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**Silica nanoparticles induced the pre-thrombotic state in rats
via activation of coagulation factor XII and JNK-
NF- κ B/AP-1 pathway**

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Abstract

Silica nanoparticles (SiNPs) play a vital role in medical applications such as drug delivery and cancer therapy. SiNPs can translocate into bloodstream through all possible routes of entry. However, there is scarce study on pre-thrombotic effect of SiNPs and mechanism of pre-thrombotic state *in vivo*. We specifically focused on the changes of platelets function and blood coagulation in Wistar rats after consecutive 7 days' intravenous injection of SiNPs (52 nm). The platelets aggregation assay, structural changes of platelets membrane glucoproteins, coagulation test, coagulant/anti-coagulant and fibrinolytic factors and the possible molecular mechanism of pre-thrombotic state formation were performed. Our results demonstrated a significant increase in platelets aggregation rate and platelet activation after SiNPs exposure. Clotting time was significantly shortened while fibrinogen (FIB) contents were increased. There were sustained increases in coagulation factors and thrombin-antithrombin complex (TAT) expression induced by SiNPs. Antithrombin III (AT-III) of the SiNPs-treated groups were significantly decreased while concentrations of tissue factor pathway inhibitor (TFPI), tissue plasminogen activator (t-PA) and D-dimer were elevated. The phosphorylation of nuclear factor- κ B/p65 (NF- κ B/p65) and activator protein-1/c-Jun (AP-1/c-Jun) and the protein levels of JNK were increased after SiNPs exposure. In summary, our results supported that SiNPs induced the hypercoagulable and pre-thrombotic state in rats through the interaction between platelets activation, coagulation system hyperfunction, anti-coagulation and fibrinolytic resistance. Direct interaction between SiNPs and coagulation factor XII (F XII) and JNK- NF- κ B/AP-1 pathway might be involved in regulation of pre-thrombotic state formation.

Keywords: Silica nanoparticles, pre-thrombotic state, platelets activation, anti-coagulation and fibrinolytic resistance, JNK- NF- κ B/AP-1 pathway

Introduction

Nowadays, plenty of novel and advanced nanomaterials (having at least one spatial dimension in the size range 1-100 nm) have been created and extensively applied with the rapid development of nanotechnology. Silica nanoparticles (SiNPs), as the most widely used nanomaterial, are proven to provide certain benefits in various fields of industry (semiconductor, printer toner), consume products (cosmetics, food additives) and biomedicine, especially in medical applications such as DNA delivery, imaging, drug delivery and cancer therapy.^{1,2} With the promising application of SiNPs in nanomedicine, intravenous administration becomes a common and important iatrogenic route.³ In addition, SiNPs can translocate into bloodstream through all possible routes of entry, including inhalation exposure, oral ingestion and dermal absorption.^{4,5} Epidemiologic studies revealed that a 1.0% increase in daily mortality had been related to the short-term elevation of particulate matter < 2.5 nm in aerodynamic diameter (PM_{2.5}), and the cardiovascular disease was treated as the absolute risk for mortality to PM exposure.⁶ An investigation of a group of steel workers in Italy showed that inhalation of PM could induce coagulation disorder that prothrombin time (PT) was significantly shortened, and PM exposure levels were associated with thrombin generation and tissue plasminogen activator (t-PA) elevation, indicating that PM might enhance the blood coagulation.⁷ It is well known that nanoparticles (NPs) dominate 99% of

particles numbers in PM, the biocompatibility and hematotoxicity of NPs should be carefully evaluated.

However, limited studies on the blood coagulation of NPs *in vivo* are performed. There are initial toxicological studies demonstrated that acute exposure to quantum dots (QD) can induce some pre-thrombotic effects, and the carboxyl-QDs had more potential to cause pulmonary vascular thrombosis than the amine-QDs.⁸ Silver NPs enhanced platelets aggregation in human washed platelets and induced P-selectin release and thrombus formation in rats.⁹ Multi-walled carbon nanotubes (MCWNT), single wall carbon nanotubes (SCWNT) and SiNPs could also induce potent pro-coagulant activities in the pulmonary circulation or microcirculation.¹⁰⁻¹² Whereas, contrary to the conclusions that SiNPs could induce the hypercoagulation and thrombotic diseases, Tokuyuki Yoshida et al found that the bleeding time and activated partial thromboplastin time (APTT) were prolonged by SiNPs (30 nm and 70 nm) in BALB/c mice, giving evidences that hypocoagulant state and hemorrhagic tendency were induced by SiNPs,¹³ these contradictory results suggest that effects and mechanism of SiNPs on the blood coagulation need further investigation.

We have previously found that intratracheal instillation of SiNPs with different sizes can cause endothelial dysfunction, coagulation disorder and cardiovascular toxicity through inflammatory reaction and oxidative stress,¹⁴ which is consistent with other studies.^{1,15,16} Our recent study concluded that SiNPs could induce endothelial cells dysfunction via oxidative stress and apoptosis.¹⁷ Meanwhile, tiny homogeneity fibrin thrombi by Martius Scarlet Blue (MSB) staining had been discovered in the lung sections of dead mice in acute toxicity study of amorphous SiNPs, thus we hypothesized that SiNPs might promote disseminated

intravascular coagulation (DIC) to generate, followed by wide microthrombus formation, multiple organ failure and even death.¹⁸ However, we still barely have knowledge about the effects of SiNPs on the facilitation of pre-thrombotic state and the destruction of blood homeostasis, let alone the possible mechanism involved in the activation of coagulation factors. Besides, coagulation is a complicated event, it depends on the interaction between pro- and anti-coagulant components, involving vascular constriction, endothelial damage, platelets activation, coagulation factor release and fibrin formation.^{19,20} Although the association between SiNPs and platelets activation and coagulation system have been mentioned, there are scarce studies on the effects of SiNPs on anti-coagulation and fibrinolytic system to maintain the circulation homeostasis. Additionally, our preliminary experiment found that the blood coagulation parameter changed over time, we wondered if the process of pre-thrombotic state to thrombus formation is reversible. We specifically designed this experiment to focus on the systemic performances of pre-thrombotic state caused by SiNPs via interaction between platelets activation, coagulation cascade initiation, anti-coagulation and fibrinolytic resistance. Moreover, the potential regulated mechanism and signaling pathway of pre-thrombotic state formation *in vivo* were first discussed in this study. It will provide scientific basis for understanding the cardiovascular effects of SiNPs and meet the urgent need for risk evaluation of SiNPs in medical applications.

Materials and Methods

Characterization of silica nanoparticles

The amorphous SiNPs were prepared using Stöber method²¹ by the school of Chemistry, Jilin University, China. The particles were isolated by centrifugation (12,000 rpm/min,

15min), washed three times with saline solution, and then dispersed in 50 mL of sterile saline solution (making final mass concentration at 13 g/L). The morphology characteristics and size distribution of SiNPs were determined by transmission electron microscope (TEM) (JEOL, Japan). A Zeta electric potential granulometer (Malvern Nano-ZS90, UK) was employed to measure the Zeta potential and hydrodynamic sizes of SiNPs in saline solution at the concentrations of 500, 250, 125 $\mu\text{g/mL}$. The SiNPs suspensions were sonicated for 5 min through a sonicator (160 W, 20 kHz, 5 min; Bioruptor UDC-200, Belgium) before addition to the dispersion medium to minimize their aggregation and prepared in triplicate for each concentration. The purity of SiNPs was evaluated by ICP-OES (Thermo Fisher Scientific, Switzerland).¹⁸ Gel clot Limulus Amebocyte Lysate (LAL) assay was performed to detect the endotoxin in SiNPs suspensions at concentrations of 0.75, 1.5, 3, 6, and 12 mg/mL. The gel clot LAL reagents were purchased from Bokang Marine Biological Company, LTD (Zhan Jiang, China), including endotoxin standard, LAL water, and tachypleus amebocyte lysate (TAL), whose sensitivity(λ) was 0.125 EU/ml.

