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3 **For Analyst. Themed Collection Analytical Sciences in the UK.**
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8 **SIFT-MS and FA-MS Methods for Ambient Gas Phase Analysis:**
9 **Developments and Applications in the UK**
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19 **Abstract**

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21 Selected ion flow tube mass spectrometry, SIFT-MS, a relatively new gas/vapour
22 phase analytical method, is derived from the much earlier selected ion flow tube,
23 SIFT, used for the study of gas phase ion-molecule reactions. Both the SIFT and
24 SIFT-MS techniques were conceived and developed in the UK, the former at
25 Birmingham University, the latter at Keele University along with the complementary
26 flowing afterglow mass spectrometry, FA-MS, technique. The focus of this short
27 review is largely to describe the origins, developments and, most importantly, the
28 unique features of SIFT-MS as an analytical tool for ambient analysis and to indicate
29 its growing use to analyse humid air, especially exhaled breath, its unique place as a
30 on-line, real time analytical method and its growing use and applications as a non-
31 invasive diagnostic in clinical diagnosis and therapeutic monitoring, principally
32 within several UK universities and hospitals, and briefly in the wider world. A few
33 case studies are outlined that show the potential of SIFT-MS and FA-MS in the
34 detection and quantification of metabolites in exhaled breath as a step towards
35 recognising pathophysiology indicative of disease and the presence of bacterial and
36 fungal infection of the airways and lungs. Particular cases include the detection of
37 *Pseudomonas aeruginosa* infection of the airways of patients with cystic fibrosis
38 (SIFT-MS) and the measurement of total body water in patients with chronic kidney
39 disease (FA-MS). The growing exploitation of SIFT-MS in other areas of research
40 and commerce are briefly listed to that show the wide utility of this unique UK-
41 developed analytical method, and future prospects and developments are alluded to.
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Introduction

Mass spectrometric analysis in its various forms has been exploited for decades in biomedical research and is becoming increasingly important in biochemical analyses and clinical screening. Widely used techniques include gas chromatography mass spectrometry, GC-MS, which often uses pre-concentration methods, and high performance liquid chromatography mass spectrometry, HPLC-MS. More recently, electrospray ionisation, ESI, coupled with tandem MS and matrix assisted laser desorption ionisation, MALDI, usually combined with high resolution time-of-flight, TOF-MS, are increasingly being exploited. These powerful analytical methods are mostly not useful for “ambient analysis”, i.e. direct real time analysis of samples placed in air at atmospheric pressure, because they usually involve sample preparation or extraction that delay analysis. Most importantly, these techniques are not accurately quantitative without using external or internal standards. Nevertheless, they have become essential in clinical medicine when instantaneous targeted analysis is not a priority such as for liquid phase analyses of blood, serum and urine and solid phase analyses of biological samples (such as bacteria) deposited or placed on solid matrices.

Due to the early pioneering work of Linus Pauling using GC-MS and subsequent work by many others, it is now known that there is a large number of volatile organic compounds, VOCs, in exhaled breath ¹. Since Pauling’s suggestion that these VOCs may open a non-invasive window to human physiology and pathophysiology and, in principle, could be exploited for clinical diagnosis, the science of breath analysis has increasingly attracted the attention of analytical chemists and clinicians. At this time of writing, there are many research groups worldwide researching this topic, which prompted the production of two research texts devoted to the topic of analysis of trace VOCs in exhaled breath and in the vapour headspace of biological fluids such as urine and mammalian and bacterial cell cultures ^{2, 3}. Scrutiny of the published literature reveals that the most widely used analytical method for breath analysis has been and remains GC-MS, but as stated above it cannot be used for direct real time, accurately quantitative ambient analysis of air, fluid headspace or exhaled breath.

Clearly, it is desirable to devise instrumentation by which the trace metabolites present in single exhalations of breath can be quantitatively analysed in real time at precision and accuracy to be useful for clinical diagnosis. This was the goal that the authors of this paper set themselves at Keele University UK in the year 1996, almost 20 years ago. Thus, focused research and development over several years has realised the analytical techniques called selected ion flow tube mass spectrometry, SIFT-MS, and flowing afterglow mass spectrometry, FA-MS. Initial developments of these techniques were carried out using very large, laboratory-based SIFT-MS instruments. These have evolved to much smaller and readily transportable instruments ⁴ that are being exploited for real time ambient analysis of exhaled breath and in other areas of research where trace gas analysis is of value, such as environmental air analysis and food science, and in important practical applications exemplified by container air monitoring to detect illicit materials and to protect the health and safety of customs officials ⁵. SIFT-MS has been adopted in several research laboratories worldwide, not least in the UK. In the spirit of a themed collection of *Analyst* on analytical science in the UK, this paper reviews the scientific and instrumental developments of SIFT-MS and FA-MS and its exploitation for various research programmes in UK universities

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3 and research establishments (UK locations indicated in Fig. 1). The focus is largely on
4 their application to breath analysis and related topics in physiology and medicine, but
5 also mentioning other areas of research in which these novel analytical techniques are
6 being exploited.
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8 **Breath analysis and the analytical instrumentation required**

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11 As background to the instrumentation required to achieve real-time quantitative breath
12 analysis, it is instructive to state the major objectives of breath research and analysis:
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- 14 • Identify, quantify and recognize abnormal concentrations of common volatile
15 metabolites in the very humid air that is exhaled breath.
- 16 • Differentiate between endogenous and exogenous volatile breath compounds.
- 17 • Track changes in breath metabolite concentrations accurately over short and
18 long periods in support of longitudinal studies, pharmacokinetics, and the
19 efficacy of therapy.
- 20 • Identify new volatile *biomarker* compounds in breath related to specific
21 diseases and infections.
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25 These requirements are common also to the many non-volatile biomarkers present in
26 other biological fluids that are widely used in medical diagnosis. So what is a
27 *biomarker*? A widely accepted definition, used by the National Institute of Health
28 (NIH) is ⁶: ‘a characteristic that is objectively measured and evaluated as an indicator
29 of normal biological processes, pathogenic processes, or pharmacologic responses to
30 an intervention.’ Example: the blood cholesterol level. The committee defines
31 ‘objectively’ to mean ‘reliably and accurately’. Thus, the discovery of biomarkers in
32 the form of reliable and accurately measured concentrations of volatile compounds
33 must ultimately be a major objective of breath analysis research. Therefore, in order
34 to fulfil the requirement for objective measurement, the most important, and indeed
35 the most challenging aspect of breath research is the development of analytical
36 methods that can realise *positive identification* and *accurate quantification* of
37 endogenous trace compounds at appropriately low levels to be useful clinically as
38 *biomarkers*.
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41 The demands on the desirable instrumentation are increased when the objective is real
42 time analysis of *single breath exhalations* for which only a few seconds are available
43 for sample collection and analysis. This time constraint can seriously diminish the
44 analytical sensitivity, which can be crucial when it is realised that many of the trace
45 gas metabolites and biomarkers are present in the very humid exhaled breath at
46 concentrations (partial pressures) of just a few parts-per-billion by volume, ppbv, or
47 lower. It is also essential to minimise losses of trace metabolites (and water vapour;
48 see later) from the breath sample due to surface adsorption on sample entry lines to
49 the analytical reactor. However, if these stringent conditions can be met, breath
50 analysis can be carried out non-invasively and quickly, obviating both sample
51 collection and delayed off-line analysis that can compromise the sample. As we will
52 show in this review, these demanding requirements have been met by SIFT-MS and
53 so ambient analysis of environmental air, exhaled breath and other humid air samples
54 can be accurately achieved in real time.
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3 It is clear that simply to identify unusual patterns of metabolites in exhaled breath via
4 the comparison and statistical interpretation of multiple ion mass spectra or ion
5 mobility patterns obtained from the analysis of breath samples from healthy and
6 diseased persons circumventing positive identification or adequate quantification of
7 the trace compounds involved, falls short of fulfilling the aforementioned criterion for
8 biomarkers. Although such data mining measures are useful in the search for overall
9 differences in breath composition, and they can offer a basis for refocused research on
10 true biomarker identification, ultimately they are unlikely to find use in clinical
11 applications. Importantly, it must also be forcibly stated that even when true
12 biomarkers have apparently been identified, their clinical value in diagnosis and their
13 benefit to the patients must be independently and objectively tested and verified,
14 preferably by multicentre studies. Even though we have chosen to emphasise the
15 interesting application of ambient analysis to exhaled breath analysis, there are wider
16 applications where instant air analysis is becoming increasingly valuable such as in
17 environmental monitoring, food science and health and safety practice. Brief
18 references will also be given to these applications in this short review.
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22 **Principles of the SIFT technique and the SIFT-MS analytical method.**

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24 To reiterate, a major object at the onset of this analytical research was to realise a
25 technique that could accurately and simultaneously analyse in real time several of the
26 trace volatile compounds that are present in single exhalations of breath, obviating
27 sample collection prior to analysis, thus immediately providing supporting diagnostic
28 data to the attending physician in the clinical setting. As we will show, this has been
29 achieved with the latest SIFT-MS and FA-MS instruments.
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32 In principle, direct analysis of air/breath can be achieved by simply introducing a
33 sample into a commonly used electron ionisation, EI, ion source of a conventional
34 mass spectrometer, thus collectively ionising the trace constituents of the sample
35 along with the major air compounds (N₂, O₂, Ar, H₂O, CO₂), immediately followed by
36 analysis of the ion mixture by some form of MS. However, the resulting mass spectra
37 obtained by this procedure are extremely complicated, comprising few large product
38 ion peaks originating from the major neutral components and many minor peaks
39 originating from the trace compounds. Furthermore, there are multiple overlaps of
40 these product ions at several m/z (mass-to-charge ratio) values that render
41 identification and quantification of the original trace compounds extremely difficult,
42 if not impossible. Not surprisingly, this procedure has not been successfully used in
43 breath research.
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46 To alleviate some of these intractable problems there have been considerable
47 innovations in analytical techniques, especially in the use of compound separation in
48 GC columns prior to MS analysis ^{7, 8}, but the appreciable sample collection and
49 preparation times negate the real time requirement for ambient analysis. So a major
50 focus has been on “soft ionisation” or “chemical ionisation”, CI, of analyte
51 compounds prior to MS analysis. This involves the selective ionisation of the trace
52 compounds in the sample using judiciously chosen reagent cations (sometimes anions,
53 but rarely) that do not react at significant rates with the major air compounds (N₂, O₂,
54 H₂O, Ar, CO₂). Thus, CI circumvents the energetic electron collisions that result in
55 molecular fragmentation and generates just one (or few) characteristic product ion(s)
56 for each neutral analyte, minimises product ion coincidences and allows complex air
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3 mixtures to be analysed with considerably less ambiguity. This is the ionisation
4 method chosen by the authors in the development of both SIFT-MS and FA-MS,
5 following the expertise they acquired over many years in the development and
6 detailed application of the selected ion flow tube, SIFT, ^{9, 10} and the flowing afterglow
7 Langmuir probe, FALP, ^{11, 12} techniques to the study of gas phase ionic and electronic
8 reactions ¹³.
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10 *The SIFT Technique.*

