 500 nm in spectra a and b (Figure 3A) and the lack of features near the band edge transition (760 nm, spectrum b) indicate that the electronic transitions in both cases are dictated primarily by the PbI<sub>x</sub><sup>n-</sup> complex. Thus the organic cation acts largely as a spectator (*viz.*, charge neutralizer) at this early stage, with minimal influence on the ground state absorption characteristics. Earlier reports have shown other plumbate complexes possess optical properties that are dominated by the hexagonally coordinated lead, and that the various cations balance charges but have little effect on the light absorption properties.<sup>38, 42</sup>

When annealed at 100 °C for 20 min, the color of the film changes from light yellow-brown to dark brown, indicating structural transformations to produce the perovskite morphology. The absorption peaks seen at 365 and 420 nm disappear and broadened peaks now appear at 480 and 760 nm (spectrum c in Figure 3A). The changes seen in the absorption features following the annealing process reflect full transformation of the [Pblx<sup>n-</sup> (CH3NH3)+] complex into the CH3NH3Pbl3 perovskite. Additionally, the characteristic CH3NH3Pbl3 X-ray reflections become more intense with annealing (Figure S4, supporting information). Although we cannot discern various degrees of order in the XRD analysis reported here, previous X-ray scattering measurements have revealed an interplay between crystalline and amorphous hybrid perovskite phases within mesoporous supports. While annealing of perovskite films increases the fraction of crystalline domains, further studies are required to pinpoint the specific absorption characteristics of both disordered and ordered CH3NH3Pbl3 regions. We can however rule out a transition from amorphous to crystalline perovskite as the sole cause for the change upon annealing, as the unannealed sample exhibited no characteristic band edge peak and closely resembled the absorbance of the precursor solution.

Several studies employing XRD, grazing incidence wide-angle X-ray scattering, and scanning electron microscopy have probed the structural transformation from a lead halide complex to the final perovskite phase.<sup>1, 44, 45</sup> In particular, Tan, *et al.* identified a crystalline precursor phase following deposition of a mixed halide precursor solution containing CH<sub>3</sub>NH<sub>3</sub>I and PbCl<sub>2</sub> that appears prior to perovskite crystal formation.<sup>44</sup> Manifestation of this unknown crystalline precursor was shown to be a function of processing conditions such as annealing atmosphere and time/temperature. It was posited that this precursor compound influences the final perovskite thin film morphology and ultimately the device performance. These recent results suggest that characterizing the individual components and

precursors that precede hybrid lead halide perovskite formation can enable improved control over material and optoelectronic properties.

To investigate the excited state properties of the iodoplumbate complex in the solid state, the asdeposited and annealed films were transferred into a spectroscopic cell, evacuated, and subjected to laser pulse excitation. The transient spectra recorded following 387 nm laser pulse excitation are shown in Figure 3B. The excited state spectrum of the as-deposited film displays bleaching at 440 and 680 nm, whereas the annealed film exhibits bleaching at 480 and 760 nm. The bleaching centered at 680 nm of the as-deposited film arises most likely as a result of formation of a small amount of CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> upon drying (*vide supra*). Both samples exhibit a broad photoinduced absorption. Shown also in Figure 3B is the excited state spectrum of the precursor solution, which has a similar photoinduced absorption in the visible and a probable bleaching below 400 nm. The red-shifted spectral features in the as-deposited film compared to the precursor solution are expected considering higher order coordination between Pb<sup>2+</sup> and I' dominates in the solid-state films. Qualitative comparison of these spectral signals suggests that a charge transfer complex nature persists in the excited state of the as-deposited and annealed films.

Time-resolved transient absorption spectra recorded following 387 nm laser pulse excitation of the annealed film are shown in Figure 4A. Two bleaching bands prominently seen at 480 and 760 nm were attributed to the transitions seen in the ground state absorption spectrum. A previous study attributed the origin of these two bands to transitions between two valence bands (VB1 & VB2) and a common conduction band minimum (CB1).<sup>25</sup> As discussed in our earlier study, the lower energy transition (760 nm bleach) arises from intrinsic charge separation corresponding to the band edge transition (reaction 4).<sup>14</sup>

$$CH_3NH_3PbI_3 + h\nu \longrightarrow CH_3NH_3PbI_3 [h + e] \longrightarrow CH_3NH_3PbI_3$$
 (4)