Experiment design

Male Wistar rats (180-220 g) were purchased from Weitong-Lihua Experimental Animal Center (Beijing, China). The animals were raised in plastic cages with stainless steel mesh lids in a ventilated room (20 ± 2 °C, 50–70% relative humidity, with a 12h light/dark cycle). Water and food for maintenance were provided ad libitum for a week. All animal care and experimentations were approved by the Animal Ethics Committee at Capital Medical University (approval number 2013-X-68).

After one week accommodation, rats were randomly divided to control groups and experiment groups for eight rats each. 52 nm SiNPs saline suspensions were intravenously injected to the experiment group rats via the tail vein with 20 mg/Kg concentration for consecutive 7 days, while the control groups were given with 0.9% saline. At day1, 3 and 7 post exposure, rats were anesthetized with 3.5% chloral hydrate (Figure 1). Blood samples were collected from abdominal aorta into tubes with sodium citrate and EDTA (Becton and Dickinson Company, UK) respectively. The plasma and serum were stored in -80°C until analysis.

Hematology analysis

Blood samples were collected to tubes with ethylene diamine tetraacetic acid (EDTA) at the 1th, 3th and 7th day of intravenous injection to SiNPs for consecutive 7 days. Whole blood samples were analyzed with Veterinary Blood Analyzer (Mindray, China) to determine the number of platelets in whole blood of Wistar rats.

Coagulation parameters test

Blood samples were collected into tubes with sodium citrate at the 1th, 3th and 7th day of intravenous injection to SiNPs for consecutive 7 days. APTT, PT, thrombin time (TT) and FIB were examined by ACL9000 automatic coagulometer (Beckman Coulter, USA).

Platelets aggregation assay

Whole blood samples collected in tubes with sodium citrate were centrifuged at 800 rpm for 10 min and platelets-rich plasma (PRP) was obtained. Some of PRP were centrifuged at 2000 rpm for 5 min, and platelets-poor plasma (PPP) was collected. Platelets aggregation induced by Adenosine diphosphate (ADP) (10.0 $\mu\text{mol/L}$) (LBY-NJ4, Precil, China) was

measured using Semi-automatic Platelet Aggregometer (LBY-NJ4, Precil, China). Maximum platelet aggregation rates were generally selected for analysis.

Measurement of CD42d and CD61 activity

The PRP were centrifuged at 2000 rpm for 10 min, washed platelets were resuspended in phosphate buffered saline (PBS) at 1×10^7 platelets/ml concentration. Flow cytometry was performed using a special order BD-SRFortessa (Becton and Dickinson Company, UK) on single stained platelet suspensions as already made. To analyze the function of platelets, platelet suspensions mixed with fluorescein isothiocyanate (FITC) Anti-Rat CD42d (anti-GPV) and phycoerythrin (PE) Anti-Rat CD61 (anti- GPIIIa) (Becton and Dickinson Company, UK) were incubated in the dark at room temperature for 15 min. Then 10,000 platelet-specific events were analyzed by the cytometer for fluorescence.

Histopathological examination

The heart, liver, spleen, lung and kidney were removed and fixed in 10% formalin, embedded in paraffin, and sectioned. The lung sections were stained with hematoxylin and eosin (HE) for histological examination according to standard techniques. After staining, the slides were observed and examined by optical microscope (Olympus X71-F22PH, Japan). The fields were chosen randomly and continuously.

Immunohistochemistry

Tissue factor (TF) was detected immunohistochemically in the paraffin embedded lung sections. After the deparaffination and rehydration of sections, EDTA buffer (pH 9.0) was added for the antigen retrieval in the micro-wave oven. The sections were washed in PBS (pH 7.4) for three times after the natural cooling. In order to block the

endogenous peroxidase, the sections were incubated with 3% hydrogen peroxide (H₂O₂) solutions for 20 min in the dark room and washed in PBS (pH 7.4) for three times. The washed sections were incubated with the primary antibody (1:1000 diluted with 5% bull serum albumin) overnight at 4°C and then incubated with corresponding horseradish peroxidase-conjugated (HRP) antibodies (CST, USA) for 50 min at the room temperature. After three times of washing, the sections were stained with diaminobenzidine (DAB) dye, counter stained with hematoxylin and examined by optical microscope (Olympus X71-F22PH, Japan). TF expression was identified as positively stained cytokines. Image pro-plus 6.0 software was used to calculate the integral optical density (IOD) to quantify the TF expression.

Cytokines measurement

Blood samples were collected with sodium citrate and were centrifuged at 2000 rpm for 5 min to obtain plasma. The expressions of von willebrand factor (vWF), P-selectin, TF, FXII, active coagulation factor X (FXa), thrombin-antithrombin complex (TAT), tissue factor pathway inhibitor (TFPI), antithrombin III (ATIII) and t-PA, D-dimer in the plasma of Wistar rats were measured by the corresponding enzyme-linked immunosorbent assay (ELISA) kits (Cusabio, USA) according to the instructions.

Western blot

100 mg of frozen lung tissue were ground completely with lysis buffer (Pierce, USA) and the protein extracts were quantified by performing the bicinchoninic acid (BCA) protein assay (Pierce, USA). Equal amounts of protein (80 µg) in different groups were loaded onto 12 % sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) and

electrophoretically transferred to polyvinylidene fluoride (PVDF) membrane (Millipore, USA). After blocking with 5 % nonfat milk in Tris-buffered saline (TBS) containing 0.05 % Tween-20 (TBS-T) for 1 h at room temperature, the membrane incubated with glyceraldehyde-phosphate dehydrogenase (GAPDH), TF, nuclear factor κ B/p65 (NF- κ B/p65), phosphorylation- NF- κ B (p-p65), c-Jun, phosphorylation -c-Jun (p-c-Jun), c-Jun N-terminal kinase (JNK) rabbit antibodies (CST, USA) (1:1000 diluted) overnight at 4 °C, washed with TBS-T for three times, and incubated with horseradish peroxidase-conjugated anti-Rabbit antibodies (CST, USA) (1:2000 diluted) for 1 h at room temperature. After three times of washing with TBS-T, blots were processed using ECL kit (Thermo Scientific, USA) and detected using Image Lab™ Software (Bio-Rad Version 3.0, USA).

Statistical analysis

Data were expressed as mean \pm standard deviation (S.D.) and the significance of statistical comparisons between experiment groups and control groups were determined by *t* test using SPSS Statistics 21. The normal distribution of data was tested before performing *t* test. Differences were considered significant at $*p < 0.05$.

Results

Characterization of silica nanoparticles

TEM was used to characterize the amorphous SiNPs and SiNPs appeared a spherical shape and well dispersed (Figure 2A). The size distribution was measured by Image J software and the average diameter of SiNPs was 52.05 ± 8.38 nm (Figure 2B). The average

hydrodynamic size of SiNPs in saline solution was 94.91 nm and the average value of Zeta potential was -34.6 mv (Table 1). Our data suggested the good monodispersity of SiNPs in the dispersion medium. The purity of SiNPs was confirmed higher than 99.9%.¹⁸ The LAL assay had not detected any endotoxin in all samples, showing negative results in SiNPs suspensions (Table 2).

Coagulation parameters test

Figure 3 showed changes of coagulation parameters in SiNPs-treated and control groups at 3 time points, APTT was significantly shortened at day 3 after consecutive administration for a week, while PT didn't decline until day 7 ($p < 0.05$). At day 7, APPT in the plasma of SiNPs-treated group recovered to normal level (Figure 3A and B). TT was shortened at day 1, day 3 after intravenous injection of SiNPs ($p < 0.05$), and returned to normal levels at day 7 (Figure 3C). The FIB contents in SiNPs-treated group were significantly increased compared to those in control groups at any time point ($p < 0.05$), but a gradual decrease of FIB content was found in the SiNPs-treated groups from day 1 to day 7 (Figure 3D).