11 The SIFT technique, which is the basis of SIFT-MS, was conceived and developed
12 nearly 40 years ago in Birmingham University UK by N.G. Adams and D. Smith ^{10, 14}.
13 It quickly became a standard method for the study of ion-neutral reactions at thermal
14 interaction energies with its adoption in several laboratories worldwide ¹⁵. Initially, it
15 was developed to satisfy the need for the great deal of kinetic data on gas phase ion-
16 neutral reactions that are required to describe the production of the molecules
17 observed in cold interstellar clouds ¹³. However, its use quickly extended to the studies
18 of the ionic reactions relevant to other media, notably the ionised terrestrial
19 atmosphere ^{16, 17}, studies of which have a direct bearing on the development of SIFT-
20 MS, as will see later. Thousands of ion-neutral reactions have been studied using the
21 SIFT technique in several laboratories around the world, not least in the UK (see ^{10, 13,}
22 ¹⁸⁻²⁰ and the references therein). This has resulted in a large kinetics database, a better
23 understanding of the fundamental aspects of ion-neutral reactions, and an appreciation
24 of the ion chemistries occurring in naturally ionised media ¹⁷. Some of these early
25 kinetics data are adding to the kinetics library needed for SIFT-MS analyses, as will
26 be mentioned later. ²¹⁻²³.
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31 The principle of the SIFT technique is as follows. Mixtures of positive ions, electrons
32 and negative ions are created in a gas discharge ion source and from this plasma
33 mixture a current of ions of a chosen mass-to-charge ratio, m/z , is obtained using a
34 quadrupole mass filter ^{10, 24, 25}. These precursor ions (cations or anions) are injected
35 into a fast-flowing inert carrier gas (usually pure helium at a pressure of typically 100
36 Pa (about 1 Torr)) through a Venturi-type inlet. ^{10, 14, 21, 26} Thus, a cold precursor
37 ion/helium gas swarm is created possessing a Maxwellian speed distribution
38 appropriate to the temperature of the helium carrier gas (usually 300 K, but can range
39 from 80 K to 600 K in more sophisticated instruments ^{24, 27}). This swarm is convected
40 along the flow tube and the ions are sampled downstream via a pinhole orifice and
41 focused into a differentially pumped quadrupole MS. ^{4, 26} After m/z analysis they are
42 detected and counted by an electron multiplier/pulse counting system.
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45 To determine the rate coefficient, k , and ion products of the chosen injected ions with
46 the molecules of a reactant gas, a controlled and measured flow rate of the reactant
47 gas is introduced into the helium carrier gas and the count rates of the precursor ions
48 (reducing) and the product ions (increasing) are measured by a downstream
49 MS/detection system. A simple analysis of the count rate of the precursor ions as
50 a function of the reactant gas flow rate provides the k for the reaction. There is more
51 than one product ion generated in most ion-molecule reactions; analysis of the count
52 rates of the product ions provides the percentage product ion distribution. Many ion-
53 molecule reaction processes that occur in ionised media have been recognised and
54 investigated by extensive SIFT studies involving many types of organic molecules,
55 formerly at Birmingham University, latterly at both Keele University and the J.
56 Heyrovsky Institute in Prague, and in other laboratories over the years ^{15, 21}. Much of
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3 the essential kinetics data needed for wide-ranging SIFT-MS analyses have been
4 obtained by these studies, as will be shown later.

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7 *The SIFT-MS analytical method.*

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9 The essence of the SIFT-MS analytical method is as follows. If the rate coefficient, k ,
10 is known for the reaction of a particular precursor ion (now the reagent ion) with
11 reactant molecule, M , (now the analyte molecule) then, in principle, the decrease in
12 the reagent ion count rate, I , (as monitored by the downstream MS) as analyte
13 molecules, M , flow into the carrier gas can be used to determine $[M]$, the number
14 density of M in the helium carrier gas of the SIFT-MS instrument. However, if a
15 sample of gas (such as exhaled breath) containing many different trace gases is
16 introduced simultaneously into the carrier gas, then the reduction in I will reflect the
17 net effect of the reactions of all the individual analyte gases, so discriminant analysis
18 of the mixture will not be achieved by recording I only. Furthermore, for trace gas
19 analysis, the fractional reduction in I is, by necessity, very small²⁸ and therefore
20 measurement accuracy would be poor. But if the reactions of each M with the reagent
21 ions result in characteristic product ions at a known m/z , the measured signal levels of
22 these characteristic ions, even though very small, will both identify and allow the
23 individual trace compounds M in the mixture to be quantified to good accuracy and
24 precision^{4, 29, 21, 30, 31}. This then is the principle of SIFT-MS analysis. The actual
25 procedure to obtain the raw data on reagent/product ion count rates is briefly alluded
26 to later.

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29 A simple example of this analytical ion chemistry is the reaction between the most
30 commonly used reagent ion H_3O^+ (see below) and analyte molecules, M , which
31 generally proceeds via proton transfer:



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36 It is simple to show that the number density of the characteristic product ions $[MH^+]_t$
37 is related to the number density of the reagent ions $[H_3O^+]$ thus:

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$$[MH^+]_t = [H_3O^+]k[M]tD_e \quad (2)$$

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42 k is the rate coefficient for the reaction and t is the reaction time. D_e is a differential
43 diffusion enhancement coefficient that accounts for the fact that the reagent ions and
44 the product ions diffuse through the helium carrier gas to the walls of the SIFT-MS
45 flow tube at different rates. This phenomenon influences the relative number densities
46 of the reagent and product ions arriving at the downstream ion sampling orifice (see
47 Fig. 2) and, consequently, their count rates as determined by the downstream
48 analytical MS/detection system. This phenomenon, and also mass discrimination
49 against larger m/z ions that usually occurs in the analytical quadrupole mass
50 spectrometer, must be accounted for to obtain accurate quantitative analyses.^{26, 32-34}
51 It is interesting, and to some degree corrective, that differential diffusion enhances the
52 count rates of the heavier ions and mass discrimination diminishes their count rates.
53 Thorough studies^{26, 34} of these opposing effects have resulted in the formulation of a
54 sophisticated algorithm by which absolute analyte concentrations in air are obtained³².
55 This algorithm contains as the primary measured parameter the ratio of the total
56 product ion count rate to the total reagent ion count rate (including both hydrated
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reagent and product ions; see below). Note that if any drift/variation occurs in the reagent ion count rate due to discharge plasma source variations, these changes are reflected directly in the product ion count rates and so the analysis is not compromised. Thus, the accurate measurements of reagent and characteristic product ion count rates by the downstream mass spectrometer detection system provide real-time quantifications of trace gases in complex mixtures such as polluted air and exhaled breath.

Table 1. Processes that occur in the bimolecular and termolecular reactions of H_3O^+ and NO^+ ions with various classes of organic compounds.

compounds	H_3O^+ reactions.	NO^+ reactions.
alcohols 35	MH^+ ; $(\text{M-OH})^+$	$(\text{M-H})^+$; $(\text{M-OH})^+$
diols 36	MH^+ ; $(\text{M-OH})^+$	$(\text{M-H})^+$; $(\text{M-OH})^+$
ketones 37, 38	MH^+	NO^+M ; $\text{M}^{+\bullet}$
saturated aldehydes 38, 39	MH^+ ; $(\text{M-OH})^+$	$(\text{M-H})^+$
unsaturated aldehydes 38, 39	MH^+	$(\text{M-H})^+$; NO^+M
carboxylic acids 40	MH^+ ; $(\text{M-OH})^+$	NO^+M ; $(\text{M-OH})^+$
esters 40	MH^+ ; $(\text{M-OR})^+$	NO^+M ; $(\text{M-OR})^+$
ethers 41	MH^+ ; $(\text{M-OR})^+$; $(\text{M-R})^+$	$(\text{M-H})^+$
organosulphur 42	MH^+	$\text{M}^{+\bullet}$
amines 43, 44	MH^+ ; $(\text{M-H})^+$; $(\text{M-R})^+$	$\text{M}^{+\bullet}$; $(\text{M-H})^+$; $(\text{M-R})^+$
alkanes 45, 46	$\text{H}_3\text{O}^+\text{M}$	$(\text{M-H})^+$
alkenes 45, 46	MH^+	M^+
monoterpenes 47, 48	MH^+ ; $(\text{M-R})^+$	$\text{M}^{+\bullet}$; $(\text{M-R})^+$
aliphatic halocarbons 49, 50	MH^+ ; $\text{H}_3\text{O}^+\text{M}$, $(\text{M-X})^+$, $(\text{M-X})\text{OH}^+$	$(\text{M-X})^+$; $\text{M}^{+\bullet}$; NO^+M
aromatic hydrocarbons 45, 46	MH^+	$\text{M}^{+\bullet}$
aromatic halocarbons 49, 50	MH^+ ; $(\text{M-X})^+$	$(\text{M-X})^+$; $\text{M}^{+\bullet}$; NO^+M
phenols 51	MH^+	$\text{M}^{+\bullet}$
H_2S 52 , HCN 53 , NH_3 54	$\text{MH}^{+\text{a}}$	--

The reactant molecules are designated as M, protonated molecules as MH^+ and parent radical cations as $\text{M}^{+\bullet}$. NO^+M and $\text{H}_3\text{O}^+\text{M}$ are adduct ions formed largely in ternary association reactions [21, 55](#). Product ions resulting from the loss of neutral fragments are indicated by bracketing, for example $(\text{M-OH})^+$ indicates the loss of OH from the nascent ion; the R are alkyl radicals; the X are halogen atoms, either Cl or Br. Note that NO^+ is unreactive with CH_3OH , H_2S , HCN and NH_3 .