Based on the observed second order recovery of the 760 nm bleach, we suggested that charge recombination proceeds principally through nongeminate bimolecular recombination across a broad range of excitation intensities. <sup>14</sup> The band filling model established in this study further corroborated this conclusion and revealed the limited contribution from excitonic states in CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> following room temperature laser pulse excitation. The relatively low exciton binding energy (30-50 meV) estimated for CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> facilitates the direct formation of a large fraction of photogenerated free charge carriers in CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>. <sup>12, 46</sup> Furthermore, theoretical investigations into possible dielectric screening by the organic cation suggest the binding energy may be well below the 26 meV of thermal energy at room temperature. <sup>47</sup>

One of the distinguishable features of the two bleaching bands seen in the transient spectra (Figure 4A) is the narrowing of the 760 nm bleaching band with time. The spectral narrowing, which is also

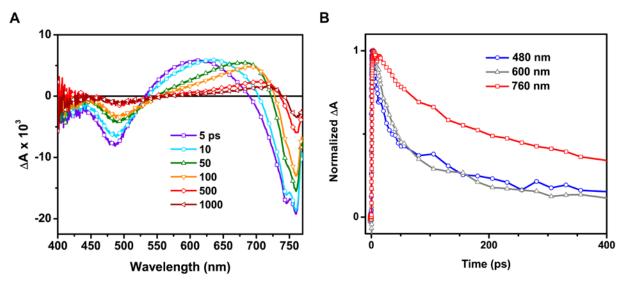


Figure 4. (A) Transient absorption spectra recorded following 387 nm excitation of annealed CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> on a mesoporous alumina support at various pump-probe delay times. (B) Difference absorption-time profiles recorded at 480 (blue trace), 600 (grey trace), and 760 nm (red trace).

reflected as a red-shift in the zero absorbance cross over point with time, can be well described by the dynamic Burstein-Moss or band filling model.<sup>14</sup> As a result of this shift, we fail to observe a clean isosbestic point between the bleaching band in the 680-740 nm region and induced absorption. This spectral behavior in the 680-800 nm region fails to correlate with the other parts of the transient absorption spectrum, indicating the presence of additional transient species.

In addition to examination of the excited state spectral features, we also observed significant discrepancies in the excited state recovery kinetics at 480, 600, and 760 nm. Interestingly, the induced absorption seen in the 550-680 nm region and the bleaching at shorter wavelengths (<500 nm) in the annealed films are closely associated. We base this argument on two facts: (1) The presence of a 530 nm isosbestic point between the two bands and (2) similar decay lifetimes at 480 and 600 nm. A set of transient decay profiles are compared in Figure 4B. Fitting the kinetics to a biexponential decay reveals that the recovery at 480 and induced absorption at 600 nm match well with an average lifetime of  $97 \pm 7$  and  $105 \pm 6$  ps, respectively (see supporting information for details on data fitting). These average lifetimes deviate markedly from that seen at 760 nm (313  $\pm$  37 ps). It should be noted that these lifetimes are shorter than those determined in other studies utilizing time-resolved spectroscopic techniques due to the relatively high excitation fluence employed here (~19  $\,$ uJ/cm²).<sup>24, 25</sup> As we have demonstrated previously, the intrinsic bimolecular recombination kinetics are a strong function of excitation intensity in  $CH_3NH_3Pbl_3$ .<sup>14</sup>

Based on the complete analysis of the spectral data for the precursor solution and solid-state samples, we propose the existence of a dual excited state composed of a charge transfer band (480 nm) and charge separated band gap state (760 nm) in CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> (Figure 5). We assign the spectral features below 680 nm (induced absorption and bleach) in the fully formed CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> perovskite to those of the excited complex that undergoes charge transfer to produce an I<sub>2</sub>-like species. Furthermore, the charge transfer excited state can be generated with lower energy (590 nm) excitation as well (Figure S5), indicating that the absorption is broad. However, the relative intensities of the 760 and 480 nm bleaches differ when using 590 nm laser excitation from that of 387 nm excitation, which excites with energy above the absorption maximum for both transitions. Following photoexcitation, this species recombines to regenerate the ground state according to reactions 4 and 5.

$$CH_3NH_3PbI_3 + h\nu \longrightarrow [CH_3NH_3PbI\cdots I_2]$$
 (5)

$$[CH3NH3PbI·····I2] \longrightarrow CH3NH3PbI3$$
 (6)

The similarity of the kinetic traces recorded at the 480 nm bleach and 600 nm photoinduced absorption (Figure 4B) represent the regeneration of the charge transfer ground state via reaction 6. The generation of an  $I_2$ -like species in the excited state may have interesting effects on the long term stability and photovoltaic performance of  $CH_3NH_3PbI_3$ -based devices. In the present study, we observe full recovery of this excited state and no indication of photodegradation of the films with repeated experiments.