Detection of platelets activation

Figure 4A showed the amounts of platelets in whole blood of rats at 3 time points, the number of platelets was significantly decreased at day 1 and day 3 ($p < 0.05$). As seen in Figure 4B, platelet aggregation rates were significantly increased at 1, 3 and 7 days after SiNPs treatment compared to the control groups respectively ($p < 0.05$). To evaluate the structural changes of platelet membrane glycoproteins in rats, the expression levels of FITC-CD42d (anti-GPVI) and PE-CD61 (anti-GPIIIa) were detected. The positive expression

rates of CD42d tended to slightly decrease in a time manner from day 1 to day 7, sharing lower levels than the control groups at all SiNPs-treated groups, however, the levels of CD61 showed the opposite trend with a significant increase compared to the control groups ($p < 0.05$) (Figure 4C and D). Sustained increases in vWF and P-selectin release were discovered post exposure at 3 time points (Figure 4E and F).

Measurement of coagulation factors

To further investigate how the extrinsic and intrinsic cascade pathways were initiated and accelerated by SiNPs, the expression levels of coagulation factors in plasma of Wistar rats were detected. As shown in Figure 5A, TF release was increased significantly at day 1 after treatment of SiNPs, slightly down-regulated at day 3, but still higher than the control group ($p < 0.05$), and at day 7, TF expression rose again, remarkably elevated compared to the control group ($p < 0.05$). The content of FXII in the plasma increased significantly compared to control group at day 1 after administration to SiNPs ($p < 0.05$), and from day 3 to day 7, FXII levels fell down, no conspicuous differences were observed between the SiNPs-treated and control groups (Figure 5B). As shown in Figure 5C, no significant difference in FXa contents was found between the treatment and control group at day 1, but FXa expression rose significantly at day 3 and day 7 ($p < 0.05$). From our results, significant increases in TAT levels were discovered at 3 time points after SiNPs exposure ($p < 0.05$), representing a sustainably raised expression in thrombin generation (Figure 5D).

Histopathological examination

Lung sections of rats in control group showed normal lung mesh structure with normal pulmonary epithelial cells, alveoli and alveoli septum, as well as pulmonary arterioles with

clean and smooth lumen (Figure 6A) ($\times 200$ magnification). Figure 6B ($\times 200$ magnification), C and D ($\times 400$ magnification) showed lung tissue structure of rats at day 1 after SiNPs treatment. Lung injury was discovered with destroyed alveoli, thickened alveoli septum and many macrophages infiltrated in the alveolar septa and alveolar cavities. Large amounts of fibrin exudation with SiNPs, deformed red cells and mononuclear inflammatory cells were found in pulmonary arterioles.

TF Immunohistochemistry

To further trace the source of TF, immunohistochemical staining of TF in lung sections was performed. In control groups, little positively stained cytokines were found in the fields (Figure 7A) ($\times 200$ magnification). Figure 7B and C ($\times 400$ magnification) showed lots of positively stained dots in the microvessels in lung sections, indicating strong expression of TF induced by SiNPs in lung tissue. Figure 7D ($\times 400$ magnification) showed positive TF expressions in small bronchi. In order to quantify the TF expression in lung tissue, we used the IOD to measure the positive expression rates. As seen in Figure 7E, the SiNP-treated groups showed significant increases in IOD value compared to the control group at three time points, indicating that TF was strongly expressed in lung microcirculation.

Assessment of anti-coagulation and fibrinolytic resistance

To investigate whether anti-coagulation factors and fibrinolytic complements got involved in maintaining the blood homeostasis, the expression levels of AT-III, TFPI, t-PA and D-dimer were measured. As shown in Figure 8A, the plasma levels of AT-III in the SiNPs-treated groups showed a declining trend in a time-dependent manner, significantly decreased compared to those in control group at every time point ($p < 0.05$). From day 1 to

day 3, no observable differences of TFPI expression between treatment and control groups, but at day 7, levels of TFPI in SiNPs-treated group were obviously elevated ($p < 0.05$) (Figure 8B). Concentrations of t-PA and D-dimer in plasma after SiNPs treatment were higher than those in control groups at three time points ($p < 0.05$), especially the D-dimer levels increased significantly at day 7 (Figure 8C and D).

Molecular mechanism of pre-thrombotic state formation

To better understand the mechanism of SiNPs on pre-thrombotic state formation in rats, we examined the expression of TF activation related proteins by Western blot. As shown in Figure 9A, TF expression in SiNP-treated groups showed a significant increase at three time points ($p < 0.05$), which were highly consistent with the results of TF levels in plasma and immunohistochemical expression. From our data, SiNPs caused a significant increase in the phosphorylation expression of NF- κ B/p65 at three time points compared to the control group ($p < 0.05$) (Figure 9B) and increased the phosphorylation expression of AP-1/c-Jun remarkably at the first day after injection ($p < 0.05$) (Figure 9C). As seen in Figure 9D, the protein expression level of JNK was increased significantly at day 1 after SiNPs administration ($p < 0.05$). Our data indicated that SiNPs could induce the TF expression by activating NF- κ B and AP-1 transcription, and their upstream kinases (JNK) signaling pathway might involve in regulating the activation of NF- κ B and AP-1.

Discussion

SiNPs have been widely utilized for medical application mainly through intravenous administration.^{22,23} However, it could also cause adverse effects when SiNPs enter the

circulation by interacting with the blood components (blood cells, platelets, proteins and coagulation factors) leading to coagulation disorders, worsening the prognosis of cardiovascular diseases and accelerating its process to the formation of thrombotic complications.²⁴ In this study, we focused on the time-course effects of intravenous exposure to SiNPs on platelets function and blood coagulation in Wistar rats to investigate pre-thrombotic effects of SiNPs *in vivo* and to detect the possible mechanism of pre-thrombotic state.

Typical coagulation parameters in whole blood of rats were measured, including PT, APTT, TT and FIB. PT measures the activity of extrinsic coagulation while APTT measures the condition of intrinsic coagulation.²⁵ An epidemiological study on the effects of inhalable PM on blood coagulation in Italy showed that PT was shortened, providing the evidence that PM promoted the coagulation process and thrombin generation.⁷ In this study, shortened clotting time (Figure 3A and B) indicated that SiNPs resulted in hyperactivity of both the extrinsic and intrinsic coagulation cascade, leading to a hypercoagulable state. FIB is one of the most abundant coagulation factors, which can be transformed into fibrin under the catalysis of thrombin, making a prominent contribution to the activation of coagulation cascade. It was reported that PM_{2.5} could enhance the FIB levels and increase the risk of acute thrombosis.^{26,27} Combined with the results of declined TT and elevated FIB levels (Figure 3C and D), it was convinced that tiny blood clots were produced and SiNPs accelerated the coagulation progress.

According to the Virchow's triad, cells (platelets and endothelial cells), blood proteins (clotting/coagulation factors) and blood flow were considered as the three basic components,

working together to balance the pro-coagulant and anti-coagulant process.²⁸ Endothelial cells have been proven to get involved in various pathologic coagulation processes, such as atherosclerosis, deep venous thrombosis and myocardial infarction.²⁹ Previous studies found that amorphous SiNPs induced endothelial cells dysfunction through oxidative stress and inflammatory reaction via JNK/P53 and NF- κ B pathways or induced autophagy via the PI3k/Akt/mTOR signaling pathway.^{15,30} The cytokines of vWF are massively produced by injured endothelial cells and important for describing the endothelial function and highly related to platelets activation.³¹ The increased levels of vWF in our study suggested that SiNPs could induce endothelial dysfunction *in vivo* (Figure 4E).