A very important point to appreciate is that only a few reagent ion species are suitable for SIFT-MS analyses of air and breath. It turns out that H_3O^+ , NO^+ and O_2^+ are most suitable, because these ions do not react rapidly with the major components of air and breath viz. N_2 , O_2 , H_2O , CO_2 and Ar; this was revealed by previous detailed SIFT studies, many in the UK, of the ion chemistry relating to the terrestrial atmosphere [17](#). For SIFT-MS, detailed knowledge is required of the kinetics of the ion-molecule reaction processes occurring when H_3O^+ , NO^+ and O_2^+ reagent ions react with the wide variety of organic molecules that are present in biogenic samples. Without this knowledge, the recognition of composite trace components of complex matrices such as exhaled breath and the accurate quantification of the individual compounds cannot be achieved. This knowledge has been acquired by numerous studies, mostly in Keele

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3 and Prague, of the reactions of these three reagent ions with many volatile organic
4 compounds, VOCs, including homologous series of alcohols, aldehydes, ketones and
5 other compounds, as listed in Table 1. It turns out that there are trends in reactivity
6 that, when recognised, greatly facilitate identification of unknown trace compounds in
7 SIFT-MS analyses.
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10 The reactions of H_3O^+ reagent ions are sometimes relatively simple, proton transfer
11 producing MH^+ nascent ions as indicated by reaction (1). However, often the nascent
12 MH^+ ions partially fragment with the loss of an H_2O molecule, as seen in Table 1. In a
13 sense conversely, the SIFT-MS spectra reveal that hydration of both H_3O^+ reagent
14 ions and MH^+ product ions is very common when breath samples are being analysed
15 (see below). The reactions of NO^+ reagent ions are varied with hydride ion transfer
16 producing $(\text{M-H})^+$ ions, hydroxide ion transfer producing $(\text{M-OH})^+$ ions and adduct
17 ion formation producing NO^+M ions being commonly observed. The reactions of O_2^+
18 reagent ions initially proceed via electron transfer generating M^+ radical parent
19 cations that usually fragment when M is polyatomic. To repeat, the understanding of
20 and the kinetics data relating to these reaction processes is essential for the
21 interpretation of SIFT-MS analytical spectra and is especially important for
22 researchers developing and expanding the SIFT-MS analytical method. However,
23 such expertise is not essential for scientific, clinical or technical personnel who are
24 focused on routine analysis, since it is implicitly contained within the on-board
25 kinetics library, the construction and structure of which is described and explained in
26 recent papers [29](#), [56](#). Much has been written on the details of the above analytical ion-
27 molecule reaction processes in many research and review papers [13](#), [17](#), [21](#), [57](#).
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31 A complicating effect, alluded to above, becomes obvious when using SIFT-MS to
32 analyse humid samples, which is the appearance on the analytical spectra of hydrated
33 reagent ions, especially $\text{H}_3\text{O}^+(\text{H}_2\text{O})_{1,2,3}$ and $\text{NO}^+(\text{H}_2\text{O})_{1,2}$ cluster ions. The former
34 cluster ions are formed largely by helium-mediated three-body association reactions
35 of the H_3O^+ and NO^+ reagent ions with the abundant H_2O molecules present in humid
36 samples. A further complication is that the product ions can also become hydrated
37 resulting in ions like $\text{MH}^+(\text{H}_2\text{O})_{1,2}$ and $(\text{M-H})^+(\text{H}_2\text{O})_{1,2}$ and these hydrated ions must
38 be considered as additional reagent and product ions and properly accounted for in the
39 quantitative analysis of individual trace compounds. This phenomenon is accounted
40 for in the more sophisticated SIFT-MS analysis described in two detailed
41 publications [32](#), [58](#) that describe how accurate SIFT-MS analyses are obtained. This
42 aspect and other interesting and unique features of SIFT-MS are discussed in detail in
43 several research and review papers [4](#), [21](#), [28](#), [29](#), [59](#). A major issue complicating routine use
44 of SIFT-MS for analyses of biological samples is the overlap of product ions resulting
45 from different compounds at the same m/z values. Whilst this has been overcome
46 in individual cases either by using the most appropriate reagent ions for each analyte
47 compound or by utilising differences in product ion signal ratios [56](#), [60](#), these
48 approaches are a challenge for non-chemists and there is a need for more
49 developments of robust and simpler data analysis procedures.
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52 SIFT-MS instrumentation

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54 All generations of SIFT-MS instrument have essentially the same form. They consist
55 of an ion source and ion selection (injection) quadrupole mass filter, a carrier gas flow
56 tube reactor, a downstream analytical quadrupole mass spectrometer, a drive pump for
57 the carrier gas and turbo pumps to maintain the quadrupole chambers at suitably low
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3 pressures. The size, cost and performance of the instrument largely depends on the
4 performance of the quadrupoles, the length and diameter of the flow tube, the
5 pumping speeds of the carrier gas drive pump and the turbo pumps, and the nature of
6 the ion source. The ultimate sensitivity of the instrument as an analytical device
7 depends on the achievable reagent ion count rates and consequently the product ion
8 count rates, but these are also dependent on the choice of the of interdependent
9 parameters such as the carrier gas and sample gas flow rates and the ion sampling
10 orifice aperture sizes. Fig.2 shows a schematic of *Profile 3* SIFT-MS instrument that
11 was developed and marketed in the UK ^{4, 26}. The detailed considerations of the
12 analytical sensitivity and all the dependent parameters and variable are discussed in
13 recent papers, especially the review paper ⁴. The limit of detection of the *Profile 3*
14 instrument is currently at 0.1 parts-per-billion by volume, ppbv, for one second of
15 integration time of the product ions count rates, but this is being improved
16 continuously with the expectation of an order-of-magnitude improvement as the
17 engineering aspects of SIFT-MS instrumentation and understanding of the associated
18 physics and ion chemistry grows. However, by lowering the limit of detection the
19 chance of overlap of analyte ions at the same m/z with fragment ions of other
20 compounds in the matrix or background air increases and this will have to be
21 considered in future developments of advanced SIFT-MS instruments. It is self-
22 evident that a desirable goal is to produce small, low cost instruments with improved
23 performance that can readily be moved and utilized in different locations. Remarkable
24 strides have been made towards these objectives, notably in the UK, by reducing the
25 size of the initial laboratory-based instruments, initially at about 2000 kg, to the
26 production of the much smaller, portable *Profile 3* instrument at 120 kg ⁴. This has
27 required that the reactor flow tube length was shortened from about 140 cm down to 5
28 cm .
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33 *The current SIFT-MS instrument*

34 The performance of the UK *Profile 3* SIFT-MS instruments and the quality of trace
35 gas analyses obtained in a variety of applications in the UK are illustrated by the data
36 presented in several research and review papers by the teams at Keele ^{29, 61-66}, Prague
37 ⁶⁷⁻⁷³, Thunder Bay, Canada ⁷⁴⁻⁷⁷, Imperial College London ⁷⁸⁻⁹⁰ and the University of
38 The West of England ^{91, 92}. Major application have been in physiology and medicine
39 through the analyses of exhaled breath and the headspace of biological fluids such as
40 urine and mammalian and bacterial cell cultures, ultimately intended as a contribution
41 to clinical diagnosis and therapeutic monitoring. Other areas of applications of *Profile*
42 *3* include environmental science, food science and analyses of fumes of explosives as
43 summarised in Table 2 later together with appropriate references.
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46 SIFT-MS as a trace gas ambient analytical technique was initially conceived and
47 developed at Keele by the authors of this paper ^{9, 30, 93} benefiting from the earlier
48 development of the SIFT technique by the Birmingham group ^{10, 14}, subsequently with
49 significant contribution by the Aberystwyth group ^{94, 95}. The growing use and
50 application of SIFT-MS in the UK is seen in the geographical distribution of the
51 groups who are now benefiting from the exploitation of this analytical method seen in
52 Fig. 1. The *Profile 3* is its latest manifestation and it is this instrument that is the focus
53 of this paper by illustrating its unique power in directly analysing the humid media
54 mentioned above. When the volatile compounds emitted by such humid media can be
55 analysed accurately in real time at appropriately low concentration, then analyses of
56 less humid ambient air are relatively straightforward.
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Table 2 Areas of application SIFT-MS.

Biomedical	
Physiology	
<i>Breath</i>	Influence of diet on breath volatiles 69, 96-98 Ethanol metabolism 99-101 Oral microflora and difference between nasal and oral exhalations 102, 103 Exercise 103, 104
<i>Urine</i>	Ketones in urine 105, 106 Volatile markers of ovulation in urine 107, 108
<i>Skin</i>	Release of volatile compounds by skin 109
Halitosis	
	Odorous breath compounds 61
Addiction and substance abuse	
	Breath composition after antabuse ingestion 110 Compounds in tobacco and cannabis smoke 9, 111, 112
Renal failure	
	Breath biomarkers of kidney dysfunction 54, 113-115 Total body water monitoring 116-119
Bacterial infection	
	Breath biomarkers of infection in cystic fibrosis 120-124 Alveolar lavage 125 Bacterial cultures 61, 126, 127
Cancer	
<i>Breath</i>	Diagnostic breath biomarkers 128, 129 Tissue cell cultures Volatile compounds released by cancer cell lines 130, 131
<i>Urine</i>	Volatile biomarkers of cancer and infection in urine 132, 133
Diabetes	
	Breath biomarkers 134-136
Inflammatory bowel disease	
	Breath biomarkers of disease activity 68
Food science	
	Quantification of aroma compounds in fermentation 137 Oil quality 138, 139 Food flavour analyses 137, 140-153 Volatile compounds emitted by fruits and vegetables 140 Volatile organic compounds related to sensory qualities 140
Environment; health and safety	
	Biological monitoring 154 Exhaust gases 155, 156 Atmospheric pollutants 157-163 Monitoring of cargo containers 164
Security	
	Detection of volatile markers of explosives 165-167 Fumes of explosions 166

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3 Other models of SIFT-MS instruments have been produced in New Zealand and
4 marketed by the Syft Company as the *Voice 100/200* instruments^{159, 168}. These have
5 also been used for breath analysis¹⁶⁹⁻¹⁷², monitoring of environmental pollutants<sup>157-
6 160</sup>, warfare agents studies¹⁷³ and in food science^{140-152, 174}. The main commercial
7 application of *Voice 200* instruments is in screening of containers in ports for illicit
8 substances and for toxic gases in order to protect the health and safety of customs
9 officials^{5, 164}.

13 Quantitative analyses by SIFT-MS

14 The analysis by SIFT-MS of trace gases in air invariably begins by obtaining a so-
15 called full scan (FS) spectrum in which one of the reagent ion species (H_3O^+ , NO^+ ,
16 O_2^+) is selected and injected into the helium carrier gas and the air is sampled into the
17 thermalized ion swarm at an acceptable flow rate. This usually results in a complex
18 FS spectrum of reagent and product ions that can both identify and allow the
19 quantification of the neutral trace compounds in the sample. Sample FS spectra are
20 shown in Fig. 3 that were obtained for the analysis of the humid headspace of a
21 bacterial culture¹⁷⁵ using separately H_3O^+ , NO^+ and O_2^+ reagent ions. The challenge is
22 then to identify the trace neutral compounds present in the sample via their
23 characteristic product ions at particular m/z values. Commonly met product ions are
24 easily recognised if their signal levels are sufficiently high and then a quick
25 quantitative analysis of the neutral analyte trace molecules can be obtained by
26 exploiting the SIFT-MS kinetics library; some such common compounds are shown
27 on the spectra in Fig. 3. For product ion at low signal levels, multiple FS spectra can
28 be accumulated to facilitate analysis and improve precision. Some ions cannot readily
29 be recognised and then, as a first stage in the analysis, ion-chemical intuition is
30 needed that can be acquired by studying the extensive kinetics data accumulated on
31 ion-molecule reactions that is reported and discussed in many SIFT-MS-related
32 papers^{35, 36, 38-40, 42-47, 49-51, 56, 176-184}. Comparing FS spectra for all three reagent ions
33 provides assistance in recognising the unknown neutral compounds in the air mixture
34 given the different ion chemistries involved. Significantly, these spectral data are
35 obtained rapidly in real time avoiding the likely disturbing effects that can result from
36 sample collection and manipulation. Numerous such studies have been carried out that
37 are providing much data of interest in the biological and environmental sciences<sup>35, 36,
38 38-40, 42-47, 49-51, 56, 176-184</sup>.