The dual valence band model considered previously was derived to account for behavior of the two photoinduced bleaches at 480 and 760 nm in CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>.<sup>25</sup> However, this model cannot account for

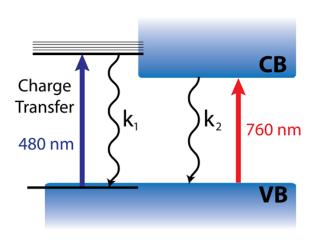


Figure 5. Scheme illustrating the dual nature of the organic-inorganic perovskite excited state.

the discrepancy in recovery kinetics at the two spectral positions. Given a common conduction band minimum, one would expect the transient kinetics to be homogeneous across the visible spectrum, assuming the difference absorbance signal stems principally from state filling. The kinetics of the bleaching recovery profiles below 500 nm and identification of the transient species responsible for the induced absorption need to be reconciled in order to obtain a complete understanding of the excited state.

It is evident from the present investigation that

the annealed CH₃NH₃PbI₃ perovskite film retains the charge transfer complex character that is inherited

from the parent lead halide complex. Despite the larger extinction coefficient of the transition at 480 nm (as compared to the 760 nm transition), the magnitude of the observed bleaching is relatively small. This suggests that the contribution of the charge transfer complex to the overall excited state is relatively small. The model depicted in Figure 5 also helps in understanding why the photoinduced bleaches at 480 and 760 nm have different recovery dynamics, since the charge transfer (480 nm) and charge separated (760 nm) excited states can decay at different rates.

Such a dual excited state with diverse characteristics could serve as a model for explaining the unusual semiconductor properties of organic-inorganic perovskites. The 760 nm charge separated state is well understood based on the semiconductor band gap model. The 480 nm charge transfer excited state, however, does not display the same simple free carrier kinetics previously reported for the 760 nm bleach. Although kinetically we observe no evidence for carrier cooling from the 480 nm charge transfer state to the band edge, these two states remain in equilibrium. Furthermore, these two states are active in solar cell operation as evidenced by the photocurrent action spectrum (quantum efficiency at various excitation wavelengths), with external quantum efficiency of approximately 80% across the visible spectrum.

Gottesman *et al.* have provided insight into the charge transfer nature ( $I \longrightarrow Pb$ ) of the interband transitions in CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> and the important role it plays in the low binding energy for electrons.<sup>39</sup> Both of the lowest lying unoccupied bands in CH<sub>3</sub>NH<sub>3</sub>Pbl<sub>3</sub> are composed of Pb (6p) orbitals as the accepting states in what are referred to as the 480 nm charge transfer and 760 nm charge separated transitions here.<sup>39, 46</sup> The low binding energy is an important factor in realizing highly efficient perovskite photovoltaics.<sup>11, 12</sup>

The charge transfer nature of the interband transitions makes it possible for methylammonium ions to align in the electric field in working photovoltaic devices and contribute to the high open circuit voltages and photocurrents achieved.<sup>39</sup> Moreover, weak localization of charges within the hybrid perovskite lattice has been proposed to explain the surprisingly low recombination rates in perovskite thin films.<sup>15</sup> The charge transfer nature described here corroborates these postulations and spatial separation of charges within organic-inorganic perovskites could help explain the significant deviation from Langevin recombination kinetics observed previously.

To summarize, the spectroscopic investigation of methylammonium lead halide perovskite films reveals two different excited state behaviors, viz., charge separated state (reaction 4) and an excited charge transfer state (reaction 5). These two states remain coupled to form a dual excited state. Both these states undergo charge recombination to regenerate the ground state. The unusual dual nature of

the excited state presented in this work provides further insight into the initial photoinduced processes leading to charge separation in organic-inorganic metal halide perovskite films.

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