Platelets occupy an important position for maintaining blood stability, which can increase thrombin generation by leading to degranulation and changes of their amount, morphology and function.¹⁹ It was reported that SiNPs (70nm) reduced the platelets amount in BALB/c mice, inducing consumptive coagulopathy.³² The decreased platelets might owe to their consumption and destruction when SiNPs came into immediate contact with the platelets and activate them (Figure 4A). Blood is a complex fluid with abundant proteins, ions and blood cells. Nanoparticles can directly interact with blood proteins, resulting in the formation of a protein corona.³³ The surface properties alteration based on blood ionic strength can induce nanoparticle agglomerations, which retard cell motility and functions.³⁴ SiNPs agglomerations were possibly caused after translocation to the circulation, platelets could be activated via the increased opportunities of interacting between SiNPs with platelets surface and changing the function of proteins in platelets membrane. GPIIb/IIIa is recognized as an essential protein to mediate the aggregation of platelets via strong interaction with vWF,

giving enough capacity binding to fibrinogen.³⁵ GPIb-IX-V complex is crucial for platelet adhesion and provides a natural ligand for thrombin, thereby the more thrombin produce, the more GPIb-IX-V consume. Contrary to GPIIb/IIIa, GPIb-IX-V levels decrease with the activation of platelets. Radomski et al found that exposure to carbon nanoparticles increased human platelet aggregation via up-regulating GPIIb/IIIa activation and down-regulating the GPIb expression *in vitro*.¹² Along with the high levels in the maximal platelet aggregator response (Figure 4B), the structural of platelets membrane glucoproteins changed over time. The decreased expression of CD42d (anti-GPV) and increased expression of CD61 (anti-GPIIIa) in this experiment indicated that SiNPs had the ability to aggregate platelets and promote the adhesion of platelets *in vivo* (Figure 4C and D). P-selectin, which is a biomarker of platelet activation, barely expresses in physiological conditions. However it has been reported that MCN, MWCNT and SWCNT gave rise to a remarkable increase in P-selectin release.¹² A sustained increase of P-selectin demonstrated SiNPs induced high activity of platelets (Figure 4F). Our findings confirmed that SiNPs could trigger platelets activation via aggregation and adhesion which is highly related to structural changes of platelets membrane glucoproteins.

As well known, coagulation system can be directly activated either by an intrinsic pathway initiated through FXII activation, or by an extrinsic pathway triggered by TF. It has been reported that SiNPs (20 nm) induced coagulopathy that PT were shortened but no changes of APTT were found during the experiment.²⁵ Nanoparticles of larger size could provide more active surface and directly contact with FXII to initiate the intrinsic pathway, and moreover the anionic nanoparticles with negative superficial charge have more potential

to bind with FXII.³⁶ Pro-coagulant activities were decreased in the FXII-deficient plasma³² and we have discovered that SiNPs induced the activation of FXII in the plasma of rats (Figure 5B), these results suggested that FXII was an essential factor to accelerate the blood coagulation and the interaction between SiNPs with FXII was crucial to the biological effects. TF is a cell-surface glycoprotein, mainly released from monocytes, macrophages and damaged endothelial cells in circulating blood.³⁷ Exposed TF forms a complex by binding with the natural ligand FVII, namely TF-FVIIa complex, which further converts the FX to its activated forms and contributes to variety of pre-thrombotic syndromes, such as atherosclerosis, angiogenesis and DIC.³⁸⁻⁴¹ TF expression was increased after intravenous administration to SiNPs but not after intranasal exposure,^{13,32} this might due to the fact that low blood levels of SiNPs through intranasal administration cannot induce a drastic release of TF. In our experiment, SiNPs induced a sustained and potent increase in TF expression in plasma and lung sections (Figure 5A and Figure 7). The lung tissue was chosen mainly due to the abundance of microvessels and monocyte-macrophages, where the TF originally expressed. The strong expression of TF in immunohistochemistry slides indicated that SiNPs could induce endothelial dysfunction and monocyte-macrophages proliferation, leading to coagulation disorder.

FXa is the initiator of common coagulation pathway, involving in forming the prothrombin complex. FXa can be activated by TF-FVIIa complex and by contacting with intrinsic coagulation factors. Our data showed that FXa ascended sharply from the third day, since then common coagulation pathway was fully activated (Figure 5C). Usually, the thrombin remains stable by TAT formation, so the TAT levels in plasma are generally

regarded as a sensible marker for evaluation of the early thrombin production.⁴² In this study, significant increases in TAT levels were discovered (Figure 5D), thus thrombin was produced remarkably. FIB can be converted to fibrin under the catalysis of thrombin, it make sense that FIB in SiNPs-treated groups appeared a declined trend (Figure 4D). Our study on the coagulation system suggested that SiNPs induced a large release and comprehensive activation of coagulation factors, leading to hypercoagulable state.

There are abundant microcirculations in lung tissue of rats, histopathological examination in lung sections showed plenty of pink fibrin exudation, deformed red cells and monocytes (Figure 6B and D). The exudation was too loose and transparent to be treated as thrombus, given the changes of platelets function and coagulation system, pre-thrombotic state was considered to be caused by SiNPs. The pre-thrombotic state are consequences of increased blood viscosity, platelets activation, coagulation hyperfunction, anti-coagulation and fibrinolytic resistance. As same as envisioned, anti-coagulation and fibrinolytic resistance contributed to the pre-thrombotic effect of SiNPs as well in our experiment. AT-III, as the most important natural anticoagulant in mammalian systems, plays a critical role in controlling the activity of thrombin and inhibiting the viability of coagulation factors.⁴³ AT-III was found at a significantly low level in septic patients with DIC, consequently accelerated the coagulation cascade activation and thrombosis generation.⁴⁴ The gradually downward trend in AT-III content (Figure 8A) showed a low anticoagulant ability leading to the hypercoagulable state caused by SiNPs. TFPI is a specific inhibitor of TF, low levels of TFPI could raise the risk of the first and recurrent VET (Venous Thrombus Embolism) and stroke.^{45,46} In our study, TFPI expression didn't show a significant change in the beginning,

indicating that SiNPs induced low anti-coagulant ability failing to fight for the high levels of TF, then TFPI increased at day 7 (Figure 8B), we proposed that a physiological anticoagulant response happened to resist the extrinsic coagulation cascade activation.

The t-PA is a key enzyme in fibrinolysis due to its remarkable ability to mediate the plasminogen cleavage and plasmin regeneration, ultimately induce the fibrin degradation.⁴⁷ Usually the immunoassay of t-PA antigen measures the circulating complex between t-PA and its particular inhibitor (plasminogen activator inhibitor-1, PAI-1), the inhibition of PAI-1 increased with the high levels of t-PA, t-PA actually showed low activity.⁷ The elevation of t-PA levels in our experiment was explained to reduce the fibrinolytic activity to strengthen blood coagulation (Figure 8C). D-dimer is the minimum protein fragment degraded from cross-linked fibrin via fibrinolysis, usually considered as a sensitive marker of indicating hypercoagulable state and secondary hyperfibrinolysis of DIC.^{48,49} It has been found that a significant increase in D-dimer levels in plasma of Wistar rats after intratracheal instillation of four kinds of silica particles (30, 60, 90 and 600 nm), and the D-dimer levels in the SiNPs groups were much higher than that in Si600 group.¹⁴ In this experiment, the elevated release of D-dimer demonstrated SiNPs induced hypercoagulation and secondary hyperfibrinolysis in rats (Figure 8D). From the present findings, it can be inferred that anti-coagulation and fibrinolytic resistance were involved in regulation the pre-thrombotic state formation through the consumption of anti-coagulant factors and low activity of fibrinolysis. Anti-coagulation and fibrinolytic resistance can be a new research target for prevention from coagulation disorder caused by SiNPs as drug delivery.