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43 When the m/z values of characteristic product ions are recognised in the FS spectrum,
44 more accurate analysis of the trace neutral compounds is achieved using the multiple
45 ion monitoring (MIM) mode of SIFT-MS. In this approach, the m/z values of all the
46 reagent and characteristic product ions for each trace compound are entered into the
47 analytical software and a rapid switch/dwell/count procedure for each of the ions is
48 used to accumulate the reagent ion/product ion signal count rates that provide the
49 trace neutral compounds quantifications. This procedure can be very rapid, which
50 allows temporal changes in the concentrations of trace compounds to be followed in
51 real time, a good example being the definition of the concentration profiles of several
52 exhaled breath compounds simultaneously obtained from consecutive single breath
53 exhalation/inhalation cycles, as seen in Fig. 4, which shows the reproducibility of the
54 data acquired by on-line, real time SIFT-MS analyses of single breath exhalations.
55 They also show the very wide range of compound concentrations that is accessible in
56 single exhalations from those for water vapour (a unique feature of SIFT-MS¹⁸⁵) and
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3 carbon dioxide ¹⁸⁶, both at the few percent level, to the trace compounds acetone and
4 hydrogen cyanide at the few ppbv level. *Ipso facto*, temporal variations in the
5 concentrations of specific trace compounds can be followed exemplified by the breath
6 concentration decay curves of ethanol and its metabolite acetaldehyde shown in Fig.
7 5. These well-defined decay curves were obtained by analysing exhaled breath every
8 minute or so for a period of about 3 hours following the ingestion by an individual of
9 a small amount of ethanol⁹⁹. Clearly, on-line real time analysis is very acceptable in
10 the clinical environment, since it is a simple, non-invasive procedure, the data being
11 immediately available to the clinician/health worker. Similarly, the temporal changes
12 in the concentrations of particular volatile biomarker compounds in exhaled breath
13 have applications in pharmacokinetics and the tracking of drug-related compounds in
14 the therapy clinic.
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18 Many related studies impinging on physiology and medicine have been carried out using
19 *Profile 3* instruments, principally in the UK, Czech Republic and Canada. They
20 include the modification of breath biomarkers due to dietary intake ^{69, 96} and
21 exercising ¹⁰³, headspace analyses of several mammalian ^{128, 130, 131, 187} and bacterial
22 cell cultures ^{64, 126, 188, 189} and fungal¹⁷⁵ cultures, and volatile compounds released
23 from urine sampled during ovulation ^{107, 108}, just to mention a few; refer to Table 2 for
24 further applications and references. It is not appropriate to attempt to discuss many of
25 these studies in detail in this short review; rather, a few case reports will be given in
26 the penultimate section of this paper that demonstrate the special value of SIFT-MS
27 for ambient gas analysis.
28

30 **Principle of the flowing afterglow mass spectrometry, FA-MS, analytical method**

31 A SIFT-MS FS spectrum obtained using H_3O^+ reagent ions when humid air or
32 exhaled breath is introduced into the helium carrier gas immediately reveals the
33 appearance of $\text{H}_3\text{O}^+(\text{H}_2\text{O})_{1,2,3}$ hydrate cluster ions as major fraction of the total ions
34 (see Fig. 3a for instance). Further to this, the ^2H (deuterium, D), ^{17}O and ^{18}O
35 isotopologue variants of these cluster ions are present (more clearly seen in Fig. 6a
36 for D-enriched water), the peak relative levels of these isotopologues are determined
37 by the fractions of each isotopic variant of the water molecules (H_2O , HDO, H_2^{17}O ,
38 H_2^{18}O) comprising the water vapour introduced into the system. The natural
39 abundances of these isotopic variants in local water are known and the distribution of
40 the isotopologue cluster ions is seen to be in accordance with these abundances^{119, 190,}
41 ¹⁹¹.
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44 These FS observations immediately suggested that the isotopic content of water
45 vapour, especially that of the most abundant HDO, might be determined quickly and
46 directly from the relative peak heights of the isotopologue ions, avoiding complex
47 sample preparation that is used in conventional methods for deuterium isotope
48 analysis ¹⁹². Encouragingly, pilot experiments at Keele using known admixtures of
49 heavy water, D_2O , in water, H_2O , showed that the HDO content of the water vapour
50 above the enriched liquid could be determined with precision and accuracy when the
51 ion chemistry and physics of isotope exchange in the relevant ion-molecule reactions
52 is understood, as is outlined below ¹⁹³. This provided the opportunity to study in real
53 time the deuterium content of the water present in biological fluids, including exhaled
54 breath and urine.
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3 The physical and ion chemical principles underpinning this novel analytical method
4 are as follows: a swarm of H_3O^+ precursor ions is created in the helium carrier of the
5 SIFT-MS instrument by ion injection in the usual way. The water vapour evolving
6 from natural water or D-enriched water (or that present in exhaled breath) is sampled
7 into the carrier gas where the H_3O^+ precursor ions react with the H_2O , HDO , H_2^{17}O
8 and H_2^{18}O molecules in the water vapour sample. The signal levels of D-containing
9 isotopologues associated with the $\text{H}_3\text{O}^+(\text{H}_2\text{O})_{0,1,2,3}$ ions are all enhanced when D-
10 enriched water vapour is the sample (see Fig. 6a). Of special interest, for the
11 thermodynamic reasons explained in detail in a previous publication¹⁹³, is the
12 trihydrate ion, $\text{H}_3\text{O}^+(\text{H}_2\text{O})_3$ at $m/z = 73$, and its isotopic variants H_8DO_4^+ and
13 $\text{H}_9^{17}\text{OO}_3^+$ at $m/z = 74$ and $\text{H}_9^{18}\text{OO}_3^+$ at $m/z = 75$. The isotopic abundance of ^{17}O
14 (typically 0.0380) and ^{18}O (typically 0.200) in local water are known. Thus, by using
15 the signal level of $\text{H}_9^{18}\text{OO}_3^+$ at $m/z = 75$ as a reference, the fraction of the
16 isotopologue ions $\text{H}_9^{17}\text{OO}_3^+$ to the total ion signal at $m/z = 74$ can be calculated and
17 adjusted; then a measurement of the m/z 74/75 ion signal ratio using the downstream
18 mass spectrometer provides the fractional deuterium abundance in the water vapour
19 sample¹⁹⁴. Correction is made for the differences in evaporation rates of H_2O and
20 HDO from the liquid water samples that, of course, depend on the water temperature;
21 in the case of breath analysis, the temperature at the lung blood/breath interface (body
22 core temperature) is taken. This new method of D quantification (assay) was proven
23 by careful experiments using standard mixtures of D-enriched water¹⁹⁰.

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28 Of particular interest in renal medicine and body composition, as explained by the
29 local nephrology consultant at the University Hospital of North Staffordshire (S. J.
30 Davies), is the rapid measurement of total body water, TBW, especially in patients
31 suffering from chronic kidney disease who suffer from serious water overload. The
32 standard method of measuring TBW is the isotopic dilution method. This involves the
33 ingestion of a known (small) amount of D_2O , which rapidly converts to HDO in the
34 large H_2O water pool in the body, and the subsequent analysis of the equilibrium
35 HDO content of blood and/or urine. The main reason why this very safe method is not
36 used more frequently is the time consuming and complex method of measuring D
37 enrichment of the body fluids¹⁹², which usually requires that batch samples are sent
38 to reference laboratories for analysis consequently involving delays in obtaining
39 results. Thus, following the above encouraging laboratory SIFT-MS studies, pilot
40 experiments were conducted to measure TBW in several healthy volunteers using the
41 isotope dilution method and the rapid, on-line direct SIFT-MS analysis of the D
42 content of the water vapour contained in single breath exhalations.

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45 These pilot measurements were carried out using an early version of SIFT-MS and
46 demonstrated the efficacy of this analytical method^{119, 191, 195, 196}. This offered the
47 tantalizing prospect of immediate non-invasive measurement of TBW at the bedside
48 and, alternatively, the measurement of the deuterium content of the equilibrated
49 headspace above a body fluid such as plasma that can be collected and stored in a
50 sealed container for later off-line analysis. However, the use of the early SIFT-MS
51 instrument provided data that was not at the desirable accuracy and precision for
52 serious clinical work except by adopting multiple measurement procedures. To
53 achieve the required accuracy and precision a novel type of ion flow tube device was
54 developed termed flowing afterglow mass spectrometry (FA-MS). This is a simpler
55 instrument that is dedicated only to the measurement of the HDO content of water
56 vapour, unlike the more versatile SIFT-MS instruments. The FA-MS instrument is
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3 smaller and more readily portable. It dispenses with the upstream mass filter of the
4 SIFT-MS (see Fig. 2) and the H_3O^+ precursor ions are created directly by a weak
5 microwave discharge through the flowing helium/moist air mixture. This results in
6 much larger count rates of the analytical $\text{H}_3\text{O}^+(\text{H}_2\text{O})_3$ ions and its isotopic variants
7 that, via statistics, immediately increases the accuracy and precision of the
8 measurement of the HDO content of the water sample. These important
9 considerations have been thoroughly discussed in previous papers^{190, 194}.

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12 An example of the raw data describing the time evolution of the D content of exhaled
13 breath following D_2O ingestion is shown in Fig. 6b with explanatory notes in the
14 caption. With FA-MS the HDO/ H_2O molecule ratio in single breath exhalations and
15 urine/blood headspace aspirations can be obtained to about 1% precision and accuracy
16 ¹⁹⁰. Thus, when this ratio is measured following the ingestion of an accurate amount of
17 D_2O , typically 0.3 ml/kg body weight, the TBW value can be determined to an
18 accuracy of a few hundred mL in most cases, which is adequate for most clinical
19 purposes. One of the advantages of this method is that multiple samples can be taken
20 in rapid succession enabling the determination of the kinetics of HDO equilibration
21 throughout the TBW (Fig. 6b). Typically, it is found that full equilibration occurs
22 within 90 minutes within the TBW following oral ingestion of D_2O ¹¹⁹. In a series of
23 clinical studies undertaken to establish the validity of the above analytical method, it
24 was shown that measurement of TBW is both feasible and acceptable to patients
25 attending the dialysis clinic^{117, 197}. These cited references indicate the great potential
26 of FA-MS in determining TBW of healthy persons and ill-patients alike. Further
27 studies are currently in progress to establish the value of the FA-MS approach to
28 monitoring body composition and to support intervention to minimise the
29 complications of water overload in dialysis patients. It is now envisaged that optical
30 absorption spectroscopy could be used to develop miniature point-of-care instruments
31 for isotopic analyses of water vapour in exhaled breath.
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35 **Brief reports on selected research programmes involving SIFT-MS.**

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37 The creation of this powerful ambient analysis technique provided the means to
38 promote the wide variety of research areas listed in Table 2, the results of which are
39 detailed in the cited references. Here, just a few topics are selected for brief review
40 that illustrate the unique contribution that the exploitation of SIFT-MS is making to
41 physiology and medicine via detailed breath analysis and associated studies of volatile
42 compounds released by *in vitro* cultures of mammalian and bacterial cells. The focus
43 is on the work carried out by research groups in the UK in collaboration with the
44 closely associated group in Prague using the SIFT-MS instruments developed and
45 manufactured entirely in the UK. Before starting, it is pertinent to note that analytical
46 studies involving the wide range of volatile compounds released from biological
47 fluids could not be pursued without parallel and continuing experiments to
48 accumulate the large amount of kinetics data on ion-molecule reactions that are
49 essential to build the aforementioned kinetics library for SIFT-MS. The results of
50 these kinetics studies are reported in several research papers, as summarised in some
51 recent reviews^{4, 28}. Such work must be seen as integral part of the time and intellectual
52 effort that has been required to develop the SIFT-MS and FA-MS analytical methods.
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Breath analysis involving healthy volunteers

Breath analysis is a relatively new area of experimental science and physiology and medicine. When the SIFT-MS analytical method was proved to be accurately quantitative and the simplicity of its application to breath analysis was demonstrated, a programme was initiated to study the reference ranges (population concentration distributions) of the common metabolites in exhaled breath of the healthy population. This is an essential prelude to studies of abnormal concentrations of metabolites in exhaled breath of patients with specific disease or infections. Data acquisition of the kind illustrated in Fig. 4 can be rapid and the exhaled breath of as many as 70 volunteers has been analysed for several metabolites in a single morning⁷². The breath of healthy cohorts can be analysed over periods of days or months and by such longitudinal (inter-individual variations) studies the reference ranges of several common breath metabolites have been constructed by work at Keele, Prague and the Silsoe Research Institute (C. Turner) in the UK¹⁹⁸⁻²⁰². Examples of the data obtained are shown in Fig. 7 (reproduced from²⁰³); these are immensely valuable as guides to subsequent studies. Similarly, variations in breath metabolite concentrations within given persons (intra-individual variations) have been carried out²⁰⁴, which reveal temporal and diurnal variations and the influence of diet, the last being dramatically revealed by the study of breath acetone following a ketogenic diet⁶⁹.

Another important phenomenon, so significant in breath research, is the recognition that mouth-exhaled breath can be seriously contaminated with the volatile compounds generated in the oral cavity by the action of bacteria or salivary enzymes^{102, 103}. To reveal which compounds are orally generated, the simplicity of real-time breath analysis by SIFT-MS is again exploited by directly analysing separately the breath exhaled via the nose and mouth. Such studies immediately reveal, for example, that ammonia and ethanol are largely produced in the oral cavity whereas acetone and isoprene are purely generated systemically^{61, 63}. Breath analysis research exploiting SIFT-MS vigorously continues in the UK; this includes studies at the Open University (C. Turner)²⁰⁵⁻²⁰⁹ of the exhaled breath of cattle²¹⁰ and horses with colic²¹¹ and concomitant breath and skin analysis for monitoring blood glucose concentration in diabetes^{212, 213}, and interesting investigations at Nottingham University of the relation between exhaled breath and blood levels of volatile compounds, notably acetone¹⁷⁰. Also the *Voice 200* instruments are increasingly becoming used for breath analysis^{169, 171, 172, 214}.

Volatile compounds emitted by in vitro cultures of mammalian and bacterial cells

The hope and expectation for many years has been that volatile compounds released by living cells cultivated *in vitro*, for example malignant human cell cultures and bacterial cultures, will assist and direct the search for *in vivo* biomarkers of disease or infection via breath analysis. This is an on-going search that has yet to be rewarded to the degree that satisfies practising clinicians, except for important developments in respiratory bacterial infection, as briefly described later. The first SIFT-MS studies investigated volatile compounds emitted by the cancer cell lines CALU-1 and SKMES that revealed the emission of easily measurable amounts of acetaldehyde the release rate correlating well with cell numbers in the cultures¹³¹. These results seemed to suggest that this aldehyde might be a biomarker in exhaled breath of lung tumours. However, this hope was soon dispatched by the discovery that some other malignant

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3 and non-malignant cells cultured *in vitro* actually extracted (presumably metabolised)
4 acetaldehyde and higher-order aldehydes from the support culture media¹³⁰.
5 Nevertheless, acetaldehyde in the headspace of cell cultures, including cells growing
6 in the presence of so-called “scaffolds” of collagen (the three-dimensional, 3D,
7 situation) is proving to be a valuable indicator of the behaviour of cells in a culture¹⁸⁷.
8 In this regard, SIFT-MS has also been used to determine the numbers of cells in a
9 culture by quantifying dimethyl sulphide (DMS) in the culture headspace as produced
10 by the cellular enzymatic reduction of dissolved dimethyl sulphoxide (DMSO)²¹⁵.
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13 More promising are the SIFT-MS studies of volatile compounds emitted by bacterial
14 cultures. These were initiated at Keele by investigating volatiles emitted by plate
15 cultures of the respiratory bacterium *Pseudomonas aeruginosa*, *PA*, which colonises
16 the airways and lungs of those suffering from cystic fibrosis, CF. The remarkable
17 discovery was that *PA* cultures emit gaseous hydrogen cyanide, HCN, at headspace
18 concentrations corresponding to several ppmv,^{120, 121, 127, 216, 217} and it turns out that
19 this compound is a genuine biomarker of *PA* infection *in vivo*, as is explained below
20 in the next section. This productive work on *PA* cultures has spawned an extension
21 programme to study volatile compound emissions from other important respiratory
22 bacteria, including *S. aureus*, *S. pneumoniae* and *H. influenzae*⁶⁴, and *S. maltophilia*
23 and *S. rhizophila* strains of the *Stenotrophomonas* genus²¹⁸, and the fungal pathogen
24 *Aspergillus fumigatus*¹⁷⁵, the results of which are reported in a recent series of the
25 referenced papers. Copious amounts of volatile compounds are released by these
26 bacterial and fungal species and it is anticipated that some of these compounds may
27 be seen at elevated levels in the exhaled breath of people whose airways are infected
28 with these dangerous pathogens. Exhaled breath studies, which are in train, may
29 reveal if any of these volatile compounds are useful non-invasive biomarkers of
30 respiratory infections.
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34 The “holy grail” in this work would be the recognition of single volatile biomarkers of
35 infection, such as HCN for *PA* (see below), but this is likely to be a rare occurrence
36 and combinations of compounds are most likely. Then analysis of data using
37 multivariate methods²¹⁹, such as principal component analysis, can be valuable in
38 distinguishing between the volatile compounds present in the exhaled breath of
39 healthy individuals and those with a specific infections.
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42 *Indicators of infection and disease via breath analyses.*

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44 The most important and definitive discovery at Keele, which potentially has great
45 value in respiratory medicine, is that gaseous HCN is a true biomarker of *PA*
46 infection. This has been established by numerous SIFT-MS studies of many
47 genetically-specified strains of *PA* cultures grown *in vitro* under planktonic and
48 biofilm conditions. Significantly, it is seen to be elevated in the nose-exhaled breath
49 of patients suffering from CF. Differentiating between mouth-exhaled and nose-
50 exhaled breath is most important, because HCN is generated in the oral cavity of even
51 healthy persons²²⁰. The extensive programme of focused research on this topic was
52 carried out over a decade culminating in a recent extensive multi-centre study
53 involving 8 hospitals in Central England involving 233 CF patients (the “SPACE”
54 study) that has provided essential support for the clinical relevance of HCN as a
55 biomarker of *PA* infection in children and young adults¹²⁰. So HCN in exhaled breath
56 can now be exploited as a non-invasive diagnostic for the detection and eradication of
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3 the *PA* bacterium in the airways and lungs, to the advantage and support of CF
4 patients.
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7 Inflammatory bowel disease (IBD) has a relatively large incidence in modern
8 populations and the current diagnostic methods for it are either invasive or have
9 limited sensitivity and specificity. Thus, there is need for new non-invasive methods
10 for its diagnosis and the monitoring of the efficacy of treatment. Recently, interest in
11 real-time quantification of n-pentane in exhaled human breath has grown ²²¹, since
12 this hydrocarbon is considered to be a valuable biomarker of oxidative stress, lipid
13 peroxidation and inflammation in the body, conditions with which IBD is considered
14 to be associated. Thus, a pilot study of n-pentane in the exhaled breath of patient
15 cohorts with Crohn's disease (CD) and ulcerative colitis (UC) and a healthy volunteer
16 cohort has been carried out using a SIFT-MS *Profile 3* instrument in a Prague clinic
17 specialising on these diseases. However, before the study could be carried out, a
18 detailed study of the ion chemistry involved in the reaction of the SIFT-MS reagent
19 ion O₂⁺ with n-pentane had to be carried out to provide the essential kinetics data
20 required to allow accurate analysis of this hydrocarbon in humid breath by SIFT-MS.
21 Pentane was found to be significantly elevated in the breath of both the CD (mean 114
22 ppbv) and the UC patients (mean 84 ppbv) relative to the healthy controls (mean 40
23 ppbv). The detailed results of this clinical study, as well as the ion chemistry study,
24 are given in a recent paper⁶⁸. Thus, the exciting conclusion is that SIFT-MS can be
25 used to quantify pentane in human breath in real time (single exhalations) avoiding
26 sample collection and storage. This method of analysis may ultimately become a non-
27 invasive screening procedure for inflammatory processes, including IBD. Related to
28 this area, SIFT-MS analyses and multivariate data analysis have been used at the
29 Silsoe Research Institute for the diagnosis of *Mycobacterium bovis* in wild badgers ²¹⁹
30 based on *in vitro* studies of production of volatile organic compounds by this
31 bacterium ²²²
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35 *The search for volatile biomarkers of oesophageal and gastric cancer*