Based on the results of TF expression and FXII levels, we believed SiNPs can induce hypercoagulable state both by initiating intrinsic and extrinsic pathway. As mentioned above, the interaction between SiNPs with FXII is crucial to the pre-thrombotic effects induced by SiNPs, further promoting the initiation of coagulation cascade and the activation of coagulation factors. SiNPs induced obvious increases in TF expression in our study, the signaling pathway governing TF activation were considered as the reasonable explanation for pre-thrombotic state formation. It was reported that NF- κ B and AP-1 were essential transcription sites for inducible activation of *F3* gene to synthesize TF.^{50,51} NF- κ B family, including RelA (p65), c-Rel, RelB, NF- κ B1 (p50), and NF- κ B2 (p52), originally emerged as a major regulator of immune response to infection⁵² while AP-1 generally consists of JUN and FOS protein families as its main basic leucine domain.⁵³ NF- κ B/p65 and AP-1/c-Jun were confirmed necessary for full-scope TF (*F3*) activation at the transcriptional level in human tissue.⁵¹ Our data of increased phosphorylation of NF- κ B/p65 and AP-1/c-Jun indicated that SiNPs up-regulated TF expression via the activation of NF- κ B and AP-1 signaling (Figure 9B and C). NF- κ B and AP-1 can be up-regulated in response of the activation of several upstream pathways, Erk1/2, JNK, and p38 MAPK pathways were extensively involved.⁵⁴ Our group has found that JNK pathway was remarkably phosphorylated to activate NF- κ B signaling to reply oxidative stress oxidative stress and inflammatory reaction caused by SiNPs in endothelia cells.⁵⁵ In this experiment, JNK pathway was activated as a possible upstream-regulated mechanism of NF- κ B and AP-1 transcription (Figure 9D), and JNK-NF- κ B/ AP-1 pathway was regarded as the possible mechanism of pre-thrombotic state induced by SiNPs.

Conclusions

In summary, our results showed that intravenous administration of SiNPs caused the hypercoagulable and pre-thrombotic state in rats. The pre-thrombotic induced by SiNPs state via the interaction between endothelial cells damages, platelets activation, coagulation system hyperfunction, the consumption of anti-coagulation factors and fibrinolytic resistance. Direction interaction between SiNPs and FXII as well as the activation of JNK-NF- κ B/AP-1 pathway were responsible for pre-thrombotic state formation. The pre-thrombotic state we discussed gave a new insight into the knowledge of the hemostability and biocompatibility of SiNPs and more studies on adverse effects and the biomedical mechanism of SiNPs in medical application are still needed

Acknowledgments

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Author Contributions

Conceived and designed the experiments: Lizhen Jiang and Zhiwei Sun. Performed the experiments: Lizhen Jiang, Yanbo Li, Caixia Guo, Yongbo Yu, Yang Zou, Yang Yu, Junchao

Duan, Weijia Geng, Qiuling Li. Analyzed the data: Lizhen Jiang, Yang Li and Yumei Yang.

Wrote the manuscript: Lizhen Jiang

Disclosure

The authors declare they have no actual or potential competing financial interests.

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Table 1 Hydrodynamic size and Zeta potential of SiNPs in physiological saline with different concentrations

Concentration	Size (nm)	Zeta potential (mv)	PDI
500 $\mu\text{g/mL}$	94.18 \pm 1.65	-37.3 \pm 3.18	0.112
250 $\mu\text{g/mL}$	95.31 \pm 4.20	-32.5 \pm 3.03	0.103
125 $\mu\text{g/mL}$	95.13 \pm 2.47	-34.0 \pm 2.95	0.095

Table 2 Results of endotoxin detection by LAL assay

Concentration (mg/mL)	Sample	Sample + Positive Control	Positive Control	Negative Control
0.75	—	+	+	—
1.5	—	+	+	—
3	—	+	+	—
6	—	+	+	—
12	—	+	+	—

(—): negative (+): positive

Figure 1. Experimental design of hematotoxicity of silica nanoparticles in Wistar rats.

Figure 2. Characterization of the silica nanoparticles (SiNPs). (A) Transmission electron microscope (TEM) image of SiNPs in physiological saline as the dispersion media: the particles appeared spherical and well dispersed. (Scale bar: 50 nm). (B) The size distribution of SiNPs calculated by Image J software.

Figure 3. The coagulation parameters test at 3 time points after intravenous injection of silica nanoparticles (SiNPs) for 7 days. (A) Prothrombin time (PT); (B) Activated partial thromboplastin time (APTT); (C) Thrombin time (TT); (D) Fibrinogen (FIB). Data represent mean \pm S.D., * $p < 0.05$ vs control group.

Figure 4. The effects of silica nanoparticles (SiNPs) on platelets function in blood plasma of rats at 3 time points after intravenous injection for 7 days. (A) Platelets number was detected by Veterinary Blood Analyzer. (B) Platelets aggregation rates measured by LBY-NJ4 Semi-automatic Platelet Aggregometer. (C) The positive expression rates of CD42d (%) and (D) the positive expression rates of CD61 (%) detected by flow cytometry. (E) Von willebrand factor (vWF) and (F) P-selectin expression measured by ELISA. Data are expressed as mean \pm S.D., * $p < 0.05$ vs control group.

Figure 5. The effects of silica nanoparticles (SiNPs) on coagulation system in Wistar rats at 3 time points after intravenous injection for 7 days. (A) Tissue factor (TF) expression; (B) Coagulation factor XII (F XII) expression; (C) Active coagulation factor X (F Xa) expression; (D) Thrombin-antithrombin complex (TAT) expression. Data are expressed as mean \pm S.D., * $p < 0.05$ vs control group.

Figure 6. Histological examination of lung tissue. Representative pictures from hematoxylin and eosin (HE) staining sections at day 1 after intravenous injection of silica nanoparticles (SiNPs). (A) Control group; (B),(C),(D) SiNPs-treated group. Black arrows denote abnormal pulmonary vessels within deformed red cells, inflammatory cells and fibrin exudation. The magnification was $\times 200$ for (A) and (B), $\times 400$ for (C) and (D).

Figure 7. Immunohistochemistry stain of tissue factor (TF). Representative pictures from immunohistochemically stained lung sections after intravenous injection of silica nanoparticles (SiNPs). (A) Control group; (B),(C),(D) SiNPs-treated group. Black arrows denote positively stained cytokines in pulmonary vessels and Bronchi. The magnification was $\times 200$ for (A) and (D), $\times 400$ for (B) and (C). (E) Quantitative determination of positive expression in immunohistochemical slides. Data are expressed as mean \pm S.D., $*p < 0.05$ vs control group.

Figure 8. The effects of silica nanoparticles (SiNPs) on anti-coagulation and fibrinolytic system in Wistar rats at 3 time points after intravenous injection for 7 days. (A) Antithrombin III (AT-III) expression; (B) Tissue factor pathway inhibitor (TFPI) expression; (C) Tissue plasminogen activator (t-PA) expression; (D) D-dimer expression. Data are expressed as mean \pm S.D., $*p < 0.05$ vs control group.

Figure 9. The molecular mechanism involving in regulating tissue factor (TF) expression in lung tissue after intravenous injection of silica nanoparticles (SiNPs). (A) TF expression; (B) The phosphorylation expression of nuclear factor- κ B/p65 (NF- κ B/p65); (C) Phosphorylation expression of activator protein-1/ c-Jun (AP-1/c-Jun); (D) The protein levels of c-Jun N-terminal kinase (JNK) measured by Western blot. Data are expressed as mean \pm S.D., $*p < 0.05$ vs control group.

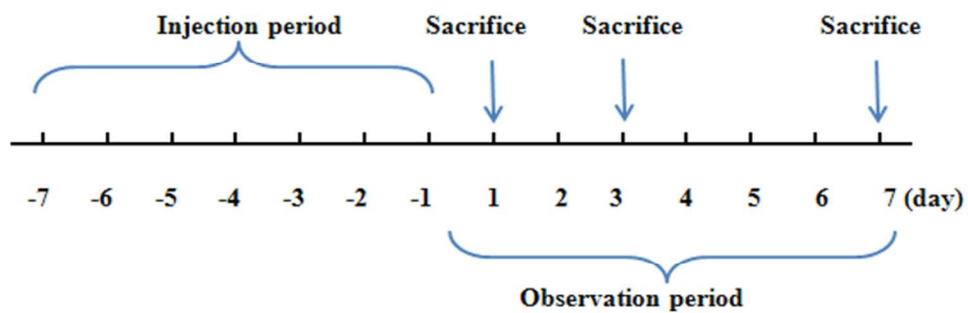


Figure 1. Experimental design of hematotoxicity of silica nanoparticles in Wistar rats.
68x27mm (300 x 300 DPI)

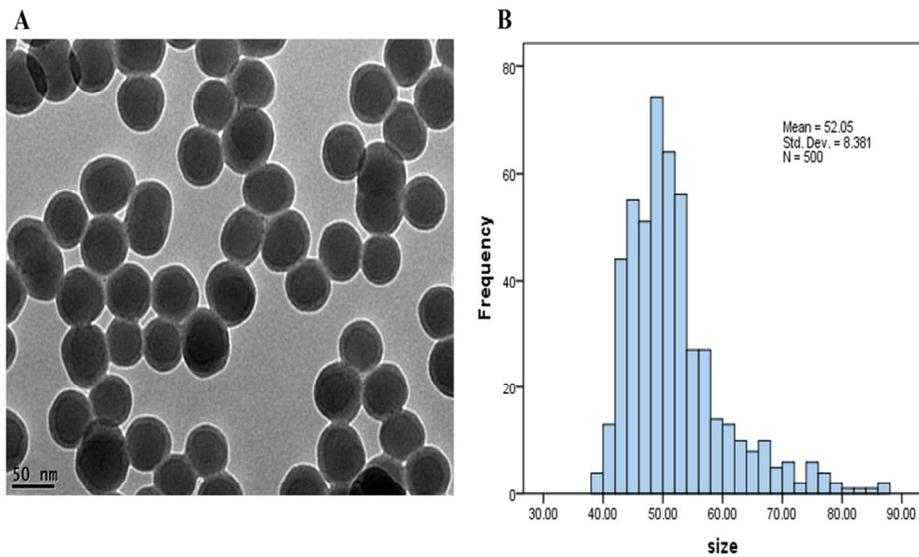


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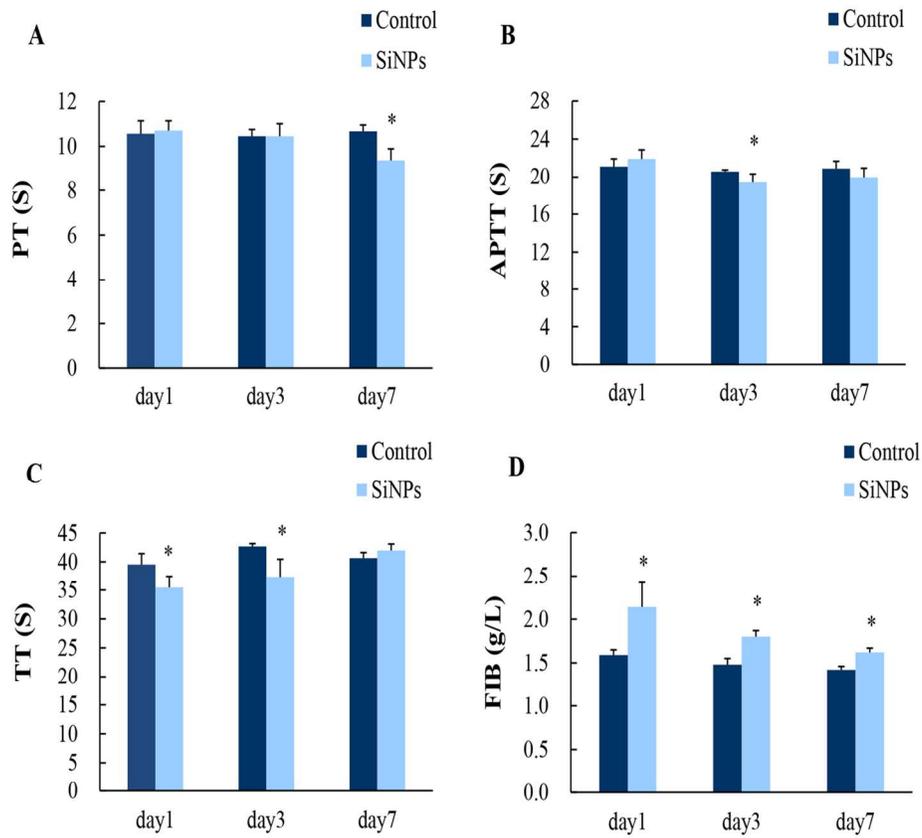


Figure 3. The coagulation parameters test at 3 time points after intravenous injection of silica nanoparticles (SiNPs) for 7 days. (A) Prothrombin time (PT); (B) Activated partial thromboplastin time (APTT); (C) Thrombin time (TT); (D) Fibrinogen (FIB). Data represent mean \pm S.D., * $p < 0.05$ vs control group. 153x139mm (300 x 300 DPI)

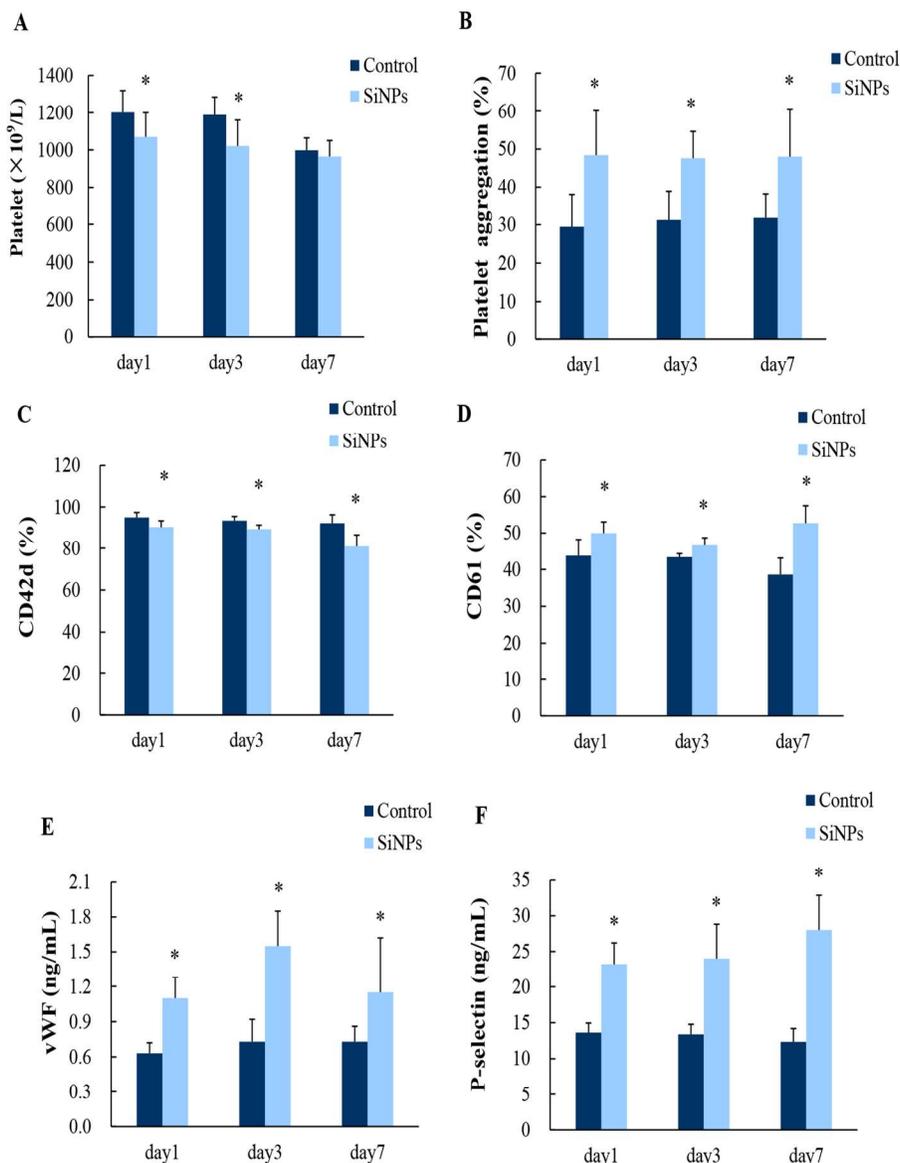


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219x284mm (300 x 300 DPI)