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38 A group of surgeons and clinicians in the Department of Surgery and Cancer at
39 Imperial College London (leader G.B. Hanna) have a specialist interest in
40 oesophageal and gastric cancer and are involved in a research programme to search
41 for volatile biomarkers of this disease. Their three-pronged attack involves the
42 analysis by SIFT-MS (and partly by GC-MS) of the headspace of gastric content ^{82, 86},
43 urine ⁸⁵ and exhaled breath ^{78, 81, 83, 84, 223}, as obtained from patients cohorts with
44 varying stages of these cancers, together with similarly sized cohorts of volunteers
45 free from these diseases. Gastric content is a complex biofluid in the stomach that has
46 an important role in digestive processes. It is believed that gastric content may be a
47 contributory factor in the development of upper gastro-intestinal diseases. Urine is
48 considered an ideal biofluid for clinical investigation, because it is obtained
49 noninvasively and relatively large volumes are easily acquired and it surely carries
50 volatile and non-volatile compounds indicative of physiological status. Exhaled breath
51 analysis of volatile compounds, as has been emphasized in this paper, has great
52 potential in terms of disease diagnosis and measuring physiological response to
53 treatment. These collected studies have revealed that there are some VOCs, including
54 phenol, methyl phenol and hexanoic acid, that discriminate the oesophago-gastric
55 cancer cohort from the cancer-free control cohort, the detailed results being given in
56 the cited references above. Single discriminating biomarkers are not identified; rather,
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3 the large quantity of SIFT-MS analytical data is treated using the familiar multivariate
4 statistical analyses, as described in the published papers. This group has also
5 pioneered the using of SIFT-MS breath analysis in the operating theatre by carrying
6 out on-line, real time analyses of the exhaled breath of five anaesthetized patients
7 during the complete perioperative periods of laparoscopic surgery ⁸⁷.
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10 The above brief reports provide just a flavour of the work carried out in the UK (in
11 association with the Prague group) using SIFT-MS currently at those research centres
12 indicated in Fig. 1. Mention has to be made of the apparently endless search for breath
13 biomarkers of diabetes, and the continuous focus on breath acetone, by a number of
14 groups worldwide, including some in the UK. Studies at the Open University have
15 shown that breath acetone concentration decreases with blood glucose concentration
16 in Type I diabetes mellitus patients during hypoglycaemic clamps¹³⁶. Off-line breath
17 acetone analysis in critical illness at Nottingham University ¹⁷⁰ has shown that breath
18 concentrations of acetone compare with blood levels of this ketone. But it must be
19 said that there are several confounding factors that disqualify breath acetone as a
20 reliable biomarker of the diabetic state, as identified in a recent paper by the Keele
21 group¹³⁵. In a similar way, breath ammonia is attractive as an indicator of the uraemic
22 state, but this also must be treated with circumspection because of confounding
23 factors such as oral bacterial generation of ammonia and the well-known fact that the
24 pH of the saliva and the blood at the alveolar interface strongly influences the
25 partitioning of ammonia between the fluid and gaseous state (exhaled alveolar breath
26 in this case). This and other factors have recently been discussed in a paper concerned
27 with breath analysis in chronic kidney disease and during dialysis ²²⁴, which also
28 describes the applications of SIFT-MS and FA-MS in renal medicine.
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32 **Summary, concluding remarks and a forward look**

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34 The novel analytical techniques SIFT-MS and FA-MS, conceived and developed in
35 the UK, have been described, and a flavour has been given of their uses and
36 applications to ambient trace gas analysis, the focus being on real time breath analysis
37 and the quantification of trace breath biomarkers in healthy volunteers, in disease and
38 infection (SIFT-MS), and the on-line determination of total body water (FA-MS).
39 Other studies relating to physiology and medicine have been carried out at Keele and
40 other UK universities, in Prague and in several other laboratories worldwide<sup>74, 134, 225-
41 228</sup>, some being summarised in recent review papers ^{4, 21, 28}. An impressive
42 demonstration of the value of on-line, non-invasive analyses by SIFT-MS are the *in*
43 *vitro* and *in vivo* studies of HCN emitted by the *PA* bacterium that have established
44 exhaled HCN as a biomarker of *PA* infection of the lungs and airways of CF patients.
45 Other similar discoveries are likely as more scientists and enlightened physicians
46 recognise that real time breath analysis is a non-invasive patient-friendly analytical
47 method that can assist clinical diagnosis and therapeutic monitoring
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51 The strengths, weaknesses, opportunities and threats (SWOT analysis) to SIFT-MS
52 have been presented and discussed in a recent paper ⁵⁹. The main limitations of SIFT-
53 MS relate to (i) the uncertain identification and quantification of analyte ions, and
54 hence of composite trace gas compounds, due to *m/z* overlaps of analyte ions that can
55 occur when analysing complex mixtures, and (ii) the current limit of quantification,
56 which is currently at the level of ppbv for real-time analyses when many of the trace
57 compounds in exhaled breath and other gaseous matrices are at sub-ppbv levels. The
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3 use of high resolution TOF-MS can mitigate these inadequacies and so it is an option
4 to exploit this technology in future SIFT-MS instruments. Further, the operation of
5 instruments must be made simpler such that they can be successfully operated by
6 technicians, scientists and health professionals (such as nurses) without the need for
7 specialist training and knowledge of mass spectrometry and ions chemistry.
8 Notwithstanding these comments, it should be realised that there are few constraints
9 to the application of SIFT-MS in fields where accuracy and precision are not as
10 stringent as in medicine. This is especially so when low molecular mass compounds at
11 relatively high concentrations are to be quantified. However, it remains true that a
12 good understanding of the complex ion chemistry is currently needed by the research
13 worker for the identification and quantification of unknown compounds present at low
14 concentrations; then expert assistance should be sort.
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18 To conclude, we have shown that the versatility of SIFT-MS is such that this UK-
19 developed analytical method has been exploited profitably in many other areas of
20 research and enterprise, as indicated in Table 2. It is confidently expected that SIFT-
21 MS will be exploited to an even greater extent when the instrumentation is made
22 smaller, more analyte specific and sensitive, and of lower cost so that, for example, it
23 can be adopted in general practitioner's surgeries and the clinic (for pre-screening),
24 intensive therapy units (for close non-invasive monitoring) and on-site in factories
25 (for health and safety) and even in supermarkets (for food freshness). Innovative
26 additions to the instrumentation are also under active consideration at Keele and
27 Prague, including the exploitation of switchable drift fields and ion separation by
28 differential mobility in the flow tube reactor in order to improve analyte ion (and
29 hence analyte compound) identification, which are intended to further extend the
30 versatility and utility of this ambient analytical technique.
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Figures

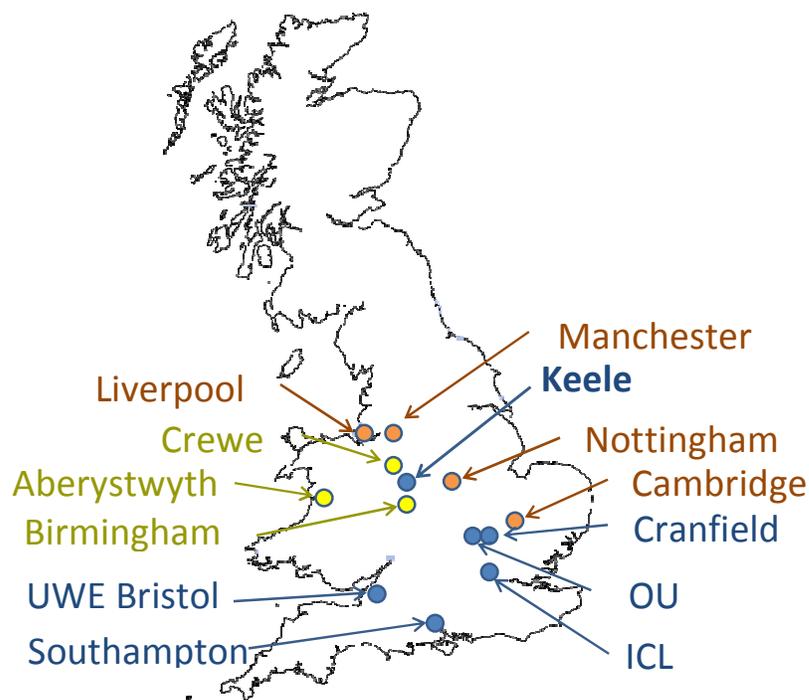


Fig. 1. Geographical locations of UK universities, hospitals and research establishments that relate to the origins of SIFT, SIFT-MS and FA-MS (yellow), their further developments and applications (blue) and the current interdisciplinary collaborations (brown).

Figure 1

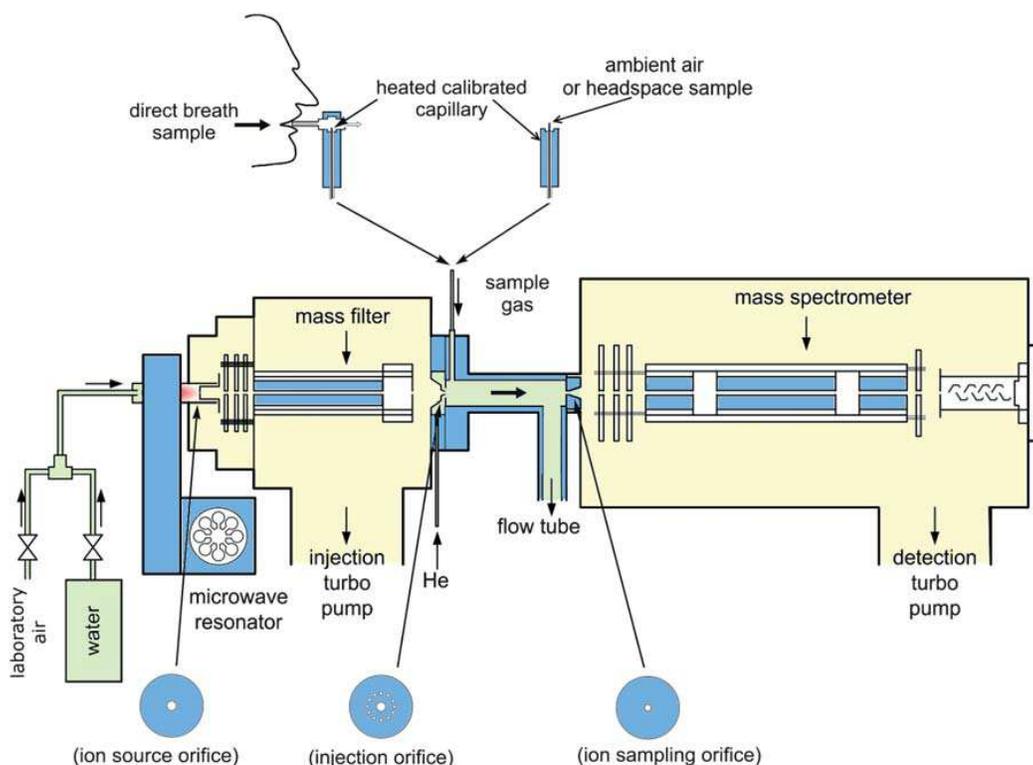


Fig. 2 A schematic diagram of the *Profile 3* SIFT-MS instrument showing the microwave discharge ion source, injection mass filter and the three metal discs to which ion current can be measured and which support the orifices through which (i) ions pass from the ion source into the injection mass filter, (ii) mass selected reagent ions enter the flow tube reactor, (iii) reagent and product ions pass from the carrier gas/reactor into the analytical quadrupole mass spectrometer. Both direct breath sampling in the instrument and sampling from ambient air, samples in bags or liquid headspace can be achieved. Reproduced with permission from RSC from [28](#).