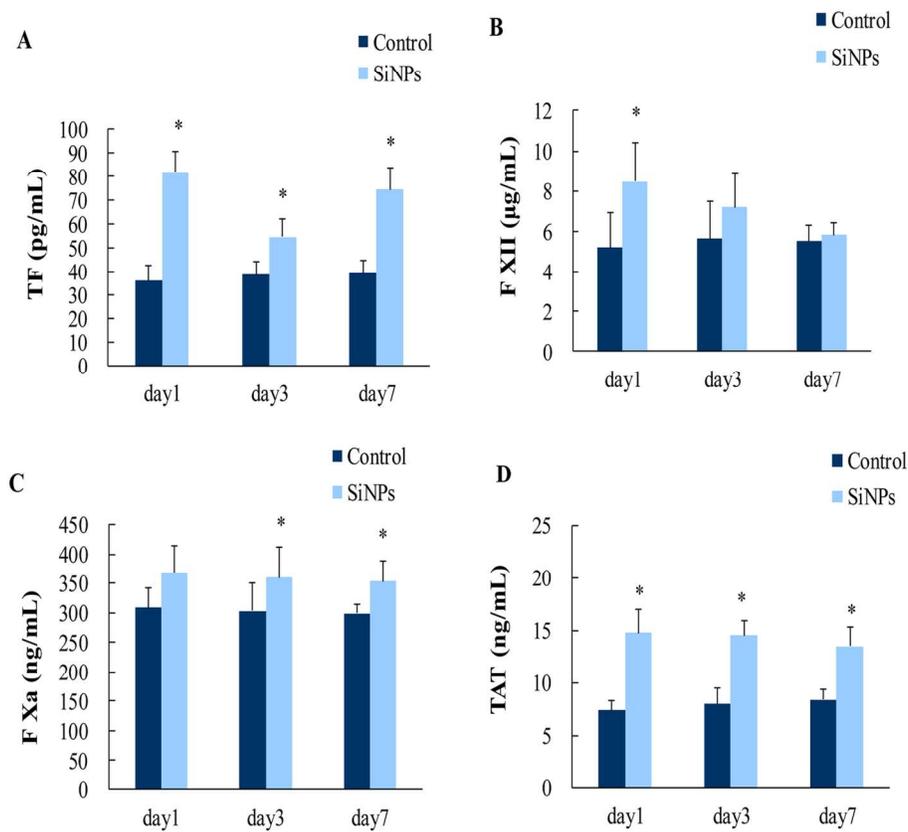


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153x139mm (300 x 300 DPI)

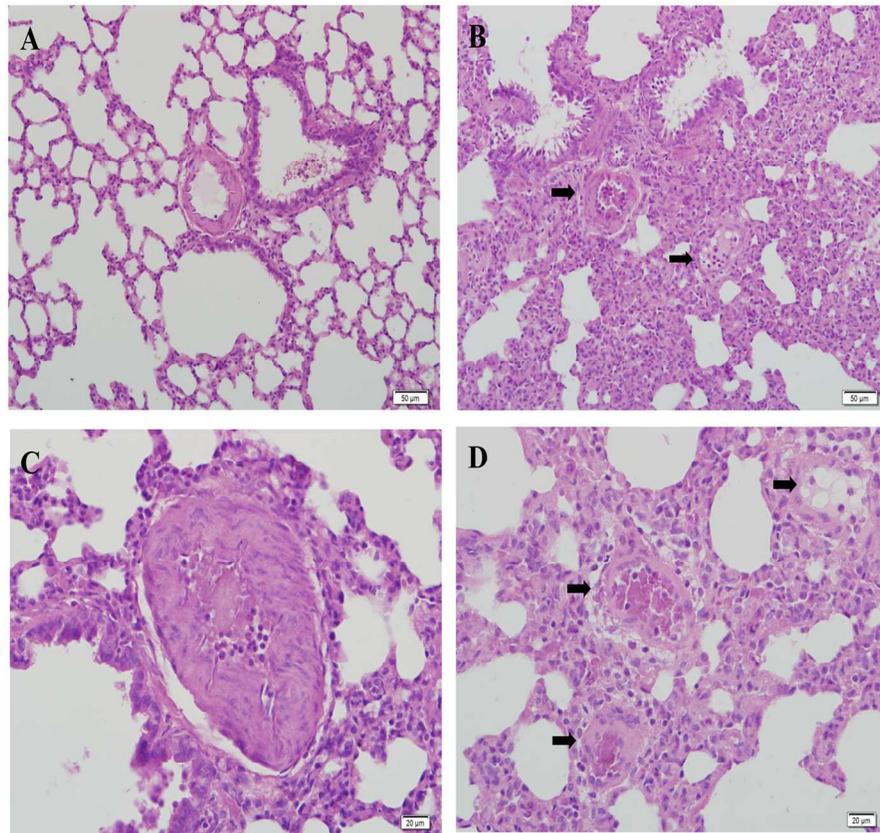


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153x139mm (300 x 300 DPI)

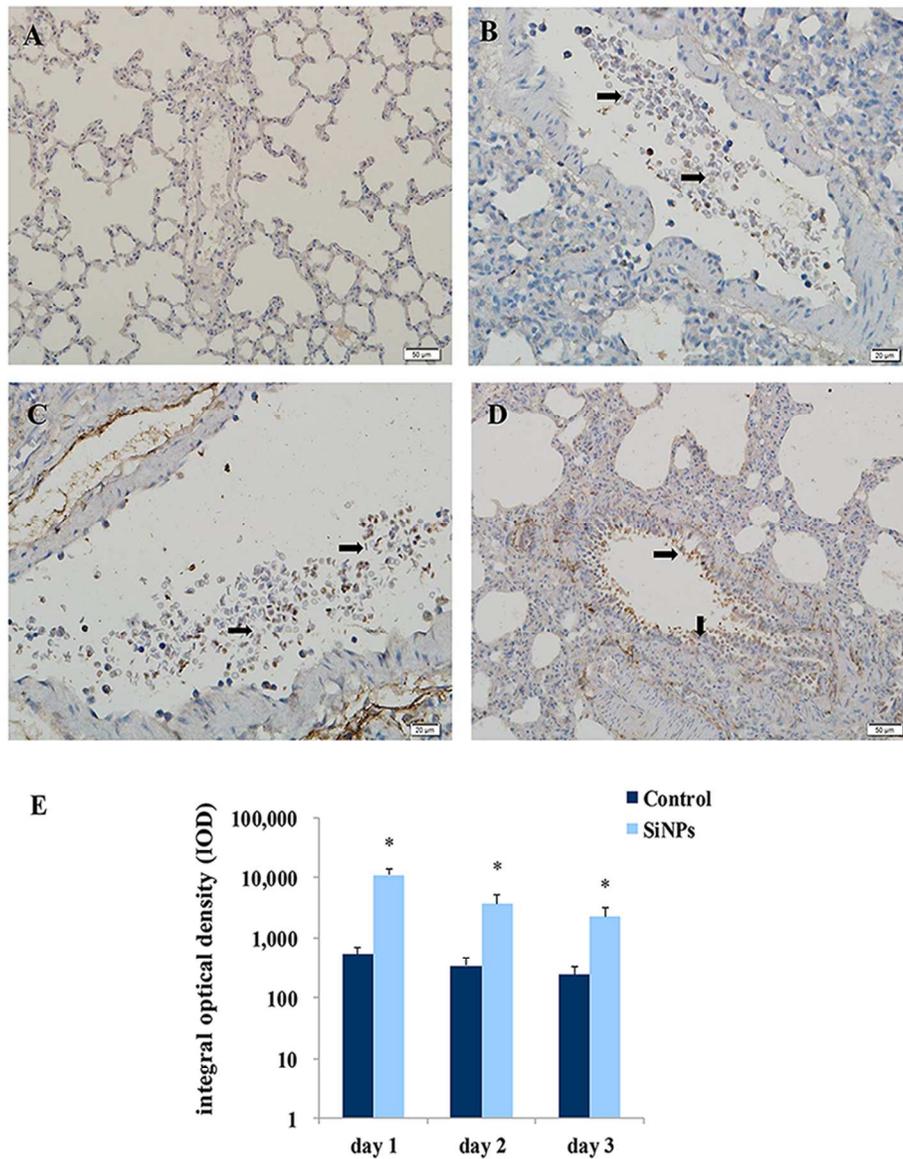


Figure 7. Immunohistochemistry stain of tissue factor (TF). Representative pictures from immunohistochemically stained lung sections after intravenous injection of silica nanoparticles (SiNPs). (A) Control group; (B),(C),(D) SiNPs-treated group. Black arrows denote positively stained cytokines in pulmonary vessels and Bronchi. The magnification was $\times 200$ for (A) and (D), $\times 400$ for (B) and (C). (E) Quantitative determination of positive expression in immunohistochemical slides. Data are expressed as mean \pm S.D., * $p < 0.05$ vs control group. 170x209mm (300 x 300 DPI)