Figure 2

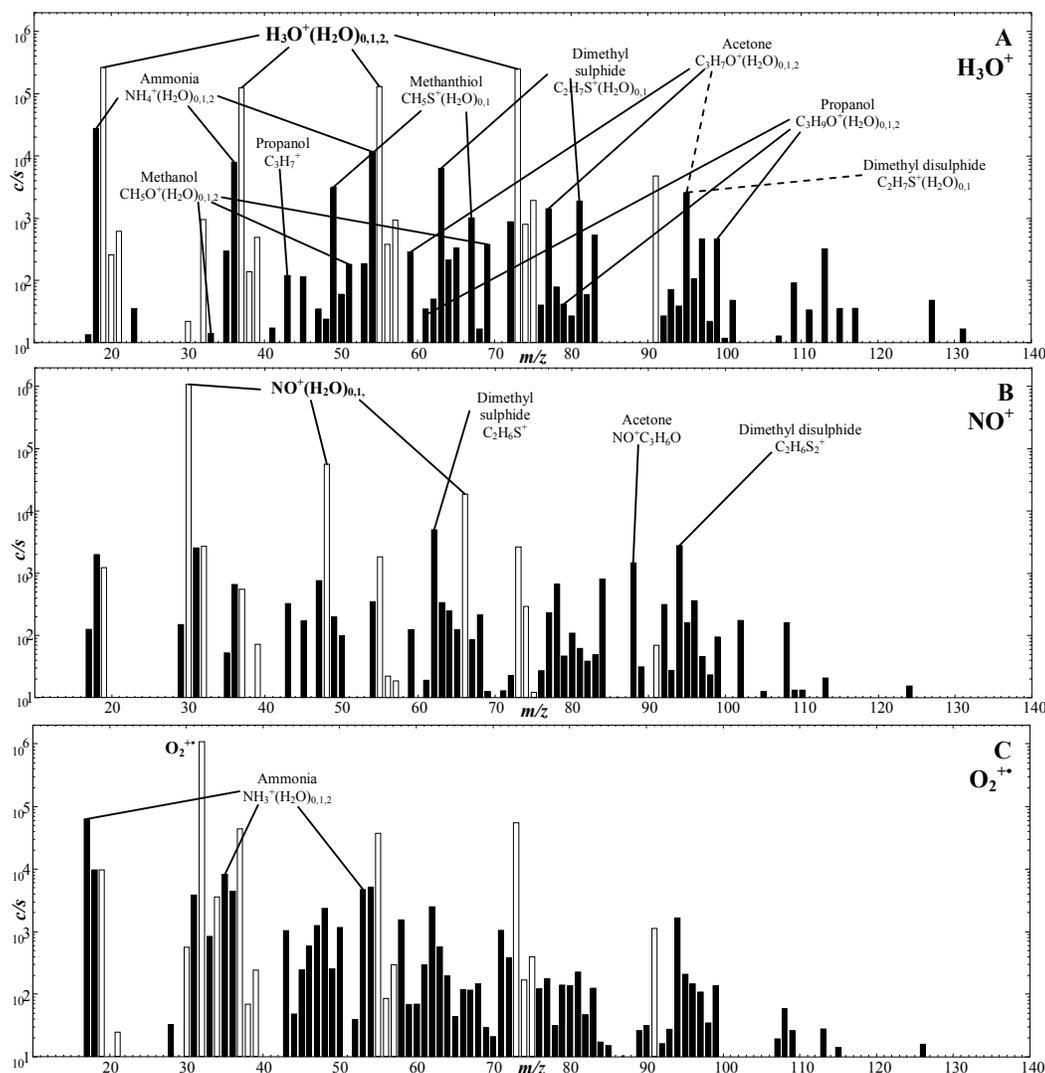


Fig 3. SIFT-MS full scan mass spectra (ion mass-to-charge ratio, m/z , plotted against the ion counts-per-second, c/s) showing the compounds present in the headspace of *A. fumigatus* (AF) cultures when analysed employing H_3O^+ (A), NO^+ (B) and O_2^{++} (C) as the reagent ion. The cultures were incubated at $37^\circ C$ for 72h prior to the headspace analysis. The product ions of the trace compounds produced by AF are indicated as filled bars on the mass spectra. Note that small fractions of the hydronium ion and its hydrates ($H_3O^+(H_2O)_{0,1,2,3}$) at m/z 19, 37, 55, 73 are also present in the NO^+ and O_2^{++} spectra (B and C respectively), which are shown with open bars. Reproduced with permission from RSC from [175](#).

Figure 3

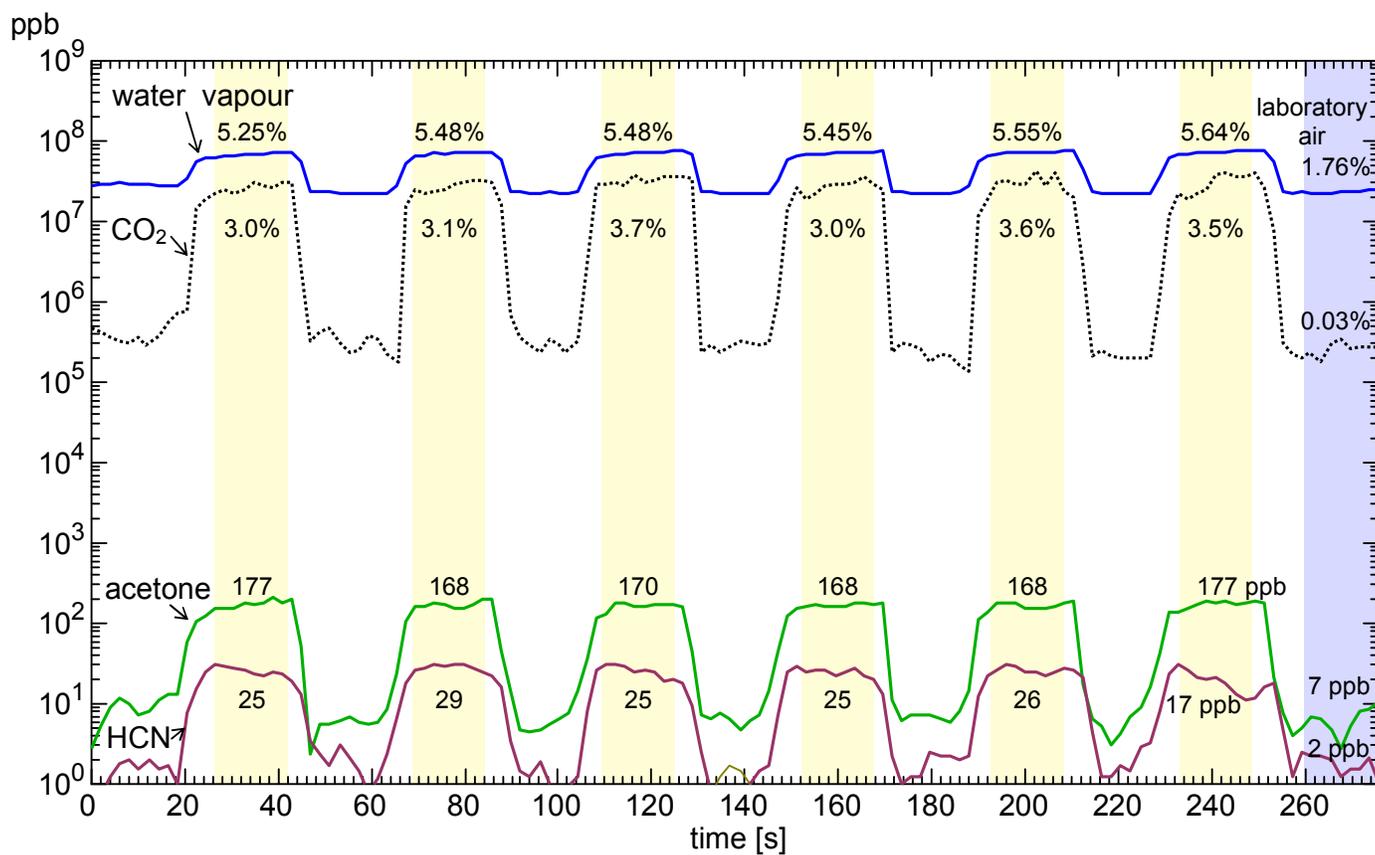


Fig. 4 Plots of the time profiles and the derived breath concentrations of water vapour and CO₂ (in %) and acetone and HCN (in parts-per-billion by volume, ppb), obtained using the *Profile 3* SIFT-MS instrument in the multi ion monitoring, MIM, mode, for six sequential breath exhalations by one volunteer during the time period indicated in seconds. These data show the remarkable consistency in the derived concentrations of these compounds in the alveolar regions of the exhalations, as indicated by the shaded portions. Also indicated to the right are the laboratory air levels of these compounds. Reproduced from [28](#), with permission from RSC.