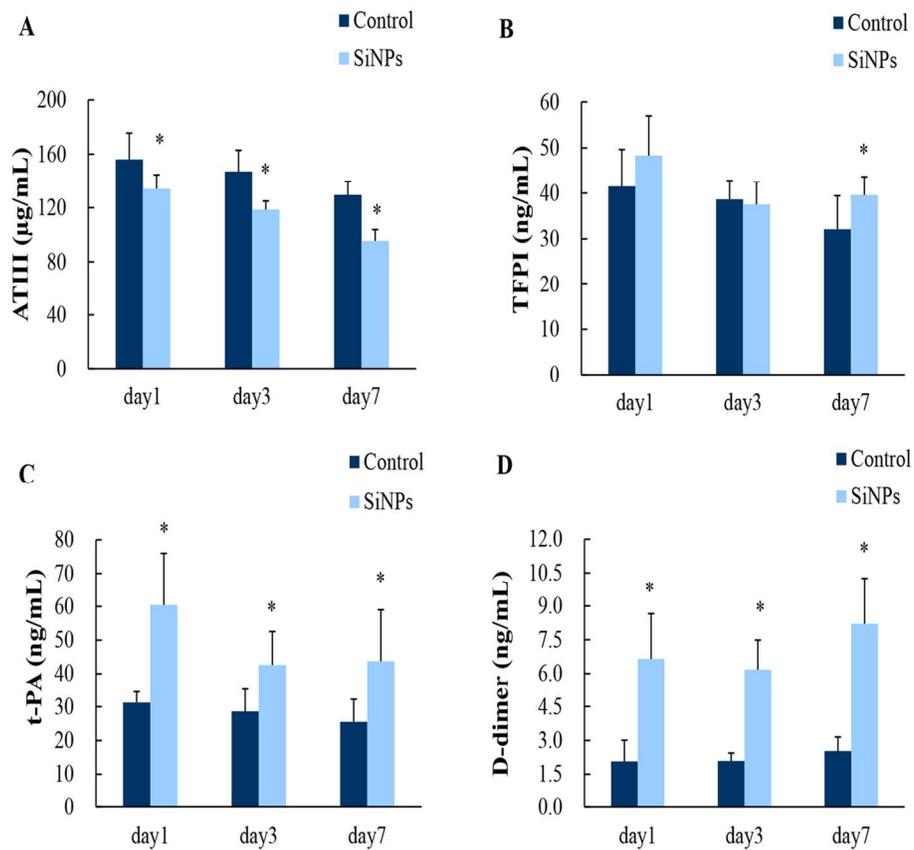


Figure 8. The effects of silica nanoparticles (SiNPs) on anti-coagulation and fibrinolytic system in Wistar rats at 3 time points after intravenous injection for 7 days. (A) Antithrombin III (AT-III) expression; (B) Tissue factor pathway inhibitor (TFPI) expression; (C) Tissue plasminogen activator (t-PA) expression; (D) D-dimer expression. Data are expressed as mean \pm S.D., * $p < 0.05$ vs control group. 153x139mm (300 x 300 DPI)

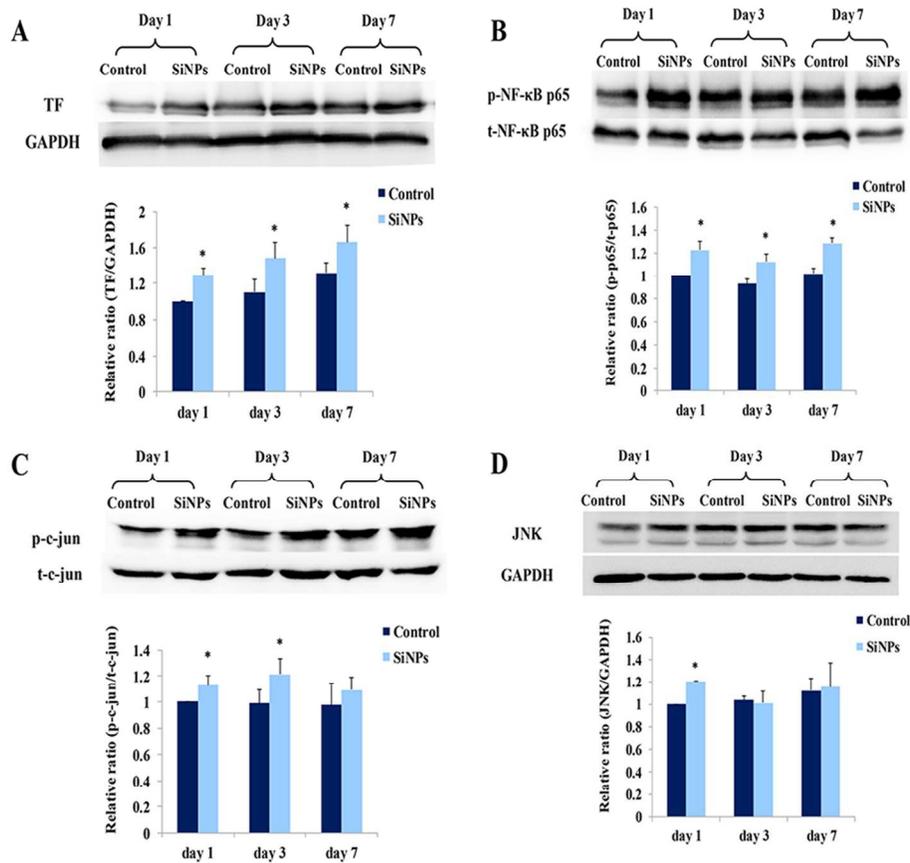
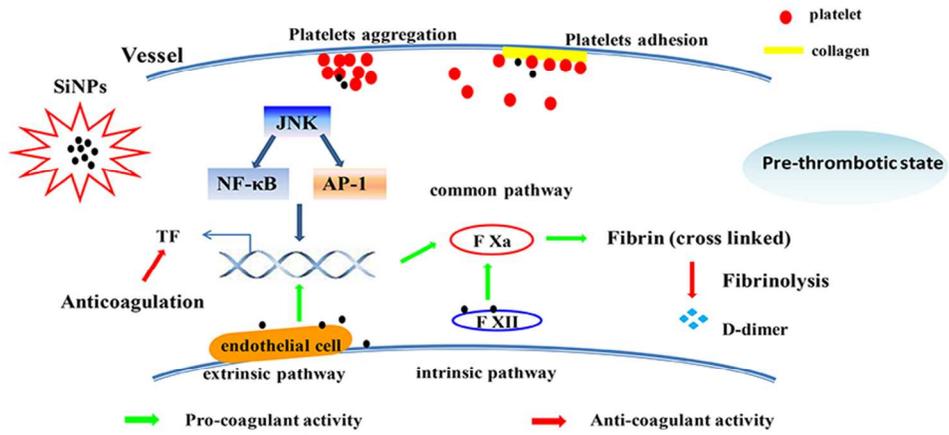


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170x156mm (300 x 300 DPI)



The pre-thrombotic state induced by SiNPs via interaction between platelets activation, coagulation hyperfunction, anti-coagulation and fibrinolytic resistance.
80x39mm (300 x 300 DPI)