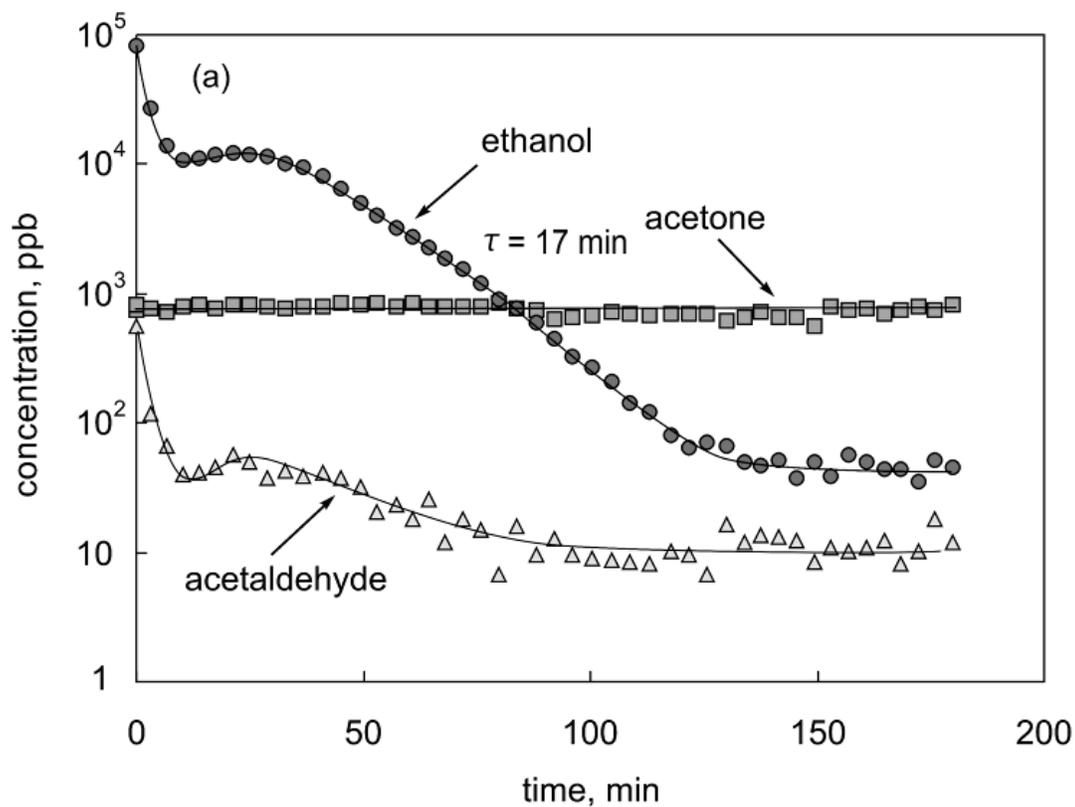


Fig. 5. The variation of the concentration of ethanol and its metabolite acetaldehyde, and the endogenous acetone (in parts-per-billion by volume, ppb) in mouth-exhaled breath as a function of time following the ingestion by a healthy volunteer of 7.5 mL of ethanol dissolved in 250 mL of water. Also given is the exponential time constant (τ , in min) for the exhaled ethanol concentration as obtained from the slope of the semi-log plot. Reproduced from ⁹⁹ with permission from Wiley.

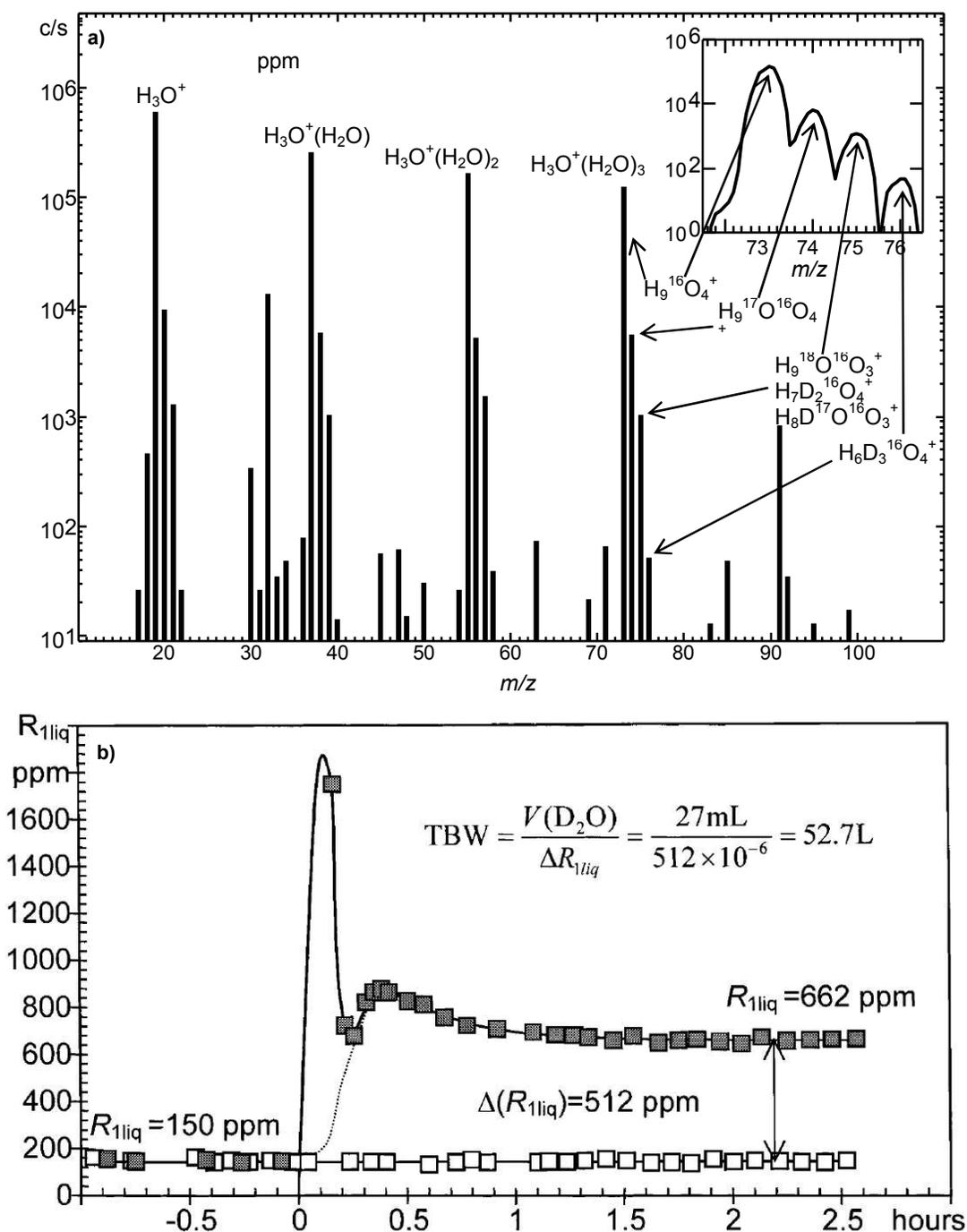


Fig. 6 a) The SIFT-MS mass spectrum (counts per second, c/s against ion mass-to-charge ratio, m/z) obtained as the water vapour from D_2O -enriched water is flowed into the helium carrier gas. The observed isotopologues of the trihydrate of H_3O^+ are indicated in the inset. Reproduced with permission from ACS from [194](#).

b) Determination of body water deuterium abundance, $R_{1\text{liq}}$, expressed in parts per million (ppm) of hydrogen obtained from single breath exhalations, and the derived total body water, TBW, in litres (L) obtained from the equilibrium value of $R_{1\text{liq}}$ some 2 h following the ingestion of 27 mL of D_2O . The open squares refer to the control volunteer (no D_2O ingestion) and the filled squares to the breath of the volunteer (body weight 92 kg) both before and after the ingestion of the D_2O (at time zero). Reproduced with permission from Wiley from [191](#).

Figure 6

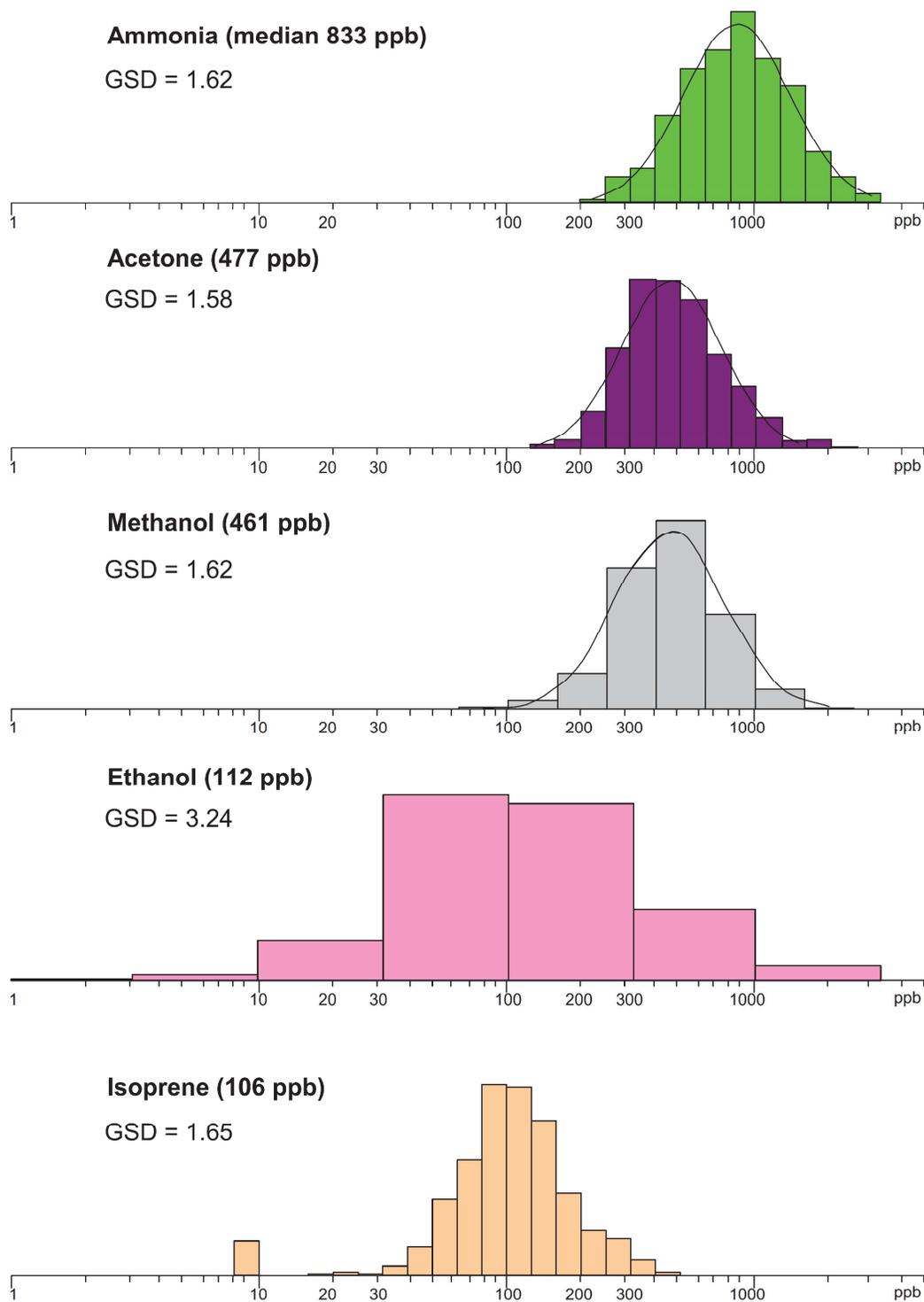


Fig. 7. Distributions of the five most prominent trace metabolites present in the exhaled breath of the healthy population. The concentrations on the horizontal axes are shown by logarithmic scales in parts-per-billion, ppb. The median values are given in parentheses for each metabolite together with their geometric standard deviation, GSD. Reproduced with permission from RSC from [203](#)

Figure 7

Graphical Abstract

The origins of SIFT created to study interstellar chemistry and SIFT-MS developed for ambient gas and exhaled breath analysis and the UK centres in which these techniques are being exploited.

